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Response of green reflectance continuum removal index to the xanthophyll de-epoxidation cycle in Norway spruce needles

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Response of green reflectance continuum removal index to the xanthophyll de-epoxidation cycle in Norway spruce needles

Abstract

A dedicated field experiment was conducted to investigate the response of a green reflectance continuum removal-based optical index, called area under the curve normalized to maximal band depth between 511 nm and 557 nm (ANMBS11-557), to light-induced transformations in xanthophyll cycle pigments of Norway spruce [*Picea abies* (L.) Karst] needles. The performance of ANMBS11-557 was compared with the photochemical reflectance index (PRI) computed from the same leaf reflectance measurements. Needles of four crown whorls (fifth, eighth, 10th, and 15th counted from the top) were sampled from a 27-year-old spruce tree throughout a cloudy and a sunny day. Needle optical properties were measured together with the composition of the photosynthetic pigments to investigate their influence on both optical indices. Analyses of pigments showed that the needles of the examined whorls varied significantly in chlorophyll content and also in related pigment characteristics, such as the chlorophyll/carotenoid ratio. The investigation of the ANMBS11-557 diurnal behaviour revealed that the index is able to follow the dynamic changes in the xanthophyll cycle independently of the actual content of foliar pigments. Nevertheless, ANMBS11-557 lost the ability to predict the xanthophyll cycle behaviour during noon on the sunny day, when the needles were exposed to irradiance exceeding 1000 $\mu\text{mol m}^{-2} \text{s}^{-1}$. Despite this, ANMBS11-557 rendered a better performance for tracking xanthophyll cycle reactions than PRI. Although declining PRI values generally responded to excessive solar irradiance, they were not able to predict the actual de-epoxidation state in the needles examined.

Keywords

Chlorophyll to carotenoid ratio, continuum removal, excessive irradiance, leaf reflectance, spectral index, xanthophyll cycle pigments

Disciplines

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1 **Response of green reflectance continuum removal index to xanthophyll de-**
2 **epoxidation cycle in Norway spruce needles**

3

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16

17 **ABSTRACT**

18

19 A dedicated field experiment was conducted to investigate the response of a green
20 reflectance continuum removal-based optical index called Area under curve
21 Normalized to Maximal Band depth between 511-557 nm (ANMB₅₁₁₋₅₅₇) to light-
22 induced transformations in xanthophyll cycle pigments of Norway spruce (*Picea*
23 *abies* (L.) Karst) needles. Performance of ANMB₅₁₁₋₅₅₇ was compared with the
24 Photochemical Reflectance Index (PRI) computed from the same leaf reflectance
25 measurements. Needles of four crown whorls (5th, 8th, 10th and 15th counted from the
26 top) were sampled from a 27-year old spruce tree throughout a cloudy and sunny
27 day. Needle optical properties were measured together with the composition of the
28 photosynthetic pigments to investigate their influence on both optical indices.
29 Analyses of pigments showed that the needles of the examined whorls varied
30 significantly in chlorophyll content and also in related pigment characteristics, e.g.
31 chlorophyll/carotenoids ratio. The investigation of the ANMB₅₁₁₋₅₅₇ diurnal behavior
32 revealed that the index is able to follow the dynamic changes in the xanthophyll
33 cycle independently of the actual content of foliar pigments. Nevertheless, ANMB₅₁₁₋
34 ₅₅₇ lost the xanthophyll cycle behavior predictability during noontime of the sunny

35 day, when the needles were exposed to irradiance exceeding $1000 \mu\text{mol m}^{-2} \text{s}^{-1}$.
36 Despite of this, ANMB₅₁₁₋₅₅₇ rendered a better performance for tracking xanthophyll
37 cycle reactions than PRI. Although declining PRI values generally responded to
38 excessive solar irradiance, they were not able to predict actual de-epoxidation state in
39 examined needles.

40

41 KEYWORDS: continuum removal, chlorophylls to carotenoids ratio, excessive
42 irradiance, leaf reflectance, spectral index, xanthophyll cycle pigments

43

44 INTRODUCTION

45

46 Thermal energy dissipation through the xanthophyll cycle is a photoprotective
47 mechanism that was developed by plants to keep the delicate balance between
48 efficient light harvesting under limited irradiance and regulated energy dissipation
49 under excess irradiance (Adir *et al.*, 2003). The xanthophyll cycle involves the
50 enzymatic de-epoxidation of violaxanthin (V) to zeaxanthin (Z) via antheraxanthin
51 (A) and re-epoxidation of Z to V via A (Yamamoto, 1979; Demmig-Adams and
52 Adams, 2006). Under high irradiances, a high proton gradient across the thylakoid
53 lumen promotes the conversion of V into Z, whereas under low light intensities or
54 darkness the low thylakoid proton gradient induces the epoxidation of Z into V. The
55 photoprotective V-Z conversion lowers the energy level of the lowest excited singlet
56 state below that of chlorophyll *a* (Chl *a*), providing a sink for the excess excitation
57 energy (Frank *et al.*, 1994). Upon Z-Chl *a* enclosure, excess light energy is being
58 released in the process called non-photochemical quenching of Chl *a* fluorescence in
59 PSII (Krause and Weis, 1991). Z-Chl *a* enclosure is mediated through
60 conformational changes in photosystem II (PSII) and co-adjacent light harvesting
61 antennae complex (LHCII), which is also induced by thylakoid acidification.

62 The xanthophyll cycle engagement into photosynthesis regulation through excess
63 light energy dissipation in PSII has been widely documented, for instance by Pfündel
64 and Bilger (1994). Based on this finding, the Photochemical Reflectance Index (PRI)
65 was proposed as a physiologically based optical index responding to changes in the
66 xanthophyll cycle through fluctuations in 531 nm reflectance (Gamon *et al.*, 1992).
67 Measurements of individual leaves have demonstrated a significant PRI relationship
68 to the effective PSII quantum yield (ΦPSII), a fluorescence based indicator of PSII

69 light use efficiency (LUE), and also to LUE calculated from gas exchange
70 measurements in leaves of several species (Peñuelas *et al.*, 1995). A strong PRI
71 reduction was observed simultaneously with severe LUE reduction during midday in
72 long-living and slow-growing evergreens having a higher maximal capacity for
73 flexible thermal dissipation due to a higher VAZ pigment possession (Peñuelas *et al.*,
74 1995; Peguero-Pina *et al.*, 2008). On the other hand, several studies applying PRI at
75 canopy level showed that the relationship between photosynthetic efficiency and PRI
76 is inconsistent over time, likely due to the changes in foliar pigment content and
77 canopy architecture changing ratio between sunlit and shaded leaves (Barton and
78 North, 2001; Filella *et al.*, 2004). It has been shown that the degradation of foliar
79 chlorophylls generally reduces PRI as a result of the relative reflectance increase at
80 570 nm (Moran *et al.*, 2000; Nakaji *et al.*, 2006; Sims and Gamon, 2002). This PRI
81 dependency on foliage chlorophyll content and on the chlorophyll/carotenoids ratio
82 (Chl $a+b/$ Car $x+c$) was eventually used to track seasonal alterations in
83 photosynthetic activity (Filella *et al.*, 2009; Stylinski *et al.*, 2002).

