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Dynamics and evolution of efflux pump-mediated antibiotic resistance

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BOSTON UNIVERSITY COLLEGE OF ENGINEERING

Dissertation

DYNAMICS AND EVOLUTION OF EFFLUX PUMP-MEDIATED ANTIBIOTIC RESISTANCE

by

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B.S., Clarkson University, 2015 M.S., Boston University, 2019

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Doctor of Philosophy

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DYNAMICS AND EVOLUTION OF

EFFLUX PUMP-MEDIATED ANTIBIOTIC RESISTANCE

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Boston University College of Engineering, 2021

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ABSTRACT

Antibiotic resistance is a worldwide health threat, as bacteria continue to evade antibiotic treatment. In order to survive, bacteria utilize a number of resistance mechanisms, including efflux pumps, which efficiently export antibiotics outside of the cell to reduce intracellular damage. While such mechanisms are well known, there remains a significant gap in knowledge regarding how different environmental dynamics, such as the rate of antibiotic introduction or the diversity within a microbial community, play a role in resistance. In this work, we used the AcrAB-TolC efflux pump as a case study to explore how such complex dynamics promote antibiotic resistance and its evolution. First, through a combined effort using experiments and mathematical modeling, we discovered that the rate of antibiotic introduction impacts the fraction of resistant bacteria in a population. We then explored the impact of mixed populations on survival following antibiotic treatment. In mixed microcolonies, we found that resistant cells can harm their susceptible neighbors by exporting antibiotics to increase the local concentrations of these drugs. Next, we aimed to understand how these environmental effects may impact longer-term survival of an antibiotic treatment, focusing on the evolution of resistance over ~72 hours. Through a series of adaptive evolution experiments, we identified that near-MIC treatments were the

most likely to promote antibiotic resistance, regardless of whether the strains contained the AcrAB-TolC pump at wild type or overexpressed levels, or whether the strains lacked the pump altogether. In studying antibiotic introduction rates on evolution, we found that slower introduction rates facilitated the evolution of high levels of resistance with a minimal fitness cost. Meanwhile, mixed populations demonstrated limited evolvability after rapid antibiotic introductions. This work provides important insights into the impacts of environmental factors, such as the rate of antibiotic introduction and the homogeneity of populations, on the promotion and evolution of antibiotic resistance. These lessons may help inform future policies on antibiotic use and mitigate the continued pattern of resistance evolution.

PREFACE

After a two-week vacation in 1928, Alexander Fleming returned to his lab at St. Mary's Hospital in London to continue his research on the influenza virus from the 1918 pandemic. There Fleming found an old plate of *Staphylococcus* had been contaminated with mold. Under closer inspection, he observed that the growth of the contaminated mold had produced a zone of inhibition, in which the bacterial colonies were smaller and were lysing. The mold was penicillin-producing *Penicillum rubens*. By 1941, Howard Florey and Ernst Boris Chain from the Radcliffe Infirmary had scaled-up the research of penicillin for mass production.

Yet, in 1940, Ernst Boris Chain and Edward Abraham already reported an *Escherichia coli* stain that inactivated penicillin. After the clinical trials in 1942, four clinical strains of *Staphylococcus aureus* demonstrated resistance to penicillin. By 1970, over 80% of all *S. aureus* strains collected from communities and hospitals were penicillin-resistant. As of 2019, nearly 3 million cases of antibiotic-resistant infections are reported every year in the United States alone.

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1. INTRODUCTION

1.1. Antibiotic Resistance

Antibiotic resistance remains a global health crisis as the number of resistant infections continues to rise (1–3). Each year in the United States, there are over 2.8 million cases of antibiotic-resistant infections resulting in over 35,000 deaths (4). While antibiotics are still essential for both medicine and science, over-prescription has led to high levels of resistant infections in the clinic (2, 5). While this over-prescription was an early contributor to the spread of resistance, the anthropological use of antibiotics for sterilization has only aggravated the problem (5–7). Such applications include agriculture, veterinary care, industrial and household cleaning processes, and wastewater treatment (5, 8). Together these applications have resulted in the rapid spread of antibiotic resistant microbes not only through person-to-person transmission, but also in our soil, water, and food (2, 5, 9–11). The ability for microbes to so quickly acquire resistance requires a deeper dive into how antibiotic resistance mechanisms allow microbes to survive antibiotic treatment (2).

1.1.1. Mechanisms of Antibiotic Resistance

The first step in understanding why antibiotics fail is understanding how they fail (12). Mechanisms by which microbes become resistant include altering drug targets, producing enzymes for antibiotic degradation or modification, tuning gene expression, reducing cell membrane permeability, and increasing active efflux (5, 13, 14) (Table 1-1). For example, in order to protect itself against an antibiotic treatment with a β -lactam, a bacterium can actively alter gene expression to increase the target to antibiotic ratio (15). However, it is also important to note that microbes do not need to rely solely on one

resistance mechanism to survive and that microbes simultaneously use multiple strategies to increase their chance of survival (1). For example, in the presence of a β -lactam, a cell may achieve resistance by reducing membrane permeability, increasing efflux, and modifying the target protein, in addition to degrading the antibiotic with β -lactamases (13). Most of the time, bacteria using multiple mechanisms are resistant to a larger range antibiotics; however, in some cases, mutants which evolved resistance mechanisms to combat one antibiotic simultaneously become more sensitive to other antibiotics (16).

Mechanism of Resistance	Example Antibiotics	Antibiotic Targets
Antibiotic efflux	Amphenicol (e.g. chloramphenicol) Tetracyclines (e.g. deoxycycline) Bacitracin (e.g. bacitracin) Nitrofurans (e.g. nitrofurantoin)	50S Ribosome 30S Ribosome Cell wall DNA
Reduced permeability	Rifamycins (e.g. rifampicin) Glycopeptides (e.g. vancomycin) Macrolides (e.g. azithromycin) Aminoglycosides (e.g. gentamicin)	mRNA Transcription Cell wall 50S Ribosome 30S Ribosome
Expression changes	β-lactams (e.g. ampicillin) Sulfonamides (e.g. sulfamethoxazole) Fusidanes (e.g. fusidic acid) Isoniazid (e.g. isoniazid)	Cell wall Nucleic Acid synthesis 50S Ribosome Cell wall
Antibiotic modification or degradation	Quinolones (e.g. ciprofloxacin) Nitroimidazoles (e.g. metronidazole) Fosfomycin (e.g. fosfomycin) Lipopeptide (e.g. daptomycin)	DNA gyrase DNA Cell wall Cell membrane
Target modification or protection	Polymyxin (e.g. colistin) Mupirocin (e.g. mupirocin) Oxazolidinone (e.g. linezolid) Trimethoprim (e.g. trimethoprim)	Cell membrane RNA synthetase 50S Ribosome Nucleic Acid synthesis

Table 1-1. Mechanisms of Antibiotic Resistance.

The key mechanisms of antibiotic resistance are shown here, along with examples of antibiotic classes, antibiotics, and the targets of the associated antibiotics (5, 13, 14).

Different mechanisms of antibiotic resistance can be achieved by both permanent and transient changes to the cells' physiology (17, 18). One method by which cells can permanently acquire antibiotic resistance mechanisms is through the uptake of antibiotic resistance genes via horizontal gene transfer (HGT) (19–21). This transfer is facilitated through conjugation of plasmids, transduction by bacteriophages, or natural transformation of extracellular DNA (22, 23). HGT allows for microbes to be shared through a larger and more diverse genetic pool and can provide resistance faster than spontaneous mutations (21, 22). Yet, the prevalence of HGT in clinics remains unknown as HGT likely fluctuate depending on environmental conditions (22, 24).

Resistant genotypes are also often inherited through vertical gene transfer, in which a fixed genetic mutation is passed down to an offspring (14). One type of mutation that contribute to increased resistance are single point mutations; these include missense point mutations, which may alter protein function by using an alternative amino acid, and as well as insertions, deletions, and nonsense mutations, which may disrupt protein function more severely through frameshifts (13). Such point mutations often affect gene expression, protein binding, or protein inactivation (20). Additionally, mobile genetic elements, such as insertion sequences or transposons from the host's own chromosome, rapidly integrate into target genes (5, 23, 25) to disrupt local stress response regulators (26). These mobile genetic elements can also cause larger chromosomal rearrangements (27) and can provide an intermediate pathway to gene duplication (28, 29), which can lead to increased copy numbers of antibiotic resistance genes (23, 30, 31).

Nevertheless, bacteria do not survive antibiotic treatment exclusively through

permanent changes, but can also survive through transient measures; therefore, it is important to make the distinction between permanent antibiotic resistance and transient antibiotic tolerance. Bacteria exhibiting the aforementioned permanent genetic changes, such as a gene encoding for a resistance factor from Table 1-1, are considered resistant (32). Populations of resistant bacteria are capable of growing and surviving at concentrations of antibiotics that susceptible cells cannot (32). Thus, since a higher antibiotic concentration is required to kill the resistant population, the minimum inhibitory concentration (MIC) for resistant cells is higher than the MIC for susceptible cells (32–34). Yet, there are cases where bacterial populations do not encode for a resistance factor, similarly to susceptible cells, but are able to survive much higher antibiotic concentrations, similarly to resistant cells (32, 35). Such bacteria are defined as tolerant and enable a subpopulation to survive at higher antibiotic concentrations through dormancy or persistence (32). For example, one subset of tolerant cells — persister cells — present a resistant phenotype to survive conditions with a susceptible genotype that should otherwise lead to cell death (32). This tolerance can emerge either spontaneously or as a result of a trigger, such as starvation, cell density, or chemical stressors (32, 36). For example, diversity in a general stress response activator, MarA in E. coli, improves single-cell survival against carbenicillin; yet, the single cells that survive do not retain resistance (37). Thus, bacteria can leverage transient changes to their physiology to improve a bacterial population's survival (5, 14). Today, it is well understood that bacteria have the innate and robust ability to both evolve permanent and employ transient antibiotic resistance mechanisms.

1.1.2. Early Efforts to Combat Rising Levels of Antibiotic Resistance

Many of these mechanisms were first identified in early antibiotic resistance surveillance studies (38). In 1994, the World Health Organization revealed a surveillance study which found antibiotic resistance to be wide-spread across 22 countries (39). Since then, surveillance efforts have aimed to study the spread of antibiotic resistance (40–43). Such surveillance efforts identified the over-prescription and over-medication of antibiotics (8, 44–46), as well as the downstream environmental impact of antibiotics use for non-medical applications (47–49). These studies have played a key role in identifying how antibiotic applications increased levels of antibiotic resistant microbes in our soil, food, and waterways (2, 5, 9–11).

Though these studies have helped policy makers and physicians reduce superfluous antibiotic use, the resistance in microbes continues to outpace the discovery of novel treatments (6, 7, 12). In an effort to combat the lack of usable antibiotics, policy makers created the 10 x '20 initiative in 2010 with the goal to discover at least 10 novel antibiotics by 2020 (50). During this past decade, numerous novel antibiotics have been identified and subsequently approved by the U.S. Food and Drug Administration (FDA); however, clinical and laboratory studies have already reported resistant microbes for a significant portion of these novel antibiotics (51–56). Additional efforts have also begun to explore antimicrobial peptides or antibiotics linked to antimicrobial peptides in the hope that these more complex chemicals will curtail the ability of bacteria to resist treatment (57). However, resistance to antimicrobial peptides has also already been reported (12, 58). Combinatorial treatments with multiple drugs have also been explored for their potential

to preserve legacy antibiotics (59, 60). That said, combinatorial therapeutics need to be carefully assessed to ensure that the effect of the drug combination is indeed additive, and not antagonistic (61–63). Misused combinatorial treatments could be another threat to promoting antibiotic resistance, especially Liu *et al.* found that bacteria could still evolve resistance to such treatments within days (64).

1.1.3. The Continued Threat of Antibiotic Resistance

One novel antibiotic produced by the 10 x '20 initiative is eravacycline (previously known as TP-434), which belongs to the tetracycline antibiotic class (65). While it was found to have a broad range of antimicrobial activity, treatment failure of Enterococcus faecalis in clinics has already been noted; these microbes evolved resistance by mutating the target and upregulating antibiotic efflux (51). In a similar fashion, the discovery of omadacylcine also of the tetracycline family, touted the potential against multidrug resistant bacteria, such as MRSA (66, 67); unfortunately, due to gene expression regulation, resistance of S. aureus isolates from Chinese clinics were found only two years after its clinical trials (52). Such a pattern has been omnipresent, as even the novel antibiotic, daptomycin (68), from 2004 had resistance reported within a few years (69). Today, every class of antibiotics can be negated by at least one resistance mechanism (13); Pseudomonas aeruginosa alone has developed a resistance mechanism against every class of its intended antimicrobials (1). As such, we stand in a more ominous position as the discovery of resistance to novel antibiotics outpaces the discovery of novel antibiotics (7). One hope is for us to understand how and why resistance is emerging before these novel antibiotics are used in clinics.

Since the 1990s, antibiotic resistance studies in the clinic have focused on uncovering what and how resistance mechanisms evolve (19, 70, 71). Such studies have also led to observations of cross-resistant phenotypes in clinical isolates from treatment with a single antibiotic (72). Additionally, these studies have found resistance after sub-inhibitory antibiotic exposure (73). Further, they have helped identify certain combinations of antibiotics, microbes, and treatments that promote the emergence of multidrug antibiotic resistance (74). On the other hand, these studies are often dealing with lethal consequences of already evolved antibiotic resistance in real time and not in a predictive fashion (75). In addition to this, studying the evolution of antibiotic resistance in the clinics remains restrictive and time-consuming; as a consequence, there have been an uptick in laboratory evolution studies of antibiotic resistance (19).

1.1.4. Driving Factors of Antibiotic Resistance and its Evolution

Prior to the last decade, many of the laboratory studies focused on understanding why antibiotic resistance lingered and how the quantity of resistant cells in bacterial populations could be reduced (76, 77). Unfortunately, simply reducing the presence of resistant population members is not a robust solution to combat pathogenic bacteria; this solution is only viable if resistance phenotypes are costly to the microbe, which is not always the case (78, 79). While strategies for reducing resistance in a population aligns with policies to avoid antibiotic use (77), there remain many cases where antibiotic treatment is appropriate and essential (80). As such, researchers have turned their efforts to exploring other contributing factors to antibiotic resistance that were not previously studied: microbial population variations, such as variations in diversity and density, and

fluctuations in inhibitory antibiotic concentration (78, 81–83). The goal of these studies was to identify which combinations of environmental factors and antibiotic treatments could eliminate resistant cells in a population (78). This ability to reverse antibiotic resistance during treatment requires extensive forethought and planning to properly eradicate resistant sub-populations (84, 85). As a result, new efforts have begun to investigate the stability of resistance in bacterial populations (78).

Resistant and susceptible cells can stably co-exist in a population at sub-inhibitory antibiotics concentrations; this has been observed in waterways and in soil (86). The effect of low antibiotic concentrations on the emergence of antibiotic resistance has only recently become studied more in depth. While bacterial evolution at sub-inhibitory concentrations are less often studied, Wistrand-Yuen *et al.* found that bacteria grown in sub-inhibitory concentrations were still able to achieve high-levels of resistance through unique evolutionary pathways (87). The divergence of evolutionary trajectories at different concentrations suggests that elements of bacteria's evolutionary landscapes remain unexplored. In a recent publication, Russ *et al.* found that the emergence of escape mutations was more likely under certain antibiotic concentrations (88). Additional studies have also reported problematic levels of antibiotic resistance after sub-inhibitory antibiotic exposures (89–91). Thus, antibiotic concentrations may influence the emergence of antibiotic resistance.

The activity of bacterial communities, such as in cells in a biofilm, also influences the presence of resistant phenotypes (92–96). Further, bacterial population dynamics, including diversity, density, and spatial organization, impact antibiotic resistance

independent of biofilms (97). For example, one study identified how population diversity hindered the effectiveness of antibiotic cycling — a treatment strategy where an infection is targeted with one antibiotic then another in a cyclic manner (98). Even changes in experimental parameters alone can cause large fluctuations in population diversity (99). These studies indicate that the impact of population dynamics on antibiotic resistance could more complicated than previously thought (64, 92).

Evolution studies have also been key in unveiling important factors in the emergence of antibiotic resistance (19). The Lenski experiments uncovered the power of experimental evolution to study the long-term effect on bacterial populations in a predictive fashion (100, 101). Previously, evolution using serial dilutions was the traditional set up; today, more researchers have begun using bioreactors to study long-term dynamics, either with turbidostats or chemostats (97, 102–104). Toprak et al. developed a turbidostatderivative — the morbidostat — to direct bacteria to evolve by only providing nutrients along with higher antibiotic concentrations (102, 103, 105). This method pushes the evolved MIC to astronomically high levels. Likewise, the MEGAplate experiment was key at understanding how and what genotypes can lead to this high level survival (106). While the morbidostat experiments revealed the extent of the evolutionary pathway of the bacteria, it is unlikely that such a treatment would be possible for patients due to antibiotic toxicity (107). On the other hand, scientists have often explored the evolution of antibiotic resistance at antibiotic concentrations that fall between inhibitory for bacteria and nontoxic for patients (13, 64, 108). Such evolution studies have elucidated how bacteria might respond to stressful static environments, changing environments (e.g. drug switching or

rising antibiotic concentration), and realistically complex environments (e.g. mouse models) (97).

Predictive modeling has also improved the understanding of antibiotic resistance, beyond current laboratory studies (109, 110). One model by Marrec and Bitbol identified how the timing of environmental switching could have dramatic consequences on the emergence of antibiotic resistance (111). Another model by Chevereau *et al.* identified how heterogeneity in resistance could accelerate or delay the evolution of antibiotic resistance (112). Yet, novel factors in the evolution of resistance still need to be better understood to produce improved models (110). Luckily, these factors are now being elucidated in laboratory and clinical studies (113). One such study found that different bacterial populations evolved convergent, resistant phenotypes (114). In the future, predictive modeling can give us a glimpse into the consequences of different environments on the evolution of antibiotic resistance (110).

1.1.5. Gap in Knowledge of Complex Environments

Despite the attributes that these predictive models provide, there still remains a lack of understanding of antibiotic resistance in complex and dynamic environments (111, 115–117). Such conditions need to be explored experimentally before including them in models (110). Furthermore, despite the incredible attributions from these cumulative works, the overarching conclusions are difficult to interpret due to variations in experimental parameters, such as conditions, species, antibiotics, and temporal changes to antibiotic concentrations (118, 119). Another example of this comes from a recent study by Hallinen *et al.*, who demonstrated how a combination of factors could lead to both population

survival or extinction after identical treatments (120). The emergence of antibiotic resistance is dependent not only on genotypes and phenotypes, but also on the type of antibiotics, antibiotic concentrations, and population composition; thus, there remains a need to more systematically explore how these complex environmental dynamics — including fluctuations in antibiotic doses and diversity within microbial populations — may promote antibiotic resistant populations and their emergence (118, 121).

1.2. Efflux Pumps and Antibiotic Resistance

One mechanism capable of providing high levels of resistance to many different drugs are efflux pumps (122–125). Efflux pumps are an energy-dependent mechanism for the active export of a substrate from a cell (126). This mechanism is ubiquitous across both prokaryotic and eukaryotic cells (127); for example, even cancer cells to express efflux pumps that actively export anti-cancer drugs (128). This ability for cells to maintain low intracellular concentrations of toxic substrates improves survival rates (44, 125). As such, many different classes of efflux pumps have evolved to export out heavy metals, bile salts, antimicrobial lipids, and antibiotics (129–132).

1.2.1. AcrAB-TolC Efflux Pumps

The AcrAB-TolC efflux pump was first identified in *E. coli* as providing resistance to acriflavin through a mutation in *acrB* in 1978 (133). It is now known to export a plethora of substrates from *E. coli* cells, from antibiotics to biofuel precursors (Table 1-2) (134). AcrAB-TolC efflux pumps are found in gram-negative bacteria, including *Salmonella enterica* and *Yersinia pestis* (135, 136). Many homologs of AcrAB-TolC can also be found widely throughout other gram-negative bacteria, including MexAB-OprM from

Substrate Type	Substrate	Fold change in MIC ^a	References
Antibiotics	Ampicillin	2-4	(134, 219, 258)
	Chloramphenicol	2-8	(134, 189, 219)
	Ciprofloxacin	4	(134, 258, 352)
	Cloxacillin	256	(145, 353)
	Erythromycin	16-64	(134, 145, 258, 353)
	Enoxacin	4	(145)
	Florfenicol	8	(134)
	Fusidic Acid	128	(134, 353)
	Minocycline	4-8	(145, 352)
	Nalidixic Acid	2-8	(134, 219)
	Norfloxacin	1-4	(134, 145, 353)
	Novobiocin	32-256	(134, 145, 258, 353)
	Puromycin	32-64	(134, 219)
	Rifampicin	1-2.5	(134, 145, 219)
	Tetracycline	2-10	(134, 219, 258, 353)
Antiseptics	Acriflavine	32-64	(134, 145)
	Proflavin	8	(134)
Dyes	Carbonyl Cyanide Chlorophenylhydrazone (CCCP)	2	(134)
	Crystal Violet	8-32	(134, 145, 353)
	Ethidium Bromide	128-256	(134, 145)
	Plumagin	4	(134)
	Rhodamine 6G	256-512	(134, 145)
Detergents	Benzalkonium Chloride	32-64	(134, 145)
	Dequalinium	128	(134, 145)
	Sodium Dodecyl Sulfate (SDS)	128	(134, 353)
Terpenes	α-Pinene	4	(189)
Salts	Tetraphenylphosphonium	256	(134)
	Tetraphenylarsonium	512	(134)
Acids	Deoxycholate	2	(134)
Antifungals	Clotrimazole	2	(134)
Anti-cancer drugs	Methotrexate	8	(134)

Table 1-2. Substrates of AcrAB-TolC Efflux Pumps in E. coli.

^a The fold change in the MIC of each substrate for strains with and without the AcrAB-TolC efflux pump.

Pseudomonas aeruginosa and MacAB-TolC from Actinobacillus (14, 125).

The AcrAB-TolC complex is comprised of three different proteins: TolC, the outer-membrane channel protein (137), AcrA, the periplasmic linker protein (138), and AcrB, the inner membrane protein (139). AcrB is the functional unit of the efflux pump, which uses the proton motive force to actively expel substrates from the cell (Figure 1-1) (139–141). In order to assemble the AcrAB-TolC efflux pump, an AcrAB subcomplex first forms containing a 6:3 ratio AcrA to AcrB proteins (142). It is hypothesized that the AcrAB subcomplex then walks along the inner membrane until it encounters a TolC trimer and then forms AcrAB-TolC (142, 143). In this configuration, AcrB can recruit and expel substrates in a single direction (144) through conformational changes (140–142). Additionally, AcrB has multiple channels through which it recruits substrates (145, 146).

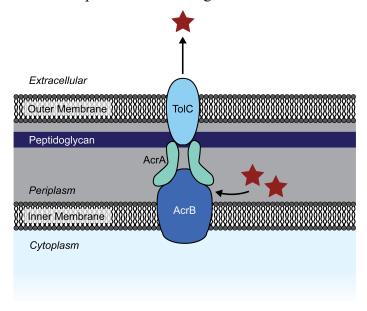


Figure 1-1. Schematic of an AcrAB-TolC efflux pump.

The AcrAB-TolC efflux pump consist of the functional pump unit, AcrB, the periplasmic linker protein, AcrA, and the outer membrane channel, TolC. Substrates can be exported through AcrAB-TolC by either entering AcrB channels located in the cytoplasm or the (141, 143).

The different channels could explain how AcrAB-TolC can export such a broad spectrum of substrates (145, 147); for example, ethidium bromide prefers one channel, while erythromycin prefers another channel (142).

The outer membrane channel, TolC, is also important as a porin (148), a cell-surface receptor (149), and a component of numerous other efflux pumps in *E. coli*, including AcrEF-TolC, MdtEF-TolC, EmrAB-TolC, EmrKY-TolC, MdtABC-TolC, and MacrAB-TolC (150–155). However, TolC primarily provides resistance through the AcrAB-TolC complex as only these TolC-related genes have been found to be upregulated in clinical isolates (156). Additionally, due to its role in numerous and critical cellular functions, including cell division, metabolite regulation, and growth, TolC exists in excess relative to AcrA and AcrB (157, 158). Gene expression of *tolC* also follows the same upregulation and downregulation under different stressors (159, 160) as it has a upstream DNA binding regions to the *acrAB* operon (161). Thus, *acrAB* expression governs the quantity of AcrAB-TolC efflux pumps and the levels of antibiotic resistance.

1.2.2. Regulation of AcrAB-TolC Efflux Pumps

Both *tolC* and the *acrAB* operon are upregulated via a 'marbox' or a binding site for transcriptional stress response activators, MarA, SoxS, and Rob (161, 162). The marbox is located upstream of *acrA*'s promoter in the coding region of *acrR* (163). The different stress response activators SoxS, Rob, and MarA are upregulated by different stress response signals and turn on a suite of over 60 downstream genes, including efflux pumps, porins, and enzymes (164–166). SoxS is upregulated in the presence of oxidative stress by the active form of its local regulator SoxR (167). Rob is post-translationally activated in

the presence of osmotic stress, such as bile salts, fatty acids, and antimicrobial peptides (168, 169). MarA is regulated by the positive-negative feedback loop of the *marRAB* operon (165, 170, 171). The activator, MarA, is upregulated when its repressor, MarR, binds to the stressor, such as salicylate, and becomes inactive (172, 173). The marbox allows robust upregulation of *acrAB* under the presence of a wide variety of stressors (165) (Figure 1-2).

Meanwhile, the acrAB operon is primarily downregulated by the operon's local repressor, AcrR (163, 174). AcrR binds upstream of acrA on the coding region of acrR (174, 175) (Figure 1-2). Deletion of acrR leads to a 1.5- to 6-fold increase in acrAB gene expression compared to wild type (157, 159, 175). Studies have found that acrR knockout strains display increased swimming motility, biofilm formation, and virulence (175–177). The AcrR homodimer can also be post-translationally regulated by certain substrates of the AcrAB-TolC efflux pump, such as ethidium bromide, proflavine, and rhodamine 6G (178, 179). The acrAB operon can also be repressed by overexpression of AcrS (formerly EnvR), which is the local repressor for another multidrug efflux pump, AcrEF-TolC (180). Additionally, it is hypothesized that both MprA (formerly EmrR), which is the transcriptional regulator of the emrAB operon for EmrAB efflux pump (181), and phosphorylated PhoP, which is a transcriptional regulator responsible for the stress response of magnesium starvation (182), can repress acrAB expression due to consensus in their binding sites with the promoter region of acrA (182, 183). The quantity of AcrAB-TolC efflux pumps is carefully tuned by its local and global regulators under a variety of stresses and different conditions.

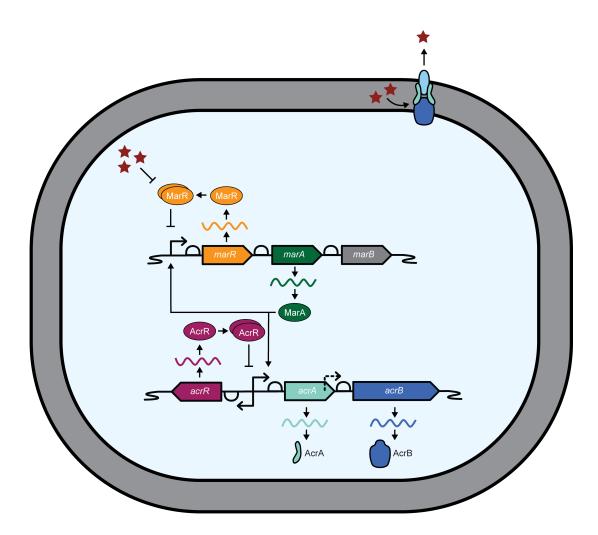


Figure 1-2. Native regulation of the acrAB operon.

The global stress response *mar* operon and the local repressor *acrR* are the main pathways to upregulate *acrAB* in response to aromatic stress compounds, such as chloramphenicol.

1.2.3. Importance of AcrAB-TolC Efflux Pumps in Complex Environments

Increased levels of multidrug resistance are often attributed to the AcrAB-TolC efflux pump system (156, 184–186). While this correlation between efflux pumps and resistance is well understood, the role of efflux pumps in more realistic and dynamic conditions remains relatively unknown due to these experimental parameters being less often studied (187). For example, the concentration of an antibiotic at the site of a bacterial

infection will slowly increase over time opposed to a sudden treatment (188); yet, most in vivo studies hold the antibiotic concentration constant (189). Additionally, in industrial biosynthesis, cells may be engineered to produce a toxic compound, causing the intracellular toxicity to slowly rise (190). Recent findings have also identified how the expression of efflux pumps, such as AcrAB-TolC, can affect mutation rates (191, 192). Here, we use the AcrAB-TolC efflux pumps to explore how antibiotic resistance genes impact survival and evolution in different environmental conditions.

1.3. Summary

The objective of this work was to gain insight into how complex dynamics, such as fluctuations in antibiotic concentration and differences in population diversity, affect antibiotic resistance and its evolution using the AcrAB-TolC efflux pumps as a case study. In **Chapter 2**, we explored how different rates of antibiotic introduction impact population diversity and increase resistant phenotypes. We found that faster rates of antibiotic introduction could reduce the benefit of having an antibiotic resistance gene and, thus, improve treatments. In **Chapter 3**, we studied heterogenous microcolonies treated with antibiotics. We observed that, within these microcolonies, cells containing efflux pumps could have a detrimental effect on their neighboring cells. In doing so, we identified how both antibiotic introduction rates and mixed populations impact the short-term emergence of antibiotic resistance.

To further understand how these two factors could impact long-term antibiotic resistance and its evolution, we turned to adaptive evolution experiments. In **Chapter 4**, we investigated how antibiotic concentrations and genotypic backgrounds may promote

survival of antibiotic treatments. High levels of resistance were more likely to evolve under near-MIC treatments, regardless of the starting genotype. Yet, depending on the presence of efflux pumps, different genetic backgrounds followed distinct genetic pathways to reach this phenotypic convergence. Next, in **Chapter 5**, we explored how complex dynamics — variations in antibiotic introduction rate and population diversity — could further promote the emergence of antibiotic resistance. We identified that slow introduction of antibiotic resistance could provide high levels of antibiotic resistance, while reducing fitness burdens. Meanwhile, we found that co-cultured populations were significantly less likely to evolve antibiotic resistance under fast changing stress introductions opposed to slow changing stress introduction rates.

This work provides insight into the complex and confounding factors that contribute to the evolution of antibiotic resistance, and what lessons may help us mitigate this continued pattern of evolution of antibiotic resistance.

2. STRESS INTRODUCTION RATE ALTERS BENEFIT OF

AcrAB-TolC EFFLUX PUMPS

2.1. Disclosure & Copyright Statement

This chapter is a modified version of "Stress Introduction Rate Alters Benefit of AcrAB-TolC Efflux Pumps" by <u>Ariel M. Langevin</u> and Mary J. Dunlop, 2017. Journal of Bacteriology, 200 (1) e00525-17. ©2017 by American Society for Microbiology. The publisher allows authors to retain the right to reuse full article in dissertations.

2.2. Abstract

Stress tolerance studies are typically conducted in an all-or-none fashion. However, in realistic settings—such as in clinical or metabolic engineering applications—cells may encounter stresses at different rates. As such, how cells tolerate stress may depend on its rate of appearance. To address this, we study how the rate of introduction affects bacterial stress tolerance by focusing on a key mechanism for stress response. Efflux pumps, such as AcrAB-TolC from *E. coli*, are membrane transporters well known for their ability to export a wide variety of substrates, including antibiotics, signaling molecules, and biofuels. Although efflux pumps improve stress tolerance, pump overexpression can result in a substantial cost to the cells by altering membrane fluidity and slowing growth. We hypothesized that the ideal pump expression level would involve a rate-dependent trade-off between the benefit of pumps and the cost of their expression. To test this, we evaluated the benefit of the AcrAB-TolC pump under different rates of stress introduction, including a step, fast ramp, and slow ramp. Using two chemically diverse stresses, the antibiotic chloramphenicol and the bio-jet fuel precursor pinene, we assessed the benefit provided by

the pumps. A mathematical model describing these effects predicted the benefit as a function of the rate of stress introduction. Our findings demonstrate that as the rate of introduction is lowered, stress response mechanisms provide a disproportionate benefit to pump-containing strains, allowing cells to survive beyond the original inhibitory concentrations.

