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1 **Influence of traditional earthquake-resistant techniques on the out-of-plane behaviour of**  
2 **stone masonry walls: experimental and numerical assessment**

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10 **Abstract:** The main goal of the work is to assess the efficiency of traditional earthquake resistant  
11 solutions to improve the out-of-plane performance of stone masonry walls. Therefore, the present paper  
12 presents the results of an experimental campaign and numerical analysis performed on three stone  
13 masonry walls with a U-shaped plan configuration. Two of them were built with traditional earthquake-  
14 resistant techniques usually found in European Mediterranean area, namely steel ties and timber-laced  
15 reinforcements embedded at the corners of the walls. These techniques are specifically intended to  
16 enhance wall-to-wall connections and, thus, improve the out-of-plane behaviour of the walls. The  
17 experimental campaign included qualitative assessment procedures, non-destructive tests for the  
18 material characterization and a quasi-static test for the characterization of the out-of-plane response.  
19 Additionally, a finite element numerical model was built, calibrated with the experimental results,  
20 allowing to perform a parametric study to evaluate the influence of the number of reinforcements and  
21 geometrical configuration on the out-of-plane behaviour of stone masonry walls.

22 **Keywords:** stone masonry; vernacular architecture; earthquake resistant techniques; out-of-plane test;  
23 seismic assessment; masonry quality index; non-destructive tests; experimental analysis; finite element  
24 analysis; parametric study

## 25 **1. Introduction**

26 Stone masonry is a traditional construction material widely used in the building practice throughout  
27 history. Historical stone masonry buildings have a considerable resistance to static vertical loads.  
28 Nevertheless, earthquakes represent one of the major threats to these structures. Common construction  
29 details typically observed in this type of structures (e.g. high percentage of voids, lack of effective  
30 connections among structural components, irregular arrangement of the masonry units, low quality  
31 materials) can negatively affect the seismic behaviour of stone masonry constructions and eventually  
32 lead to out-of-plane failures in the event of an earthquake.

33 This work aims at contributing to the better understanding of the out-of-plane behaviour of stone  
34 masonry walls, as well as assessing the efficiency of using traditional earthquake resistant solutions to  
35 improve it. Two traditional earthquake resistant techniques largely widespread in Mediterranean region  
36 [1], namely steel ties and timber-laced reinforcements, are herein analysed.

37 Vernacular architecture is a consistent and valuable part of the built-up environment to be preserved. It  
38 relies on an empirical approach and reflects the cultural and construction tradition of a community, as  
39 well as its bond with the natural environment [2]. As part of its close bond with the natural environment,  
40 vernacular architecture also shows signs of adaptation to natural hazards, such as earthquakes. This is  
41 the essence of the so-called “local seismic culture” of traditional communities. Local seismic culture is  
42 often founded on ergonomic considerations rather than economic principles and aims at minimizing the  
43 disadvantages and maximizing the advantages of a specific natural and social environment [3].

44 Some of the most significant examples of vernacular architecture combined with local seismic culture  
45 can be found in countries such as Portugal [4], Italy [5], Greece [6] and Turkey [7]. In order to fully take  
46 advantage of the dissipation capability of a structure, most of these techniques are intended to enhance  
47 its box behaviour. With that purpose, tying/anchoring systems and rigid floor diaphragms are used to  
48 improve the connection of separated walls in seismic upgrading interventions on existing buildings [8].

## 49 **1.1. Experimental and numerical approaches**

50 In the recent years, experimental works have been made concerning the out-of-plane seismic behaviour  
51 of masonry elements using laboratory or in situ tests [9]. In 1992, Ceradini [2] carried out one of the  
52 first attempt to study the behaviour of masonry structures subjected to out-of-plane horizontal loading  
53 actions. An inclinable supporting plane, inducing different levels of out-of-plane loading, was used to  
54 test masonry walls prototypes. Most recently, shaking table tests assessing the out-of-plane response of  
55 unreinforced masonry walls have been carried out by different authors, such as Doherty [10], D'Ayala  
56 [11] and Al Shawa [12]. The main aspects under studying in these experimental campaigns relate to the  
57 influence of the slenderness ratio and masonry bond arrangement on the overall seismic performances  
58 of the masonry panels. With similar purposes, more complex prototypes were tested in the shaking table  
59 at LNEC in Lisbon by Costa et al. [13] and Candeias [14]. Additionally, within the framework of the  
60 project "Study of the vulnerability of masonry buildings in Groningen", two bi-directional (horizontal  
61 and vertical) shake table tests were performed by Tomassetti [15] and Graziotti [16] in order to assess  
62 the seismic vulnerability of typical Dutch unreinforced masonry buildings exposed to small magnitude  
63 seismic events induced by reservoir depletion due to natural gas extraction.

64 Regarding experimental quasi-static tests, several examples can be found in Ferreira [17] and Dizhur  
65 [18]. Vakulik [19] carried out shaking table tests on half-scale two-way spanning unreinforced masonry  
66 walls (clay bricks walls) obtaining a good agreement with previous quasi-static tests [20] in terms of  
67 peak load, stiffness/strength degradation and damage patterns. Maccarini [21] also carried out an  
68 experimental campaign aiming at the characterization of the out-of-plane behaviour of unreinforced  
69 stone masonry walls at the University of Minho (Portugal).

70 With respect to the numerical simulation of the seismic behaviour of masonry structures, one of the  
71 main challenges is the use of adequate constitutive materials models, which allow reproducing its non-  
72 linear behaviour. Masonry mechanical properties highly depend on the overall quality and arrangement  
73 of both masonry units and mortar layers. Due to its extremely diversified nature, different numerical

74 techniques have been developed by researchers over time, in order to deal with the complex task of  
75 modelling masonry structures [22]. Equivalent continuum idealization (macro-modelling) and  
76 equivalent discontinuous idealization (micro-modelling and meso-modelling) are the main FE-based  
77 approaches that have been used to model masonry as a composite material [9] [23]. Macro-modelling  
78 provides a good solution when a balance between accuracy and efficiency is required and is the approach  
79 selected to study the out-of-plane behaviour of stone masonry walls in the present work.

## 80 **1.2. Objective and methodology of the present work**

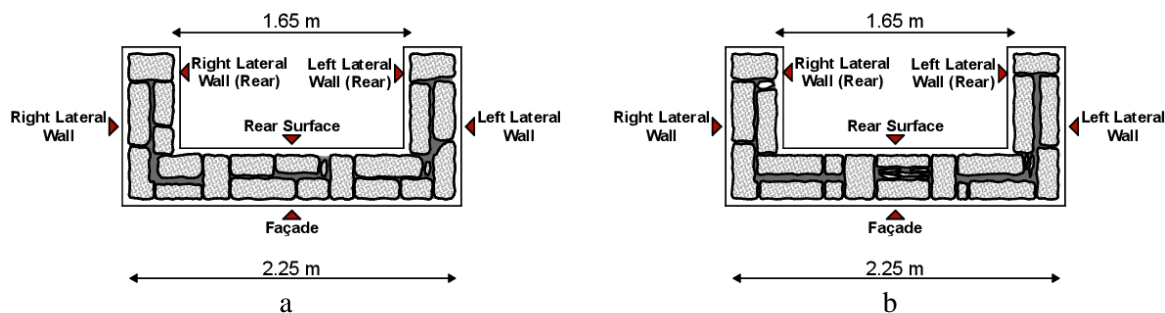
81 The present work represents an extension of the abovementioned experimental campaign carried out by  
82 Maccarini [21] and aims at evaluating the influence of different earthquake resisting techniques on the  
83 out-of-plane behaviour of stone masonry walls, namely: (1) steel ties (Wall\_Stl); and (2) timber-laced  
84 reinforcements (Wall\_Tmb). The results obtained are systematically compared with the unreinforced  
85 wall (Wall\_Ref) tested by Maccarini [21], taking into account that the same geometry for the walls,  
86 testing setup and instrumentation were adopted. The research methodology relies on the combination of  
87 experimental and numerical research, regarded as complementary activities for an improved and  
88 comprehensive characterization of the stone masonry walls.

89 The experimental work includes non-destructive testing of the two stone masonry walls for the material  
90 and structural characterization, by means of sonic tests and dynamic identification tests for the  
91 preliminary prediction of elastic properties of stone masonry. Sonic tests aim primarily at estimating the  
92 elastic properties of masonry, namely the modulus of elasticity (E). Dynamic identification tests were  
93 intended to obtain the fundamental frequency and corresponding mode shapes. A qualitative assessment  
94 of stone masonry by means of masonry quality index (MQI) is also provided. In a second step, the  
95 experimental works include the out-of-plane quasi-static loading tests of the two reinforced stone  
96 masonry walls using an airbag to simulate the seismic loading.

97 Finally, a finite element model was prepared and calibrated with the experimental results. In addition,  
98 the paper presents a parametric study intended to evaluate the influence of the geometrical configuration  
99 of the reinforcements on the overall out-of-plane response of the stone masonry walls.

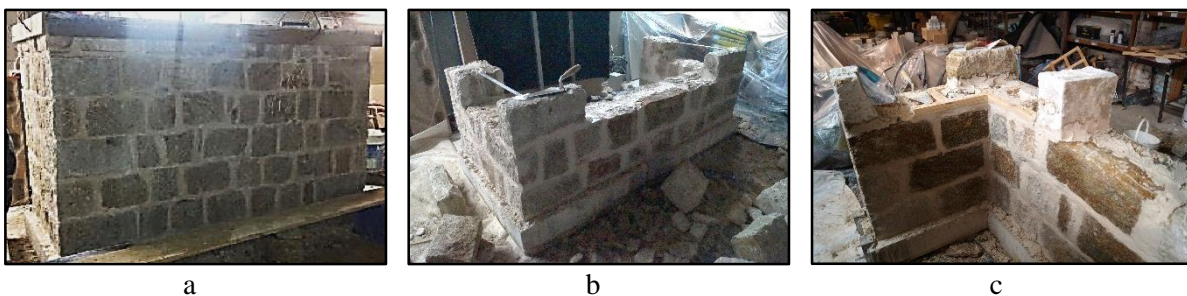
## 100 2. Testing specimens: geometry and construction process

101 The stone masonry walls analysed in the present work were designed taking into account the geometrical  
102 features commonly found in stone masonry walls from vernacular buildings in the northern region of  
103 Portugal [24]. In order to study the effect of the connections between frontal and transversal walls on  
104 the out-of-plane behaviour of frontal walls, masonry walls specimens with U-shaped plan configuration  
105 were adopted (Figure 1). The majority of vernacular buildings in northern Portugal are usually limited  
106 to one floor and the stone masonry walls are mostly double leaf. The span of the façades does not exceed  
107 10 m, often ranging from 3.0 to 4.5 m. Therefore, specimen geometrical parameters were set according  
108 to the most common dimensions observed in the reference area (Northern Portugal). The wall specimens  
109 were finally established with a span of 4.50 m, with a height of 2.70 m and a thickness of 0.60 m. The  
110 slenderness ratio calculated is equal to 4.5. The same thickness (0.60 m) was assumed for the transversal  
111 walls, whose length was set at 2.0 m. Due to laboratory space restrictions, it was decided to test half  
112 scale reduced specimens (1:2), i.e. the dimensions of prototype wall were reduced to half, including the  
113 stones through the thickness, see Figure 1. The same criteria were applied to define the geometrical  
114 configuration of the unreinforced wall (Wall\_Ref) [21].



115 Figure 1 – Plan cross section of the tested specimens through the second masonry course: Wall\_Stl (a)  
116 and Wall\_Tmb (b)

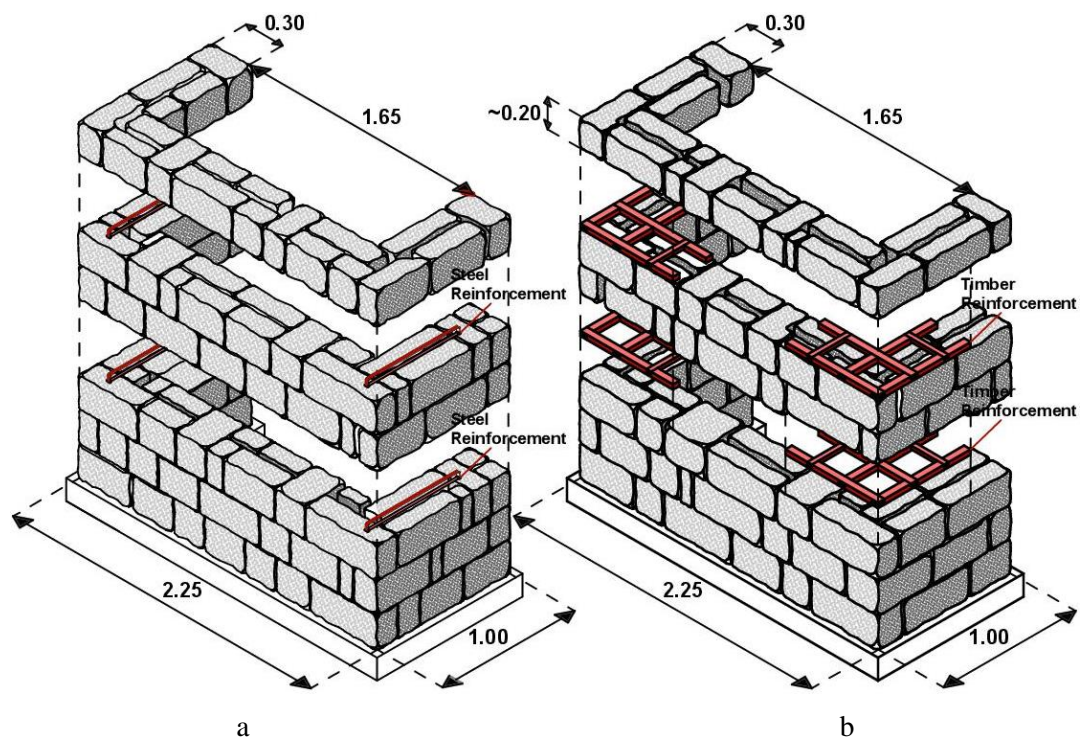
117 The walls were built by an experienced mason, who roughly followed a set of initial technical drawings  
118 indicating stone dimensions and the position of the headers (through stones). The masonry walls were  
119 built on top of a reinforced concrete beam base with a height of 0.20 m. Figure 2 depicts different stages  
120 of the construction related to Wall\_Ref, Wall\_Stl and Wall\_Tmb. Parallelepiped granite stones with  
121 mostly regular configuration were used (Figure 2). Through-stones were also used to ensure an adequate  
122 connection between the wall leaves. Their use is widely common in vernacular architecture building  
123 practice to promote monolithic behaviour of the walls and thus improve its stability by attaining a more  
124 uniform stresses distribution through the cross section. Through-stones were distributed throughout the  
125 area of the walls, with a minimum of two per row. They were vertically misaligned to spread their  
126 beneficial coupling effect. In order to provide effective wall-to-wall connections, special attention was  
127 given to the construction of the corners, providing adequate interlocking between the stones of the  
128 transversal and frontal walls.



129 Figure 2 – Details of different construction stages: Wall\_Ref [21] (a), Wall\_Stl (b), Wall\_Tmb (c)

130 A pre-mixed hydraulic lime mortar was used to lay the stone units and fill the vertical joints. Small stone  
131 pieces were also inserted to fill the voids between the stone units through the thickness. In order to assess  
132 the evolution of the compressive and flexural strength of mortar according to the guidelines provided in  
133 EN 1015-11 [25], 9 specimens were **cast** before the construction of the two walls. The flexural strength  
134 of the mortar was 3.15 N/mm<sup>2</sup>, 3.21 N/mm<sup>2</sup> and 4.06 N/mm<sup>2</sup> after 7, 14 and 28 days respectively. The  
135 compressive strength of the mortar was 11.64 N/mm<sup>2</sup>, 12.69 N/mm<sup>2</sup> and 14.29 N/mm<sup>2</sup> after 7, 14 and  
136 28 days respectively, being thus higher than the expected strength at 28 days, namely 10 N/mm<sup>2</sup>.

137 From a morphological point of view, it is possible to say that the tested specimens represent walls made  
138 of regular roughly cut stone units, aligned bed joints and not-aligned vertical head joints. The stone units  
139 length is between 0.30 and 0.50 m and the height is approximately 0.22 m. The thickness of the stones  
140 unit ranges from 0.10 m to 0.20 m, so that the two-leaf cross-section could be built. The voids among  
141 the stone units were filled with rubble stones and the same mortar used to lay the units (Figure 3).



142 Figure 3 – Axonometric view: Wall\_Stl (a) and Wall\_Tmb (b)

143 As previously mentioned, two types of earthquake resisting solutions aimed at improving the connection  
144 between transversal and frontal walls were adopted (Figure 3). The first earthquake resistant technique  
145 consists of steel bars installed in both wall corners at the 3<sup>rd</sup> and 5<sup>th</sup> masonry course (2 for each side).  
146 Steel reinforcing elements have a length equal to 0.70 m and a thickness equal to 4.50 mm. The edges  
147 of the steel ties (length equal to 45 mm) were bent downwards and inserted in pre-drilled holes in the  
148 stones. They allowed to effectively anchor the reinforcing elements to the masonry units.

