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# Meteorological OSSEs for new Zenith Total Delay observations: impact assessment for the Hydroterra geosynchronous satellite on the October 2019 Genoa event

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- Abstract: Along the Mediterranean coastlines intense and localized rainfall events are responsible
- <sup>2</sup> for numerous casualties and several million euros of damage every year. Numerical forecasts of
- <sup>3</sup> such events are rarely skillful, because they lack information in their initial and boundary conditions
- at the relevant spatio-temporal scales, namely O(km) and O(h). In this context, the tropospheric
- <sup>5</sup> delay observations (strongly related to the vertically integrated water vapor content) of the future
- 6 geosynchronous Hydroterra satellite could provide valuable information at high spatio-temporal
- 7 resolution. In this work, Observing System Simulation Experiments (OSSEs) are performed to assess
- the impact of assimilating this new observation in a cloud-resolving meteorological model, at different
- grid spacing and temporal frequency, and with respect to other existent observations. It is found that
- assimilating the Hydroterra observations at 2.5 km spacing every 3 or 6 hours has the largest positive
- impact on the forecast of the event under study. In particular, a better spatial localization and extent of
- the heavy rainfall area is achieved and a realistic surface wind structure, which is a crucial element in
- the forecast of such heavy rainfall events, is modelled.

Keywords: Mediterranean, extreme rainfall, geosynchronous satellite, InSAR, ZTD, IWV, data
 assimilation

## 16 1. Introduction

17 The Mediterranean region is frequently struck by severe rainfall events causing numerous casualties

<sup>18</sup> and several million euros of damage every year [1]. In particular, the unusually complex terrain of the

- <sup>19</sup> western Mediterranean areas, characterized by high mountains close to the coastlines (Alps, Apennines,
- <sup>20</sup> Massif Central, Pyrenees), can enhance or trigger the deep convective processes often originating over
- the warm sea in the fall season [2–4]. Among the heaviest rainfall phenomena of this region, there

are Mesoscale Convective Systems (MCSs). On short time-scales, their relevance is due to their high 22 probability of triggering floods and flash-floods, with significant societal impacts, often combined with 23 numerous shortcomings in their forecast [5–7]. Being characterised by very high accumulated rainfall 24 depths, they are also responsible for a large proportion of rainfall on annual time-scales. Climate 25 projections suggest that their importance, in terms of frequency and intensity, is likely to increase in a 26 warming climate. Recent studies demonstrate a strong sensitivity of the predicted climate impacts to 27 the numerical representation of MCSs, with current climate models not generally capturing MCSs well 28 enough [8]. Thus, improving the forecast accuracy of MCSs is a fundamental step towards managing 29 their social and economic damage on both the short and the long term. 30 The advance of Numerical Weather Prediction (NWP) models to increasingly higher grid spacing 31 (km and sub-km) is paving the way to potential new synergies with space-borne systems. On the one 32

hand, to drive high resolution NWP models, high resolution input data and boundary conditions are
 needed. On the other hand, the present state-of-the-art high resolution NWP models coincides with the
 increasing availability of space-borne observational data sources characterized either by high spatial
 resolution (e.g. the Sentinel missions developed in the Copernicus program framework) or by high
 temporal resolution (Global Navigation Satellite System, GNSS).

In this context, the Synthetic Aperture Radar (SAR) Interferometry (InSAR) technique [9-12] applied 38 to Sentinel-1 data enables the retrieval of information on a wide range of spatial scales of the potentially 39 highly turbulent atmospheric water vapour field [13–18]. Many studies demonstrate the positive impact 40 of assimilating Integrated Water Vapor (IWV) (measured in kg m<sup>-2</sup>) or, equivalently, Zenith Total Delay 41 (ZTD) [m] observations in the forecast of heavy rain, both from InSAR [19–23], and from GNSS [22,24–27]. 42 Hence, it is expected that feeding NWP models with EO (Earth Observation) data-derived ZTD maps 43 combining high spatial resolution and short revisit time can represent a breakthrough in the ability to 44 forecast extreme weather events. However, nowadays, such space-borne observations with concurrently 45 high spatial and temporal resolution are not available yet. On the one hand, Sentinel-1 ZTD maps have 46 very high spatial resolution [28,29] but a too low temporal one, of the order of some days. On the other hand, GNSS ZTD timeseries are point measurements characterized by a coarser resolution (on the order of 30 km at best, much less in some regions) but they reach a temporal resolution of 30 s [22]. 49 In the future, InSAR data at high temporal resolution (daily, or sub-daily) could be provided by 50 geosynchronous satellites. The geosynchronous C-band SAR mission called Hydroterra is currently a 51 phase 0 candidate mission for the 10<sup>th</sup> Earth Explorer Programme of the European Space Agency (ESA). 52

Hydroterra aims to observe the key processes of the daily water cycle by supplying frequent images
(e.g., 1-12 h repeat time) at 1-3 km resolution. The geosynchronous orbit is expected to cover Europe and
Africa. One of its main scientific objectives is to improve the physical insight and therefore the predictive
capability of heavy rainfall and its possible consequences (floods, landslides) by providing estimates of

<sup>57</sup> ZTD, as well as of soil moisture, flood extent and presence of melting snow [30].

Concerning soil moisture, the added value of Hydroterra-derived estimates has been discussed in Cenci et al. [31]. To the best of our knowledge a similar kind of analysis has never been carried 59 out for ZTD estimates from Hydroterra observations and their impacts on the predictive capability of 60 severe hydro-meteorological events. In this work, to assess the added value of high resolution/high 61 frequency ZTD estimates using future Hydroterra observations, a set of Observing System Simulation 62 Experiments (OSSEs) is built. An OSSE is a numerical experiment conducted with a numerical prediction 63 model (in this case a NWP model) and a data assimilation system that ingest simulated rather than 64 real observations. Thus, a simulated scenario is used as reference instead of real-world observations, as 65 explained in section 3. The OSSE approach is widely used to estimate the impacts of proposed designs 66 of new satellites or new kinds of observations [32,33]. However, this is the first time that an OSSE is 67 used to evaluate the potential of the Hydroterra data for NWP applications. In particular, the OSSEs are 68 used both to understand the best way to assimilate this new kind of observation with the state-of-the-art data assimilation systems and to assess the most useful spatio-temporal resolution for NWP applications
 [34–38].

The aim of this work is twofold. Firstly, the sensitivity to different spatio-temporal resolutions of 72 this new kind of ZTD observation is assessed to identify the best-performing setup in the simulation 73 of a heavy rainfall event. Secondly, the added value of assimilating the Hydroterra-like ZTD field 74 is compared to the forecasting skills of some experiments where already existing ZTD observations 75 are assimilated, namely mimicking the GNSS Italian network coverage. Beyond a traditional and an 76 object-based validation of the rainfall forecasts, the OSSEs results are also investigated using some 77 physical criteria that are relevant for operational activities. Despite the OSSEs not being performed in 78 fully operational configurations, this assures the relevance of the assimilation of the Hydroterra product 79 to operational activities. 80 The work is organised as follows. In section 2, the use case is presented. Section 3 introduces the 81

OSSE setup, a comparison between the reference run (to be used to produce the synthetic observations) and the experiment with no data assimilation, the observations to be assimilated, the assimilation techniques, the experiments, and the validation method. Results are presented in section **??**. Section **5** is devoted to the discussion and the interpretation of the results, while the conclusions are given in section **6**.