2.3. Introduction

In realistic conditions, the environments bacteria are exposed to are seldom as constant as those in the laboratory. For example, in clinical applications, antibiotic concentrations at the site of the infection will depend on in vivo drug absorption and elimination (193). In metabolic engineering, the synthesis of a product such as a biofuel can depend heavily on the cell cycle or stage of the production process, and thus changes dramatically with time (194). Studying how bacteria respond to dynamic, stressful environments is key to both understanding drug resistance, as well as harnessing their power for metabolic engineering applications. Although recent literature has begun to explore the effect of fluctuating environments on bacterial fitness, the focus has primarily remained on step changes, such as switching suddenly from a non-stressful to a stressful environment (195–199). Other studies have focused on the long-term effects of changing environments, including the impact of spatial gradients on mutations and the response of a general stress response pathway to environmental change (106, 200, 201). In contrast, here we study how varying the rate at which stress is applied over short, key periods of time affects fitness.

To survive in stressful environments, cells utilize numerous stress response

mechanisms. Examples include efflux pumps, inactivating enzymes, and outer membrane protein channels (79, 202, 203). However, despite the substantial benefit these mechanisms can provide, they can also be costly and thus place an extraneous burden on the cell (109, 204). As such, expression of stress response genes may introduce negative fitness effects. Understanding how cells balance these cost-benefit trade-offs will provide insight into how cells respond and cope with stressful environments.

As a case study, we focused on a well-known multidrug resistance pump, AcrAB-TolC from *E. coli*. Multidrug resistance pumps have been studied extensively for their ability to export a wide variety of compounds, including antibiotics, biofuel intermediates, signaling molecules, dyes, and detergents (140, 205). The pumps maintain low intracellular concentrations of stressors through active efflux via the proton motive force (206–208). These membrane transporters are found across prokaryotic and eukaryotic species (209). In eukaryotic cells, efflux pumps present a significant hurdle as they provide resistance to anticancer drugs (210). In prokaryotic cells, efflux pumps increase the antibiotic dose required for treatment of infections and also play a role in quorum sensing and biofilm formation (140, 211). Along with their clinical relevance, efflux pumps offer potential as a metabolic engineering tool. For instance, efflux pumps are able to improve fitness and solvent tolerance of cells with engineered biofuel production pathways (212–215). Thus, efflux pumps are a significant stress tolerance mechanism that operate on a diverse range of substrates.

In this work, we investigated how the trade-off between the benefit of the pumps and the cost of pump expression depends on the rate of stress introduction. By analogy, consider a bilge pump on a boat. If water leaks slowly into the boat, the pump can keep up and the boat will stay afloat. However, if the same volume of water appears rapidly, the boat may sink. We asked whether stress tolerance has a similar rate-dependent effect. To study this, we evaluated the benefit of the AcrAB-TolC pump under time-varying stress environments. We assess the performance of cells with and without pumps when the stressors were presented in different forms—as a step, a fast ramp, or a slow ramp. Our overall goal was to quantitatively determine the trade-off between stress tolerance and growth advantage for cells with pumps. To achieve this, we co-cultured cells with and without AcrAB-TolC efflux pumps. The relative fraction of cells with and without the pumps changed with time and depended on the rate of stress introduction. We validated our results using two structurally distinct pump substrates, the antibiotic chloramphenicol and the bio-jet fuel precursor pinene. We developed and experimentally validated a mathematical model that captures the system behavior. Using this model to evaluate the cost-benefit landscape of pump expression, we found that slower rates of stress introduction exaggerate the benefit of the pumps. This work demonstrates that the rate at which stress is applied can have a dramatic impact on bacterial fitness.

2.4. Results

We began by quantifying the benefit and cost of expressing efflux pumps in an environment with a constant, unchanging level of stress. We initially used chloramphenicol as a stressor because it is often considered for treatment of bacterial infections (216, 217). It is a bacteriostatic agent that works by inhibiting protein synthesis (218). Chloramphenicol is a known substrate of the AcrAB-TolC pumps; the pump conveys a

five-fold increase in the minimum inhibitory concentration (219). To measure the benefit of pumps, we initially grew cells with and without acrB in different levels of constant chloramphenicol. Since the AcrB protein is the active pumping unit and produces efflux driven by the proton motive force, deleting acrB renders the entire AcrAB-TolC efflux pump non-functional (206). We conducted experiments in wild type E. coli and in the same strain with an acrB deletion, and confirmed that the efflux pump provides protection against chloramphenicol (Figure 2-1A). We were able to recover chloramphenicol tolerance by complementing $\Delta acrB$ cells with a plasmid containing an IPTG-inducible version of the acrAB operon, acrAB-sfgfp. Even without induction, the basal expression was sufficient to restore wild type levels of chloramphenicol tolerance. Therefore, the AcrAB-TolC efflux pumps provide a benefit under constant chloramphenicol conditions.

Next, we asked whether there was a cost associated with expressing these pumps. Although it is known that overexpression of membrane proteins can be costly to cells (220–222), the mechanisms behind the fitness cost of efflux pumps are not entirely clear (222). One potential mechanism is due to a change in intracellular pH that impacts cellular metabolic pathways (223). When inducing the *acrAB-sfgfp* strain with IPTG, we found that at high induction levels there was a severe growth cost, indicative of the harmful effects of overexpression (Figure 2-1B). As a result, we conducted subsequent experiments without IPTG induction to balance the chloramphenicol-tolerance benefit against the cost of the pumps.

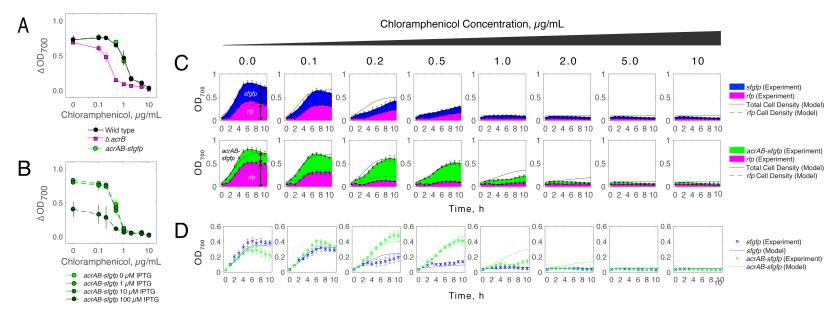


Figure 2-1. Benefits and costs of AcrAB-TolC efflux pumps.

(A) Cell density as a function of chloramphenicol concentration. Wild type is *E. coli* BW25113, the knockout strain is *E. coli* BW25113 Δ acrB, and acrAB-sfgfp is *E. coli* BW25113 Δ acrB transformed with the plasmid pBbA5k-acrAB-sfgfp, which contains an IPTG-inducible promoter controlling a transcriptional fusion of the acrAB efflux pump operon and super folder green fluorescent protein gene, sfgfp. Δ OD₇₀₀ is the difference in optical density at 700nm between the initial sample at t = 0 h and after t = 24 h. (B) Induction of acrAB-sfgfp reduces cell growth. Error bars in (A-B) show standard deviations of n = 3 biological replicates. (C) Growth of two competing strains under different chloramphenicol doses. The full dose of chloramphenicol is added at the beginning of the experiment, t = 0 h. The plots depict the total cell density of the co-culture, and the stacked shaded areas under the curve quantify the fraction of the culture containing either a rfp or sfgfp plasmid (Figure 2-2). As a control, top row shows competition between two strains lacking efflux pumps, sfgfp and rfp. The bottom row shows competition between a strain with the efflux pump, acrAB-sfgfp, and one without the efflux pump, rfp. Dots show experimental data with error bars corresponding to standard deviations of n = 3 biological replicates. Lines are the computational model predictions for the total population (solid line) and the rfp strain (dashed line). (D) Data extracted from the multispecies competition experiments shown in (C) comparing strains with and without pumps. Biomass of acrAB-sfgfp (green) compared with biomass of sfgfp (blue) after t = 8 h. Data points show mean and standard deviations of n = 3 biological replicates; solid lines show mathematical model predictions.

To determine whether the benefit and cost of efflux pumps change in dynamic stress environments, we competed strains with and without pumps against each other and recorded the relative population sizes over time under different antibiotic treatment conditions. In clinical settings, bacteria that contain efflux pumps are able to outcompete those without and are found at a higher frequency in clinical isolates (224), motivating our use of a competition assay. This assay can identify subtle differences in growth among strains because more fit strains become overrepresented in the population (198, 213).

We began by competing strains with and without efflux pumps in a constant environment where we added antibiotics at t = 0 h. First, we conducted a control experiment with two $\Delta acrB$ strains, one harboring a plasmid encoding super-folder green fluorescent protein (sfgfp) and a second with a plasmid encoding red fluorescent protein (rfp) (Figure 2-1C). We first measured the optical density of the co-cultured competing strains (Figure 2-2A). The fluorescent reporters allowed us to quantify the fraction of each cell present in the co-culture over time using flow cytometry (Figure 2-2B). Consequently, we were able to quantify the relative proportions of the two competing strains by using the fraction of sorted cells containing rfp or sfgfp to estimate the fraction of the total population harboring each plasmid (Figure 2-2C-D). We recorded cumulative cell density and the proportion of the two competing strains in the co-culture as a function of time.

As expected, the sfgfp and rfp strains performed similarly under all levels of antibiotics since the only difference between the strains was the color of fluorescent reporter. Next, we competed a $\Delta acrB$ strain complemented with acrAB-sfgfp, against the same strain with rfp alone. We found that in the absence of antibiotics, the strain without

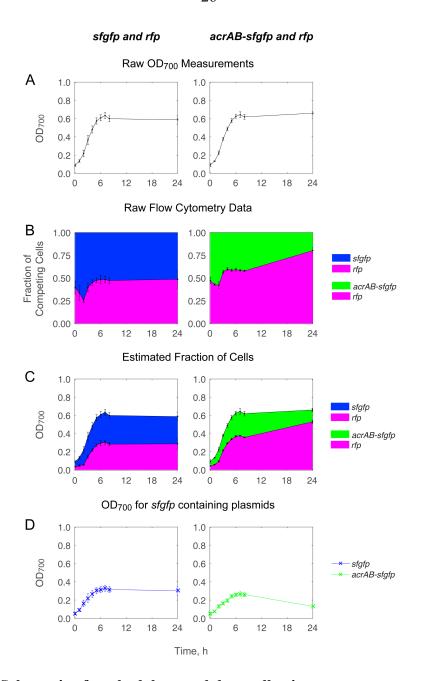


Figure 2-2. Schematic of methodology and data collection.

(A) Multispecies growth curves depicting the raw optical density readings at 700nm for the co-cultured samples. The error bars are the standard deviations of the optical density for n = 3 biological replicates. (B) Flow cytometry data is collected for a subset of the samples. Error bars are standard deviations of n = 3 biological replicates. (C) The fraction of biomass attributed to each strain in the co-culture is approximated by multiplying the optical density by the fraction of these strain. (D) OD_{700} for the *sfgfp*-containing strains extracted from the co-culture data sets. (C-D) Data points and their error bars are based upon the standard deviations for the raw optical density and sorted flow cytometry data.

pumps outperformed the strain with pumps (Figure 2-1C). Because efflux pumps are costly and unnecessary in conditions without antibiotics, the strain with no pumps was able to dominate. In contrast, under conditions with low doses of chloramphenicol, the efflux pump containing strain dominated. Beyond a certain concentration of antibiotic neither strain was able to survive. These results are consistent with our earlier findings that the benefit of the pumps exists only for certain antibiotic doses (Figure 2-1A).

In order to explore the effects of antibiotic addition and the benefit of pumps, we developed a mathematical model using a system of coupled ordinary differential equations to describe the competition between the species. The model is based on the Van Impe *et al.* bacterial growth model, which builds upon the Monod equation for growth kinetics (225–227). The state variables describe the population size for each of the species, and the substrate consumed by both species. The growth rate of each population depends upon the available substrate and also the concentration of the antibiotic in the environment. The model parameters were estimated by minimizing the sum of squared residuals and using the growth and toxicity curves for the single species experimental data (Figure A-1). The multispecies growth was then fit using the parameters determined from the toxicity curves and single species data. The model shows good agreement with the trends in our experimental findings, both in the overall growth of the two species as well as the approximate proportion of each species in the culture.

To visualize the relative effect of efflux pumps, we plotted the data from *sfgfp* alongside *acrAB-sfgfp* (Figure 2-1D). These data are extracted from the co-culture experiments shown in Figure 2-1C where *sfgfp* is competed against *rfp* (top) and *acrAB-*

sfgfp is competed against rfp (bottom). This comparison allows us to directly highlight the growth differences across environments and strains without and with efflux pumps. The model captures these trade-offs, demonstrating its predictive power in estimating where strains outcompete each other in competitive growth conditions.

Next, we asked how differences in the rate of antibiotic addition affected the cost and benefit trade-offs for efflux pump expression. We tested dynamic environments where antibiotics were applied at different rates during the exponential growth phase. We kept the cumulative amount of antibiotic added constant, but varied the ramp rate (Figure 2-3A-C). We first considered a step increase in antibiotics at t = 3 h (Figure 2-3A, D). Under these conditions the cells grew rapidly prior to addition of antibiotics, with sfgfp outperforming acrAB-sfgfp prior to t = 3 h, making it difficult for acrAB-sfgfp to recover after antibiotic was added, even in conditions where the pumps offer an advantage.

When we decreased the rate of chloramphenicol addition, the *acrAB-sfgfp* strain was able to outperform the *sfgfp* strain under a broader range of chloramphenicol concentrations. First, we spaced the addition of chloramphenicol out over the range from t = 2 to 4 h (Figure 2-3B, E). As predicted by the mathematical model, at intermediate chloramphenicol concentrations we observed a modest benefit to the pumps. For the slowest antibiotic addition rate, we added chloramphenicol from t = 0.5 to 5.5 h (Figure 2-3C, F). In this case, we found a more dramatic increase in the benefit of the pumps. In particular, we observed a substantial benefit in fitness for efflux pump containing strains that exists well above their minimum inhibitory concentration of 1 μ g/mL (Figure 2-1A-B). This finding emphasizes the importance of the rate at which stresses are introduced.

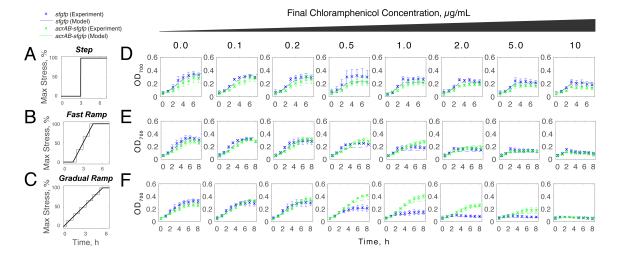


Figure 2-3. Rate of chloramphenicol addition affects survival.

(A-C) Three different rates of chloramphenicol introduction: (A) step introduction, (B) fast ramp, and (C) gradual ramp. The thick solid line shows the values used in the mathematical model; thin solid line shows experimental treatment used to approximate the constant introduction rate. The total amounts of chloramphenicol added in (A-C) are equal. (D-F) Competitive growth under different rates of chloramphenicol addition. The growth of acrAB-sfgfp (green) compared against growth of sfgfp in the competition experiments (dots) and model predictions (solid lines) for different chloramphenicol introduction rates as shown in (A-C), respectively. As in Figure 2-1D, these data have been extracted from competition experiment data. Note that dead cells can still cloud the solution; therefore, non-zero optical densities do not necessarily imply that cells are alive. Data points show mean and standard deviations of n = 3 biological replicates.

Building on the success of our model predictions, we next used the model to quantify the benefits and costs of efflux pump expression as a function of the total amount of antibiotic added and the rate at which it is introduced. In order to quantify the growth advantage provided by the efflux pumps, we calculated the 'benefit ratio' provided by the pumps, which we defined as the ratio of the biomass of *acrAB-sfgfp* to biomass of *sfgfp* after 8 hours (228). As a result, a benefit ratio greater than one means that strains with efflux pumps are able to outcompete cells without, while a value less than one means that pump expression hinders growth. Using our model, we calculated the benefit ratio across

a range of chloramphenicol introduction rates and total antibiotic amounts (Figure 2-4A). At very low concentrations of chloramphenicol, pumps are unnecessary and there is a cost to their expression so the benefit ratio is below one, regardless of the rate of introduction. At very high concentrations, neither strain can grow so the benefit ratio is approximately one for all introduction rates. Meanwhile, at intermediate chloramphenicol concentrations, we observed dramatic rate dependent differences between the strains. When the stress appears slowly, the strains with the pumps are at a significant advantage. In fact, this phenomenon can result in conditions where bacteria are able to survive antibiotic doses well beyond those they can tolerate with rapid drug introduction. This benefit is likely due to the ability of bacteria to maintain low intracellular levels of antibiotics using efflux pumps when undergoing slow antibiotic introduction. Therefore, the rate at which an antibiotic or stressor is added will have a critical impact on bacterial survival.

To verify the model predictions, we calculated the benefit ratio from the experimentally measured data from Figure 2-3D-F by evaluating the ratio of *acrAB-sfgfp* to *sfgfp* biomass under the same antibiotic treatment profiles. When the rate of introduction is a quick step introduction, cells with efflux pumps have a negligible benefit (Figure 2-4B); as the introduction rate is slowed, the benefit of the pumps slightly increases at intermediate chloramphenical concentrations (Figure 2-4C) and slowing the rate further provides even greater benefit (Figure 2-4D). We note that the model was fit to raw data from toxicity curves and growth curves performed without antibiotics (Figure 2-2). Without further fitting, the model is able to predict trends in the benefit of the efflux pumps given different rates of stress introduction. Statistical analysis suggests that the model

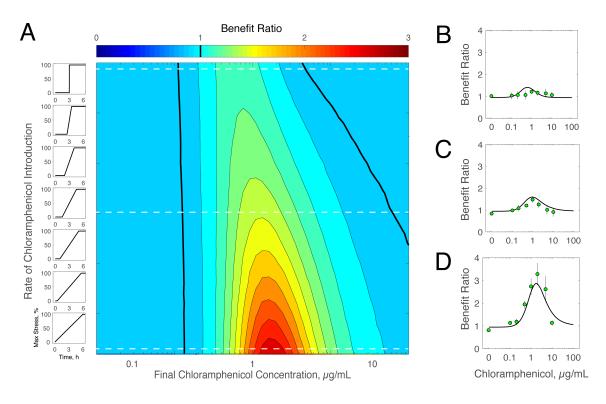


Figure 2-4. Model predictions and experiments measuring benefit of pumps.

(A) Contour plot of the benefit ratio of the efflux pumps. Model predictions for biomass, N, of an acrB-containing strain in relation to an $\Delta acrB$ control strain after t=8 h are used to predict the benefit ratio landscape. Plot shows results for different maximum levels of chloramphenicol (x-axis) and different rates of chloramphenicol addition (y-axis). (B-D) Experimental results (dots) showing benefit of efflux pumps compared to model predictions (solid line). Data is ratio of biomass of acrAB-sfgfp strain to sfgfp after t=8 h. Rate of antibiotic introduction is shown in Figure 2-3A-C, respectively, and denoted on the contour plot in (A) with white dashed lines. Error bars show standard deviation for n=3 biological replicates.

agrees well with the data, with Pearson's correlation coefficients close to 1 (Table A-1). Additionally, we performed experiments where the initial biomass was an order of magnitude lower than in the original conditions (Figure 2-5). The data show good qualitative agreement with the model predictions, where slow antibiotic introduction results in a greater benefit of pumps. These results indicating that our findings are not specific to one set of initial conditions.

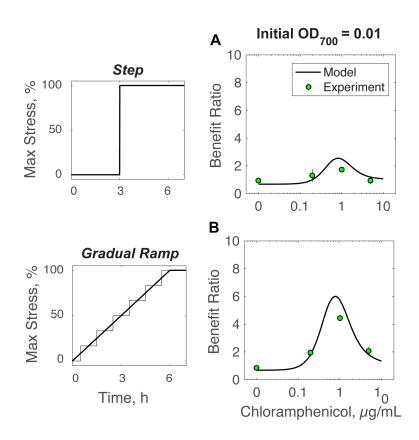


Figure 2-5. Benefit ratios for lower initial inoculum size.

(A-B) Experimental results (dots) showing benefit of efflux pumps compared to model predictions (solid line). Data is ratio of biomass of acrAB-sfgfp to sfgfp after t = 24 h. These co-cultures were tested under a gradual ramp (A) and step at t = 3 h (B) over 6 hours. Error bars show standard deviations for n = 3 biological replicates.

We next asked whether our findings on the rate-dependent benefit of efflux pumps would generalize to other stressors. To do this, we conducted experiments with a structurally and functionally dissimilar efflux pump substrate. Pinene is a bio-jet fuel precursor that can be synthesized by *E. coli*; however, pinene is also toxic to the cells (229). The AcrAB-TolC efflux pump is known to increase tolerance to pinene and other solvents (213, 230). We first measured the benefit of the pumps and, as expected, observed an increase in pinene tolerance in strains with the efflux pump (Figure 2-6A). We next measured the cost of pump expression in the presence of pinene using the IPTG-inducible

acrAB-sfgfp strain (Figure 2-6B). As acrAB-sfgfp is induced, there is an impact on cell growth. However, low levels of induction do convey a slight benefit compared to basal levels (Figure A-2), therefore we conducted the subsequent experiments using 5 μ M IPTG, as this induction level best mirrors wild type in the presence of pinene (Figure 2-6A). The cost-benefit characteristics of pinene closely mirror the trade-offs that we observed for

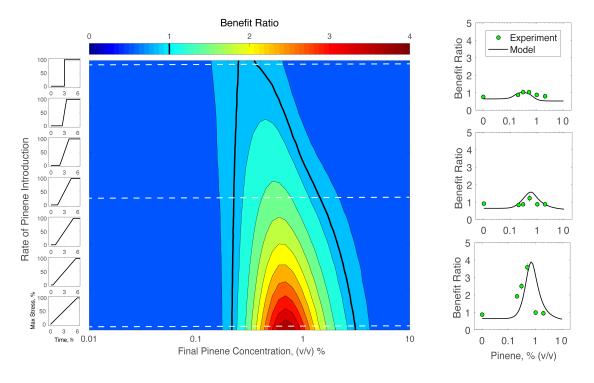


Figure 2-6. Benefit and cost trade-offs of AcrAB-TolC efflux pumps in pinene.

(A) Cell density as a function of pinene concentration. Wild type is *E. coli* BW25113, the knockout strain is *E. coli* BW25113 $\Delta acrB$, and rescue strain is acrAB-sfgfp. ΔOD_{700} is the difference in optical density between the initial sample at t=0 h and after t=8 h. (B) Induction of acrAB-sfgfp reduces cell growth in the presence of pinene. Error bars in (A-B) show standard error of n=3 biological replicates. (C) Contour plot of the benefit ratio of the efflux pumps. Model predictions for biomass of an acrB-containing strain in relation to an $\Delta acrB$ strain after t=8 h. Plot shows results for different maximum levels of pinene (x-axis) and different rates of pinene addition (y-axis). (D-F) Experimental results (dots) showing benefit of efflux pumps compared to model predictions (solid line). Data is ratio of biomass of acrAB-sfgfp to sfgfp after t=8 h. The rate of pinene introduction is shown in Figure 2-3A-C, respectively, and denoted by white dashed lines on the contour plot in (C). Error bars show standard deviation for n=3 biological replicates.

chloramphenicol in a constant environment.

To accurately capture the effect of pinene we modified our mathematical model to include a term that allows for cell lysis. Chloramphenicol is bacteriostatic so optical density measurements remain roughly constant after the cells have died (231). In contrast, we observed decreases in optical density following pinene treatment (Figure A-3). To accommodate the bactericidal effect of pinene, we adjusted our model to include a term describing this effect. We simulated the trade-off landscape for different rates of pinene addition (Figure 2-6C) and observed a general trend where, as with chloramphenicol, the benefit ratio is high at intermediate levels of pinene stress when the rate of introduction is low. However, the peak for pinene is taller, as the efflux pumps convey an even larger benefit.

We next used the model to select pinene rates that show low, moderate, and high benefit ratios and conducted competition experiments under these conditions (Figure A-4). Extracting these data, the experimental and computational results demonstrate that there is a dramatic benefit conveyed for slow introduction rates (Figure 2-6D-F). We observed that cells with pumps can survive significantly higher levels of pinene when it is added slowly than they can when it is added all at once.

2.5. Discussion

In this study, we focused on the rate-dependent nature of the benefit of efflux pumps, which is significant given the role pumps play across diverse fields. Our work here extends to both understanding antibiotic tolerance and potential applications in biosynthetic processes. By studying two unique substrates of the AcrAB-TolC pump, we

were able to validate that under slow introduction of stress, pumps provide a disproportionate benefit that is not unique to the individual substrate. Understanding complex strategies bacteria employ to tolerate stress can provide insight into development of therapeutic techniques and can enable us to exploit these effects in biochemical engineering. By determining conditions where efflux pump containing strains lack a competitive edge, we can identify domains where antibiotic tolerance is reduced. For metabolic engineering applications, this optimization can help characterize and improve yields of biosynthetic compounds such as biofuels (232, 233).

In realistic conditions, cells are rarely exposed to environments as constant as in laboratory experiments. Since the environment can have a large impact on how bacteria respond to stress, it is important to study the behavior of cells in time-varying conditions. These ideas have been explored previously in the context of extended exposure to stress and through temporal and spatial gradients. In spatially-distinct environments, studies have demonstrated that prolonged exposure to a stressful spatial barrier can be overcome by cells adapting to the stress through tolerance, then resistant mutants (106). Similarly, graded increases in antibiotic concentrations across several days can lead to mutations (201). Thus, even a subtle benefit in fitness on a short-term scale, can result in mutants in daughter cells in stressful environments. Additionally, on a shorter time scale, stress response pathways have been shown to depend on the rate of environmental change. For example, *Bacillus subtilis* turns on stress-specific or general stress response pathways depending on the rate at which stress is applied (234). By studying time-varying stress, we can better understand how stress response mechanisms operate under realistic

environments.

In this work, we have demonstrated that the benefit of efflux pumps depends heavily on the rate of stress introduction. We found that strains exposed to slower stress introduction rates were able to tolerate cumulative concentrations well beyond what they could survive if the stress appeared suddenly. We also confirmed this through mathematical modeling; fits to data where the stressor was added all at once allowed us to accurately predict the benefit that pumps confer under different stress introduction rates. We found that efflux pumps provide a disproportionate benefit when the rate of stress introduction is slow.

2.6. Contributions Statement

The authors of this work were Ariel M. Langevin (A.M.L.) and Mary J. Dunlop (M.J.D.). A.M.L. conducted the experiments, analyzed the data, and performed the modeling, M.J.D. supervised the research. Both authors wrote the manuscript.

2.7. Methods

2.7.1. Strains and Plasmids

We used *E. coli* strains BW25113 and BW25113 $\Delta acrB$. The wild type strain BW25113 is the parent strain for the Keio collection (235). BW25113 $\Delta acrB$ was derived from Keio collection strain JW0451 (BW25113 $\Delta acrB$::kan), where we removed the kanamycin resistance marker following the pCP20 protocol from (236).

We used the plasmids pBbA5k-rfp, pBbA5k-sfgfp, and pBbA5k-acrAB-sfgfp in experiments. The plasmid pBbA5k-rfp is from the BglBricks library (237, 238). The

pBbA5k vector contains a medium-copy number (p15A) origin of replication, a P_{lacUV5} promoter, and a kanamycin resistance marker. pBbA5k-sfgfp was constructed using the pBbA5k vector and sfgfp from pBbSFk-sfgfp (239). Plasmid pBbA5k-acrAB-sfgfp is a transcriptional fusion of acrAB and sfgfp. We constructed it using the pBbA5k-acrAB plasmid (213) and sfgfp, retaining the ribosome binding site of sfgfp from pBbSFk-sfgfp (239) in the cloning process. For all constructs, we used the Gibson assembly method and verified results by sequencing (240). Primers for all constructs are listed in Table A-2. Plasmids were transformed into $E.\ coli\ BW25113\ \Delta acrB$ and isolated on Luria Broth (LB) plates with kanamycin (30 $\mu g/mL$).

2.7.2. Bacterial Growth Conditions

For all experiments, overnight cultures were inoculated from a single colony in 5 mL LB with 30 μ g/mL kanamycin, where necessary. Overnight cultures were then grown at 37°C with 200 rpm orbital shaking. Following this, precultures were prepared by diluting the overnight culture 1:50 in LB with 30 μ g/mL kanamycin, where necessary. The precultures were grown at 37°C with 200 rpm orbital shaking for 2 hours and then diluted back to an optical density at 700nm (OD₇₀₀) of approximately 0.2. We used OD₇₀₀ to minimize overlapping of the RFP emission spectrum (241, 242). These 800 μ L cultures were then aliquoted into 24-well plates and chloramphenicol, pinene, or IPTG was added, as described below.

For toxicity curves of the individual species and single species growth parameters, $800~\mu L$ of these cultures were aliquoted into 24-well plates and chloramphenicol, IPTG, or pinene was added, as described below.

For the competition experiments, co-cultures were created by mixing 400 μ L each of the two competing strains, *acrAB-sfgfp* and *rfp* or *sfgfp* and *rfp*, after individually diluting the strains back to OD₇₀₀ 0.2 as described above. As a result, there was a total of 800 μ L per well in a 24-well plate with the final OD₇₀₀ of 0.2.

2.7.3. Toxicity Experiments

To determine the toxicity of chloramphenicol, we added a final concentration of 0, 0.1, 0.2, 0.5, 1, 2, 5, or 10 µg/mL to each culture. To evaluate the benefit of pump expression, P_{lacUV5} was induced with 0, 1, 10, or 100 µM of IPTG. The samples were sealed with evaporation-limiting membranes (Thermo Scientific AB-0580) and grown in 24-well plates at 37°C with 200 rpm orbital shaking. OD₇₀₀ readings were taken using a BioTek Synergy H1m plate reader before incubation (t = 0 h) and after antibiotic exposure (t = 24 h). All experiments were performed in triplicate using biological replicates.

Mirroring to the chloramphenical toxicity experiments, pinene (α -pinene, Sigma Aldrich P45680) was added to each culture to a final concentration of 0, 0.1, 0.2, 0.5, 1, or 2 (v/v) %. To evaluate the benefit of pump expression, P_{lacUV5} was induced with 0, 1, 5, 10, 50, or 100 μ M of IPTG. OD₇₀₀ readings were taken before incubation (t = 0 h) and after the end of exponential growth phase (t = 8 h).

2.7.4. Competition Experiments

Co-cultures were created by mixing 400 µL each of the two competing strains, acrAB-sfgfp and rfp or sfgfp and rfp, for a total of 800 µL per well in a 24-well plate. Cultures were treated with increasing concentrations of substrates as shown in Figure 2-

3A-C. The OD₇₀₀ was measured at intervals, every hour for chloramphenicol and every other hour for pinene, through exponential growth phase. In addition, after the OD₇₀₀ readings, 15 μL samples from each culture were diluted 1:10 in phosphate buffered saline (PBS) and measured using a Guava easyCyte HT Sampling Flow Cytometer. Excitation and emission values were 485 and 510 nm for *sfgfp* (sfGFP) and 555 and 584 nm for *rfp* (RFP) fluorescent channels (243, 244).

Flow cytometry data was collected as FCS 3.0 files and was analyzed with custom Matlab scripts. To avoid crosstalk between the red and green channels, control experiments using single-color strains were performed to identify a threshold for classifying a cell as containing sfGFP or RFP during post-processing. The same thresholds were applied for all experiments.

2.7.5. Mathematical Model

To fit the growth of single strains under different environmental conditions, we used a single species model for predicting biomass N (Eq. 1) and substrate availability S (Eq. 2) based on the Van Impe *et al.* model of cell growth (212, 227, 245). This model incorporates environmental conditions, such as a substrate limiting term based on the physiological environment. For the version presented here, we include a term describing the effect of a stressor, E (225).

2.7.5.a. Single species model

$$\frac{dN}{dt} = \alpha \mu_{max} \left(\frac{S}{K_S + S} \right) \left(\frac{1}{1 + \frac{E^n}{R}} \right) N(t)$$
 Eq. 1

$$\frac{dS}{dt} = -\frac{1}{\gamma} \mu_{max} \left(\frac{S}{K_S + S} \right) N(t)$$
 Eq. 2

The maximum growth rate is μ_{max} , the growth yield provided by the substrate is γ , and the half-saturation constant is K_S . α is a normalizing term that converts the biomass from cell concentration to optical density. The parameters for these models were selected using a least-squares regression minimizing the sum of the residuals for the best fits to the growth curves and the toxicity curves. The coefficients from the models were fit simultaneously. The values for μ_{max} , γ , and K_S were selected based upon the growth curves of individual strains (Figure A-1A-B). Parameter values are listed in Table A-3. Additionally, we added a stressor term to adjust the growth based on the effect of a given stressor concentration E at time t, where

$$E_{step} = \begin{cases} 0 & t < 3 \\ 1 & t \ge 3 \end{cases}$$
 Eq. 3

$$E_{fast\ ramp} = \begin{cases} 0 & t < 1.5\\ \left(\frac{t - 1.5}{3}\right) & 1.5 \le t < 4.5\\ 1 & t > 4.5 \end{cases}$$
 Eq. 4

$$E_{slow\ ramp} = \begin{cases} 0 & t < 0 \\ t/6 & 0 \le t < 6 \\ 1 & t \ge 6 \end{cases}$$
 Eq. 5

The Hill coefficient n and tuning parameter R were fit to the species' toxicity curve (Figure A-1C):

$$V_i(t) = \frac{1}{1 + \frac{E^{n_i}}{R_i}}$$
 Eq. 6

The single species model was extended to a multispecies model based upon (226), which models the growth of two species N_1 (Eq. 3) and N_2 (Eq. 4), as well as the substrate availability S (Eq. 5). We used two different multispecies models, one for bacteriostatic stressors such as chloramphenicol, which stop cells from growing, and one for bactericidal stressors such as pinene, which cause cell lysis (246).

