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# Removal of pathogens by membrane bioreactors: a review of the mechanisms, influencing factors and reduction in chemical disinfectant dosing

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# Removal of pathogens by membrane bioreactors: a review of the mechanisms, influencing factors and reduction in chemical disinfectant dosing

# Abstract

The continued depletion of fresh drinking water resources throughout the world has increased the need for a variety of water treatment and recycling strategies. Conventional wastewater treatment processes rely on extensive chemical post-disinfection to comply with the stringent microbiological safety for water reuse. When well designed and operated, membrane bioreactors (MBRs) can consistently achieve efficient removals of suspended solids, protozoa and coliform bacteria. Under optimal conditions, MBR systems can also significantly remove various viruses and phages. This paper provides an in-depth overview of the mechanisms and influencing factors of pathogen removal by MBR and highlights practical issues, such as reduced chemical disinfectant dosing requirements and associated economic and environmental benefits. Special attention has been paid to the aspects, such as membrane cleaning, membrane imperfections/breach and microbial regrowth, in the distribution system on the overall pathogen removal performance of MBR.

# Keywords

Membrane bioreactor, pathogen, virus, biofilm, membrane breach, membrane cleaning, disinfectant dosage

# **Disciplines**

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*Review* 

# **Removal of Pathogens by Membrane Bioreactors: A Review of the Mechanisms, Influencing Factors and Reduction in Chemical Disinfectant Dosing**

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**Abstract:** The continued depletion of fresh drinking water resources throughout the world has increased the need for a variety of water treatment and recycling strategies. Conventional wastewater treatment processes rely on extensive chemical post-disinfection to comply with the stringent microbiological safety for water reuse. When well designed and operated, membrane bioreactors (MBRs) can consistently achieve efficient removals of suspended solids, protozoa and coliform bacteria. Under optimal conditions, MBR systems can also significantly remove various viruses and phages. This paper provides an in-depth overview of the mechanisms and influencing factors of pathogen removal by MBR and highlights practical issues, such as reduced chemical disinfectant dosing requirements and associated economic and environmental benefits. Special attention has been paid to the aspects, such as membrane cleaning, membrane imperfections/breach and microbial regrowth, in the distribution system on the overall pathogen removal performance of MBR.

**Keywords:** membrane bioreactor; pathogen; virus; biofilm; membrane breach; membrane cleaning; disinfectant dosage

#### **1. Introduction**

The importance of preventing fecal contamination of drinking water was first recognised by the work of English epidemiologist, John Snow. Snow systematically demonstrated that consuming fecal contaminated water caused the cholera outbreak in London [1] and linked these outbreaks to the inefficient sewage disposal system. His book "On the Mode of Communication of Cholera", originally published in 1855, was republished in 1930s as a classic work in epidemiology, resulting in lasting recognition of his work. Since that discovery, the process by which wastewater is treated has been advancing, and has come a long way from the 19th century principle of "dilution is the solution to pollution" [2]. Patented in 1913, the suspended growth conventional activated sludge (CAS) process is a long-standing wastewater treatment process that relies on microorganisms in aerated mixed-liquor to break down organic pollutants [2]. CAS processes rely on tertiary disinfection processes to reduce pathogens in treated effluent in order to prevent the spread of waterborne diseases.

The supply of safe drinking water is vital to survival, and as the world's population continues to grow, fresh water is likely to become a scarce resource within the 21st century [3]. Therefore, establishing suitable methods of treating wastewater and preventing pathogens from entering the drinking water system will be a key component of maximizing water usage in the future. Coupled with this need to ensure the safety of the effluent emitted by wastewater treatment plants will be the growing pressure to directly use treated grey and black water in non-potable applications or conduct indirect potable reuse, such as deposition of reclaimed water into dams and subsequent treatment for producing drinking water. In fact it has been argued that direct potable reuse of water, *i.e.*, recycling water directly to the existing drinking water distribution system, and not just indirect potable reuse, should be considered as a viable water resources management strategy beside other water supply options [4]. In this context, a multi-barrier approach is proposed so that if there is a problem with one step in the process, one can still produce safe water. Membrane bioreactors (MBRs) are one technology that is highly suitable for wastewater reclamation [5–8].

The MBR process consists of a biological reactor integrated with microfiltration (MF) or loose ultrafiltration (UF) membranes that combine clarification and filtration of an activated sludge process into a simplified, single step process [9–11]. Initially used for small-scale treatment of recalcitrant wastewater, MBRs have seen an unprecedented growth in the last decade [2,12]. The membrane is an absolute barrier to solids and microorganisms in suspension and it offers the possibility of operating the system at higher mixed liquor suspended solids (MLSS) concentration than the CAS processes. The implications of maintenance of higher MLSS are—Requirement of a smaller footprint and operation at higher solids retention time (SRT) under lower F/M ratio, hence, yielding reduced excess sludge while achieving better effluent quality than CAS processes. MF or UF membranes typically used in MBRs are not capable of significantly retaining viruses by size exclusion [13]. However, in addition to consistent removal of coliform bacteria, significant removal of human enteric viruses by MBRs has been reported for full-scale MBR wastewater treatment plants [14]. Virus removal in MBRs was attributed to their aggregation and adsorption to activated sludge followed by retention by the gel and cake layer formed over the membrane. The formation of a foulant layer on the membrane surface has been reported to be a dominating factor controlling virus removal [15], however, to date studies on interactions between viruses and the membrane surface or different foulant layers are rare. Furthermore, currently there is incomplete understanding of the impact of membrane cleaning, membrane imperfections and/or breach as well as regrowth of the pathogens in the distribution system on the virus removal performance of MBRs [14,16].

