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Association between Medicaid Expansion Status and Lung Cancer Screening Exam Growth:  
Findings from the ACR Lung Cancer Registry

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## Abstract

**Purpose:** To determine if Medicaid expansion is associated with increased volumes of lung cancer screenings.

**Methods:** A quasi-experimental study was performed to compare the annual growth rates in lung cancer screenings between states that expanded Medicaid (n=31) versus those that did not (n=17). Using the American College of Radiology Lung Cancer Screening Registry, we calculated the average annual growth rate between 2016-2019 for both groups. Secondary analyses between these two groups also included calculations of the percentages of studies considered appropriate by USPSTF criteria.

**Results:** No significant difference was identified in the average annual growth in lung cancer screenings between Medicaid expanding and non-expanding states (57.6%, 50.3%, P=.51). No difference was observed in the percentage of studies considered appropriate (Medicaid expanding=89.6%, non-expanding=90.2%, P=.72). At baseline, there were socioeconomic differences between both groups of states. Medicaid expanding states had a more urban population (76.5% versus 67.9%, P=0.05) and higher average incomes (\$56,947, \$49,876, P<0.05).

**Conclusion:** No association is found between Medicaid expansion and increasing volumes of lung cancer screening exams. Although no data is available in the registry for screening exams before the implementation of Medicaid expansion (2014), most nationwide estimates of lung screening rates report a low baseline (<5%). Furthermore, despite being advantaged in other ways, such as with a more urban population or with higher incomes, the Medicaid expansion cohort does not demonstrate a higher growth rate. These findings suggest Medicaid expansion alone will not increase lung cancer screenings.

Keywords: Medicaid, Lung Cancer screenings, Utilization

## 1. Introduction

A principal objective of the Affordable Care Act (ACA) was to decrease the number of uninsured adults in America (1). Unique among the developed world, America still does not guarantee health insurance coverage for everyone (2). The ACA worked towards a goal of universal coverage through the expansion of Medicaid. Traditionally, this government-sponsored healthcare scheme covered children, pregnant women and adults with disabilities. Under Medicaid expansion, states could offer coverage to any previously uninsured adult with an income below 138% of the poverty line (3,4).

However, based on the Supreme Court's judgment on the Affordable Care Act, it is the prerogative of individual states to decide whether to participate in Medicaid expansion (5). As of July 2020, 13 states have still not adopted Medicaid expansion and two have yet to implement their program (6). Medicaid expansion is partly controversial because although extending coverage to the uninsured is generally agreed to be positive (with benefits that extend beyond health status), its effects on improving patient outcomes remain a source of debate.

To date, only two randomized controlled trials have examined the effects of extending health care benefits on patient outcomes, the RAND Corporation Health Insurance Experiment (1971-1986) and the Oregon Health Insurance Experiment (2008). Both studies demonstrated individuals provided health coverage did not always have better outcomes compared to the uninsured (7-11). Medicaid expansion's effects on the utilization of screening exams remain a matter of continued investigation with mixed results. Several studies have found an association between Medicaid expansion and increased mammography, cervical cancer screening and colorectal cancer screenings (12-13). However, other studies have not found a significant increase in screening rates (14-15). All of these studies relied on indirect means for assessing

screening utilization, most often through nationwide survey data. No study has yet assessed the association between Medicaid expansion and lung cancer screening.

Our study seeks to examine the association between Medicaid expansion under the Affordable Care Act and the growth rate of lung cancer screenings. In 2013, the United States Preventive Services Task Force (USPSTF) recommended annual screening with low dose CT among adults 55 to 80 years who have a 30 pack-year smoking history and currently smoke or have quit within the past 15 years (16). Despite the recommendation, lung cancer rates among eligible individuals remain exceedingly low compared to other preventative screening studies such as mammography and colonoscopies. For example, in 2015, it was estimated that only 3.9% of eligible individuals received a lung cancer screening versus 64% for mammography and 60% for endoscopy (17-18).