149 The second earthquake resistant technique solution consists of timber-laced elements embedded within  
150 the corners of the wall in the same location selected for the steel braces, namely at the 3<sup>rd</sup> and 5<sup>th</sup> masonry

151 courses. Each corner brace consists of two longitudinal timber elements parallel to the walls connected  
 152 by transversal timber elements (Figure 3). The length of the longitudinal element is 0.70 m. The cross-  
 153 section dimensions of the timber members were 50 x 35 mm<sup>2</sup> for the longitudinal elements and 35 x 25  
 154 mm<sup>2</sup> for the transversal elements. The connections among timber elements have a configuration similar  
 155 to nailed half-lap joints. Due to the irregularity of the bed joints, the empty spaces formed between the  
 156 timber elements and stone were filled with rubble stones and mortar. Some small incisions were  
 157 chiselled on the smooth surfaces of the timber elements, in order to foster the adherence between mortar  
 158 and timber. In order to prevent an increase of the height of the wall due to the addition of timber  
 159 elements, the height the stones units placed at the 5<sup>th</sup> and 6<sup>th</sup> masonry courses were reduced.

160 Once the construction process of the walls was concluded, the density of the walls was estimated. The  
 161 total density of the walls can be estimated starting from the values of density of the constituting  
 162 materials, namely mortar and stone units. The density of mortar was measured as 1821 kg/m<sup>3</sup>, whereas  
 163 the average density of granite was assumed equal to 2600 kg/m<sup>3</sup> [26]. The procedure applied is based on  
 164 an approximate calculation of stone and mortar area per square meter (Table 1). The volume of the  
 165 materials was estimated from detailed drawings with the dimensions of the stone units taken during the  
 166 construction of the walls.

167 Table 1 – Density assessment (Reference Surface = 1 m<sup>2</sup>)

	Stone Units Av. Volume (m <sup>3</sup> )	Mortar Average Volume (m <sup>3</sup> )	Stone Units Av. Weight (kg)	Mortar Average Weight (kg)	Stone Units + Mortar Total Weight (kg)	Density (kg/m <sup>3</sup> )
Wall 1	0.272	0.034	706	62	768	2513
Wall 2	0.251	0.045	652	81	743	2482

### 168 3. Assessment of stone masonry mechanical properties

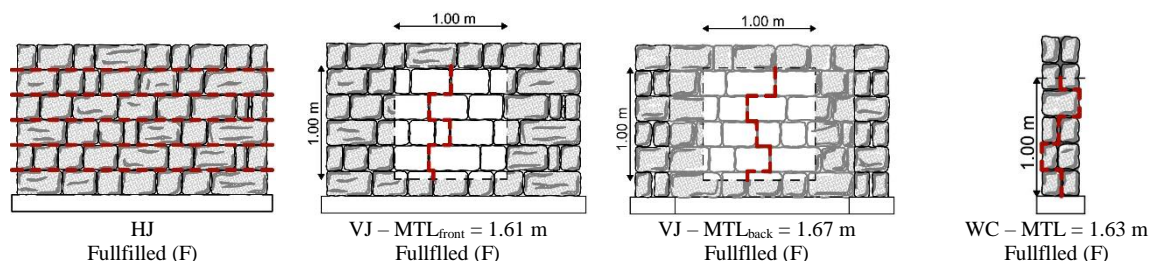
169 The mechanical behaviour of traditional stone masonry highly depends on the quality of materials and  
 170 on the masonry assemblage. Moreover, the mortar plays a significant role in assuring a good quality  
 171 masonry bond. Non-destructive techniques (NDTs) allow obtaining quantitative and qualitative data of



172 masonry walls, including the mechanical properties. NDTs are extremely useful to avoid using  
173 destructive testing when assessing historical constructions. Additionally, a preliminary evaluation of the  
174 masonry properties was carried out through the Masonry Quality Index method [27], simply based on  
175 the geometry of the walls. In summary the mechanical properties of the masonry were assessed by means  
176 of: (a) Masonry Quality Index (MQI) method; (a) Sonic tests, which are able to provide reference elastic  
177 mechanical properties that can be applied in numerical models; (b) Dynamic identification tests, which  
178 provide an estimation of the natural frequencies and mode shapes and can be used to calibrate the  
179 numerical models and to update, if necessary, the initially selected material properties.

### 180 3.1. Evaluation of Masonry Quality Index

181 A preliminary qualitative characterization of the masonry was carried out using the MQI method, which  
182 helps to estimate a possible range for the mechanical properties. This method consists of evaluating the  
183 presence (Fulfilled – F), the partial presence (Partially Fulfilled – PF) or the absence (Not Fulfilled –  
184 NF) of certain parameters which contribute to define the “rule of the art” for an “ideal” masonry wall.  
185 Once a MQI value for a loading condition is known, it is possible to compute mechanical parameters,  
186 such as compressive strength ( $f_m$ ) and Young modulus ( $E$ ), using specific correlation curves [27]. As an  
187 example, Figure 4 shows the graphical procedure followed to determine horizontal joints characteristics  
188 (HJ), vertical joints characteristics (VJ) and wall connection effectiveness (WC) in Wall\_Stl. Table 2  
189 and Table 3 present the outcomes resulted from the application of the MQI method according to the  
190 criteria and guidelines proposed by Borri et al. [27] [28].



191 Figure 4 – Example of HJ, VJ and WC parameter definition and application to Wall\_Stl

192

193 Table 2 – Masonry Quality Index for Wall\_Ref, Wall\_Stl and Wall\_Tmb

	Masonry Quality Index Vertical Load (V) – MQI <sub>v</sub>							MQI <sub>v</sub>
	HJ	WC	SS	VJ	SD	MM	SM	
Wall_Ref	2	1	1.5	0.5	0.5	0.5	1	6
Wall_Stl	2	1	1.5	1	0.5	0.5	1	6.5
Wall_Tmb	2	1	1.5	1	0.5	0.5	1	6.5

194

195 Table 3 – MQI mechanical parameters for Wall\_Ref, Wall\_Stl and Wall\_Tmb

	MQI <sub>v</sub>	Category	Compressive strength (N/mm <sup>2</sup> )		Young modulus (N/mm <sup>2</sup> )	
			f <sub>m,MAX</sub>	f <sub>m,MIN</sub>	E <sub>MAX</sub>	E <sub>MIN</sub>
Wall_Ref	6	A	5.60	3.60	<b>2189</b>	<b>1555</b>
Wall_Stl	6.5	A	6.15	3.99	<b>2375</b>	<b>1697</b>
Wall_Tmb	6.5	A	6.15	3.99	<b>2375</b>	<b>1697</b>

### 196 3.2. Sonic tests

197 Sonic testing is based on the elastic wave method and consists of measuring the velocity of the wave  
 198 propagation within a certain volume under evaluation. Direct test aims to measure the velocity (V<sub>P</sub>) of  
 199 primary waves (P-waves), whereas indirect tests can be used to measure both the velocity of P and R-  
 200 waves (V<sub>R</sub>) [29]. These velocities are dependent on the physical properties of the analysed solid, such  
 201 as density, Poisson's ratio and dynamic modulus. Therefore, this technique can provide significant  
 202 outcomes not only regarding the quality of the masonry, but also on the prediction of elastic properties  
 203 using the following expressions [29]:

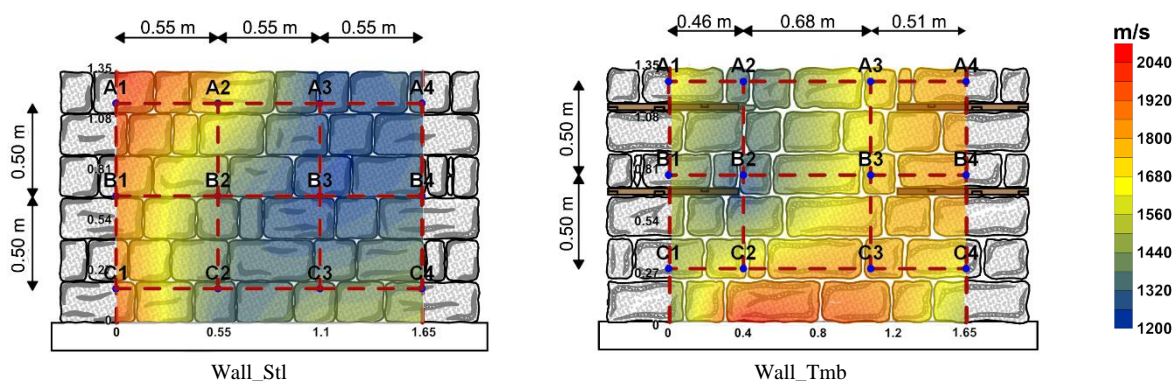
$$\frac{V_P}{V_R} = \sqrt{\frac{2 \cdot (1 - \nu) \cdot (1 - \nu)^2}{(1 - 2\nu) \cdot (0.87 + 1.12\nu)^2}} \quad (1)$$

$$V_P = \sqrt{\frac{E}{\rho} \cdot \frac{(1 - \nu)}{(1 + \nu) \cdot (1 - 2\nu)}} \quad (2)$$

204 These expressions were developed for solid, elastic, isotropic and homogeneous materials. Therefore,  
 205 they have to be used with extra care if applied to masonry, being aware that the results have to be  
 206 interpreted as an approximate first estimation of the mechanical properties.

207 Considering that the values of the compressive strength of the mortar used in the construction of the  
208 walls at 7 and 14 days were very close each other, the non-destructive tests were carried out 7 days after  
209 the construction of both walls. It was considered that this variation of the compressive strength of the  
210 mortar would not affect significantly the results obtained in sonic tests.

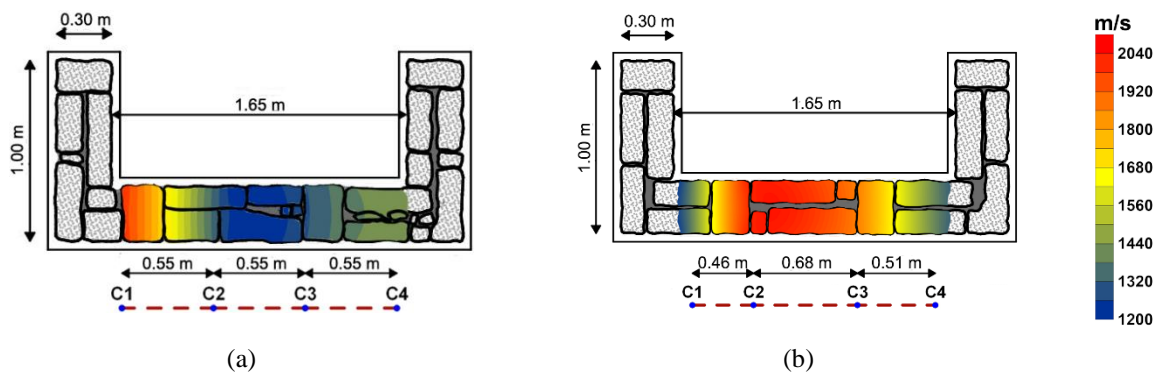
211 The equipment used included one instrumented impact hammer (PCB Model 086D05) with a  
212 measurement range of  $\pm 22240$  Npk, one accelerometer (PCB model 352B) with a measurement range  
213 of  $\pm 5$  g and 1000 mV/g sensitivity, a personal computer, cables and a data acquisition system from  
214 National Instruments. In direct sonic tests, the hammer and the accelerometer are aligned at opposite  
215 sides of the stone masonry wall. The wave propagation velocity is computed by measuring the time  
216 between the emission of the input signal by the hammer and its reception by the accelerometer, divided  
217 by the wall thickness. In indirect sonic tests, both the hammer and the accelerometer are placed in the  
218 same face of the wall in a vertical or horizontal line. The velocity can be computed, in this case, using  
219 the distance between the hammer and the accelerometer. Both P and R waves sonic velocities have been  
220 obtained throughout the elevation of the frontal wall. The grid points used for the direct sonic tests are  
221 shown in Figure 5.



222 Figure 5 – Sonic test reference grid and P-waves velocity contour maps for both tested walls

223 Looking at the contour maps representing the distribution of velocities throughout the inspected surfaces  
224 of the masonry walls, it is possible to notice lower values related to the specimen Wall\_Stl. The velocity  
225 measured is more homogenous in the wall reinforced with the timber laced beam (Figure 5). In order to

226 analyze the reliability of the collected sonic data, some contour maps, representing the velocity  
227 distribution along the horizontal cross section of the analysed walls, are presented in Figure 6. The low  
228 P-waves velocity values characterizing Wall\_Stl are probably due to the presence of voids affecting the  
229 overall quality of the masonry (Figure 6-a). The results related to Wall\_Tmb highlight a more uniform  
230 pattern and higher velocity values but some variations are observed due to local construction flaws  
231 (Figure 6-b).



232 Figure 6 –P-wave velocity distribution through horizontal cross-section: Wall\_Stl (a) and Wall\_Tmb (b)

233 The mean values of the velocities ( $V_P$  and  $V_R$ ) obtained for each wall and the values estimated for the  
234 density shown in Table 1 were adopted for the prediction of the elastic material properties ( $E$  and  $\nu$ ).  
235 Table 4 shows the results of direct and indirect sonic tests in terms of mean values and standard deviation  
236 (STD) of velocities obtained for both tested specimens. The values related to the reference wall  
237 (Wall\_Ref) are also reported [21]. The dynamic modulus obtained for Wall\_Ref is higher than the ones  
238 obtained in specimens Wall\_Stl and Wall\_Tmb. As previously observed for the velocity distribution in  
239 the horizontal cross section of the experimental models (Figure 6), the presence of voids could affect  
240 the measurements. The values obtained for the Poisson's ratio for Wall\_Stl and Wall\_Tmb are within  
241 typical values obtained for this type of granite masonry walls, which usually range between 0.2 and 0.3  
242 [26]. Moreover, the general low values of coefficient of variation, mainly for the direct tests, indicate  
243 that the results are consistent. The control of construction workmanship might have contributed to obtain  
244 this overall good construction quality of the walls.

245

246

247 Table 4 – Sonic test results

	Direct Sonic Tests			Indirect Sonic Tests			Indirect Sonic Tests			Poisson	Young Mod.
	V <sub>P</sub> (m/s)			V <sub>P</sub> (m/s)			V <sub>R</sub> (m/s)			Ratio (ν)	E (MPa)
	Mean	STD	CoV (%)	Mean	STD	CoV (%)	Mean	STD	CoV (%)	Mean	Mean
Wall_Ref	1955	230	12	-	-	-	-	-	-	0.39	4115
Wall_Stl	1381	209	13	1233	100	8	627	56	9	0.28	2960
Wall_Tmb	1626	363	20	1270	77	6	693	40	6	0.25	3450

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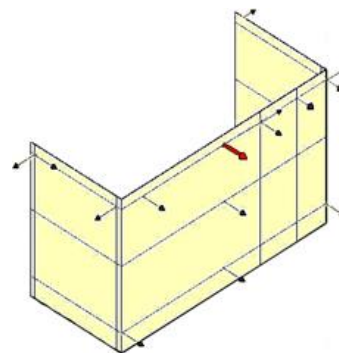
### 249 3.3. Dynamic characterization tests

250 Dynamic characterization tests allow to estimate the dynamic characteristics of a structure in terms of  
 251 natural frequencies and vibration modes. Therefore, it is a fundamental tool for the calibration of  
 252 numerical models. The dynamic characterization tests were carried out, in both specimens, before the  
 253 out-of-plane test (undamaged condition) and after the out-of-plane test (damaged near-collapse  
 254 condition). The results of the dynamic identification tests can also be used as a measure to correlate  
 255 damage as this reflects a variation of the natural frequencies and stiffness reduction [30] [31].