2.7.5.b. Multispecies bacteriostatic model

$$\frac{dN_1}{dt} = 2\alpha\mu_{max,1} \left(\frac{S}{K_S + S}\right) V_1(t) N_1(t)$$
 Eq. 7

$$\frac{dN_2}{dt} = 2\alpha\mu_{max,2} \left(\frac{S}{K_S + S}\right) V_2(t) N_2(t)$$
 Eq. 8

$$\frac{dS}{dt} = -\frac{1}{\gamma} \left(\frac{S}{K_S + S} \right) (\mu_{max,1} N_1(t) + \mu_{max,2} N_2(t))$$
 Eq. 9

For the multispecies bacteriostatic model, the growth yield provided by the substrate γ , and the half-saturation constant K_S , were fit using the growth curves of a co-culture of the two strains with equal initial biomasses. The maximum growth rates for each individual species ($\mu_{max,1}$ and $\mu_{max,2}$) were derived from the individual growth curves and the coefficients for the antibiotic terms (R_1 , R_2 , n_1 and n_2) were fit to individual species' toxicity curves. Additional information on the accuracy of model fits to the growth and toxicity curve data can be found in Table A-4.

2.7.5.c. Multispecies bactericidal model

$$\frac{dN_1}{dt} = 2\alpha\mu_{max,1} \left(\frac{S}{K_S + S}\right) \left(1 - \frac{1}{1 + (c_1 E)^{n_1}}\right)^2 N_1(t)
- b_1 \left(\frac{1}{1 + (c_1 E)^{n_1}}\right)^2 N_1(t)$$
Eq. 10

$$\frac{dN_2}{dt} = 2\alpha \mu_{max,2} \left(\frac{S}{K_S + S}\right) \left(1 - \frac{1}{1 + (c_2 E)^{n_2}}\right)^2 N_2(t)$$

$$-b_2 \left(\frac{1}{1 + (c_2 E)^{n_2}}\right)^2 N_2(t)$$
Eq. 11

$$\frac{dS}{dt} = -\frac{1}{\gamma} \mu_{max,1} \mu_{max,2} \left(\frac{S}{K_S + S}\right) N_1(t) N_2(t)$$
 Eq. 12

The parameters of the bactericidal multispecies model were fit as described above. We calculated the sum of squared residuals to estimate the relative precision of the model, along with the maximum and average error for the model sets. In addition, we evaluated the goodness-of-fit by calculating the Pearson's correlation coefficient (247).

3. ANTIBIOTIC EXPORT BY EFFLUX PUMPS AFFECTS GROWTH OF NEIGHBORING BACTERIA

3.1. Disclosure & Copyright Statement

This chapter is a modified version of "Antibiotic Export by Efflux Pumps Affects Growth of Neighboring Bacteria" by Xi Wen, <u>Ariel M. Langevin</u>, and Mary J. Dunlop, 2018. Scientific Reports, 8 15120. ©2018 by Springer Nature. The publisher allows unrestricted use or reproduction provided proper citations of the original work.

3.2. Abstract

Cell-cell interactions play an important role in bacterial antibiotic resistance. Here, we asked whether neighbor proximity is sufficient to generate single-cell variation in antibiotic resistance due to local differences in antibiotic concentrations. To test this, we focused on multidrug efflux pumps because recent studies have revealed that expression of pumps is heterogeneous across populations. Efflux pumps can export antibiotics, leading to elevated resistance relative to cells with low or no pump expression. In this study, we co-cultured cells with and without AcrAB-TolC pump expression and used single-cell time-lapse microscopy to quantify growth rate as a function of a cell's neighbors. In inhibitory concentrations of chloramphenicol, we found that cells lacking functional efflux pumps ($\Delta acrB$) grow more slowly when they are surrounded by cells with AcrAB-TolC pumps than when surrounded by $\Delta acrB$ cells. To help explain our experimental results, we developed an agent-based mathematical model, which demonstrates the impact of neighbors based on efflux efficiency. Our findings hold true for co-cultures of *E. coli* with and without pump expression and also in co-cultures of *E. coli* and *Salmonella*

typhumirium. These results show how drug export and local microenvironments play a key role in defining single-cell level antibiotic resistance.

3.3. Introduction

Despite intensive study, antibiotic resistance remains an essential problem, in part due to the myriad of mechanisms by which cells can evade drug treatment. Classical tests, such as measurements of the minimum inhibitory concentration (MIC), are important for quantifying drug resistance, but can obscure single-cell level differences in resistance (17). This is a significant problem because cell-to-cell differences in antibiotic resistance can establish concentration gradients, which can accelerate the resistance acquisition process (248, 249). In addition, sub-populations of antibiotic resistant or tolerant cells can decrease treatment efficacy (37, 201).

Individual cells can exhibit phenotypic differences in drug resistance even in the absence of community-level effects. For example, persister cells use dormancy or slow growth to evade antibiotic treatment (17). Single-cell level resistance can also affect group growth. For instance, *Streptococcus pneumoniae* cells with chloramphenicol acetyltransferase can deactivate chloramphenicol, resulting in a decrease in both the intracellular and environmental chloramphenicol concentrations (250). Bacteria also transiently express resistance-conferring genes such as drug export pumps or those that modify membrane permeability, resulting in cell-to-cell difference in susceptibility (37, 239).

Antibiotic efficacy can also be dependent on community-level phenomena. For example, the inoculum effect describes the cell density dependence of the MIC, where

more dense cultures are less susceptible to antibiotics resulting in increases in the MIC (251, 252). Cell density plays an essential role in influencing group behaviors, such as quorum sensing and biofilm formation, which in turn can dramatically increase the antibiotic resistance of the population (253, 254). Furthermore, certain cells within a community may exhibit altruistic behavior, such as those that release resistance proteins upon death to enable other cells to survive (253, 255). These examples highlight the importance of cellular interactions and collective behavior in antibiotic resistance.

Bacterial efflux pumps are an important source of multidrug resistance (219, 256). These pumps export antibiotics from the cell, increasing their antibiotic resistance. Their expression can be taxing, reducing growth and imposing a fitness cost (189, 257); therefore, their expression is often regulated to limit the burden. The primary multidrug resistance efflux pump in $E.\ coli$ is AcrAB-TolC. This pump is composed of three proteins that span the inner and outer cell membrane: a periplasmic linker protein AcrA, the inner membrane efflux transporter AcrB, and the outer membrane channel TolC (206). Knocking out acrB, the pump protein responsible for substrate recognition and export via the proton motive force, leads to a significant increase in antibiotic susceptibility (156, 219). For instance, the MIC of $E.\ coli\ \Delta acrB$ to chloramphenicol is an eighth of that of wild type cells (134). Complementing $\Delta acrB$ with the acrAB operon is sufficient to restore drug resistance (189). Efflux pumps have been recognized to play a major role in clinical isolates in the emergence of resistant strains of $E.\ coli,\ S.\ enterica$, and other pathogens, and thus have been identified as clinical targets (258, 259).

Recent studies have shown that AcrAB-TolC expression is heterogeneous across

populations (260, 261), suggesting that differential pump expression exists even within isogeneic populations. Since the cost and benefit of expressing pumps can both be significant, these cell-to-cell differences may have important implications for bacterial populations. Here, we asked how efflux pump export of antibiotics affects the growth of neighboring cells and, ultimately, the composition of the population.

To accomplish this, we focused on differential expression of acrAB. We monitored single-cell growth rates using time-lapse microscopy, and analyzed growth of cells as a function of whether their neighbors have AcrAB-TolC efflux pumps. We found that individual bacteria that are surrounded by AcrAB-expressing neighbor cells will tend to grow more slowly than when the same cells are surrounded by $\Delta acrB$ neighbors under antibiotic exposure. By developing a mathematical model, we were able to characterize this effect and predict the cell growth in the presence of a different antibiotic. Furthermore, we tested co-cultures of E. coli and S. enterica serovar Typhimurium (hereafter referred to as S. typhimurium) and observed the same neighbor dependence, which has implications for the broader relevance of our findings since these results likely extend to mixed-species communities. This work contributes additional evidence for the critical role of single-cell level effects in antibiotic resistance.

3.4. Results

To examine the effect of drug efflux on neighboring cells, we designed an experiment where $\Delta acrB$ cells were surrounded either wild type cells containing functional AcrAB-TolC pumps or by identical $\Delta acrB$ cells (Figure 3-1A). We hypothesized that $\Delta acrB$ cells which had wild type neighbors would experience a higher local concentration

of antibiotics due to drug efflux in their immediate vicinity, leading to a reduced growth rate relative to cells with neighbors lacking pumps. To test this, we conducted experiments with *E. coli* growing on agarose pads and measured single cell growth rates under different levels of antibiotic exposure.

To visualize the two cell types, we labeled the $\Delta acrB$ cells with red fluorescent protein (denoted $\Delta acrB$ -RFP) and wild type cells with green fluorescent protein (WT-

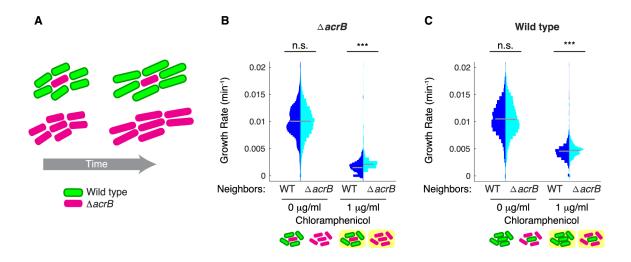


Figure 3-1. Neighbors with pumps impact cell growth.

(A) Schematic showing when $\triangle acrB$ cells are surrounded by cells with AcrAB-TolC pumps they grow more slowly than when surrounded by other $\triangle acrB$ cells. (B) Growth rates of wild type cells expressing gfp (WT-GFP) and $\triangle acrB$ cells expressing rfp ($\triangle acrB$ -RFP). Cells were mixed in ratios of 5:1 and 1:5 and the growth rate of $\triangle acrB$ -RFP cells was then quantified for the two different ratios. (C) Growth rates of wild type cells, given WT-GFP or $\triangle acrB$ -RFP neighbors. For (B, C) statistical significance was calculated using the Kolmogorov-Smirnov test, where ***p < 0.001, n.s.: not significant. Gray bars show mean growth rate. Distribution mean, standard deviation, and p-values are listed in Table S1 from reference (337). Plot axis limits were set to show >97% of cells; however full data set including outliers and n values (number of cells) for each are shown in Figure B-1. Schematics under (B, C) show the type of neighbors surrounding the cell in the middle whose growth rate is calculated. Background color indicates presence of antibiotics.

GFP). ¹ Chloramphenicol is a broad-spectrum antibiotic which diffuses through the bacterial cell membrane and reversibly binds to the ribosome to inhibit protein synthesis. We quantified the growth rates of $\Delta acrB$ -RFP cells surrounded by either WT-GFP or $\Delta acrB$ -RFP neighbors. To do this, we mixed $\Delta acrB$ -RFP with WT-GFP cells in ratios of 1:5 and 5:1 to bias the community structure.

Growth rates for cells were similar for both ratios for conditions with no chloramphenicol. However, under chloramphenicol treatment just below the MIC (1 μ g/ml, Figure B-2), we found that the growth rate of $\Delta acrB$ cells with WT-GFP neighbors was lower than those with $\Delta acrB$ -RFP neighbors (Figure 3-1B), indicating that the influence of drug efflux by neighboring cells is important in local growth inhibition. When we compared the growth of WT-GFP cells with WT-GFP or $\Delta acrB$ -RFP neighbors, we observed more modest differences in growth rates under chloramphenicol treatment. This is likely because cells with pumps are able to maintain low intracellular antibiotic concentrations regardless of their neighbors (Figure 3-1C).

Building upon these results, we next conducted a series of experiments where we used $\Delta acrB$ as the strain background for both types of cells in the co-culture, allowing us to isolate the effect of efflux pumps independent of endogenous regulation. We tested microbial communities with $\Delta acrB$ -RFP cells and a $\Delta acrB$ strain overexpressing acrAB, which we labeled with green fluorescent protein (denoted AcrAB-GFP). We then monitored the growth of the $\Delta acrB$ -RFP cells surrounded by either AcrAB-GFP or $\Delta acrB$ -

¹ For consistency, these appear as defined in the original manuscript; however, please note that the names and definitions of each strain differ from Chapter 2, 4, and 5.

RFP neighbors. As before, we found that $\Delta acrB$ -RFP cells grow more slowly when they are in the vicinity of AcrAB-GFP neighbors than when they are surrounded by $\Delta acrB$ -RFP neighbors (Figure 3-2A). Differences in the growth rate are apparent in measurements of cell length over time. As a negative control, we also measured $\Delta acrB$ -RFP cells mixed with $\Delta acrB$ -GFP cells and found no differences in growth rate (Figure 3-2B).

To confirm our findings across measurements of hundreds of individual cells, we quantified the growth rates of single cells with $\Delta acrB$ -RFP or AcrAB-GFP neighbors. We found statistically significant differences in the growth rates in conditions where antibiotics were applied (Figure 3-2C). In addition, we observed a shift in the mean growth rate in the opposite direction without antibiotic treatment, indicative of the cost of efflux pump expression. Under sub-MIC levels of chloramphenicol (0.2 µg/ml), the neighbor effect was more apparent than chloramphenicol concentrations near the MIC (1 µg/ml). This is likely because at the higher antibiotic concentration growth of both $\Delta acrB$ -RFP and AcrAB-GFP cells is impacted by chloramphenicol treatment. As expected, control experiments with $\Delta acrB$ -RFP and $\Delta acrB$ -GFP cells showed no statistical difference in growth rates, regardless of the antibiotic concentration (Figure 3-2D). These results indicate that the AcrAB-TolC efflux pump plays a role in attenuating growth of neighboring cells in conditions where antibiotics are present.

Since competition will change the composition of cells in mixed species communities, we next extended our analysis to ask what the implications were for co-cultures. To do this, we compared the biomass of the $\Delta acrB$ -RFP cells at the start of the co-culture experiment to the end. More specifically, we quantified the relative abundance

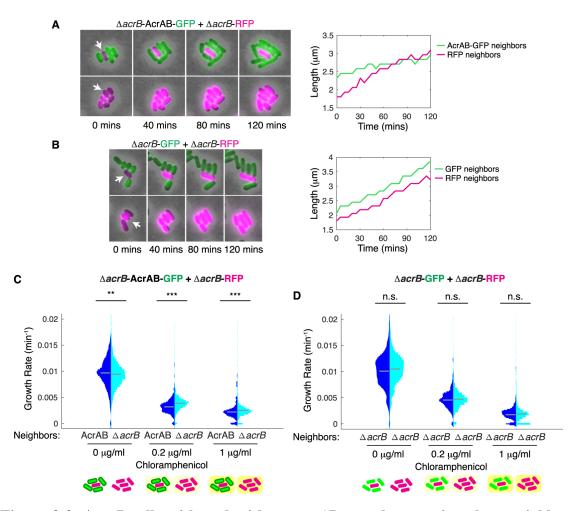


Figure 3-2. $\triangle acrB$ cells with and without acrAB complementation show neighbor-dependent differences in growth.

(A) $\Delta acrB$ -RFP and AcrAB-GFP cells were mixed in ratios of 1:5 and 5:1 and grown on agarose pads with 0.2 µg/ml chloramphenicol. Left panel is representative series of time-lapse images showing growth of a $\Delta acrB$ -RFP cell surrounded by AcrAB-GFP neighbors. Right panel shows the cell length over time for the cell indicated with an arrow in the left panel. (B) $\Delta acrB$ -RFP and $\Delta acrB$ -GFP cells for conditions as described in (A). Length data for all cells for conditions from (A, B) are shown in Figure B-3. (C) Growth rates of $\Delta acrB$ -RFP cells with either AcrAB-GFP or $\Delta acrB$ -RFP neighbors quantified at different chloramphenicol concentrations. (D) Growth rates of $\Delta acrB$ -RFP cells with either $\Delta acrB$ -GFP or $\Delta acrB$ -RFP neighbors. Statistical significance was calculated using the Kolmogorov-Smirnov test. ***p < 0.001; **p < 0.01; n.s.: not significant. Gray bars show mean growth rate. Distribution mean, standard deviation, and p-values are listed in Table S1 from reference (337). Full data set including outliers and n values are shown in Figure B-1. Schematics under (C, D) show the type of neighbors surrounding the cell in the middle whose growth rate is calculated. Background color indicates antibiotic concentration.

of the $\triangle acrB$ -RFP cells by comparing what fraction of the biomass they made up at the end divided by the fraction at the start. Thus, if there is no change in the composition of the co-culture then the relative abundance will be one; values below one correspond to AcrAB-GFP cells outcompeting the $\triangle acrB$ -RFP cells. When no antibiotic was applied we found that $\triangle acrB$ -RFP and AcrAB-GFP cells grew similarly and the relative abundances of the two strains were maintained near one (Figure 3-3A). However, under chloramphenicol treatment the relative abundance of the $\triangle acrB$ -RFP cells decreased when they were surrounded by AcrAB-GFP cells, but not when they were in close proximity with other $\triangle acrB$ -RFP cells. We note that under these conditions there are still AcrAB-GFP cells, but since they are mixed in a ratio of 5:1, the AcrAB-GFP cells are comparatively rare. Control

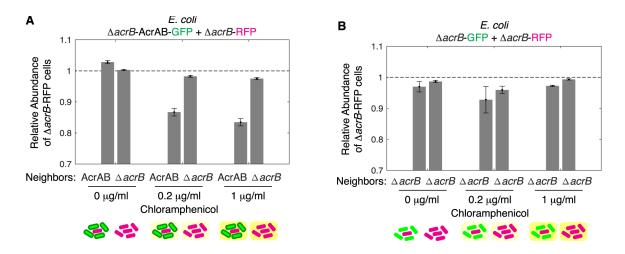


Figure 3-3. Relative abundance of Δ acrB cells decreases when they have AcrAB-GFP neighbors.

(A) Relative abundance was calculated using the data set in Figure 3-2C, where we define relative abundance as the fraction of the biomass $\Delta acrB$ -RFP cells make up at the end, divided by their fraction at the start. (B) Relative abundance calculated using the data set in Figure 3-2D. Dashed line at one indicates value if there is no change in the abundance of $\Delta acrB$ -RFP cells over time. Error bars show standard deviation between replicates. Schematics under plots show the type of neighbors surrounding the cell in the middle whose growth rate is calculated. Background color indicates antibiotic concentration.

experiments with $\triangle acrB$ -RFP and $\triangle acrB$ -GFP co-cultures had relative abundance values near one regardless of the chloramphenical concentration (Figure 3-3B). Overall, these results indicate that proximity related inhibition from drug efflux can lead to rapid changes in the community composition.

To understand the impact of antibiotic export on neighboring cells, we developed a mathematical model to describe cell growth. The agent-based model applies a fixed spatial architecture to describe cell proximity. Within each cell, we used a system of ordinary differential equations to model changes in the intracellular antibiotic concentration due to drug efflux (Figure 3-4A). Model parameters were estimated from measurements of cell density in the presence of antibiotics (Figure B-2). We found that cell growth and the intracellular antibiotic concentration are strongly influenced by the type of neighbors in the simulation (Figure 3-4B). We next simulated a range of chloramphenical concentrations and found that the growth rate decreased significantly for cells with higher efflux compared to cells with $\Delta acrB$ neighbors (Figure 3-4C), in good agreement with the experimental results (Figure 3-1B).

A key finding of the model is that the efflux rate is proportional to the neighbor effect. In other words, if the AcrAB-TolC pump exports a specific antibiotic well, then the neighbor effect will be more apparent than if the pump does not export it well. To test this, we conducted additional modeling and experiments with ciprofloxacin, which is a substrate of the AcrAB-TolC pump, but has a smaller fold reduction of the MIC than chloramphenical for $\Delta acrB$ cells (Figure B-2B). Using parameter fits from experimental data, we lowered the efflux rate of wild type cells to model the lower efflux efficiency for

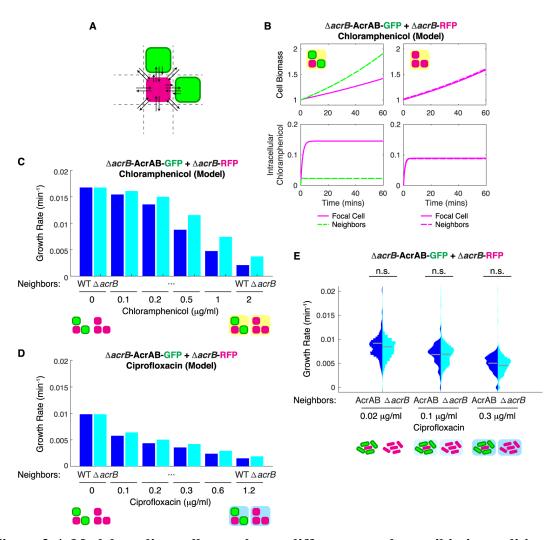


Figure 3-4. Model predicts cell growth rate differences under antibiotic conditions.

(A) Schematic depicting the spatial relationship between the focal cell in the center, its neighbors, and the environment. (B) Biomass and intracellular chloramphenicol concentration of $\Delta acrB$ cells with wild type neighbors or $\Delta acrB$ neighbors simulated in an environment with 0.1 µg/mL of chloramphenicol. (C) Cell growth of $\Delta acrB$ cells with different chloramphenicol concentrations given wild type or $\Delta acrB$ neighbors. Growth rate is calculated as the average change in biomass divided by the time simulated. Model parameters and initial conditions are listed in Table B-1. (D) Cell growth under ciprofloxacin treatment for the same cell configurations as in (C). (E) $\Delta acrB$ -RFP and AcrAB-GFP cells were mixed in different ratios (1:5 or 5:1) and grown on agarose pads with ciprofloxacin. Statistical significance was calculated using the Kolmogorov-Smirnov test, where n.s.: not significant. Gray bars show mean growth rate. Distribution mean, standard deviation, and p-values are listed in Table S1 from reference (337). Full data set including outliers and n values for each are shown in Figure B-1. Schematics under (C-E) show the type of neighbors surrounding the cell in the middle whose growth rate is calculated. Background color indicates presences of antibiotics.

ciprofloxacin. The simulated results show a decrease in the impact of neighbors on the focal cell's growth rate (Figure 3-4D). We confirmed this experimentally with ciprofloxacin, observing modest, but not statistically significant differences between the different neighboring cells (Figure 3-4E). In an extension to the model, we explored how the neighborhood affected the focal cell's growth rate. We observed that the overall number of neighbors was an important determining factor of the focal cell's growth rate and the exact spatial arrangement of the neighbors played only a minor role (Figure 3-5).

In microbial communities bacterial cross-species interactions are common.

Therefore, we tested whether the neighbor effect was limited to our single-species co-

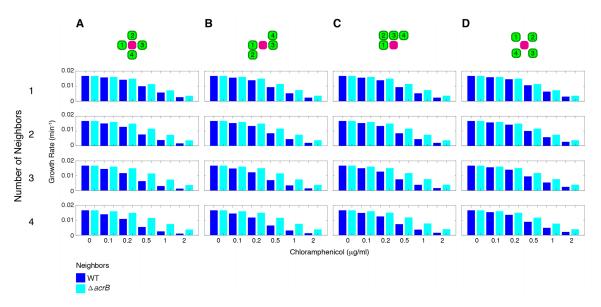


Figure 3-5. Impact of neighborhood on focal cell growth rate.

(A-D) Each row represents a different number of neighbors and each column represents different neighborhood layouts. When the number of neighbors is one (first row) only the cell labeled "1" in the schematic is included in the simulation. For two neighbors, cells "1" and "2" are included, and so on. The neighborhoods evaluated are (A) spread out, but close to the focal cell, (B) neighbors are closer to each other, (C) neighbors are clustered around focal cell, and (D) spread out, but further from focal cell. The model was evaluated for $\Delta acrB$ -RFP cells with $\Delta acrB$ -AcrAB-GFP (blue) and $\Delta acrB$ -RFP (cyan) neighbors exposed to different concentrations of chloramphenicol.

cultures with $E.\ coli$ or if it extended to cross-species interactions. $E.\ coli$ (e.g. ETEC or STEC) and $S.\ typhimurium$ are both foodborne pathogens and their co-existence can lead to mixed biofilm formation and a higher resistance against sanitization (262). We investigated the growth of $S.\ typhimurium$ co-cultured with $E.\ coli$ WT-GFP or $\Delta acrB$ -RFP under conditions with and without chloramphenicol. Consistent with our results from the single-species co-cultures, we observed that $S.\ typhimurium$ grows more slowly with $E.\ coli$ WT-GFP neighbors than $E.\ coli\ \Delta acrB$ -RFP neighbors (Figure 3-6). These results indicate that the neighbor effect generalizes to cross-species interactions.

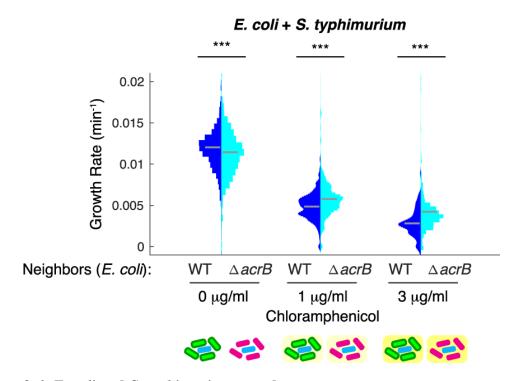


Figure 3-6. E. coli and S. typhimurium co-culture.

S. typhimurium cells were mixed with either WT-GFP or ΔacrB-RFP E. coli. Statistical significance was calculated using the Kolmogorov-Smirnov test. ***p < 0.001. Gray bars show mean growth rate. Distribution mean, standard deviation, and p-values are listed in Table S1 from reference (337). Full data set including outliers and n values for each are shown in Figure B-1. Schematic under plot shows the type of neighbors surrounding the cell in the middle whose growth rate is calculated. Background color indicates antibiotic concentration.

3.5. Discussion

Single cell level effects are important for bacterial growth and survival under antibiotic treatment. Here we focused on differences in antibiotic efflux as a mechanism for generating cell-to-cell differences in antibiotic survival. This work is motivated by recent studies showing that efflux pump expression is variable across cells within a bacterial population (260, 261). Using detailed quantitative measurements of single cell growth rates, we asked how differences in drug efflux affect the growth of neighboring cells. We found that $\Delta acrB$ cells have a lower growth rate when surrounded by cells with the AcrAB-TolC pump than when surrounded by like $\Delta acrB$ cells. This effect leads to a rapid shift in the community composition towards more resistant cells that occurs within a small number of generations. Further, the effect extends to *E. coli* and *S. typhumirium* cocultures, suggesting that these findings are likely to be broadly relevant for mixed-species communities and stress tolerance mechanisms that work by exporting antibiotics or other compounds into the immediate vicinity.

Efflux pump expression can be burdensome to cells and there is a tradeoff between the benefit of pumps and their cost (189). Under the conditions we tested here, the cost of pumps was modest and conditions with no antibiotics produced only minor differences in growth rates between $\Delta acrB$ -RFP and AcrAB-GFP cells; however, we note that as experiment durations are extended this burden will become more apparent. These cost and benefit tradeoffs will likely depend on the environment, as cells balance the burden of pump expression, the impact of their neighbors, and the local antibiotic concentration to maximize growth.

In the future, it will be interesting to study the interaction between drug efflux and other antibiotic resistance mechanisms that function at the single-cell level. Also, efflux pump expression is stochastic and can change over time in individual cells (260, 261), suggesting the potential for experiments that quantify how these dynamics affects growth of neighboring bacteria. The implications for the eventual evolution of permanent genetic changes that lead to antibiotic resistance are also an interesting area for future research. Single cell level effects and how bacteria interact, including their proximity, can have a profound impact on whether antibiotics are effective.

3.6. Contributions Statement

The authors of this work were Xi Wen (X.W.), Ariel M. Langevin (A.M.L.), and Mary J. Dunlop (M.J.D.). X.W. conducted the experiments and analyzed the data, A.M.L. performed the modeling, M.J.D. supervised the research. All authors wrote the manuscript.

3.7. Methods

3.7.1. Strains and plasmids

We used BW25113 as the wild type strain of *E. coli*. BW25113 $\Delta acrB$ was derived from the Keio collection strain JW0451 (BW25113 $\Delta acrB$::kan^R) (235), and we removed the kanamycin resistance marker using the pCP20 plasmid (236). For the *Salmonella* coculture experiments, we used the model strain *S. typhimurium* LT2 (263).

Plasmids were constructed using the Gibson assembly method (240). To distinguish the strains, we used fluorescent reporters encoded on plasmids. For RFP, we used the plasmid pBbA5k-rfp (238), for GFP we used pBbA5k-sfgfp (189), and for AcrAB-GFP we used pBbA5k-acrAB-sfgfp (189), where *acrAB* and *sfgfp* are transcriptionally fused. All

plasmids described above have an IPTG-inducible P_{lacUV5} promoter controlling gene expression, a medium copy p15A origin of replication, and kanamycin resistance marker. The plasmids were transformed into either the *E. coli* wild type strain (pBbA5k-sfgfp to make WT-GFP), *E. coli* $\Delta acrB$ strain (pBbA5k-rfp for $\Delta acrB$ -RFP; pBbA5k-acrAB-sfgfp for $\Delta acrB$ -GFP), or *S. typhimurium* strain (pBbA5k-rfp).

3.7.2. Growth conditions

E. coli and S. typhimurium were cultured in Luria Broth (LB) medium. For all experiments, overnight cultures were inoculated from a single colony in LB with 30 μg/ml kanamycin for plasmid maintenance. Overnight cultures were then grown at 37 °C with orbital shaking at 200 rpm. Before experiments, cultures were refreshed 1:50 in LB with kanamycin and grown at 37 °C with orbital shaking. After 5 h, we added 100 μM IPTG and then incubated an additional 2 h to induce fluorescent protein or AcrAB expression. For S. typhimurium, 100 μM IPTG was added after cultures were refreshed for 0.5 h and cells were grown for an additional 2 h induction. Co-cultures were mixed in ratios of 1:5 and 5:1 each for $\Delta acrB$ -RFP and WT-GFP or $\Delta acrB$ -RFP and AcrAB-GFP experiments (and control with $\Delta acrB$ -RFP and $\Delta acrB$ -GFP).

3.7.3. Time-lapse microscopy

For imaging experiments, the co-cultures were placed on an agarose pad with $100 \,\mu\text{M}$ IPTG and with either 0, 0.2, $1 \,\mu\text{g/ml}$ chloramphenicol or 0.02, 0.1, $0.3 \,\mu\text{g/ml}$ ciprofloxacin for *E. coli* co-cultures, or 0, 1, $3 \,\mu\text{g/ml}$ chloramphenicol for the *E. coli* and *S. typhimurium* co-culture. We imaged at least three positions per pad,

resulting in measurements of hundreds of single cells for each position (for n values for each case see Figure B-1). 1.5% low melting agarose pads were made using M9 minimal medium containing 0.2% glycerol, 0.01% casamino acids, 0.15 μ g/ml biotin, and 1.5 μ M thiamine. Cells were diluted and mixed at ratios as indicated above and placed on pads containing 100 μ M IPTG and chloramphenicol or ciprofloxacin. Images were taken using a Nikon Ti-E microscope with 100x objective lens for 130 mins at 5 min intervals. The temperature of the microscope chamber was held at 32°C for the duration of the experiment.

3.7.4. Data Analysis

Images were analyzed in Matlab. We used the automated image processing package SuperSegger30 to measure cell growth rates and identify neighboring cells. An individual cell's lineage starts just after its mother has divided, forming it and a sister cell, and it ends when the cell divides into two daughter cells. Growth rate is defined as the natural log of the ratio of the length of the cell at the end of the lineage to its length at the start of the lineage, divided by the length of the lineage in minutes. Thus, the growth rate is the exponential rate constant (264). Custom Matlab scripts were used to analyze growth data and neighbor effects. Statistical analysis of growth rates was performed in Matlab.