Furthermore, the Medicaid population represents a particularly high-risk group for lung cancer, with nearly double the rate of smoking compared to privately insured individuals (19). The Medicaid population has not recorded similar declines in smoking rates compared to the general population (20). The existence of a link between Medicaid expansion and increased lung cancer screening rates has not been studied (21-22). States which expand Medicaid are expected to record a lower uninsured population. We hypothesize that this improved insurance coverage will result in a higher growth rate in screenings compared to states that chose not to expand and continue to have a higher uninsured population. Underlying this faster growth would be improved access to the healthcare system among the previously uninsured.

## 2. Materials and Methods

### 2.1 Study Population

Because Medicaid expansion is a voluntary decision at the state level, the different decisions of various states provide an opportunity to compare the increase in the number of lung cancer studies among states that adopted Medicaid expansion (n=31) versus those that chose not to participate (n=17). We utilize the American College of Radiology Lung Cancer Screening Registry (LCSCR) to calculate the annual percentage change in lung cancer screenings for each state between 2016-2019, years for which a complete dataset is available. The LCSCR is unique in that it is a nationwide registry of accredited screening sites that perform lung cancer screenings. It was created in 2015, is publicly available and has been used to generate national estimates of lung screening coverage rates (23). The registry reports the total number of screening exams performed by each state. It also includes secondary measures such as the percentage of studies considered appropriate by USPSTF criteria. Of note, it does not contain data on the number of individuals eligible for a screening exam.

## 2.2 Data Collection and Analysis

Because the data used is anonymized and publicly available, this study did not require institutional review board approval. To determine volumes of lung cancer screenings, we recorded statewide totals of lung cancer screenings for each year between 2016-2019. Data was tabulated on Microsoft Excel 2016 (Microsoft Corporation, Redmond, Washington). The dataset was accessed on 20 July 2020. To control the screening volumes for the population of each respective state, we calculated the number of screenings per 1000 adult smokers. We calculated the number of adult smokers in each state using publicly available United States Census statewide population data tables from 2019 and the Centers for Disease Control Behavioral Risk Factor Surveillance System Survey Data on tobacco use (24-25).



The average yearly change in lung cancer screenings is calculated for both groups (adopting states and non-adopting states), and the difference between these two groups was evaluated for statistical significance via a student t-test. Based upon our hypothesis, the Medicaid expansion group was expected to demonstrate a higher rate of annual growth in lung cancer screenings.

The Medicaid expansion group would also be expected to record a higher increase in Medicaid coverage between 2013 and 2019 compared to the non-expansion group. In addition to comparing the growth rate for lung cancer screening exams between Medicaid expanding and non-expanding states, we conducted additional secondary analyses. We compared the percentage of lung cancer screening studies considered appropriate by USPSTF criteria for both groups. Additionally, a comparison of baseline socio-economic profiles between both groups of states was obtained, including: average income, rates and sources of insurance coverage, average percentage of the population considered white/non-Hispanic and the average percentage urban population. All of these baseline characteristics were also tested for statistical significance via a student t-test.

Data sources for the baseline socio-economic profiles of each group came from the Kaiser Family Foundation Statewide Population Distribution by Race/Ethnicity Tables (2018) and Kaiser Family Foundation Health Insurance Coverage Statewide Tables (2013, 2018, 2019). All of these datasets contain anonymized aggregate data and are publicly available for download (26-29).

Most states implemented Medicaid expansion on January 1st, 2014. However, some states adopted the expansion at a later date. During our examined period (2016-2019), Louisiana implemented Medicaid expansion in 2016 and Virginia did so in 2019 (6). For this study,

Louisiana is considered a Medicaid expander while Virginia is regarded as a non-adopter. Additionally, Wyoming, Utah and the District of Columbia are excluded from the study due to missing data in ACR Lung Cancer Registry. The states included in the Medicaid expansion (n=31) and non-expansion groups (n=17) are depicted in Figure 1.

In order to evaluate the initial impact of Medicaid expansion, we conducted a subset analysis involving the limited dataset from 2015. We calculated the average growth rate during this year among 23 Medicaid expanding and 16 non-expanding states. We performed this subset analysis in order to capture any initial growth in screenings immediately following Medicaid expansion in 2014.