Low turbidity as well as low organics and pathogen-content of MBR permeate means that the requirement of post-disinfection and the corresponding hazards related to disinfection by-products can be minimized. However, given the uncertainties noted above, the potentially high quality of MBR effluent is often not recognized under many current disinfection requirement scenarios [17]. The aim of this paper is to critically review the current state of the art of pathogen removal by MBRs and identify the key knowledge gaps creating hindrance to harnessing the full potential of MBRs as "disinfecting units". An in-depth discussion on the factors affecting the removal performance has been furnished and ways to achieve precise prediction of the pathogen removal at different biological and membrane conditions have been highlighted. It is believed that the information summarized in this paper will aid in making informed decisions for efficient water and wastewater management and reduction of risk of human exposure to waterborne pathogens.

#### **2. Pathogens and Indicators in Wastewater Matrices**

#### *2.1. Waterborne Pathogens of Concern*

The majority of pathogens in wastewater are enteric, that is they affect the digestive system, and present a serious health risk if ingested [18,19]. The adverse health effects of ingestion of pathogens are serious, and especially in the case of children under five, may be fatal if appropriate medical treatment is not administered in a timely manner.

Protozoa are single-cell organisms that are important to public health because they cause life threatening diseases including giardiasis, cryptosporidiosis, dysentery and amoebic meningoencephalitis [20]. Protozoan parasites are numerous in wastewater, including *Cryptosporidium*, *Giardia*, *Entamoeba* and *Microsporidia*. *Cryptosporidium* is highly resistant to chlorine-based disinfectants, and has been implicated in a number of gastroenteritis outbreaks around the world. Protozoa are able to survive outside their host under adverse conditions as cysts or oocysts that range in size from 3 to 14 μm in diameter [21]. Helminths are larger multicellular organisms, which when mature can generally be seen with the naked eye. Helminth parasites commonly detected in wastewaters include the round worm (*Ascaris lumbricoides*), the hook worm (*Ancylostoma duodenale*) and the whip worm (*Trichuris trichura*).

The most common microbial pathogens found in wastewater are bacteria [19]. These bacteria can be considered in two broad categories: enteropathogenic bacteria and opportunistic bacteria. Gastrointestinal diseases are one of the most common bacterial diseases contracted through wastewater [19]. These include diarrhea (e.g., cholera caused by *Vibrio cholera* and salmonelliosis caused by a number of *Salmonella* species) and dysentery (caused by various *Shigella* and *Salmonella* species). Other common

diseases include typhoid and paratyphoid fever (caused by *Salmonella* species) [18]. In addition to the established pathogens, a number of opportunistic pathogens (microorganisms causing infections and disease under optimal conditions, commonly in the very young, elderly and immune-compromised), including *Pseudomonus* and *Streptococcus*, can be found in wastewaters. Bacteria range from 0.6 to 1.2 μm in diameter and 2–3 μm in length [22].

Viruses are considered as one of the most infectious pathogens common to wastewater due to their greater resistance to treatment and a smaller dose required to cause infection [19]. More than 100 different viruses can be found in human feces [23]. Enteroviruses, the most commonly detected viruses in wastewater, can cause paralysis, meningitis, respiratory disease, encephalitis and congenital heart anomalies, along with a range of other conditions with varying severity [19,23]. Other human viruses in wastewater include coxsackie A and B, reovirus, norovirus, rotavirus, hepatitis A and E, adenovirus, echovirus and poliovirus, which can potentially cause upper respiratory and gastrointestinal illness [3,20]. Gastroenteritis is the most common wastewater related illness and can be caused by bacteria, virus or protozoa [20,24]. The leading viruses responsible for gastroenteritis are rotavirus, calicivirus, enteric adenovirus and astrovirus [24]. The size of different viruses ranges within a few tens of nm. For example, nominal size of hepatitis A, hepatitis E, calicivirus and astrovirus has been reported to be around 30 nm, while the nominal size of rotavirus and enteric adenovirus can be around 70 nm [20,24].

#### *2.2. Indicator Organisms*

The wide variety of pathogens, including bacteria, viruses and protozoa present in most wastewater makes it impractical to test for each pathogen individually. Therefore suitable markers indicating microbial contamination are used. The indicator organisms themselves may not be pathogens. One widely used marker is the detection of coliform bacteria, either as total coliforms or fecal coliforms. Coliforms are common inhabitants of ambient water and may be injured by environmental stresses (e.g., lack of nutrients) and water treatment (e.g., chlorine disinfection) in a manner similar to many pathogens. Fecal coliform has been shown to correlate strongly with the presence of fresh fecal matter [25]. Possible indicators for protozoa suggested in the literature include aerobic spores, anaerobic spores and particle profiling (particle size distribution). Similarly, particle profiling has been reported as a useful indicator for the removal of helminths from wastewater, with a high correlation observed between numbers of helminth ova and the volume of particles of 20–80  $\mu$ m [26].