# 87 2. Case study description

## 88 2.1. Study area

The study area, corresponding to the territory of the Italian region called Liguria, is located along 89 the north-western coast of Italy (see Figure 1). From the morphological point of view, the region is 90 characterized by high mountain ranges, with a maximum height between 1000 and 2000 m a.s.l. (above 91 sea level) that run parallel to the coast and reach their maximum height a few kilometers from the 92 coast. The particular morphology leads to the formation of meteorological patterns specific to the region, 93 capable of producing rainfall of relatively short duration and extremely high intensity (up to an average 94 of 200 mm in one hour and 500-600 mm in 12 hours) (see e.g. [39]). The particular meteorological 95 situation, combined with the morphology, characterized by small basins with a high average slope, makes the region particularly exposed to flash flood risk. This type of morphology is very similar to 97 that of several areas of the Mediterranean (e.g. Spanish, Greek, Algerian, French and Turkish coasts) as 98 well as the hydro-meteorological events that cause economic damage and deaths [40-42]. The region 99 provides an excellent study area representative of the entire Mediterranean belt subject to flash floods. 100

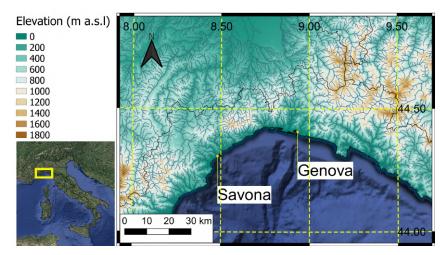


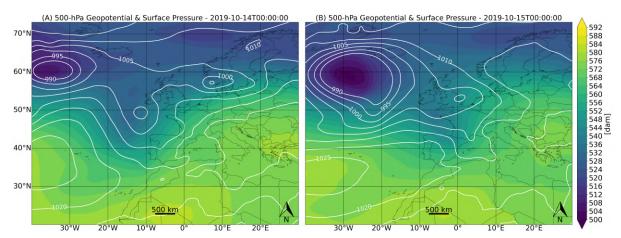
Figure 1. Study Area with orography and hydrography.

#### 101 2.2. Case study description

The OSSEs are performed for a high impact weather event characterised by low predictability that 102 occurred in Italy over the Liguria region between the 14<sup>th</sup> and the 15<sup>th</sup> of October 2019. The selected case 103 study corresponds to a back-building MCS; these are among the most important flash-flood producing 104 storms in the Liguria region area [2,4,43] and other Mediterranean coastal regions, such as southern 105 France [3,44] and eastern Spain [45,46]. MCSs are known to have been common in these areas also in the 106 past [47] and there is evidence that climate change could increase their frequency [48]. It is also known 107 that their dynamics generally develop over the sea [44,49], which can control the rainfall intensity by 108 modifying the atmospheric stability according to the average value of sea surface temperature [50–52], 109 and can influence the low-level wind field by means of the differential thermal forcing due to sea surface 110 temperature gradients [53,54]. The low predictability of this kind of event [4,55,56] is due to the fact that 111 small-scale meteorological processes drive their dynamical evolutioy fiori17, for example, highlight the 112 role of the convergence line that forms over the sea when a cold and dry continental air mass coming 113 from inland meets a warm and wet maritime air mass. The cold air mass acts as a virtual orographic 114 barrier that lifts the unstable warm air and triggers convection. 115

In addition to the mesoscale lifting, the other known ingredients for the development of a back-building MCS are a relatively high level of moisture, the presence of a conditionally unstable air mass, and slowly-evolving synoptic conditions [44].

On the 14<sup>th</sup> of October 2019 a surface low pressure system located off the south-western coast of 119 Ireland was associated with an upper-level trough extending as far south as the north African coasts, 120 as shown in Figure 2(A). At that time, a cold front was approaching the Spanish coasts and a southerly 121 low-level flow was developing off the Ligurian coasts (not shown). Similar conditions characterised the 122 15<sup>th</sup> of October, see Figure 2(B), where the upper level divergence of the synoptic trough was placed 123 over the Ligurian coasts and the moist and unstable flow kept blowing from the Mediterranean Sea. 124 Such conditions are typical of the heavy rainfall events that are known to hit northern Italy in the 125 Autumn [57–59]. As outlined before, these slow-evolving synoptic conditions are necessary for the MCS 126 development but need to be accompanied by other local forcing factors (conditional instability, low-level 127 moisture and mesoscale lifting), which significantly challenge the predictive capabilities of current NWP 128 modelling tools. 129



**Figure 2.** Sea level pressure (white contours, hPa) and 500 hPa geopotential height (colors, dam) on the 14<sup>th</sup> of October 2019 00UTC (A) and on the 15<sup>th</sup> of October 2019 00UTC (B). Data from ERA5 [60].

# **3.** Methods and experiments

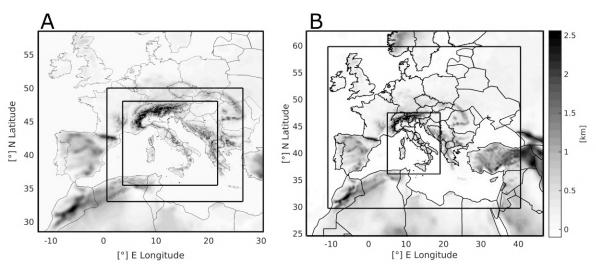
The underlying hypothesis of this study is that by assimilating high resolution ZTD maps, the NWP model can improve its spatial representation of the low-level moisture and the conditional instability. For the event under consideration, this can affect the local dynamics, possibly helping the development of a convergence line, which can act as a lifting factor for the triggering of the back-building MCS.

## 135 3.1. OSSE setup

The OSSEs setup is built following key points from Hoffman and Atlas [34] to guarantee its validity. The state-of-the-art Weather Research and Forecasting model [61, WRF, v3.8.1] is used to produce both the truth run (TR hereafter) and the forecast runs (FC hereafter), characterised by the following features:

- the TR and FC simulations are performed at different grid spacing using 3 two-way nested domains:
   13.5, 4.5 and 1.5 km for TR (Figure 3A) and 22.5, 7.5 and 2.5 km for FC (Figure 3B). Both FC and TR have 50 vertical levels and all domains top reach 50 hPa;
- the TR is initialised at 00UTC of the 14<sup>th</sup> of October 2019 with the ECMWF-IFS (European Centre for Medium-Range Weather Forecasts Integrated Forecasting System) global model at 0.125° grid spacing and forced at the boundaries at an hourly frequency with the same product. The FC simulations are initialised at 00UTC of the 14<sup>th</sup> of October 2019 with the NCEP-GFS (National Centers for Environmental Prediction Global Forecast System) analysis and forecast data available at a horizontal grid spacing of 0.25° × 0.25° and forced at the boundaries every three hours;
- the microphysical parameterizations used in the two use cases are the Aerosol-aware Thompson scheme for the TR [62] and the WSM6 (WRF Single Moment six-class) scheme for the FC simulations [63];
- the Digital Elevation Model (DEM) used in the numerical simulations is smoother in the FC setup than in the TR one: the WRF default filter has been applied 24 times for the TR and 36 for the FC.

The choice to use a higher resolution for the TR is mainly dictated by three considerations. Firstly, we needed to represent the phenomena under study with a sufficiently high resolution in the TR. Secondly, we wanted to have a TR ZTD field at a resolution which was as close as possible to the maximum resolution planned for the Hydroterra observations (on the order of 1 km) [64]. Thirdly, we aimed to evaluate the impact of the assimilation in a model with a setup currently used for operational forecasting activities. The remaining parameterizations (listed below) are the same for the TR and the FC experiments and follow the setup adopted in recent research [22,65,66]. They are also used in the setup implemented for operational forecast at CIMA Research Foundation<sup>1</sup> and include the Yonsei University scheme [67] for the planetary boundary layer turbulence closure; the RRTMG shortwave and longwave schemes [68–70] for radiation; the Rapid Update Cycle (RUC) scheme for the land surface model [71,72]. No cumulus scheme is activated in the two innermost domains (of both TR and FC runs), because the grid spacing is fine enough to explicitly resolve convection. An appropriate convective scheme, consistent with the boundary condition product, is activated in the outermost domain of both configurations: the Tiedke scheme [73,74] in the TR, and the new simplified Arakawa-Schubert scheme [75] in the FC experiments.



**Figure 3.** (A) TR setup: three two-way nested domains with 13.5, 4.5 and 1.5 km grid spacing. (B) FC setup: three two-way nested domains with 22.5, 7.5 and 2.5 km. Grey shading indicates the model terrein.