256 The dynamic tests were carried out using uniaxial accelerometers placed in 12 different predefined  
 257 points within different test setups for each wall. For each setup, a fifteen-minute reading was acquired  
 258 using a sample frequency rate of 200 samples/s with ambient vibration. The sensor layout related to the  
 259 tested prototypes is presented in Figure 7. One reference accelerometer (AC0) is common in all setups,  
 260 as it can be seen in the red arrow shown in Figure 7-b. The remaining locations were chosen where  
 261 higher displacements amplitudes were expected, in order to allow a proper definition of the mode shapes.  
 262 Accelerations were measured in both directions at some locations in order to detect both possible in-  
 263 plane and out-of-plane mode shapes. The equipment used included accelerometers (PCB model 393B12)  
 264 with a measurement range of  $\pm 0.5$  g and 10,000 mV/g, a personal computer, cables and a data acquisition  
 265 system.



a



b

266 Figure 7 – Sensor placed during one setup (a); Artemis software axonometric scheme of the sensors  
267 location (b)

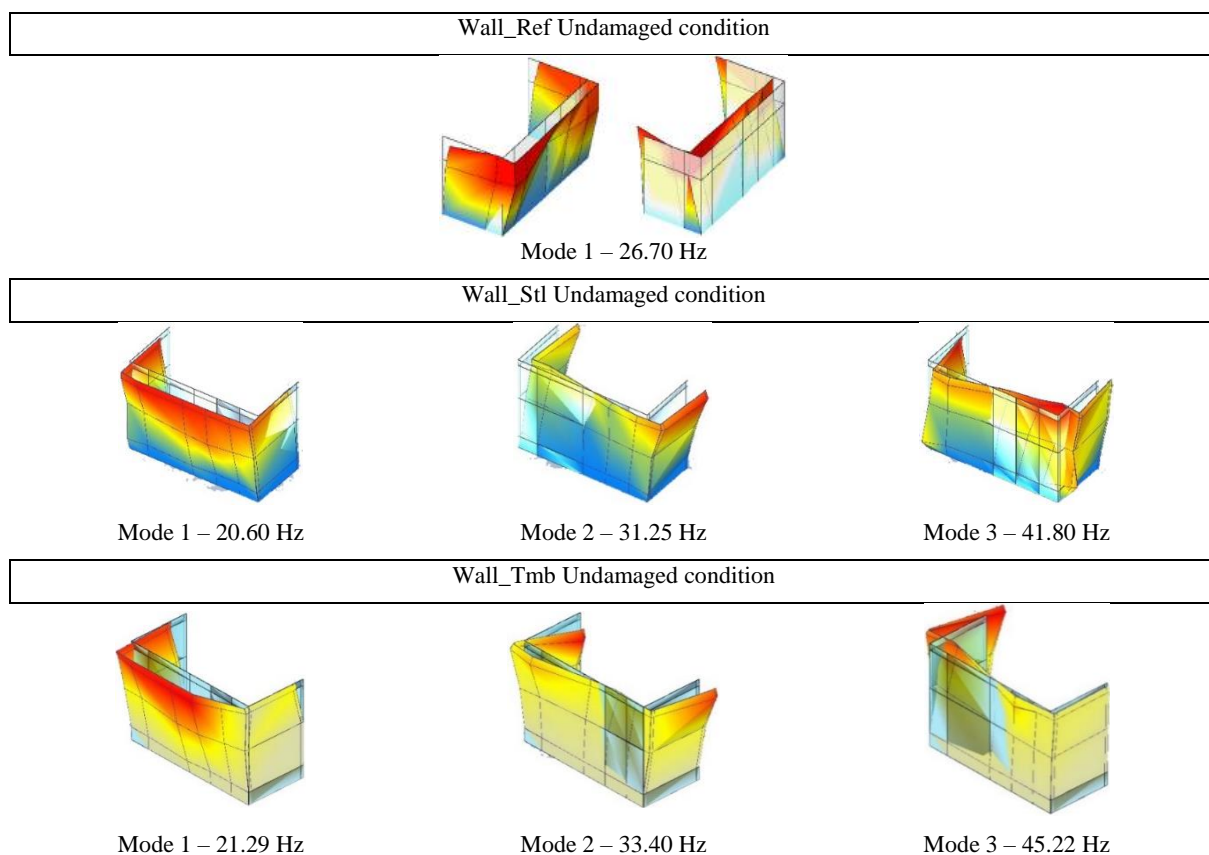
268 The modal estimation was carried out by using ARTEMIS software, which allows analyzing the results  
269 from all test setups simultaneously. The mode shapes were drawn in ARTEMIS by means of linear  
270 interpolation, starting from data recorded in discrete sensors locations (Figure 7-b). The peak values of  
271 frequency were selected using Frequency Domain Decomposition (FDD) and Subspace Identification-  
272 Unweighted Principal Components (SSI-UPC) technique. The results of the analyses have been  
273 compared using the Modal Assurance Criterion. Figure 8 shows the first three identified mode shapes  
274 and natural frequencies for Wall\_Ref [21], Wall\_Stl and Wall\_Tmb.

275 In all the tested prototypes, the first mode consists of the out-of-plane vibration of the façade, as  
276 expected. An out-of-plane movement of the lateral walls characterizes the second mode shape, being  
277 this trend mostly visible in Wall\_Tmb, whereas the third mode presents a torsional shape. In both cases,  
278 the values of frequency resulted from the analysis are close to each other, which can be due to the  
279 similarities of the experimental models in terms of physical properties (mass and density) and  
280 geometrical configuration. On the other hand, the first natural frequency in Wall\_Ref appears to be  
281 slightly higher if compared to Wall\_Stl and Wall\_Tmb.

282

283

284



285 Figure 8 – Main mode shape and natural frequencies of Wall\_Ref, Wall\_Stl and Wall\_Tmb

#### 286 **4. Assessment of out-of-plane experimental behaviour of reinforced stone masonry walls**

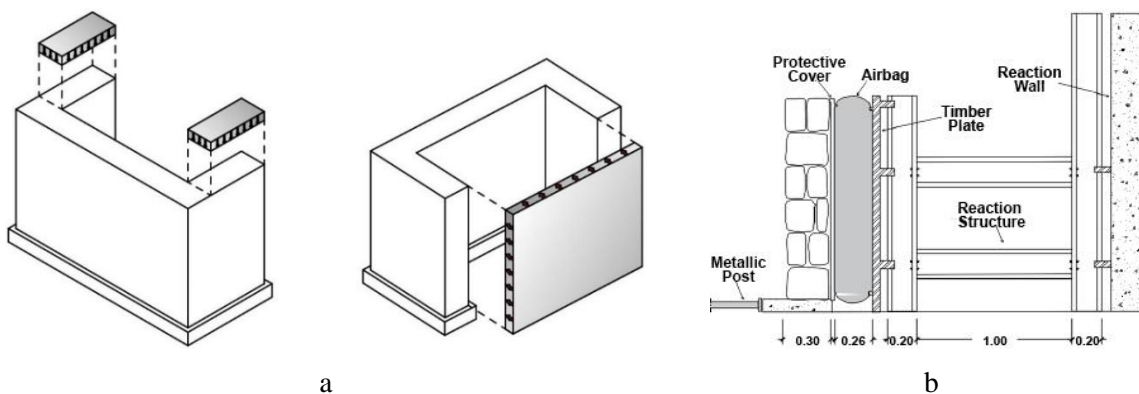
287 This section describes the out-of-plane quasi-static loading tests carried out on the two reduced scale  
288 models (1:2). They were tested using an airbag to apply a distributed uniform load to the rear surface of  
289 the wall. The test setup is analysed in detail, as well as the main outcomes of the tests (e.g. cyclic  
290 response, displacements, crack pattern, and dissipated energy).

291 The performances of the reinforced prototypes (Wall\_Stl and Wall\_Tmb) are compared to the global  
292 response of Wall\_Ref [21]. The main aim of the experimental campaign was the assessment of the  
293 contribution of the applied earthquake resistant techniques to enhance the out-of-plane performance of

294 the stone masonry walls. It is important to point out that the overall testing setup and experimental  
295 procedures applied to Wall\_Ref, Wall\_Stl and Wall\_Tmb are the same.

#### 296 4.1. Test setup, procedure and instrumentation

297 The loading configuration used in the out-of-plane test involves an airbag with an area of  $1.65 \times 1.35 \text{ m}^2$   
298 to apply a uniform horizontal load to the frontal wall that simulates the seismic action. Additionally, a  
299 vertical load was also applied to the transversal walls to simulate the self-weight of a timber roof (Figure  
300 9). A supporting steel frame was placed between the reinforced concrete reaction wall of the laboratory  
301 and the airbag. Wooden planks were attached to the steel supporting structure in order to create a smooth  
302 contact surface where the airbag can be placed, avoiding any possible damages (Figure 9). Four load  
303 cells were placed between the steel profiles and the reaction wall at the level of the horizontal steel  
304 profiles. These cells allowed recording the load applied by the airbag to the wall, overcoming the issue  
305 related to the calculation of the contact area between the airbag and the prototype, which may vary  
306 throughout the test due to the deformation of the wall.

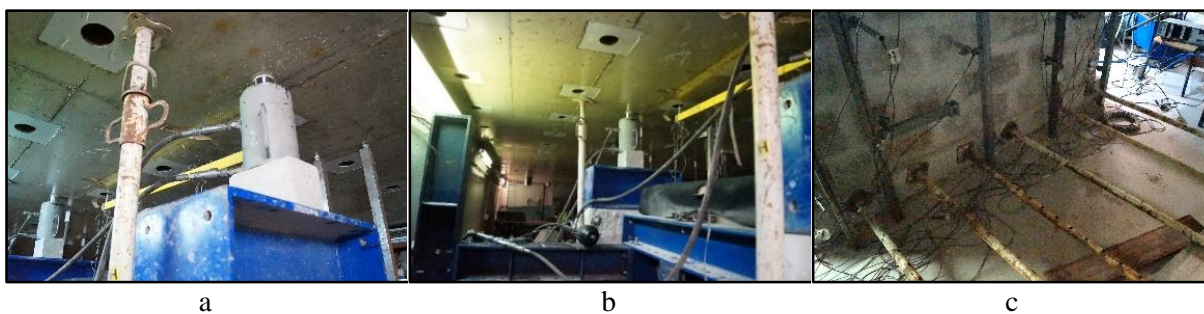


307 Figure 9 – Load configuration (a) and test setup configuration (b) adopted for the out-of-plane test

308 At the top surface of the transversal walls, two steel profiles were placed in order to even the vertical  
309 load applied through two vertical hydraulic actuators. These actuators were placed between the steel  
310 profiles and the reaction slab, see Figure 10-a. A vertical load of 10 kN, corresponding to a normal  
311 compressive load of approximately 0.05 MPa, was applied in each transversal wall. Two steel posts  
312 were placed at the back of the transversal walls between the concrete base and the reaction slab to avoid



313 a possible overturning of the concrete base (Figure 10-b). In order to avoid any possible sliding  
314 displacements, six steel posts were also placed between the concrete base of the prototype and the  
315 laboratory reaction wall (Figure 10-c).



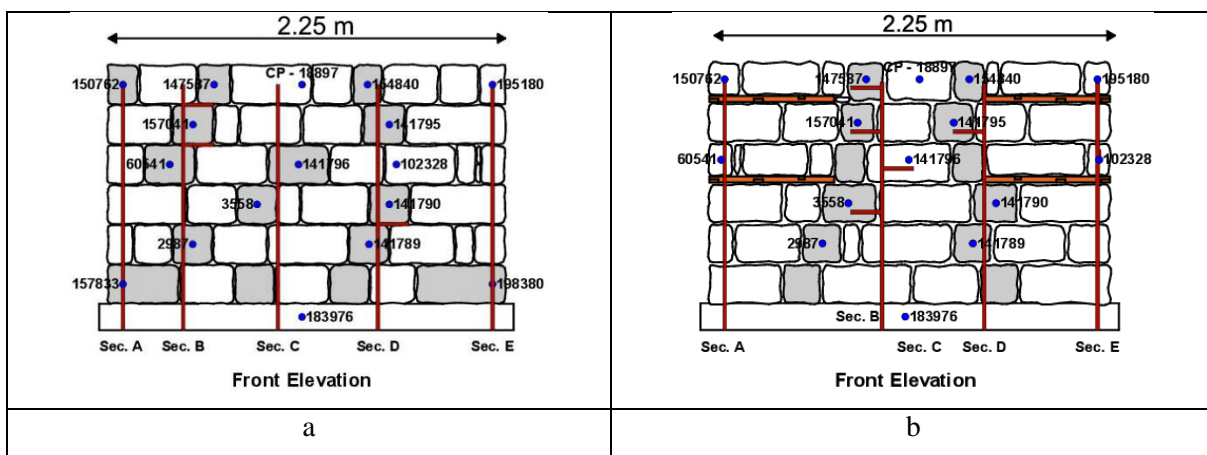
316 Figure 10 – Details of out-of-plane testing setup: Hydraulic actuators (a); metallic posts placed to  
317 counteract uplift movements (b) and sliding movements (c)

318 The horizontal load was applied at the frontal wall after the stabilization of the vertical load. The out-  
319 of-plane test was carried out under displacement control, being the control point located at the top of the  
320 frontal wall at mid-span, where the highest displacement was expected. The procedure applied during  
321 the test consists of imposing positive incremental displacements repeated two times in order to detect  
322 possible stiffness and strength degradation after reaching the peak load. At the end of each series, an  
323 increment equal to 1.4 times the latest displacement is applied to define the new displacement threshold  
324 of the following cycle. The pressurization and depressurization of the airbag was carried out in a  
325 controlled way using LabView software based on the displacement-time history defined for the out-of-  
326 plane test (Figure 11-a). The airbag has two pressure valves, which allow to inflate the airbag until a  
327 certain level of pressure that is enough to attain the imposed lateral displacement (Figure 11-b). Once  
328 the control displacement is reached, the air in the airbag is released until zero displacement in the early  
329 stages of the out-of-plane testing. When the non-linear response of the wall is activated, the unloading  
330 is only possible up to a residual displacement associated to the permanent deformation of the wall.



331 Figure 11 – Displacement-time law history (a); airbag pressure system (b)

332 The monitoring of the displacements of the frontal wall during the out-of-plane test was carried out  
 333 using linear variable differential transducers (LVDTs). Figure 12 shows the LVDTs setup in Wall\_Stl  
 334 and Wall\_Tmb façade. Note that LVDTs are depicted using a blue dot and an identification number.  
 335 Sixteen monitoring points were set in the façade of the steel reinforced wall (Wall\_Stl), whereas 14  
 336 points were defined for Wall\_Tmb. Moreover, 2 displacement transducers were placed in the transversal  
 337 walls of the first reduced scale specimen (Wall\_Stl), in order to measure possible cracking and  
 338 detachment of the frontal walls with respect to the transversal walls. Due to the presence of timber  
 339 reinforcement, 4 displacement transducers were placed on the transversal walls of the specimen  
 340 Wall\_Tmb. They were intended to assess the performance of the timber elements, trying to detect  
 341 possible detachments at the interface between timber and stone/mortar.



342 Figure 12 – Location of LVDTs at: Wall\_Stl façade (a) and Wall\_Tmb façade (b)

343 In both testing procedures, a LVDT was placed at the concrete base of the wall in order to detect any  
 344 possible sliding phenomena. Two more LVDTs were placed at the lateral side of the concrete base (in a

345 vertical configuration) to monitor the possible overturning, see left elevation at Figure 12. The criteria  
346 applied to define the LVDTs location were the following: (a) the displacement transducers were always  
347 placed at the stones and not at the mortar joints; (b) they were placed following as much as possible a  
348 vertical alignment (not always possible due to the irregularity of the masonry bond); (c) whenever  
349 possible, they were placed at the through-stones so that the global deformation of the wall could be  
350 measured (marked in grey in Figure 12); (d) displacement transducers were also placed in the corner  
351 stones in order to measure a possible detachment of the frontal walls from the transversal walls.

## 352 **4.2. Analysis of the cyclic response**

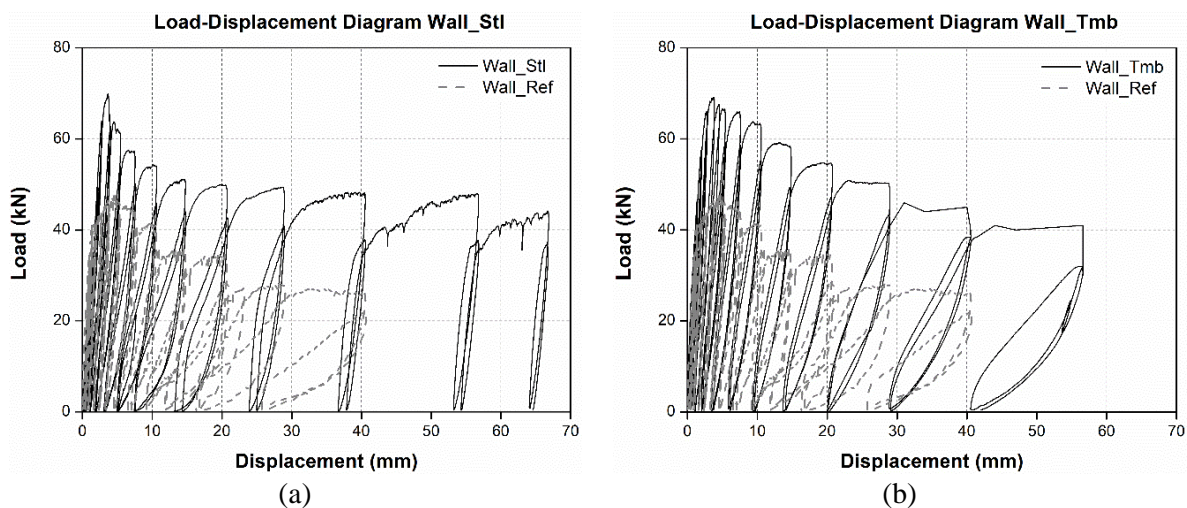
353 This section analyses the cyclic response of the walls by showing: (a) load-displacement diagrams  
354 obtained from the out-of-plane tests; (b) damage pattern, including the evolution of damage and the  
355 failure mechanisms observed; and (c) evaluation of the seismic performance of the walls, in terms of  
356 energy dissipation capacity and the damage limit states defined by Eurocode 8 [32].

### 357 **4.2.1. Load-displacement diagrams**

358 The load-displacement diagrams obtained from Wall\_Ref, Wall\_Stl and Wall\_Tmb are presented in  
359 Figure 13. The force represents the sum of the values recorded by the four load cells. The displacement  
360 is representative of the control point in the top mid span of the wall (CP-18897, see Figure 12). It can  
361 be observed that the out-of-plane behaviour of both reinforced walls (Wall\_Stl and Wall\_Tmb) is  
362 similar. Their response is characterized by a linear elastic regime that lasts almost until peak load, which  
363 is close in both specimens. The differences are more significant in the post peak cyclic response, in terms  
364 of permanent deformation. Nevertheless, they are characterized in both cases by a relatively smooth  
365 softening corresponding to the decrease of the force for increasing lateral displacements. In Wall\_Stl  
366 (steel reinforced experimental model), there is a more abrupt descending branch just after peak load, but  
367 then the load almost stabilizes for increasing out-of-plane displacements. At the same time, the  
368 permanent deformations increase considerably after a displacement of 20 mm, which is due to the  
369 detachment of the upper area of the wall with progressive sliding along the horizontal crack developed

370 almost at mid height. This is also responsible for the stabilization of the lateral resistance, as damage  
371 localize in the top region of the wall. The softening branch recorded in Wall\_Tmb gradually decrease  
372 up to the maximum imposed displacement (Figure 13), meaning that the progression of damage is more  
373 spread in the wall.

