

1 *Review*

# 2 **The Role of Hypoxia-Inducible Factor Post-** 3 **Translational Modifications in Regulating its** 4 **Localisation, Stability and Activity**

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15 **Abstract:** The hypoxia signalling pathway enables adaptation of cells to decreased oxygen  
16 availability. When oxygen becomes limiting, the central transcription factors of the pathway,  
17 hypoxia-inducible factors (HIFs), are stabilised and activated to induce the expression of hypoxia-  
18 regulated genes, thereby maintaining cellular homeostasis. Whilst hydroxylation has been  
19 thoroughly described as the major and canonical modification of the HIF- $\alpha$  subunits, regulating  
20 both HIF stability and activity, a range of other post-translational modifications decorating the  
21 entire protein play also a crucial role in altering HIF localisation, stability, and activity. These  
22 modifications, their conservation throughout evolution and their effects on HIF-dependent  
23 signalling are discussed in this review.

24 **Keywords:** Hypoxia; HIF-1 $\alpha$ ; HIF-2 $\alpha$ ; Posttranslational modifications; Phosphorylation; cysteine  
25 phosphorylation, Methylation; Acetylation; Ubiquitination; Sumoylation; S-Nitrosylation;  
26 Signalling  
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## 28 **1. Introduction**

29 Many pathways in mammalian cells rely on molecular oxygen; especially during the final step  
30 of the mitochondrial respiratory chain. If oxygen levels drop below a cell-dependent critical level,  
31 cells experience hypoxia and cannot sustain aerobic respiration and subsequent ATP production. A  
32 switch to glycolysis, the less efficient but oxygen-independent pathway of producing ATP, is  
33 required to ensure cell survival. The sensing of cellular oxygen levels, the associated switch between  
34 modes of energy generation and ultimately the adaptation to a low oxygen environment, is controlled  
35 by the hypoxia signalling pathway. Hypoxia-inducible factors (HIFs), of which the first was described  
36 as a nuclear factor that enhances transcription of the erythropoietin (EPO) gene under hypoxic  
37 conditions by binding to a 3' enhancer sequence element, are part of this pathway and maintain the  
38 adaptation at the transcriptional level [1,2]. HIFs consists of an oxygen-dependent  $\alpha$  subunit that is  
39 destabilised in normoxia and a constitutively expressed  $\beta$  subunit (HIF-1 $\beta$  or ARNT) [3,4]. Three HIF-  
40  $\alpha$  subunits (HIF-1 $\alpha$ , HIF-2 $\alpha$ , HIF-3 $\alpha$ ) have been described, of which HIF-1 $\alpha$  and HIF-2 $\alpha$  are the best  
41 understood and considered as the major activators of hypoxia-induced gene transcription [2,5,6].  
42 HIF- $\alpha$  and HIF-1 $\beta$  heterodimerise via their basic helix-loop-helix (bHLH)/Per-ARNT-Sim (PAS)  
43 domains to form the active transcription factor dimer, which then binds to hypoxia response elements  
44 (HREs) within the DNA of target genes [7]. HIFs then recruit general co-activators such as CBP/p300

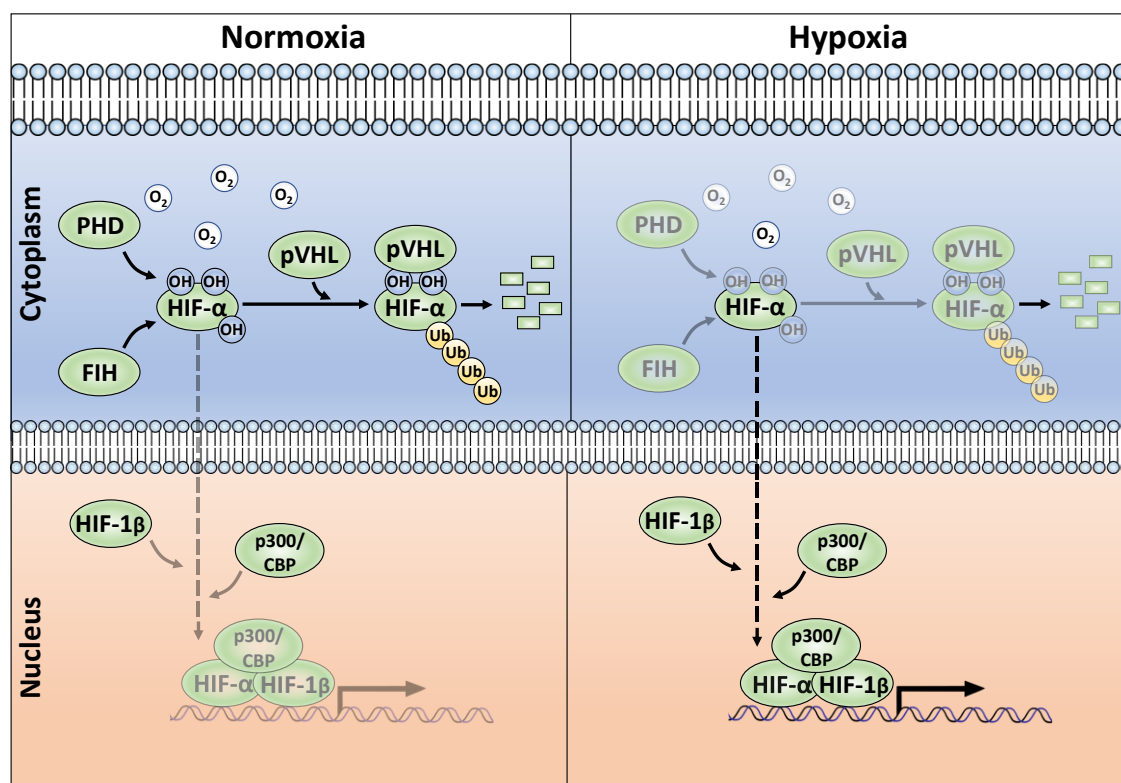
45 via the C-terminal transactivation domain (C-TAD), leading to the expression of more than ~300  
46 genes [8,9].

47 While HIF-1 $\alpha$  and HIF-2 $\alpha$  appear to be able to bind the same HRE, they can occupy distinct  
48 genomic sites, which vary with cell types. They display different subnuclear localisation and  
49 intranuclear diffusion speed [10]. In addition, some studies showed that neither HIF-1 $\alpha$  nor HIF-2 $\alpha$   
50 could substitute the lack of DNA binding caused by the absence of the one or the other HIF- $\alpha$  variant  
51 [11]. However, others have shown that the loss of a single HIF- $\alpha$  isoform (either HIF-1 $\alpha$  or HIF-2 $\alpha$ )  
52 is compensated by the enhanced expression of the other and promote survival during cancer  
53 development [12]. This highlights the potential for specific contexts, whereby there may be a  
54 compensation mechanism when a single HIF- $\alpha$  isoform is silenced. These findings support in part,  
55 the idea that HIF-1 $\alpha$  accounts for acute and HIF-2 $\alpha$  for chronic responses to hypoxia [13]. HIF-3 $\alpha$  is  
56 less explored than HIF-1 $\alpha$  or HIF-2 $\alpha$ . HIF-3 $\alpha$  mRNA is subject to alternative splicing in humans and  
57 in mice [14,15]. A specific mouse splice variant called inhibitory PAS domain protein (IPAS) was  
58 shown to interact directly with HIF-1 $\alpha$ . The IPAS/HIF-1 $\alpha$  complex was unable to bind to HREs and  
59 suggested to be a negative regulator of HIF-1 $\alpha$  [16,17]. By contrast, the long human splice variant  
60 HIF-3 $\alpha$ 2 induces expression of various genes among them the EPO gene [18].