#### 167 3.2. Comparison between TR and FC Open Loop

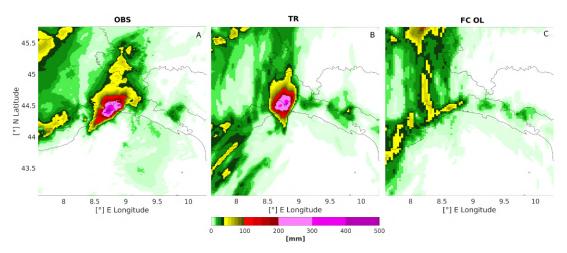
To assess the impact of ZTD assimilation at different spatial and temporal resolutions it is necessary that the TR differs significantly from the FC\_OL (the FC Open Loop simulation, i.e. with no data assimilation) and, conversely, that it represents the rainfall field well enough.

In the TR, a back-building MCS is simulated, producing accumulated rainfall depths higher than 300 mm in 12 hours (Figure 4B). The simulation is very close to the back-building MCS accumulated rainfall observed by the merged radar and rain-gauges product (Figure 4A). As introduced in the previous subsection, MCSs are generally triggered by a strong and persistent (in time) convergence line over the sea, which fixes the generation of convective cells at the same position for a few hours, so that very high values of accumulated rainfall are produced [4,65,76]. Such a convergence line is visible during the main phase of the event (00, 01, 02 UTC) in the TR, as shown in Figure 5A-C.

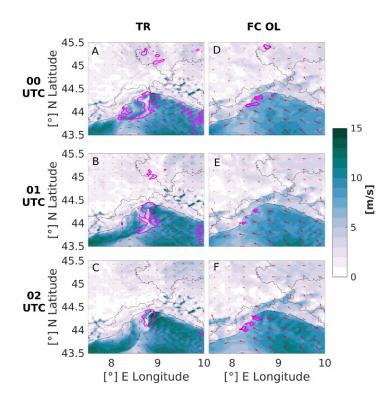
Conversely, the FC\_OL is not able to capture the correct dynamics of this event: Figure 5D-F 178 shows that the convergence line is completely absent in the FC\_OL simulation between 00 and 02 UTC. 179 Consequently, the peak accumulated rainfall in 12 hours is less than 100 mm and the precipitation is 180 more spatially distributed (Figure 4C). The dynamics of the TR and the FC\_OL seem to significantly 181 diverge in the afternoon of the 14<sup>th</sup> of October. In fact, in the morning of the 14<sup>th</sup> both configurations 182 model a convergence line over the sea. Later during the day, in the FC\_OL this line moves towards 183 France and gets weaker, while in the TR the convergence line intensifies (not shown). This is likely due 184 to either a wrong description of the thermodynamical state of the continental air mass in the FC\_OL, 185 which prevents it to overcome the orographic barrier and flow over the sea, or a too strong south-easterly 186 flow from the sea, or a combination of both. 187

<sup>&</sup>lt;sup>1</sup> www.cimafoundation.org/foundations/research-development/wrf.html

A correct representation of the convergence line in the NWP model has both dynamical and 188 thermodynamical consequences. In fact, other than possibly producing vertical motion, the surface 189 convergence line is also characterized by an anomalous water vapor content. This happens because 190 the relatively dry continental air mass acts as a barrier for the moister maritime air mass [4], resulting 191 in an accumulation of water vapor, which affects the air column stability. This is visible in Figure 5, where the 252 mm isoline of ZTD is shown in magenta. In fact, it is possible to see that, corresponding 193 to the convergence line over the sea, a well defined finger-like structure of high water vapour content 194 is modelled perpendicular to the Ligurian coast in the TR (Panels A, B, C). This area of relatively high 195 humidity, in the first place, acts as source of water for the intense heavy rain, which is one of the necessary 196 ingredients for the development of such phenomena [44]. Secondly, the higher humidity content in the TR, decreases the atmospheric stability. In fact, over the Ligurian Sea, the maximum Convective 198 Available Potential Energy (mCAPE) is significantly higher in the TR,  $O(2000 \text{ J kg}^{-1})$ , than in the FC\_OL, 199  $O(1500 \text{ J kg}^{-1})$ , as discussed in section 5. Since in the FC\_OL the convergence line is not produced, also 200 the area of higher humidity is completely absent, with the consequences for the accumulated rainfall 201 field discussed above (Panels D, E, F). 202



**Figure 4.** 12 hours accumulated rainfall between 21 UTC of the 14<sup>th</sup> of October and 09 UTC of the 15<sup>th</sup> from the merged radar and rain-gauges observation OBS (Panel A), the TR (Panel B) and from the FC\_OL (Panel C).



**Figure 5.** Wind field (colors and arrows) and ZTD 252 mm isoline (magenta line) from the TR (A, B, C) and from the FC\_OL (D, E, F) in three hours of the main phase of the event: 00 UTC (A, D), 01 UTC (B, E) and 02 UTC (C, F) of the 15<sup>th</sup> of October.

#### 203 3.3. Synthetic observations description and retrieval from the TR

All the observations used in this work, namely the Hydroterra-like and the GNSS ZTD are synthetic observations retrieved from the TR fields. ZTD can be modeled as the difference between the distance in the zenith direction covered by an electromagnetic signal assuming to be in vacuum, i.e. moving with constant velocity c, and the actual distance, i.e. that covered at the actual velocity  $v \le c$ . In particular, it can be expressed as the vertical integral of the atmospheric refractivity N [77], namely

$$ZTD = 10^{-6} \int N(z)dz,$$
(1)

where *N* is a function of the pressure of dry air  $p_d$ , the partial pressure of water vapour *e*, and the temperature *T* along the zenith profile :

$$N(z) = k_1 \frac{p_d(z)}{T(z)} + k_2 \frac{e(z)}{T(z)} + k_3 \frac{e(z)}{T(z)^2}.$$
(2)

The  $k_i$ , i = 1, 2, 3 constants are experimentally determined and, in this work, their values are taken from Smith and Weintraub [78] and Bevis *et al.* [79], in agreement with the WRF implementation. ZTD is related to IWV through

$$ZTD = ZHD + ZWD = ZHD + IWV/\Pi,$$
(3)

where ZHD is the Zenith Hydrostatic Delay, which is substantially controlled by the surface pressure [80], ZWD is the Zenith Wet Delay, which is controlled by the highly variable water vapor content, and Π is a conversion factor. It depends on the vertical mean value of the inverse of the temperature weighted by the water vapor density and is approximately equal to 0.15 [77,79]. To go from ZTD to IWV, thus, it is clear that additional information on surface pressure and temperature is needed. As these observations are sometimes hard to retrieve and they add processing steps that can be avoided by directly assimilatingZTD in the model, in all the experiments of this work, the assimilated variable is ZTD.

The Hydroterra-like ZTD is assimilated only over land, since Hydroterra will not retrieve ZTD over the sea. This is mainly because the ZTD InSAR maps (as the Hydroterra ones) are derived by taking phase differences for of each pixel using multi-temporal observations. The phase is the optical path delay and the own target's signature, which should be stable in the time between the two SAR observations, in order to provide a reliable measure of the differential path delay. This does not occur when observing water, where the kinematic instability of the surface changes its radar reflectivity within milliseconds [81,82]. In SAR interferometry, water surfaces have random phase, even when observed by a very short revisit.

To obtain the GNSS-like ZTD the TR ZTD field is interpolated on the positions of the receivers of the Italian GNSS network, with a nearest-neighbour approach. The inter-distance between the GNSS receivers of the Italian network is between 30 and 50 km, and for a map of the receivers the reader is referred to Figure 4 of Lagasio *et al.* [22].

As with many heavy rainfall events, this case study was completely missed by Sentinel-1: the first observation was at 5.35 UTC of the 14<sup>th</sup> of October, too early to give some information for such very localised event, and the second one was at 5.25 UTC of the 15<sup>th</sup> of October, when the event was already over. The difficulty to find a case study in which to assimilate Sentinel-1 ZTD map with a timely passage [22,66] is due to its very low temporal resolution with respect to the dynamics of this kind of explosive high impact weather events.

## 239 3.4. Data assimilation setup and experiments configuration

The data assimilation procedure is performed with the state-of-the-art 3DVAR WRFDA package, V3.9.1 [83]. The 3DVAR finds the optimal estimate of the atmospheric state, called 'analysis', by minimising an appropriate cost function that weights the background atmospheric state(coming from a NWP model run) and the observations, by their uncertainties. A technical description of the assimilation procedures used in this study is given in Appendix A.