84 We tested a potential use of continuum-removed reflectance of green wavelengths
85 for tracking the xanthophyll cycle dynamic in our previous laboratory experiments
86 with Norway spruce seedlings (Kováč *et al.*, 2012). The spectral index named Area
87 under curve Normalized to Maximal Band depth (ANMB) (Malenovský *et al.*,
88 2006b) was calculated from leaf reflectance spectra between 510–555 nm (borders of
89 wavelength interval \pm 3 nm). ANMB was found to follow alterations in the leaf
90 xanthophyll de-epoxidation state (DEPS), while staying insensitive to the actual
91 content of foliar pigments (i.e. total chlorophylls, Chl $a+b/$ Car $x+c$ ratio and VAZ
92 pool size). These results were obtained from the analysis of more than 200 spruce
93 needle reflectance measurements recorded after the acclimation of spruce seedlings
94 to controlled pre-defined microclimatic conditions inside the laboratory growth
95 chambers.

96 The main objective of this study is, therefore, to explore the behavior of the ANMB
97 index in the case of mature Norway spruce (*Picea abies* [L.] Karst.) trees that are
98 exposed to complex outdoor environmental conditions and forced to adapt fast to
99 different diurnal irradiation regimes. The field experiment focuses on investigating
100 the relationship between the xanthophyll cycle dynamic and both ANMB and PRI
101 under uncontrolled varying natural illumination conditions of a cloudy and a sunny
102 day.

103

104 MATERIAL AND METHODS

105

106 Plant material and experimental design

107

108 The experiment was conducted in the forest stand located at the Experimental
109 ecological site Bílý Kříž (Beskydy Mountains, 49°33'N, 18°32'E, NE of the Czech
110 Republic, 908 m a.s.l.). The experimental forest stand of 6.2 ha was planted in 1981
111 with 4-year old seedlings of *Picea abies* (L.) Karst. on a slope ranging from 11° to
112 16° with SSW exposition. The stand density was, at the time of the experiment,
113 about 1430 trees.ha⁻¹, with the hemi-surface leaf area index (LAI) equal to 9.5 m² m⁻²
114 with a standard deviation (STD) of ± 0.27 m² m⁻². The mean tree height was 13.4 m
115 (STD = ± 0.1 m).

116 The measurements were performed on two days in July 2008 with different sky
117 conditions, i.e. a prevailingly cloudy and a sunny day (Fig. 1). During the first
118 measurement day the diffuse-to-total irradiation ratio (DI; diffuse index) was mostly
119 above 0.7, whereas during the sunny periods of the second day it was less than 0.3
120 (Fig. 1B). The average microclimatic conditions during the three days preceding the
121 measurements were similar to those on the measurement day (data not shown).
122 Additionally, rather heavy rain was falling for those three days prior to the
123 measurements on the cloudy day (total three-day precipitation of 73 mm), whereas it
124 was not raining for four days prior to the measurements on the sunny day.

125 Needles of different types and age classes with S/SW orientation were selected for
126 the measurements: from the 5th whorl (counted from the apex top of the tree): 1-year
127 old shoots, from the 8th and 10th whorl: 2-year old shoots, and from the 15th whorl:
128 shoots older than 2 years. The measurement of the needle samples was performed
129 four times throughout the day, i.e. approximately at dawn – D (c. 5.00 GTM+1),
130 morning – M (c. 8.00 GTM+1), noon – N (c. 13.00 GTM+1), and afternoon – A (c.
131 18.00 GTM+1). Needle samples removed from the tree were partially measured for
132 their optical properties and partially stored in liquid nitrogen for the laboratory
133 pigment analysis. In an effort to capture the investigated daily dynamics as
134 accurately as possible, the needle optical properties were measured immediately after
135 removing the shoots from the tree. Arranging the needles in the carrier for the optical
136 property measurements took approximately 5 minutes. All measurements were

137 completed within 10 minutes after removing the needles from the shoot. Our
138 previous laboratory tests showed no significant change in DEPS of spruce needles
139 within 10 minutes after their detachment from the shoot (unpublished data).
140 Therefore, the processing time is considered to be short enough to prevent any
141 significant change in the leaf xanthophyll composition. Needles collected for the
142 pigment analysis were weighted and their projected area was acquired using a digital
143 table scanner. To readapt to the irradiation conditions before their collection, for
144 following 10 minutes they were exposed to irradiation of the same intensity as
145 recorded during the *in-situ* measurement with LI-190 (Li-Cor, Lincoln, NE, USA)
146 quantum sensor (Fig. 1CD). Light-adapted needles were stored frozen in liquid
147 nitrogen until they were processed for pigment analysis in the laboratory.

148

149 **Reflectance measurements**

150

151 Since coniferous leaves are small and narrow objects, Daughtry's method described
152 in Mesarch et al. (1999) and adjusted for narrow and short Norway spruce needles by
153 Malenovský et al. (2006a) was applied to measure the leaf optical properties. The
154 determination of spruce needle reflectance was based on the comparison of the total
155 sample reflectance flux (R_{TOTAL}) against the reflectance of a $BaSO_4$ reference panel
156 (R_{REF}), both measured separately inside an integrating sphere LI-1800-12 (Li-Cor,
157 USA) coupled with a field spectroradiometer ASD FieldSpec-3 (ASD Inc., Colorado,
158 USA). The spruce needle directional-hemispherical reflectance (R) between 400–
159 1100 nm, with a wavelength interval of 1 nm, was calculated according to the
160 equation:

$$161 \quad R = \frac{R_{TOTAL} / R_{REF}}{1 - GF}, \quad (\text{Eq. 1})$$

162 where GF is the gap fraction, i.e. the fraction of the air gaps between the needles of
163 the sample measured in reflectance mode. To obtain the GF of illuminated needles,
164 the sample holder with needles inside was placed in a conventional double lamp table
165 scanner and an image of area illuminated during the reflectance measurement was
166 acquired. The determination of the GF was done by dividing the number of pixels of
167 all the gaps between the needles by the number of pixels of the illuminated
168 (measured) area in an image processing software. The scanned needles were not used
169 for any further analysis. The GF values of the measured samples ranged from 0.2 to

170 0.3. Finally, the PRI was calculated from the leaf reflectance (R_s) of two wavelengths
171 ($\lambda \sim 531$ and 570 nm) as $PRI = (R_{531} - R_{570}) / (R_{531} + R_{570})$.