61 The canonical regulation of HIF- $\alpha$  protein stability and activity involves a series of molecular  
62 interactions and reversible covalent modifications to specific amino acids, termed post-translational  
63 modifications (PTMs) [19-21]. A PTM can alter a protein's enzymatic activity, localisation, stability,  
64 and/or interaction with other proteins. Therefore, these non-genetically encoded modifications that  
65 are mainly carried out by enzymes, add to the complexity of the proteome as they can ascribe  
66 different functionalities to the same gene product. Common PTMs to a target protein include  
67 phosphorylation, acetylation, methylation, and alkylation as well as the covalent linkage of fatty  
68 acids, saccharides or small proteins such as ubiquitin and SUMO (small ubiquitin-related modifier)  
69 [22]. This review examines and discusses the current PTM landscape of HIF- $\alpha$  and their respective  
70 functional consequences on HIFs.

## 71 2. Canonical regulation of HIF- $\alpha$ , the role of ubiquitination

72 Whilst regulation of HIF mRNA levels by hypoxia plays a minor role in HIF abundance,  
73 regulation of protein stability, via PTMs, is essential for appropriate HIF accumulation during  
74 hypoxia [23]. The HIF- $\alpha$  proteins are destabilised in normoxia via the ubiquitin-proteasome pathway  
75 (Figure 1) [24,25]. To achieve this, post-translational hydroxylation of two conserved proline residues  
76 (Pro-402/Pro-564 in HIF-1 $\alpha$ ; Pro-405/ Pro-531 in HIF-2 $\alpha$ ; P492 in HIF-3 $\alpha$ ) residing within an oxygen-  
77 dependent-degradation (ODD) domain is required [19,20,26,27]. Prolyl hydroxylation is carried out  
78 by three mammalian HIF prolyl hydroxylases (PHD1, -2, and -3; also known as EglN2, EglN1, and  
79 EglN3, respectively). PHD2 acts as the main regulator of HIF- $\alpha$  degradation and has a key role in  
80 HIF- $\alpha$  intracellular dynamics [28,29]. Once hydroxylated, the HIF- $\alpha$  proteins are recognised by an  
81 E3-ligase complex containing the von-Hippel-Lindau protein (pVHL), which acts as the substrate  
82 recognition unit and, together with Cullin-2 (Cul-2), Elongin-1, Elongin-2 and Ring-Box 1 (RBX1),  
83 polyubiquitinates K532, K538 or K567 on HIF-1 $\alpha$  (and K497, K503 or K512 on HIF-2 $\alpha$ ) [30-33]. The  
84 polyubiquitylated HIF- $\alpha$  proteins are then degraded via the 26S proteasome [19]. When cells are  
85 deprived of oxygen, PHDs have less molecular oxygen available to act as a co-factor, hence  
86 decreasing their activity and subsequent HIF- $\alpha$  hydroxylation [34]. Consequently, HIF- $\alpha$  subunits  
87 accumulate in the nucleus, form a heterodimer with the constitutively expressed HIF-1 $\beta$  and bind to  
88 HREs [7]. HIF- $\alpha$  hydroxylation is not exclusively mediated via PHDs, but also by the Factor-  
89 Inhibiting HIF (FIH). FIH is an asparaginyl hydroxylase and modifies HIF-1 $\alpha$  and HIF-2 $\alpha$  on their C-  
90 TAD residues N803 and N847, respectively [35,36]. During hypoxia, FIH activity is suppressed,  
91 allowing HIF-1 $\alpha$  or -2 $\alpha$  to complex with the CBP/p300 co-activators and increased transcriptional  
92 activation. HIF- $\alpha$  hydroxylation has long been considered to be an irreversible PTM, but it was  
93 recently shown, by mass spectrometry, that the FIH-mediated asparagine hydroxylation is indeed a  
94 reversible process [37].

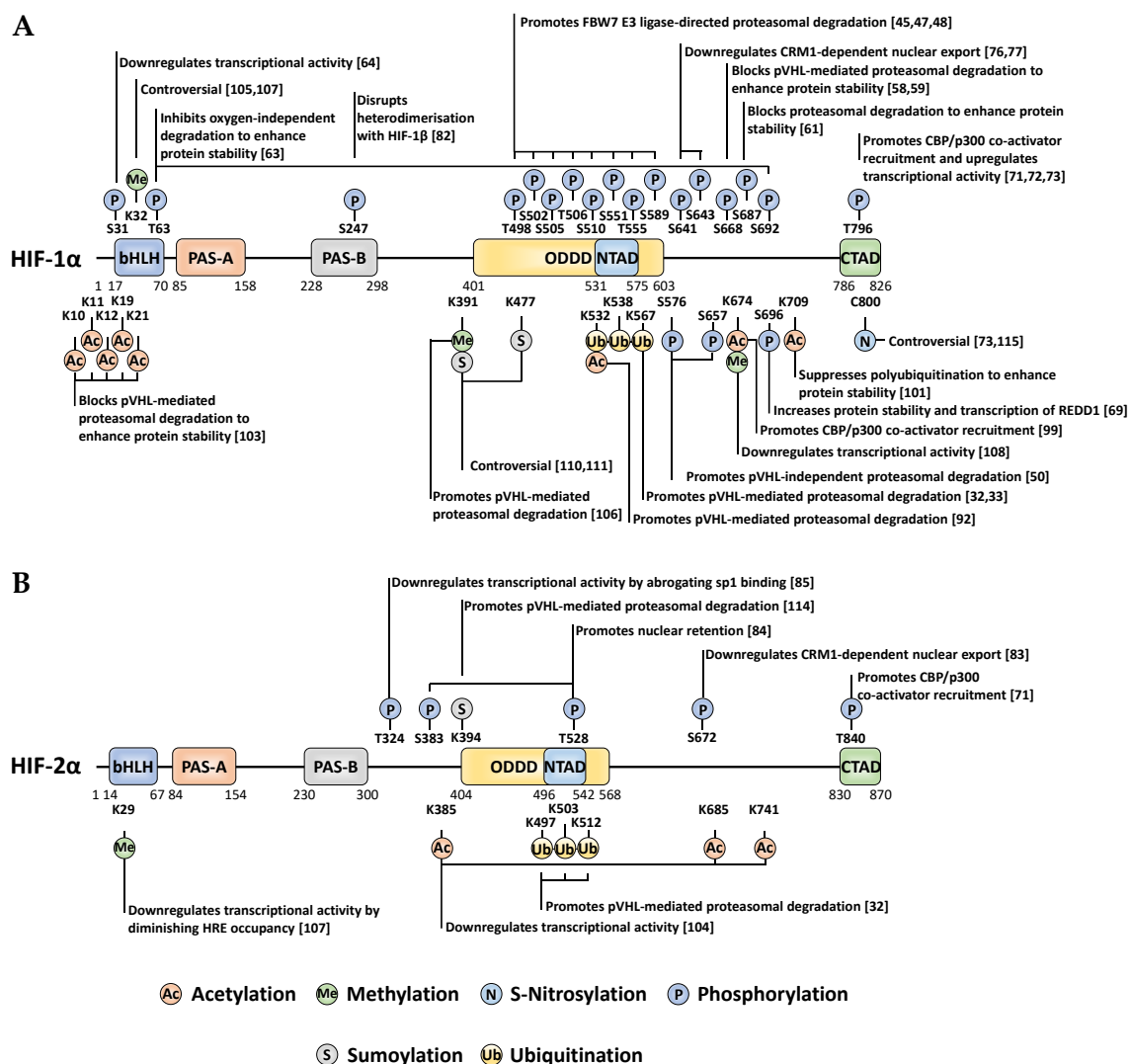


95 **Figure 1.** The canonical oxygen-dependent degradation mechanism for HIF- $\alpha$  by pVHL-mediated 26S  
 96 proteasomal degradation and its inhibition by FIH during hypoxia.