It has been shown that when high resolution radar observations are assimilated, if the cost function is not properly constrained, such a large number of inputs can dominate the analysis result by adding large unbalanced wind increments, especially when convective systems are present [84,85]. Also the high resolution ZTD Hydroterra-like observations can lead to unrealistic dynamics, by changing the atmospheric stability and producing very vigorous vertical motion throughout the domain (not shown). This is why an additional constraint in the assimilation procedure is needed.

The additional constraint used is sensitive to the large-scale features. It is well known that one of 251 the challenges in convective-scale data assimilation is to extract as much information as possible from 252 the observations while maintaining the background large-scale balance. In other words, the problem is to find a way to add high resolution observational data to the initial conditions through a data 254 assimilation system without damaging the large-scale pattern, nor causing spurious convection [84]. 255 A possible solution to improve the data assimilation procedure is to use a method to minimise the 256 imbalance problem in the 3DVAR system by adding a constraint in the cost function using information 257 at larger scales. This is defined in terms of the departure of a high resolution 3DVAR analysis from a coarser-resolution large-scale analysis, as explained more in detail in Appendix A [84]. In this work, the 259 version of large-scale constraint (LSC) used in Tang et al. [85] is adopted. Firstly, the GFS forecast fields 260 (instead of analysis fields) are interpolated into the same regular grids as the outer domain via the WRF 261 pre-processing system. Secondly, they are assimilated as bogus observations in the inner domain during 262 the regular DA cycles. Note that, as discussed in Appendix A, not all the grid points of the large domain are considered. In particular, in the present work, the LSC sampling step is set to 45 km, corresponding 264

to retaining every second point of the d01 grid.

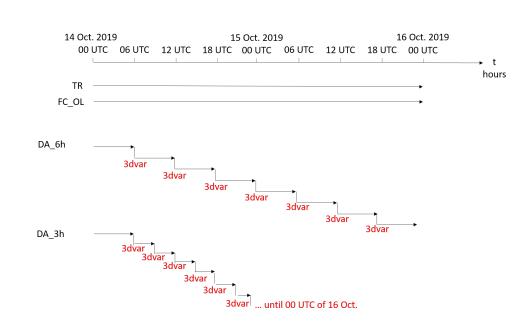
The assimilation experiments are performed sampling the observation at different spatial (2.5 km, 5 km, GNSS network location) and temporal (3 h, 6 h) resolutions in all the possible combinations. Table 1 introduces the experiments and Figure 6 shows a schematic of the OSSEs data assimilation timing. Note that in the first 6 hours the OSSEs have no assimilation due to the TR spin-up. The lower spatial resolution is set to 2.5 km (the FC resolution) because higher resolution violates the assumption of

Table 1. Short description of the OSSEs to determine the optimal spatio-temporal resolution of the

<sup>271</sup> spatially independent observation errors for the **R** matrix [19,21,22].

Hydroterra-like ZTD observations.

Assimilated ZTD Experiment Obs. resolution DA cycling interval LSC activated FC\_OL run without data assimilation FC\_DA\_2.5km\_3h Hydroterra-like 2.5 km 3-hour yes FC\_DA\_5km\_3h Hydroterra-like 5 km 3-hour yes FC\_DA\_gnss\_3h GNSS Italian network GNSS 3-hour no FC\_DA\_2.5km\_6h Hydroterra-like 2.5 km 6-hour yes FC\_DA\_5km\_6h Hydroterra-like  $5 \,\mathrm{km}$ 6-hour yes GNSS FC\_DA\_gnss\_6h GNSS Italian network 6-hour no



**Figure 6.** Schematic of the OSSEs assimilation timing. TR and FC\_OL have no assimilation cycles, while DA\_6h and DA\_3h denote a generic assimilation experiment with assimilation every 6 and 3 hours, respectively.

## 272 3.5. Validation Method

The evaluation of the assimilation performances is done using the MODE tool [86,87], by comparing 273 the TR accumulated rainfall field with the forecast fields of the other runs. The main advantage of 274 such a validation is that the forecast is not only evaluated point-wise but also at feature level, thus 275 overcoming the so-called "double-penalty" issue [88]. MODE identifies precipitation structures above 276 given thresholds in both the forecast and the observed fields and performs a spatial evaluation of the 277 model capability of reproducing the identified objects [22]. Especially for high resolution observations 278 and cloud-resolving meteorological forecasts during deep convective events, it is preferable to use 279 feature-based verification techniques, such as MODE, because traditional methods cannot provide a 280 measure of spatial and temporal match between observed and forecast fields. 281

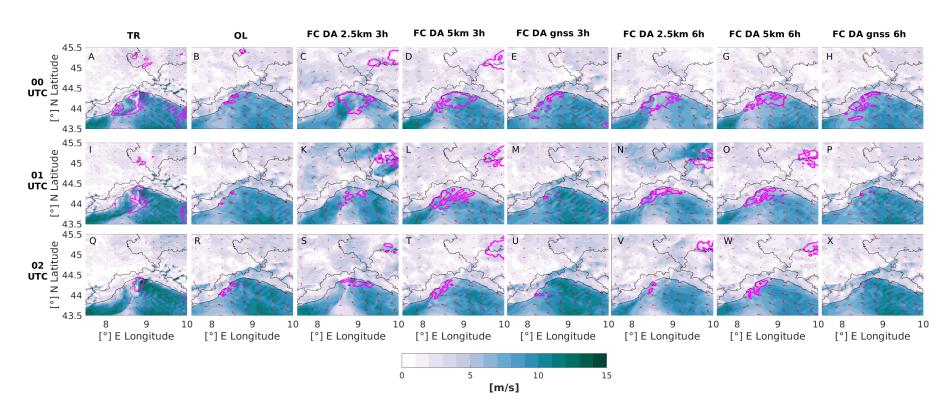
In this work, to evaluate the ZTD assimilation performances, 10 different indices are considered above 48 mm threshold. They include both pairs of object attributes and classical statistical scores, namely, for the geometrical indices we consider: centroid distance (CENTROID\_DIST), angle difference (ANGLE\_DIFF), area ratio (AREA\_RATIO), symmetric difference (SYMMETRIC\_DIFF), intersection area (INTERSECTION\_AREA) and union area (UNION\_AREA), while for the classical statistical indices we consider: Frequency BIAS (FBIAS), Probability of Detection Yes (PODY), False Alarm Ratio (FAR) and Critical Success Index (CSI). For a complete description of the indices refer to References [22,65,76].

## 289 4. Results

Looking at the 10 m wind field in the first hours of the event (Figure 7) it is possible to see that the presence or the absence of the convergence line over the sea is one of the most evident differences between the forecasts. As previously discussed, the convergence line is strong and persistent in the TR (Figure 7 Panels A, I, Q). It is interesting to underline that from a strictly forecasting view point, Poletti et al. [89] identify the presence of a convergence line over the sea as one of the most important factors that leads to the issue of a hydro-meteorological alert, as argued in what follows.

As discussed in Section 3.2, the convergence line is completely absent in the FC\_OL simulation 296 (Figure 7 Panels B, J, R). It is found that, the higher the spatio-temporal resolution of the assimilated ZTD 297 field, the better the impact on the convergence line dynamics. In fact, assimilating the Hydroterra-like 298 ZTD at 2.5 km grid spacing, in simulations FC\_DA\_2.5km\_3h (Panels C, K, S) and FC\_DA\_2.5km\_6h 29 (Panels F, N, V), produces the most realistic convergence line. In particular, the convergence line is 300 better defined by assimilating every 3 hours, although in both cases it is still different from the TR one. 301 Assimilating the Hydroterra-like ZTD at 5 km grid spacing, as in the FC\_DA\_5km\_3h (Panels D, L, T) 302 and FC\_DA\_5km\_6h (Panels F, N, V) runs, introduces smaller improvements in the modelling of the 303 convergence line with respect to the previous experiments, while assimilating the ZTD at the GNSS 304 locations in simulations FC\_DA\_gnss\_3h (Panels E, M, U) and FC\_DA\_gnss\_6h (Panels H, P, X) seems 305 not to influence the surface wind dynamics at all. A better representation of the surface wind field in 306 FC\_DA\_2.5km\_3h (Panels C, K, S) and FC\_DA\_2.5km\_6h (Panels F, N, V) is also accompanied by an 307 increase of water vapor along the convergence line, more similar to the TR, as highlighted by the 252 mm 308 isoline in Figure 7. 309