3.7.5. Toxicity experiments

To determine the antibiotic toxicity of the strains, we added a final concentration of 0, 0.1, 0.2, 0.5, 1, 2, 5, or 10 μ g/ml of chloramphenicol or 0, 0.05, 0.1, 0.2, 0.5, 1, 2, or 5 μ g/ml of ciprofloxacin to each culture. The samples were sealed with evaporation-

limiting membranes (Thermo Scientific AB-0580) and grown in 96-well plates at 37 °C with orbital shaking at 200 rpm. OD₆₀₀ readings were taken with a BioTek Synergy H1m plate reader every 10 m for 18 h. The toxicity curves represent change in growth for the first 2 h for consistency with the length of the microscopy experiments. All experiments were performed in triplicates with biological replicates.

3.7.6. Mathematical model

To simulate cell growth with different neighbors in the presence of antibiotics, we used an agent-based model with Moore neighborhood architecture to describe the spatial interactions between cells and the environment (265–267). We represent each cell with two ordinary differential equations describing intracellular antibiotic concentration (Eq. 13) and cell biomass (Eq. 14). The model assumes exponential growth, which is valid for the short durations (~2 h) over which modeling and experiments are conducted. The biomass equation has a term for the toxicity of the environment, which is derived from Van Impe *et al.* (189, 227, 268).

$$\begin{split} \frac{dC_{in}}{dt} &= \frac{1}{6} \Biggl[\sum\nolimits_{j=1}^{all\ adjacent\ Neighbors} \left(\frac{1}{2} K_{out,j} + \frac{1}{2} K_{in} \right) C_{in,j} \\ &+ \sum\nolimits_{k=all\ adjacent\ Neighbors+1}^{4} K_{in}\ C_{out} \Biggr] \\ &+ \frac{1}{12} \Biggl[\sum\nolimits_{j=1}^{all\ diagonal\ Neighbors} \left(\frac{1}{2} K_{out,j} + \frac{1}{2} K_{in} \right) C_{in,j} \\ &+ \sum\nolimits_{k=all\ diagonal\ Neighbors+1}^{4} K_{in}\ C_{out} \Biggr] \end{split}$$
 Eq. 13

$$\frac{dN}{dt} = \mu N \left(\frac{1}{1 + \left(\frac{C_{in}}{K_c} \right)^{h_c}} \right)$$
 Eq. 14

The total antibiotic concentration at each time point is assumed to be equal to the antibiotic concentration in the environment and inside cells. We assume instantaneous diffusion within environments separated by a membrane.

$$C_{total} = C_{out} + \sum_{i=1}^{all\ cells} C_{in,i}$$
 Eq. 15

Our model focuses on the focal cell and its neighbors. C_{in} is the intracellular antibiotic concentration, and C_{out} is the extracellular concentration. N is biomass of the cell, and μ is the maximum growth rate. K_{in} and K_{out} are antibiotic entry and exit based on the presence of efflux pumps. We assume that if two cells are close together, the efflux from the neighbor will create a small area with a higher relative antibiotic concentration. We model this as the influx into the focal cell where an edge with a neighbor has an influx rate of $\frac{1}{2}K_{out,neighbor} + \frac{1}{2}K_{in}$. The first term represents the effect of the gradient produced by efflux from the neighboring cell with some loss to the environment and the second term represents passive influx that may occur. The second term sets a lower bound so that $\frac{1}{2}K_{out,neighbor} + \frac{1}{2}K_{in} \ge K_{in}$.

For the effect of antibiotics on change in biomass, we fit experimental data to a Hill function. Parameters for the toxicity term, h_c and K_c , were fit to $\Delta acrB$ toxicity curves for chloramphenical and ciprofloxacin (Figure B-2). For modeling cell growth under ciprofloxacin, we decreased K_{out} by using fits to experimental data. All model fits were conducted by minimizing least-squares error. All model parameters are listed in Table B-1.

4. MAPPING THE ROLE OF ACRAB-TOIC EFFLUX PUMPS IN THE EVOLUTION OF ANTIBIOTIC RESISTANCE REVEALS NEAR-MIC TREATMENTS FACILITATE RESISTANCE ACQUISITION

4.1. Abstract

Antibiotic resistance has become a major public health concern as bacteria evolve to evade drugs, leading to recurring infections and a decrease in antibiotic efficacy. Systematic efforts have revealed mechanisms involved in resistance; yet, in many cases, how these specific mechanisms accelerate or slow the evolution of resistance remains unclear. Here, we conducted a systematic study of the impact of the AcrAB-TolC efflux pump on the evolution of antibiotic resistance. We mapped how population growth rate and resistance change over time as a function of both the antibiotic concentration and the parent strain's genetic background. We compared the wild type strain to a strain overexpressing AcrAB-TolC pumps and a strain lacking functional pumps. In all cases, resistance emerged when cultures were treated with chloramphenical concentrations near the MIC of their respective parent strain. The genetic background of the parent strain also influenced resistance acquisition. The wild type strain evolved resistance within 24 h through mutations in the acrAB operon and its associated regulators. Meanwhile, the strain overexpressing AcrAB-TolC evolved resistance more slowly than the wild type strain; this strain achieved resistance in part through point mutations in acrB and the acrAB promoter. Surprisingly, the strain without functional AcrAB-TolC efflux pumps still gained resistance, which it achieved through upregulation of redundant efflux pumps. Overall, our results suggest that treatment conditions just above the MIC pose the largest risk for the

evolution of resistance and that AcrAB-TolC efflux pumps impact the pathway by which chloramphenicol resistance is achieved.

4.2. Introduction

Despite the new wave of antibiotic discovery (269–273), bacteria continue to acquire resistance shortly after the introduction of new drugs for medicinal and industrial applications (5, 274). This is due in large part to the overuse of antibiotics, which results in pressures that drive resistance (275). With limited novel antibiotics and numerous futile antibiotics, doctors and scientists alike are presented with the challenge of how to best treat infections while keeping the evolution of resistance in check.

Adaptive evolution studies have begun exploring how certain antibiotic pressures influence the evolution of resistance. For instance, studies using a 'morbidostat'—a continuous culture device that dynamically adjusts antibiotic concentrations to inhibitory levels—have found numerous targets that can be readily mutated to promote resistance (102, 103, 105) and have also identified how drug switching can limit the evolution of resistance (276). While these studies have provided pivotal insights for this field, the morbidostat design causes antibiotic concentrations to rise to levels that exceed clinically relevant concentrations due to toxicity for patients (107). In recognition of the drug concentration-dependent nature of evolution, researchers have begun to explore bacterial evolution under treatment conditions with lower antibiotic concentrations as well. Wistrand-Yuen *et al.* found that bacteria grown in sub-inhibitory drug concentrations were still able to achieve high levels of resistance (87, 277, 278). Notably, the study identified that the same antibiotic produced unique evolutionary pathways when cells were treated

with sub-inhibitory concentrations as opposed to inhibitory concentrations (87).

One limitation of current studies within the field is that they can be difficult to compare due to variations in experimental parameters, such as species, antibiotics, or other experimental conditions (118). Given the unique evolutionary pathways at different antibiotic concentrations, systematic mapping of these evolutionary landscapes could provide an improved understanding of which conditions pose the highest risk by allowing direct comparisons between different antibiotic concentrations. For instance, Jahn *et al.* demonstrated that variations in treatment dynamics can significantly alter evolved resistance for some antibiotics, such as tetracycline, but not others, such as amikacin and piperacillin (279). Other evolution experiments that were systematically conducted using a range of concentrations for beta-lactams (88) and erythromycin (280) have highlighted the concentration-dependent adaptability of *E. coli*.

There are many mechanisms by which antibiotic resistance can be achieved, including enzymatic inactivation, alteration of antibiotic binding sites, and increased efflux or reduced influx of antibiotics (281, 282). Efflux pumps are omnipresent in prokaryotic and eukaryotic cells alike, and are an important contributor to multidrug resistance (222). AcrAB-TolC in *E. coli* is a canonical example of a multidrug efflux pump, providing broad-spectrum resistance and raising the MIC of at least nine different classes of antibiotics (283). The pump is composed of three types of proteins: the outer membrane channel protein, TolC; the periplasmic linker protein, AcrA; and the inner membrane protein responsible for substrate recognition and export, AcrB (222). Using the proton motive force, AcrB actively exports antibiotics from the cell (222, 230). The presence of

AcrAB-TolC efflux pumps can increase the MIC by ~2-fold to ~10-fold, depending on the antibiotic (145, 189, 219). Furthermore, genes associated with these multidrug resistant efflux pumps, including their local and global regulators, are common targets for mutation as strains evolve high levels of drug resistance (106, 277, 284–286).

Recent studies have indicated that in addition to providing modest increases in the MIC due to drug export, pumps can also impact mutation rate and evolvability of strains, which may ultimately be more important for the acquisition of high levels of drug resistance. Firstly, mutants overexpressing *acrAB* emerge first and then are able to further evolve facilitate high levels of quinolone resistance (287). Secondly, heterogeneity in efflux pump expression can also predispose subsets of bacterial populations to mutation even prior to antibiotic treatment (191). Deleting genes associated with efflux pumps, such as *tolC*, can also reduce evolvability under antibiotic exposure (288). Further, a recent study in *S. aureus* found that higher NorA pump levels increased evolvability, and that adding a pump inhibitor could prevent resistance evolution (192). These studies provoke the question of how AcrAB-TolC efflux pumps can serve to promote or attenuate the evolution of drug resistance.

Our overall goal in this study was to identify temporal, phenotypic, and genetic patterns in how strains with different AcrAB-TolC genotypes evolve antibiotic resistance under a range of chloramphenicol concentrations. Chloramphenicol is both a well-validated substrate of AcrAB-TolC and can serve as a last resort antibiotic in multi-drug resistant infections, as most clinical isolates are still susceptible to this drug (289, 290). To identify how AcrAB-TolC impacts the evolution of resistance, we used a turbidostat as an

evolutionary platform (291) and measured changes in fitness and resistance. We evolved three strains with different levels of AcrAB-TolC: a wild type strain with the native regulatory network controlling AcrAB-TolC expression (WT); a strain which lacks the local regulator AcrR (AcrAB+), which results in a 1.5 to 6-fold increase in expression of the pumps (157, 159, 175); and a strain lacking functional AcrAB-TolC efflux pumps (ΔacrB).² We allowed the cultures to grow and evolve for 72 h in continuous culture while continuously recording growth rates. We periodically sampled the cultures and assessed the population's resistance. We then charted the evolutionary landscapes for each strain under different chloramphenicol concentrations to identify which circumstances gave rise to resistance.

4.3. Results

In order to systematically evaluate the evolutionary landscape of efflux pumpmediated antibiotic resistance, we used the eVOLVER, a modular turbidostat capable of growing independent cultures in parallel (291). This platform allowed us to track a culture's fitness by measuring growth rate continuously over multi-day experiments. In addition to this, we collected samples at selected intervals and, with these samples, performed antibiotic disc diffusion assays to assess the population's resistance and spot assays to quantify the presences of high-resistance isolates within the population (Figure 4-1).

² For consistency, these appear as defined in the original pre-print; however, please note that the names and definitions of each strain differ from Chapter 2, 3, and 5.

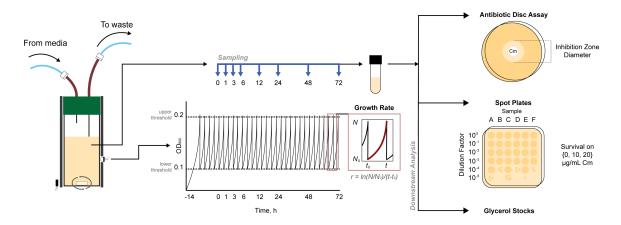


Figure 4-1. Evolution experiment schematic.

We used the eVOLVER, a modular turbidostat, as an evolutionary platform to measure and record absorbance data at 600 nm (OD_{600}). We calculated growth rate after each dilution event and collected samples at defined timepoints (t = 0, 1, 3, 6, 12, 24, 48, 72 h). We performed antibiotic disc assays and spot assays for all samples.

We mapped growth rates over time for cultures subjected to a range of chloramphenicol treatment concentrations (Figure 4-2A & Figure C-1). To compare across strains, we defined MIC^0_{parent} as the MIC of the parent strain ($MIC^0_{WT} = 2 \mu g/mL$, $MIC^0_{AcrAB^+} = 2 \mu g/mL$, $MIC^0_{AcrAB^+} = 0.5 \mu g/mL$). We found similar values for MIC^0_{WT} and $MIC^0_{AcrAB^+}$ (Figure C-2), which may be due to induction of efflux pump expression in the WT strain in the presence of chloramphenicol. Prior studies have shown that the presence of stress can increase pump expression by 4-fold (157, 292), which is comparable to the impact of deleting acrR (157, 159, 175). We found that treatment with high concentrations of chloramphenicol repressed bacterial growth for multiple days. We observed this growth inhibition at ~10 $\mu g/mL$ for WT and AcrAB+, and at ~2 $\mu g/mL$ for $\Delta acrB$. These inhibitory concentrations represent treatments of ~5x MIC^0_{parent} for all three strains. We found that cultures grown in lower chloramphenicol concentrations were able to recover growth. For example, when we treated cultures with ~1-2x MIC^0_{parent} , we observed a significant

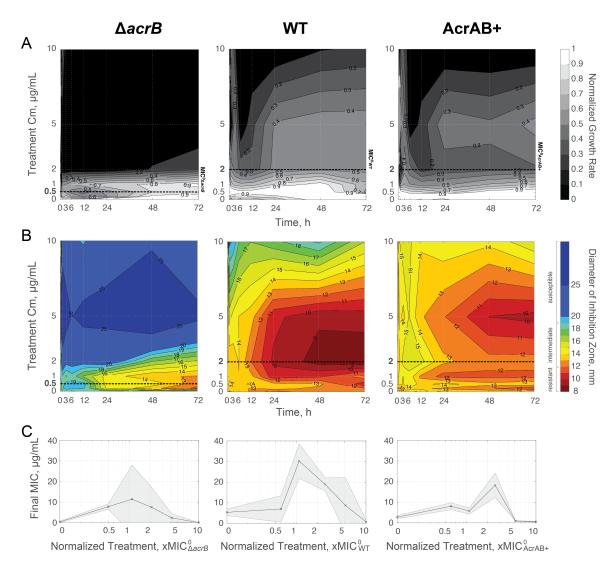


Figure 4-2. Temporal landscapes based on treatment concentration of chloramphenicol.

(A) Average growth rate. Growth rates are normalized to growth of strains at t = 0 h; for raw data see Figure C-1. Lighter areas represent growth rates closer to pre-treatment values; darker areas represent reduced growth rates. MIC⁰ concentration is denoted with a bold dashed line for each strain (Figure C-2). (B) Average resistance. Diameter of inhibition zones were plotted for each time and treatment. Smaller inhibition zones are shown in red and correspond to resistant cells (≤ 12 mm) and larger inhibition zones are shown in blue and represent susceptible cells (≥ 19 mm); intermediate inhibition is shown with color scale from orange to green. MIC⁰_{parent} is denoted with a bold dashed line. (C) Final resistance at 72 h based on treatment concentration normalized to MIC⁰_{parent}. The calculated, absolute final MIC is based on data from Figure C-5. Data points show the mean of three biological replicates. Shaded error bars show standard deviation.

decrease in the growth rate between 0 and 12 h (Table C-1). However, after 12 to 24 h, growth in these populations was partially restored. At lower treatment concentrations (<1x MIC⁰_{parent}), all cultures were able to grow, though usually at a deficit compared to the 0 μg/mL chloramphenicol condition. For all three strains, there were qualitatively similar growth recovery patterns, with an initial growth repression phase followed by a partially restored growth phase (Figure C-1).

The growth rate results suggested the evolution of drug resistance within the population (102, 279). To quantify this, we used an antibiotic disc assay to map the corresponding resistance levels (Figure 4-2B & Figure C-3). We found distinct increases in resistance levels that corresponded to populations which recovered growth. While there were qualitative similarities for the three strains, the timing and level of resistance achieved was dependent on the strain background. We classified populations as resistant when their inhibition zone diameters were smaller than 12 mm, following established standards for antimicrobial susceptibility testing (293). The WT strain gained resistance under a broad range of chloramphenicol treatment concentrations; this resistance emerged within 24 h when cells were treated with $\sim 1-2x$ MIC 0 WT. The AcrAB+ strain, where efflux pumps are overexpressed, was able to evolve resistance as well, albeit at a slower rate and at lower levels than WT. AcrAB+ achieved resistance within 48 h when treated with 2.5x MIC⁰_{AcrAB+}, but the range of chloramphenical concentrations that resulted in resistance was narrower than for the WT strain. The $\Delta acrB$ cells achieved resistance more slowly, but for the range of $\sim 1-2x$ MIC⁰_{$\Delta acrB$} chloramphenicol cultures were still able to reach resistant levels (Figure 4-2B & Figure C-3).

To compare the ultimate evolved resistance levels, we calculated the final, absolute MIC of the populations at 72 h. When we normalized the treatment concentration by MIC^0_{parent} , we found that treatments concentrations ~1-2x MIC^0_{parent} evolved the most resistant populations (Figure 4-2C). Selective pressures of subinhibitory antibiotic concentrations have often been considered high-risk for the evolution of resistance (87, 294). Yet, our results indicated that concentrations near or just above MIC^0_{parent} lead to the highest resistance levels in these conditions. In short, all three strains were able to evolve resistance when treated with ~1-2x MIC^0_{parent} chloramphenicol, with WT achieving the highest final, absolute MIC of the three strains. WT evolved more rapidly than AcrAB+ or $\Delta acrB$. Moreover, the relative range of chloramphenicol concentrations that supported the evolution of resistance in the AcrAB+ strain was narrower than for WT or $\Delta acrB$ strains.

We next asked how resistance and growth changed through time. We found that in the absence of antibiotics, the trajectories trended largely towards faster growth, with minimal changes to resistance levels (Figure 4-3). With subinhibitory chloramphenicol treatments, we observed that the populations first experienced a slight growth decrease, followed by increased resistance, and then restored growth within 48 h. While these populations did gain resistance, they did not tend to reach very high final MIC values in absolute terms, with inhibition zone diameters just at the border of being defined as resistant. In contrast, with inhibitory chloramphenicol treatment, there was a more dramatic reduction in growth within the first 12 h. Though growth was impacted, the populations tended to walk towards high resistance during this period. As depicted in the schematics, the zig-zag patterns trending towards high resistance may be indicative of the cultures

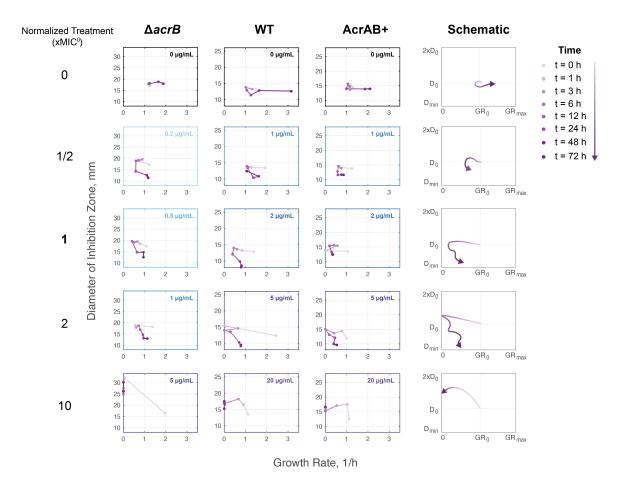


Figure 4-3. Resistance and Fitness Evolution Trajectories.

(A) Average diameter of inhibition zone and average growth rate plotted against each other. Lighter purple markers represent trajectories occurring earlier; darker purple are later timepoints. The longer the distance between markers, the greater the change between time points. Colors of boxes indicate the absolute treatment concentration for the depicted trajectories. (B) Schematics summarize patterns for each treatment concentration (xMIC 0 parent). Schematic plots show growth rate in terms of initial growth rate (GR $_0$) and maximum physiological growth rate (GR $_{max}$). Resistance is shown in terms of relative diameter of inhibition, where D $_0$ is the diameter of inhibition at t = 0 h and D $_{min}$ is the diameter of the antibiotic disc.

acquiring resistant mutations and compensating for the associated fitness costs of these mutations. Finally, at high chloramphenical concentrations, bacteria first became more susceptible and then stopped growing entirely within 12 h; growth was never restored for these populations. We found that all strains followed similar evolutionary trajectories while

balancing the trade-off between growth and resistance. These findings highlight the importance of using antibiotic concentrations that are sufficiently inhibitory.

While these results tell us about the growth rate and resistance of the overall population, it is difficult to determine if sub-populations of cells within the culture have acquired high levels of resistance from disc assays alone. First, because the disc assays do not quantify resistance associated with individual cells in the culture, they cannot reveal the presence of sub-populations of resistant and susceptible cells. Second, beyond a certain resistance level, cells will grow up to the boundary of the disc; thus, it is not possible to quantify resistance increases beyond this. Determining which conditions can give rise to high levels of resistance is important for revealing particularly dangerous treatment regimes. In addition, sub-populations with increased resistance to one antibiotic can promote cross-resistance to other drugs (294).

To quantify the fraction of resistant cells that emerged during our evolution experiment, we conducted a spot assay, in which we measured the fraction of the population capable of surviving on specific chloramphenicol concentrations. For all three strains, we observed sub-populations that were capable of growing on $10~\mu g/mL$ chloramphenicol (Figure 4-4A & Figure C-4). Interestingly, these cells primarily emerged from treatment conditions with lower levels of chloramphenicol, and not from conditions where cells were subjected to $10~\mu g/mL$ chloramphenicol. For example, at least 0.1% of the population from each of the three WT replicates that were treated at $2~\mu g/mL$ chloramphenicol could survive on $10~\mu g/mL$ at the end of the experiment. We did find cases where WT cells treated with $10~\mu g/mL$ evolved resistance to $10~\mu g/mL$, however this

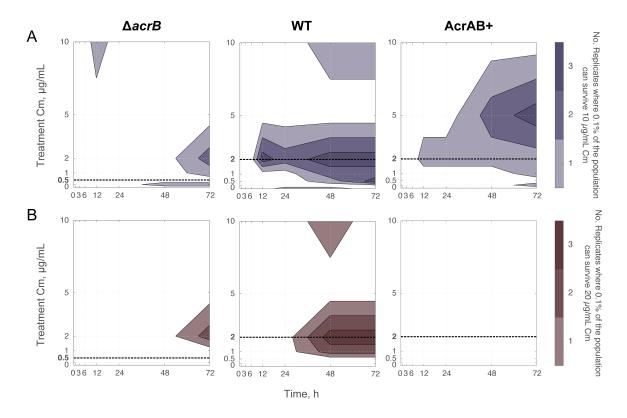


Figure 4-4. Number of Biological Replicates with Highly Resistant Sub-populations through Time.

Number of biological replicates that had a sub-population greater than 0.1% of their total population, which could grow on LB plates containing (A) 10 μ g/mL or (B) 20 μ g/mL chloramphenicol. Raw data is shown in Figure C-4. Initial populations contained ~10⁷ CFUs. MIC⁰_{parent} compared to treatment concentration is denoted with a bold dashed line (Figure C-2).

was less frequent compared to lower treatment concentrations. Thus, cultures were able to evolve resistance to higher levels of chloramphenicol than they were exposed to, a feature that was most pronounced when treatments were just above or at MIC^0_{WT} . These results closely match trends in the population's overall resistance (Figure 4-2B). We also found isolates capable of growing on 20 μ g/mL chloramphenicol, albeit with a reduced frequency relative to 10 μ g/mL (Figure 4-4B & Figure C-4).

In contrast, the AcrAB+ strain was capable of evolving resistance to 10 µg/mL

when treated with 5 µg/mL chloramphenicol; yet, surprisingly, AcrAB+ never produced a sub-population that was able to grow on 20 µg/mL as the WT did. Meanwhile, despite the higher initial susceptibility of $\Delta acrB$ (MIC⁰ $_{\Delta acrB}$ < MIC⁰ $_{WT}$ and MIC⁰ $_{AcrAB+}$), the $\Delta acrB$ strain consistently produced sub-populations that were able to grow at 20 µg/mL chloramphenicol by 72 h. This sub-population appeared for chloramphenicol concentrations around 2 µg/mL, similar to the WT strain.

A key question remained: which mutations were responsible for the increases in resistance we observed? To address this, we used whole genome sequencing to analyze three biological replicates from the 72 h timepoint for the WT, AcrAB+, and $\triangle acrB$ strains (Table 4-1). For the WT strain, each of the sequenced isolates contained a single point mutation in the DNA binding region of marR, which can upregulate AcrAB-TolC efflux pumps and expression of other stress response genes (295). Two of these point mutations were missense mutations in *marR* and have been observed in other studies (35, 296–299). Additionally, one isolate had a missense mutation in the periplasmic encoding region of acrB. The other two isolates had an IS1 or IS5 insertional sequence interrupting acrR, which is known to upregulate acrAB (300). One question these results raise is why the AcrAB+ strain, where acrR is removed, is outperformed by WT strains with mutations in acrR. A potential explanation for this is that the 'marbox' through which acrAB is upregulated sits within acrR (163). The AcrAB+ strain lacks this marbox (235), while in the sequenced isolates the insertion sequence is located further upstream in acrR and the marbox remains intact, providing global stress response regulation while eliminating the impact of the local repressor. Thus, the exact position of the insertion sequence matters.

These sequencing results indicate that strains containing AcrAB-TolC efflux pumps use mutations related to the pumps and their regulation to optimize survival and increase resistance in the presence of chloramphenicol.

When we evolved the AcrAB+ strain and performed whole genome sequencing of the most resistant isolates, all isolates had mutations in the noncoding, promoter region of *acrAB* (Table 4-1). These mutations indicate that the AcrAB+ strain might require further

Parent Strain			WT			AcrAB+			ΔacrB		
Treatment Concentration			2 μg/mL Cm			5 μg/mL Cm			1 μg/mL Cm		
Region	Mutation	Position	1	2	3	1	2	3	1	2	3
acrR	IS1 + 4bp	481,420			X						
	IS5 + 8bp	481,481	X								
PacrRAB	IS2 + 4bp	481,163						X			
	Δ 1bp	481,174				X					
	T→C	481,187					X				
acrB	Q569L	478,154		X				X			
	V139F	479,445					X				
marR	+ 1bp	1,613,590		X							
	T72P	1,613,590	X								
	V84E	1,613,267			X						
acrS	IS5 + 4bp	3,407,126							X	X	
	IS2 + 4bp	3,407,133									X
rpoB	K126Q	4,174,956				X					
fimD	T393N	4,536,090					X				
yhjB	IS4 + 12bp	3,664,650						X			
clpX	IS186 + 2bp	454,251						X			
selA	D441G	3,753,288							X		
rrsG	+58 bp	2,723,638							X		
Isolation [Cm] (μg/mL)			20	20	20	10	10	10	10	5	5

Table 4-1. Summary of whole genome sequencing results.

Non-clonal mutations for each resistant isolate from eVOLVER experiments. Each isolate from each parent strain is derived from a different biological replicate. In addition to the mutations, the table also lists the treatment concentrations that each isolate evolved at, as well as the concentration of chloramphenical that the isolate was selected on at $t=72\,h$. Genetic regions that do not exist in the parent strain are grayed out.

tuning of *acrAB* expression for improved resistance. Further, two of these isolates also had missense mutations in the coding region of *acrB* as well. Of these, the V139F missense mutation is known to produce high levels of multidrug resistance by accelerating export for a number of AcrAB-TolC substrates (105, 279, 301, 302). We observed *acrB* Q569L evolve from two different parent strains, WT and AcrAB+, suggesting it plays a role in chloramphenical export. Additionally, the evolved AcrAB+ isolates all had other mutations less directly related to the AcrAB-TolC efflux pump and its regulators, such as genes related to transcription (*rpoB*, *yhjB*), fimbriae assembly (*fimD*), or degradation (*clpX*) (Table 4-1).

In contrast, when we evolved the $\triangle acrB$ strain, we found that all three isolates had an insertion sequence located in acrS (Table 4-1). AcrS is the local regulator of the AcrEF-TolC efflux pump, a homolog to AcrAB-TolC (180). This result agrees with findings from Cudkowicz & Schuldiner, who showed that the $\triangle acrB$ strain gained high resistance by upregulating redundant efflux pumps in $E.\ coli$, such as AcrEF-TolC or MdtEF-TolC (105). One of the three isolates also contained a missense mutation in the tRNA for selenocysteine (selA) and a short insertion sequence in the 16S rRNA of the 30S subunit (rrsG), though whether or how these play a role in chloramphenicol resistance is unclear.

4.4. Discussion

In this work, we identified that treating strains with antibiotic concentrations close to $\text{MIC}^0_{\text{parent}}$ promotes the evolution of resistance; however, the evolvability and ultimate resistance level achieved differed between WT, AcrAB+, and $\Delta acrB$ strains. WT populations evolved mutations that conferred high levels of resistance within 24 h after

antibiotic exposure. Maximal resistance was evolved at ~1x MIC 0 WT, however 0.25-2.5x MIC 0 WT chloramphenicol treatment concentrations all gave rise to resistance. We observed similar trends WT treated with another antibiotic, ciprofloxacin, as well (Figure C-6). In contrast, AcrAB+ evolved resistance, but this was only possible at precise chloramphenicol concentrations at 2.5x MIC 0 AcrAB+. The evolved AcrAB+ populations were less resistant than their WT counterparts, and spot assays determining resistance confirmed this trend. In contrast, the $\Delta acrB$ strain was able to evolve resistance under 1-4x MIC 0 AacrB chloramphenicol treatments, and ultimately achieved absolute resistance levels comparable to those observed in the WT strain.

Our results identify that antibiotic treatments near MIC^0_{parent} are especially prone to evolving resistance. Reding *et al.* observed this hotspot for adaptability of *E. coli* in the presence of another antibiotic, erythromycin, just below the MIC of their parent strains (280). While doctors measure resistance of bacterial infections, they sometimes prescribe antibiotic treatment prior to obtaining the results of this assay (303) or use a treatment concentration too low to effectively penetrate the infection site (304). This blind treatment could lead to increased levels of resistance (305, 306). These results highlight the presence of regimes that are especially problematic and which should be avoided to limit the evolution of antibiotic resistance.

While we observed that all strains were capable of evolving resistance, sequencing revealed the different pathways that each strain took to achieve this. WT achieved resistance through mutations and insertion sequences in the regulators AcrR and MarR, suggesting that WT cells can fine-tune expression of the AcrAB-TolC pumps to gain

resistance to chloramphenicol. Interestingly, these mutations may produce cross-resistance to other antibiotics as well since these regulators control many genes involved in multi-drug resistance (164, 165). AcrAB+ cells utilized mutations in *acrB* and the promoter region controlling its expression to achieve resistance. Δ*acrB* populations achieved resistance by targeting homologous efflux pump systems, such as AcrEF-TolC. Although resistance was slow to emerge in this strain compared to WT or AcrAB+, this alternative pathway for achieving resistance ultimately resulted in levels comparable to those achieved by the WT strain. By charting evolutionary landscapes across different antibiotic concentrations, we have gained insight into treatments that impact the emergence of antibiotic resistance and the effect of efflux pumps on this process.

4.5. Contributions Statement

The authors of this work were Ariel M. Langevin (A.M.L.), Imane El Meouche (I.E.M.), and Mary J. Dunlop (M.J.D.). A.M.L. and I.E.M. designed experiments, A.M.L. conducted the experiments and analyzed the data, M.J.D. supervised the research. All authors wrote the manuscript.

4.6. Methods

4.6.1. Strains and Plasmids

We used *E. coli* strains BW25113 (WT), BW25113 $\Delta acrB$ ($\Delta acrB$), and BW25113 $\Delta acrR$ (AcrAB+). The wild type strain BW25113 is the parent strain for the Keio collection (235). BW25113 $\Delta acrB$ was derived from Keio collection strain JW0451 (BW25113 $\Delta acrB$:: kan^R) (189). For BW25113 $\Delta acrR$, we designed primers with homology regions on acrR and amplified the kanamycin resistance marker and FRT sites of pKD13 (235).

Primers are listed in Table C-2. The linear DNA was then treated using a DpnI digest and PCR purification. We electroporated the purified linear DNA into competent BW25113 cells containing the plasmid pSIM6 (307). We removed kanamycin resistance markers from JW0451 and BW25113 $\Delta acrR::kan^R$ following the pCP20 protocol from Reference (308).

4.6.2. Determination of MIC

For all experiments, overnight cultures were inoculated from a single colony in 10 mL LB and grown in a 50 mL Erlenmeyer flask at 37°C with 200 rpm orbital shaking. After overnight growth, the optical density at 600 nm (OD₆₀₀) was measured, and the initial volume was diluted back to $OD_{600} = 0.1$. To determine the MIC of the parent strains (Figure C-2), we added a final concentration of 0, 0.2, 0.5, 1, 2, 4, 8, or 12 μ g/mL of chloramphenicol to each culture; to determine the MIC of the evolved strains (Figure C-5), we added 0, 0.5, 1, 2, 5, 10, 20, or 50 μ g/mL to each culture. Chloramphenicol stocks were prepared with 100% ethanol. The samples were sealed with evaporation-limiting membranes (Thermo Scientific AB-0580) and grown in 24-well plates at 37°C with 200 rpm orbital shaking. OD₆₀₀ readings were taken using a BioTek Synergy H1m plate reader before incubation (t = 0 h) and after antibiotic exposure (t = 24 h). As Tween20 is a detergent and a potential substrate of the AcrAB-TolC efflux pumps, we also conducted the toxicity curve experiments with Tween20 at our working concentration 0.2% (v/v). We found there was no significant change in resistance for any of the strains under the presence of Tween20 (Figure C-7). All experiments were performed in triplicate using biological replicates.