97 Polyubiquitination is a quintessential PTM in preventing unwanted HIF- $\alpha$  accumulation in  
 98 normoxia. Aside from ubiquitination, the action of deubiquitinating enzymes (termed DUBs) are  
 99 well-known for their roles in regulating HIF- $\alpha$ . The action of DUBs on HIFs has been extensively and  
 100 recently reviewed and will not be covered here [38,39].  
 101

## 102 2. Non-canonical PTMs regulating HIF- $\alpha$ subunits

103 Whilst there are many well-characterised binding partners and indirect regulators of HIF-1 $\alpha$  and  
 104 HIF-2 $\alpha$ , PTMs constitute an essential direct regulatory mechanism for the HIF transcription factors  
 105 [40]. PTMs abundantly decorate the full-length of these oxygen-sensitive proteins to exert specific  
 106 regulatory forces. Most of these covalent modifications are enzymatically driven, with some  
 107 exceptions such as S-nitrosylation. For the past two decades, the PTM landscape of HIF-1 $\alpha$  and HIF-  
 108 2 $\alpha$  has been ever-expanding, showing the intrinsic complexity and crosstalk of diverse intracellular  
 109 signalling pathways implicating HIF activity, stability and localisation (Figure 2).



110 **Figure 2.** Localisation and function of non-canonical HIF- $\alpha$  PTMs mapped onto full-length HIF-1 $\alpha$   
 111 (A) and HIF-2 $\alpha$  (B). When a given PTM has multiple publications stating conflicting  
 112 functional outcomes, then the functionality is denoted as 'controversial'.  
 113

### 114 3. Phosphorylation

115 Phosphorylation is an extremely common and well-studied PTM, involving the enzymatic  
 116 addition of a phosphate group to serine, threonine, or tyrosine residues on a target protein  
 117 (canonically within vertebrates). Moreover, a recent investigation highlighted the existence of  
 118 additional 'non-canonical' phosphorylatable residues within human cells, including histidine,  
 119 arginine, lysine, aspartate, glutamate and cysteine [41]. HIF-1 $\alpha$ /HIF-2 $\alpha$  modification by  
 120 phosphorylation is abundant and has varied roles in regulating their stability, activity, subcellular  
 121 localisation, and binding partner interactions (Figure 2). Whilst many of these direct phosphorylation  
 122 events occur irrespective of oxygen tension, the modifications within the ODDD domain appear to  
 123 occur under normoxia exclusively.

124

#### 125 3.1 Phosphorylation by GSK-3 $\beta$

126 Glycogen Synthase Kinase-3 (GSK-3) is a serine/threonine kinase that was initially identified as  
 127 a negative regulator of glycolysis. In mammals, two different isoforms have been identified: GSK-3 $\alpha$   
 128 and GSK-3 $\beta$ , and despite their homology of 98% in their catalytical domain, their roles in metabolism  
 129 are different [42-44]. Given that GSK-3 phosphorylates various upstream and downstream targets of  
 130 the PI3K/AKT/mTOR signalling pathways, its activity is subject to tight regulation. Given the fact

131 that early hypoxia increased PKB/Akt activity as well as HIF-1 $\alpha$  protein levels, it was shown that  
132 downregulation of GSK-3 enhanced HIF-1 $\alpha$ , whereas overexpression of GSK-3 $\beta$  decreased HIF-1 $\alpha$   
133 protein levels, suggesting that HIF-1 $\alpha$  is a direct target of GSK-3 $\beta$  [45,46]. Indeed, two independent  
134 investigations found distinct clusters of HIF-1 $\alpha$  phosphorylation within its ODD domain and N-TAD,  
135 directly deposited by GSK-3 $\beta$ . One study identified S551, T555 and S589 as GSK-3 $\beta$  target sites based  
136 on kinase assays and experiments in hepatoma cells, while another study reported T498, S502, S505,  
137 T506 and S510 as GSK-3 $\beta$  sites in ovarian cancer cells [45,47]. Whilst this implies cell type specific  
138 aspects in the action of GSK-3, in both cases phosphorylation of HIF-1 $\alpha$  by GSK-3 $\beta$  mediated the  
139 FBW7-E3 ubiquitin ligase-directed proteasomal degradation. This could be antagonised by the DUB  
140 ubiquitin-specific protease 28 (USP28) [47,48]. Interestingly, USP28 was found to be subject of a HIF-  
141 regulated positive feedback loop. Therein, the USP28-inactivating sumoylation of USP28 at K99  
142 occurring under normoxia is reversed by direct interaction with SENP1 [49]. SENP1 itself is a  
143 transcriptional target of HIF-1. Hence, induced expression of SENP1 under hypoxia promotes  
144 desumoylation and activation of USP28, which then can contribute to further stabilisation of HIFs by  
145 their deubiquitynation activity (Figure 3). Taken together, this suggests that GSK-3 $\beta$ /USP28/SENP1  
146 are highly coordinated to maintain an appropriate HIF response depending on oxygen availability in  
147 addition to the PHD-pVHL system.  
148

### 149 3.2. Phosphorylation by PLK3

150 Polo-like kinase 3 (PLK3), a regulator of the cellular stress response and cell cycle progression,  
151 targets HIF-1 $\alpha$  for degradation via direct phosphorylation of two sites, S576 and S657 [50]. This  
152 phosphorylation occurs during normoxia to target HIF-1 $\alpha$  for proteasomal degradation in a pVHL-  
153 independent manner. Only one of these target sites, S576, is located within the ODD domain, while  
154 the other, S657, is immediately after the HIF-1 $\alpha$  nuclear export signal (NES). The role of PLK3 in  
155 regulating cell survival and proliferation *in vivo* has been further demonstrated by the same group,  
156 via PLK3-dependent phosphorylation of PTEN to enhance PTEN protein stability [51]. More recently,  
157 evidence has been gathered suggesting that PLK3 is suppressed by both hypoxia and Ni(II) (which  
158 acts as a hypoxia mimetic by blocking PHDs) [52,53]. The latter studies proposed a signalling  
159 paradigm whereby, in normoxia, PLK3 destabilises both HIF-1 $\alpha$  and the E3-ligase SIAH2. Among  
160 the SIAH2 targets are PLK3 and PHD1/3 [53-55]. Thus, under hypoxia, or in the presence of at least  
161 Ni(II), SIAH2 protein levels increase and then induce ubiquitin-mediated proteasomal degradation  
162 of PLK3 as well as PHD1 and PHD3. Although USP28 appears to be capable of reversing PLK3  
163 polyubiquitination to increase its stability, USP28 levels and activity were found to be suppressed by  
164 both hypoxia and Ni(II), which would contribute to PLK3 suppression (Figure 3). While the co-  
165 existence of both pVHL-dependent and -independent mechanisms for normoxia-specific HIF-1 $\alpha$   
166 degradation might initially appear redundant, the presence of both systems may form a contingency  
167 to ensure appropriate HIF regulation in the event of pVHL becoming functionally compromised.  
168