172

173 **Optical index ANMB₅₁₁₋₅₅₇**

174

175 The Area under curve Normalized to Maximal Band depth between 511-557 nm
176 (ANMB₅₁₁₋₅₅₇) is an optical index based on a the mathematical transformation of
177 reflectance absorption features called continuum removal (Broge and Leblanc, 2001;
178 Kokaly and Clark, 1999). The detailed description of ANMB index design can be
179 found in Malenovský et al. (2006b) and recently also in Kováč et al. (2012). The
180 ANMB₅₁₁₋₅₅₇ calculation consists of two consecutive steps. In the first, the Area
181 Under Curve of continuum-removed reflectance between 511 and 557 nm (AUC₅₁₁₋
182 ₅₅₇) is calculated according to the equation:

$$183 \quad AUC_{511-557} = \frac{1}{2} \sum_{i=1}^{n-1} (\lambda_{i+1} - \lambda_i) (R_{CR(\lambda_{i+1})} + R_{CR(\lambda_i)}), \quad (\text{Eq. 2})$$

184 where $R_{CR(\lambda_i)}$ and $R_{CR(\lambda_{i+1})}$ are the continuum-removed reflectance values of the
185 spectral bands at the wavelengths λ_i and λ_{i+1} located within the spectral interval 511
186 – 557 nm (spectral resolution of 1 nm), and n is the number of spectral bands, which
187 is, in this case, equal to 47,

188 In the second, the ANMB₅₁₁₋₅₅₇ index is computed as the ratio of AUC₅₁₁₋₅₅₇ and a
189 maximal band depth of the continuum-removed reflectance between 511-557 nm
190 (MBD₅₁₁₋₅₅₇):

$$191 \quad ANMB_{511-557} = \frac{AUC_{511-557}}{MBD_{511-557}}. \quad (\text{Eq. 3})$$

192 The reflectance of the selected wavebands is influenced by the xanthophyll cycle
193 pigments conversion, which was first detected and reported as leaf reflectance
194 fluctuation at 526 nm by Gamon et al. (1997). Making use of several bands of the
195 green spectral region combined within the ANMB₅₁₁₋₅₅₇ index was found to be yet
196 another efficient way how to retrieve information on the rate of xanthophyll de-
197 epoxidation (Kováč *et al.*, 2012).

198

199 **Foliar pigment analysis**

200

201 The light adapted needle samples, transported frozen in liquid nitrogen to the
202 laboratory, were homogenized in 80% acetone with a small amount of $MgCO_3$, and
203 centrifuged (480 rpm) at room temperature for 3 min. The contents of chlorophyll *a*
204 (Chl *a*), chlorophyll *b* (Chl *b*) and total carotenoids (Car $x+c$) in the supernatant were
205 determined spectrophotometrically (UV/VIS 550, Unicam, Cambridge, UK) from
206 absorbances measured at 470, 646.8, 663.2, and 750 nm according to the equations
207 presented by Lichtenthaler (1987). Chl $a+b$ and Car $x+c$ contents were expressed per
208 unit needle area that was estimated via scanned digital images of samples analyzed
209 by the Cernota software (Kalina and Slovák, 2004). The relative amounts of the
210 xanthophyll cycle pigments, i.e. antheraxanthin (A), violaxanthin (V), and
211 zeaxanthin (Z), were obtained from HPLC pigment analyses (Kurasová *et al.*, 2003).
212 The conversion factors for contents of the individual carotenoids (i.e. the pool of the
213 xanthophyll cycle pigments; VAZ) and chlorophylls were applied according to
214 Färber and Jahns (1998). The conversion state of the xanthophyll cycle pigments (i.e.
215 de-epoxidation state; DEPS) was calculated according to Gilmore and Björkman
216 (1994) as:

217

$$218 \quad DEPS = [A + Z] / [V + A + Z]. \quad (Eq. 4)$$

219

220 **Statistical data analysis**

221

222 Statistically significant differences of means were tested using a two-sample F-test
223 for variances, followed by a Student's t-test with the level of significance $P < 0.05$.
224 Based on the results of the F-test, a t-test, assuming either equal or unequal
225 variances, was applied.

226 The determination coefficient (R^2) was computed to express the variation percentage
227 of a dependent variable explained by an established regression to the independent
228 variable. The significance of the statistical model was tested at probability levels $P <$
229 0.05 , $P < 0.01$, and $P < 0.001$, using the analysis of variance (ANOVA).

230 All calculations and tests were conducted in the R mathematical-statistical
231 programming environment (R Development Core Team 2010).

232

233 **RESULTS AND DISCUSSION**

234

235 **Composition of photosynthetic pigments in needle samples during sunny and**
236 **cloudy days**

237

238 Plant material collected from each level of the spruce crown differed in the
239 morphometric parameter specific leaf area (SLA). On both experimental days, the
240 highest SLA values were observed for needles of the 10th whorl, and the lowest for
241 needles of the 5th and the 8th whorls (Fig. 2A, $P < 0.05$).

242 The total chlorophyll content (Chl $a+b$) in needles collected from each crown level
243 during the sunny and cloudy day varied within the range shown in figure 2B. Chl
244 $a+b$ of the 5th and 8th whorl sampled on the sunny day was in average about 0.05 g
245 m^{-2} higher than Chl $a+b$ examined on the cloudy day ($P < 0.05$). This difference
246 increased the Chl $a+b/Car\ x+c$ ratio in needles of the 5th whorl on the sunny day
247 (Fig. 2D, $P < 0.05$), whereas the Chl $a+b/Car\ x+c$ ratio in needles of the 8th whorl
248 remained within the original range of the cloudy day. Similarly, needles from mostly
249 shaded lower levels of the crown (10th and 15th whorl) did not exhibit significant Chl
250 $a+b/Car\ x+c$ differences between both experimental days (Fig. 2D). Their Chl
251 $a+b/Car\ x+c$ ratios are consistent with the previous finding of (Sarijeva *et al.*, 2007)
252 suggesting that Chl $a+b/Car\ x+c$ ratio of shaded leaves is higher due to the higher
253 LHCII possession.

254 The pool size of the xanthophyll cycle pigments (VAZ) follows clearly the sun-to-
255 shade crown gradient, being larger in sunlit and smaller in shaded leaves (Fig. 2C, P
256 < 0.05). The statistically significant difference highlights the importance of the
257 xanthophyll cycle for photoprotection in each particular needle type (Demmig-
258 Adams, 1998). In sunlit needles, VAZ/Chl $a+b$ is similar for needles sampled on the
259 sunny day despite the increase in Chl $a+b$. Although, not being statistically different,
260 the VAZ pool in needles of the 5th whorl increased slightly on the sunny day
261 compared to the pool on the cloudy day.