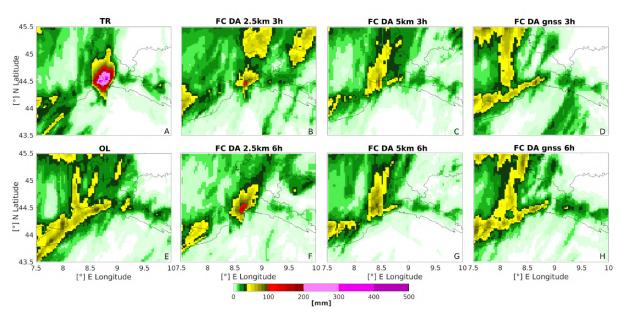
Lagasio et al. [22] showed that, for a similar back-building MCS that caused the severe Livorno 2017 310 flood, the ZTD assimilation from GNSS provided significant improvements in the heavy rainfall forecast. 311 In particular, it was found that the GNSS ZTD assimilation was more effective when the wind field was 312 simultaneously assimilated. This, together with the present findings, suggests that the coarse spatial 313 resolution of the GNSS receivers helps in the correct modelling of the total amount of water vapor, which 314 acts as a source for the heavy rainfall, but struggles in reproducing the fine-scale water vapor spatial 315 distribution, that modifies the surface dynamics. This is especially true when, as in this case, the FC\_OL dynamic is very far from the TR one. Thus, only by assimilating the Hydroterra-like ZTD observations 317 at high spatial resolution, does the FC dynamic move towards the TR one showing a convincing intense 318 convergence line. 319



**Figure 7.** 10 m wind field (colors and arrows) and ZTD 252 mm isoline (magenta line) comparison during the main phase of the event: 00 UTC (first row), 01 UTC (second row), 02 UTC (third row) between TR (Panels A, I, Q), OL (Panels B, J, R), FC\_DA\_2.5km\_3h (Panels C, K, S), FC\_DA\_5km\_3h (Panels D, L, T), FC\_DA\_gnss\_3h (Panels E, M, U), FC\_DA\_2.5km\_6h (Panels F, N, V), FC\_DA\_5km\_6h (Panels G, O, W), FC\_DA\_gnss\_6h (Panels H, P, X).

Thus, the effects of the ZTD assimilation on the surface wind dynamics have direct impacts on 320 the forecast of the rainfall pattern (Figure 8). In particular, the presence of the well-defined surface 321 convergence line when assimilating the ZTD at 2.5 km grid spacing, in experiments FC\_DA\_2.5km\_3h 322 and FC\_DA\_2.5km\_6h, results in a more localized rainfall pattern (Panels B and F, respectively). Although 323 being weaker, this is very consistent with the TR rainfall field, which shows the typical V-shape pattern of the Ligurian MCSs [4]. Assimilating a coarser ZTD product, namely the Hydroterra-like ZTD at 5 km, 325 in the FC\_DA\_5km\_3h (Panel C) and FC\_DA\_5km\_6h (Panel G) runs, results in a rainfall pattern that 326 is more localised than the OL one, but less than in the above mentioned 2.5 km experiments. With 327 respect to the FC\_DA\_2.5km experiments, the rainfall peak appears to be shifted westward. Concerning 328 the simulation of the surface convergence field, the assimilation of ZTD at the GNSS locations, in the experiments FC\_DA\_gnss\_3h (Panel D) and FC\_DA\_gnss\_6h (Panel H), instead, maintains a more 330 widespread rainfall pattern very similar to the FC\_OL one. Note that the time intervals of the rainfall 331 accumulation are different. In the TR the 12 hour accumulation interval is between 21 UTC of the 14<sup>th</sup> 332 and 09 UTC of 15<sup>th</sup> of October. In the FC experiments, instead, it is between 00 and 12 UTC of the 15<sup>th</sup> of 333 October. The reason for this is because in the FC runs, despite the assimilation procedure, a temporal shift of roughly three hours of the intense rainfall remained. 335

None of the FC simulations is able to reach the TR accumulated rainfall peak values. However,
the assimilation of Hydroterra-like observations at 2.5 km (FC\_DA\_2.5km\_3h and FC\_DA\_2.5km\_6h)
allows a big improvement with respect to the OL run as quantitatively highlighted by the Method for
Object-Based Evaluation (MODE) rainfall validation.

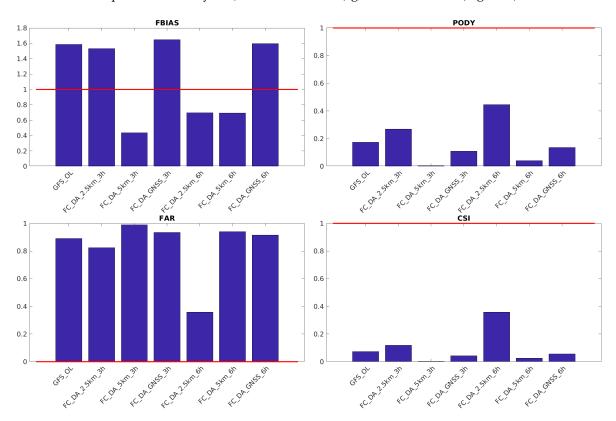


**Figure 8.** 12-hours accumulated rainfall comparison. A: TR, B: FC\_DA\_2.5km\_3h, C: FC\_DA\_5km\_3h, D: FC\_DA\_gnss\_3h, E: OL, F: FC\_DA\_2.5km\_6h, G: FC\_DA\_5km\_6h, H: FC\_DA\_gnss\_6h. In the TR (Panel A) the time window is between 21 UTC 14 Oct and 09 UTC 15 Oct, while in all the other cases is between 00 and 12 UTC 15 Oct.

Figure 9 shows statistical indices that evaluate all the objects in the whole domain of Figure 8. It is possible to see that the 48 mm threshold (Figure 9) reveals that when assimilating the Hydroterra-like ZTD observation at 2.5 km, the accumulated rainfall structure is better captured by the model (higher POD, CSI and better FBIAS and FAR), with respect to assimilating the same observation at 5 km grid spacing. In particular, assimilating at 2.5 km every 6 hours provides the lowest FAR, due to a correct spatial distribution of the rainfall field. In fact, with respect to the simulation assimilating at 2.5 km every 3 hours, no rainfall overestimation is produced inland (north of 45°N, as visible in Panels B and F of Figure 8). This is probably due to the eastward displacement of the convergence line at 1UTC (Figure 7K), that is strongly reduced in the FC\_DA\_2.5km\_6h (Figure 8F) forecast. In fact, the FC\_DA\_2.5km\_6h
has a weaker convergence line (Figure 7 Panels C, K, S) with respect to the FC\_DA\_2.5km\_3h (Figure 7 Panels F, N, V), that is, however, more persistent in terms of location.