Challenge testing of wastewater treatment processes for virus removal has been generally performed with model viruses having inactivation and adsorption behaviors similar to the native viruses under given conditions. Bacteriophages are viruses that infect specific bacteria and are widely considered to be process indicators for enteric virus removal or inactivation [27]. A coliphage is a type of bacteriophage that infects *Escherichia coli* (a fecal coliform). Coliphages those attack *E. coli* through the "pilli" are referred to as "F-specific phage" or "Male-specific phage", while those attacking through the cell wall are referred to as "Somatic phage". MS2 coliphage (an F-specific phage) appears to be the most common virus used in bench scale MBR studies. It is a single-stranded RNA virus, with icosahedral shape, small size (20–25 nm), and low isoelectric point (pH = 3.9) and relative hydrophobicity [14]. These characteristics are similar to some pathogenic human viruses found in water and wastewater, such as hepatitis A virus and poliovirus [28], and thus make MS2 a good indicator and surrogate for virus studies with membrane systems [29,30]. T4 coliphage (a somatic coliphage) has also been used in bench-scale MBR studies since it is similar to adenoviruses, reoviruses, rotaviruses [31], and coronaviruses [32]. Even though the size and isoelectric point of phages are similar to those of some enteric viruses, their removal and transport do not necessarily relate to those of enteric viruses in wastewater systems, and therefore, the use of these indicators are under continuous scrutiny [14].

#### *2.3. Log Removal*

Pathogen removal is expressed in terms of log removal value (LRV), which is defined as follows [33]:

$$
Log Removal = -log\left(\frac{conc_{out}}{conc_{in}}\right)
$$

If the log removal is equal to one then there is a 90% reduction in microorganisms. If the log reduction is two, then there is a 99% reduction, if three, then there is a 99.9% reduction and so on. Regulations and guidelines for drinking water and water recycling specify a target LRV that reduces the risk associated with exposure to the pathogen to a tolerable level. For example, the specified inactivation or removal efficiencies for various pathogens defined in the United States Environmental Protection Agency Enhanced Surface Water Treatment Rule (USEPA-ESWTR) is two LRV (*i.e.*, 99% removal) for *Cryptosporidium parvum*, three LRV (*i.e.*, 99.9% removal) for *Giardia lamblia*, and four LRV (*i.e.*, 99.99% removal) for viruses [16,34].

#### **3. Removal of Microbes by Membranes**

There are two facets of treatment by MBR–activated sludge and membrane separation. The role of membranes alone in removal of pathogens is briefly discussed here. The membrane filtration spectrum for rejection of pathogenic microorganisms is illustrated in Figure 1. Two types of membranes are primarily used in MBRs—MF or UF. MF membranes have pore size 0.1–10 μm, while UF membrane pore sizes may range from 5 to 100 nm, although common UF membranes used with MBRs are of  $\approx 0.01$ μm [35]. Membranes are primarily made of polymers including proprietary non-ionic polymers, polytetrafluoroethylene (PTFE), polypropylene (PP), polysulphone (PS), polyvinylidinefluoride (PVDF) and polyethylene (PE) [35,36].

Protozoan cysts or oocysts range in size from 3 to 14 μm, which is significantly larger than the pore size of an MF or UF membrane, so total removal of protozoa is expected [20,37]. Due to the nominal pore size of the MF or UF membranes used and the size of the coliform bacteria, size exclusion by membrane is considered the dominant mechanism for also the removal of coliforms by intact membranes. The pore size of common MF and UF membranes promises the removal of all bacteria from wastewater and no tertiary disinfection is required to adhere to the regulatory limit of 2 colony forming unit, CFU/100 mL in the USEPA guidelines [25,38]. Conversely, due to the much smaller size of viruses, there is much greater concern surrounding their removal by direct membrane filtration. For example, Table 1 shows MS2 phage removal by different membranes from spiked deionized water. Direct MF filtration may only achieve around one log removal of virus, while with the common UF membranes

used in MBR (*i.e.*,  $\approx$ 0.01 µm, which can be generally considered to be equivalent to 200 kDa) variable log removal of virus depending on factors, such as membrane pore size and material may be achieved.





**Table 1.** MS2 phage removal by different membranes from spiked deionized water.



Notes: PFU = plaque forming unit; LRV =  $log$  removal value; na = not available;  $MF = microfiltration$ ;  $UF = ultrafiltration$ ;  $RO = reverse osmosis$ ;  $PA = polvamide$ ;  $CA = cellulose$ acetate; PS = polysulphone; PES = polyether sulphone; PVDF = polyvinylidene fluoride.

# **4. Overview of Pathogen Removal by Membrane Bioreactor**

When well designed and operated, MBRs can consistently achieve efficient removals of suspended solids, protozoa and coliform bacteria [14]. Under optimal conditions, MBR systems can also significantly remove various viruses and phages. Several biomass processes (*i.e.*, spontaneous decay, aggregation/biosorption and predation/biodegradation) along with membrane rejection govern pathogen

removal by MBR. This section will provide an overview of the extent of pathogen removal, while Section 6 will detail the factors affecting pathogen removal by MBR.

Shang *et al.* [30] reported outstanding removal of *E. coli* and fecal coliforms by MBR. Ueda and Horan [42] observed the removal of fecal coliforms and fecal streptococci by MBR to be at levels acceptable for drinking water. Krauth and Staab [43], in their study of the hydraulics of pressurized MBRs, reported removal of *E. Coli*, *Salmonella* and other pathogenic indicators to non-detectable levels from wastewater generated from the canning of sour vegetables. Their research also confirmed that the effluent from the MBR met the drinking water standards in terms of bacterial indicator removal. Whilst this was a significant demonstration of the suitability of MBR for disinfection of wastewater, because only a branch of microorganisms that need to be removed was considered in this study, further study was deemed required to gain a more complete understanding of disinfection by MBR. Francy *et al.* [38] examined the effectiveness of MBR in removal of microorganisms from wastewater by two full scale MBR plants, each with a capacity of  $12,900 \text{ m}^3/\text{d}$ , both using 0.4  $\mu$ m chlorinated-polyethylene membranes. The study found that for all MBR samples, there was almost complete removal of bacteria. The recorded concentrations of the indicator organism *E. Coli* and fecal coliforms in the treated wastewater were within the USEPA guidelines for reuse for urban and agricultural (food and non-food crops) purposes, with many of the samples returning values of less than 1 CFU/100 mL. It is, however, important to note that microbial colonies may form in the internal space of permeate pipe line and may cause detection of microorganisms in permeate despite the complete retention of all bacteria within the bioreactor [44]. Table 2 summarizes data from selected representative papers related to coliform removal by MBRs from wastewater.