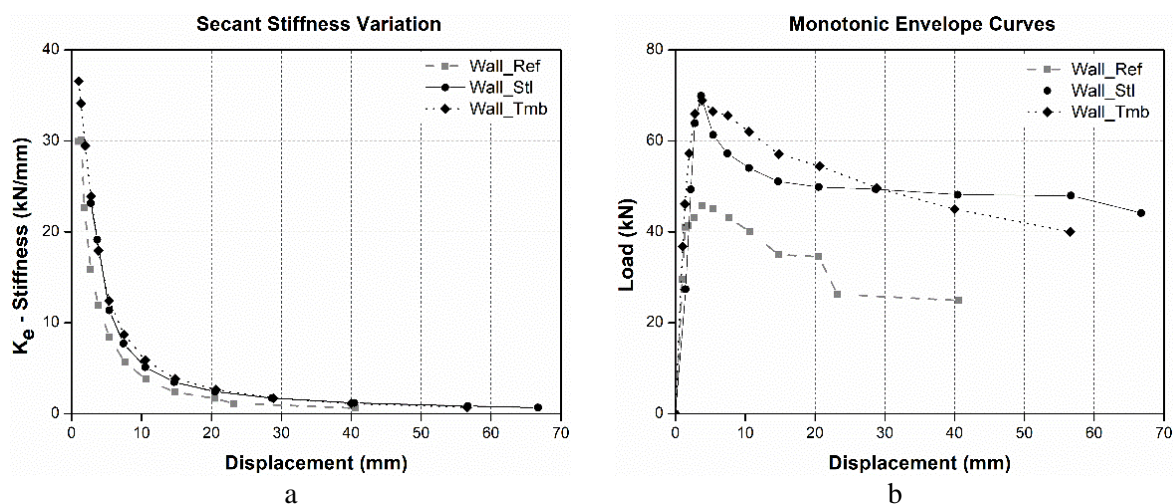
374 With respect to the unreinforced wall (Wall\_Ref), a reduction of the initial stiffness is observed at around  
375 40 kN. After that point, the wall still reaches a maximum resisting load of 45.65 kN) but shows a notably  
376 higher rate of deformation. The post-peak branch highlights progressively decreasing loading levels,  
377 from the maximum force attained, reaching a stable trend for increasing lateral displacement until the  
378 end of the test, see Figure 13.



379 Figure 13 – Load VS Displacement diagrams Wall\_Stl (a) and Wall\_Tmb (b)

380 The secant stiffness is calculated as the ratio between the maximum load and the maximum displacement  
381 in each step in the linear branch of the envelope curve. It is equal to 29.90 kN/mm, 23.15 kN/mm and  
382 36.57 kN/mm in Wall\_Ref, Wall\_Stl and Wall\_Tmb respectively. The most significant stiffness  
383 reduction occurs at 45.65 kN (Wall\_Ref), at 61.32 kN (Wall\_Stl) and 66.51 kN (Wall\_Tmb). Even if  
384 both walls reach their maximum resisting load at around 70 kN, it is possible to notice a higher rate of  
385 deformation after the aforementioned stiffness decay thresholds (Figure 14-a). The maximum out-of-  
386 plane strength was 69.91 kN for the steel reinforced stone masonry wall and 68.91 kN for the timber  
387 reinforced stone masonry wall. Both techniques proved to be efficient enhancing the out-of-plane

388 strength, leading in average to an increase of about 52% in the lateral resistance with respect to the  
389 reference wall. Figure 14-b presents a comparison among the monotonic envelop curves obtained for  
390 the three walls. The tests were stopped, for the sake of safety of the test setup, after reaching an out-of-  
391 plane displacement of approximately 67 mm (Wall\_Stl) and 57 mm (Wall\_Tmb). Moreover, the out-of-  
392 plane response was considered completely characterized for a strength degradation of about 60%.



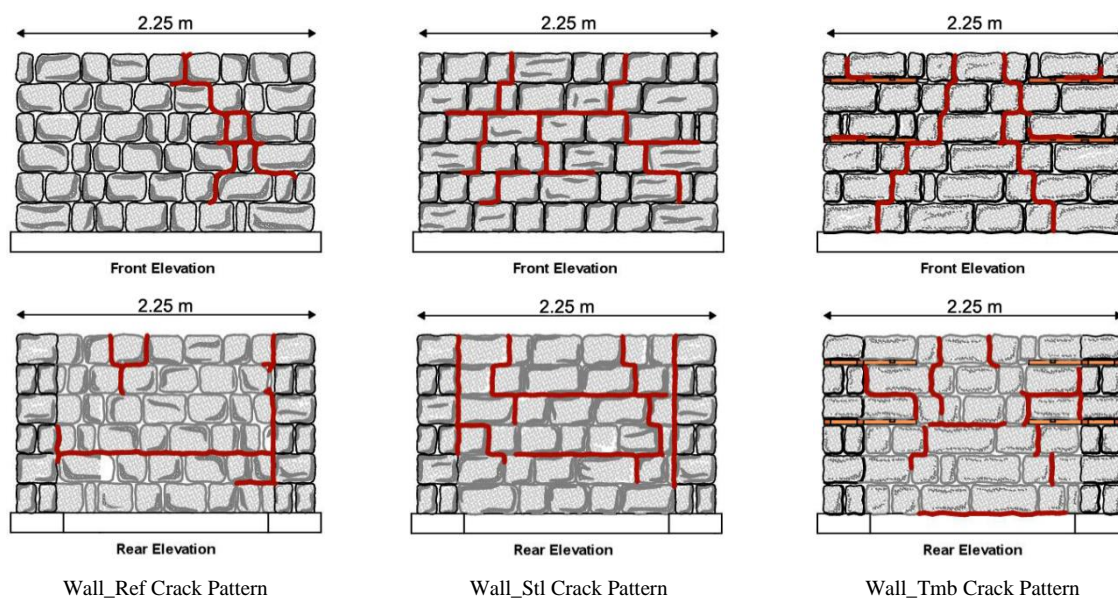
393 Figure 14 – Analysis of the force-displacement diagrams: Secant stiffness variation (a); Monotonic  
394 envelope curves (b)

#### 395 4.2.2. Cracking/damage patterns

396 The final damage patterns observed at the end of the out-of-plane tests for the reference wall (Wall\_Ref)  
397 and reinforced walls (Wall\_Stl and Wall\_Tmb) can be seen in Figure 15. It should be noted that the  
398 cracking development at the rear surface of the frontal wall could not be followed due to the test setup  
399 configuration. Once the testing procedure finished and the airbag was removed, it was possible to record  
400 the final cracks at the back surface of the walls, see Figure 15.

401 In both reinforced walls, the cracks developed in an almost symmetric way. The damage pattern  
402 developed in Wall\_Stl is characterized by diagonal cracks extending from the top to the bottom of the  
403 front elevation. Moreover, a considerable horizontal crack occurred along the top of the 4<sup>th</sup> bed joint  
404 from the bottom base. The wall section delimited by the aforementioned cracks, consisting of the stone

405 units laying on the central part of the 4<sup>th</sup> and 5<sup>th</sup> course from the bottom base, experienced some sliding  
406 displacements combined with rotation movements with respect to the right side. This phenomenon can  
407 be considered a sort of local mechanism mainly due to the inhomogeneity of the stone masonry bond,  
408 which rules the permanent deformation measured by the control LVDT, according to what was already  
409 mentioned in the previous section regarding the post-peak permanent deformations.

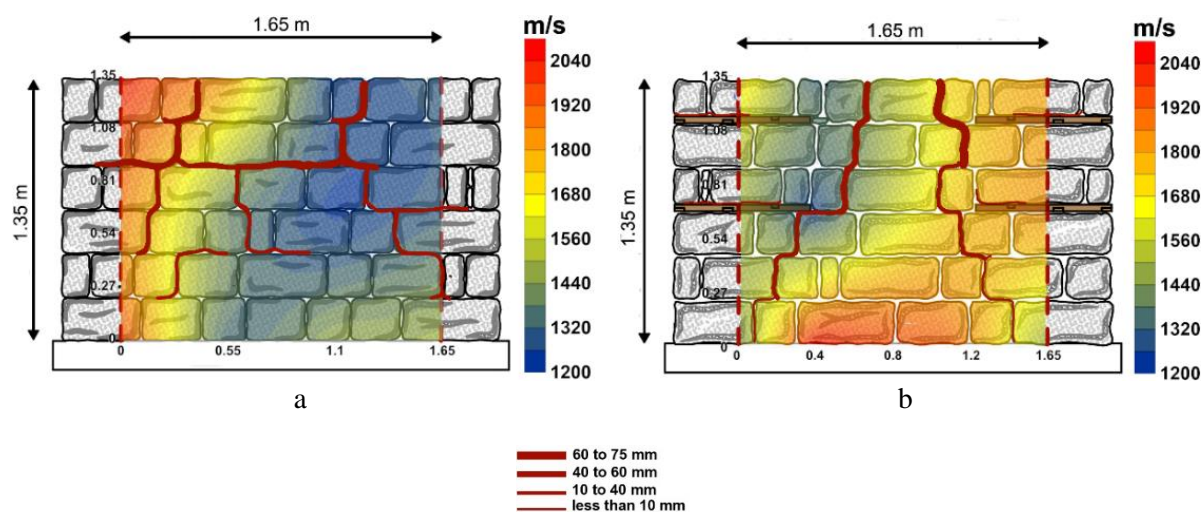


410 Figure 15 – Crack patterns (Wall\_Ref, Wall\_Stl and Wall\_Tmb)

411 The damage pattern of wall Wall\_Tmb shows more symmetric diagonal cracks in the front elevation.  
412 Timber reinforcements appeared to improve the overall behaviour of the wall, showing more uniform  
413 displacement field when compared to the displacement field recorded in the steel reinforced  
414 experimental model. In the specimen Wall\_Ref, despite the arching mechanism developed, the out-of-  
415 plane resistance was controlled by the detachment of the frontal wall from the transversal walls  
416 according to what is shown in Figure 15.

417 The quality of masonry can, to a certain extent, explain the differences found in both reinforced  
418 specimens. Figure 16 correlates sonic test velocity maps with the crack pattern in Wall\_Stl and  
419 Wall\_Tmb. It is seen that the biggest cracks seem to primarily occur where low velocities were detected  
420 and, consequently, where lower quality of the masonry is expected.

421 The different crack and deformation patterns observed between both reinforced walls is clearly visible  
 422 looking at the vertical and horizontal displacement profiles of the sections where the highest  
 423 displacements were recorded (Figure 17). The behaviour of specimen Wall\_Stl is characterized by peak  
 424 displacements localized in the area corresponding to the large portion of masonry experiencing sliding  
 425 and rotation movements. On the other hand, the displacements profile in timber reinforced wall appears  
 426 less scattered, outlining a more gradual transition from the zero-displacement to the maximum-  
 427 displacement points.

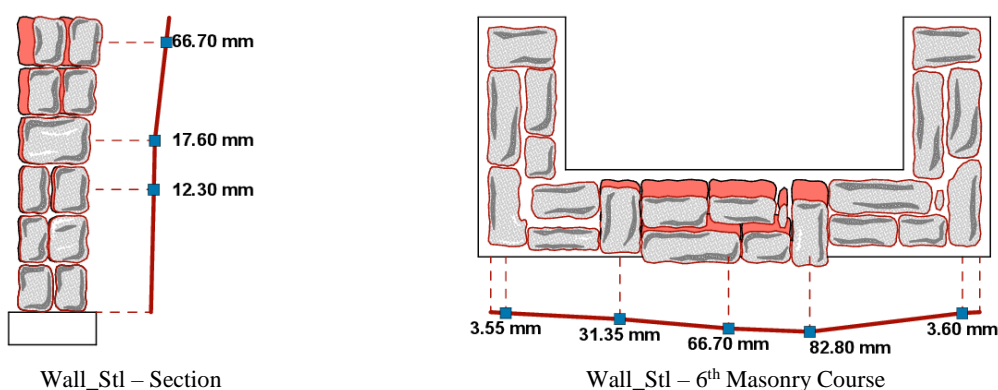


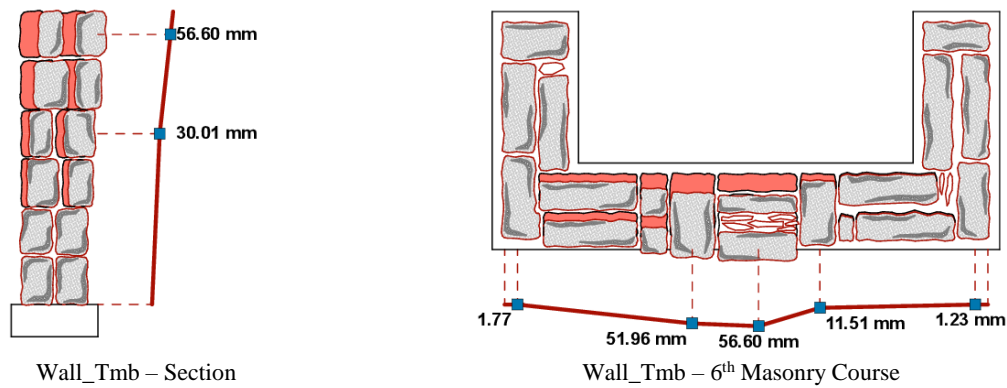
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429  
430

431 Figure 16 - Sonic test velocities distribution and crack patterns: Wall\_Stl (a) and Wall\_Tmb (b)

432

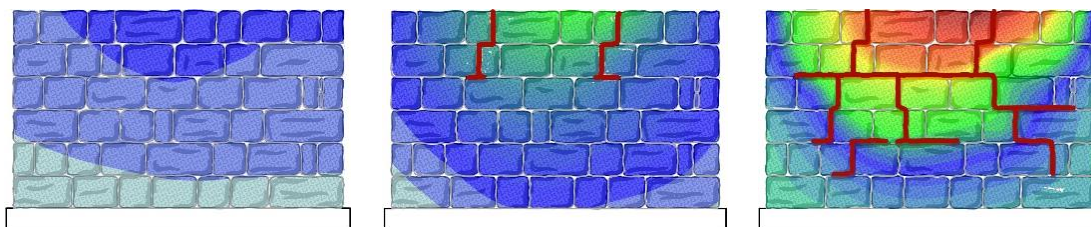




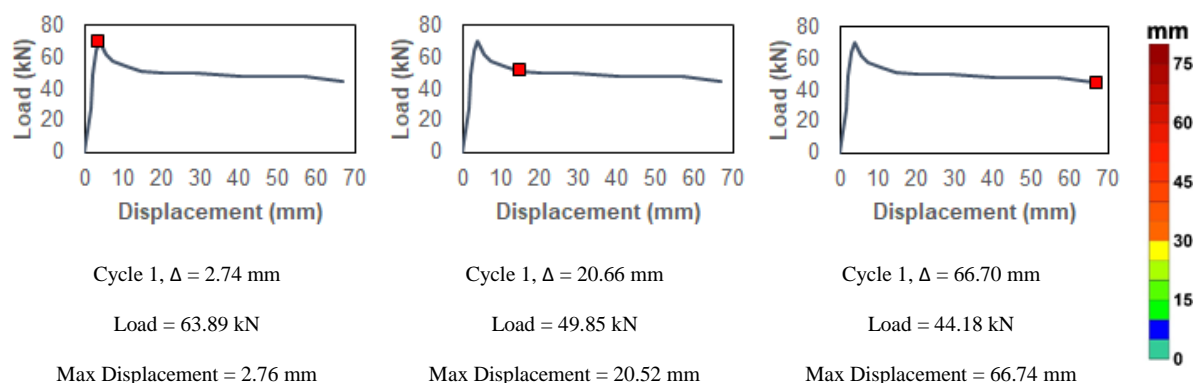
433 Figure 17 – Wall\_Stl and Wall\_Tmb vertical and horizontal displacement profiles

434 Stepped cracks arising at the connection between front and transversal walls were visible in both walls.  
 435 The stepped cracks in the frontal wall reinforced with timber laced reinforcement (Wall\_Tmb) follow a  
 436 preferential path outside the area where the timber elements were located. Vertical cracks also occurred  
 437 along the inner corners in specimen Wall\_Stl, visible from the rear façade. This pattern is not visible in  
 438 specimen Wall\_Tmb, which is attributed to the enhanced connection provided by the timber laced  
 439 reinforcement.

440 Figure 18 and Figure 19 present the crack development throughout the test in the front elevation of  
 441 Wall\_Stl and Wall\_Tmb respectively. Each crack pattern is drawn over the displacement fields obtained  
 442 from the mesh of LVDTs located in the wall (see Figure 12). The contour maps were obtained through  
 443 the measurements of all displacements at the frontal wall assuming a linear interpolation. The progress  
 444 of damage is also associated to a point of the monotonic force vs displacement curve for reference.