### 169 3.3 Phosphorylation by CDKs

170 Not all phosphorylations of HIFs decrease their stability, some do the opposite. Cyclin-  
171 dependent kinases (CDKs) belong to an important family of proteins involved in regulating and fine  
172 -tuning several stages of the cell cycle [56]. HIF-1 $\alpha$  has been shown to act as a negative regulator of  
173 DNA replication, exerting control over cell cycle progression [57]. CDK1, a key cell cycle regulator  
174 conserved across all eukaryotes and promotes entry into the M-phase of mitosis, directly interacts  
175 with and phosphorylates HIF-1 $\alpha$  at S668, in an oxygen-independent manner. Although this  
176 phosphorylation increased HIF's protein stability, the mechanisms appear to involve both the  
177 proteasome and/or lysosomal degradation of HIF-1 $\alpha$  during the G1/S transition [58,59]. In addition,  
178 CDK2 positively regulates HIF-1 $\alpha$  transactivity in cancer cells, and it was postulated that CDK2 could  
179 uncouple the transcriptional and non-transcriptional functions of HIF-1 $\alpha$  in a manner analogous to  
180 the well-characterised mechanism involving cMYC and SKP2 [58,60]. Furthermore, CDK5, which is  
181 distinct from the other CDKs as it does not involve a cyclin subunit for catalytic activation, modifies  
182 HIF-1 $\alpha$  at S687 and increases its stability [61]. Overall, this highlights the importance of oxygen-

183 dependent and -independent mechanisms merging at HIF-1 $\alpha$  to determine cellular fate during  
184 growth and division.

185

### 186 **3.4 Phosphorylation by PKA**

187 The intracellular protein kinase A (PKA), an essential kinase activated by cAMP, has also been  
188 associated with increased HIF stability. Initially, PKA was shown to be involved in the HIF-1 $\alpha$   
189 response to intermittent hypoxia in EAhy926 endothelial cells, yet the phosphorylation sites within  
190 HIF-1 $\alpha$  remained unknown [62]. Later on, it was found that PKA phosphorylated 6 different sites  
191 spanning the full length of HIF-1 $\alpha$  *in vitro*, with T63 and S692 phosphorylation promoting oxygen-  
192 independent stabilisation and increased transcriptional activity of HIF-1 $\alpha$  [63]. Interestingly, of these  
193 6 phosphorylation sites, S31 has recently been evidenced in a separate study, to abrogate HIF-1 $\alpha$ -  
194 dependent transcription without impacting protein stability; opening up avenues of direct  
195 transcriptional regulation of HIF by PTM and potentially delineating the consensus that HIF- $\alpha$   
196 stability results in HIF transcriptional regulation [64]. A recent study identified a feedback  
197 mechanism where hypoxia activates PKA via HIF-1 $\alpha$  mediated suppression of the PKA regulatory  
198 subunit 2B (PRKAR2B) transcription, by sequestering SP1 from the PRKAR2B promoter [65]. Overall,  
199 these aspects show that the potential of crosstalk between these key signalling pathways in altering  
200 the cellular response.

201

### 202 **3.5 Phosphorylation by pATM**

203 Following the detection of DNA damage, p53, a potent tumour suppressor transcription factor,  
204 is stabilised via phosphorylation by ataxia telangiectasia mutated protein (pATM) [66]. p53 and HIF-  
205 1 $\alpha$  display extensive crosstalk to balance survival and pro-apoptotic signalling, in response to severe  
206 hypoxia or anoxia [67,68]. pATM directly modifies HIF-1 $\alpha$  at S696 in response to hypoxia, thereby  
207 increasing its stability as well as its transcriptional activity [69]. In contradiction to this activatory role  
208 of pATM on HIF-1 $\alpha$ , others have found that loss of pATM positively regulates the transcription and  
209 translation of HIF-1 ( $\alpha$  and  $\beta$ ) proteins through oxidative stress [70]. Together, this suggests a  
210 complex interrelation between pATM and HIF-1 $\alpha$  involving direct and indirect regulatory aspects,  
211 ranging from transcriptional control via the regulation of translation and/or protein stability.

212

### 213 **3.6 HIF-1 $\alpha$ vs HIF-2 $\alpha$ phosphorylation, similarities and differences**

214 Given the high sequence homology between HIF-1 $\alpha$  and HIF-2 $\alpha$ , it is unsurprising to see  
215 equivalent phosphorylation-dependent regulation between the two HIF- $\alpha$  proteins. Recruitment of  
216 the CBP/p300 co-activators to the HIF- $\alpha$  C-TAD requires phosphorylation of a conserved threonine  
217 within the C-TAD at T796 and T844 of HIF-1 $\alpha$  and mouse HIF-2 $\alpha$  (human HIF-2 $\alpha$  T840), respectively  
218 [71]. Interestingly, this T796 phosphorylation may also abrogate the HIF-1 $\alpha$ /FIH interaction to  
219 enhance HIF-1 transcriptional activity [72,73]. Despite unsuccessful identification of the specific  
220 kinase responsible for this phosphorylation, CKII-like kinase was suggested to play a role, yet direct  
221 evidence has not yet been obtained [71,74,75].

222

223 HIF- $\alpha$  transcriptional activity and its interaction with HIF-1 $\beta$  are dependent on reaching a  
224 sufficient nuclear concentration. Nuclear localisation can be regulated by HIF-1 $\alpha$  phosphorylation at  
225 S641/S643, within the NES motif, by p42/44 mitogen-activated protein kinase (MAPK, hereafter  
226 referred to as ERK1/2) [76,77]. This ERK-dependent phosphorylation promotes HIF-1 $\alpha$  nuclear  
227 localisation by blocking exportin chromosomal maintenance 1 (CRM1)-dependent nuclear export.  
228 Moreover, ERK1/2 phosphorylation of HIF-1 $\alpha$  also indirectly regulates gene-specific HIF-1 $\alpha$   
229 transcriptional activation and enhances protein stability. Either S641 and S643 phosphorylation  
230 constitutes a PIN1 consensus motif (pSer-Pro), whereby PIN1, a peptidyl-prolyl cis/trans isomerase  
231 overexpressed in several human cancers, induces a conformational change in HIF-1 $\alpha$  to increase  
232 protein stability and transcriptional activity in an ERK1/2-dependent manner [78,79]. Interestingly,  
233 an inverse association has been noted between cancer and Alzheimer's Disease (AD) concerning PIN1  
234 regulation of HIF-1 $\alpha$  [80]. While PIN1 overexpression in cancer has been correlated with increased  
HIF-1 $\alpha$  stability, the inverse is suggested to occur with AD whereby PIN1 promotes HIF-1 $\alpha$