### 3. Results

Demographic differences were identified between the Medicaid expanding and non-expanding states. Among the states that expanded Medicaid, there is a statistically significant higher urban population (76.5% versus 67.9%,  $p=0.05$ ) and higher average incomes (\$56,947, \$49,876,  $P<0.05$ ). The percentage of the population considered ethnic minorities is not different between both groups (Table 1). Between 2013-2019, the population covered by Medicaid increased by 21.1% in the expanding group versus -0.7% in the non-expanding group ( $p<0.001$ ). In 2018, the states in the non-expanding cohort had a higher uninsured population (10.6%) compared to the expanding cohort (6.6%) ( $p<0.001$ ). The Medicaid covered population was also higher in the expansion group (21.4% vs 17.1%,  $p<0.001$ ) (Table 2).

A comparison of Medicare coverage demonstrated no significant difference in the percentage of population covered by Medicare between expanding and non-expanding states, at 14.7 and 14.4% ( $P=0.6$ ). Additionally, between 2013-2018, there was no significant change in the proportion of the population covered by Medicare (+11.2, +11.2%,  $p=1.0$ ). Other sources of

insurance coverage, including private and military insurance did not significantly differ between both groups (expanding versus non-expanding; 57.3% and 57.4%,  $p=0.9$ ) (Table 2).

Our study consists of a total of 1,563,590 screenings. Figure 2 demonstrates a sustained increase in the volume of lung cancer screening performed for both groups between 2016-2019. Starting from a low base in 2016, both groups showed similar average annual increases in lung cancer screenings for the duration of this study (Figure 3). No yearly increase in screening exams was statistically significant ( $p=0.07, 0.9, 0.7$ ) for years 2016-2017, 2017-2018 and 2018-2019 respectively. Between 2016-2019 the average annual increase in screenings was 57.6 % for the Medicaid expanding group and 50.3% for the non-expanding group, an overall difference that is not statistically significant ( $p=0.5$ ) (Table 3). In the subset analysis involving the limited dataset from 2015, the average annual increase for the Medicaid expansion group ( $n=23$ ) was 510% versus 418% in the non-expanding group ( $n=16$ ), which is also not statistically significant ( $P=0.5$ ). Finally, during this study, the percentage of exams considered appropriate by USPSTF criteria was 89.6% in the Medicaid expansion group and 90.2% in the non-expanding group (Table 3). These results are not significantly different and did not vary throughout the examined time frame ( $p=0.7$ ).

#### 4. Discussion

We found no association between Medicaid expansion and increased volumes of lung cancer screenings between 2016-2019. However, the states that did expand Medicaid demonstrated a higher rate of insurance coverage compared to the non-expanders. Medicaid expansion, although associated with improved insurance coverage, is not associated with a faster rate of growth in lung cancer screenings.

The ACR LCSR contains aggregate data on the total number of screenings performed in each state, regardless of the insurance payor. Since lung cancer screenings include the population aged 65-80, Medicare would be the primary source of payment for that age group. Differences in the percentage of the population covered by Medicare in our two groups would also cause differences in the volumes of lung cancer screenings. However, our data demonstrates the percentage of the population covered by Medicare is the same between both groups and did not change during the study period. The percentage of the population covered by private insurance and military insurance also does not significantly vary between both groups.

It is possible that the Medicaid and uninsured population do not represent a significant portion of the eligible screening population. However, a 2015 estimate of the lung cancer screening population determined among all 6.8 million eligible smokers, a majority were either uninsured or Medicaid covered. Indeed, this eligible population was greater than that covered by Medicare or private insurance (30).

It is also important to note the variability in Medicaid coverage of lung cancer screenings. Twelve states require patients to pay for the screening exam for patients aged 55-64. Of these states, two were excluded from our study (Utah and Wyoming) and two were included in the Medicaid expanding group (Louisiana and Nebraska). The remaining eight states were all in the non-expansion group (31). This pattern would tend to favor the Medicaid expansion states. Some states also require pre-authorization before covering the exam (including CO, CT, IW, NY, NC, PA, VT, WA and WV in the Medicaid expanding cohort). However, even with barriers of pre-authorization or copayment, one would still expect insured patients to be more likely to receive a screening test than uninsured.