Due to the relative size of viruses to the MF and UF membranes commonly used with MBRs, there is much greater concern surrounding the removal of viruses and the implication this has on disinfection than the removal of bacteria or protozoa. Table 3 summarizes the findings of some key case studies regarding the removal of phages and other viruses by MBR. Under optimal conditions, as detailed in Section 6, MBR systems can also reliably remove various viruses and phages. For example, Kuo *et al.* [36] reported 4.1–5.6 log removals for human adenoviruses, whereas Simmons *et al*. [45] reported that removal efficiencies could reach 6.3, 6.8, and 4.8 logs for human adenoviruses, enteroviruses, and noroviruses, respectively. Cicek *et al*. [46], in their investigation into the use of an MBR to reclaim wastewater, studied the efficiency of an inorganic  $A<sub>2</sub>O<sub>3</sub>$ -TiO<sub>2</sub> ceramic membrane for the treatment of a synthetic wastewater [46]. They observed complete retention of heterotrophic bacteria and MS2 phages by the membrane [46]. Testing of the undiluted MBR effluent confirmed the absence of any heterotrophic microorganisms. Further testing of both the retentate and the effluent showed that there was 8200 PFU/mL in the retentate and no detectable amount in the effluent. The removal of human adenovirus (HAdV) by MBR was investigated by Kuo *et al.* [36]. The wastewater from a full scale MBR wastewater treatment plant was sampled at four stages, namely, incoming raw sewage, primary sedimentation effluent and MBR influent and effluent [36]. There was no removal of HAdV by the primary sedimentation, but the MBR achieved log removals at an average of  $5.0 \pm 0.6$  between MBR influent and effluent. Conversely, although da Silva *et al.* [47] obtained high removal efficiencies for noroviruses in a full-scale MBR system, their data also suggested that virus removals were inconsistent. Different factors affecting virus removal by MBR has been detailed in Section 6.

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**Table 2.** *Cont.*

Pathogen/Indicator	<b>Membrane Properties</b>			<b>Final Concentration</b>		<b>Average Log</b>	
	Nominal Pore Size (μm)	Configuration	<b>Material</b>	(CFU/100 mL)	Removal $(\% )$	Removal	Reference
Total coliforms	$\blacksquare$	$\overline{\phantom{a}}$	<b>PTFE</b>	$\qquad \qquad$	$\blacksquare$	6.1	$[54]$
Total coliforms	$\overline{\phantom{a}}$	Hollow fibre	$\overline{\phantom{a}}$	-	$\sim$	$6.3 \pm 0.6$	$[54]$
Total coliforms		<b>Flat Sheet</b>	$\blacksquare$	$\overline{\phantom{a}}$	$\sim$	$6.5 \pm 0.2$	$[54]$
Total coliforms	$\overline{\phantom{a}}$	Tubular	$\blacksquare$	$\overline{\phantom{0}}$	$\sim$	6.6	$[54]$
Fecal coliforms	$\overline{\phantom{0}}$	$\sim$	<b>PVDF</b>	$\blacksquare$	$\sim$	$5.9 \pm 0.4$	[54]
Fecal coliforms		$\sim$	<b>PES</b>	$\overline{\phantom{0}}$	$\sim$	$5.7 \pm 0.6$	$[54]$
Fecal coliforms		$\sim$	<b>PTFE</b>	$\overline{\phantom{0}}$	$\blacksquare$	5.6	$[54]$
Fecal coliforms		Hollow fibre	$\overline{\phantom{a}}$	$\overline{\phantom{0}}$	$\sim$	$5.6 \pm 0.2$	$[54]$
Fecal coliforms	$\overline{\phantom{0}}$	<b>Flat Sheet</b>	$\overline{\phantom{a}}$	$\overline{\phantom{0}}$	$\sim$	$6.0 \pm 0.5$	$[54]$
Fecal coliforms		Tubular				h.	$[54]$

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**Table 3.** Indicator virus removal by MBR.

#### **5. Membrane Bioreactor** *vs.* **other Treatment Options**

The removal efficiency of pathogens from wastewater by MBR is generally higher than that of CAS and has even been shown to be equivalent to a CAS system with a tertiary treatment line [21]. The addition of a membrane to a CAS system to form an MBR treatment system reduces the required footprint of the plant, as the "physical" removal of pathogens by the membrane complements the contribution to the removal by the "biological process", which is the only removal mechanism in a CAS operation [5,6]. For example, Ueda *et al.* [42] found that MBR removed phages at rates one-log unit higher than CAS systems treating the same wastewater.