235 degradation via a GSK-3 $\beta$ -dependent mechanism [78,81]. Once HIF-1 $\alpha$  localises to the nucleus, its  
236 interaction with HIF-1 $\beta$  can be disrupted by phosphorylation of S247 by casein kinase 1  $\delta$  (CK1 $\delta$ ) [82].  
237 Much like HIF-1 $\alpha$ , HIF-2 $\alpha$  nuclear localisation is regulated by ERK1/2 phosphorylation at S672 [83].  
238 Consequently, this masks the NES within HIF-2 $\alpha$  and directly inhibits CRM1-dependent nuclear  
239 export of HIF-2 $\alpha$  at an atypical NES. Despite *in vitro* findings for HIF-2 $\alpha$  being capable of interacting  
240 with PIN1, no investigation has yet identified equivalent HIF-1/PIN1 regulation on HIF-2 [79].  
241 Furthermore, HIF-2 $\alpha$  nuclear localisation is also dependent on additional modification at S383 and  
242 T528 by CK1 $\delta$ , which assists indirectly to the CRM1-dependent mechanism, by facilitating nuclear  
243 retention likely via binding to immobile nuclear (or chromatin) components [84].

244 While the similarity of mechanisms regulating both HIF- $\alpha$  proteins is not surprising, it is the  
245 specific differences in their respective sequences that specialise them for some distinct roles. For  
246 instance, during hypoxia, HIF-1 $\alpha$  suppresses key mismatch DNA-damage repair genes by competing  
247 with MYC for SP1 binding within target gene promoters, but, this does not occur with HIF-2 $\alpha$  due to  
248 unique phosphorylation within its PAS-B domain on T324 by protein kinase D1 (PKD1) [85]. The  
249 T324 phosphorylation occurs within a motif requiring an obligatory upstream proline, which is not  
250 present in HIF-1 $\alpha$ , hence abrogating SP1 binding.

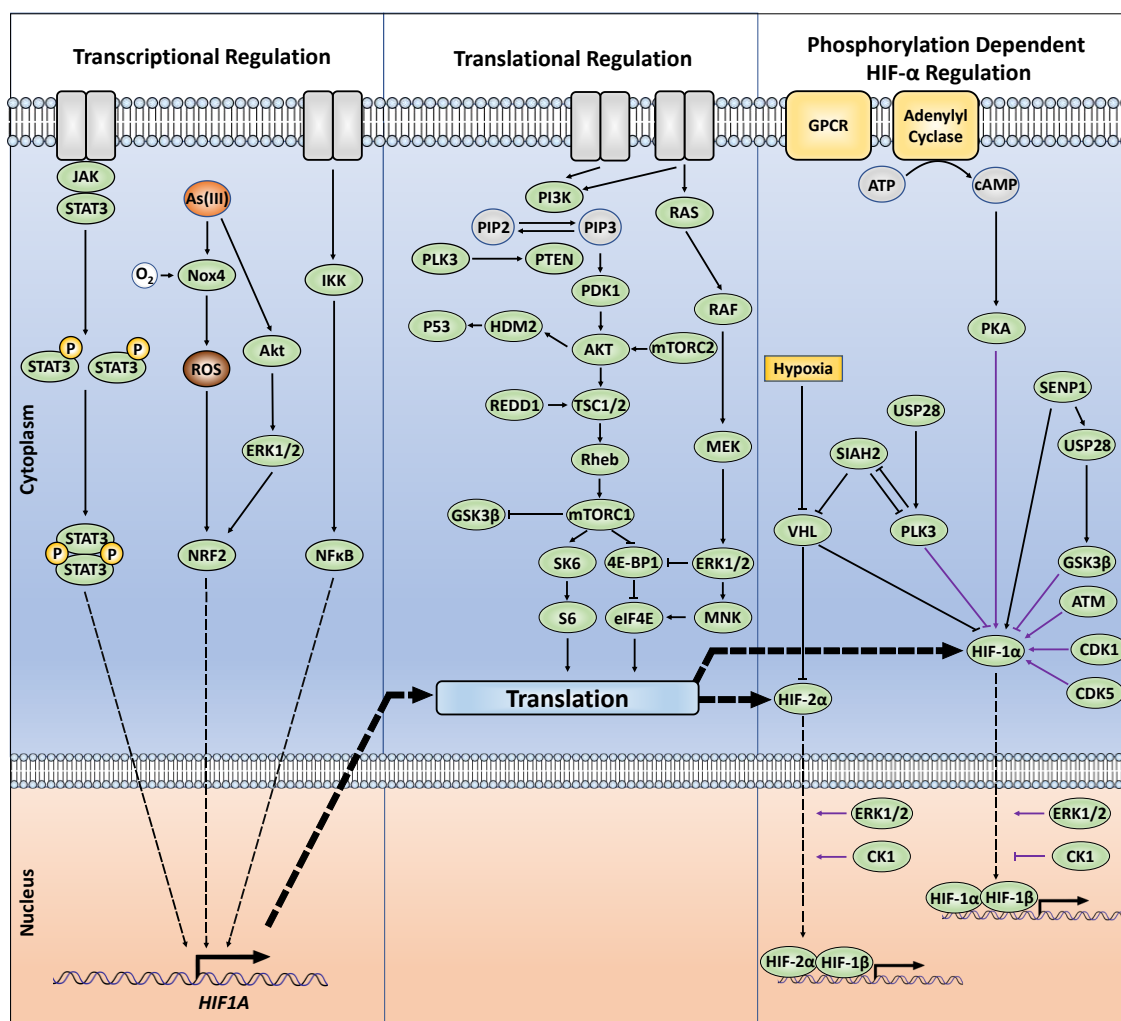
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### 252 **3.7 Beyond direct HIF protein phosphorylation; indirect kinase-dependent HIF- $\alpha$ regulation**

253 Kinases regulating HIF- $\alpha$  transcription, synthesis, or degradation by acting upstream or  
254 downstream on critical regulators of these processes were also shown to integrate different signalling  
255 pathways with the HIF response (Figure 3). Despite HIF- $\alpha$  transcriptional regulation not being the  
256 focus of this review, it is nevertheless important to highlight how such signalling crosstalks including  
257 JAK/STAT3 and NF- $\kappa$ B signalling have been associated with upregulation of HIF-1 $\alpha$  at the  
258 transcriptional level [86-90]. Furthermore, PI3K/AKT and ERK1/2 appear to affect at least HIF-1 $\alpha$   
259 transcription in response to reactive oxygen species (ROS) generated by arsenite [91]. ROS involve  
260 binding of nuclear factor erythroid 2-related factor 2 (NRF2) to an antioxidant response element  
261 (ARE) located approximately 30 kilobases upstream of the HIF1A transcriptional start [91,92].  
262 Likewise there are mechanisms downregulating HIF- $\alpha$  transcription. For instance, the kinase double-  
263 stranded RNA-dependent protein kinase R (PKR), associated with eukaryotic initiation factor 2  
264 (eIF2), can act outside of the eIF2 pathway to impair *HIF1A* transcription via inhibition of the STAT3  
265 signalling [93]. The participation of mTOR in the regulation of HIF-1 $\alpha$  protein translation was also  
266 described [94]. Further, an mTOR signalling motif (FVMVL) immediately C-terminal of the PAS-A  
267 domain in HIF-1 $\alpha$  appeared to modulate the recruitment of CBP/p300 [95]. HIF-1 $\alpha$  transcriptional  
268 activity has also shown evidence of positive regulation via kinases, but independent of their catalytic  
269 activity, whereby CDK8 is capable of associating with HIF-1 $\alpha$  to induce RNA Polymerase II  
270 transcription [96]. Altogether, those findings outline the additional control, via kinase-controlled  
271 pathways, of HIF- $\alpha$  production.