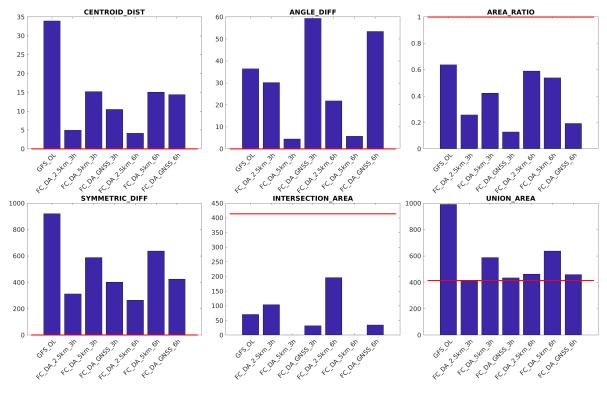
The validation in terms of the MODE geometrical indices is restricted to the core rainfall object, and not to the entire WRF innermost domain, d03. This procedure cannot be completely automated because it 352 is specific for each event. It is also necessary to focus the validation on the area of interest, instead of the 353 full WRF grid, in order to avoid mixing the multiple rainfall objects that appear in the simulation results, 354 which could affect the validation results. Looking at these geometrical indices (Figure 10) it is possible 355 to see that the angle difference (ANGLE\_DIFF) of the FC\_OL and the FC\_DA\_gnss runs are the worst ones, remarking a more widespread rainfall pattern with respect to the TR one. The CENTROID\_DIST 357 and the SYMMETRIC\_DIFF highlight how the simulations assimilating Hydroterra-like observations at 358 2.5 km resolution (FC\_DA\_2.5km\_3h and FC\_DA\_2.5km\_6h) produce a better localised intense rainfall 359 object, with a shape closer to the TR one. Furthermore, the INTERSECTION\_AREA shows that the 360 FC\_DA\_2.5km\_6h has a better pattern extent. 36

Summarizing, it is possible to say that assimilating the ZTD Hydroterra-like observations produces the best improvement in a very challenging forecast, where the dynamical and thermodynamical differences between FC\_OL and TR are large. In particular, the higher spatial resolution (2.5 km) seems to be the most effective in changing the wind dynamics and, consequently, the rainfall pattern. Both temporal resolutions of the assimilation (3 and 6 hours) produce this improvement. However, the simulation assimilating every 3 hours (FC\_DA\_2.5km\_3h) still maintains a high FAR due to the shifting of the simulated convergence line. Instead, a more persistent convergence line in the simulation with data assimilation performed every 6h (FC\_DA\_2.5km\_6h) gives a lower FAR (Figure 9).



**Figure 9.** OSSEs statistical MODE indices for the 48 mm threshold. The red horizontal lines indicate the ideal scores.

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**Figure 10.** OSSEs geometrical MODE indices for the 48 mm threshold. The red horizontal lines indicate the ideal scores.

#### 370 5. Discussion

Only the high resolution Hydroterra-like observation experiments are capable of changing the OL 371 dynamics enough to provide some of the main ingredients that are important to forecast this kind of 372 back-building MCS. As previously outlined, the MODE analysis indicate that the 6-hour assimilation 373 experiment has better performance than the 3-hour one. This suggests that a 3-hourly DA cycle may 374 not leave enough time for a proper dynamical adjustment to the new humidity information, which can 375 be reached with a 6-hourly cycle. Thus, it appears that the assimilation of the Hydroterra-like ZTD 376 modifies the dynamics at the mesoscale, so that the environment is properly set for the development of 377 the convective V-shape storm. 378

Due to the characteristic low predictability of this kind of event, Liguria region's meteorological forecaster developed a check-list tool [89] to consider various ingredients indicating the possible occurrence of severe, organized, and stationary storms, like the back-building MCSs, during the operational forecasting activities.

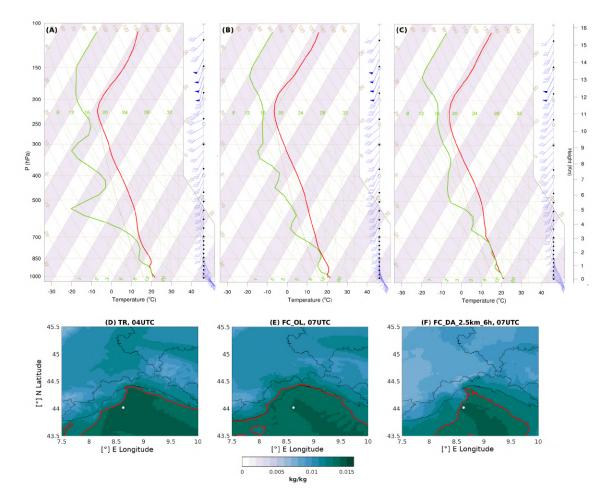
To assess the impact of assimilating Hydroterra-like observations, the TR, OL and FC\_DA\_2.5km\_6h 383 runs are compared following Table 2 of the checklist by Poletti et al. [89]. In the first part (a) of this table, 384 an analysis of some thermodynamic parameters such as the K-Index (KI), the Total totals (TT), the CAPE 38! and the Precipitable Water (PW) allows to evaluate the probability of severe thunderstorms (see Poletti 386 et al. [89] for their definitions). If some of these parameters exceed the identified thresholds, the second 387 part of the table (b) is used to evaluate whether the event under consideration is likely to be organized 388 and persistent. Some of the parameters that are considered in this second part are the presence of a 389 wind convergence line over the sea for more than 3 hours, and the strength of the 950 hPa temperature 390 (and humidity) gradient between the Po Valley and the Ligurian Sea. In this work, the use of both parts 39: of Table 2 of Poletti et al. [89] (the checklist) allows to evaluate the impacts that assimilating the high 392 resolution Hydroterra-like ZTD maps has on some physical quantities that are relevant for operational 393

It is worth noticing that even if specific thresholds are identified in the Poletti et al. [89] checklist, 395 their values need to be interpreted. For example, the CAPE parameter threshold should be modulated 396 on the annual cycle, as summer events are usually characterised by higher CAPE values than autumn 397 ones. Furthermore, the K-index is mentioned as a good indicator of severe and organized thunderstorms, 398 but not for persisting ones, like this kind of back-building MCSs. Also the TT index and the CAPE do not show a relevant predictive ability for persistent events because for almost the whole data sets 400 their values fall within the respective low ranges. Thus, these indices are here used to evaluate if the 401 simulations produce scenarios leading to severe events with respect to some metrics that are currently 402 used for operational activities. The presence of the persistent convergence line and the surface humidity 403 gradients are evaluated to analyse if the event can be both organized and stationary (meaning that it is more prone to generate flash floods). 405

A representative point within the moist and conditionally unstable air mass in the Ligurian sea 406 is chosen to produce the Skew-T diagram and to calculate the relevant indices of the Poletti et al. [89] 407 checklist. The virtual vertical soundings are shown in Panels A-C of Figure 11, while the corresponding 408 surface water vapor mixing ratio maps are shown in Panels D-F. The soundings are taken in the early 409 phase of the event, which are a few hours apart depending on the configuration, as discussed above. 410 In particular, the virtual sounding is taken at 4 UTC in the TR experiment and at 7 UTC of the 15<sup>th</sup> of

October in the FC\_OL and FC\_DA\_2.5km\_6h experiments. 412

411



**Figure 11.** First row: Skew-T diagrams for TR (A), FC\_OL (B) and FC\_DA\_2.5km\_6h (C). Second row: Q2m instantaneous field with the 0.013 kg/kg isoline in red for TR (D), FC\_OL (E) and FC\_DA\_2.5km\_6h (F). The white dots indicate the point where the Skew-T are calculated. The TR is investigated at 04:00 UTC while the FC\_OL and the FC\_DA\_2.5km\_6h are taken at 07:00 UTC.

While the TR and the FC\_DA\_2.5km\_6h runs are characterised by thermodynamic indices that fall in the moderate to high ranges, the FC\_OL has generally weaker values. For example, the CAPE over the Ligurian Sea in the TR and FC\_DA\_2.5km\_6h runs is of the order of 2000 J kg<sup>-1</sup> and it is only around 1500 J kg<sup>-1</sup> in the FC\_OL. The KI is moderate for the TR and FC\_DA\_2.5km\_6h runs, with values around 30°C, and is weak for the FC\_OL, roughly 25°C. The TT and the PW indices, instead, do not highlight significant differences, as they all fall in the same range (weak for the TT, between 45 and 50°C, and moderate for the PW, between 30 and 35 mm). Thus, the first part of the checklist evaluation suggests that severe events can occur in all forecasts, with the FC\_OL generally having weaker indices.