4.6.3. Experimental Conditions in the eVOLVER

In the eVOLVER, cultures were inoculated from a single colony in LB at 37°C. A stir bar mixed the cultures on a medium setting, or approximately 1000 rpm (291). The LB was supplemented with the detergent Tween20 (Sigma Aldrich Cat. # P1379) at 0.2% (v/v) to reduce spurious OD₆₀₀ measurements caused by biofilm growth on the flask. As Tween20 is a detergent and a potential substrate of the AcrAB-TolC efflux pumps, we also conducted the toxicity curve experiments with Tween20 at our working concentration 0.2% (v/v). We found there was no significant change in resistance for any of the strains in the presence of Tween20 (Figure C-7 & Table C-4).

Cells were inoculated in the eVOLVER overnight ($t \approx -16 - -14$ h) prior to the beginning of the experiment (t = 0 h) to establish steady-state exponential growth. We set the eVOLVER using an upper OD₆₀₀ bound of 0.2 and a lower bound of 0.1; thus, cultures were grown to a turbidity of 0.2 and then diluted back to 0.1 to maintain the turbidostat at approximately constant cell density. Samples were collected during the experiment at set time points (t = 0, 1, 3, 6, 12, 24, 48, and 72 h) and used for downstream analysis. All experiments were performed in triplicate using biological replicates.

At t=0 h, we introduced chloramphenicol at a predetermined final treatment concentration ([Cm] = 0, 0.2, 0.5, 1, 2, 5, 10, or 20 µg/mL). This introduction was implemented by switching the media source from one containing 0 µg/mL chloramphenicol to another containing the final treatment concentration; in addition, we spiked the samples directly with the treatment concentration of chloramphenicol at the same time to avoid a delay due to the time required for media cycling in the turbidostat.

4.6.4. Downstream Assays and Data Collection from eVOLVER Samples

4.6.4.a. Growth Rate Measurements

Growth rate measurements were calculated after each dilution event using:

Growth Rate =
$$\frac{\ln\left(\frac{OD_{600,high}}{OD_{600,low}}\right)}{t_{OD_{600,high}} - t_{OD_{600,low}}}$$
 Eq. 16

The growth rate between each dilution was then averaged across sampling time points to compare against disc diffusion assays and spot assays. For example, the growth rate given at t = 0 h is the growth rate from t = -6 h to t = 0 h. To evaluate statistically significant differences in growth rate between two time points, we used the paired-t test; to evaluate statistically significant differences in growth rate between two strains, we used the t test (Table C-1).

4.6.4.b. Antibiotic Disc Diffusion Assay

We aliquoted samples from the eVOLVER, where the OD₆₀₀ from each sample was between 0.1 and 0.2. We used cotton swabs to cover LB agar plates with a layer of the sample (309). An antibiotic disc containing chloramphenicol (30 g) (Thermo Fisher Scientific Cat. # CT0013B) was then placed on the plate. The plate was incubated for 24 h at 37°C. The diameter of the zone of inhibition around each disc was then measured. Diameter of inhibition zones were classified as susceptible, intermediate, or resistant based on Reference (293). Additionally, we calculated the MIC using a linear mapping between MIC and diameter of inhibition zones for our samples (Figure C-5) (310). To evaluate statistically significant differences in diameter of inhibition zones or resistance between two time points, we used the paired-t test; to evaluate statistically significant differences in

resistance between two genotypes, we used the *t* test (Table C-4).

4.6.4.c. Spot Assay

The samples from the eVOLVER experiment were diluted in PBS to the following dilution series: 1, 10^{-1} , 10^{-2} , 10^{-3} , 10^{-4} , and 10^{-5} . We then plated 2.5 μ L of each dilution on LB agar plates containing 0, 0.5, 1, 2, 5, 10, and 20 μ g/mL chloramphenicol. The plates were then incubated for 24 h at 37°C. To count colonies, we identified the dilution factor with the most countable colonies, and recorded the number of colony forming units (*CFU*) and dilution factor (*d*). The CFU/mL for each sample was then calculated by:

$$CFU/mL = \frac{CFU * d}{V}$$
 Eq. 17

where V is the volume plated. We also calculated the proportion of the population able to grow on different concentrations of chloramphenical by calculating the CFU/mL from LB agar plates containing 0, 0.5, 1, 2, 5, 10, and 20 μ g/mL chloramphenical.

4.6.5. Whole Genome Sequencing

DNA was extracted from single isolates and parent strains using the QIAGEN DNeasy PowerBiofilm kit. For each strain, we selected three isolates to sequence; each of these isolates originated from a different biological replicate that was evolved under the same experimental conditions (i.e. each isolate comes from a different eVOLVER culture). Samples were sequenced at the Microbial Genome Sequencing Center (MiGS) in Pittsburg, PA, USA, who conducted library preparation and multiplexing using the Illumina Nextera kit series and then sequenced using a NextSeq 550 platform with 150 bp paired-ends and an average coverage of 50 reads. We analyzed reads using *breseq* (311) version 0.35.1.

Reads were aligned to the BW25113 Keio reference genome (Accession: CP009273) in consensus mode. The treatment concentrations and isolation concentrations used to select each isolate are listed in Table 4-1. Whole genome sequencing data for the parent strains and the isolates are available on GenBank (BioProject: PRJNA666010; Accession no.: CP062239 to CP062250).

5. ANTIBIOTIC INTRODUCTION RATE AND MIXED POPULATIONS INFLUENCE THE EMERGENCE OF ANTIBIOTIC RESISTANCE

5.1. Abstract

Antibiotic resistance remains a public health concern as bacteria readily utilize resistance mechanisms, including efflux pumps, to evade antibiotic treatments. Previously, we found that the rate of antibiotic administration could compromise the effectiveness of such multidrug efflux pumps (Chapter 2). For instance, the AcrAB-TolC efflux pump exports antibiotics out of the cell, increasing resistance levels. These pumps are more effective when antibiotics are introduced slowly. In this study, we assessed how short-term and long-term differences in antibiotic introduction rates affect the longer-term evolution of drug resistance. We monitored this in different genetic backgrounds: E. coli harboring AcrAB-TolC efflux pumps with their native regulatory networks intact, constitutive expression of the efflux pumps, and a strain lacking functional pumps. We compared cultures exposed to a rapid step increase in chloramphenicol to those exposed to a slow short-term ramp increase. We found that efflux pump expression increases tolerance to antibiotics and promotes the emergence of resistance through mutations. In genotypes lacking the native regulation networks, slow rates of antibiotic introduction increase the number of resistant isolates and decrease the number of susceptible cells compared to rapid antibiotic introduction. We also identified how slow and long-term antibiotic introduction rates promote increased fitness over resistant phenotypes relative to step antibiotic introduction rates. Lastly, we found that in co-cultured populations containing strains with and without the pumps, the results were not simply the additive response of the singlestrain evolution experiments. Co-cultured populations exposed to a step increase in chloramphenical produced few resistant isolates, whereas in populations exposed to a short-term ramp over half of the isolates were resistant. These results highlight the importance of studying the interplay between the rate of antibiotic introduction, population composition, as well as the regulatory networks controlling expression of resistance genes.

5.2. Introduction

Although the antibiotic revolution represents a significant advance in modern medicine, bacteria have historically acquired resistance shortly after the introduction of new antibiotics (5, 274). Moreover, the discovery of novel antibiotics has dwindled, presenting doctors and scientists alike with the challenge of how to best treat infections while keeping the evolution of resistance in check. Overuse of antibiotics results in pressures further driving resistance (275). A potential solution is to focus not solely on finding new drugs, but also on leveraging dosing strategies that minimize the frequency of resistant and tolerant bacteria. Here, we define resistance as a genetically-encoded mechanism that allows bacteria to survive antibiotic treatment and tolerance as a phenotypic response that enables survival.

There are many mechanisms by which antibiotic resistance can be achieved, including enzymatic inactivation, alteration of antibiotic binding sites, and increased efflux or reduced influx of antibiotics (230). Due to their active role in exporting antibiotics, we focused on efflux pumps, specifically the multidrug AcrAB-TolC pump in *E. coli*. The proteins that compose the pump are the outer membrane channel TolC, the periplasmic linker protein AcrA, and the functional unit of the efflux pump AcrB. AcrB uses the proton

motive force to actively export substrates out of the cell (222, 230). Deletion of *acrB* eliminates pump functionality, resulting in a five-fold reduction of minimum inhibitory concentration (MIC) for chloramphenicol (189). Chloramphenicol is often used as a last resort antibiotic in multidrug resistant infections since most clinical isolates are susceptible to this drug (289, 290). Despite the pump-mediated increase in resistance, upregulation of the efflux pumps incurs a growth cost and can increase mutation frequencies (189, 191).

Current antibiotic resistance research primarily explores binary conditions — where a stress is or is not present; however, realistic environments are seldom as well-defined as those in the laboratory (193). Our prior results have demonstrated a relationship between the rate of antibiotic addition and the benefit of efflux pumps on population fitness, where the efflux pumps' ability to convey a population-level fitness benefit is amplified when antibiotics are added slowly (189). We found that cells with constitutive expression of efflux pumps were more represented than those without pumps when a slow dose of antibiotics was applied over the course of several hours (189). Further, recent theoretical results suggest that the emergence of mutations giving rise to antibiotic resistance may also depend on the dynamics of stress (111). These results prompt the question of whether the rate of antibiotic addition can influence levels of resistance and tolerance (312).

Our overall goal in this work was to identify how antibiotic resistance and tolerance emerge based on antibiotic dose dynamics. To achieve this, we used a turbidostat as an evolutionary platform (291). We introduced chloramphenical at two different rates: a short-term ramp over 6 hours and a step over 1 minute. We allowed the cultures to grow and evolve for 72 hours in continuous culture and then assessed whether resulting colonies

derived from the culture were either nongrowing, susceptible, tolerant, or resistant to antibiotics. We conducted these tests in strains with the native regulatory network controlling AcrAB-TolC expression intact, in strains with constitutive expression of the pumps, and in strains lacking the pumps. We then identified cases where different antibiotic introduction rates and the genetic background of the strain play a role in survival.

5.3. Results

5.3.1. Short-term fluctuations can promote fitness from antibiotic exposure

We tested two antibiotic introduction profiles and measured how they impacted population shifts over the course of 72 hours. We compared a short-term ramp and step introduction of an antibiotic (Figure 5-1A). For these experiments, we used a final concentration of 1 µg/mL chloramphenicol, which is the half maximal inhibitory concentration (IC₅₀) for wild type cells (189). Cultures subjected to both treatment profiles receive the same amount of antibiotic, but the rate at which it was introduced differs between the two.

In order to assess the appearance of tolerance and resistance under these treatments, we grew cells in a modular turbidostat called the eVOLVER (291). The eVOLVER maintains cultures in exponential phase by using serial dilutions to introduce media when the optical density reaches an upper threshold so that the optical density, or turbidity, of the culture stays within a narrow range of values (Figure 5-1B). To investigate whether differences in antibiotic introduction rates of chloramphenical would impact the growth, we first tested wild type cells that have the AcrAB-TolC pump controlled by its native upstream stress response regulators. Since it is quite rare for advantageous mutations to

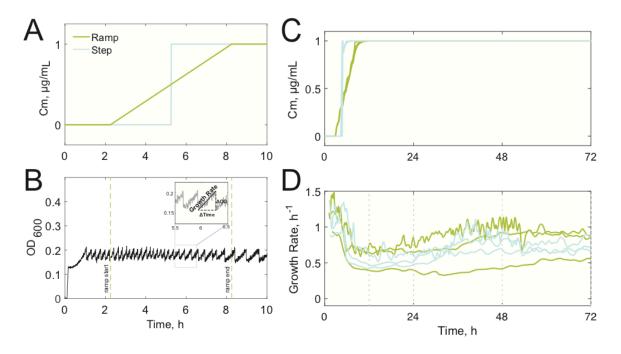


Figure 5-1. Experimental conditions and growth of wild type E. coli in the eVOLVER.

(A) Schematic of two antibiotic introduction rates: a short-term ramp increase to the final antibiotic concentration (green) and a step increase (blue). (B) OD_{600} of an individual culture exposed to a short-term ramp increase in chloramphenicol. Cells are grown to an upper bound of $OD_{600}=0.2$ and then diluted back to $OD_{600}=0.15$. Note that the growth rate decreases after antibiotic introduction begins. (Inset) Schematic showing how the growth rate is calculated from raw OD_{600} measurements. (C) Actual concentration of chloramphenicol over time in wild type cultures for a short-term ramp (green) and step (blue). (D) Growth rates for wild type cultures. n=3 biological replicates for each treatment.

appear at a high frequency within hours (313, 314), we allowed the bacteria to grow continuously for 72 hours. Previous evolution experiments identified trimethoprim-resistant isolates in under 50 hours (106). This timing allowed us to assess changes in resistance that emerge from changes in the antibiotic introduction rate. We found that wild type cells exposed to a chloramphenical step had a period of growth inhibition followed by a modest increase in growth rate approximately 24 hours after treatment (Figure 5-1C-D). The cells exposed to a short-term ramp were similar, though the increase in growth was

more variable across replicates. On average, after 72 hours the final growth rates were near 0.7 h⁻¹ for cultures from both the short-term ramp and step treatments. For comparison, we also ran tests with no chloramphenical addition and observed growth rates of >1.0 h⁻¹ (Figure D-1A). Therefore, although wild type cells partially recover, their population distributions are still impacted at 72 hours relative to untreated cultures.

To determine resistance and tolerance levels of cells within the cultures, we plated samples from the 72-hour time point (Figure 5-2A). To first confirm viability, we used LB plates; for the antibiotic tests, we plated cells on LB plates with a high concentration of chloramphenicol (Cm) (25 μ g/mL). We were also interested in investigating whether cross-resistance to other antibiotics could emerge without prior exposure. To test this, we also plated cells on LB plates containing high doses of tetracycline (Tet) (6 μ g/mL) and LB plates ciprofloxacin (Cp) (0.1 μ g/mL) (191, 315, 316).

From each of these plates we isolated three colonies and cultured them individually in fresh LB medium. Interestingly, cultures derived from a subset of these colonies did not grow when re-cultured in fresh LB. We categorized these as *nongrowing* in our subsequent analysis (Figure 5-2A). For the colonies that we were able to culture, we used an antibiotic disc assay to further categorize results. In this assay, media containing a single isolate was plated to confluence and a disc containing antibiotics was placed on the plate. Antibiotic from the disc diffuses into the surrounding media. At areas close to the disc, known as the inhibition zone, antibiotic concentrations are high and bacterial growth is inhibited (317). This test could determine changes in resistance of isolates compared to the original parent strain, which is the strain that was not subjected to treatment in the eVOLVER. For

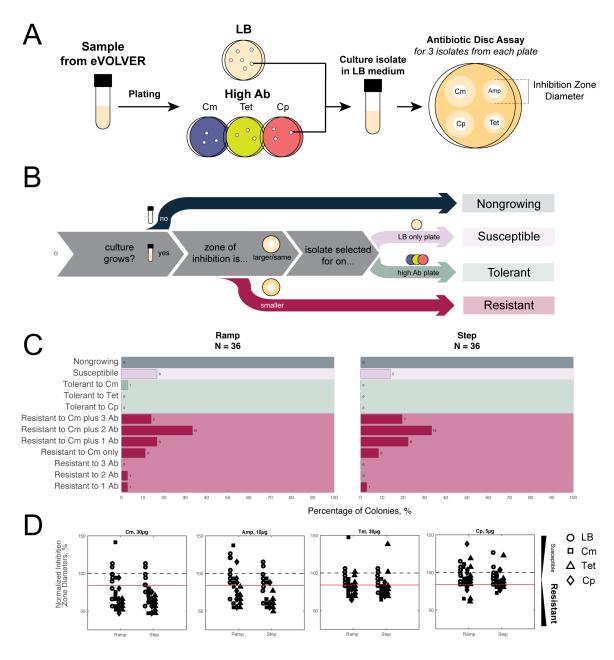


Figure 5-2. Experimental and data analysis workflows quantifying the emergence of resistance and tolerance for wild type *E. coli*.

(A) After 72 hours, cultures were plated on a control LB plate and on three high dose antibiotic plates (25 μ g/mL chloramphenicol (Cm), 6 μ g/mL tetracycline (Tet), 0.1 μ g/mL ciprofloxacin (Cp)). From each of these plates, three colonies were grown to exponential phase, then plated and used to perform an antibiotic susceptibility disc assay to determine changes in resistance relative to the parent strain. (B) Information on each isolate was then used to classifying strains as nongrowing, susceptible, tolerant, or resistant. (C) Fates of all isolated colonies from all plates. Results are classified as: nongrowing (navy),

susceptible (light pink), tolerant (light green), and resistant (burgundy). Ab, antibiotics. N represents the number of isolates from each experiment that were picked. If no colonies were found on a high antibiotic plate then no isolate could be picked. Counts are shown at the end of each bar, listed as a number on the figure. (D) Normalized inhibition zone diameters for the four antibiotic disc assays: 30 µg chloramphenicol, 10 µg ampicillin (Amp), 30 µg tetracycline, and 5 µg ciprofloxacin. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone, where no change is denoted by the dashed, black line. The threshold we used to classify colonies as resistant is shown in red. The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond). Each individual replicate for data presented here is shown in Figure D-6.

example, if the inhibition zone diameter is smaller for the isolate than the parent, then the isolate is more resistant than the parent.

We exposed each isolate to four antibiotic susceptibility discs: chloramphenicol, ampicillin, tetracycline, and ciprofloxacin (Figure 5-2A). We selected these antibiotics because of their diverse mechanisms of action, allowing us to test whether the changes in resistance target a specific antibiotic mechanism or are more general. In addition, the AcrAB-TolC efflux pump has a range of efficacies for these antibiotics (Figure D-2). Chloramphenicol is a protein synthesis inhibitor that is actively exported by the AcrAB-TolC efflux pump (218, 219). Ampicillin is a β-lactam that inhibits cell wall synthesis and known to be exported by AcrAB-TolC (222, 318). Tetracycline inhibits protein synthesis and ciprofloxacin inhibits DNA replication (319). We measured the inhibition zone for each isolate and compared it to the inhibition zone for the parent strain (Table D-1).

We classified results into four different categories (Figure 5-2B). (1) *Nongrowing* cells were no longer culturable after the initial plating, as described above, and the isolates did not grow in LB media or on LB plates. (2) *Susceptible* cells grew on LB, but did not grow on any of the high antibiotic plates and had no reduction in inhibition diameter in the

disc assay relative to the parent strain. (3) *Tolerant* cells were able to grow in high concentrations of antibiotics on the plates, but exhibited no reduction in inhibition zone diameter relative to the parent strain. (4) *Resistant* cells had a reduction in inhibition zone diameter compared to the parent strain.

For the wild type strain, we isolated both tolerant and resistant strains, but saw no significant differences between short-term ramp and step antibiotic treatment, indicating that regardless of the introduction rate, wild type cells have a similar response (Figure 5-2C). These results are in contrast to control experiments without antibiotic addition, where instances of resistance were rare and susceptible isolates were prevalent (Figure D-1B). In the antibiotic disc assay we tested for resistance and cross-resistance by normalizing relative to the parent strain's inhibition zone diameter (Figure 5-2D). Thus, isolates with data points falling below 100% are more resistant than the parent strain. Here, we again observed clear differences between the antibiotic treatments and the no antibiotic control, with chloramphenicol-treated samples acquiring resistance, while those without chloramphenicol exposure did not (Figure 5-2D, Figure D-1C). Step and short-term ramp exposures were similar in their effect on the zone of inhibition.

Despite using only chloramphenicol in the eVOLVER continuous culture, we found many examples of cross-resistance, where cells were resistant to ampicillin, tetracycline, or ciprofloxacin as well (Figure 5-2D and Figure D-3, Column 4). These results indicate that the mechanisms involved in resistance extend beyond the impact of a single stressor. From our whole genome sequencing results from **Chapter 4**, we speculate that this observation of cross-resistance may be the consequence of wild type cells readily

evolving through insertion sequences placed in far-reaching stress response regulators, such as *marR* (164–166).

Although results for the wild type strain were similar for both step and short-term ramp treatments, we asked what impact efflux pump expression had on these findings. Mutations in the stress response regulators, acrR and marR, are observed in both clinical and laboratory settings; notably, these regulators primarily improve resistance by upregulating expression of the AcrAB-TolC efflux pump (106, 285, 320). We expected that the rate of antibiotic introduction would impact survival in an efflux pump-dependent fashion (189) and asked how this would translate to differences in the distributions of nongrowing, susceptible, tolerant, and resistant strains. In these experiments, we compared strains with two genetic backgrounds: an acrB deletion mutant with the efflux pump genes expressed on a plasmid (acrAB+) and a strain without efflux pumps ($\Delta acrB$).³ An important distinction between acrAB+ and wild type is that the native regulation of the acrAB operon has been removed in acrAB+ and the pump genes are constitutively expressed. For our experimental conditions, where acrAB+ is exposed to chloramphenical during exponential phase, acrAB+ and wild type demonstrate the same dose response to increasing concentrations of chloramphenicol (Figure 5-3B); for growth on agar plates the complementation is partial (Table D-1).

To compare fitness after antibiotic treatment for acrAB+ and $\Delta acrB$, we grew cells in continuous culture in the eVOLVER and exposed them to a step and a short-term ramp

³ For consistency, these appear as defined in the original and, in this case, unpublished manuscript; however, please note that the names and definitions of each strain differ from Chapter 2, 3, and 4.

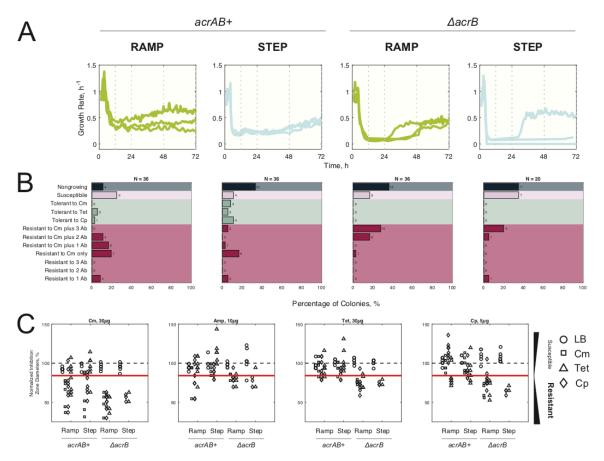


Figure 5-3. Impact of antibiotic introduction rate and strain background on the emergence of resistance and tolerance for acrAB+ and $\Delta acrB$ cultures.

(A) Growth rates in *acrAB*+ and Δ*acrB* cultures where the two chloramphenicol introduction rates are a short-term ramp (green) and a step (blue) as shown in Figure 5-1C. n = 3 biological replicates for each treatment. (B) Fates of all isolated colonies from all plates. Results were classified as: nongrowing (navy), susceptible (light pink), tolerant (light green), and resistant (burgundy). Ab, antibiotics. N represents the number of isolates, counts shown at end of bar. (C) Normalized inhibition zone diameters for the four antibiotic disc assays. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone (black, --); resistance threshold (red). The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond). Each individual replicate for data presented here is shown in Figure D-7 and Figure D-8.

of chloramphenical introduction. For both types of treatment, we observed a sharp decrease in growth rate for cells without efflux pumps ($\Delta acrB$), whereas cells with efflux pumps (acrAB+) had a more gradual shift to their minimum growth rates (Figure 5-3A). Cells

exposed to a step also had a sharper decrease in growth rate than cells exposed to a short-term ramp. We found that slower introduction of antibiotics led to significantly higher growth rates in the first 24 hours for acrAB+ cells, but that at 72 hours the cultures with the step treatment had recovered (Table D-2). Growth of $\Delta acrB$ cells was severely impacted at 24 hours for both treatments, however the samples experiencing short-term ramps were more likely to recover by 72 hours (Figure 5-3A). Thus, the presence of efflux pumps improves growth immediately after antibiotic treatment. In addition, the antibiotic introduction rate also influences growth of the culture many hours after it is applied.

At the 72-hour timepoint, we plated cells on LB and plates containing high antibiotic concentrations and, following the procedures outlined in Figure 5-2A-B, we classified outcomes of the isolates from the different strain backgrounds and chloramphenical treatment profiles. We found that functional efflux pumps were key to promoting tolerance in contrast this phenotype rarely appeared for $\Delta acrB$ cells (Figure 5-3B). We also found that cells exposed to short-term ramp treatments were more likely to exhibit resistance than cells exposed to a step (Figure 5-3B). For $\Delta acrB$ cultures exposed to a step, 70% of the isolates were nongrowing or susceptible. In contrast, acrAB+ cells exposed to a short-term ramp had ~35% nongrowing and susceptible cells. $\Delta acrB$ exposed to a short-term ramp and acrAB+ exposed to a step were intermediate. These results indicate that both the rate of antibiotic introduction, along with the genetic background of the cells influences propensity for survival through tolerance and resistance. For the acrAB+ cells, there were isolates surviving to 72 hours based only on tolerance, without acquisition of resistance, regardless of the antibiotic introduction rate. For both acrAB+

and $\Delta acrB$, populations exposed to a short-term ramp had higher incidences of resistant isolates compared to those exposed to a step; this can also be observed by a higher frequency of mutations on 25 μ g/mL chloramphenical plates (Figure D-4, Row 1, Columns 2-3).

We also used the disc diffusion assay to test for changes in resistance relative to the parent strain. acrAB+ cells exhibited a range of resistance to chloramphenicol, with examples spanning no change to greatly increased resistance (Figure 5-3C). Comparing the two introduction rates for acrAB+ cells, results were largely similar in the distribution of resistance for the antibiotics (Figure 5-3C). In contrast, we observed that the $\Delta acrB$ cells were divided into two sub-populations for both of the antibiotic introduction rates. One population remained susceptible to chloramphenicol. We noted that these isolates were all obtained from the LB plate, so although they survived the continuous culture with chloramphenicol, they showed no difference in resistance in the disc diffusion assay in comparison with the parent. Meanwhile, the second population contained isolates that were resistant to chloramphenicol. Interestingly, for $\Delta acrB$ cells alone, we observed that this bimodal distribution exists for tetracycline and ciprofloxacin cross-resistance as well and is most pronounced in the case of the step treatment.

Since the IC₅₀ of chloramphenicol for $\Delta acrB$ is lower than the IC₅₀ for wild type and acrAB+, we also investigated whether lower chloramphenicol concentrations would result in higher levels of resistance for the $\Delta acrB$ strain. The higher, instantaneous concentration of chloramphenicol introduced as a step led to low recovery of $\Delta acrB$ cells; we became interested in how lower concentrations of chloramphenicol might affect $\Delta acrB$

differently. As expected, growth recovered much more quickly when $\triangle acrB$ was exposed to 0.5 µg/mL instead of 1 µg/mL chloramphenicol (Figure 5-4A). In the case of the step exposure to chloramphenicol, all replicates maintained growth after treatment. At both chloramphenicol concentrations, we still observed more resistant isolates and fewer susceptible and nongrowing cells emerging under short-term ramp treatments, as opposed to step treatments (Figure 5-4B). Further, while we did find more resistant isolates when cultures were exposed to a lower dose of chloramphenicol (Figure 5-4B), the degree of resistance was lower (Figure 5-4C). There were also no colonies isolated on the high dose chloramphenicol plates (Figure D-4, Row 1, Column 4). Finally, the bimodal distribution of resistance among isolates that was observed for ΔacrB populations at 1 µg/mL chloramphenicol was not observed at lower chloramphenicol concentrations (Figure 5-4C). While the lower concentrations of chloramphenicol did increase the proportions of resistant cells, the magnitude of resistance conveyed did not reach that of wild type nor acrAB+ cells. Interestingly, we found that $\triangle acrB$ cells were only able to reach the same high level of chloramphenicol resistance when exposed to slower changing, but inhibitory conditions – a short-term ramp increase to 1 μg/mL chloramphenicol.

5.3.2. Slow introduction of stress promotes growth and resistance

Next, we investigated how even longer variations in antibiotic treatment might impact the antibiotic resistance and fitness of a population. To do this, we exposed cells to a long-term ramp over 72 h to a final antibiotic concentration. We then compared this to conditions with similar antibiotic levels, but different long-term dynamics, including: (1) a step treatment with the same final concentration of chloramphenicol as the long-term ramp,

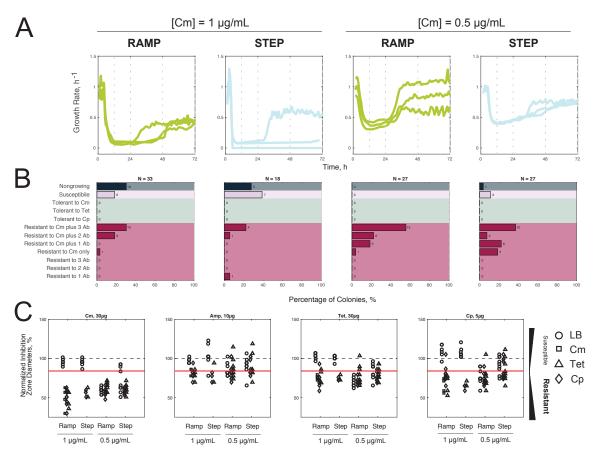


Figure 5-4. Impact of antibiotic introduction rate on the emergence of resistance and tolerance for $\triangle acrB$ cultures exposed to different concentrations of chloramphenicol.

(A) Growth rates in $\Delta acrB$ cultures where the two chloramphenicol introduction rates are a short-term ramp (green) and a step (blue) with a final concentration of 1 µg/mL or 0.5 µg/mL of chloramphenicol. n=3 biological replicates for each treatment. (B) Fates of all isolated colonies from all plates. Results were classified as: nongrowing (navy), susceptible (light pink), tolerant (light green), and resistant (burgundy). Ab, antibiotics. N represents the number of isolates from each experiment that were picked. If no colonies were found on a high antibiotic plate then no isolate could be picked. Counts are shown at the end of each bar. (C) Normalized inhibition zone diameters for the four antibiotic disc assays. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone (black). The threshold to classify as resistant is shown in red. The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond). Each individual replicate for data presented here is shown in Figure D-8 and Figure D-9.

and (2) a step treatment with an equivalent area under the curve as the ramp experiment, which means that it was treated with the same total amount of antibiotic for the same

amount of time. We first found that wild type cells treated with this long-term ramp to 5 µg/mL chloramphenicol had a distinct fitness advantage when compared to both step treatments (Figure 5-5A, Column 1); however, this fitness advantage did not translate increased levels of resistance (Figure 5-5B, Column 2). We also treated wild type cells with a more inhibitory, long-term ramp reaching a final concentration of 10 µg/mL chloramphenicol. Under these more inhibitory treatments (Figure 5-5A-B), we found that populations treated with the long-term ramp had an even greater fitness benefit compared to populations from both step treatments (Figure 5-5A); yet, again, this did not translate to higher evolved levels of resistance (Figure 5-5B).

In contrast, $\Delta acrB$ had both significantly higher fitness and resistance levels when treated with a long-term ramp to 5 μ g/mL compared to treatments with a step introduction of antibiotics (Figure 5-5A-B). Interestingly, all long-ramp conditions experienced small fitness costs until they reached a very resistant phenotype at 48 h (Figure 5-5C). Yet, by 72 h, all these populations experienced resistance loss along with increased fitness (Figure 5-5C). This reversion is in stark contrast to the step conditions, whose trajectories first experience significant fitness costs, but are able to start projecting towards resistant phenotypes by 24 h (Figure 5-5C). For the long-term ramp conditions, this could indicate that the evolved resistance was either only transiently present or that the mechanisms were too costly to retain.

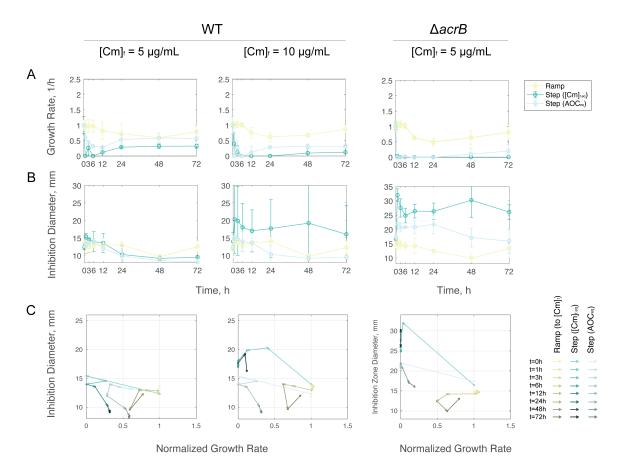


Figure 5-5. Impact of slow, long-term antibiotic introduction rate on populational fitness and resistance.