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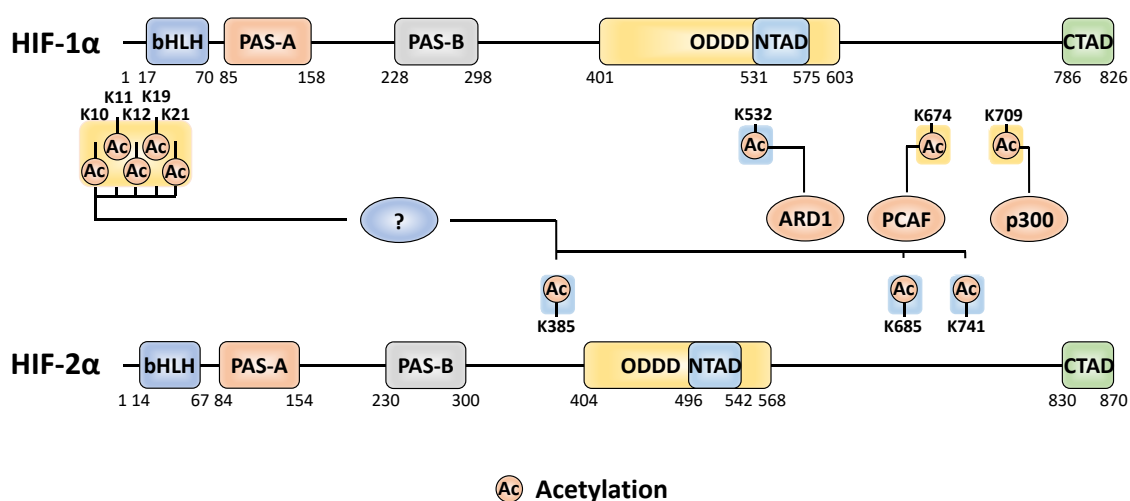
274 **Figure 3.** The intracellular protein-dependent cell signalling for the transcriptional, translational, and  
 275 phosphorylation-dependent regulation of HIF- $\alpha$ . Purple arrows indicate protein kinases that directly  
 276 phosphorylate HIF- $\alpha$ .  
 277

#### 278 4. Acetylation

279 Acetylation is a compelling class of modification in terms of HIF- $\alpha$  functional outcome, leading to  
 280 diverse effects. Since establishing the canonical consensus of HIF- $\alpha$  stabilisation, investigations  
 281 have highlighted that acetylation plays a crucial role in coordinating this fundamental response.  
 282 Mouse arrest defective-1 (mARD1<sup>225</sup>) acetylation of the HIF-1 $\alpha$  ODD domain, at K532, accelerates the  
 283 HIF-1 $\alpha$ /pVHL interaction under normoxia, contributing to HIF-1 $\alpha$  proteasomal degradation [97].  
 284 However, given that humans do not express mARD1<sup>225</sup> it remains unclear as to whether this  
 285 regulation occurs in human cells. Some investigations have been unsuccessful in reproducing this  
 286 observed increase in protein degradation when using either mARD1<sup>225</sup> or human ARD1  
 287 (hARD1/NAA10) [98,99]. Recent evidence suggests that FIH is required to directly modify  
 288 hARD1/NAA10, at W38, during normoxia (utilising molecular oxygen as a cofactor) to activate its  
 289 lysine acetyltransferase activity, thereby facilitating HIF-1 $\alpha$  acetylation [100]. This FIH-mediated  
 290 activation could explain the previously suggested normoxia specific regulation imposed by  
 291 hARD1/NAA10. The co-activator p300 also acetylates HIF-1 $\alpha$ , at K709, to increase protein stability  
 292 under hypoxia by suppressing its polyubiquitylation [101]. This acetylation is antagonised and  
 293 removed by SIRT2, which interacts directly to enhance the HIF-1 $\alpha$ -PHD2 interaction [102].  
 294



295 Acetylation has also been recognised to induce changes in HIF- $\alpha$  outside of the canonical pVHL-  
 296 mediated pathway. In that respect, HDAC4 regulates HIF-1 $\alpha$  deacetylation, which in turn enhances  
 297 HIF-1 $\alpha$  protein stability by blocking non-pVHL-mediated proteasomal degradation [103].  
 298 Additionally, HDAC4 increases HIF-1 $\alpha$  transactivity. Although the precise localisation of the  
 299 individual lysine residues was not determined, the authors postulated that a combination of 5 lysines  
 300 (K10, K11, K12, K19 and K21) within the bHLH domain is involved. In terms of the acetylation  
 301 machinery modifying HIF- $\alpha$ , HDAC4 is not the sole regulator. Under hypoxia, the CBP/p300-  
 302 associated factor (PCAF) facilitates the CBP/p300 interaction with the HIF-1 $\alpha$  CTAD via acetylation  
 303 of K674 [99]. Given that K674 is conserved across other mammals containing the HIF-1 $\alpha$  ortholog, it  
 304 is likely that modification of this site plays an integral role in regulating transactivity. Interestingly,  
 305 K674 acetylation can be antagonised by SIRT1, which abrogates the CBP/p300 interaction to inactivate  
 306 HIF-1 $\alpha$ . However, SIRT1 can itself be downregulated by hypoxia. SIRT1 also interacts with HIF-2 $\alpha$   
 307 to facilitate the deacetylation of residues K385, K685 and K741 [104]. While SIRT1 downregulates  
 308 HIF-1 $\alpha$  transactivity, it does the opposite to HIF-2 $\alpha$  leading to increased transcriptional activation.  
 309



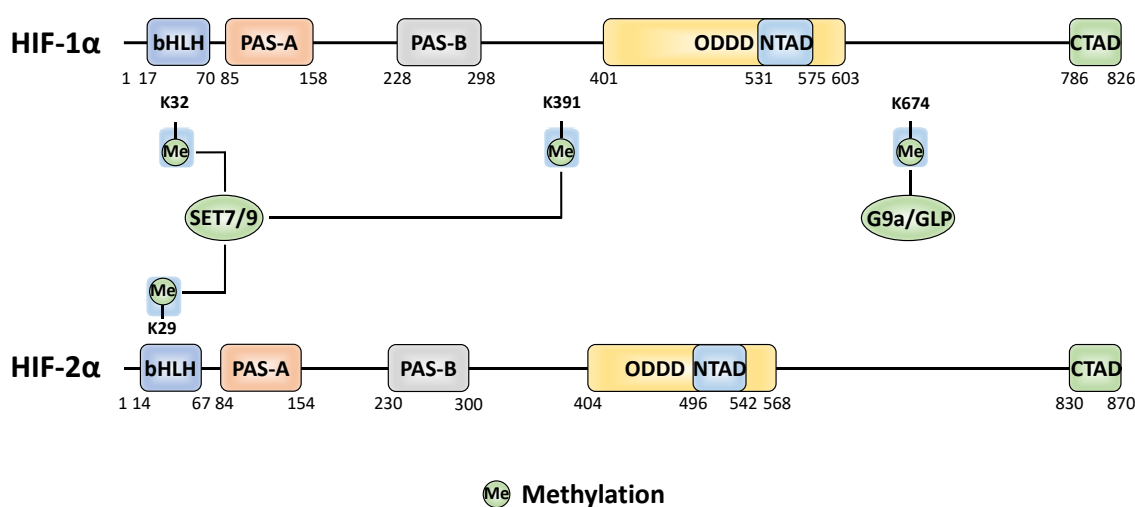
**Figure 4.** The amino acid sites of HIF- $\alpha$  subjected to acetylation and the acetyltransferase enzymes attributed to the relevant sites. A '?' represents an unknown regulator, not currently described in the literature. PTMs with yellow and blue backgrounds indicate activatory and inhibitory effects, respectively.