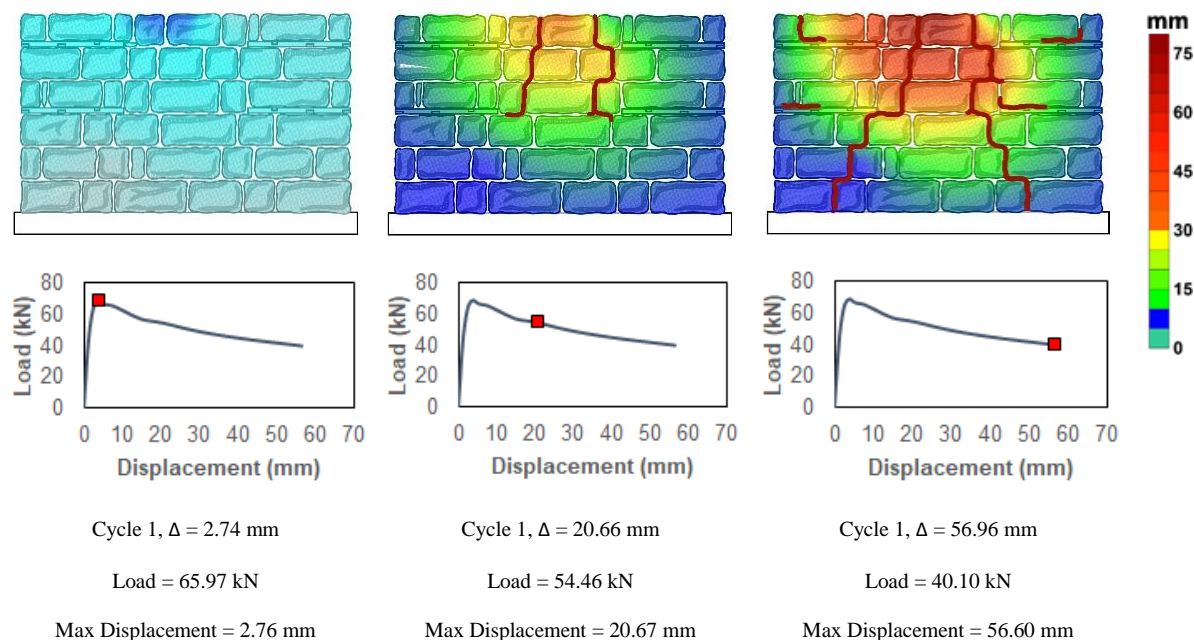






445 Figure 18 – Out-of-plane damage evolution in test Wall\_Stl

446



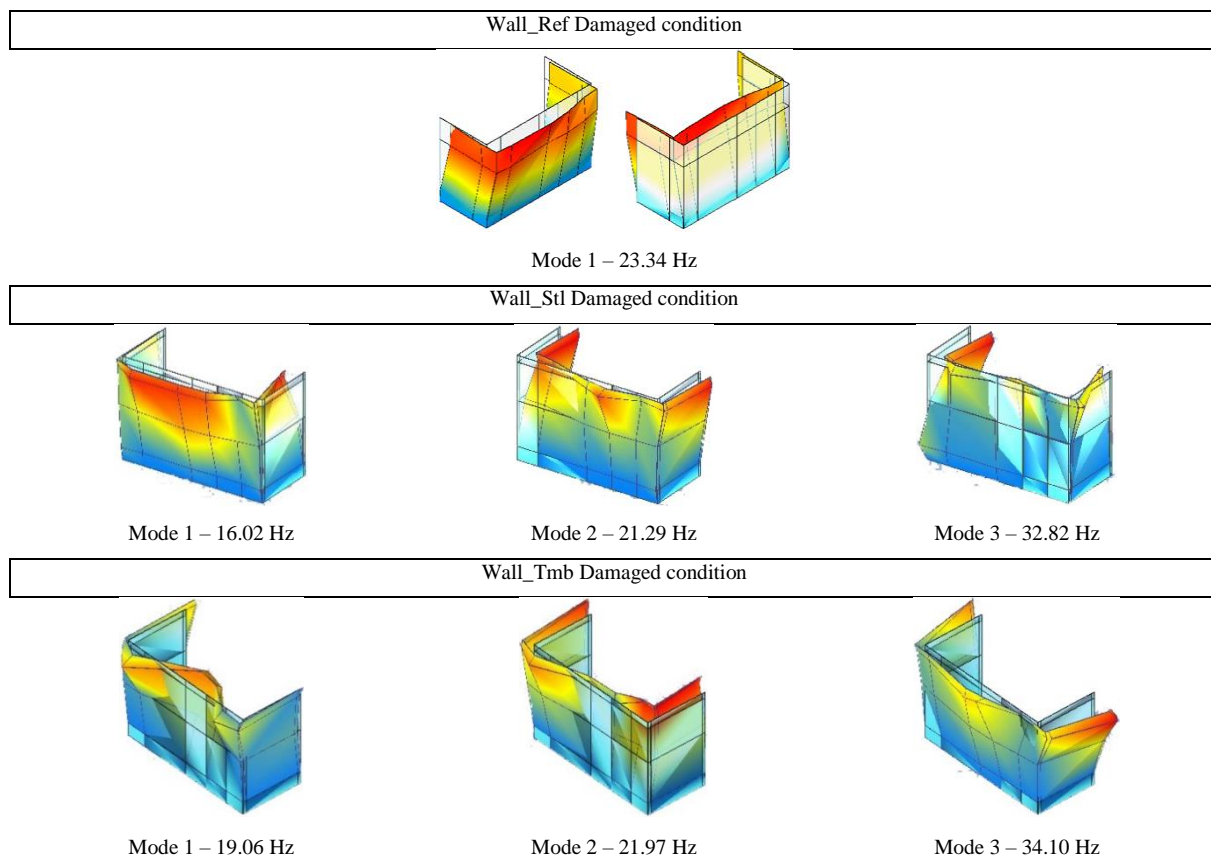
447 Figure 19 – Out-of-plane damage evolution in Wall\_Tmb

448 It is observed that at the end of the linear regime, no significant cracks can be identified at the external  
 449 surface of the frontal walls. The displacement fields obtained on both specimens suggest that both  
 450 behave as a masonry panels restrained both at vertical borders and at bottom, which is particularly  
 451 evident at the end of the out-of-plane test. This means that the connection of the frontal wall to the  
 452 transversal walls, enhanced by the presence of embedded reinforcing elements, is effective and enables  
 453 the development of the resisting arching mechanism. Nevertheless, this resisting mechanism is more  
 454 evident in Wall\_Tmb. The efficiency of the steel and timber elements on enhancing the connection of

455 the frontal walls to the transversal walls is the main responsible for the increase of the out-of-plane  
456 resistance.

457 The final damage state of the walls was also assessed based on the variation of the frequencies and  
458 modes shapes obtained after the out-of-plane testing. With respect to the dynamic tests performed after  
459 the out-of-plane test, the first natural frequency experienced a reduction of 19.42% and 9.4% in Wall\_Stl  
460 and Wall\_Tmb, respectively. In the damaged condition, Wall\_Ref presents a reduction of about 12.5%  
461 on the first natural frequency when compared to the undamaged condition (Figure 20).

462



463 Figure 20 – Main mode shape and natural frequencies (damaged condition) in walls Wall\_Ref, Wall\_Stl  
464 and Wall\_Tmb

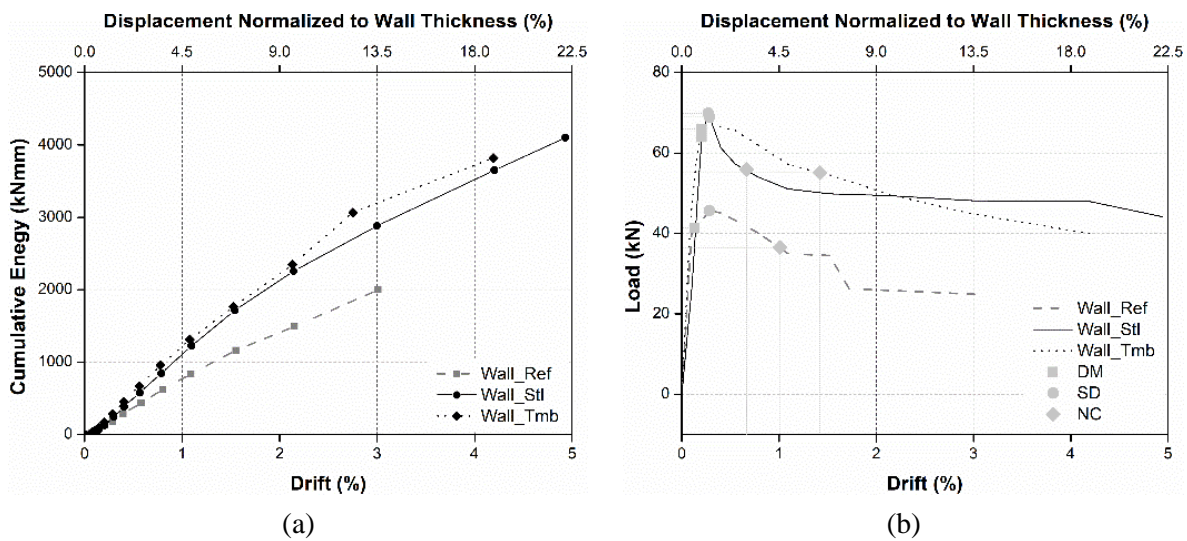
465 The mode shapes related to the damaged conditions are clearly affected by the crack distribution  
466 occurred after the out-of-plane test. The first mode in Wall\_Ref appears to maintain its original shape.

467 The out-of-plane displacement is more significant in the left portion of the façade, but it gradually  
468 decrease reaching the section of the wall where an extended crack occurred (Figure 20).

469 Similarly, both in specimens Wall\_Stl and in Wall\_Tmb, the out-of-plane displacement is mainly  
470 concentrated in those parts of the façade delimited by the biggest cracks. In Wall\_Stl, top and bottom  
471 corners show negligible displacement levels, whereas a considerable out-of-plane displacement  
472 characterizes the portion of façade, which experienced sliding phenomena during the airbag test. On the  
473 other hand, Wall\_Tmb crack pattern ideally divides the façade into three sections according to the cracks  
474 observed, resulting in a phased out-of-plane displacement of the central portion of the front wall  
475 delimited by the timber-laced elements with respect to its corners.

#### 476 4.2.3. Evaluation of seismic performance

477 The positive influence of the two techniques in the out-of-plane response of stone masonry walls is  
478 further confirmed by the evolution of the hysteretic energy dissipated during the test (Figure 21a).  
479 Dissipated energy is represented by the area enclosed by hysteretic loops obtained from load-  
480 displacement response records in the reference LVDT (control point). It is seen that for the same drift  
481 demand, the energy dissipated by Wall\_Stl and Wall\_Tmb is significantly higher when compared with  
482 unreinforced masonry wall (Wall\_Ref). Looking at a drift level corresponding to 3%, the dissipated  
483 energy in Wall\_Ref is 1998 kNmm, whereas for the same drift level the dissipated energy is 2882 kNmm  
484 (44% increase) and 3068 kNmm (53% increase) in Wall\_Stl and Wall\_Tmb, respectively.



485 Figure 21 – Energy dissipation capacity in Wall\_Ref, Wall\_Stl and Wall\_Tmb (a); Limit states  
 486 identification in Wall\_Ref, Wall\_Stl and Wall\_Tmb (b)

487 Figure 21b provides information about the load and displacement corresponding to three damage levels  
 488 or limit states defined by Eurocode 8 [32]. Following this approach and based on previous data of  
 489 experimental campaigns on masonry buildings [33] [34], the different damage limit states were defined  
 490 for the two walls: (1) Damage Limitation state (DM), which is associated to the point where a change  
 491 of stiffness could be detected ( $H_{cr}$ ,  $d_{cr}$ ); (2) Severe Damage (SD) limit state, which is associated with the  
 492 drift corresponding to the maximum out-of-plane strength ( $H_{max}$ ,  $d_{Hmax}$ ); and (3) Near collapse limit state  
 493 (NC), which is associated to the lateral drift corresponding to a 20% decrease of the out-of-plane strength  
 494 ( $H_u$ ,  $d_{Hu}$ ).

495 The walls present a very stiff initial behaviour, leading to very low values of lateral drift corresponding  
 496 to the crack initiation (DM limit state). Due to the reduced nonlinearity before the peak load, relatively  
 497 low values of lateral drift corresponding to severe damage limit states (SD) are also observed. The lateral  
 498 drift corresponding to the NC damage limit state ranges between 0.66% and 1.42% for the steel  
 499 reinforced and timber laced reinforced wall, respectively, whereas in the reference wall (Wall\_Ref), the  
 500 lateral drift related to NC damage limit state is equal to 1.01%. The first test (Wall\_Stl) was stopped  
 501 before the collapse, when the walls presented a lateral drift of approximately 5%, whereas the second

Preprint version, Reference: Murano, A., Ortega, J., Vasconcelos, G., Rodrigues, H. Influence of traditional earthquake-resistant techniques on the out-of-plane behavior of stone masonry walls: experimental and numerical assessment. *Engineering Structures* (2020). <https://doi.org/10.1016/j.engstruct.2019.109815>

502 test (Wall\_Tmb) stopped when a lateral drift of 4.19% was reached. Even though the aforementioned  
 503 limit states may be considered too conservative if applied to masonry walls under out-of-plane loading,  
 504 it is possible to observe that the overall behaviour of the reinforced prototypes improved the performance  
 505 of the walls in terms of the limit state corresponding to damage limitation (DM). The corresponding  
 506 lateral drift increases from 0.13% (Wall\_Ref) to 0.2% to reinforced walls (Table 5). The near collapse  
 507 limit state (NC) is also attained for a higher lateral drift in case of Wall\_Tmb. The lower lateral drift  
 508 found for specimen Wall\_Stl can be justified by the more sudden reduction of the lateral resistance after  
 509 the peak load. In both reinforced walls, the performance levels are clearly attained for higher values of  
 510 lateral resistance when compared to the reference wall.

511 Table 5 – Lateral drift and corresponding limit states

Reduced Scale Model	Damage Limitation (DM)		Severe Damage (SD)		Near Collapse (NC)	
	H <sub>cr</sub> (kN)	d <sub>cr</sub> (%)	H <sub>max</sub> (kN)	d <sub>max</sub> (%)	H <sub>u</sub> (kN)	d <sub>u</sub> (%)
Wall_Ref	41.42	0.13	45.65	0.28	36.52	1.01
Wall_Stl	63.89	0.20	69.92	0.27	55.94	0.66
Wall_Tmb	65.98	0.20	68.92	0.28	55.13	1.42

## 512 5. Numerical simulation

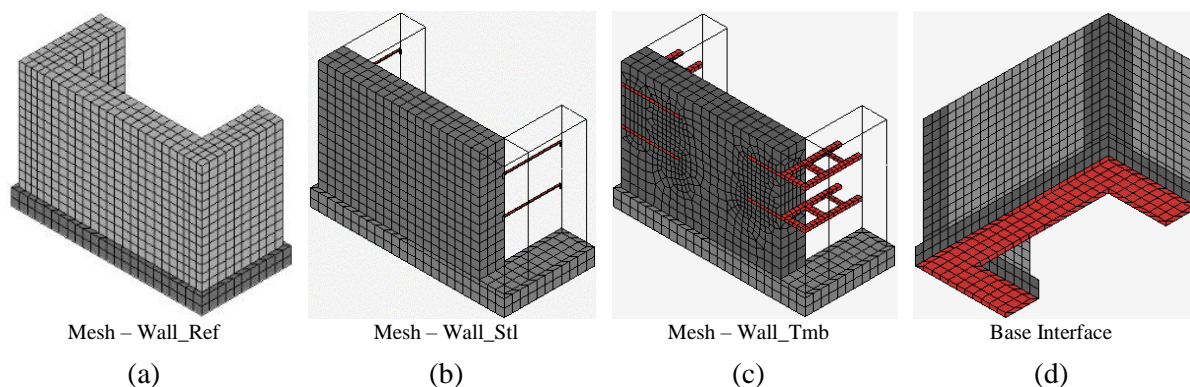
513 This section presents a methodology aimed at the preparation of a numerical model, calibrated with the  
 514 experimental results collected from the dynamic tests and the out-of-plane tests performed on the walls.  
 515 Subsequently, a pushover analysis reproducing the out-of-plane test is carried out, in order to compare  
 516 the numerical and experimental results. Further discussion is included on the main differences in terms  
 517 of crack pattern and load capacity of reinforced and unreinforced prototypes according to the numerical  
 518 results. Finally, a parametric study mainly addressing the influence of geometrical configuration and  
 519 number of reinforcing elements on the overall out-of-plane response is presented.

## 520 **5.1. Finite element model**

521 The numerical model of the wall was defined with DIANA software [35] using twenty-node tetrahedron  
522 solid 3D elements (CHX60). Since the model is intended to simulate the experimental test, the concrete  
523 base was also included in the numerical model using the same solid 3D elements. Plane quadrilateral  
524 interface elements (CQ48I) in a three-dimensional configuration were applied in order to reproduce the  
525 connection between the concrete base and the strong floor of the laboratory. Full connection was  
526 considered between the wall and the concrete base. Steel and timber reinforcing elements were modelled  
527 using tetrahedron solid 3D elements (CHX60). The steel and timber elements embedded within the wall  
528 were considered to be perfectly connected with the wall. Thus, common nodes share all degrees of  
529 freedom and no interface elements were used.

530 Both concrete, steel and timber elements have been analysed assuming a linear elastic behaviour. Linear  
531 elastic behaviour was considered also for the concrete base and a modulus of elasticity of 31 GPa and a  
532 Poisson's ratio of 0.2 were assumed. The Young modulus for steel was assumed equal to 210 GPa,  
533 whereas 7800 kg/m<sup>3</sup> and 0.3 were the values selected for density and Poisson ratio respectively. The  
534 Young modulus for timber was assumed equal to 10 GPa. The timber density and Poisson ratio were  
535 adopted as equal to 600 kg/m<sup>3</sup> and 0.2 respectively. The dimensions of the cross-section of the reinforced  
536 elements have been already presented in Section 2.