Cultures were evolved under a 72 h ramp introduction of chloramphenicol, compared to a step introduction of chloramphenicol equivalent to the final [Cm] achieved by the ramp or by the AOC equivalent concentration. Impact of the different dynamics on (A) growth rates and (B) resistance, measured by inhibition zone diameters for the population. Data is shown for n = 3 biologically replicated evolution experiments. (C) Fitness-resistance trajectories mapped through time.

5.3.3. Co-cultures impact how populations survive environmental fluctuations

Competition assays can identify subtle differences in growth; when strains are forced to compete for survival, more fit strains become overrepresented in the population (198, 213). We next asked how a 1:1 co-culture of acrAB+ and $\Delta acrB$ cells performed given either a step or short-term ramp of antibiotic introduction (Figure 5-6). We found

that while bacteria exposed to a short-term ramp of chloramphenicol acquired resistance, the three replicates with the step introduction had many fewer resistant isolates (Figure 5-6B). In addition, co-cultures exposed to the short-term ramp were more likely to be resistant not just to chloramphenicol, but also to exhibit cross-resistance to ampicillin and ciprofloxacin (Figure 5-6B). These cells also had an increase in resistance as measured by the disc diffusion assay. In contrast, co-cultures exposed to a step had similar inhibition zone diameters to the parent strain for all tested antibiotics (Figure 5-6C). To assess

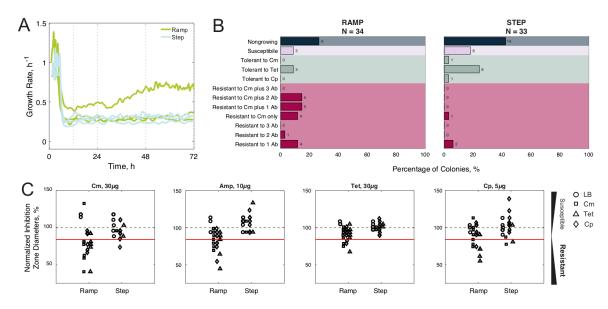


Figure 5-6. Impact of antibiotic introduction rate on a co-cultured population.

(A) The growth rates in co-cultures of 1:1 acrAB+ and $\Delta acrB$. Chloramphenicol introduction rates were a short-term ramp (green) and a step (blue). n=3 biological replicates for each treatment. (B) Fates of all isolated colonies from all plates. Results were classified as: nongrowing (navy), susceptible (light pink), tolerant (light green), and resistant (burgundy). Ab, antibiotics. N represents the number of isolates, counts are shown at the end of bar. (C) Normalized inhibition zone diameters for the four antibiotic disc assays. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone (black, --); resistant threshold (red). The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond). Each individual replicate for data presented here is shown in Figure D-5.

population composition over time, we measured what fraction of the population were acrAB+ versus $\Delta acrB$ cells at intermediate time points. We accomplished this by including a gene for green fluorescent protein (sfgfp) in our acrAB+ strain to allow for straightforward identification of acrAB+ cells via flow cytometry. Despite an initial ratio of 1:1, we found that the majority of cells at the end of the experiments for both the short-term ramp and the step input were acrAB+ (Figure D-5B). These results were specific to antibiotic treatment, as control experiments without chloramphenical were not dominated by the acrAB+ strain (Figure D-5). We also assessed the parent strain of the isolates, and found that resistant isolates emerged from the acrAB+ strain over 60% of the time (Figure D-5B).

5.3.4. Correlations between fitness and resistance for evolution studies

In the eVOLVER continuous culture experiments, cells are maintained within a small window of optical densities (OD₆₀₀ 0.15 to 0.2) before being diluted; however, the growth rates of cultures within these bounds can vary significantly. Based on qualitative patterns between early spikes in growth rates and higher final growth rates (Figure 5-3A, Figure 5-6A), we asked if there was a relationship between the initial growth rate and the final growth rate. We found a correlation between the maximum initial growth rate and the maximum growth rate after treatment (Figure 5-7A, Table D-3), as well as between the number of dilution events until reaching the maximum post-treatment growth and the maximum post-treatment growth rate itself (Figure 5-7B). Thus, cultures that were growing faster before antibiotic addition continued to grow faster after treatment.

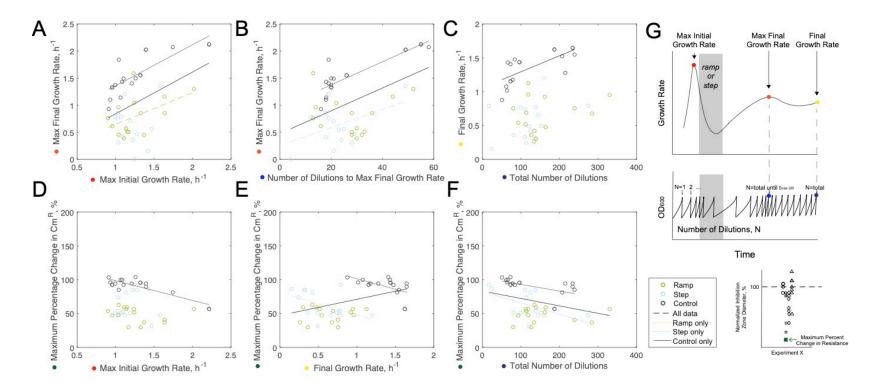


Figure 5-7. Correlations between final growth rates of cultures, initial growth rates, and number of dilution events.

(A) Maximum initial growth rate (prior to antibiotic treatment) compared to the maximum growth rate after treatment. (B) Maximum growth rate after treatment versus the number of dilutions to reach this maximum growth. (C) Final growth rate versus the final number of dilutions at the end of the experiment. (D-F) Maximum change in resistance for a culture versus the (D) maximum initial growth rate (prior to antibiotic treatment), (E) the final growth rate, and (F) the total number of dilution events. These correlations include data for all strains, with replicates: short-term ramp (yellow), step (blue), control (gray). Correlations are depicted using lines when the p value<0.05 with colors representing which data set the correlation is from; correlations for all data are shown in black dashed lines. (G) Schematics of the parameters evaluated. There are 48 experiments represented in total: 15 experiments under short-term ramp conditions, 15 experiments under step conditions, and 18 control experiments.

In contrast, we found no statistically significant relationship between the total number of dilutions and the final growth rate for populations exposed to antibiotics (Figure 5-7D), nor between the maximum initial growth rate and the maximum percentage change in chloramphenical resistance (Figure 5-7C). However, we did identify a slight correlation, whereby the most resistant isolates were found in populations with slower final growth rates (Figure 5-7E), which is in agreement with results in the recent literature showing correlations between susceptibility and high growth rates (321). We also asked whether the higher levels of resistance were solely correlated with the number of dilutions, as more replication events could lead to a higher number of mutations (101). We did find a correlation between resistance and the number of dilution events; however, this relationship was only significant for cells that were exposed to a step or no antibiotics (Figure 5-7F). Under these conditions, growth and resistance were inversely correlated, which may suggest that the emergence of resistance is driven by spontaneous mutations (32).

5.4. Discussion

In this work, we have highlighted how the rate of antibiotic addition—in combination with the genetic background of the strain—can bias populations towards tolerance or resistance. We found that the native regulation of efflux pump expression makes cells robust to both ramp and step introductions of stress, whereas cells overexpressing the pump but lacking upstream regulators (acrAB+) were more likely to be nongrowing or susceptible; this effect was also seen in cells without efflux pumps ($\Delta acrB$). The regulatory network has likely adapted to achieve fast responses to the introduction of

stress. This feature may be ideal for costly machinery, such as AcrAB-TolC efflux pumps, since bacteria can regulate their responses to stress and only turn them on when necessary.

Experiments conducted with strains lacking the native regulation (acrAB+) or the efflux pumps altogether ($\triangle acrB$) revealed clear differences in tolerance and resistance development that were influenced by the rate of antibiotic introduction. We found that short-term ramp introduction for acrAB+ cells led to higher levels of tolerance, resistance, and cross-resistance than the same cells exposed to a step. In addition, acrAB+ cells were also more likely to have high levels of cross-resistance under short-term ramp antibiotic introductions. Interestingly, we previously found that cells overexpressing AcrAB-TolC pumps had higher mutation rates compared to wild type cells (191). While acrAB+ cells did not appear to have an increase in resistance when compared to wild type after being treated with antibiotics, we did see a higher incidence of resistance emerging in the absence of antibiotics. Based on results from **Chapter 4** and Reference (191), we speculate that the heightened mutational rate in our acrAB+ strain increases noise in evolutionary trajectories. This is beneficial because evolved isolates are more likely to probe a wider range of mutations (Chapter 4). However, this could also be costly due to the accumulation of deleterious mutations in the population. In this case, the acrAB+ genotype would provide a double-edge sword when populations are attempting to optimize fitness and resistance.

Meanwhile, $\triangle acrB$ cells did acquire resistance, but never exhibited tolerance. While $\triangle acrB$ cells exposed to a ramp were more likely to acquire resistance than those exposed to a step, they were less likely to achieve as high a magnitude of resistance at more

inhibitory concentrations at 72 h. Interestingly, from whole genome sequencing in **Chapter 4**, we identified that evolved $\Delta acrB$ cells repetitively converge to a resistant genotype. This resistant genotype always had an insertion sequence in acrS, which is the local regulator responsible for repressing AcrEF-TolC (180). This could also explain why certain $\Delta acrB$ populations grown with a step and at inhibitory populations exhibited a bimodal resistance distribution, where isolates were either resistant (with the insertion sequence in acrS) or not (without the insertion sequence in acrS). On the other hand, less inhibitory concentrations lead to a higher frequency of resistant cells in the population, but these cells were less able to withstand high antibiotic selection pressures. As a result, the $\Delta acrB$ populations either contained only a few very resistant isolates or a greater number of less resistant isolates. This along with results from **Chapter 4** suggest that emergence of high levels of resistance evolved from wild type and acrAB+ is indeed facilitated by the AcrAB-TolC pumps themselves.

This study also highlights the importance of studying how different antibiotic treatments affect co-cultured populations. For our co-cultured acrAB+ and $\Delta acrB$ experiments, we found the most distinct differences between the two introduction rates did not necessarily reflect what we observed in the single-species population results. Clinical infections and bacterial communities are rarely, if ever, comprised of a single strain (322). Therefore, it will be interesting to assess more realistic treatments in the context of microbial communities. Overall, we found that the rate at which a bacterial population experiences stress can impact the acquisition of resistance and cross-resistance. In addition, short-term ramp perturbations in antibiotic introduction can increase both tolerance and

resistance and these effects are pronounced in *acrAB*+ cells.

5.5. Contributions Statement

The authors of this work were Ariel M. Langevin (A.M.L.), Imane El Meouche (I.E.M.), and Mary J. Dunlop (M.J.D.). A.M.L. and I.E.M. collected data. A.M.L. wrote custom scripts for experiments and data analysis, and preformed statistical testing. A.M.L. and M.J.D. wrote the manuscript. All authors contributed to this unpublished manuscript.

5.6. Methods

5.6.1. Strains and Plasmids

We used *E. coli* strains BW25113 and BW25113 $\Delta acrB$. The wild type strain BW25113 is the parent strain for the Keio collection (235). BW25113 $\Delta acrB$ was derived from Keio collection strain JW0451 (BW25113 $\Delta acrB::kan^R$) (189), where we removed the kanamycin resistance marker following the pCP20 protocol from (236).

We used the plasmids pBbA5k-rfp ($\Delta acrB$ and wild type) and pBbA5k-acrAB-sfgfp (acrAB+) in experiments. The plasmid pBbA5k-rfp controls expression of red fluorescent protein, and is included so that all strains contain the same plasmid for consistency. The pBbA5k vector contains a medium-copy number (p15A) origin of replication, a P_{lacUV5} promoter, and a kanamycin resistance marker (238). Plasmid pBbA5k-acrAB-sfgfp is a transcriptional fusion of acrAB and sfgfp (189). Plasmids were transformed into $E.\ coli\ BW25113$ and $BW25113\ \Delta acrB$ and then isolated on Luria Broth (LB) plates with 30 µg/mL kanamycin for plasmid maintenance.

For the long-term ramp experiments, we used BW25113 and BW25113 $\Delta acrB$ without plasmids.

5.6.2. Bacterial Growth Conditions

For experiments from Sections 5.3.1, 5.3.3, and 5.3.4., overnight cultures were inoculated from a single colony in 10 mL LB with 30 μ g/mL kanamycin and grown in a 50 mL Erlenmeyer flask at 37°C with 200 rpm orbital shaking. After overnight growth, the optical density at 600 nm (OD₆₀₀) was measured, and the initial volume for each culture was set so that the initial OD₆₀₀ for the culture in the eVOLVER turbidostat was 0.1. If the experiment was composed of a co-culture, the volumes of each of the strains were determined based on an initial OD₆₀₀ contribution of 0.05 each; the calculated volumes for each strain were added to total an initial OD₆₀₀ of 0.1.

5.6.3. Experimental Conditions within the eVOLVER

In the eVOLVER turbididostat, cells were grown at 37°C in LB supplemented with 30 μg/mL kanamycin. A stir bar mixed the cultures on a medium setting, or approximately 1000 rpm (291). Cells were grown in the eVOLVER for 2-3 hours prior to the beginning of the experiment to allow for bacteria to enter exponential growth. We set the eVOLVER using an upper OD600 bound of 0.2 and a lower bound of 0.15 so that cultures were grown to 0.2 and then diluted back to 0.15 to maintain the turbidostat at approximately constant cell density. Samples were collected after 72 hours and used to assess tolerance and resistance within the cultures. Growth rates were calculated from OD600 curves, where the OD600 data were smoothed using a moving average across 10 data points.

Cells were subjected to a ramp, step, or no introduction of chloramphenicol, where each experiment was conducted with three biological replicates. In the ramp, the concentration of chloramphenicol increased over 6 hours. The ramp was implemented by having two media influxes, one containing 0 μ g/mL of chloramphenicol and the other 1 μ g/mL. The proportion of the chloramphenicol-containing media increased across the 6-hour window until 100% of the media came from this source. The step was implemented by switching the media source from one containing 0 μ g/mL chloramphenicol to 1 μ g/mL. The samples themselves were also spiked with 1 μ g/mL chloramphenicol at the same time to avoid a delay due to the time required for media cycling in the turbidostat. For the Δ acrB strain, we also ran the ramp and step experiment at a lower chloramphenicol concentration to reflect the strain's lower IC₅₀, in these cases the final concentration of chloramphenicol was 0.5 μ g/mL instead of 1 μ g/mL.

For the long-term ramp experiments, the final concentrations were 5 μ g/mL or 10 μ g/mL over 72 h. These eVOLVER experiments were inoculated with a single colony for ~15 h before the experimental conditions changed. Samples were collected during the experiment at t= 0, 1, 3, 6, 12, 24, 48, 72 h and used to assess resistance of the population. Growth rates were calculated from OD₆₀₀ curves, following Methods from **Chapter 4**.

In control experiments, we grew cells in the eVOLVER without any antibiotic treatment. As this experiment selects for fast growers, we were only able to run control experiments past 48 hours with great difficulty (i.e. dilution events were so frequent that 1 L media input bottles needed to be changed every 3 hours). We ran one experiment to completion at 72 hours and then conducted additional experiments using 24 hours as the

final time point. We confirmed that results for resistance and tolerance were similar between control samples run for 24 hours and the control that was run for 72 hours (Figure D-1, Columns 1-4). We also found that replacing the vials every 24 hours helped mitigate this seeming increase in growth rate; again at 72 hours, there were no resistant isolates observed (Figure D-1, Column 5). In a separate experiment, we also added the detergent Tween20 (Sigma Aldrich Cat. # P1379) at 0.1% (v/v) in an effort to reduce spurious OD₆₀₀ measurements caused by biofilm growth on the flask (Figure D-1, Column 6). We found that this eliminated the increasing growth rate over the 72-hour period, and still reflected the absence of resistance seen in triplicate 24 hour control experiments.

5.6.4. Measuring Co-culture Distributions

For co-cultures, samples were collected and diluted 1:10 in phosphate-buffered saline 1X (PBS) and measured with a Guava easyCyte HT sampling flow cytometer. There were 5000 counts from each read, using a threshold of 15 in the side scatter channel (SSC). The results were then sorted using a custom script to eliminate other small particles by thresholding the forward scatter channel (FSC) at 15 and setting thresholds for the red and green channels based on controls for our fluorescent proteins, RFP and sfGFP.

We additionally classified the parent strain of each isolate. Each isolate was streaked on an LB + kanamycin plate and incubated overnight at 37°C. After 24 hours, we measured whether the fluorescence of the isolate was red or green using a blue light transilluminator (IO Rodeo). For a small fraction of colonies, we were not able to determine the parent strain based on fluorescence; these samples were marked as undetermined.

5.6.5. Isolating eVOLVER Mutants

Using samples isolated from the end-point of the eVOLVER experiment, we diluted samples in PBS and plated them on LB agar. In cases where high antibiotic selection plates were used, we spun cultures down to concentrate them in advance of plating. The plates included a control for viable cells on a LB + kanamycin plate, as well as LB plates containing high doses of antibiotics: 25 µg/mL chloramphenicol, 6 µg/mL tetracycline, or 0.1 µg/mL ciprofloxacin. For plating, samples were diluted by a 10⁻⁵ and 10⁻⁶ dilution factor for LB + kanamycin; all other samples were plated at 10⁰, 10⁻², 10⁻⁴, and 10⁻⁶ dilution factors. In addition, to concentrate cells we also spun down 1 mL of the culture at 5000 rpm for 7 min, resuspended the pelleted cells in 100 μL of PBS, and plated all cells. The control plates were incubated at 37°C for 24 hours and the high dose antibiotic plates were incubated at 37°C for 48 hours, allowing time for unfit mutants to grow into visible colonies. To enable accurate colony counts, we aimed for between 10-1000 colonies per plate. If not enough colonies appeared on the plate (<10), we plated again with a more concentrated sample; if too many colonies appeared on the plate (>1,000), the samples were diluted and replated (Supplementary Data).

Three colonies were isolated from each plate. These colonies were regrown in 1mL LB in a 24 well plate with kanamycin at 37°C and shaking at 200 rpm overnight.

5.6.6. Measuring Antibiotic Susceptibility

Colonies from the end-point of the eVOLVER experiment, in addition to colonies of the parent strains that were not subjected to growth in the eVOLVER, were inoculated in LB cultures with 30 μ g/mL kanamycin at 37°C and 200 rpm shaking overnight. We used

10 μL of the cultures at a 1/100 dilution in fresh LB with kanamycin. After 4 hours at 37°C and 200 rpm, we removed the culture and used cotton swabs to cover the LB and kanamycin agar with a layer of the culture (309).

Antibiotic-containing discs – chloramphenicol (30g), ampicillin (10g), tetracycline (30g), and ciprofloxacin (5g) (Thermo Fisher Scientific Cat. # CT0013B, CT0003B, CT0054B, and CT0425B, respectively) were then placed on the plate. The plate was incubated for 24 hours at 37°C. The diameter of the zone of inhibition around each disc was then measured. Based on literature and measurements of variability in replicates' inhibition zones, we concluded that cells which were within 16% of the diameter of the parent's inhibition zone were not resistant (323); 16% is two standard deviations (2σ) from the mean for all antibiotics and all strains, and should account for 95% of the variability in replicate inhibition zones, reducing false positive rates for cells that are resistant (Figure D-2A & Table D-1). The classification of each isolate depends on which plate it came from and the difference in the diameter of inhibition between the parent strain and the isolate, as well as how well the cells regrew after the eVOLVER experiments.

We also measured the dose response of the strains to different concentrations of chloramphenicol (Figure D-2B). We inoculated overnight cultures of 5 mL LB with kanamycin for each biological replicate, growing at 37°C and 200 rpm shaking. We then diluted cultures back to approximately 0.15 OD₆₀₀ in 800 μL LB with kanamycin in a 24-well plate and added a range of chloramphenicol concentrations: 0, 0.1, 0.2, 0.5, 1.0, 2.0, 5.0, and 10 μg/mL. We then covered the plates with an evaporation-limiting membrane (Thermo Scientific AB-0580). Plates were incubated at 37°C and 200 rpm shaking and

optical density was measured using a plate reader (BioTek Synergy H1m) at t = 6 h to measure toxicity during exponential growth phase.

For the long-term ramp experiments, samples were directly swabbed onto plates for a snapshot of population level resistance. These samples were only exposed to a chloramphenicol disc.

6. CONCLUSION

A deeper understanding of how complex dynamics promote or limit resistance and its evolution remains imperative. Not only will studies addressing such interactions improve our ability to select optimal antibiotic treatments in clinics, but they will also improve our ability to more accurately model both the short-term and long-term outcomes of antibiotic treatment. In this thesis, we investigated the effect of two previously understudied parameters — antibiotic introduction rates and mixed populations — on antibiotic resistance. In order to understand how antibiotic resistance and its evolution may be unknowingly promoted, we used the AcrAB-TolC efflux pump as a case study. Such efflux pumps are ubiquitous for providing multidrug resistance and are thought to not only impact antibiotic resistance, but its evolution as well.

First, we explored the effect of short-term dynamics on antibiotic resistance, more specifically how varying stress introduction rates over 6 hours impacted antibiotic resistance. In **Chapter 2**, we identified that slower stress introduction rates promoted the presence and fitness of resistant cells in the population. While this could be useful for applications in industrial biosynthesis, such patterns imply that certain antibiotic treatments (e.g. oral doses) could promote antibiotic resistance, even under antibiotic concentrations that are considered inhibitory for microbial growth. The results from this work suggest that temporal dynamics of antibiotic concentration are important factors in the emergence of antibiotic resistance.

In Chapter 3, we explored how mixed populations responded to antibiotic treatment. In contrast to previous studies, which demonstrated that certain

microenvironments provide a protective benefit for their neighbors (324, 325), we found that cells with AcrAB-TolC pumps harmed their neighboring cells under stressful conditions. In this case, cells with the AcrAB-TolC pumps created microenvironments with increased concentrations of extracellular antibiotics. When the microcolonies were homogenous, cells containing AcrAB-TolC pumps did not have a harmful impact on their neighbors; however, when the microcolonies were heterogenous, cells with AcrAB-TolC pumps had a deleterious effect on their neighbors who lack efflux pumps. Such results elucidated that certain pressures that more readily promote resistant phenotypes in mixed populations undergoing antibiotic treatment. Interestingly, when co-cultures were exposed to a short, 4-hour pulse of antibiotic treatment, we found that susceptible cells were actually able to recover more quickly at sub-inhibitory concentrations and non-costly resistant phenotypes were not overrepresented in the population even at very high pulse concentrations (Figure A-5). Taken together, we found that there are complex relationships in mixed populations that play a role in short-term survival to an antibiotic treatment.

Next, we explored how different factors may impact long-term outcomes antibiotic treatment, more specifically how a multi-day antibiotic treatment leads to evolution of antibiotic resistance. In **Chapter 4**, we conducted a systematic study of how antibiotic concentrations and ancestral genotypes impact the temporal evolution of antibiotic resistance. We identified that near-MIC concentrations most readily promoted the evolution of antibiotic resistance for all genotypes. Further, we found that these different genotypes were all able to achieve similar final levels of resistance. Through whole genome sequencing, we identified how each ancestral genotype evolved resistance through a suite

of unique genetic mutations. Wild type cells readily upregulated gene expression of AcrAB-TolC through insertion sequences in its local and global regulators, AcrR and MarR. Cells constitutively overexpressing AcrAB-TolC efflux pumps evolved resistance through mutations in the channels of AcrB and in the promoter region of the *acrAB* operon to tune AcrAB-TolC gene expression; these cells also acquired mutations in other genes that affect transcription, translation, localization, and degradation. These "off"-target effects could in part be due to the low expression of *mutS* with *acrAB* overexpression (191). Finally, cells without functional AcrAB-TolC efflux pumps upregulated expression of another multidrug efflux pump, AcrEF-TolC. Thus, **Chapter 4** provides a deeper understanding into how and why bacteria can robustly and rapidly gain high levels of antibiotic resistance in response to long-term antibiotic treatments.

Lastly, we explored how complex dynamics, including antibiotic introduction rates and mixed populations, influence the evolution of antibiotic resistance. In **Chapter 5**, we again identified that all genotypes were capable of robustly evolving antibiotic resistance in inhibitory conditions. The slower, short-term ramp of antibiotic introduction was most likely to promote the evolution of antibiotic resistance for all genotypes. The step antibiotic introduction rate did not prevent the evolution of antibiotic resistance entirely, but — depending on the initial population — did help to reduce the magnitude of resistance that evolved. We found variations in cross-resistance for the different starting strains, which agreed with the evolved genotypes that were reported in **Chapter 4**. We also observed that mixed populations undergoing short-term fluctuations were actually more stable and were less likely to evolve antibiotic resistance.

Additionally, in **Chapter 5**, when susceptible genotypes were exposed to more slowly changing environmental dynamics over 72 hours, we found that these populations had improved fitness and increased resistance in otherwise restrictive environments. This conclusion supports earlier work investigated in **Chapter 2**, that slowly changing stressful conditions may unnecessarily exacerbate antibiotic resistance and its evolution. We also found that mixed populations can demonstrate both selfishness, but also stability under different environmental conditions. In short, this work helps elucidate some otherwise under-explored factors of antibiotic resistance and contribute to the understanding of antibiotic resistance to enable predictive modeling in the future.

6.1. Future Directions and Outlook

In this work, we used the AcrAB-TolC efflux pumps as a case study to probe how complex environmental dynamics – such as antibiotic introduction rate and population diversity – may promote rising levels of antibiotic resistance and its evolution. Future studies may continue to explore these systems more thoroughly. For example, to confirm whether the intracellular concentration of a substrate is indeed higher due to efflux-pump containing neighbors, one could use a dye to measure the intracellular substrate concentration (Table 1-2). More complex temporal dynamics could be explored as well in order to determine how realistic antibiotic dosing treatments could affect resistance. For example, a study using antibiotic dose curves along with urine-like media in the eVOLVER could help provide insight to the best treatment methods for a urinary tract infection. Additionally, there are many antibiotic resistance mechanisms beyond efflux pumps that are threatening public health (5, 13, 14). Thus, future studies should investigate how other

antibiotic resistance mechanisms, including efflux pumps (e.g. TetA), antibiotic-inactivating enzymes (e.g. β-lactamase), or reduced permeability (e.g. OmpF), promote the evolution of antibiotic resistance as well.

Further, particular interest should be given to studying how more novel therapeutics — such as antimicrobial peptides (326), novel antibiotics (327), and combinatorial treatments with efflux pump inhibitors (328, 329) — impact the evolution of antibiotic resistance. Continued works should also probe the limitations of evolutionary experiments (97); for example, why the appearance of mutations found in this study have not been identified in clinical isolates. Finally, merging these lessons to parameterize models, such as a stochastic process (111) or population genetics framework (330), could help predict whether a treatment is destined to fail. Together, such studies will be crucial in helping to inform both how and why novel treatments might lose their efficacy before they fail in the real world.

Here, we found series of mutations which increased a strain's resistance. While we did not further investigate these mutations, it would be interesting to determine the resistance conference from each mutation, as well as to assess how the mutations could directly alter DNA binding, protein binding, and protein structures. More broadly, the increasing accessibility of DNA sequencing will enable a deeper understanding of the vast microbial diversity evolving and eliciting persistent antibiotic resistant infections (331). Similarly, the use of transcriptomics and metabolomics to evaluate transient changes, such as those enabling isolates to survive multi-day antibiotic treatments without resistance, will also expand our understanding of the unexpected loss of antibiotic efficacy (Chapter 5 &

Appendix E) (332, 333). Though our goal of outsmarting antibiotic resistant microbes appears more distant than predicted a decade ago; the sheer effort and perseverance of clinicians and scientists brings us closer still to a holistic understanding of antibiotic resistance and greater understanding of what we can practically do to fight back.

Appendix A: Supplementary Information for Chapter 2

	Initial OD ₇₀₀	Dataset	Strain	Mean Error (OD ₇₀₀)	Sum of Squares (OD ₇₀₀) ²	Mean Pearson Coef.	
	0.2	Full dose t=0h (Figure 2-1D)	sfgfp	0.159±0.042	0.114	0.915±0.051	*
			acrAB-sfgfp	0.251±0.067	0.416	0.596±0.634	*
icol		Step t=3h (Figure 2-3D)	sfgfp	0.261±0.070	0.579	0.830±0.036	
hen			acrAB-sfgfp	0.318±0.045	0.825	0.661±0.099	
dun		Fast ramp (Figure 2-3E)	sfgfp	0.113±0.029	0.108	0.905±0.097	
Chloramphenicol			acrAB-sfgfp	0.186±0.115	0.369	0.859±0.102	
		Slow ramp (Figure 2-3F)	sfgfp	0.077±0.031	0.054	0.772±0.284	
			acrAB-sfgfp	0.141±0.068	0.191	0.885±0.187	
		Benefit Ratio Landscape (Figure 2-4B-D)		0.551±0.227	1.013	0.789±0.293	
	0.01	Benefit Ratio Landscape (Figure 2-5A-B)		1.097±0.325	2.513	0.937±0.078	
	0.2	Step _{t=3h} (Figure A-4D)	sfgfp	0.321±0.074	0.646	0.461±0.131	
			acrAB-sfgfp	0.219±0.119	0.359	0.919±0.046	
Pinene		Fast ramp (Figure A-4E)	sfgfp	0.310±0.147	0.685	0.583±0.212	
			acrAB-sfgfp	0.331±0.212	0.881	0.787±0.117	
		Slow ramp	sfgfp	0.310±0.147	0.685	0.583±0.212	
		(Figure A-4F)	acrAB-sfgfp	0.331±0.204	0.866	0.964±0.044	
		Benefit Ratio Landscape (Figure 2-6D-F)		1.226±1.058	6.746	0.617±0.144	

Table A-1. Goodness-of-fit between model and experimental data.

We define error as the absolute value of the difference between the model data and the mean of the experimental values. The error and sum of squares of the growth curve data has units of OD_{700} and OD_{700}^2 , respectively; the error and sum of squares are dimensionless for the benefit ratios. For this section, the statistics were taken across all experimental conditions (i.e. all concentrations of stressors): Eight different conditions for chloramphenical and six conditions for pinene. For chloramphenical at the lower initial inoculum level, the statistics for the benefit ratio compare four conditions.

Construct	Template	Direction	Primer (5' to 3')
	pBbA5k-rfp (334)	Forward	CACGCATGGTATGGATGAACTGTACAAA GGATCCAAACTCGAGTAAGG
nDh A <i>E</i> le ofofn		Reverse	CAGCTCTTCGCCTTTACGCATATGTATA TCTCCTTCTTAAAAGATCTTTTG
pBbA5k-sfgfp	pBbSFk-sfgfp (335)	Forward	CAAAAGATCTTTTAAGAAGGAGATATAC AT ATGCGTAAAGGCGAAGAGCTG
		Reverse	CCTTACTCGAGTTTGGATCCTTTGTACA GTTCATCCATACCATGCGTG
	pBbA5k-acrAB (336)	Forward	TGGTATGGATGAACTGTACAAATAATAG TGAGGATCCAAACTCGAGTA
mDh A <i>5</i> Th a an AD		Reverse	CGGTACCTTTCTCCTCTTTAAAGTTAAAT GATGATCGACAGTATGGC
pBbA5k-acrAB- sfgfp	pBbSFk-sfgfp (335)	Forward	GCCATACTGTCGATCATCATTTAACTTT AAAGAGGAGAAAGGTACCG
		Reverse	TACTCGAGTTTGGATCCT CACTATTATTTGTACAGTTCA TCCATACCA

Table A-2. Primers used for the construct of plasmids.

Bold indicates oligonucleotides for polymerase chain reaction amplification of inserts; normal text indicates overhangs for Gibson assembly.

Parameter	Symbol	Units	Value	Strain
Normalization term	α	$1/OD_{700}$	10	All
Half-saturation Constant	ν	ana ca/ana I	2.367 ± 0.815	Single species
Half-Saturation Constant	K_s	mg/mL	4.050 ± 0.354	Multispecies
Substrate Growth Yield		mI /ma	0.0587 ± 0.0038	Single species
Substrate Growth Tield	γ	mL/mg	0.0370 ± 0.0085	Multispecies
	μ_{max}	OD ₇₀₀ /h	0.188	rfp
Maximum Growth Rate			0.188	sfgfp
			0.158	acrAB-sfgfp
	R_{Cm}	Dimensionless	1.567	WT
Repression Coefficient			0.0422	∆acrB
Repression Coefficient	R_{pinene}	Difficusioniess	0.0100	WT
			0.0028	ΔacrB
			1.90	WT
TT:11 CC : .	n_{Cm}	D: : 1	2.35	ΔacrB
Hill coefficient	N _{pinene}	Dimensionless	6.97	WT
			3.27	ΔacrB

Table A-3. Model parameters.