Valderrama *et al.* [59] compared CAS and MBR systems treating wastewater from a vineyard and found that by MBR alone water reuse standards were met for permeate suitable for urban, agricultural and recreational reuse according to the quality criteria defined by the Spanish Royal Decree for water reuse. A microbial evaluation revealed that the CAS effluent was unsuitable for reuse in any capacity. Similarly, Francy *et al.* [38] found an acceptable removal of virus by MBR, but the CAS system showed little to no removal. In another study, complete removal of faecal coliforms and up to 5.8 log removal of coliphages was observed by an MBR system [22]. It was shown that the MBR system was capable of high removal of coliphages despite the variation in feed coliphage concentrations. The results of this study indicated that the MBR system can achieve better microbial removal in far fewer steps than the CAS process with advanced tertiary treatment. The final effluent from either treatment processes can be potentially reused. Ottoson *et al.* [21] considered the removal of protozoa, bacteria and viruses by three parallel treatment systems including a CAS system with tertiary filtration, a 0.4 μm MBR system and an upflow anaerobic sludge blanket (UASB) system. The MBR proved superior in removal of *E. coli*, as high as five-log removal was attained. CAS with sand filtration and UASB treatment lines demonstrated removal of the microbial indicator organisms comparable to that of the MBR [21]. Table 4 provides a comprehensive comparison of removal of different viruses by full-scale wastewater treatment plants (WWTP): overall, full-scale MBR plants achieved higher virus removals.

	<b>Log Removal</b>			
Virus	<b>Conventional WWTP</b>	<b>MBR</b>		
Adenovirus	$1.3 - 2.4$ <sup>a</sup>	$3.4 - 5.6^{\circ}$		
Enterovirus	$0.44 - 3.6$ °	$3.2 - 6.8$ <sup>d</sup>		
Norovirus I	$-0.2 - 2.7$ <sup>e</sup>	$0 - 5.5$ <sup>f</sup>		
Norovirus II	$-1.6 - 3.0$ <sup>g</sup>	$2,3-4,9$ <sup>h</sup>		

**Table 4.** Reported virus removal in full-scale wastewater treatment plants (WWTP).

Note: <sup>a</sup> [60–62]; <sup>b</sup>[36,45,63]; <sup>c</sup> [60,61,64–67]; <sup>d</sup> [45,63]; <sup>e</sup> [60,61,68]; <sup>f</sup> [47]; <sup>g</sup> [60,61,68]; <sup>h</sup> [45,47].

#### **6. Factors Affecting Pathogen Removal by Membrane Bioreactor**

#### *6.1. Effect of Membrane Material, Pore Size and Flux*

The membrane material properties may play an important role in the pathogen removal by MBR, particularly in absence of a significant amount of biofilm on membrane surface (Table 1). Gander *et al.* [69] tested submerged MBRs equipped with polypropylene or polysulphone membranes and observed total coliform removal of five-log and nine-log, respectively. Although the pore-size and flux varied between the compared membranes, this observation indicated the impact of membrane material properties (e.g., hydrophobicity). Studies show that the membrane material, and, more specifically, its surface charge, influences also virus removal efficiency. Removals of MS2 bacteriophage virus using RO membranes of different materials under different operating pressures were investigated by Hu *et al.* [70]. The results obtained by Hu *et al.* [70] revealed that a better log removal in terms of MS2 bacteriophage could be achieved using polyamide RO membrane under the optimum operating pressure of 100 psi. Antony *et al.* [16] also reported higher virus rejection by polyamide RO membranes (slightly negative charge) compared with that of cellulose acetate and polysulfone membranes (neutral charge). MS2 bacteriophages have an isoelectric point at pH 3.9 [71], suggesting that the charge will be negative above this pH. Therefore, when the membrane and the virus particles hold a negative charge, these repulsive forces could assist with the rejection of viruses. Zheng and Liu [31] investigated virus rejection by PVDF and PP membrane modules, with the pore sizes of 0.22 and 0.1 μm, respectively. In tap water system, 2.1 log removal of coliphage T4 could be achieved by the PVDF membrane compared with complete rejection by the PP membrane, while for coliphage f2 with smaller diameter, 0.3–0.5 log rejection of the influent virus was achieved by the two membranes.

Similar to membrane material, membrane pore size has been reported to impose case-specific influence on pathogen removal by MBR (Figure 2). DeCarolis *et al.* [44] explored 4 different MBRs with membranes possessing pore sizes varying from 0.04 to 0.4 μm. Fecal coliforms are much larger than viruses and can be filtered by 0.45 μm membranes. Therefore the data did not show any trend between fecal coliform removal efficiency and pore size. Given the smaller size of viruses, membrane pore size may be a more important determinant of virus removal efficiency than bacteria. For example, Madaeni *et al.* [57] reported that hydrophobic PVDF membrane (pore size = 0.22 μm) could remove about 99% of poliovirus, whereas ultrafiltration membranes with pore sizes smaller than the virus achieved complete rejection. Mechanical sieving of virus by membrane may be significantly related to the size of phages [72]. For example, Wu *et al.* [73] found LRV to be in good correlation with the ratio of phage size/pore size. On the other hand, indigenous MS2 was undetectable in the effluent of all tested MBR systems with a range of membrane pore sizes  $(0.03-0.1 \,\mu m)$ , suggesting that removal mechanisms other than straining may exist in MBRs [51]. However, when MS2 coliphage (0.03 μm) was spiked to an MBR treating municipal wastewater, pore size effect became more apparent [51]. The MF membrane with 0.1 um pore size showed a log removal of 1.7, but the membranes with 0.03 um pore size showed a log removal of 4.4. The authors explained that unlike the indigenous viruses, the spiked foreign viruses may not have had enough time to be embedded in microbial floc and get removed by a dynamic membrane formed by the cake layer over the membrane (See Section 6.2). Lv *et al.* [32] indicated that for an MBR with 0.1 μm membrane, the membrane alone played a major role in phage removal. By contrast, for the 0.22 μm membrane, the importance of the cake/gel layer formed on the membrane surface was evident. Pan *et al.* [74] investigated removal of white spot syndrome virus by three parallel submerged MBRs equipped with membrane of different pore sizes. When fed with phosphate buffer spiked with the virus, the MBRs equipped with membranes of 0.45, 0.22 and 0.1 µm achieved log removals of 0.6, 1.18 and 5.5, respectively. However, when fed with aquaculture wastewater containing the virus, the removal efficiency of the larger pore membranes  $(0.22 \text{ and } 0.45 \text{ µm})$  continued to increase with the filtration resistance. It was revealed that the biofilm accumulating on the surface of the membrane made a major contribution to the removal.