537 Figure 22 shows the final reference models for the three experimental models. In order to have a good  
538 representation of the strain and stress distribution, the overall size of the finite elements mesh is equal  
539 to 0.10 m. The mesh size adopted for the reinforcing elements was lower according to their geometrical  
540 characteristics. In the steel reinforcements, the mesh has been generated so that at least three finite  
541 elements defined the thickness of the solid. The mesh size in the timber elements is equal to 0.05 m.



542 Figure 22 – Detailing on the finite element mesh: Reference model Wall 0 (a) Wall 1(b) and Wall 2 (c);  
543 interface elements used at the reinforced concrete base (d)

544 The material model adopted to represent the non-linear behaviour of the stone masonry is a standard  
545 isotropic Total Strain Rotating Crack Model (TSRM). The model describes the tensile and compressive  
546 behaviour of the material with one stress-strain relationship and assumes that the crack direction rotates  
547 with the principal strain axes. It is selected because of its robustness and simplicity, and because it has  
548 been proved to be very well suited for analyses predominantly governed by cracking or crushing of the  
549 material [36] [37]. An exponential softening function simulates the non-linear behaviour of the material  
550 in tension, whereas a parabolic function was adopted to describe the crushing behaviour in compression.

## 551 **5.2. Calibration of the numerical model**

552 The calibration process followed three steps based on the previously tests performed: (1) the elastic  
553 properties of the masonry were initially estimated based on the results of the sonic tests (Table 4); (2) a  
554 numerical modal analysis was performed and the frequencies and modes shapes obtained were compared  
555 with those obtained from the dynamic identification tests. This data enables to update the previous  
556 adopted elastic properties; and (3) finally, the force-displacement diagrams obtained in the out-of-plane  
557 tests allowed to define the nonlinear material properties. In this phase, the numerical force-displacement  
558 curves resulting from nonlinear static (pushover) were compared with experimental monotonic envelop  
559 of the reference wall.

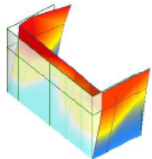
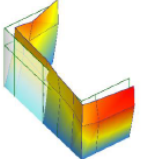
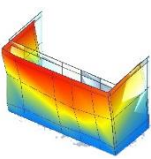
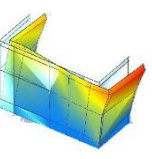
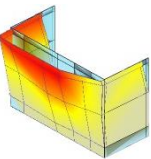
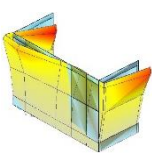
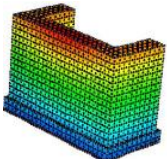
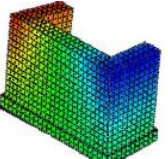
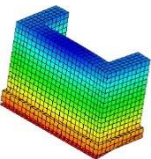
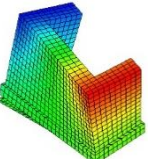
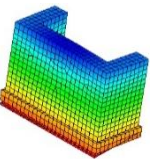
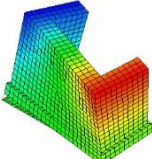
560 It should be noted that in the second step of the calibration process, the stiffness properties of the  
561 interface elements placed at the base of the concrete beam to simulate the boundary conditions had to  
562 be also calibrated. The adjustment of the interface elastic properties was based on the displacement  
563 measured at the base of the concrete beam with the LVDT placed at the left external corner of the façade  
564 in Wall\_Stl (see Figure 12) and at the mid-span of the concrete base of Wall\_Tmb. For the unreinforced  
565 masonry specimen (Wall\_Ref), the tangential stiffness in x and y direction was equal to  $397 \times 10^6 \text{ N/m}^3$   
566 and the stiffness in the normal direction was equal to  $992 \times 10^6 \text{ N/m}^3$  [21]. For the specimen Wall\_Stl,  
567 an interface tangential stiffness of  $247 \times 10^6 \text{ N/m}^3$  was obtained for the horizontal x and y direction.  
568 The stiffness in the normal direction was set at  $617.5 \times 10^6 \text{ N/m}^3$ . Regarding the specimen Wall\_Tmb,  
569 an interface tangential stiffness of  $257 \times 10^6 \text{ N/m}^3$  was obtained for the horizontal x and y direction,  
570 whereas the stiffness in the normal direction was set at  $640 \times 10^6 \text{ N/m}^3$ .

571 After this preliminary adjustment, the modal analysis was performed and the values of the natural  
572 frequencies were used to update the values of elastic modulus to consider in the nonlinear analysis.  
573 Table 6 shows the comparison between the numerical and the experimental results. The fitting of the  
574 numerical and experimental stiffness and lateral resistance led to reduce the experimental value of the  
575 Young modulus, see Table 6. The final values of the frequencies and mode shapes of the calibrated  
576 numerical modes by using the updated elastic properties, see Table 6, are 20.27 Hz and 21.01 Hz for the  
577 first mode in specimens Wall\_Stl and Wall\_Tmb, respectively. The modal participation in the out-of-  
578 plane direction is 75.55% and 75.85%. Furthermore, the first mode frequency of the reference wall  
579 (Wall\_Ref) obtained was equal to 25.85 Hz, with a modal participation in the out-of-plane direction of  
580 74.68%. The frequencies obtained for the unreinforced wall are slightly higher than the reinforced wall,  
581 but the mode shapes are the same. The validation of the frequencies was assessed based on the Modal  
582 Assurance Criterion (MAC). In comparison with the experimental values, the calibrated numerical  
583 models of the specimens Wall\_Stl and Wall\_Tm presented a very low error for the first mode (<2%).  
584 Average MAC values of 0.98 for the first mode and 0.91 for the second mode were obtained for  
585 specimen Wall\_Stl. MAC values of about 0.84 (first mode) and 0.86 (second mode) were obtained for



586 specimen Wall\_Tmb. The slight asymmetry obtained in the experimental mode shapes due to the  
 587 morphology of the masonry is not captured numerically, since the wall is simulated with a homogeneous  
 588 material. This also leads to some differences in the numerical frequencies between Wall\_Stl and  
 589 Wall\_Tmb. Nevertheless, the obtained MAC values show good agreement between numerical and  
 590 experimental modes.

591 Table 6 – Experimental vs numerical mode shapes and frequencies (Wall\_Ref, Wall\_Stl and Wall\_Tmb)

Experimental results					
Wall_Ref		Wall_Stl		Wall_Tmb	
Mode 1	Mode 2	Mode 1	Mode 2	Mode 1	Mode 2
					
26.70 Hz	34.85 Hz	20.60 Hz	31.25 Hz	21.29 Hz	33.40 Hz
Numerical results					
Wall_Ref		Wall_Stl		Wall_Tmb	
Mode 1	Mode 2	Mode 1	Mode 2	Mode 1	Mode 2
					
25.85 Hz	30.87 Hz	20.27 Hz	25.15 Hz	21.01 Hz	26.30 Hz
Error (%)					
3.10	11.40	2	24	1	27
MAC					
0.94	0.80	0.98	0.91	0.84	0.86

592

593 Table 7 – Linear and non-linear material properties after calibration procedure(after calibration)

	Linear Material Properties (Sonic tests)			Material properties (after calibration)				
	E (MPa)	$\nu$	$\rho$ (kg/m <sup>3</sup> )	$E_{up}$ (Mpa)	$f_c$ (MPa)	Gfc (N/m)	$f_t$ (MPa)	Gf1 (N/m)
Wall_Ref	4115	0.39	2495	3600	3.60	5760	0.07	12

Wall_Stl	2960	0.28	2513	2450	2.45	3917	0.07	12
Wall_Tmb	3450	0.25	2482	2974	2.97	4760	0.07	12

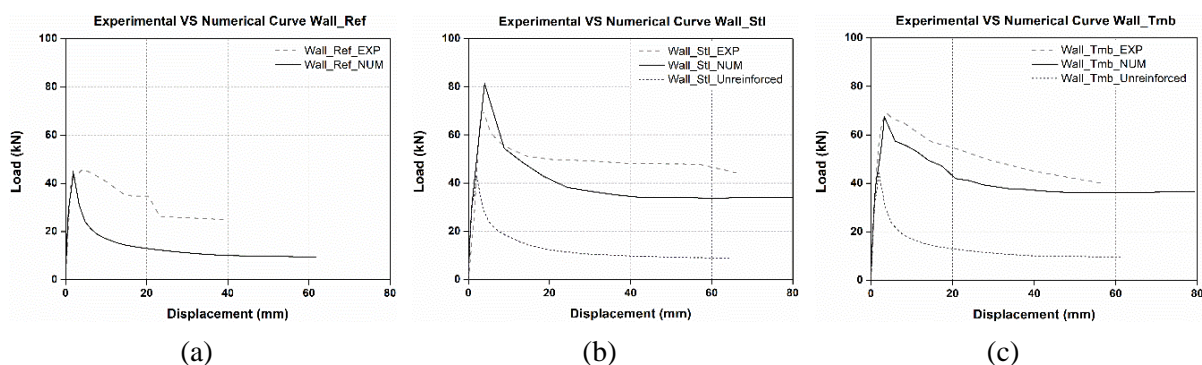
594 After the calibration through the modal analysis, pushover analyses were carried out to analyse the pre-  
 595 and post-peak response of the numerical models. These analyses were primarily aimed to adjust the  
 596 lateral resistance of the numerical model. The compressive strength was calculated following the  
 597 suggestion of Tomažević [8], in which the compressive strength can be obtained from the elastic  
 598 modulus:  $E = \alpha f_c$ , where  $\alpha$  ranges from 200 to 1000. The value of 1000 was assumed for this work. The  
 599 tensile strength ( $f_t$ ) was initially defined as 10% of the compressive strength, but it need to be further  
 600 reduced up to 2% for Wall\_Ref to fit the out-of-plane resistance of the reference model. This reduction  
 601 resulted in a tensile strength value of 73300 N/m<sup>2</sup> (0.07 MPa), which was kept constant for Wall\_Stl  
 602 and Wall\_Tmb. The compressive fracture energy was calculated by multiplying the compressive  
 603 strength by a ductility index of 1.6 mm, according to recommendations of Lourenço (2009) [23]. The  
 604 mode I fracture energy was considered as 12 N/m [23].

605 It should be stressed that the elastic properties resulting from the calibration process were updated from  
 606 the values obtained in the sonic tests in the three different specimens. The tensile strength and fracture  
 607 energy were taken equal in the three models, pointing out the relevance of these properties for the out-  
 608 of-plane resistance of the stone masonry walls. This enabled also to assess the effectiveness of the  
 609 reinforcing elements, at it will be analysed in the next section.

### 610 **5.3. Numerical vs experimental results**

611 This section shows the results of the nonlinear analysis performed with the updated material properties  
 612 and assess the behaviour of the numerical models under out-of-plane loading. In these analyses, it should  
 613 be noted that the same boundary and loading conditions adopted in the experimental tests were assumed.  
 614 The vertical actions intend to simulate the self-weight of the roof structure and were uniformly  
 615 distributed on the transversal walls. The out-of-plane action exerted by the airbag was simulated as a  
 616 uniform distributed horizontal load applied in the rear surface of the frontal wall. The pushover analysis  
 617 is based on the incremental application of the aforementioned horizontal load until collapse. The

618 response of the structure is described by the capacity or pushover curve, which represents the horizontal  
619 load versus the displacement at the control point, which was taken at the same position where the control  
620 LVDT was placed in the experimental test (top mid-span of the frontal wall). Thus, the pushover curve  
621 can be directly compared with the force-displacement envelope obtained experimentally, see Figure 23.



622 Figure 23 – Experimental vs Numerical capacity curve: Wall\_Ref (a), Wall\_Stl (b), Wall\_Tmb (c)

623 From the results obtained, it is possible to observe that the pre-peak behaviour of three stone masonry  
624 walls is accurately simulated, but the numerical post-peak branch in Wall\_Ref differs considerably from  
625 the experimental monotonic envelop. Similarly, the experimental behaviour observed in specimen  
626 Wall\_Stl was characterized by a local mechanism involving a significant sliding of a portion of the front  
627 wall, resulting in increasing displacements for steady resisting loads. This local mechanism is not  
628 replicated by the numerical simulation due to the macro-modelling approach and to the assumption of  
629 homogeneous and isotropic masonry. On the other hand, in case of specimen Wall\_Tmb, the post-peak  
630 numerical branch is slightly closer to the post-peak descending branch of experimental envelop. In this  
631 case, the numerical models simulated with higher accuracy the experimental behaviour due to absence  
632 of important local resisting mechanisms.

633 The maximum load achieved in numerical model of Wall\_Ref (45.04 kN) is extremely close to the  
634 experimental load detected (45.64 kN). The maximum load of about 81.43kN achieved in the numerical  
635 model Wall\_Stl is about 16% higher if compared to the experimental lateral resistance (69.91 kN). On  
636 the other hand, the maximum load obtained in Wall\_Ref (67.50 kN) is only 2% lower than the  
637 experimental lateral resistance (68.91 kN). These differences can be explained by the possible local

638 resisting mechanism developed in the experimental tests and, additionally, by uncertainties of the  
639 effective contact area between the airbag and the wall, as well as by cyclic stiffness and strength  
640 degradation occurred during the experimental tests, which was not considered in the numerical analysis.

641 It should be noted that Figure 23b and c also includes the numerical pushover curves obtained for  
642 Wall\_Stl and Wall\_Tmb considering the material properties for each wall shown in

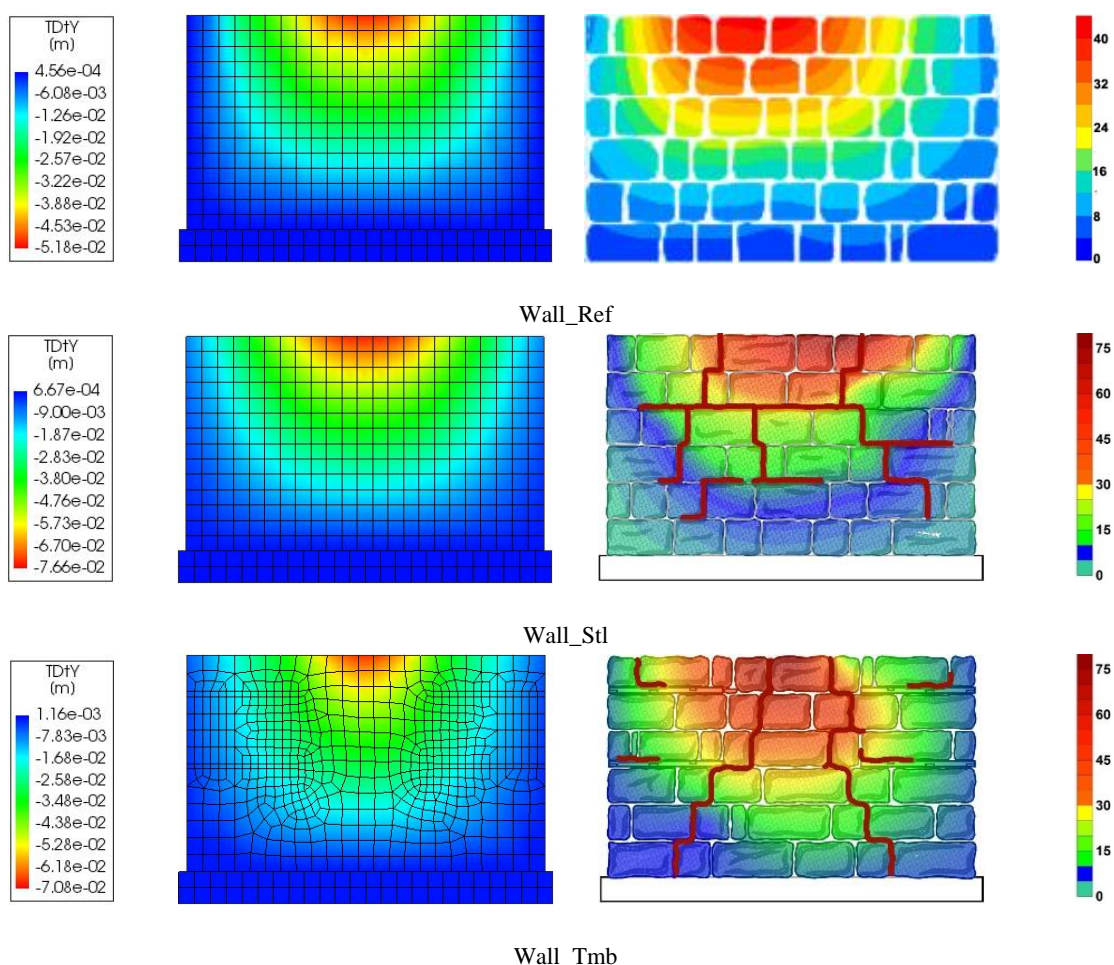
643 Table 7, but no reinforcement. These analyses are intended to show how the response of the building is  
644 very similar for all three walls with different properties and no reinforcement. Therefore, the analyses  
645 confirm that the reinforcement techniques considered have a significant influence on the out-of-plane  
646 behaviour of the stone masonry walls analysed.

647 Figure 24 presents the out-of-plane displacements along Y axis (defined as TDty, according to the  
648 convention used in DIANA software) for the three numerical models (Wall\_Ref, Wall\_Stl and  
649 Wall\_Tmb). The numerical models provide a symmetric displacement pattern, as the macro-model, in  
650 fact, is not able to replicate all those irregularities characterizing the masonry bond and real interaction  
651 among stone units, having different shapes and sizes, and mortar units. However, it can be said that the  
652 numerical displacements patterns represent reasonably well the experimental displacements contour  
653 maps. As expected, the highest level of displacements was reached in the upper part of the mid-span of  
654 the front wall.