262

263 **Dynamic conversions of the xanthophyll cycle pigments during the cloudy and**
264 **sunny day**

265

266 At dawn (D) of both days the needle DEPS of all whorls was nearly the same, i.e.
267 around 15% (Fig. 3). As expected and observed previously (Demmig-Adams *et al.*,
268 1999), zeaxanthin reached the maximal conversional state during noon of both sky

269 conditions. The highest conversional rate of xanthophyll cycle pigments was found
270 on the sunny day in sunlit needles of the 5th and 8th whorl (Fig. 3B). In this part of the
271 crown, DEPS in the morning (M) of the sunny day reached values around 60-70%.
272 DEPS between 70-75% was peaking at noon (N) under the irradiance of about 1000
273 $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$. In the late afternoon (A), the DEPS dropped down to 25% and 45% in
274 needles of the 5th and 8th whorl, respectively.

275 A relatively low noon DEPS of 39.3% in needles of the 5th whorl after a relatively
276 clear sky window between 9:30 am and 11:30 am of the cloudy day (Fig. 1A) is
277 comparable with morning DEPS of 34.1% (Fig. 3A). The DEPS did not increase
278 significantly or quickly relaxed close to the morning state. Either way, it did not
279 persist at a high level as usually observed after a high solar illumination in conditions
280 of an additional stress, e.g. intensive drought (Baraldi *et al.*, 2008). This suggests that
281 during our experiment the examined tree was not stressed by any other
282 environmental factor but high irradiance. At noon of the cloudy day the DEPS of
283 56% in needles of the 8th whorl (Fig. 3A) was accompanied by a low possession of
284 VAZ pigments. In this particular case, the Chl *a+b* of 8th whorl needles was 2%
285 lower compared to that in 5th whorl needles, and the VAZ/Chl *a+b* ratio of 5th whorl
286 needles was 12% higher ($P < 0.05$) than that in needles of the 8th whorl. Finally, for
287 both days the DEPS diurnal patterns of 10th and 15th whorl needles were similar to
288 that observed in sunlit needles of the 5th and 8th whorl, but with a lower VAZ
289 conversional state (Fig. 3).

290

291 **Photochemical Reflectance Index (PRI)**

292

293 Mean reflectance spectra collected for needles of all four whorls investigated during
294 both experimental days are shown in Fig. 4. Standard error bars indicate the
295 reflectance variability at wavelengths of 550 nm and 800 nm. Shape and amplitude
296 of the needle reflectance signatures indicate systematic changes in foliar pigments
297 and also in geometrical and structural needle characteristics as previously observed
298 by Malenovský *et al.* (2006b). Even though pigment analyses were not performed on
299 plant material used for optical measurements, the causal correspondence that can be
300 observed between the visible and near infrared reflectance in Fig. 4 and pigment
301 content and SLA measurements in Fig. 2 displays a good representation of spectral
302 signatures for each crown level.

303 Diurnal courses of the PRI index computed from needle directional-hemispherical
304 reflectance of four investigated whorls acquired during the cloudy and sunny day are
305 shown in Fig. 5. PRI values are positive in most cases, which is in accordance with
306 the results reviewed in Garbulsky et al. (2011). Negative PRI values were typically
307 reported for leaves under strong light stress, which induces photoprotective reactions
308 resulting in low light use efficiency. In our experiment these would be needles of the
309 5th and 8th whorl in late morning, noon, and afternoon on the sunny day. PRI was,
310 however, reaching negative values only for needles of the 8th whorl at noon, for the
311 remaining needle samples it was close to zero, but positive. Also, expected daily
312 changes in PRI due to the increasing solar irradiation are not obvious (Fig. 5). This
313 can be explained by the fact that PRI values are not functionally dependent only on
314 xanthophyll de-epoxidation, but also on the actual pool of carotenoids and
315 chlorophylls (Sims and Gamon, 2002). Results in Fig. 6 show that our PRI values are
316 in general lower for foliage experiencing a stronger light with the photosynthetic
317 photon flux density (PPFD) reaching or exceeding $1000 \mu\text{mol m}^{-2} \text{s}^{-1}$ (i.e. 5th and 8th
318 whorl on the sunny day) and having a lower Chl $a+b$ / Car $x+c$ ratio (Cheng *et al.*,
319 2012). Higher PRI values can indicate either medium DEPS combined with a lower
320 Chl $a+b$ / Car $x+c$ ratio or low DEPS combined with a higher Chl $a+b$ / Car $x+c$ ratio
321 (compare Fig. 3 and Fig. 6). Consequently, the interpretation of the PRI values
322 measured under PPFD below $300 \mu\text{mol m}^{-2} \text{s}^{-1}$ (i.e. all whorls on the cloudy day and
323 the 10th and 15th whorl on the sunny day; Fig. 1.) is ambiguous due to the differences
324 in pigment content. This ambiguity may also explain an insignificant regression
325 relation that we observed between the PRI and DEPS measurements (results not
326 shown).

327

328 **ANMB₅₁₁₋₅₅₇ index**

329

330 ANMB₅₁₁₋₅₅₇ was designed as the ratio of the area under continuum-removed
331 reflectance between 511-557 nm (AUC₅₁₁₋₅₅₇) and the depth of this feature (MBD<sub>511-
332 557</sub>) (Eq. 3). Although AUC₅₁₁₋₅₅₇ carries valuable information about reflectance
333 losses caused by xanthophyll de-epoxidation, it is also influenced by the fluctuation
334 of green reflectance due to the varying chlorophyll pigments composition and mass
335 (Fig. 4). Consequently AUC₅₁₁₋₅₅₇ and also MBD₅₁₁₋₅₅₇ do not exhibit a strong
336 dependency on DEPS (Fig. 7), but being shaped by similar driving forces their ratio

337 is able to eliminate the undesirable chlorophyll influence and to emphasize a tiny
338 xanthophyll de-epoxidation signal carried by $AUC_{511-557}$. Fig. 8 shows clearly the
339 systematic difference between $AUC_{511-557}$ normalized by $MBD_{511-557}$ for DEPS equal
340 to 13.5, 34.1 and 71.6%. Main advantage of the ANMB method is in avoidance of
341 the reflectance at 570 nm, which has been identified as the cause of PRI instability
342 by Moran et al. (2000) and later by Nakaji et al. (2006). As illustrated in Fig. 6, the
343 PRI values are dependent on changes in leaf Chl $a+b$ and Car $x+c$ pigment pools,
344 which are responsible for variations in leaf reflectance at 570 nm.