In a study conducted by Ueda and Horan [42], the membrane flux showed negative correlation to phage removal by MBR. This has implications for scaling up as lower flux implies requirement of higher membrane surface area [42]. On the other hand, based on their 18-month study, Hirani *et al.* [51] found no correlation between peak flux and LRVs. Over a tested range of 7.5–12.5 L/m<sup>2</sup>·h, Wu et al. [73] observed little effect of flux of a 0.4 µm clean membrane on LRV. However, during MBR operation, higher permeate flux led to higher LRV followed by a drop in LRVs with further increases in permeate flux. The initial improvement in LRV with flux-rise was attributed to development of a biofilm over the membrane. The impact of biofilm formed on membrane on pathogen removal is discussed in Section 6.2.

It is noted that the aspect of disinfection has been scarcely studied in conjunction with the recently developed high retention MBRs equipped with nanofiltrtaion, membrane distillation or forward osmosis membranes [78], and future studies must assess this aspect. Furthermore, incorporation of antimicrobial or photoactive nanomaterials can make membranes "reactive" instead of a simple physical barrier, achieving multiple treatment goals, such as pathogen inactivation and resistant pollutants degradation, while minimizing fouling [79–83]. Several nanomaterials, such as Ag, chitosan, TiO<sub>2</sub>, ZnO, carbon nanotube and various polymers, can induce good antimicrobial properties to membranes. While varieties of anti-microbial membranes with encouraging results have been reported (Table 5), these are yet to be well-integrated to MBR format, and further research in this line is deemed imperative.

<b>Type of</b> Functionalization	<b>Functionalized Anti-Microbial Filter/Membrane</b>	Reference
Nanoparticles	Ag nanoparticles-coated polyurethane foam	
	$TiO2$ —entrapped PVDF MF membrane	
	$Ag-TiO_2/hydroxyapatite/Al_2O_3$ MF membrane	
	Ag nanoparticles-coated polysulfone UF membrane	
	Biogenic Ag immobilization in PVDF MF membrane	
	Ag nanoparticles-coated PA NF membrane	
	Ag nanoparticles-coated PAN UF hollow fiber membrane	
	Ag nanoparticles-coated cellulose acetate UF hollow fiber membrane	
Nanofiber	Thin-film nanofibrous composite UF membrane containing cellulose-chitin blend	
	Thin-film nanofibrous composite UF membrane containing	
	polycarbonate-quaternary ammonium salt	
	Nylon 6 nanofiber membranes with N-halamine	
	Polysulfone-Ag nanocomposite UF membrane	[96]
Carbon nanotube	Single and multi-walled carbon nanotube hybrid filter	
	Anodic multi-walled carbon nanotube microfilter	[98]

**Table 5.** Different types of functionalized anti-microbial filters/membranes.

#### *6.2. Relative Contributions of Biomass Processes and Membrane Rejection*

In the MBR system, the biomass processes (*i.e.*, spontaneous decay, aggregation/biosorption and predation/biodegradation) dominate pathogen removal; however, as already noted in Section 6.1, biofilm/membrane rejection is an essential supplement of biomass processes for removal. For example, MF and some UF membranes will not retain many viruses due to the virus size (as small as few tens of nm) relative to the pore size. However, even if the target virus is smaller than the membrane pore size, virus removal by MBR may occur via biodegradation following biosorption and membrane retention, in addition to spontaneous decay [73]. During the start-up period, adsorption and bacterial acclimation leads to a significant increase in biological removal of virus until it stabilizes. The removal by membrane increases with time as fouling develops. The fouling layer gradually forms on the membrane surface, physically blocking the membrane pore, allowing chemical adsorption, and biological predation of phages [30,42,99,100]. Thus the removal of virus may be initially governed by adsorption on membrane surface or in membrane pores, but as the membrane gets fouled, the virus removal will be primarily governed by direct interception on the cake and gel layer formed on the surface of the membrane.

Ueda and Horan [42] found significant (5.9 log) phage removal although the phage size was smaller than the membrane pore size. They concluded that this removal was due to a biofilm layer forming on the membrane, which allowed for chemical, physical and biological removal of the phage [42]. Wu *et al.* [73] went further and measured the removal of virus indicators at each step of the MBR process. They observed a log removal of 1.85 by the biomass processes (e.g., biodegradation and biosorption), while membrane rejection was responsible for a log removal of 0.96, which increased with the development of a biofilm over the 0.4 µm membrane. Gander *et al.* [101] considered the use of MBR for treating domestic wastewater and found that bacterial and phage removal was increased by both the build-up of the biofilm and a high turbidity influent, which is also clarified by MBR systems to levels acceptable for various reuse applications [101]. Lv *et al.* [32] considered two membranes-one with a

nominal pore size smaller than the T4 phage, the other with a larger pore size - and found that the role of the membrane relied on the pore size, with no T4 detected in the effluent of the 0.1 μm membrane system. The 0.22 μm membrane system removed inadequate amounts of T4, which, however, gradually increased as the cake layer formed on the membrane surface, further reducing the effective pore size. Kuo *et al.* [36] also reported that HAdVs were associated with the biomass and were subsequently retained by the membrane. Mass balance analysis carried out by Lu *et al.* [15] showed a high percentage of MS-2 in the concentrate for the fouled membrane as compared with the pristine membrane. Quartz crystal microbalance (QCM) results showed faster kinetics of MS2 adhesion to the pristine membrane than to the SMP-fouled membrane. Furthermore, an attractive force between MS2 and the pristine membrane was detected using an atomic force microscope (AFM), whereas a repulsive force was detected for the interaction between MS2 and the fouled membrane. The presence of soluble microbial product (SMP) on the membrane surface led to higher rejection of MS2 due to both pore blocking and repulsion between MS2 and the SMP layer [15].