## 315 5. Methylation

316 Most-commonly methylation of proteins occurs at arginine or lysine residues. Methylation has  
 317 been widely studied in conjunction with histone proteins where they regulate DNA accessibility for  
 318 transcription. In addition, methylation also regulates other proteins, including HIF- $\alpha$ , to induce  
 319 functional changes. SET7/9, a monomethyl transferase known for its role in gene activation via  
 320 modification of histone H3, has been found to also interact with HIF-1 $\alpha$  and to methylate multiple  
 321 sites [105-107]. The SET7/9-mediated methylation at K391 on HIF-1 $\alpha$  induces protein destabilisation  
 322 via the canonical PHD/VHL pathway [106]. This could be antagonised by lysine-specific demethylase  
 323 1 (LSD1). LSD1 is a member of the nucleosome remodelling and deacetylase (NuRD) complex. It has  
 324 been proposed that the LSD1 demethylating activity suppresses PHD2-mediated hydroxylation and  
 325 promotes deacetylation of HIF-1 $\alpha$  at K532 (supposed to be acetylated by ARD1) [97,106].

326 Other studies indicate that SET7/9 can methylate both HIF-1 $\alpha$  and HIF-2 $\alpha$  at the conserved sites  
 327 K32 and K29 within the bHLH domains, respectively [107]. Initially, K32 HIF-1 $\alpha$  (and K29 HIF-2 $\alpha$ )  
 328 methylation was found to induce transcriptional inhibition independent of HIF-1 $\alpha$  protein  
 329 degradation, while a later investigation reported that SET7/9 methylated HIF-1 $\alpha$  was degraded by  
 330 the 26S proteasome in a hydroxylation-independent manner [105,107]. Again, LSD1 was capable of

331 reversing the methylation at K32 and of stabilising HIF-1 $\alpha$  under hypoxic conditions. In line, LSD1  
 332 was then found to upregulate HIF-1 $\alpha$ -dependent angiogenesis by increasing CBP, MTA1 and HIF-  
 333 1 $\alpha$  binding to the VEGF promoter [105,106]. Interestingly, K32 resides near two residues found to be  
 334 mutated in various human cancers, S28Y and R30Q, which alter the SET7/9 consensus site when  
 335 mutated to prevent methylation, thus leading to increased HIF-1 $\alpha$  stability [105]. Aside from SET7/9  
 336 mono-methylation, more recently, G9a/G9a-like protein (GLP) has been recognised to both mono-  
 337 and di-methylate HIF-1 $\alpha$  at K674 [108]. This is the same site that can be acetylated by PCAF (see  
 338 above), but with here an opposite effect, by inhibiting transactivation [108]. Lysine acetylation and  
 339 methylation have distinct effects on the physicochemical properties of a target residue, either  
 340 maintaining a residues positive charge or neutralising it, respectively, leading to distinct  
 341 proteoforms. The mutual exclusivity of these lysine PTMs evidence the complex cross-regulation of  
 342 HIF-1 $\alpha$ .  
 343



344 **Figure 5.** The amino acid sites of HIF- $\alpha$  methylation and the methyltransferase enzymes responsible  
 345 for each site-specific modification. PTMs with yellow and blue backgrounds indicate activatory and  
 346 inhibitory effects, respectively.  
 347

## 348 6. SUMOylation

349 SUMO is comparable to ubiquitin in terms of its overall molecular structure and molecular  
 350 weight yet can lead to distinct changes in a given protein regulation depending on the SUMO  
 351 isoforms [109]. The functional outcome of HIF-1 $\alpha$  SUMOylation remains unclear due to controversy  
 352 between investigations. The first report of HIF-1 $\alpha$  SUMOylation suggested that modification by  
 353 SUMO-1 increased HIF-1 $\alpha$  protein stability and transactivity [110]. SUMO-1 was proposed to  
 354 compete with ubiquitin for linkage at K391 and K477 within HIF-1 $\alpha$ 's SUMO consensus sequences.  
 355 Contrary to this, another study evidenced that SUMOylation of K391 and K477 induces a decrease in  
 356 transcriptional activity, which appeared to be independent of altering HIF-1 $\alpha$ 's half-life under  
 357 hypoxia [111]. Aside from the identification and characterisation of specific SUMOylatable residues,  
 358 several investigations have identified enzymes capable of initiating SUMO conjugation to HIF-1 $\alpha$ .  
 359 PIASy is an E3-ligase responsible for SUMOylating HIF-1 $\alpha$  in two regions. One site residing within  
 360 the ODD domain (between residues 331-698) and the other lying further upstream (between residues  
 361 211-330) [112]. PIASy-mediated SUMOylation negatively regulated HIF-1 $\alpha$  transactivity and protein  
 362 stability and reduced epithelial cell angiogenic activity. Further, the SUMO E3 ligase Cbx4 modifies  
 363 HIF-1 $\alpha$  at K391 and K477 to enhance HIF-1's transcriptional activity [113]. While both HIF-1 $\alpha$  and  
 364 HIF-2 $\alpha$  are targets for SUMOylation, fewer sites of modifications have been identified in HIF-2 $\alpha$ .  
 365 Despite HIF-2 $\alpha$  containing two SUMO consensus sites, only one, K394, was found to be conjugatable  
 366 by SUMO [114]. Interestingly, the enzymatic addition of SUMO-2 facilitated recognition by SUMO-

367 targeted ubiquitin ligases (pVHL and RNF4) to rapidly degrade HIF-2 $\alpha$  under hypoxia. Furthermore,  
368 this investigation also highlighted that the SUMO-protease SENP1, which has shown action against  
369 HIF-1 $\alpha$ , is also capable to recognise and regulate HIF-2 $\alpha$ .  
370

## 371 7. S-Nitrosylation

372 Not all PTMs are enzymatically driven, with one such example being S-nitrosylation. S-  
373 nitrosylation is yet another PTM with conflicting views regarding the functional outcome of its  
374 modification. Initially, C800 S-nitrosylation was identified as a critical modification for CBP/p300  
375 recruitment and transcriptional activation [115]. However, a later investigation found that  
376 incorporation of the polar NO group potentially disrupted this interaction with the result that C800  
377 S-nitrosylation suppressed CBP/p300 recruitment [73]. In addition, HIF-1 $\alpha$  stability can be  
378 upregulated through NO-mediated S-nitrosylation under normoxic conditions, at C533 (mouse  
379 sequence) in the ODD domain. The stabilisation process appeared to be independent of the VHL  
380 degradation pathway [116]. Overall, S-nitrosylation seems to regulate at least HIF-1 $\alpha$  stability and  
381 transactivity. No data are available for HIF-2 $\alpha$ .  
382