345 Diurnal changes of the $ANMB_{511-557}$ index during both experimental days are
346 displayed in Fig. 9. Graphs are showing that the $ANMB_{511-557}$ values of the 5th, 8th,
347 and 10th whorl needles follow the alterations in xanthophyll's DEPS (Fig. 3) during
348 the cloudy day as well as in the case of the 10th whorl needles sampled on the sunny
349 day. Needles of the 15th whorl, however, do not show the diurnal pattern of
350 $ANMB_{511-557}$ following temporal changes in DEPS, which might be caused by their
351 low content of xanthophyll cycle pigments (VAZ) in general (see Fig. 2C). Similarly,
352 no relation to DEPS was found for the needles of the 5th and 8th whorl on the sunny
353 day due to the strong outlying deviation in $ANMB_{511-557}$ diurnal behaviour observed
354 at noontime.

355 These results confirm, in general, our previous findings showing the ability of the
356 ANMB index to assess the dynamics of the xanthophyll cycle in needles of spruce
357 seedlings kept under controlled and systematically varied environmental conditions
358 (Kováč *et al.*, 2012). Contrary to this laboratory study, the $ANMB_{511-557}$ field
359 measurements are deviating from the expected diurnal course in the case of sunlit
360 needles (the 5th and 8th whorl) during noontime of the sunny day. Although PPFD of
361 both experiments reached up to $1000 \mu\text{mol m}^{-2} \text{s}^{-1}$ (Fig. 1D), the field ANMB values
362 suddenly increased due to the unexpected drop in $MBD_{511-557}$. At this stage, we have
363 no indication explaining this mismatching ANMB behavior. Based on the findings of
364 previous studies we assume that other physiological processes regulating the plant's
365 photosynthetic capacity, e.g. non-assimilatory electron transport (Munekaga *et al.*,
366 2004) or photorespiration (Kangasjarvi *et al.*, 2012), may interfere with indicative
367 ability of $ANMB_{511-557}$. The fact that the cause is at this point unknown suggests that
368 follow-up experiments focusing on plant physiological differences between both
369 experiments are needed to investigate this phenomenon.

370

371 **Significance of the ANMB₅₁₁₋₅₅₇ relationship to xanthophyll changes**

372

373 Despite the fact that most of the needle ANMB₅₁₁₋₅₅₇ and DEPS measurements do
374 share similar diurnal patterns, a linear regression between ANMB₅₁₁₋₅₅₇ and DEPS of
375 the 5th, 8th, and 10th whorl needles was found to be insignificant. Statistically
376 significant negative regressions were found only for values of the first two whorls
377 corresponding to DEPS between 13% and 72% (i.e. between low and moderate
378 PPFs, measurements acquired at noon of the sunny day were excluded), the
379 coefficient of the determination $R^2 = 0.61$ ($P < 0.001$), and of the 10th whorl, the
380 coefficient of the determination equal to 0.63 ($P < 0.05$). The fact that these
381 significant dependencies were found in needles with a considerable variability in Chl
382 $a+b$ and Chl $a+b/Car\ x+c$ (Fig. 2) suggests that ANMB₅₁₁₋₅₅₇ is independent of the
383 apparent content of foliar pigments. On the other hand, we noticed that an increase in
384 ANMB₅₁₁₋₅₅₇ of needles from the 8th whorl on the cloudy day corresponds with the
385 increase in sample SLA (compare Fig. 2A with Fig. 8). An explanation of this
386 correlation can be found in reflectance measurements, which were corrected for the
387 air gap fraction between measured needles. This correction is less accurate in the
388 case of small sized and strongly arched spruce needles (i.e. low SLA). Therefore,
389 statistically higher SLA for the needles from the 10th whorl compared to the needles
390 of the 8th and 5th whorl might be the reason why our ANMB₅₁₁₋₅₅₇ values originating
391 from different crown levels are incomparable, even though they correspond with
392 similar DEPS. The influence of SLA on leaf ANMB₅₁₁₋₅₅₇ of broadleaf plants and
393 coniferous species with long bifacial needles (e.g. pines) is expected to be negligible.
394 Finally, we acknowledge that the extension of our sampling scheme, that would
395 ensure full representation of the canopy heterogeneity, might help us to clarify the
396 inconsistencies found between the optical indices and DEPS.

397

398 **Perspective of the introduced ANMB₅₁₁₋₅₅₇ index**

399

400 Our experiment suggests that PRI may not be the most efficient diurnal indicator of
401 xanthophyll cycle photoprotection. The variations of Chl $a+b/Car\ x+c$ in needles
402 measured are discussed as possible cause of low PRI performance in tracking DEPS
403 of each crown level examined. A number of studies also pointed out that canopy PRI
404 is dependent upon sensor viewing geometry (Hilker *et al.*, 2008; Middleton *et al.*,

405 2009). PRI values are higher when more shaded foliage with higher Chl $a+b$ / Car
406 $x+c$ and less soil contamination is being observed (Cheng *et al.*, 2010). Taking into
407 account only sunlit leaves leads to an underestimation of canopy PRI, relatively to
408 the actual photosynthesis performance (Goerner *et al.*, 2011). Realizing that
409 combining information from strongly photosynthetically down-regulated sunlit and
410 less down-regulated shaded foliage is essential for correct canopy PRI interpretation,
411 Hilker *et al.* (2010) investigated PRI dependency on sensor viewing geometry and
412 proposed a multiangular observation algorithm estimating the light use efficiency
413 across different biomes. Although Malenovský *et al.* (2013) recently demonstrated
414 independency of a continuum removal based optical index on canopy leaf area index,
415 the newly proposed ANMB₅₁₁₋₅₅₇ index computed for vegetation canopies observed
416 under different sensor viewing angles will need a similar treatment. Nevertheless,
417 being insensitive to the changes in Chl $a+b$ and Car $x+c$ pigments' composition, the
418 ANMB₅₁₁₋₅₅₇ index is expected to provide more accurate estimation of the actual
419 stress response to solar irradiation at the canopy scale. The first step in this direction
420 is improvement of the index performance for irradiances with PPFD exceeding 1000
421 $\mu\text{mol m}^{-2} \text{s}^{-1}$. The second step should take ANMB₅₁₁₋₅₅₇ through a multi-criterion
422 sensitivity analysis investigating its applicability for canopies of different structural
423 complexity and for airborne and space borne observations of different spectral,
424 spatial and temporal specifications.