#### 4.1 Limitations

Given our study design, we cannot conclude with absolute certainty that Medicaid expansion does not increase lung cancer screenings. Our primary limitation is the absence of data prior to the implementation of Medicaid expansion (year 2013). Because the USPSTF recommendation was made in 2013, Medicaid expansion was primarily enacted in 2014 and the registry was created in 2015, such data is not available. We attempt to ameliorate the impact of this limitation in several ways. Based on previously published estimates of national lung cancer screening rates, we can assume a low national baseline in screening rates. Indeed, multiple studies report less than 5% of eligible individuals have ever received a lung cancer screening (23,32-34). Furthermore, our study can partly account for the 2015 year with a limited dataset that demonstrates no significant differences between both groups.

As an additional limitation, there are potentially unobservable differences that could impact lung cancer screenings rates. The Medicaid expanding cohort is favored with a more urban population which should increase the availability of local screening centers. It has been demonstrated that there is a disparity between urban and rural areas in the availability of lung cancer screening sites (35). Thus, the urban population in the expanding states might be expected to bias that group in favor of greater lung cancer screening. Medicaid expanding states are also favored with higher average incomes.

A strength of this study is that it draws on the data from the LCSR, allowing for unique analysis that draws on nationwide data for lung screenings and includes all insurance payers. Furthermore, it can assess the percentage of exams conducted that meets USPSTF criteria. The lack of difference in appropriate studies between both groups suggests providers act similarly when ordering lung cancer screening tests and are correctly reaching the eligible population.

## 5. Conclusion

Hence, even though Medicaid expansion states are already advantaged in other ways compared to the non-expansion group, we are still not able to identify an increased rate of growth in screening exams. This finding is reinforced in the setting of a low nationwide baseline screening rate and the lack of differences in provider practice between both groups. It is also remarkable compared to other screening exams which do demonstrate a higher screening rate between the Medicaid covered and uninsured population. As a comparison, the use of mammography screening has successfully increased from under 40% in 1987 to nearly 60% in 2003. This increase in screening has been achieved by a variety of public health initiatives that sought to increase the awareness of screening. For example, the National Breast and Cervical Cancer Early Detection Program first created in 1990 has successfully targeted high risk individuals for cervical and mammogram screenings. Furthermore, among the highest risk minorities, multifaceted interventions that incorporated same day mammography appointments, free screenings and transportation tend to report the highest increase in screening rates (18, 36-38).

Therefore, our study suggests Medicaid expansion alone is not likely to increase lung cancer screening rates. Other initiatives, such as public health awareness campaigns that specifically target the most at-risk individuals, may be necessary to improve the screening rate for this underutilized exam.

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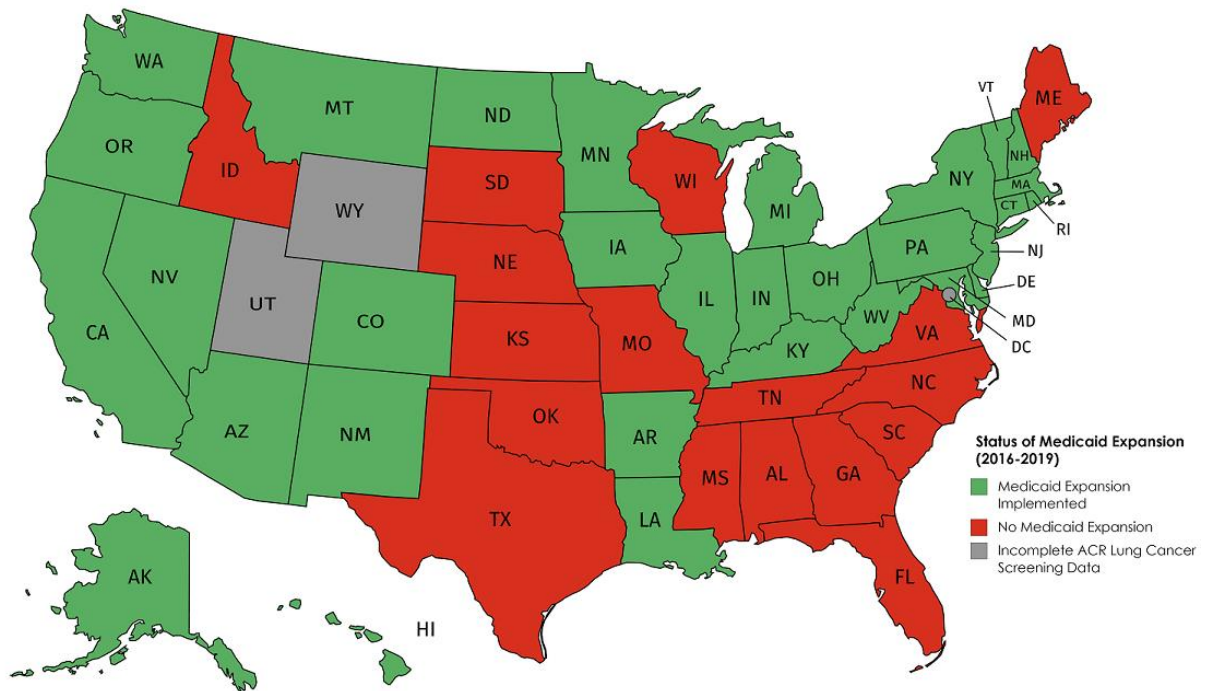