Parameters for the model derived from growth curve data and toxicity curves.

Data type	Strain(s)	Error (OD ₇₀₀)	Sum of Squares (OD ₇₀₀) ²	Pearson Coef.
Cinala annaisa	rfp	0.248	0.061	0.991
Single species Growth Curves	sfgfp	0.222	0.049	0.991
Growin Curves	acrAB-sfgfp	0.071	0.005	0.999
Multispecies	rfp & sfgfp	0.235	0.055	0.997
Growth Curves	rfp & acrAB-sfgfp	0.150	0.023	0.997
Toxicity Curves	WT	0.057	0.0032	0.999
Chloramphenicol	ΔacrB	0.057	0.0033	0.999
Toxicity Curves	WT	0.377	0.142	0.961
Pinene	$\Delta acrB$	0.513	0.263	0.942

Table A-4. Statistics for model parameter selection data.

Error is defined here as the absolute value of the difference between model data and the mean of the experimental values. The data associated with these statistics can be found in Figure A-1.

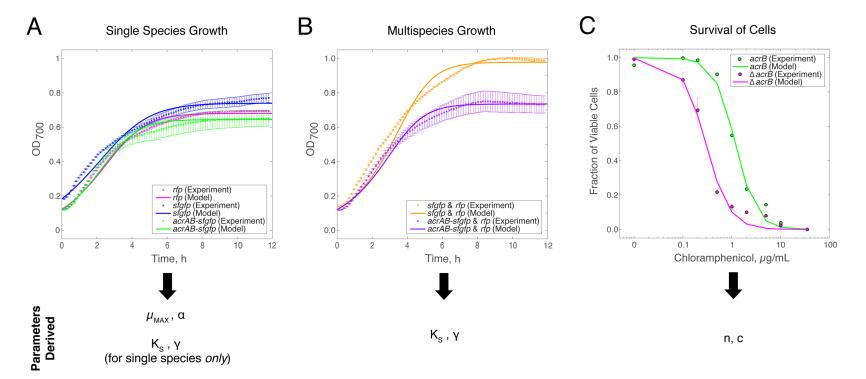


Figure A-1. Data fitting to extract model parameters.

(A) Single species growth curves determine parameters for specific strains. Growth of rfp, sfgfp, and acrAB-sfgfp over time are quantified by OD₇₀₀. Error bars show standard error of n = 6 biological replicates, except for acrAB-sfgfp single species growth data where n = 2. Single species model is fit to growth data for each strain using least-squares regression to determine parameters for the model. (B) Co-culture growth curves determine parameters for multispecies model. Growth of rfp co-cultured with sfgfp and rfp co-cultured with acrAB-sfgfp over time are quantified by OD₇₀₀. Error bars shows standard error of n = 3 biological replicates. Multispecies model is fit to growth curve data for co-cultured strains using least-squares regression to determine model parameters. (C) Parameters for toxicity terms are determined by killing curves. The data for toxicity of chloramphenicol (shown) and pinene (not shown) is fit with Hill functions to minimize least-squares error. Error bars show standard deviation of n = 3 biological replicates.

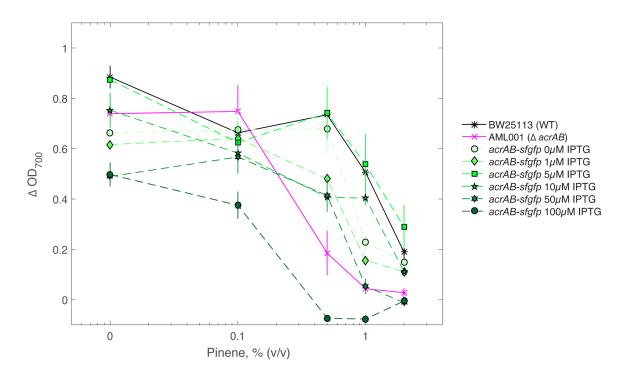


Figure A-2. Benefit and cost trade-offs of AcrAB-TolC efflux pumps in pinene.

Cell density as a function of pinene concentration. Induction of acrAB-sfgfp increases cell growth up to IPTG concentrations of 5 μ M, but higher concentrations reduce cell growth. Error bars in show standard error of n=3 biological replicates.

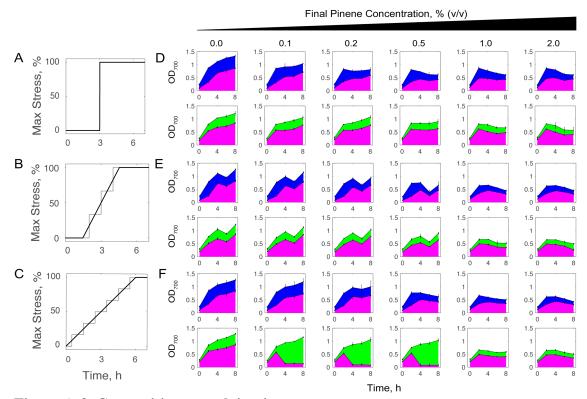


Figure A-3. Competitive growth in pinene.

(A-C) Three different rates of pinene introduction: (A) step introduction, (B) steep ramp, and (C) gradual ramp. The solid line shows the values used in the mathematical model; dashed line shows experimental treatment used to approximate the constant introduction rate. The total amounts of pinene added in (A-C) are equal. (D-F) Growth of two competing strains under different pinene treatments. As a control, top row shows competition between two strains lacking efflux pumps, sfgfp and rfp. The bottom row shows competition between a strain with the efflux pump, acrAB-sfgfp, and one without the efflux pump, rfp. Error bars show standard deviations for n = 3 biological replicates.

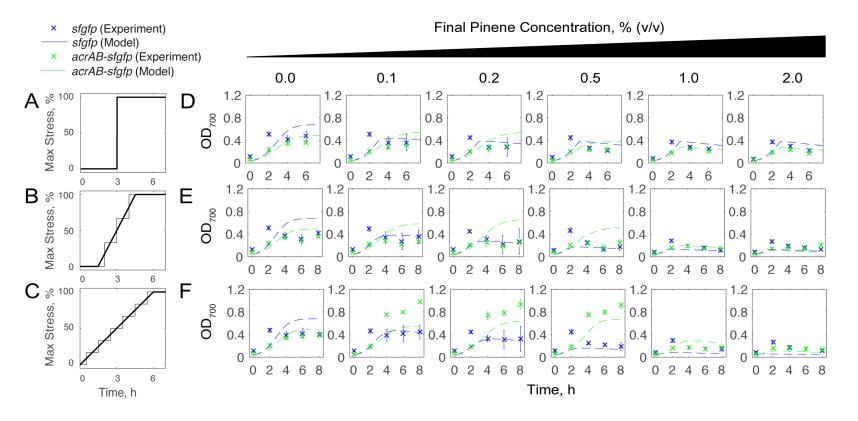


Figure A-4. Rate of pinene addition affects survival.

(A-C) Three different rates of pinene introduction: (A) step introduction, (B) steep ramp, and (C) gradual ramp. The thick solid line shows the values used in the mathematical model; thin solid line shows experimental treatment used to approximate the constant introduction rate. The cumulative antibiotic levels of pinene in (A-C) are equal. (D-F) The growth of acrAB-sfgfp (green) compared against growth of the control, sfgfp, in the competition experiments (dots) and simulations (solid lines) for different pinene introduction rates as shown in (A-C), respectively. Data points show mean and standard deviations of n = 3 biological replicates.

Chloramphenicol Concentration, µg/mL

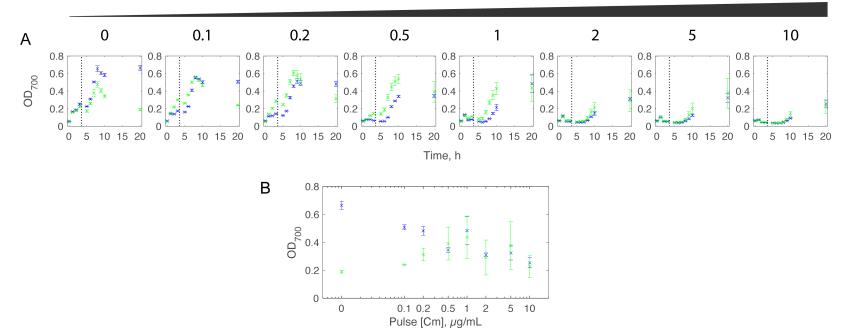


Figure A-5. Susceptible cells more readily recover from short-term pulses of antibiotics at sub-inhibitory concentrations.

(A) The growth of acrAB-sfgfp (green) compared against growth of the control, sfgfp, in the competition experiments (dots) for different t = 4 h pulses of chloramphenical treatment. (B) Final OD₇₀₀ measurements t = 16 h after antibiotics were washed out. Data points show mean and standard deviations of n = 3 biological replicates.

Appendix B: Supplementary Information for Chapter 3

Parameter	Symbol	Units	Value			
Initial Cell Biomass	N(0)	rel. cell area	1			
Initial Cell Antibiotic Concentration	C _{in} (0)	μg/mL	0			
Chloramphenicol						
Cell Doubling Time	T_d	min	60			
Maximum Growth Rate	μ	rel. cell area min ⁻¹	0.1106			
Influx rate via Diffusion	Kin	min ⁻¹	1			
Efflux rate via Diffusion	$K_{out,\Delta acrB}$	min ⁻¹	1			
Efflux rate via Diffusion & Active Efflux	K _{out,WT}	min ⁻¹	4			
Repression coefficient	K _c	μg/mL	0.960			
Hill coefficient	h _c	Dimensionless	1.47			
Ciprofloxacin						
Cell Doubling Time	T_d	min	90			
Maximum Growth Rate	μ	rel. cell area min ⁻¹	0.0737			
Influx rate via Diffusion	Kin	min ⁻¹	1			
Efflux rate via Diffusion	$K_{out,\Delta acrB}$	min ⁻¹	1			
Efflux rate via Diffusion & Active Efflux	K _{out,WT}	min ⁻¹	3			
Repression coefficient	Kc	μg/mL	0.247			
Hill coefficient	hc	Dimensionless	0.83			

Table B-1. Model parameters.

Parameters for the model derived from data in Figure B-2, are calculated using from experimental doubling time, or are approximated based on the efflux efficiency (fold difference in the MIC) of different strains.

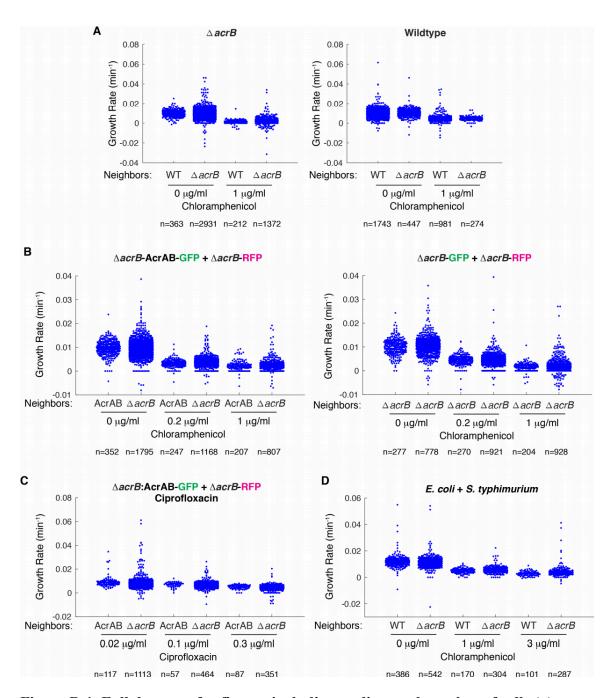


Figure B-1. Full data sets for figures including outliers and number of cells (n).

Data set corresponding to (A) Figure 3-1B-C, (B) Figure 3-2C-D, (C) Figure 3-4E, and (D) Figure 3-6. Each blue dot indicates the growth rate of a single cell. We note that in all cases, the plots shown in the main text include $\geq 97\%$ of cells. The automated image analysis process occasionally calculates artificially high or low growth rates, but this is a rare occurrence (always <3%, but more typically <1% of cells). The full data sets can be found in Table S1 of reference (337).

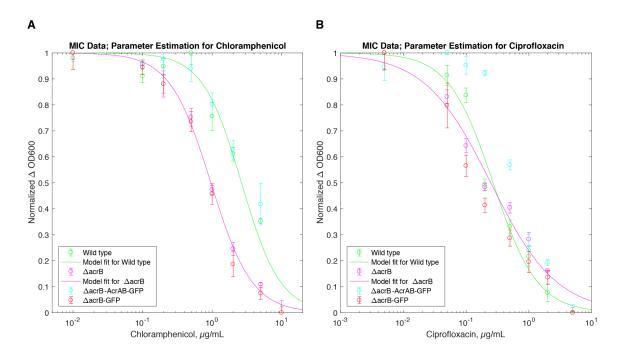


Figure B-2. Toxicity curves and data fitting for model parameters.

(A) Chloramphenicol and (B) ciprofloxacin experimental data for strains: wild type, $\triangle acrB$, $\triangle acrB$ -AcrAB-GFP, and $\triangle acrB$ -GFP. Hill function fits for wild type and $\triangle acrB$ strains. Fits were conducted by minimizing least-squares error. Error bars show standard deviation of n = 3 biological replicates. Parameters for $\triangle acrB$ Hill function model fit are listed in Table B-1.

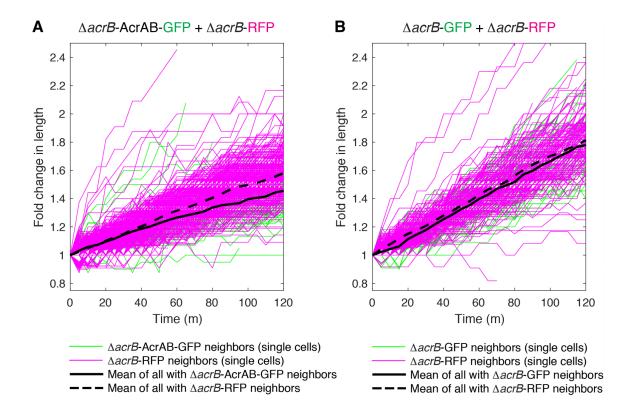


Figure B-3. Fold change in cell length over time for all individual cells.

(A) $\triangle acrB$ -RFP and AcrAB-GFP cells were mixed in ratios of 1:5 and 5:1 and grown on agarose pads with 0.2 µg/ml chloramphenicol. Colored lines show all cell traces and black lines show the mean values, as indicated in the figure legend. A fold change of two at the final time point indicates that a cell has doubled. (B) $\triangle acrB$ -RFP and $\triangle acrB$ -GFP cells for conditions as described in (A).

Appendix C: Supplementary Information for Chapter 4

WT					Chlorampheni	col, μg/mL			
Time 1 (h)	Time 2 (h)	0	0.2	0.5	1	2	5	10	20
0	1	4.35E-01	9.40E-02	7.02E-02	1.82E-02	1.33E-02	2.48E-02	2.36E-01	1.66E-01
0	3	3.89E-01	3.17E-01	1.71E-02	1.55E-02	4.90E-03	6.18E-02	6.11E-02	7.82E-02
0	6	3.86E-01	7.73E-02	3.90E-03	2.16E-02	2.70E-03	2.48E-02	2.56E-02	5.51E-02
0	12	4.51E-01	6.46E-02	1.54E-02	3.21E-02	6.99E-04	4.85E-02	2.56E-02	7.00E-02
0	24	5.07E-01	7.52E-01	1.42E-01	3.51E-02	3.59E-02	4.70E-02	2.56E-02	5.51E-02
0	48	8.66E-01	1.81E-01	2.52E-01	6.04E-02	4.02E-02	7.70E-02	6.36E-02	5.51E-02
0	72	3.77E-01	1.56E-01	1.92E-01	1.99E-01	7.55E-02	7.46E-02	7.94E-02	5.51E-02

AcrAB+				-	Chlorampheni	icol, μg/mL			
Time 1 (h)	Time 2 (h)	0	0.2	0.5	1	2	5	10	20
0	1	2.63E-01	2.12E-01	3.03E-02	7.27E-02	1.61E-02	3.43E-02	2.72E-01	5.02E-01
0	3	1.18E-01	1.05E-01	3.74E-02	2.97E-02	1.89E-02	1.04E-01	1.85E-01	1.07E-01
0	6	1.45E-01	2.80E-01	4.65E-02	2.08E-02	1.88E-02	3.33E-02	9.74E-02	1.04E-02
0	12	1.77E-01	1.63E-01	3.62E-02	9.00E-03	5.54E-02	9.67E-02	9.74E-02	1.04E-02
0	24	1.80E-01	1.18E-01	4.75E-02	8.40E-03	1.20E-02	8.73E-02	9.74E-02	1.04E-02
0	48	4.00E-01	9.28E-02	7.78E-01	5.12E-02	2.91E-02	1.44E-01	9.74E-02	1.03E-02
0	72	2.93E-01	1.37E-01	8.32E-01	1.75E-01	4.14E-02	2.68E-01	9.84E-02	1.04E-02

ΔacrB				Chlora	mphenicol, μ	g/mL		
Time 1 (h)	Time 2 (h)	0	0.2	0.5	1	2	5	10
0	1	2.75E-01	2.41E-01	3.62E-02	1.91E-01	1.15E-02	2.40E-03	1.83E-01
0	3	3.26E-01	1.49E-01	4.18E-02	6.45E-02	1.15E-02	4.30E-03	7.58E-02
0	6	3.54E-01	2.92E-02	3.25E-02	1.30E-03	1.68E-02	4.30E-03	7.58E-02
0	12	5.40E-01	1.63E-02	2.45E-02	1.28E-01	1.15E-02	4.30E-03	7.59E-02
0	24	8.36E-01	2.05E-02	3.42E-02	2.49E-01	1.15E-02	4.30E-03	7.58E-02
0	48	1.29E-01	3.91E-01	8.39E-02	4.06E-02	4.20E-03	4.30E-03	7.59E-02
0	72	5.96E-01	7.73E-01	2.63E-01	2.88E-01	7.16E-04	4.30E-03	7.58E-02

Table C-1. p values for differences in growth rates for each strain.

P-values from the (paired) t-test for quantifying significant differences in growth rate between a given time point and the initial time point.

Direction	Primer (5' to 3')
Forward	ATGTATGTAAATCTAACGCCTGTAAATTCACGAACATATG GTGT AGGCTGGAGCTGCTTC
Reverse	CCTGGAGTCAGATTCAGGGTTATTCGTTAGTGGCAGGATTGATC CGTCGACCTGCAGTT

Table C-2. Primers for acrR knockout.

Bold letters denote the active priming region to amplify pKD13 from Reference (235). Primers also contain 40-nt homology regions for *acrR*.

		Chloramphenicol (μg/mL)										
	0	0.01	0.02	0.05	0.10	0.15	0.20	0.25	0.30	0.50	1	2
WT	0.389	0.355	0.919	0.919	0.900	0.567	0.494	0.832	0.474	0.714	0.275	0.107
AcrAB+	0.375	0.510	0.586	0.367	0.225	0.236	0.446	0.938	0.435	0.016	0.039	0.053
∆acrB	0.032	0.138	0.142	0.089	0.053	0.809	0.229	0.429	0.187	0.273	0.430	0.058

Table C-3. p values of toxicity curves with and without Tween20.

P-values from the paired *t*-test to assess statistically significant differences in growth between samples treated with Tween20 at 0.0% and 0.2% (v/v) as shown in Figure C-7.

WT									
Time 1 (h) Tim	e 2 (h)	0	0.2	0.5	1	2	5	10	20
0	1	6.27E-01	4.44E-01	8.43E-02	6.82E-01	4.73E-01	1.61E-02	2.83E-01	7.80E-03
0	3	7.62E-01	8.30E-01	5.34E-01	5.21E-01	9.80E-02	6.03E-02	3.04E-01	1.13E-02
0	6	3.64E-01	1.33E-01	4.20E-01	3.12E-01	2.15E-01	3.51E-01	2.62E-01	3.47E-02
0	12	9.11E-01	7.10E-01	1.62E-01	9.82E-01	3.48E-01	4.90E-01	2.94E-01	6.80E-02
0	24	2.78E-02	6.33E-01	9.16E-01	6.00E-04	6.91E-02	3.38E-01	3.88E-01	8.41E-02
0	48	7.97E-01	4.41E-01	8.52E-01	1.84E-01	2.87E-02	4.00E-04	4.63E-01	1.04E-01
0	72	6.71E-01	2.40E-01	4.82E-02	5.43E-01	3.00E-02	1.10E-02	6.02E-01	1.44E-01

AcrAB+				-	Chloramphen	icol, μg/mL			
Time 1 (h)	Time 2 (h)	0	0.2	0.5	1	2	5	10	20
0	1	5.02E-01	3.98E-01	2.19E-01	3.82E-01	4.05E-01	2.50E-03	9.30E-03	6.68E-02
0	3	7.60E-02	7.66E-01	5.85E-01	8.59E-01	5.89E-02	4.04E-02	9.00E-03	3.12E-02
0	6	8.64E-01	3.62E-02	1.44E-01	2.31E-01	3.54E-02	5.34E-02	1.60E-03	1.83E-02
0	12	2.04E-01	9.28E-02	8.99E-01	1.40E-01	3.19E-02	4.28E-01	6.49E-02	1.82E-02
0	24	3.95E-01	6.24E-01	5.65E-01	1.14E-01	7.36E-01	6.98E-01	7.88E-02	1.14E-02
0	48	2.50E-01	3.33E-01	6.27E-01	1.55E-01	5.75E-01	1.41E-02	1.55E-02	1.20E-03
0	72	5.17E-01	2.16E-02	8.37E-01	7.90E-03	1.45E-01	8.11E-02	7.00E-03	1.08E-02

ΔacrB				Chloramphenicol, μg/mL						
Time 1 (h)	Time 2 (h)	0	0.2	0.5	1	2	5	10		
0	1	3.61E-01	2.64E-01	2.12E-01	5.50E-01	9.85E-02	4.70E-03	1.99E-02		
0	3	7.17E-01	1.51E-01	9.70E-02	9.40E-01	8.93E-02	2.26E-02	2.29E-02		
0	6	3.25E-01	7.11E-02	1.88E-01	8.83E-01	7.70E-03	2.60E-01	4.41E-02		
0	12	5.60E-01	5.00E-03	1.10E-01	5.77E-01	1.29E-01	1.48E-02	4.48E-02		
0	24	5.82E-01	3.19E-01	3.20E-02	6.78E-02	1.56E-01	2.78E-02	1.32E-01		
0	48	5.25E-01	8.00E-03	4.33E-02	1.30E-01	9.03E-01	5.47E-02	1.10E-01		
0	72	6.24E-01	6.50E-03	1.39E-01	1.51E-01	6.12E-01	1.68E-02	2.97E-01		

Table C-4. p values for differences in inhibition zone diameters for each strain.

P-values from the (paired) *t*-test for quantifying significant differences in resistance as measured by the diameter of inhibition zone between a given time point and the initial time point.

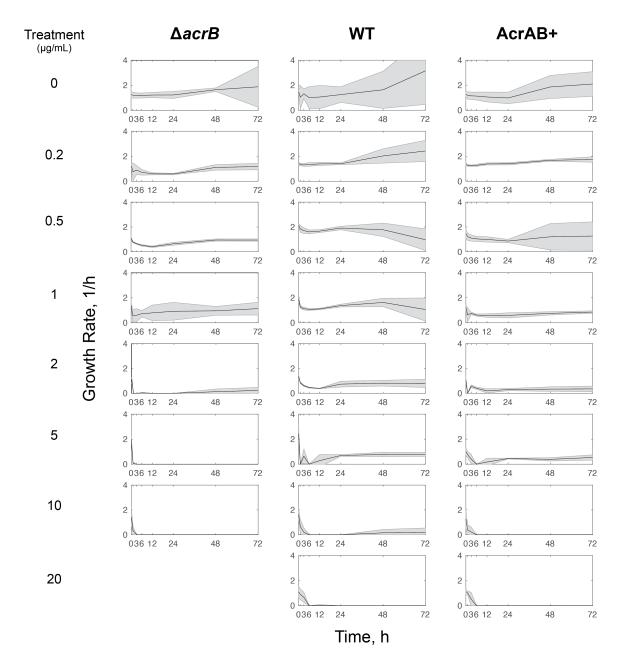


Figure C-1. Growth rates for each biological replicate and chloramphenicol treatment concentration.

Mean growth rates for n=3 biological replicates. Shaded error bars show standard deviation. Cultures grown without chloramphenical occasionally accumulated biofilms, leading to the large variations in the growth measurements for the $0~\mu g/ml$ case.

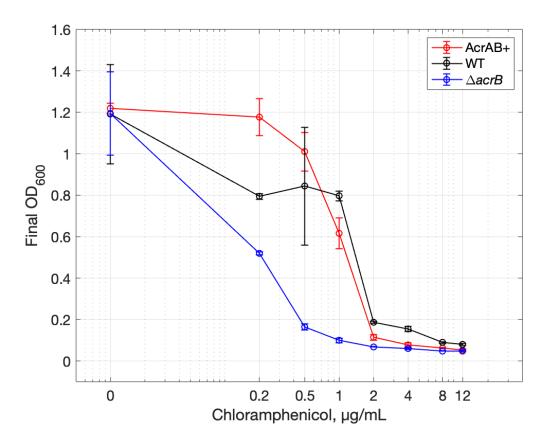


Figure C-2. Toxicity curves for each parent strain.

Final OD_{600} was measured after 24 h. Data points show mean values from n=3 biological replicates, error bars show standard deviation.

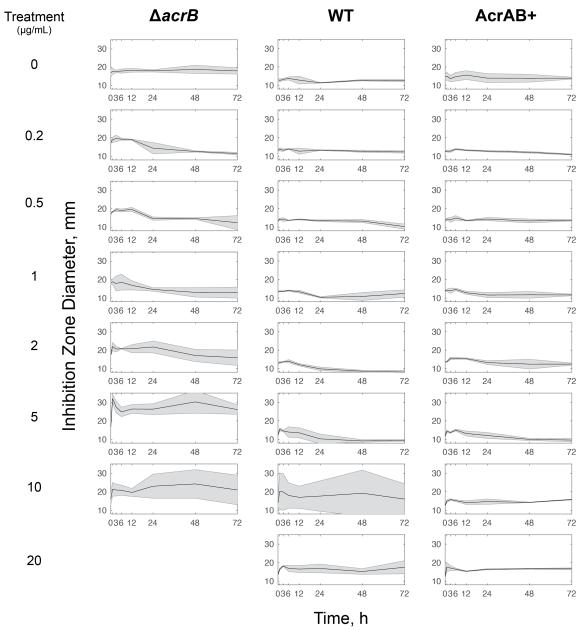


Figure C-3. Inhibition zone diameters for each biological replicate and chloramphenical treatment concentration.

Mean diameter of inhibition zones (D_{inh}) for n=3 biological replicates. Shaded error bars show standard deviation.

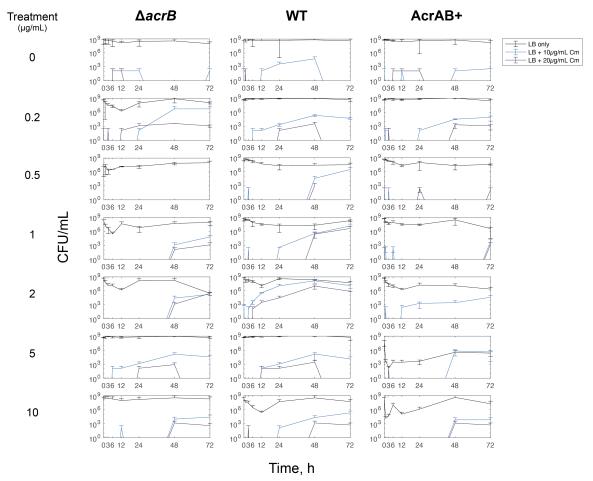


Figure C-4. Colony forming units (CFU) per mL counts for each treatment concentration.

Mean CFU/mL values from n = 3 biological replicates are shown, with error bars denoting standard deviation.

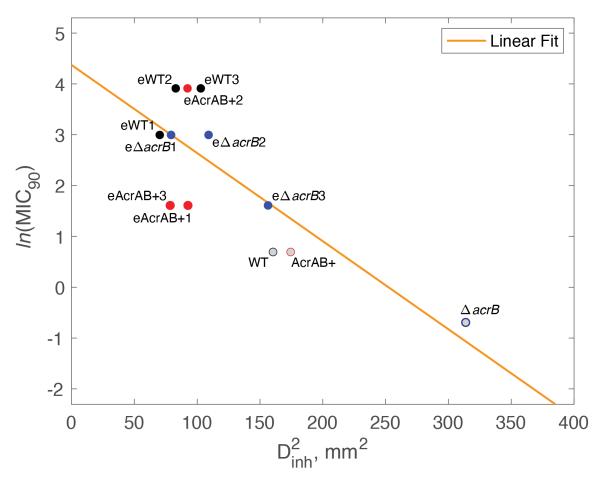


Figure C-5. Linear map between the natural log of the MIC and inhibition zone areas.

Data are from inhibition zone diameters and MIC $_{90}$ for each parent strains (e.g. AcrAB+) and the evolved isolates of each parent strain from three different eVOLVER experiments (e.g. eAcrAB+1, eAcrAB+2, and eAcrAB+3). MIC $_{90}$ is defined as the point where OD $_{600}$ is reduced to 10% of normal growth after 24 h (Figure S2). To find the linear correlation, we calculated the natural log of the MIC $_{90}$ and the inhibition zone areas. The parameters for this map are Q = 30 μ g, k = 57.8, and K = -0.971, following the notation from Reference (310).

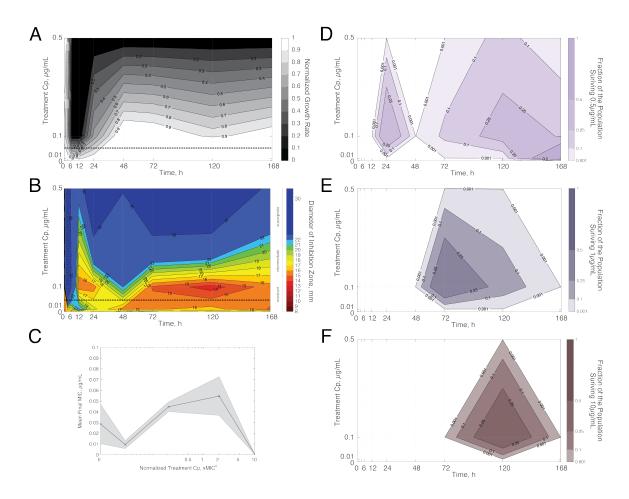


Figure C-6. Resistance and fitness of WT cells exposed to ciprofloxacin for 168 h.

(A) Average growth rate. Growth rates are normalized to growth of strains at t=0 h. Lighter areas represent growth rates closer to pre-treatment values; darker areas represent reduced growth rates. MIC^0_{parent} concentration is denoted with a bold dashed line (Figure C-2). (B) Average resistance. Diameter of inhibition zones were plotted for each time and treatment. Smaller inhibition zones are shown in red and correspond to resistant cells (≤ 15 mm) and larger inhibition zones are shown in blue and represent susceptible cells (≥ 21 mm); intermediate inhibition is shown with color scale from orange to green. MIC^0_{parent} is denoted with a bold dashed line. (C) Average final resistance after 72 h based on treatment concentration normalized to MIC^0 . Data points show the mean of three biological replicates. Shaded error bars show standard deviation. (D-F) Mean percentage of the population, which could grow on LB plates containing (D) 0.5 μ g/mL, (E) 1 μ g/mL or (F) 10 μ g/mL ciprofloxacin. Initial populations contained $\sim 10^7$ CFUs. MIC^0_{parent} compared to treatment concentration is denoted with a bold dashed line.

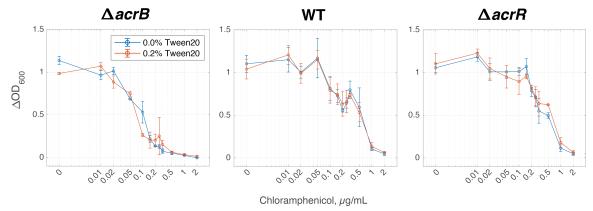


Figure C-7. Toxicity curves in the presence of Tween20.

Strains grown with and without 0.2% (v/v) Tween20. Data points show mean values from n=3 biological replicates, error bars show standard deviation.