425

426 CONCLUSIONS

427

428 In this study we investigated in the field, i.e. under natural environmental conditions,
429 the performance of a new spectroscopy indicator for a rapid assessment of the
430 xanthophyll cycle state of plant leaves. Our results demonstrate the possibility to
431 track the xanthophyll de-epoxidation reactions in Norway spruce needles using the
432 leaf reflectance continuum removal optical index termed Area under curve
433 Normalized to Maximal Band depth between 511-557 nm (ANMB₅₁₁₋₅₅₇). Among all
434 examined leaf characteristics, differences in the chlorophyll content and the Chl
435 $a+b$ /Car $x+c$ ratio varied most significantly when comparing sun and shade adapted
436 needles. None of them was, however, found to disturb the indicative ability of
437 ANMB₅₁₁₋₅₅₇. The analysis of the ANMB₅₁₁₋₅₅₇ dependence on DEPS proved that the
438 index can follow the photoprotective xanthophyll changes in plant leaves during the

439 cloudy day. ANMB₅₁₁₋₅₅₇ was, nevertheless, unable to capture the decreasing DEPS
440 trend that occurred at noon of the sunny day, i.e. under the actual irradiation above
441 1000 $\mu\text{mol m}^{-2} \text{s}^{-1}$. This ANMB₅₁₁₋₅₅₇ deviation has not been explained, but it is
442 expected to be associated with an enhanced need for plant intensive photoprotection.
443 The observed spectral deviation in ANMB₅₁₁₋₅₅₇ diurnal behaviour may affect the
444 current concept of deriving light use efficiency (LUE) from reflectance data in
445 general (Garbulsky *et al.*, 2011), and should therefore be further investigated. Also,
446 the improvement of ANMB₅₁₁₋₅₅₇ performance and its potential use for estimating
447 leaf or even canopy LUE remain objective of follow up studies.

448

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450

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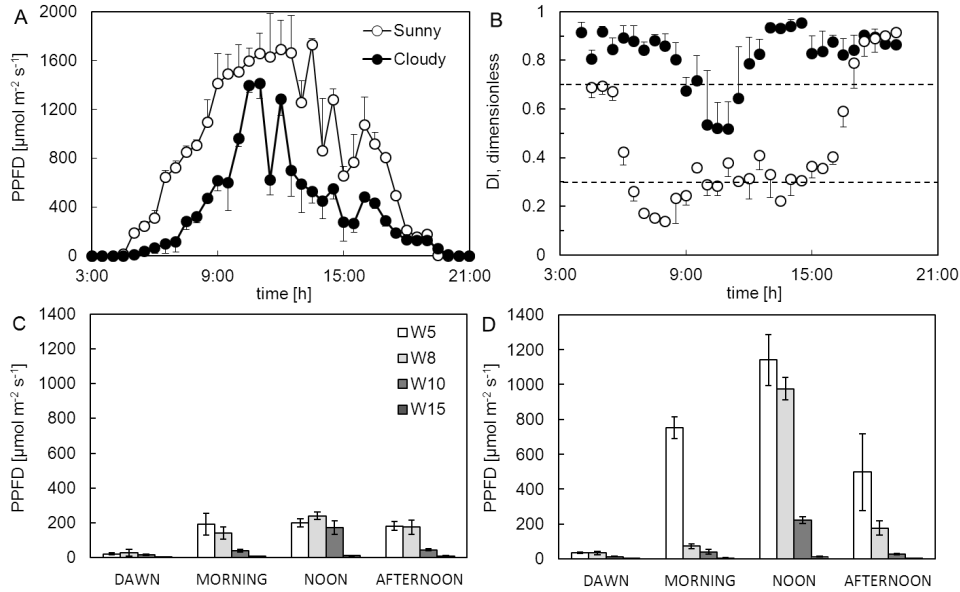


Fig. 1 Diurnal course of (A) photosynthetic photon flux density (PPFD) during investigated cloudy and sunny days as recorded by the quantum sensor LI-190SA (Li-Cor, USA) placed above the canopy, and (B) diffuse index (DI). The mean (dots) and standard deviations (error bars) of 30-min intervals are presented. Light environment at the level of the 5th (W5), 8th (W8), 10th (W10) and 15th (W15) whorl during the time of measurement on the (C) cloudy day and (D) sunny day as recorded by the LI-190SA sensor. Presented as mean and standard deviations of three measurements performed.

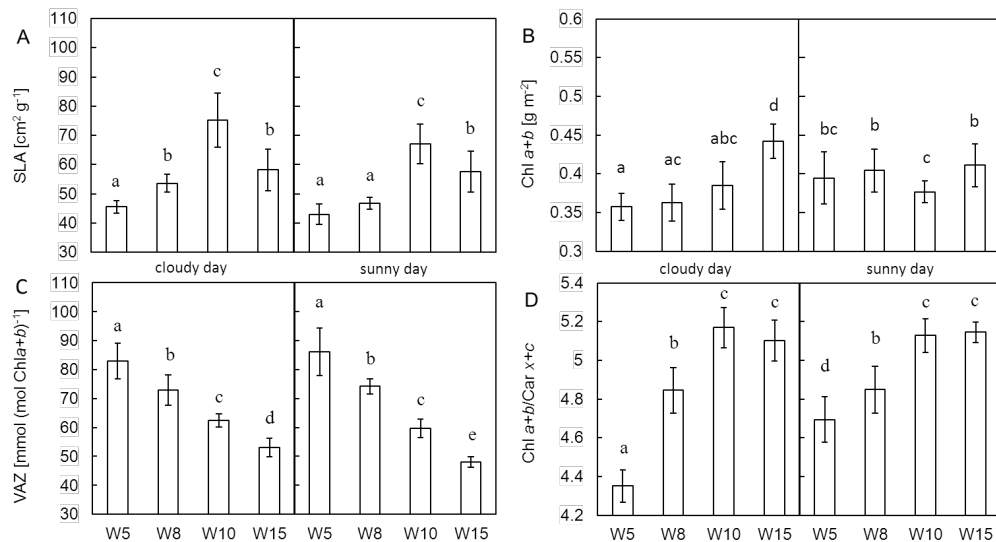


Fig. 2 Difference in (A) specific leaf area (SLA), (B) amount of chlorophyll *a+b* per unit leaf area, (C) content of xanthophyll cycle pigments (VAZ) per total chlorophyll amount, and (D) ratio of total chlorophylls to total carotenoids (Chl *a+b*/Car *x+c*) in needles within each examined level (whorl – W5, W8, W10, W15) of spruce crowns. Values presented show means \pm standard deviation (vertical bars) of data measured during the cloudy day (left column) and sunny day (right column). Data followed by the same letter indicate a non-significant statistical difference ($P < 0.05$; Student's *t*-test) ($n = 20$).