Figure 1

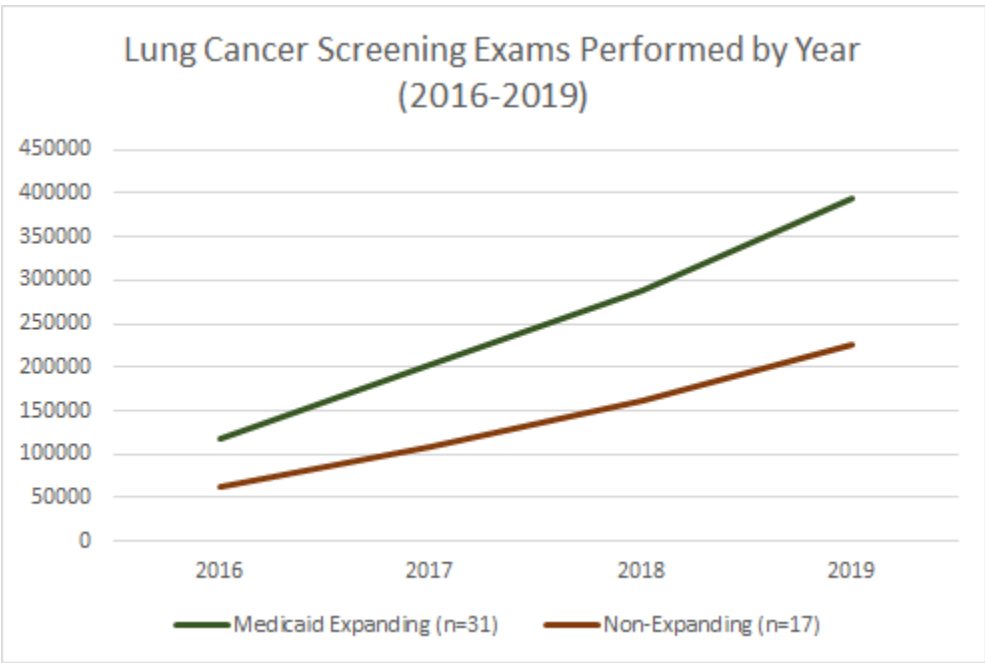


Figure 2

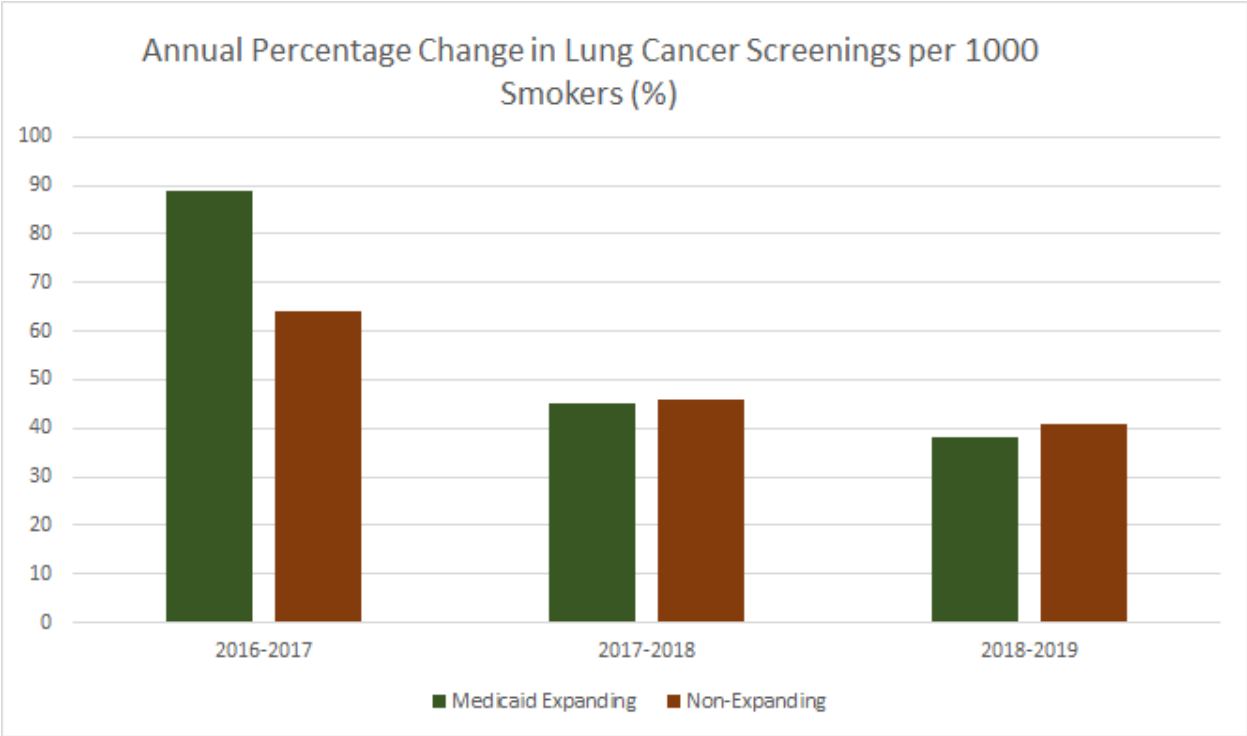


Figure 3

## Highlights

Lung cancer screening rates remain low nationwide, although the volume of screenings have rapidly increased in recent years.

Medicaid expansion is associated with improved insurance coverage, but not increased volumes of lung cancer screenings.

Medicaid expansion alone may not increase lung cancer screenings. Public health awareness campaigns may be needed to target the most at-risk individuals.

Figure 1: State Implementation of Medicaid Expansion

Figure 2: Annual Number of Lung Cancer Screening Exams Performed by Year (2016-2019)

Figure 3: Annual Percentage Change in Lung Cancer Screenings per 1000 Smokers (2016-2019)