A few studies have systematically investigated the contribution to virus removal of the membrane as well as the sludge cake layer and the gel layer that forms on the membrane. Wu *et al.* [73] found that the gel layer contributed more to somatic coliphage removal than the cake layer, especially at high flux conditions. The gel layer is mainly formed by deposition and accumulation of the dissolved organic matter on the membrane surface, which is more compact than the cake layer. Therefore, its ability to entrap and accumulate virus-sized particles would be significantly higher, even though the gel layer contribution to filtration resistant is much lower than the cake layer [73,102,103].

Through the studies discussed in this section, it is clear how vital the membrane biofilm is to the removal of pathogens, especially for microbes smaller than the membrane pore size, and that without it the removal efficiency would be considerably less and likely insufficient for water reuse. On the other hand, because viruses tend to attach to solid surfaces, most viruses that survive wastewater treatment are likely associated with waste-activated sludge and may be present in biosolids [14,56,104]. This warrants safe disposal of potentially pathogen-containing biosolids.

#### *6.3. Impact of Membrane Cleaning*

As discussed in Section 6.2, several studies have shown the role of membrane biofilms as a secondary barrier to microbial contaminants. Although pore blocking, pore constriction, and biofilm formation on the membrane surface by organic foulants enhance the removal of microbes, they also reduce the permeability of the membranes. The slimy gel layer formed during the filtration process on the membrane surface often cannot be removed by physical means of cleaning, such as backwashing and air scour [35,73,101,105,106]. Chemical cleaning is conducted to recover the permeability of the membranes and it removes a portion of these foulants from the membrane pores and surfaces and may reduce the effectiveness of the MBR process in rejecting microorganisms [17]. For example, Farahbakhsh *et al.* [107] reported that increases in feed coliphage concentrations resulted in the passage of larger numbers of coliphages when an MF membrane was clean, but had little impact on the passage of coliphages when the membrane became fouled. Liu *et al.* [108] reported a 0.5 log reduction in removal by a membrane immediately after backwash, which increased until the next backwash. Jacangelo *et al.* [109] noted that the virus removal by MF/UF membranes was low at the beginning of filtration and increased with time with accumulation of foulants. However, in that study, the removal rate did not decrease after hydraulic backwash, indicating that the irreversible membrane fouling maintained the virus removal. Shang *et al.* [30] observed that a biofilm formed over 21 d achieved a much higher log removal than that formed over 9 h, which has strong implications when designing cleaning cycles in an MBR treatment plant. If the water is to be reused, microbial removal rates need to remain stable and within reuse limits, so an appropriate membrane cleaning protocol must be established [73]. Additionally, the effect of membrane cleaning on subsequent disinfectant requirements needs to be evaluated. This aspect has been discussed in Section 7.

# *6.4. Impact of Membrane Imperfections/Breaches*

Membranes are a mechanical form of disinfection that works by physical separation of the target pathogen. However, the complete removal of microorganisms will be achieved only when the membrane system is intact. Any anomaly with the membrane surface (e.g., abnormally large pores, compromised glue line, holes) and the filtration system (e.g., compromised O-rings, broken mechanical seals) will result in microbial contamination risk of the product water [16,110]. In routine operation, a breach in the membrane system can take many forms, namely, pore expansion through incompatible chemical cleaning [111]; damage by mechanical forces, such as shear forces and vibrations [112]; oxidation due to disinfection and higher operating pressures than specified by the manufacturer [113].

Several studies analyzing virus penetration incidents in terms of integrity of UF membranes (not coupled with a bioreactor) [70,114,115] showed that the abnormally large pores and membrane imperfections at the membrane surface and glue lines and seal breaches could be the reason for varying degrees of virus penetration. For example, Urase *et al.* [114] reported that although the ratio of abnormal to normal pores was less than 1/109, virus rejection was strongly affected by large pores. However, the significant penetration of viral particles during the challenge tests could also be due to their concentration in the feed water, which is much higher than under typical treatment train conditions, and thus the presently accepted practice is to use the lowest seeding dose possible to demonstrate the required log removal values. The presence of MS2 bacteriophage in the effluent of a polyamide RO membrane was attributed to leakage of bacteriophages through the membranes structure—investigations using scanning electron microscope (SEM) and AFM showed that there were gaps or pores present in the membrane structure, which were sufficiently large for the MS2 viruses to pass through.