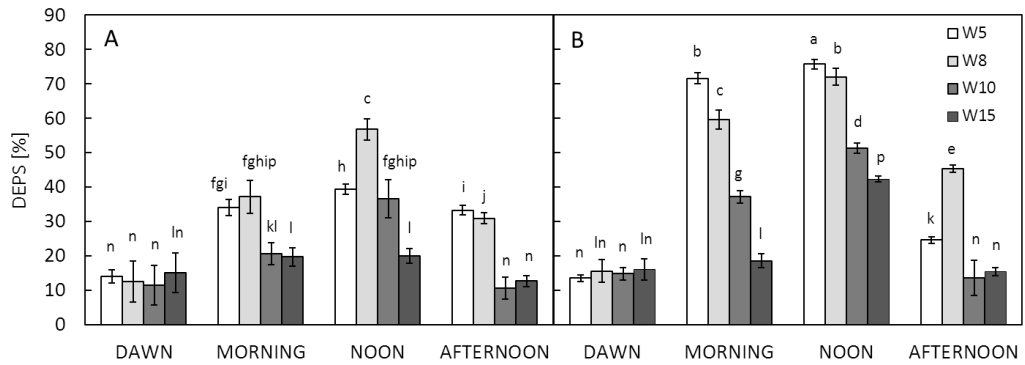


Fig. 3 Diurnal changes in de-epoxidation state of xanthophyll cycle pigments (DEPS) in needles from the 5th, 8th, 10th, and 15th whorl (W) on (A) the cloudy day and (B) the sunny day. Means (columns) and standard deviations (vertical bars) are presented (n = 5). Data followed by the same letter indicate statistically non-significant differences (P > 0.05; Student's t-test).

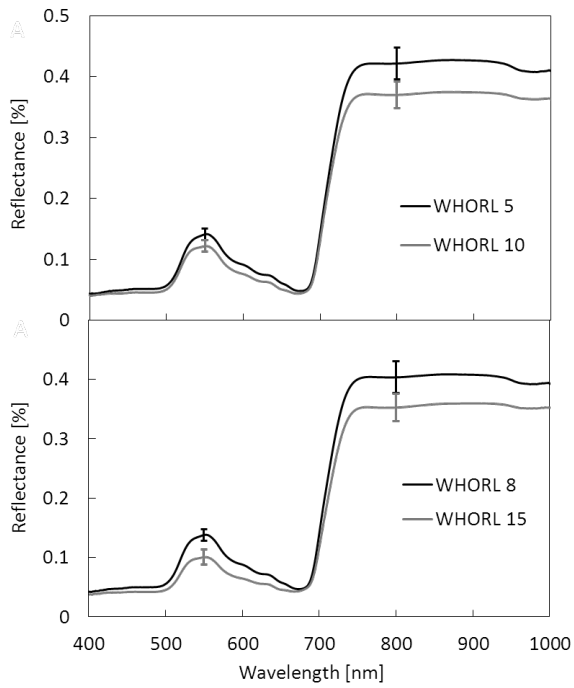


Fig. 4 Reflectance spectra of spruce needle samples measured during both experimental days within each crown level. The curve indicates the mean of 24 needle measurements performed for each whorl during both days; error bars indicate two-sided standard deviations in reflectance at 550 nm and 800 nm.

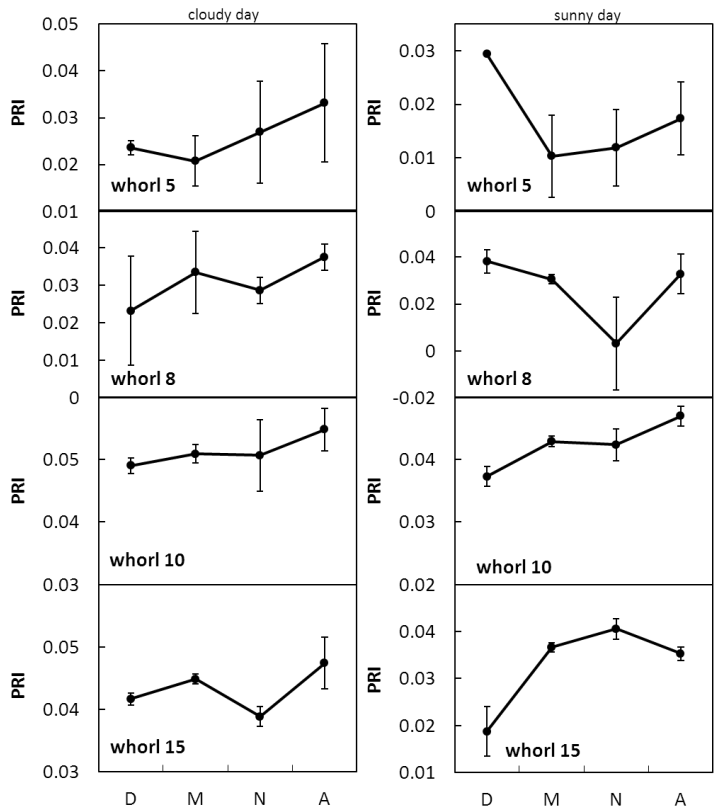


Fig. 5 Diurnal course of the PRI index calculated from needle reflectance measurements on needles from the 5th, 8th, 10th, and 15th whorl during the cloudy and sunny day. Each dot represents the mean of three measurements performed per whorl and time and vertical bars show \pm standard deviation. Abbreviations: D ~ dawn, M ~ morning, N ~ noon, and A ~ afternoon.

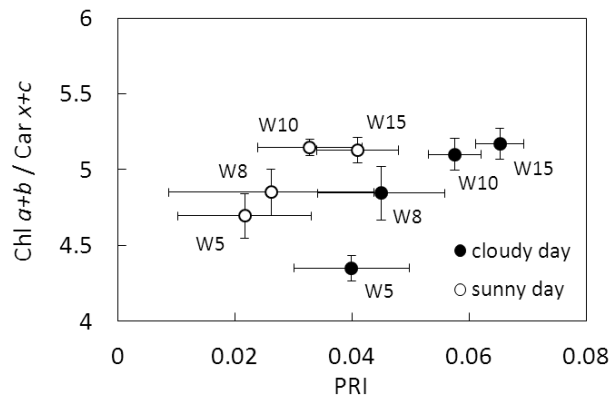


Fig. 6 Relationship between PRI values retrieved from 12 reflectance measurements of four investigated crown whorls (5th, 8th, 10th and 15th whorl from crown top) and Chl $a+b$ /Car $x+c$ ratios of these needles as averaged from 20 measurements conducted on the cloudy and sunny day. Error bars indicate the measurement standard deviation.