Moving to the organization and persistence evaluation, Poletti et al. [89] highlights the importance 421 of the presence of the convergence line for more than three hours over the sea. In fact, this persistent 422 dynamics is responsible for the development of convective cells over the same location, producing very 423 high values of accumulated rainfall. The fact that in the TR the convergence line lasts for at least three 424 hours is visible in Panels A, I, Q of Figure 7, showing the surface wind field between 0 and 2 UTC, and 425 in Panel D of Figure 11, showing the surface water vapor mixing ratio field (at 2 m, Q2m) at 4 UTC. 426 In particular, the surface convergence is highlighted by the 0.013 kg/kg isoline shown in red, which 427 marks the dividing line between the drier continental air mass and the moist maritime one. The FC\_OL 428 simulation does not present any sign of convergence line, neither at the beginning of the event (Figure 429

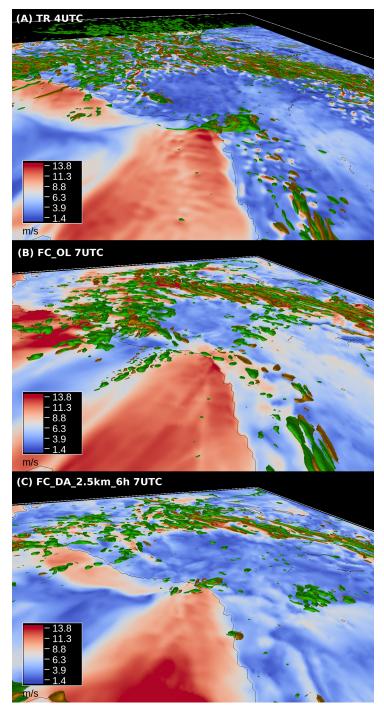
7, Panels B, J, R), nor during its main phase, as indicated by the more homogeneous Q2m distribution
over the sea at 7 UTC (Figure 11E), with the 0.013 kg/kg isoline closely following the coastlines. The
FC\_DA\_2.5km\_6h simulation shows the presence of the convergence line (Figure 7 Panels F, N, V) since
the beginning of the event. Even if weaker and slightly shifted with respect to the TR, the convergence
line is clearly visible for at least three hours, and it strengthens at 7 UTC, as revealed from the Q2m
distribution shown in Figure 11F.

Thus, this important ingredient, associated with the presence of a temperature gradient (not shown) and a Q2m gradient between the Po Valley and the Ligurian Sea (Figure 11D-F) allows us to conclude that the TR and the FC\_DA\_2.5km\_6h simulate a severe organized and persistent event (consistent with the back-building MCS dynamics) while the FC\_OL simulates a weaker and non-organized event. This analysis, using physical criteria that are relevant for operational activities, shows that the assimilation of Hydroterra-like observations is able to change the model dynamics and thermodynamics so that, starting from a run that simulates a relatively weak, widespread, and non-organized rainfall event, a realistic back-building MCS is produced.

Note that the FC\_DA experiments are not fully operational configurations, as the Hydroterra-like ZTD is assimilated during the event. Future works will be devoted to study the impact of assimilating the Hydroterra-like ZTD product in fully operational configurations, taking into account, for example, the availability of the forecasts and of the Hydroterra products. In this way, a more precise quantification of the lead time of the improved forecast in different meteorological conditions could be performed.

The proven relevance of the Hydroterra-like observations, albeit structurally retrievable only over the land, can be further interpreted in light of the results of Chu and Lin [90], and Chen and Lin [91]. 450 These authors identified four moist flow regimes for a (two-dimensional) conditionally unstable flow 451 over a mesoscale mountain ridge and proposed an unsaturated moist Froude number  $F_w = U/(hN_w)$  as 452 the control parameter for these flow regimes, where U is the wind speed, h the mountain height and  $N_w$ 453 the moist Brunt-Väisälä frequency. In the regime with low  $F_{w}$ , the quasi-continuous and heavy rainfall is 454 produced over the upslope side of the terrain as individual convective cells develop upstream at the head 455 of the density current, thus resembling the typical back-building MCS scenario over the Mediterranean 456 area. Propagating precipitation is caused by convection triggered ahead of the hydraulic jump over 457 the lee slope, in this case coincident with the seaward side of coastal mountain range, and is advected 458 by the basic large-scale flow. Thus, the aforementioned hydraulic jump is controlled by downstream 459 conditions over the land, then supporting of the relevance of continental Hydroterra-like observations. 460 This means that the assimilation of ZTD observations over land modifies the thermodynamical state of the upstream flow, which significantly impacts the surface wind dynamics over the Ligurian Sea, as 462 shown in Figure 7 and discussed previously. To explicitly show the link between the mesoscale dynamics 463 and the convective dynamics in this region characterized by complex terrain, Figure 12 shows the surface 464 wind speed and the isosurfaces of the updraft (green) and downdraft (gold) velocities at 1 m/s in the TR, 465 FC\_OL and FC\_DA\_2.5km\_6h experiments. As visible in the figure, the FC\_OL run is the only one that does not produce ascending motion with a narrow and well organized structure along the surface wind 467

468 convergence line.



**Figure 12.** Rendering of the surface wind speed (colors) and vertical updrafts (green isosurfaces at 1 m/s) and downdrafts (gold isosurfaces at -1 m/s) for TR at 4 UTC (A), FC\_OL (B) and FC\_DA\_2.5km\_6h (C) at 7 UTC on the 15<sup>th</sup> of October 2018.

# **469** 6. Conclusions

The main goal of the present work is to evaluate the possible added value of directly assimilating in a NWP model the high resolution ZTD estimates that will be provided by the SAR sensor flying on board of the Hydroterra geosynchronous satellite, an ESA 10<sup>th</sup> Earth Explorer mission candidate. Firstly, a set of OSSEs is built to identify the spatio-temporal resolution of the new ZTD observations that has the largest positive impact on the forecast of a heavy rainfall event. Secondly, a comparison with the improvements induced by the assimilation of ZTD from the currently available GNSS Italian network is performed for the same case study. All validations are done both in a qualitative way by looking
at appropriate maps, and in a quantitative way using an object-based diagnostic tool applied to the
accumulated rainfall field [86,87, MODE].

The case study is a MCS that occurred over the Liguria region between the 14<sup>th</sup> and the 15<sup>th</sup> of October 2019, characterized by a very low predictability. As in the present case, MCSs are often triggered by the encounter of a cold and dry continental air mass and an unstable, moist and warmer maritime air mass [4], resulting in a persistent and well-defined surface wind convergence line. The reference TR is performed using an initialization and a setup allowing to obtain a good representation of the real extreme event, with very intense accumulated rainfall values over a relatively small area. Conversely, the FC\_OL is not able at all to model this event and its dynamics differ significantly from the TR, with the convergence line completely missing in the FC\_OL.

The OSSEs highlight that, even if the starting point (the FC\_OL) completely lacks some of the 487 fundamental ingredients for a skilful forecast of a back-building MCS, the assimilation of high resolution 488 (at 2.5 km) Hydroterra-like observations is able to deeply improve the forecast. In fact, this is the only 489 observation, among the ones used in this work, that modifies the wind dynamics so that a persistent 490 and well-defined convergence line is modelled. This is particularly relevant because, although the 491 Hydroterra-like ZTD observation is assimilated only over land, it is able to produce more realistic 492 dynamics over the sea, which is crucial for a correct forecast of the MCSs. A better surface wind 493 representation is accompanied by a more localized and more intense accumulated rainfall simulation 494 that resembles the reference run more closely. 495

The comparison with the skills of the simulation assimilating the currently available GNSS receivers' ZTD observations (with a spacing of roughly 30-50 km) shows that it is indeed the fine spatial resolution that adds information to the model so that the surface wind and the accumulated precipitation are simulated more accurately.