655 Figure 25 presents the maximum principal strains (defined as E1, according to the convention used in  
656 DIANA software) for all the tested walls. The figure shows areas of the wall where cracks are most  
657 likely to develop. The highest values of strain can be, in fact associated to the development of cracks. It  
658 must be pointed out that the images depicting strains distribution are related to a level of displacement  
659 equal to 40 mm.

Numerical displacements (m)

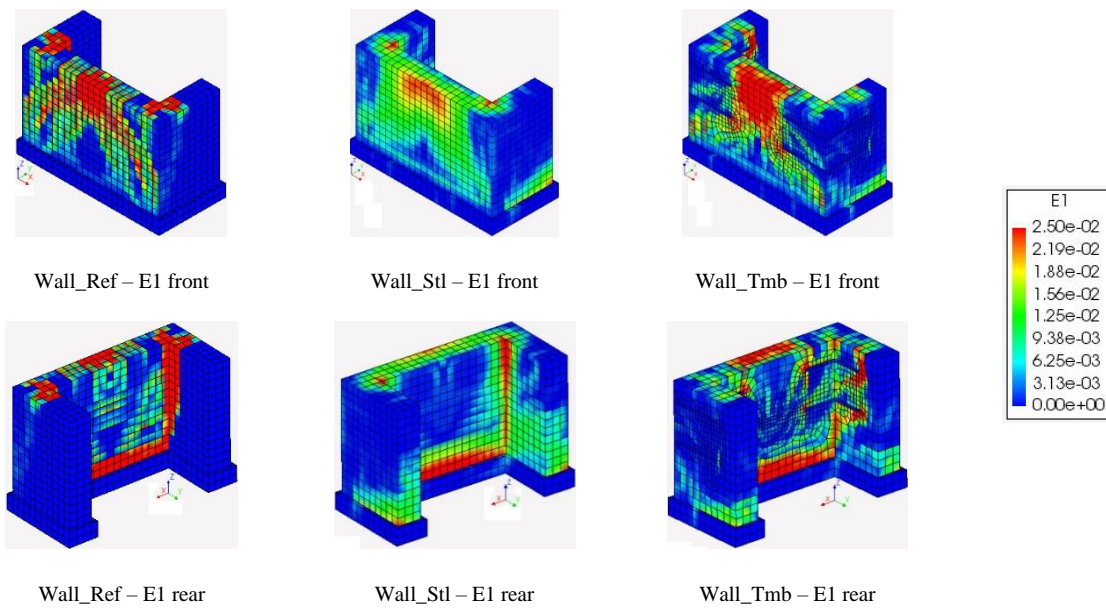
Experimental displacements (mm)



660 Figure 24 – Displacement contour maps (Numerical vs Experimental)

661 **According to the numerical results, the displacements fields in the frontal wall are compatible**  
 662 **with a span supported in three edges. Consequently, the top mid-span part of the frontal wall**  
 663 **experienced the highest displacement levels and it is more prone to the bending failure of the walls.**

664 Significant strain levels can be also detected in the intersections between frontal and transversal walls,  
 665 showing the formation of cracks that can eventually lead to the separation of the walls. This phenomenon  
 666 is important in the reference wall (Wall\_Ref), whereas a reduction in terms of strain concentration is  
 667 visible in Wall\_Stl and Wall\_Tmb, which is attributed the presence of the reinforcements. Moreover,  
 668 looking at the model Wall\_Tmb, it is possible to notice a high level of deformation at the interface  
 669 between timber elements and mortar joints. This trend is also confirmed by the crack pattern detected  
 670 after the out-of-plane test (see Figure 24).



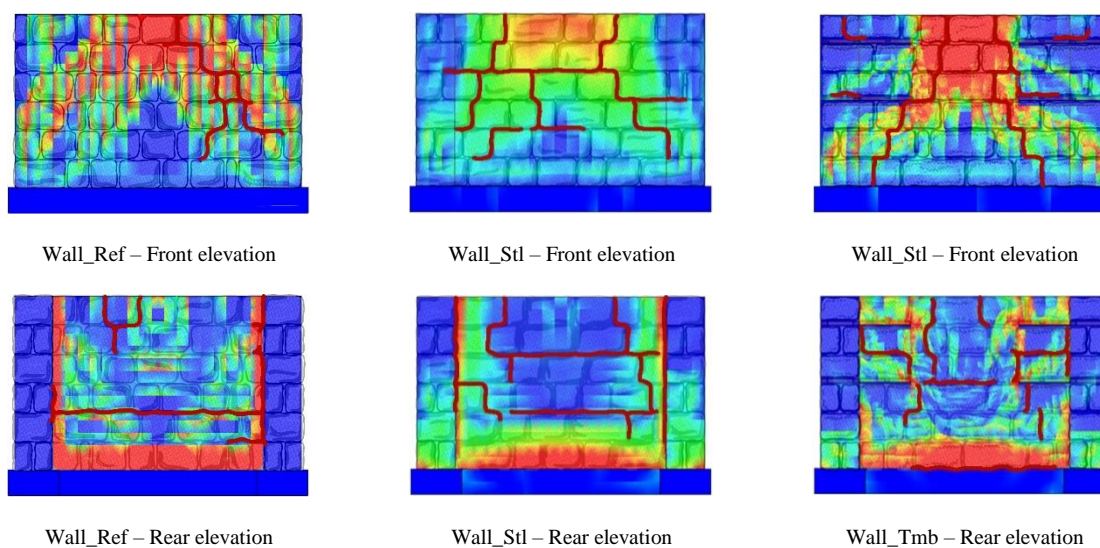
671 Figure 25 – Maximum principal strain distribution (E1)

672 Finally, damage is also widespread at the connection between the walls and the concrete base, showing  
673 the eventual separation of the walls at the base followed by the out-of-plane rotation of the wall. It should  
674 be noted that during the experimental tests, the damage pattern at the inner side of the walls could not  
675 be observed. Thus, some cracks, such as those at the base, may be closed and hidden at the end of the  
676 test, due to the self-weight of the structure.

677 Figure 26 overlaps the crack patterns over the maximum principal strains obtained from the numerical  
678 analyses. Despite the modelling limitations and the visual limitations during the experiment, the areas  
679 of higher concentration of tensile strains are rather consistent with the crack pattern observed in the  
680 inner and outer side of the frontal wall, as well as with the cracks observed at the intersection between  
681 orthogonal walls after the test. The experimental crack pattern in the unreinforced wall is quite  
682 asymmetric.

683 On the other hand, looking at the crack distribution in the reinforced experimental models, the  
684 connectivity exerted by the reinforcing elements is clearly visible. Even if specimen Wall\_Stl shows an  
685 experimental crack pattern affected by a local mechanism developed at a central portion of the façade,  
686 the symmetric distribution of the damages can be considered an evidence and it is consistent with the

687 numerical simulation (Figure 26). The same is valid for the model Wall\_Tmb. In this case, the  
688 experimental damage distribution is governed by the timber elements configuration, which led to the  
689 formation of cracks in the interfaces between timber elements and mortar joints. Moreover, inclined  
690 symmetric cracks, developed in the front wall, affect only a reduced portion of façade delimited by the  
691 timber elements, showing a good agreement with the numerical strain distribution (Figure 26).



692 Figure 26 – Overlapping of experimental crack pattern reinforced over the numerical strain distribution

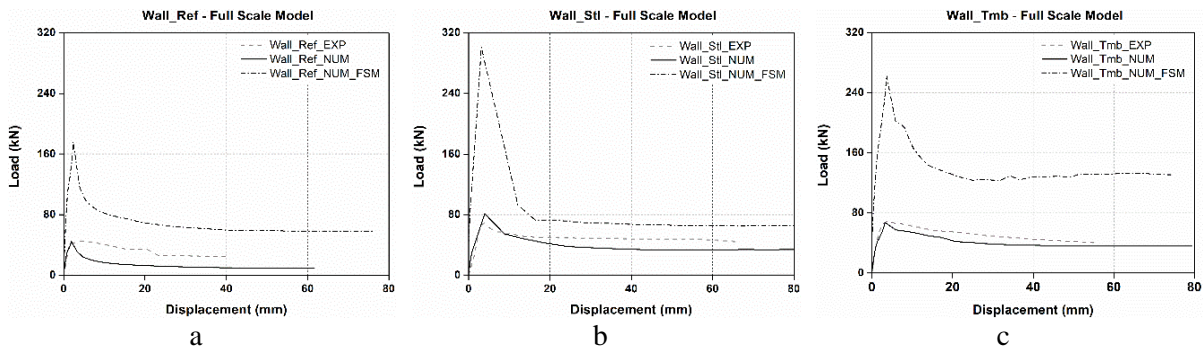
## 693 **5.4. Parametric study**

694 A parametric study was performed in order to investigate the influence of the configuration, location  
695 and number of reinforcing elements (steel and timber-laced) on the out-of-plane behaviour of stone  
696 masonry walls. Nevertheless, in order to proceed with a numerical parametric study, firstly it was  
697 decided to scale the previously analysed model to real scale.

### 698 **5.4.1. Analysis of full scale models**

699 For this first analysis, the dimensions of the reduced scale (1:2) experimental models Wall\_Ref,  
700 Wall\_Stl and Wall\_Tmb were doubled. Reference scale factors were considered according to the Cauchy  
701 law [21]. The material properties were considered the same experimental models as this is a principle  
702 of the Cauchy law (same stress). The variation of the scale resulted in a peak load equal to 176.42 kN,

703 300.80 kN and 262.80 kN in Wall\_Ref, Wall\_Stl and Wall\_Tmb respectively, which is approximately  
704 4 times higher than the experimental value (Figure 27). This result is in agreement with the Cauchy scale  
705 factors ( $\lambda_F^2 = 4$ ).

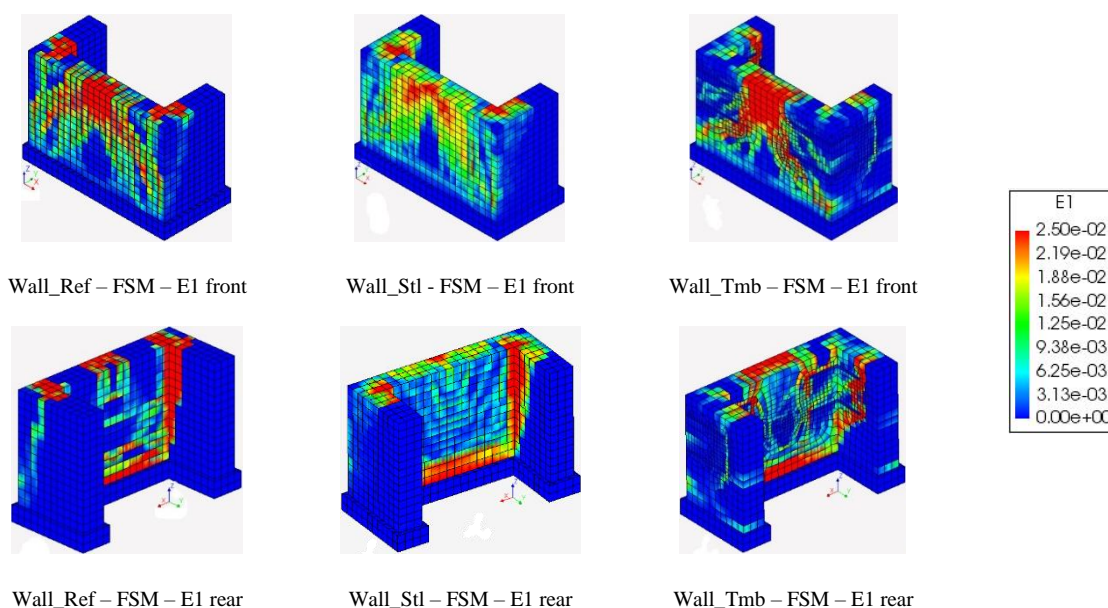


706 Figure 27 – Numerical force vs displacement curves for full scale models: Wall\_Ref (a), Wall\_Stl (b),  
707 Wall\_Tmb (c)

708 Figure 28 shows the results in terms of maximum principal strain distribution ( $\epsilon_1$ ) in the full-scale  
709 models (FSM). According to the correlation between displacement values provided by the Cauchy law,  
710 the displacement in the full-scale model (2:1) should double the displacement of the reference model.  
711 Therefore, the strain distributions presented in Figure 28 are related to a displacement level equal to 80  
712 mm, whereas in the reduced scale models (RSM) the strain distributions are related to a displacement  
713 level equal to 40 mm (see Figure 25 and Figure 26).

714 It is seen that the strains distributions obtained in the full-scale models is consistent with the results  
715 obtained in the reduced scale specimens. Strain concentration is higher in the plain wall (Wall\_Ref),  
716 mainly in the façade and in the intersection between front and lateral walls. The use of reinforcing  
717 elements (steel ties in Wall\_Stl and timber-laced reinforcements in Wall\_Tmb) contributed to efficiently  
718 achieve a reduction in terms of strain distribution in the aforementioned critical areas of the stone  
719 masonry walls, similarly to what happens in the reduced scale models.





720 Figure 28 – Maximum principal strain distribution full scale models (E1)

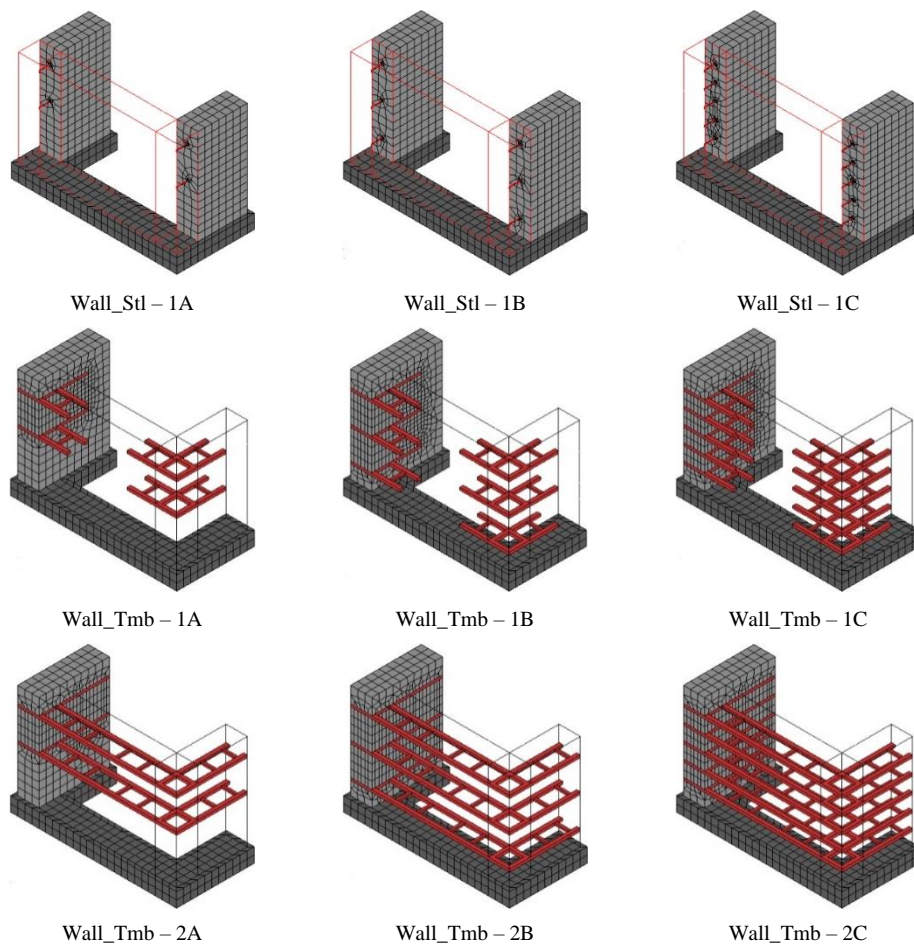
#### 721 5.4.2. Analysis of reinforcement configuration

722 The parametric study focus mainly on the analysis of the configuration of the reinforcing steel bars and  
723 timber laced elements regarding the location along the height of the walls. The full-scale numerical  
724 models were used to carry out the numerical parametric analysis. Therefore, nine numerical models were  
725 built varying the geometrical configuration and number of reinforcing elements for each masonry course  
726 (Figure 29).

727 The standard configuration of reinforcements in full scale specimens corresponds to the same as the one  
728 considered in the experimental models with the update of the dimensions to real scale. In case of steel  
729 reinforcements (Wall\_Stl), the number of steel ties in each masonry course has been gradually increased  
730 resulting in three different configurations, namely 1A (2 reinforcements in each lateral wall – reference  
731 configuration), 1B (3 reinforcements in each lateral wall) and 1C (5 reinforcements in each lateral wall).

732 The same criterion has been applied to full scale model Wall\_Tmb. Additionally, a variation in terms of  
733 geometry has been applied to the timber-laced reinforcements in model Wall\_Tmb, resulting in three  
734 models characterized by reinforcing elements that run continuously along the length of the wall arranged  
735 in horizontal planes (ring beams or bond beams), see Figure 29. Successively, the number of ring beams

736 in each masonry course has been increased resulting in configuration 2A (2 reinforcements lying in  
737 course 3 and 5 respectively), 2B (3 reinforcements lying in course 1, 3 and 5 respectively) and 2C (a  
738 ring beam for each masonry course). For the numerical nonlinear analysis, the reference mechanical  
739 properties adopted for Wall\_Tmb were assumed for all models.