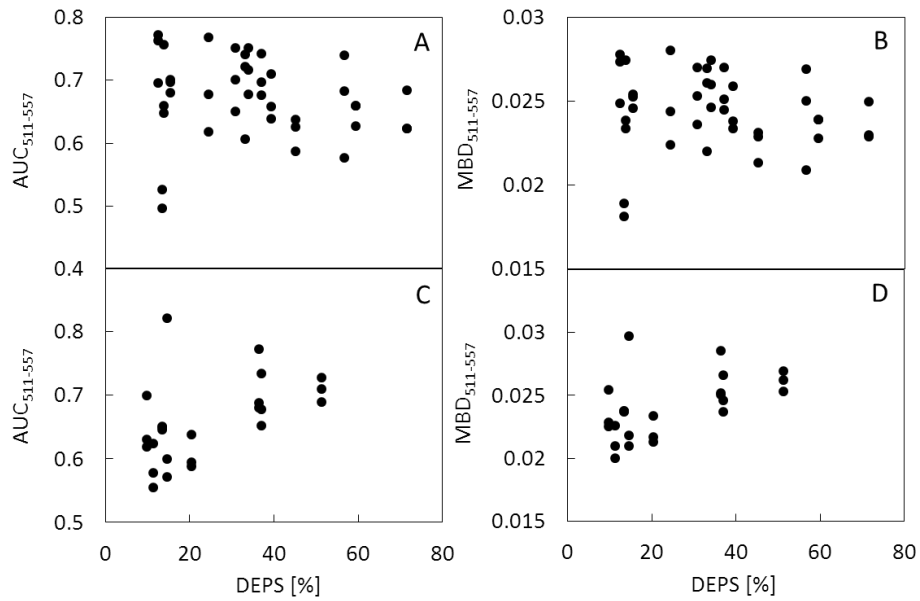


Fig. 7 Relationship between the de-epoxidation state of xanthophyll cycle pigments (DEPS) and Area Under Curve (AUC) and Maximal Band Depth (MBD) of continuum removed reflectance of the 5th and 8th whorl needles (A and B, n=42) and the 10th whorl needles (C and D, n=24) between 511-557 nm. Data of the 15th whorl are not shown for insufficient sensitivity of the ANMB₅₁₁₋₅₅₇ index to DEPS.

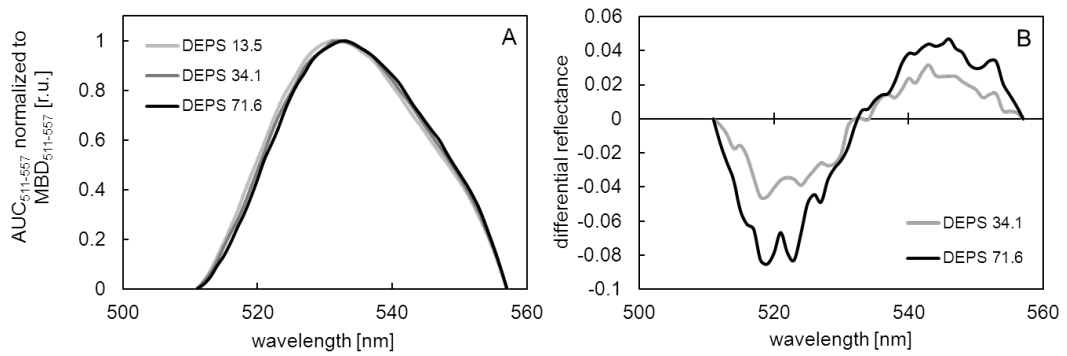


Fig. 8 (A) Area Under Curve (AUC) of continuum removed reflectance of Norway spruce needles between 511 – 557 nm normalized to Maximal Band Depth (MBD) of AUC₅₁₁₋₅₅₇. (B) Normalized area under continuum removed reflectance of two needle samples with de-epoxidation state of xanthophyll cycle pigments (DEPS) equal to 34.1% and 71.6%, respectively, subtracted from the needle sample with DEPS of 13.5%.

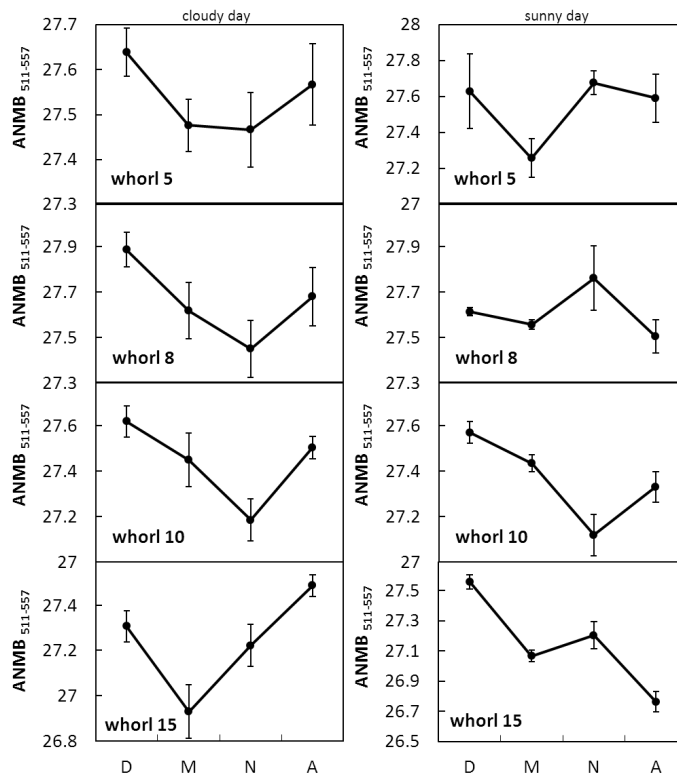


Fig. 9 Diurnal course of the ANMB₅₁₁₋₅₅₇ index calculated from the reflectance measured of needles from 5th, 8th, 10th, and 15th whorl during the cloudy and sunny day. Each dot represents the mean of three measurements performed per whorl and time. Vertical bars show \pm standard deviation. Abbreviations: D ~ dawn, M ~ morning, N ~ noon, and A ~ afternoon.

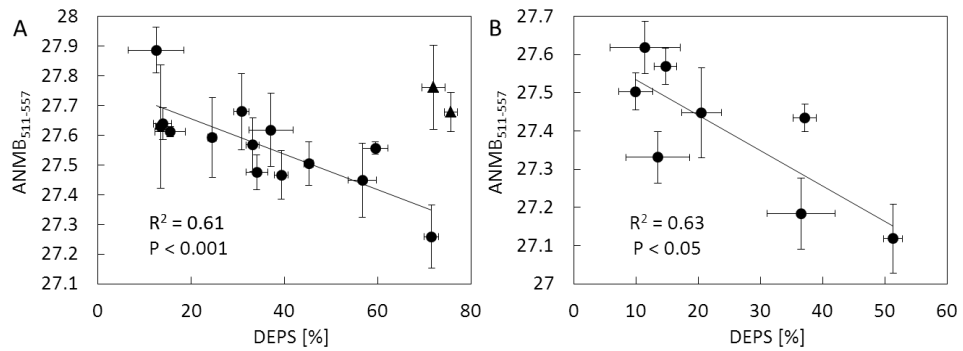


Fig. 10 Dependency between mean values of ANMB₅₁₁₋₅₅₇ and DEPS in (A) needles of the 5th and 8th whorl together (n = 14) (noon collections displayed as triangles were excluded from R² computation), and (B) needles of the 10th whorl (n = 8). Average values ± standard deviation of the vegetation indices were calculated from 3 leaf reflectance signatures and average DEPS values ± standard deviation were calculated from 5 samples measured during the measurement cycle in the diurnal course.