It is worth noticing that none of the simulations reach the TR rainfall peak. However, it is well 500 known that this kind of event is characterized by an intrinsic low predictability [3,4,43]. For this reason, 501 in an operational framework, some regions particularly prone to this kind of event developed tools 502 (in the form of a checklist) to account for all the relevant dynamical and thermodynamical processes 503 that could help to forecast this kind of extreme event [89]. From the evaluation of the most important 504 parameters highlighted in the Liguria region checklist, it appears that FC\_OL and FC\_DA\_2.5km\_6h 505 are both indicating the likely occurrence of a severe event (with the FC\_OL having a weaker signal), 506 but only the FC\_DA\_2.5km\_6h is able to suggest the probable occurrence of a severe, organized, and persistent event, as in the TR. In fact, one of the most important dynamical ingredients is the presence of 508 a convergence line over the sea for more than three hours, and only by assimilating the Hydroterra-like 509 observations at 2.5 km is the model able to reproduce it. 510

Summarizing, the Hydroterra-like observations are found to have great potential for use in a 511 meteorological framework. In particular, the assimilation of such high spatio-temporal resolution information of water vapor (in form of ZTD) seems to be able to correct the model dynamics so that the 513 heavy rainfall event is better reproduced. Such an influence in the model simulation can be important 514 not only in the operational framework but also lead to deeper physical insights on the evolution of such 515 events. In this work, the time resolution used for Hydroterra-like observations is 3 and 6 hours because a 516 conservative approach in the the state-of-the-art assimilation procedure was selected. However, having hourly ZTD observations from Hydroterra could pave the way for various new applications such as: 518 the implementation of ensemble NWP nowcasting chains with hourly initialization, the use of different 519 kinds of data assimilation techniques to exploit the ZTD temporal evolution (i.e. 4DVAR), and the 520 development of storm detection and prediction algorithms based on the spatial distribution of the water 521 vapor field [92–94]. Furthermore, in this case, the impact evaluation is performed on an explosive rainfall 522 event, but it is demonstrated that assimilating ZTD at high resolution is useful also to improve forecasts 523 of slowly evolving rainfall cases [22]. 524

Another important future development of this work would be to evaluate the added value of 525 assimilating Hydroterra-like ZTD in other regions covered by the Hydroterra geostationary observations, 526 e.g. Africa. West Africa, including the Sahel, is a good example because MCSs are frequent and can cause 527 significant damage. Due to the lack of observations in that area, the Hydroterra ZTD observations could 528 be very valuable for improving the forecast capabilities, especially when coupled with the Hydroterra soil moisture observations, because soil moisture plays a fundamental role in the dynamics of MCSs in 530 this region [95]. In fact, the MCSs which form over land (e.g. in the Sahel where they are responsible 531 for the majority of annual rainfall [96]) are known to be controlled by the surface properties [97]. The 532 added value of the Hydroterra soil moisture observation in the hydrological framework have been 533 discussed in [31]. Future works are needed to assess the impact of these new observations (ZTD and soil moisture) in a complete hydro-meteorological framework that is very important to forecast high impact 535 weather events over areas with complex terrain, such as the Mediterranean region. Furthermore, also the 536 differences and the interactions of these new data with other traditional sensors (e.g. radar and ground 537 stations) will be investigated in future works. 538

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#### 552 Appendix A. Data assimilation procedures

The standard data assimilation 3DVAR technique implemented in the WRFDA package [83] looks for the minimum of the following cost function [98]

$$J(\mathbf{x}) = \mathbf{J}_b + \mathbf{J}_0 = \frac{1}{2} \left( \mathbf{x} - \mathbf{x}^b \right)^T \mathbf{B}^{-1} \left( \mathbf{x} - \mathbf{x}^b \right) + \frac{1}{2} \left( \mathbf{y} - \mathbf{y}^0 \right)^T \mathbf{R}^{-1} \left( \mathbf{y} - \mathbf{y}^0 \right),$$
(A1)

in which x is the analysis,  $x^b$  is the first guess coming from a NWP model,  $y^0$  is the observation vector 555 to be assimilated and  $\mathbf{y} = \mathcal{H}(\mathbf{x})$  is the model-derived observation vector.  $\mathbf{y}$  is obtained by applying the 556 observation operator  $\mathcal{H}$  on the analysis **x**, namely  $\mathbf{y} = \mathcal{H}(\mathbf{x})$ . The solution of equation (A1) represents an 557 a posteriori minimum variance estimate of the true state of the atmosphere given two sources of data: the 558 numerical first guess  $x^b$  and the available observation  $y^0$ . Their relative importance is weighted by the 559 estimates of their errors contained in the background error covariance matrix, **B**, and the observation 560 error covariance matrix, R. The R matrix is actually the sum of two distinct error covariance matrices: the 561 observation (instrumental) matrix and the representativity error matrix (that contains the approximations introduced by geometrical transformations, interpolations, etc.). This matrix is assumed to be diagonal, as done in most of the models [99], implying that the correlations between different instruments and 564 between different observations made by the same instruments are equal to zero. 565

In this work, the Control Variable option 7 (CV7) of the WRFDA package is used for the **B** matrix calculation with the National Meteorological Center (NMC) method [100]. In previous works, where ZTD from Sentinel and GNSS was assimilated [22,66], the CV5 option was used, instead. The CV5 option exploits the velocity potential and the streamfunction ( $\psi$ ,  $\chi$ ) as momentum control variables. This has been shown to improve the representation of the large-scale features, thanks to the balance between the mass and wind fields, but the small-scale features are missed [101]. Instead, the CV7 option uses

the wind components (U, V) as momentum control variables. In CV7, since no balance constraints are

applied, the use of (U, V) as control variables can provide closer fitting to dense observations in limited

area convective scale data assimilation experiments [101]. The NMC method is applied over the entire

month of October 2018 with a 24-hour lead time for the forecasts starting at 00:00 UTC and a 12-hour lead time for the ones initialised at 12:00 UTC of the same day. The differences between the two forecasts

 $t_{12}$  (t + 24 and t + 12) valid for the same reference time are used to calculate the domains specific error statistics.

<sup>579</sup> Concerning the Large-Scale Constraint (LSC), it is mathematically implemented into WRFDA <sup>580</sup> 3DVAR by adding a new term  $J_c$  to equation (A1), namely, using the incremental formulation,

$$J(x) = \mathbf{J}_b + \mathbf{J}_0 + \mathbf{J}_c = \mathbf{J}_b + \mathbf{J}_0 + \frac{1}{2} \left( \mathbf{d}_c - \mathbf{H} \mathbf{U} \mathbf{v} \right)^T \mathbf{R}_c^{-1} \left( \mathbf{d}_c - \mathbf{H} \mathbf{U} \mathbf{v} \right),$$
(A2)

where  $\mathbf{d}_c = \mathbf{y}_c - \mathcal{H}(\mathbf{x}_b)$  is the innovation vector that measures the departure of the LSC  $\mathbf{y}_c$  from its 583 counterpart computed from the background  $\mathbf{x}_b$ ;  $\mathbf{v} = \mathbf{U}^{-1}(\mathbf{x} - \mathbf{x}_b)$  is the control variable vector, with  $\mathbf{U}$ 582 being the decomposition of the background error covariance **B** via  $\mathbf{B} = \mathbf{U}\mathbf{U}^T$ ; and **H** is the linearization 583 of the nonlinear observation operator  $\mathcal{H}$ . The  $\mathbf{y}_c$  variable includes the meridional and zonal wind 584 components, the temperature, and the water vapour mixing ratio from the large-scale analysis that are 585 being assimilated as bogus observations. The errors for wind, temperature, and water vapour mixing ratio are 2.5 m s<sup>-1</sup>, 2°C, and 3 g kg<sup>-1</sup>, respectively, and are determined by the diagnostics of the GFS 587 product [84,85]. They form the  $\mathbf{R}_c$  matrix, which weights the importance of the LSC term in the cost 588 function minimization. 589

Starting from the results obtained by Tang et al. [85], some experiments are performed as sensitivity, 590 to understand the effect of the LSC scheme to different scales of the analysis fields and the precipitation 591 forecast (not shown). In [85] the sensitivity on the assimilation scheme is performed using LSC every 1, 592 5, 10 grid points of the outer WRF domain (d01) at 15 km resolution and starting from different vertical 603 levels. By skipping the first few levels in the LSC scheme, they allow the lower atmosphere to develop 594 the small-scale dynamics that can be important for the convection development, due to, for example, the 595 horizontal gradients of the surface fluxes and the interactions with the orography. Their best results are 596 achieved sampling every 5 grid points (at, thus, 75 km grid spacing) and starting from the fourth vertical 597 level. However, in all the experiments performed, the forecast is found to improve with respect to the open loop reference run. 599

In this work, the WRF d01 domain at 22.5 km resolution is used for LSC sensitivity retaining a value every 1, 2, and 3 grid points. Further experiments are performed by skipping the first few vertical model levels, to minimise the possible impact of the large scale constraint on the small-scale features and result in a more effective assimilation of surface observations. In this particular case, reproducing the same sensitivity of [85], no significant differences are highlighted skipping the lower three vertical levels (not shown). The final setup chosen for this work is the LSC sampling every 2 grid points of d01 without skipping any vertical level.

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