	Number of Lung Screenings per 1000 Smokers				Average Income, 2019 (\$)	White Population, 2018 (%)	Urban Population, 2010 (%)	Population Covered by Medicaid (%)	
	2019	2018	2017	2016				2019	2013
Alabama	15	10	8	5	43880	66.0%	59	20%	19%
Alaska	14	10	6	2	62102	60.0%	66	21%	14%
Arizona	7	5	3	2	46233	54.0%	90	21%	19%
Arkansas	8	6	2	1	44845	72.0%	56	26%	21%
California	4	3	3	2	66661	37.0%	95	25%	20%
Colorado	9	6	6	3	61348	68.0%	86	17%	14%
Connecticut	27	23	17	9	79087	66.0%	88	22%	17%
Delaware	27	15	9	3	54264	62.0%	83	20%	20%
Florida	11	8	6	4	51989	53.0%	91	17%	17%
Georgia	15	11	7	4	48199	52.0%	75	17%	17%
Hawaii	8	5	5	3	57450	21.0%	92	18%	16%
Idaho	16	11	9	7	45642	82.0%	71	16%	15%
Illinois	13	9	6	3	58935	61.0%	89	18%	18%
Indiana	14	9	5	2	48657	79.0%	72	18%	16%
Iowa	19	13	9	5	52636	86.0%	64	20%	17%
Kansas	15	9	7	4	53453	76.0%	74	14%	13%
Kentucky	21	19	13	7	44017	85.0%	58	26%	18%
Louisiana	5	4	3	2	48008	59.0%	73	29%	22%
Maine	23	16	12	8	50950	93.0%	39	20%	23%
Maryland	18	15	12	4	65683	50.0%	87	19%	15%
Massachusetts	43	31	25	16	74967	71.0%	92	22%	22%
Michigan	18	14	9	6	50320	75.0%	75	22%	20%
Minnesota	17	13	9	5	59683	80.0%	73	17%	14%
Mississippi	9	6	4	3	39368	57.0%	49	24%	24%
Missouri	14	10	6	4	49589	80.0%	70	14%	15%
Montana	14	10	6	4	49074	86.0%	56	21%	15%
Nebraska	10	6	5	3	54871	79.0%	73	13%	13%
Nevada	3	2	2	1	50883	49.0%	94	18%	12%
New Hampshire	28	24	18	9	63880	90.0%	60	13%	11%
New Jersey	8	8	6	4	70979	55.0%	95	17%	14%
New Mexico	3	4	2	2	43984	37.0%	77	33%	25%
New York	14	9	7	4	71440	55.0%	88	26%	23%



North Carolina	17	13	8	4	47803	63.0%	66	18%	18%
North Dakota	17	11	14	9	57501	84.0%	60	12%	10%
Ohio	13	10	6	3	50546	79.0%	78	20%	17%
Oklahoma	3	3	2	1	47951	65.0%	66	17%	17%
Oregon	16	12	8	4	52937	75.0%	81	21%	17%
Pennsylvania	19	13	9	6	58775	76.0%	79	20%	17%
Rhode Island	30	13	16	12	56542	72.0%	91	21%	17%
South Carolina	12	9	5	3	45314	64.0%	66	19%	19%
South Dakota	16	13	11	6	53925	82.0%	57	13%	15%
Tennessee	13	9	5	3	48761	74.0%	66	20%	19%
Texas	4	3	2	2	52504	41.0%	85	16%	17%
Vermont	35	31	23	13	56691	93.0%	39	24%	25%
Virginia	16	10	7	4	60116	62.0%	76	14%	11%
Washington	15	9	5	3	64898	68.0%	84	20%	15%
West Virginia	6	5	3	1	42336	92.0%	49	27%	20%
Wisconsin	23	17	10	5	53583	81.0%	70	16%	17%
Medicaid Expanding (n=31)	16	12	9	5	56947	67.6%	76	21%	17%
Non-Medicaid Expanding (n=17)	14	10	7	4	49876	68.8%	68	17%	17%

**Table 1: Statewide Lung Cancer Screenings per 1000 Smokers and Background Socioeconomic Characteristics (US Census 2010 and 2019, Kaiser Family Foundation Statewide Distribution of Population by Race/Ethnicity 2018, Kaiser Family Foundation Statewide Insurance Tables 2013 and 2019, Bureau of Economic Analysis 2019)**

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**Sources of Insurance Coverage  
(% of Population Covered by  
Payor, 2018)**

	Private Insurance /Military	Medicare	Medicaid *	Uninsured**
Medicaid Expanding (n=31)	57.3	14.4	21.4	6.6
Non-Medicaid Expanding (n=17)	57.4	14.7	17.1	10.6

\*,\*\* P=<0.01

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**Table 2: Sources of Insurance Coverage (% of Population Covered by Payor, 2018) (Kaiser Family Foundation Statewide Insurance Tables, 2018)**

	<b>Average Annual Change in Lung Cancer Screening per 1000 Smokers (%)</b>		<b>Percentage of Studies Considered Appropriate by USPSTF Criteria (%)</b>
	Mean	Standard Deviation	Mean
Medicaid Expanding (n=31)	57.6	46.5	89.6
Non-Medicaid Expanding (n=17)	50.3	23.4	90.2

**Table 3: Average Annual Change in Lung Cancer Screening per 1000 Smokers and Percentage of Studies Considered Appropriate by USPSTF Criteria**