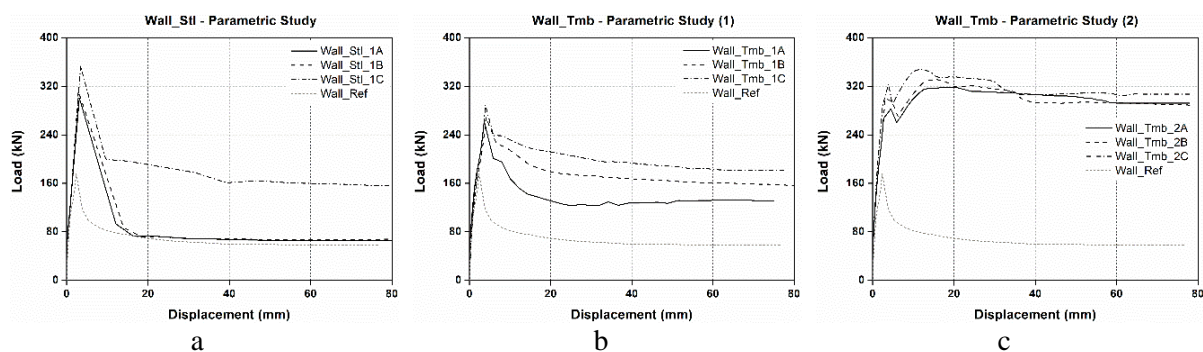


740

741 Figure 29 – Variation on the configuration of reinforcements

742 Figure 30 shows the numerical capacity curves together with the load-displacement diagram of full-the  
743 reference scale numerical model (Wall\_Ref). The pushover curve obtained for model Wall\_Stl highlight  
744 a mostly linear elastic behaviour up to the peak load in all reinforcing configurations (1A, 1B and 1C).  
745 The post peak branch is characterized by a considerable descending trend after reaching a steady residual  
746 load for increasing displacements (Figure 30-a). An increase of the peak load of about 70% was achieved  
747 in case of Wall\_Stl-1A and Wall\_Stl-1B when compared to the peak load obtained in the reference full

748 scale model (Wall\_Ref-FSM). In addition, it is also possible to notice that the maximum load in  
 749 Wall\_Stl-1C doubled compared to Wall\_Ref\_FSM (353.58 kN against 176.42 kN). It is noted that  
 750 Wall\_Stl-1C presents an enhancement of the post-peak performance, with higher levels of residual  
 751 resistance when compared to models 1A and 1B and Wall\_Ref-FSM, which present approximately the  
 752 same residual post peak load (averagely 160 kN against 60 kN).



753 Figure 30 – Parametric Study: Wall\_Stl-1A/1B/1C (a); Wall\_Tmb-1A/1B/1C (b) and Wall\_Tmb-  
 754 2A2B/2C (c)

755 Regarding the wall reinforced with timber laced reinforcement, the peak-load in Wall\_Tmb-1A (ca. 262  
 756 kN), Wall\_Tmb-1B (ca. 268 kN) and Wall\_Tmb-1C (ca. 289 kN) are approximately 48%, 52% and 64%  
 757 respectively higher when compared to Wall\_Ref-FSM (ca. 176 kN). The increased number of  
 758 reinforcements influences the post-peak performance of the walls, resulting in the gradual increment of  
 759 the residual load levels when compared to the residual post peak load of reference wall Wall\_Ref-FSM  
 760 (averagely 130, 155 and 185 kN in 1A, 1B and 1C configuration respectively against 60 kN in  
 761 Wall\_Ref\_FSM).

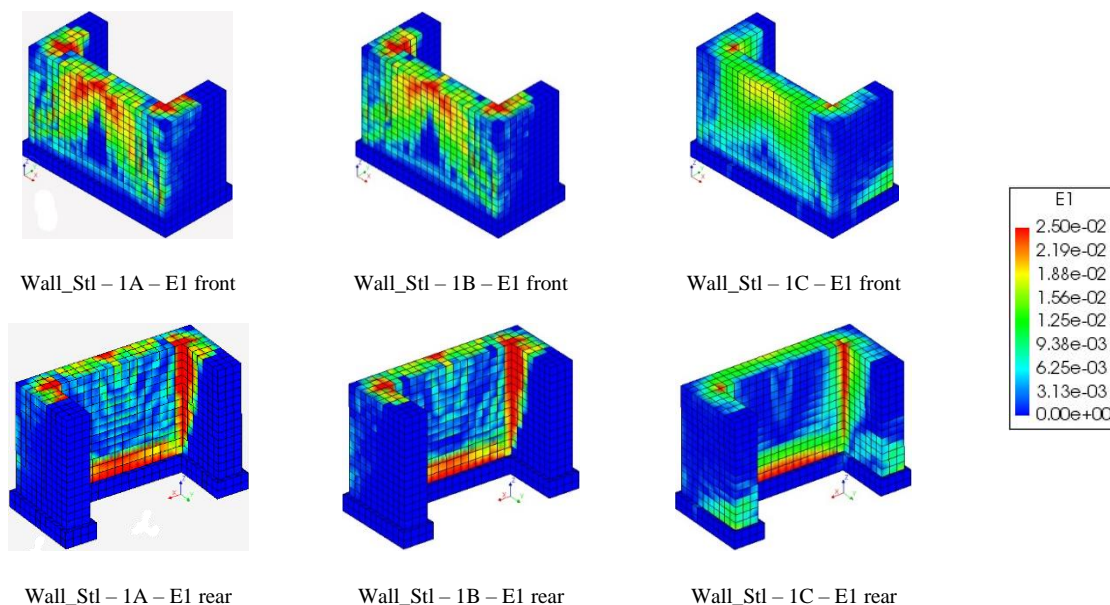
762 It is also observed that the length of the timber laced reinforcement (Wall\_Tmb-2A/2B/2C) has a great  
 763 influence in the peak and post peak response of the reinforced walls. The peak load increases by 80% in  
 764 Wall\_Tmb-2A/2B when compared to Wall\_Ref-FSM, whereas peak load attained in wall Wall Tmb-2C  
 765 represent an increase of more than 100% when compared to the unreinforced model (averagely 318, 330  
 766 and 348 kN in 2A, 2B and 2C peak load respectively against 160 kN in Wall\_Ref\_FSM). In addition,  
 767 the post peak is characterized by a plateau with high values of residyal strength, revealing the great gain

768 in ductility of the specimens. In fact, the walls almost keep the maximum load for increasing post peak  
769 displacements. It stressed that the increase in the number of timber ring beams does not reflect important  
770 changes in the peak load and particularly in the post peak branch.

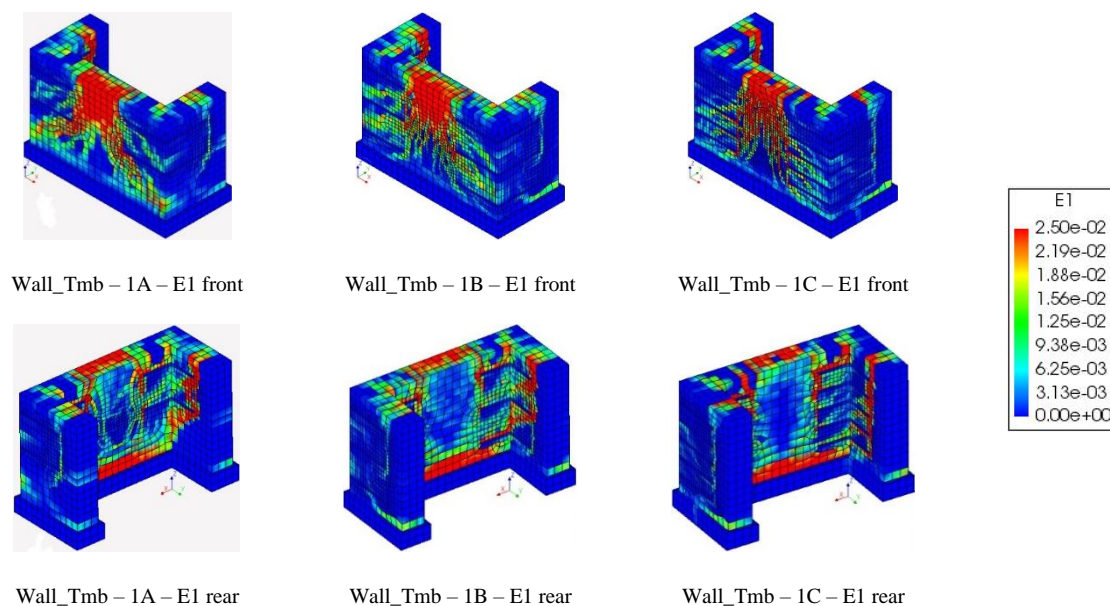
771 In general, the addition of reinforcements at the first masonry course does not result in a considerable  
772 improvement of the wall structural capacity in terms of peak load. An increase of about 4% in the peak  
773 load was recorded in case of steel reinforcements. This is explained by the deformation patterns of the  
774 walls, which exhibit low levels of displacement (strains) close to the bottom fixed boundary, leading to  
775 reduced effectiveness of the reinforcements. This also justifies the higher effectiveness of reinforcements  
776 at the upper courses, because, at these levels the strains developed at the reinforcements should be  
777 higher and thus more active. They result in the increase of attained peak load and in a reduction of the  
778 strain concentration in the upper part of masonry wall. This trend mainly characterizes the results related  
779 to 1B and 1C configuration.

780 The additional number of reinforcing elements enhances the monolithic behaviour of the U-shaped plan  
781 walls by improving the connection levels between the façade and transversal walls. This is clearly visible  
782 in the maximum principal strain distribution in configuration C (Figure 31, Figure 32 and Figure 33).  
783 The failure mode obtained for all walls consists of a rocking mechanism and overturning of the wall  
784 with respect to the base, instead of the higher trend of separation of the façade walls from the transversal  
785 walls. In models Wall-Stl (1B and 1A) (Figure 31), the extension of high levels of strains at the vertical  
786 connections and bottom base is considerably higher than the extension of maximum strains developed  
787 in model 1C. On the other hand, the tensile strains at the mid-span top region reduce considerably in  
788 the later model when compared to Wall-Stl 1A and Wall-Stl 1B.

789



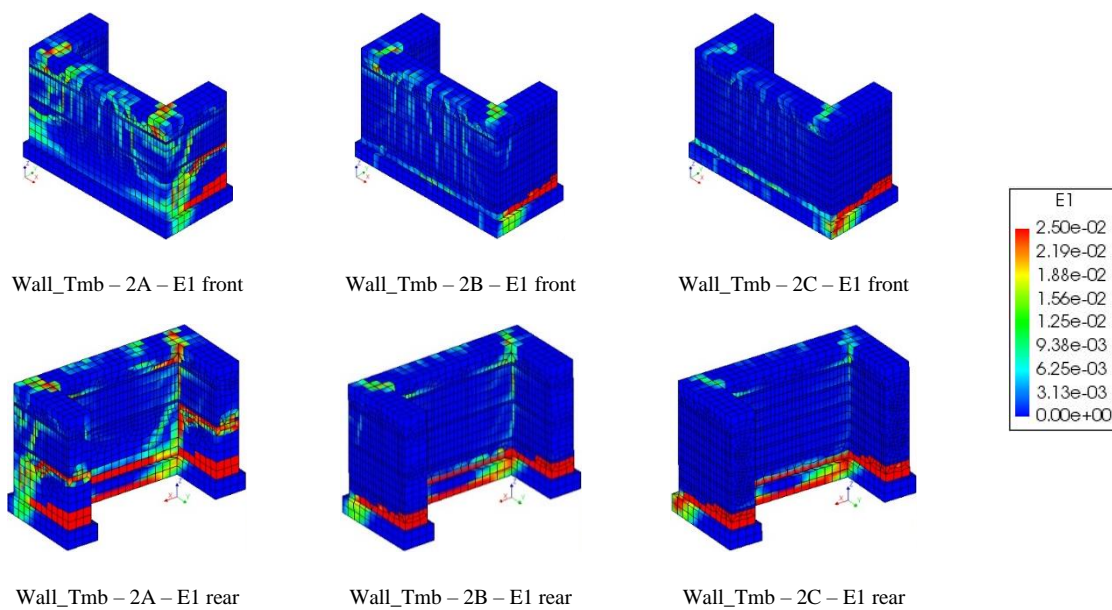
790 Figure 31 – Wall\_Stl maximum principal strain distribution (1A, 1B, 1C) at 80 mm displacement



791 Figure 32 – Wall\_Tmb maximum principal strain distribution (1A, 1B, 1C) at 80 mm displacement

792 The addition of the so-called ring beams resulted in a significant improvement of the monolithic  
 793 behaviour of the walls with the different geometric configurations (2A, 2B and 2C). It should be noted  
 794 that when the number of ring beams within the walls increases, the strains are progressively decreasing  
 795 in the walls (from 2A to 2C configuration), being the strain concentration transferred to the bottom part  
 796 of the wall, promoting the development of global rocking mechanism, see Figure 33. This behaviour is

797 also responsible for the higher ductility of the masonry walls, reflected in the post peak plateau of the  
798 force-displacement diagrams previously analysed (Figure 30-c). Finally, it is stressed that the increase  
799 in the number of timber ring beams does not result in a significant enhancement of the walls, meaning  
800 that the reference configuration (timber ring beams close to the top of the walls) is enough to ensure the  
801 improvement of the stone masonry walls by promoting the monolithic behaviour.



802 Figure 33 – Wall\_Tmb maximum principal strain distribution (2A, 2B, 2C) at 80 mm displacement

## 803 6. Conclusions

804 This paper presents the results of the experimental and numerical characterization of the out-of-plane  
805 behaviour of two U-shaped plan configuration stone masonry walls built with two different earthquake-  
806 resistant techniques, namely steel ties and timber laced reinforcement placed embedded at the corners  
807 of the walls. Both techniques aimed at the improvement of the connection between the façade and  
808 transversal walls. The results are systematically compared with the response of an unreinforced walls  
809 with the same geometric and morphologic features and tested using the same setup and procedure. The  
810 systematic comparison enabled the discussion on the performance of the strengthening techniques  
811 regarding out-of-plane loading.

812 The experimental characterization included non-destructive tests, namely sonic and dynamic  
813 identification tests, intending to estimate the mechanical elastic properties of the masonry. The  
814 characterization of the out-of-plane behaviour of the stone masonry walls was carried out through quasi-  
815 static out-of-plane loading tests performed using an airbag to apply a uniform horizontal load that  
816 simulate the seismic action. The experimental out-of-plane response was characterized by an almost  
817 linear behaviour until the peak load was reached. The post peak behaviour in the wall reinforced with  
818 steel ties was characterized by softening branch stabilizing in residual resistance close to the residual  
819 resistance of reference unreinforced masonry wall. This trend was mainly related to a local resisting  
820 mechanism characterized by sliding of the mid-span top part of the wall. On the other hand, the  
821 unreinforced wall and the wall reinforced with timber laced reinforcement showed a relatively smooth  
822 softening in the post-peak branch, characterized by a decrease of the force for increasing lateral  
823 displacements. The maximum load obtained in both reinforced walls was slightly below 70 kN, which  
824 represented a significant improvement of about 45% when compared with the unreinforced wall.  
825 Moreover, the presence of the reinforcing elements resulted in a more symmetric crack pattern and  
826 contributed, at the same time, to reduce the damage concentration at the connection with the transversal  
827 walls of the specimens.

828 A numerical nonlinear analysis was also carried out in order to assess the influence of the arrangement  
829 of reinforcement in the out-of-plane response of the stone masonry walls. For this, a macro-modelling  
830 approach was followed, assuming the stone masonry as a homogenous and isotropic material. The  
831 numerical model was previously calibrated based on results of non-destructive tests (sonic and dynamic  
832 identification tests) and on the force vs displacement curves resulting from out-of-plane tests. The  
833 numerical model proved to be calibrated as the pushover curves obtained from the numerical analysis  
834 showed a good correlation with the experimental force-displacement envelopes. A good correlation was  
835 also obtained in terms of maximum load capacity, stiffness, deformation and damage pattern.

836 The outcomes of the parametric study showed that the presence of reinforced elements, particularly  
837 close to the top of the wall, has a major role in the out-of-plane performance of the walls but it was seen  
838 that increasing the number of reinforcing elements does not result in a significant improvement of the  
839 structural response in terms of maximum load attained, but contribute for the improvement of monolithic  
840 behaviour and ductility. This is particularly relevant in case of timber ring beams, whose confining effect  
841 results in a predominant rock behaviour of the structure.

842 To conclude, this work highlights the importance of a good experimental characterization of stone  
843 masonry walls to correctly understand their structural behaviour. This characterization is important to  
844 later develop reliable numerical models from which better understanding on the structural behaviour can  
845 be achieved. The results provided in this work also contribute to understand the efficiency of traditional  
846 earthquake resistant techniques on improving the out-of-plane behaviour of stone masonry walls and it  
847 is also a valuable contribution in order to foster the reintroduction of these techniques in engineering  
848 conservation practice aiming at the preservation of vernacular architecture. Loss of knowledge on  
849 traditional materials and construction techniques has often led to the demolition and reconstruction of  
850 buildings based on modern materials and up-to-date design approaches. This is the reason why,  
851 recovering a renewed awareness of using traditional construction techniques can be considered a starting  
852 point in preventing the abandonment of vernacular buildings that are many times considered unsafe  
853 avoiding, at the same time, an inestimable loss of heritage value.

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