## 383 8. Uncharacterised PTMs

### 384 8.1 Glycosylation

385 While many classes of PTM have been discussed within this review, there are still many classes  
386 that are yet to be characterised as modifiers of HIF- $\alpha$ . Protein glycosylation, the addition of a sugar-  
387 moiety to proteins, ranges from simple monosaccharide modifications of nuclear transcription factors  
388 to highly complex branched polysaccharide additions to cell surface receptors. While several  
389 investigations suggest a link between glycosylation and hypoxia, it is still unclear whether and how  
390 HIF- $\alpha$  subunits are directly involved in these processes and whether they can themselves be targeted  
391 for glycosylation [117,118].  
392

### 393 8.2 S-Glutathionylation

394 S-glutathionylation is the addition of the tripeptide glutathione (GSH) to cysteine residues of  
395 proteins. It is often stimulated by oxidative as well as nitrosative stress, yet also occurs in unstressed  
396 cells. It is involved in various cellular processes by modulating protein functions and preventing  
397 irreversible oxidation of protein thiols. Changes in oxidised glutathione can modulate HIF signalling  
398 via S-glutathionylation of target cysteines in human oral squamous cell carcinoma cells, and in C2C12  
399 mouse myoblasts [119-121]. The latter study also identified, through a biotin switch assay and  
400 subsequent MS analysis, GSH adducts on cysteine 520 (C520) within the ODD domain of human HIF-  
401 1 $\alpha$ , which led to HIF-1 $\alpha$  protein stabilisation [121]. Interestingly, C520 in human HIF-1 $\alpha$  is equivalent  
402 to C533 in the mouse sequence for which that S-nitrosylation prevented HIF-1 $\alpha$  degradation [116].  
403

### 404 8.3 Neddylation

405 Neddylation is a process by which a ubiquitin-like protein called **neural precursor cell-expressed**  
406 **developmentally down-regulated 8 (NEDD8)**, is conjugated to its target proteins. As a result of the  
407 conjugation process subcellular localisation, protein stability, and activity of targeted proteins can be  
408 modified. While there is limited knowledge about direct neddylation of HIFs, we do know that HIF-  
409 1 $\alpha$  as well as HIF-2 $\alpha$  are capable of covalent modification by NEDD8. NEDD8 stabilised HIF-1 $\alpha$   
410 under both normoxia and hypoxia in VHL deficient cells, suggesting a VHL-independent process  
411 [122]. More recently it was reported that SerpinB3 (SB3), a hypoxia and HIF-2 $\alpha$ -dependent cysteine-  
412 protease inhibitor, can directly neddylate and stabilise HIF-2 $\alpha$ , which led to an upregulation of its  
413 target genes in liver cancer cells [123]. Overall, these reports underline the role of neddylation in HIF  
414 regulation, but none of the reports has yet shown the exact sites within the HIF proteins where the  
415 neddylation occurs.  
416

## 417 9 Conclusion

418 While many different classes and sites of PTM have been discussed here, numerous others have  
419 been identified as part of high-throughput mass spectrometry studies and are yet to be functionally  
420 investigated. Phosphositeplus is a mass spectrometry data repository for PTM data [124]. Searching  
421 Phosphositeplus identifies in excess of 50 PTMs (spanning phosphorylation, acetylation, sumoylation  
422 and ubiquitination) that have been confidently identified under different cellular conditions between  
423 HIF-1 $\alpha$  and HIF-2 $\alpha$ , that lack functional characterisation. Further to this, a very recent investigation,  
424 using a combination of immunoprecipitation and mass spectrometry, discovered multiple types of  
425 PTMs (and binding partners) of HIF-1 $\alpha$  and HIF-2 $\alpha$  and determined their O<sub>2</sub> dependence [64]. A total  
426 of 41 (32 novel) and 39 (34 novel) different PTMs on HIF-1 $\alpha$  and HIF-2 $\alpha$ , respectively, were identified,  
427 spanning 13 different types of PTM, including an array of different cysteine modifications together  
428 with non-canonical cysteine phosphorylation. All of the identified PTM sites were investigated  
429 through multiple sequence alignments of >200 vertebrate species for both HIF-1 $\alpha$  and HIF-2 $\alpha$  [64].  
430 Overall, few PTM sites seem to have random variants throughout evolution, rather HIF- $\alpha$  PTM sites  
431 are highly conserved even in domains of large sequence variation; highlighting not only the potential  
432 functional importance of PTM but also the functional importance of HIF- $\alpha$  themselves. Furthermore,  
433 some sites show evolutionary variation to PTM-null/mimetic amino residues, thus either removing  
434 or full activating this signalling pathway respectively. For example Ser31 in human is present as a  
435 phospho-null Gly31 in all (80+ species) Bony fish (*Osteichthyes*) [64]. Thus this added level of  
436 information could be used to aid in selection of PTM sites to functionally characterise and guide  
437 biological reasoning to their function.

438  
439 Here, we provided an overview on how post-translational modifications are critical steps for  
440 regulating HIF- $\alpha$  activity and stability. Given that hypoxia plays a vital role in many  
441 pathophysiological aspects, and is a prominent micro-environmental feature in many aspects of life,  
442 it may be hypothesised that specific PTM sites that highly influence functional roles, may be a  
443 selective target for mutation in tumours/cancers as survival strategies. Surprisingly, the COSMIC  
444 database (<https://cancer.sanger.ac.uk/>) identifies a low mutation rate of ~1.5-2% for both HIF-1 $\alpha$  (640  
445 mutations / 41304 sequenced HIF- $\alpha$  genes from patient tumours) and HIF-2 $\alpha$  (928/39561) [125].  
446 Specifically looking for missense mutations (resulting in amino acid changes) lowers this to <1% for  
447 both HIF-1 $\alpha$  and HIF-2 $\alpha$  (262/41304 and 368/39561 respectively), with the vast majority of mutations  
448 occurring only once. For comparison, p53 (TP53 gene) known to have a critical role in cancer  
449 development (has a mutation rate of ~28%, a rate >20 times that of either HIF- $\alpha$  protein. Pathological  
450 changes in growth factor production or driver mutations, for example in receptor tyrosine kinase  
451 pathways, may enhance modification of HIFs that could contribute to cancer cell survival or death.  
452 At this time, it is difficult, if not impossible, to predict the contribution of any given post-translational  
453 modification in the context of specific diseases as their net result may depend on tissue or cell-specific  
454 aspects which may shorten or prolong HIFs half-life in one cell or the other, respectively.

455  
456 To date, most data available contribute to the regulation of HIF-1 $\alpha$ , and therefore more  
457 knowledge about the PTM landscape of HIF-2 $\alpha$  and HIF-3 $\alpha$  will be required to increase basic  
458 understanding of hypoxia signalling, its crosstalk with other signalling networks and improve the  
459 potential for therapeutic intervention

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466  
467

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