Appendix D: Supplementary Information for Chapter 5

	Cm	Amp	Tet	Ср
WT	21.2 ± 2.7	18.2 ± 1.7	21.7 ± 2.0	26.1 ± 2.2
acrAB+	27.1 ± 1.9	20.2 ± 1.3	26.7 ± 1.6	30.9 ± 1.9
ΔacrB	33.5 ± 3.2	24.4 ± 2.0	29.0 ± 2.1	32.3 ± 1.9

Table D-1. Inhibition zones for parent strains prior to treatment.

For the different genotypes tested, the mean diameters of the inhibition zones from the antibiotic disc susceptibility test are indicated in millimeters (mm) \pm standard deviation. n = 20 biological replicates. Note that smaller numbers indicate higher resistance. Distributions are shown in Figure D-2A.

		<i>p</i> value	at timepoint	
	t = 12 hours	t = 24 hours	t = 48 hours	t = 72 hours
WT	0.343	0.522	0.764	0.727
acrAB+	0.046*	0.0097*	0.295	0.724
ΔacrB	0.436	0.376	0.367	0.775
Co-culture	0.166	0.603	0.505	0.223

Table D-2. p values from two-sample t-test for growth rate difference.

Probabilities that two sets of growth rates for ramp and step are significantly different. For p value<0.05, there is a 95% confidence that the growth rates for ramp and step conditions, with n = 3 biological replicates, are not similar by chance, indicated by an asterisk.

	R	p value	R	p value	R	p value	R	<i>p</i> value
	All Combined		Ramp Only		Step Only		Control Only	
Figure 5-7A	0.427*	2.47e-3	0.494	6.15e-2	0.029	9.20e-1	0.811*	4.41e-5
Figure 5-7B	0.485*	4.77e-4	0.0228	9.36e-1	0.450	9.25e-2	0.885*	1.06e-6
Figure 5-7C	0.205	1.62e-1	0.250	3.68e-1	0.350	2.01e-1	0.723*	6.91e-4
Figure 5-7D	-0.217	1.38e-1	-0.226	4.17e-1	0.046	8.70e-1	-0.868*	2.97e-6
Figure 5-7E	0.464*	9.02e-4	0.107	7.04e-1	-0.474	7.43e-2	-0.552*	1.75e-2
Figure 5-7F	-0.327*	2.35e-2	0.0305	9.14e-1	-0.516*	4.89e-2	-0.530*	2.36e-2

Table D-3. Pearson correlation coefficients and their *p* values.

Correlation coefficients between: (Row 1) Maximum initial growth rate (prior to antibiotic treatment) compared to the maximum growth rate after treatment. (Row 2) Maximum growth rate after treatment versus the number of dilutions to reach this maximum growth. (Row 3) Final growth rate versus the final number of dilutions at the end of the experiment. (Row 4-6) Maximum change in resistance for a culture versus the (Row 4) maximum initial growth rate (prior to antibiotic treatment), (Row 5) the final growth rate, and (Row 6) the total number of dilution events, as depicted in Figure 5-7. Pearson coefficient values denoted by an asterisk are significant with a p value<0.05 and 95% confidence. Data represents the statistics for 48 experiments: 15 step experiments, 15 ramp experiments, and 18 control experiments.

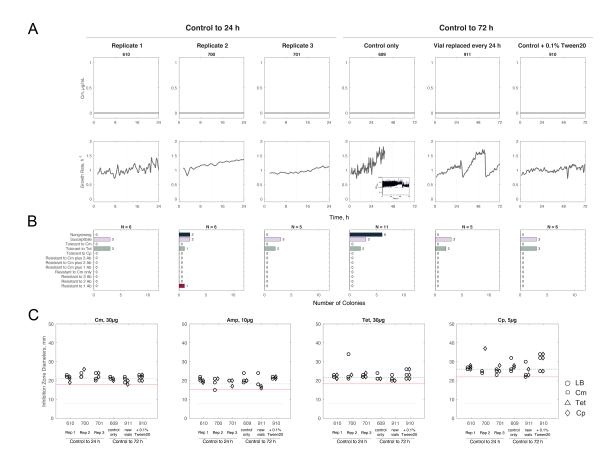


Figure D-1. Wild type control without antibiotics and the emergence of resistance and tolerance.

(A) (Top) Actual concentration of chloramphenical over time (no antibiotic treatment) for wild type cells at 24 h (three experiments on the left) and 72 h (three experiments on the right), n = 3 biological replicates for 24h control and n = 1 biological replicate for 72 h control under 3 experimental conditions: (1) control only, (2) control with vial replaced every 24h, and (3) control with Tween20 added to media at 0.1% (v/v). (Bottom) Growth rates. We note that for the "control only" case, after approximately 40h the dilution events became so frequent that it was not possible to calculate the growth rate accurately from the slope of the OD₆₀₀ curve, though cultures continued to grow well for the full 72 h time course. (Inset) Actual OD₆₀₀ over the whole experiment. Replacing the culture vial every 24 h and adding Tween20 both addressed this problem, suggesting that biofilm formation may be an issue in the absence of antibiotics. Numbers in the plot titles refer to the experiment number. (B) Fates of all isolated colonies from all plates. Results were classified as: nongrowing (navy), susceptible (light pink), tolerant (light green), and resistant (burgundy). Ab, antibiotics. N represents the number of isolates from each experiment that were picked. If no colonies were found on a high antibiotic plate then no isolate could be picked. (C) Inhibition zone diameters for the four antibiotic disc assays. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone (shown in black). The threshold to classify as resistant is shown in red. The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond).

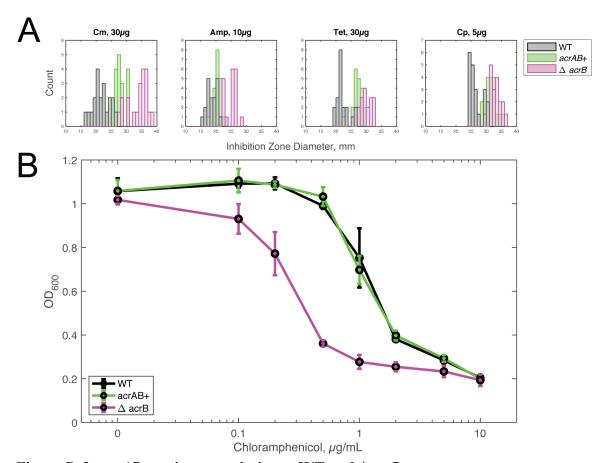


Figure D-2. acrAB+ resistance relative to WT and $\Delta acrB$.

(A) Histograms of the inhibition zones for each antibiotic for each strain. n = 20 biological replicates for each distribution. Cross reference with Table D-1 for the mean and standard deviations of these distributions. (B) Dose response curves for all three strains to chloramphenical after 6 h, which is the time that these strains remain in exponential phase. n = 3 biological replicates and error bars show standard deviation.

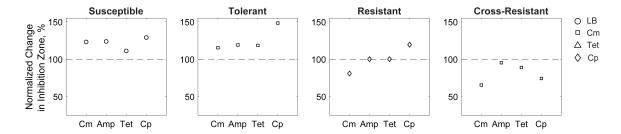


Figure D-3. Normalized inhibition zones for example isolates.

Representative classification examples (Figure 5-2B). The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond). Susceptible cells did not grow on high antibiotic dose plates and did not have a decrease in antibiotic inhibition zone. Tolerant cells were isolated on a high dose selective plate (chloramphenicol in this specific case) but do not show increased resistance to chloramphenicol in the disc diffusion assay. Resistant isolates are classified based on a decrease in their inhibition zone diameter. Note that this example of a resistant strain here is only resistant to chloramphenicol and would be sorted into the "Resistant to Cm only" category (refer to Figure 5-2C, Figure 5-3B, and Figure 5-6B). Many strains with high resistance to chloramphenicol were often cross-resistant to other antibiotics. Cross-resistant isolates are identified as having resistance to multiple antibiotics, not chloramphenicol alone.

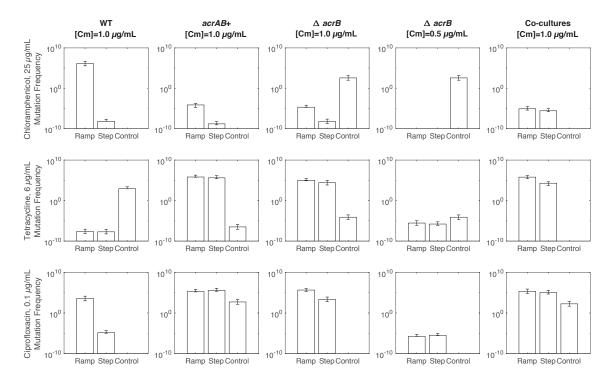


Figure D-4. Mutation frequencies at the end of the experiment.

Mutation frequencies for all strains and all experiments for the three high dose antibiotic plates for n=3 biological replicates. Error bars show standard deviation. Mutation frequencies were calculated by the fraction of colony forming units per milliliter (CFU/mL) growing on high dose antibiotic plates divided by CFU/mL on LB plates containing only kanamycin for plasmid maintenance.

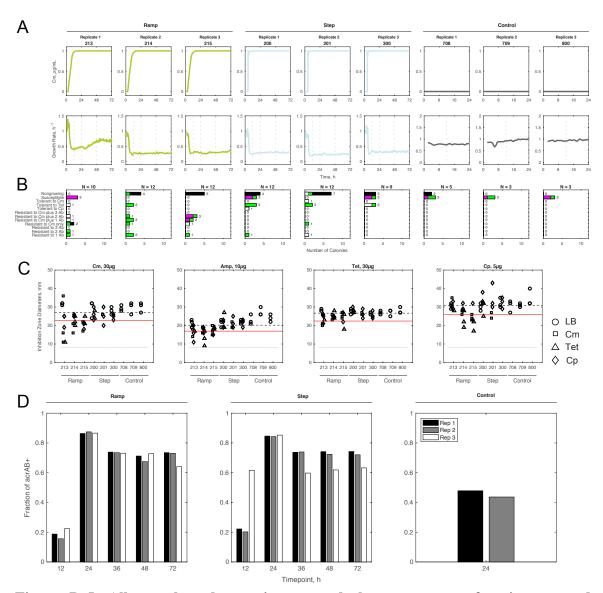


Figure D-5. All co-cultured experiments and the emergence of resistance and tolerance.

(A) (Top) Actual concentration of chloramphenicol over time along with (bottom) growth rates for co-cultured experiments. n = 3 biological replicates shown for each experimental condition: ramp, step, or control. Numbers in the plot titles refer to the experiment number. (B) Fates of all isolated colonies from all plates. Results were classified as: nongrowing, susceptible, tolerant, and resistant. The fate sorting shows the parent strain of the isolate: acrAB+ (green), $\Delta acrB$ (magenta), undetermined (white), nongrowing (black). Ab, antibiotics. N represents the number of isolates from each experiment that were picked. If no colonies were found on a high antibiotic plate then no isolate could be picked. (C) Inhibition zone diameters for the four antibiotic disc assays. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone. The threshold to classify as

resistant is shown in red. The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond). **(D)** Bar graphs depict the fraction of *acrAB*+ cells in the total population at different time points in the co-culture for each replicate. We were not able to reach the baseline number of counts with flow cytometry for replicate 3.

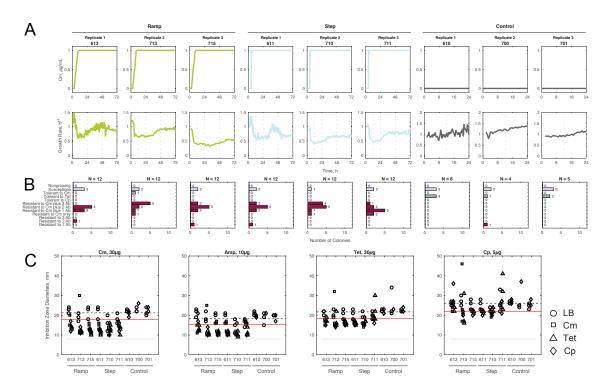


Figure D-6. All wild type experiments and the emergence of resistance and tolerance.

(A) (Top) Actual concentration of chloramphenicol over time along with (bottom) growth rates for wild type cells. Three biological replicates shown for each experimental condition: ramp, step, or control. Numbers in the plot titles refer to the experiment number. (B) Fates of all isolated colonies from all plates. Results were classified as: nongrowing (navy), susceptible (light pink), tolerant (light green), and resistant (burgundy). Ab, antibiotics. N represents the number of isolates from each experiment that were picked. If no colonies were found on a high antibiotic plate then no isolate could be picked. (C) Inhibition zone diameters for the four antibiotic disc assays. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone. The threshold to classify as resistant is shown in red. The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond).

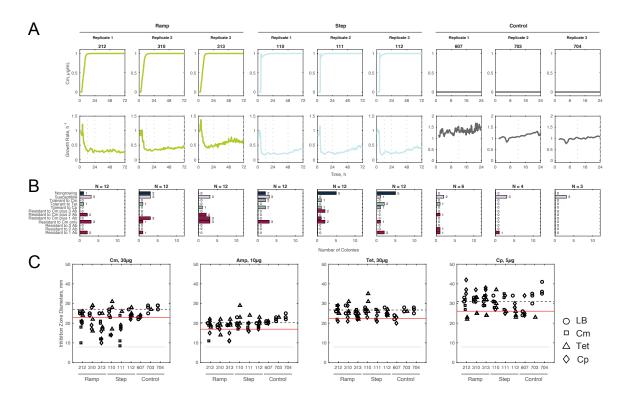


Figure D-7. All acrAB+ experiments and the emergence of resistance and tolerance.

(A) (Top) Actual concentration of chloramphenicol over time along with (bottom) growth rates for acrAB+ cells. Three biological replicates shown for each experimental condition: ramp, step, or control. Numbers in the plot titles refer to the experiment number. (B) Fates of all isolated colonies from all plates. Results were classified as: nongrowing (navy), susceptible (light pink), tolerant (light green), and resistant (burgundy). Ab, antibiotics. N represents the number of isolates from each experiment that were picked. If no colonies were found on a high antibiotic plate then no isolate could be picked. (C) Inhibition zone diameters for the four antibiotic disc assays. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone. The threshold to classify as resistant is shown in red. The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond).

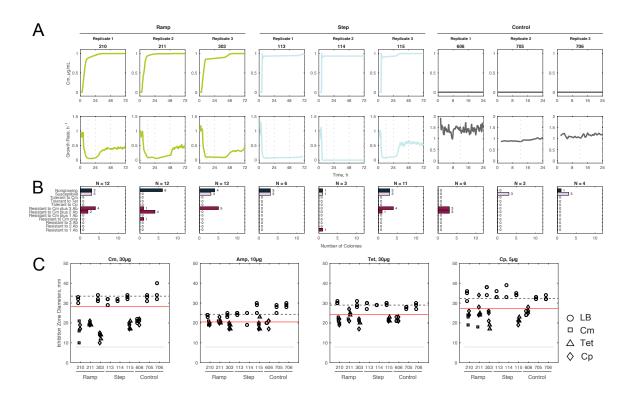


Figure D-8. All $\Delta acrB$ experiments and the emergence of resistance and tolerance at MIC_{wt} (1 $\mu g/mL$).

(A) (Top) Actual concentration of chloramphenicol over time along with (bottom) growth rates for ΔacrB cells. Three biological replicates shown for each experimental condition: ramp, step, or control. Numbers in the plot titles refer to the experiment number. (B) Fates of all isolated colonies from all plates. Results were classified as: nongrowing (navy), susceptible (light pink), tolerant (light green), and resistant (burgundy). Ab, antibiotics. N represents the number of isolates from each experiment that were picked. If no colonies were found on a high antibiotic plate then no isolate could be picked. (C) Inhibition zone diameters for the four antibiotic disc assays. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone. The threshold to classify as resistant is shown in red. The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond).

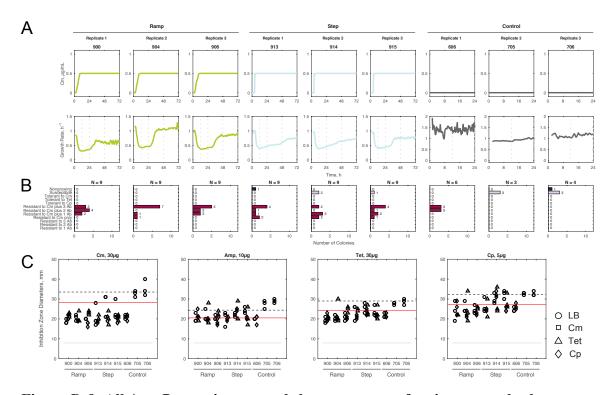


Figure D-9. All $\triangle acrB$ experiments and the emergence of resistance and tolerance at MIC $_{\triangle acrB}$ (0.5 $\mu g/mL$).

(A) (Top) Actual concentration of chloramphenicol over time along with (bottom) growth rates for $\triangle acrB$ cells. n=3 biological replicates shown for each experimental condition: ramp, step, or control. Numbers in the plot titles refer to the experiment number. (B) Fates of all isolated colonies from all plates. Results were classified as: nongrowing (navy), susceptible (light pink), tolerant (light green), and resistant (burgundy). Ab, antibiotics. N represents the number of isolates from each experiment that were picked. If no colonies were found on a high antibiotic plate then no isolate could be picked. (C) Inhibition zone diameters for the four antibiotic disc assays. The inhibition zone diameters were normalized to the parent strain's mean inhibition zone. The threshold to classify as resistant is shown in red. The symbol shape indicates which plate the colony was originally isolated from: LB only (circle), LB+Cm (square), LB+Tet (triangle), LB+Cp (diamond).

Appendix E: Predicting Single Cell Fate with a c-di-GMP Biosensor

E.1. Introduction

When single cells are able to survive an antibiotic dose, antibiotic treatments fail (36). This single cell survival does not only result from genotypic changes, such as discussed in this thesis, but can also result solely from phenotypic changes, such as variations in the metabolism or gene expression of single cells (338). Earlier studies have identified that heterogeneity gene expression of a single cell provides a bet-hedging mechanism to survive antibiotic treatment; by diversifying the phenotypes in a microbial population, the population increase the likelihood that a sub-population will be able to survive (339). As a result, in recent years, there has been an increased interest in using gene expression to predict cell fates (340).

Outside of gene expression, slow growth can predict persistence in *S. enterica*, whereby slower growing cells can survive antibiotic treatment (341). Likewise, other studies have revealed a link between cell cycle proteins and antibiotic resistance in *Listeria monocytogenes* (342). This connection is assumed to be between bacterial metabolic state and an antibiotic's efficacy (338). Thus, there has been recently particular interest into whether manipulation of cell cycle or metabolism could improve antibiotic efficacy. Further, Schrader & Shapiro found that they could synthetically synchronize the cell cycles of *Caulobacter crescentus* populations (343).⁴ Outside of antibiotic resistance studies, other studies have been able to programmed the cell death of single cells at a given stage

⁴ An interesting note: ClpX – a protease that was mutated after antibiotic treatment in **Chapter 4** (Table 4-1) – plays an important role in controlling cell cycle in *C. crescentus* (351).

in their cell cycle (344).

Cyclic diguanosine monophosphate (c-di-GMP) is a small, signaling molecule that acts as a regulator of many bacterial behaviors, including cell cycle progression, biofilm formation, virulence, and motility (345, 346). Particular interest has been given to this molecule because of (1) the sheer number of regulators with c-di-GMP receptors, (2) the heterogeneity of c-di-GMP in populations, and (3) the heightened antibiotic resistance due to biofilm formation (345). Single cell c-di-GMP levels can be tracked with a biosensor (346). This biosensor uses Förster Resonance Energy Transfer (FRET) to assess how close an acceptor fluorescent protein and a donor fluorescent protein are in order to determine the conformation of the substrate binding protein, in this case PilZ, which changes conformation upon binding to c-di-GMP (346).

In this work, we study whether single cell characteristics, such as stage of cell cycle, are tied to cell fate. On a single cell level, we hypothesize that the stage in a bacterial cell cycle can hinder its ability to survive antibiotic treatment, particularly if a cell is close to division. Dually, on a population level, we hypothesize that heterogeneity can lead to a high fraction of cells surviving antibiotic resistance because of the lack of synchronization. Previously, Christen *et al.* found that c-di-GMP oscillates synchronously with a single cell's cell cycle (346). Here, we used an optimized FRET biosensor to track temporal changes in c-di-GMP. Overall, our work was an explorative direction aimed at understanding if c-di-GMP could predict cell fate and more specifically whether we would be able to manipulate the physiology of single cells to improve effectiveness of antibiotic treatments.

E.2. Results

In order to study c-di-GMP levels in *E. coli*, we optimized the biosensor from Reference (346) (see: Methods). When c-di-GMP binds to the PilZ domain of the biosensor, FRET levels are low; when there is less c-di-GMP, FRET levels are higher (Figure E-1). Using the biosensor, we were able to measure native levels of c-di-GMP. We could also measure changes to intracellular c-di-GMP levels when either extracellular c-di-GMP or zinc ions (Zn²⁺) were added (Figure E-1). We increased intracellular c-di-GMP levels by adding extracellular c-di-GMP. We reduced intracellular c-di-GMP levels by

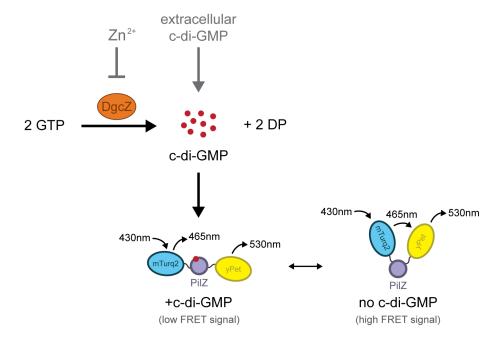


Figure E-1. Schematic of the regulation pathway of c-di-GMP and measuring c-di-GMP with the biosensor.

c-di-GMP is converted from 2 guanosine-triphosphate (GTP) molecules by a diguanylate cyclase (DgcZ); a byproduct of this reaction are 2 diphosphate molecules. DgcZ is allosterically regulated by zinc ions. c-di-GMP is measured by FRET using a biosensor, which contains a PilZ domain; PilZ changes conformation when bound to c-di-GMP.

adding Zn²⁺, which reversibly inhibits to the enzyme responsible for producing c-di-GMP, DgcZ (Figure E-1).

Natural c-di-GMP levels were first measured (Figure E-2A-B, Column 4). As expected, when we added Zn^{2+} to the populations, we observed that the populations had higher FRET signals and lower levels of c-di-GMP (Figure E-2B, Column 1-3). The higher the concentration of Zn^{2+} the more the c-di-GMP levels shifted to the left – indicating lower intracellular c-di-GMP under the presence of higher Zn^{2+} concentrations. Likewise, when

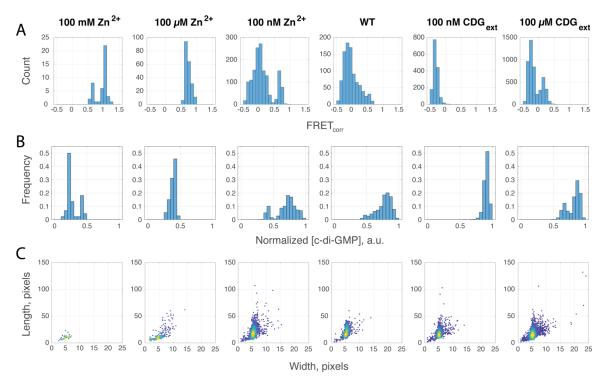


Figure E-2. Regulation of intracellular c-di-GMP concentrations shifts populations c-di-GMP and cell morphology.

(A) Histogram of corrected FRET signal (see: Methods). **(B)** Normalized histogram of relative c-di-GMP concentrations. **(C)** Morphology of cells (length by width). N = 44 - 4,500 cells. Note there are often more cells per frame for high c-di-GMP conditions.

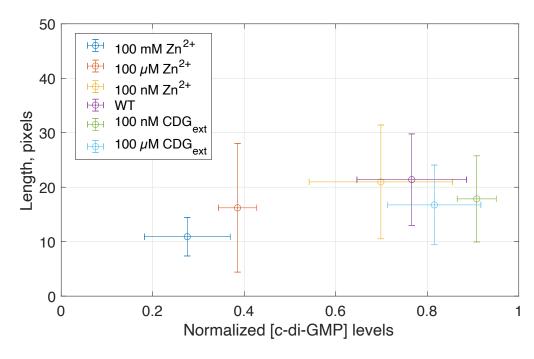


Figure E-3. Lower c-di-GMP levels reduces cell size.

Average relative c-di-GMP concentration and mean length for treated populations. Error bars are for standard deviation of N = 44 - 4,500 cells. Note there are often more cells per frame for high c-di-GMP conditions.

extracellular c-di-GMP is added, the histogram of c-di-GMP shifts to the further right (Figure E-2B, Column 5-6).

In analyzing these results, we also found that cells exposed to Zn^{2+} had lower c-di-GMP levels as well as shorter cells (Figure E-2C, Column 1-2 and Figure E-3). Additionally, despite collecting a similar number of frames for all conditions, there were significantly fewer cells when grown in higher Zn^{2+} concentrations. This could potentially indicate the significance of c-di-GMP for proper cell growth and function, but this more likely indicates the toxicity of Zn^{2+} , which can disrupt a number of other processes (347). However, it remains interesting that cells with low c-di-GMP were the ones surviving treatment with Zn^{2+} .

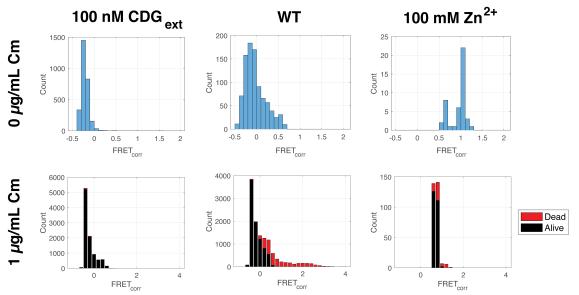


Figure E-4. Higher c-di-GMP levels are associated with increased survival.

Histogram of cells under different antibiotic stress levels (0 μ g/mL or 1 μ g/mL of chloramphenicol) and different c-di-GMP levels (100 nM CDG_{ext}, WT, or 100 mM Zn²⁺). We then measured using propidium iodide staining if cells were dead or alive after exposure to an antibiotic.

Lastly, we explored how the addition of antibiotics impacts cell with different c-di-GMP levels (Figure E-4). When we treated the populations with 1 μg/mL of chloramphenicol, cells with lower average c-di-GMP levels died. When populations were treated with 1 μg/mL of chloramphenicol and extracellular c-di-GMP, almost all cells lived. Interestingly, in this case, the distribution of c-di-GMP levels with and without antibiotics were qualitatively similar, which could also indicate that higher c-di-GMP may promote survival. In contrast, conditions with supplemental Zn²⁺ only had a few live cells; however, those living cells had the highest c-di-GMP levels from the distribution of the 0 μg/mL chloramphenicol condition. Thus, higher c-di-GMP levels may improve the survive of an antibiotic treatment.

We were next curious whether temporal changes in single cell c-di-GMP could predict cell fate. We first explored the temporal changes in a population's native c-di-GMP levels when a single cell grown up to a microcolony. We observed both expected oscillations in single cell c-di-GMP levels, as well as large population shifts in c-di-GMP during late exponential phase or high-density growth (Figure E-5). While other studies have been able to track oscillations in c-di-GMP to predict the where a single cell is in their cell cycle (346), we often ran into issues with photobleaching when measuring more frequently than every 7 min. The original study also worked with *B. subtilis*, which has a doubling rate of 120 min (348); whereas, the cells we studied here were *E. coli*, which can have a doubling time as fast as 20 min (349). While we were working at lower temperatures

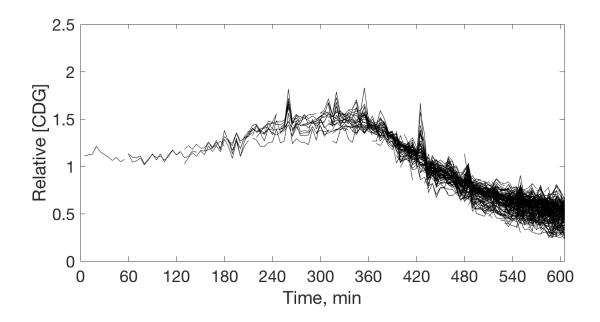


Figure E-5. Single cell oscillation of c-di-GMP from a single mother.

Relative c-di-GMP concentrations for a single mother cell and its daughter cells in a microcolony over 10 h.

and with minimal media (see: Methods), the single E. coli cells grew too quickly for the desired temporal resolution of the c-di-GMP oscillations. This taken together with strong population fluctuations in c-di-GMP during high density growth (between t = 360 - 600 min) made it challenging to use cell cycle as a predictor of single cell E. coli cell fate.

E.3. Discussion

In this work, we assessed a c-di-GMP biosensor as a predictor for cell cycle and cell fate. We first optimized the biosensor for higher signals by replacing the donor fluorescent protein, cyPet, with mTurq2 and by codon-optimization for *E. coli*. We found that we could regulate intracellular c-di-GMP levels by adding Zn²⁺ or extracellular c-di-GMP. Interestingly, cells exposed to high concentrations of Zn²⁺ had unique morphologies and were often slow growing, similar to persister cells (36). As such, a future direction may investigate whether there is an interplay between persistence and c-di-GMP, or whether it is possible to use c-di-GMP levels as a measure of the single cell's metabolic state.

Lastly, while we found that c-di-GMP levels might be able to predict cell fate, there was not enough temporal resolution to track and predict cell fate of single cells. Additionally, the single cell dynamics of c-di-GMP were quickly dominated by the c-di-GMP transmission cascades during biofilm formation (Figure E-5) (345). Due to these two effects, it may also be more informative to conduct this study with slower growing bacteria.

E.4. Contributions Statement

Ariel M. Langevin (A.M.L.) and Allyson Sgro (A.S.) designed experiments. Both authors optimized the biosensor. A.M.L. conducted experiments, analyzed the data, and wrote the appendix.

E.5. Methods

E.5.1. Strains and Plasmids

We used BW25113 strain of *E. coli*. The plasmid with SPY was ordered from AddGene (346). Codon-optimized versions of mTurq2 and yPet for *E. coli* were ordered from IDT as gBlocks. The pBbS8a-SPY2 plasmid was constructed using the Gibson assembly method (240). The backbone is from the BioBricks library and contains a low copy number origin of replication, pSC101, an ampicillin resistance marker, Amp^R, and an inducible arabinose promoter, P_{bad} (237). Control plasmids of pBbS8a-mTurq2 and pBbS8a-yPet were also built using Gibson assembly method (240). Plasmids were then transformed into the BW25113 host cells.

E.5.2. Growth Conditions and Time-lapse Microscopy

Cells were cultured overnight in Luria Broth (LB) medium with 100 μ g/mL carbenicillin for plasmid maintenance at 200 rpm and 37°C. Cultures were refreshed by diluting 1:100 in fresh LB with 30 μ g/mL kanamycin and with 100 μ M arabinose to induce the biosensor. These cultures were then grown at 200 rpm and 37°C for 3 h.

E.5.3. Time-lapse Microscopy

Cells were then placed on 1.5% low-melting-point agarose pads containing 100 μ M arabinose and 100 μ g/mL carbenicillin. The agarose pads were made using M9 minimal media supplemented with 0.2% glycerol, 0.01% casamino acids, 0.15 μ g/mL biotin, and 1.5 μ M thiamine. There were experiments where: extracellular c-di-GMP was added at 0 nM, 100 nM, or 100 μ M; Zn²⁺ was added at 0 nM, 100 nM, 100 μ M, or 100 mM;

chloramphenicol was added at 0 or 1 μ g/mL; and/or propidium iodide (PI) was added at 0 or 10 μ g/mL. Images were taken with a Nikon Ti-E microscope with 100x objective lens for up to 600 min at 7 min intervals or single snaps. The microscope chamber was 32°C.

E.5.4. Data Analysis

Images were analyzed in Matlab using the SuperSegger30 image processing software to track and measure single cell characteristics and fluorescent protein levels. We used the formula from Reference (350) to measure FRET efficiency of single cells.

$$f([\text{c-di-GMP}]) = \frac{Ef_{DA}}{\gamma} = \frac{F_{ex_{\lambda}D,em_{\lambda}A}^{EPAC} - \alpha F_{ex_{\lambda}A,em_{\lambda}A}^{EPAC} - \beta F_{ex_{\lambda}D,em_{\lambda}D}^{EPAC}}{\alpha F_{ex_{\lambda}A,em_{\lambda}A}^{EPAC}} \qquad \text{Eq. 18}$$

Snaps (not shown) were used to calculate constants: α , which represents the relative acceptor fluorescence signal (α =0.9607), and β , which represents the relative donor fluorescence signal (β =0.2089). These correction factors reduce the error due to bleedthrough and photobleaching of the accept and donor proteins. c-di-GMP levels were calculated as inversely proportional to the FRET efficiency and normalized between 0 and 1 based on maximum and minimum FRET efficiencies from all experiments.

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CURRICULUM VITAE