Only a few studies have investigated the implications of membrane breach on passage of pathogen through a membrane within MBR. A loss of membrane integrity can result in a spike in both turbidity and microorganisms in the MBR filtrate. Under breached conditions, the filtrate turbidity typically increases immediately after relaxation/backwash and gradually reduces to a previously observed value once the membrane plugs with activated sludge after a few minutes of filtration [116]. Since filtrate turbidity for MBR systems is not always monitored continuously at all MBR facilities, such a breach can result in passage of microorganisms, thereby posing a challenge for the downstream disinfection process. Hirani *et al.* [17] characterized effluents produced from an MBR system operating under routine and challenged conditions, and reported that the membrane  $(0.1 \text{ µm})$  under breached conditions (turbidity > 0.5 Nephelometric Turbidity Units, NTU) resulted in an increase in a total coliform bacterial concentration up to 8500 CFU/100 mL. Passage of MS2 bacteriophage through the breached membrane was lower compared to total coliform bacteria, indicating that indigenous MS2 bacteriophage are more likely to be particle-associated compared to coliform bacteria and thereby consistently rejected by the membrane even in the event of a loss of membrane integrity. On the other hand, although *Cryptosporidium* oocysts were not detected in the filtrate, *Giardia* cysts were detected at a low concentration (1/10 L).

Notably, depending on the membrane type and the nature of the feed water foulants, membrane fouling may in fact prevent penetration of pathogens due to membrane imperfections/breaches. For example, in the aforementioned study of Hirani *et al.* [17], the highest concentration of coliform bacteria was observed at the beginning of the filtration cycle suggesting plugging of the breach by mixed liquor solids as the filtration cycle progressed. If the fouling, however, is reversible, the membrane healing will revert with chemical cleaning. Furthermore, while the development of increased irreversible fouling may enhance virus removal, progressive reduction of flux due to fouling is not a sustainable mode of operation and currently it is unknown if general decay or decomposition of the membrane may result in decreased rejection over the long-term operation [16].

Additional concern has been raised regarding the limitations of existing membrane integrity monitoring techniques. Existing techniques are either only reliable for detecting contaminants of 1 μm or larger, or provide low resolution (one to two log) even if capable of detecting a membrane breach less than 1 μm [16]. This is a significant limitation given that, for example, enteric virus particles are in the size range 0.01–0.04 μm. Similarly, the process control monitoring parameters lack sensitivity and therefore virus breakthrough may occur even before a loss of integrity is detected.

#### **7. Requirement of Post-Disinfection**

Although MBRs produce high quality effluent, and it can be potentially used as a pre-disinfection or disinfection unit [30], a post-disinfection process is still used to ensure the effluent quality for reuse. As the reuse application changes, the disinfection requirement will change, so that the MBR effluent, which may regularly meet the requirement for one application will no longer be adequate. The only quantitative virus content value in the USEPA water reuse guidelines is the North Carolina agricultural reuse for non-processed food guide that allows a maximum of 25 plaque forming unit, PFU/100 mL and a monthly average of 5 PFU/100 mL [25], and most of the MBR studies reviewed here appear to meet this requirement. No extra disinfection may be required for the aforementioned MBR case studies to remove total and fecal coliforms for restricted urban, environmental of industrial reuse. Despite the success of the process in pathogen removal, and therefore the potential reuse of MBR effluent in irrigation, it may not be sufficiently effective for reuse as drinking water, or other purposes requiring higher disinfection standards [38].

Although post-disinfection is still recommended, the higher removal of pathogens by MBR as compared to CAS or other treatment processes means chemical disinfection is required at a lower dosage to reach the reuse standard [117]. For example, Francy *et al.* [38] reported that because of significant removal by MBR, ultraviolet disinfection after MBR treatment provided little additional log removal of any organism except for somatic coliphage (>2.18), whereas ultraviolet or chlorine disinfection after CAS treatment provided significant log removals (above the analytical variability) of all bacterial indicators (1.18–3.89) and somatic and F-specific coliphage (0.71 and  $>2.98$ ). In another study, the chlorine required to remove 100% of fecal coliform from MBR effluent was 2.9 mg/L, less than for the sand filtration process that required 8 mg/L, and less than the typical dose of 5–20 mg/L, although some viruses have been known to survive disinfection in low doses [52,117,118]. The study by Natvik *et al.* [119] suggested a minimal requirement for post-MBR disinfection, which can be achieved using a reduced ultraviolet irradiation. In another study, complete removal of a viral indicator from the effluent of an MBR treating grey water via UV irradiation was reported [120]. Mansell *et al.* [121] reported achievement of five-log virus inactivation with free chlorine dosing to MBR effluent at concentrations one-tenth of 450 mg Cl2-min/L, which is the minimum value required by California Water Recycling Criteria (Title 22) for all chlorine disinfection processes. Li *et al.* [122] found that after treatment by MBR with a short HRT of less than an hour, the required dose of chlorine for the effluent to reach the drinking water standard was reduced from  $22.3 \pm 5.1$  to  $0.5 \pm 0.1$  mg/L. Hirani *et al.* [17] reported that despite the passage of microbes in higher concentrations through a breached membrane (filtrate turbidity of 1.0 NTU) of an MBR, a free chlorine dose of 30 mg-min/L was sufficient to achieve greater than five-log removal of seeded MS2 bacteriophage and removal of total coliform bacteria at or below the detection limit. It was suggested that if such a lower dose were to be employed, a significant decrease in plant footprint and operational costs could be realized.

MBRs can efficiently remove pathogens with no or reduced chemical oxidative disinfection, thus, with minimized associated problems and costs of storing and using corrosive agents. However, the regrowth of pathogens, such as *Aeromonas*, *Mycobacterium*, and *Legionella*, has been reported in the distribution system irrespective of the treatment technology used, including CAS processes and MBRs [123,124]. Thus, the post-treatment of MBR effluents as well as effective effluent monitoring to confirm the integrity of the process is necessary in order to ensure environmental and public health protection.

#### **8. Emerging Concerns in Accurate Assessment of Disinfection by Membrane Bioreactor**

#### *8.1. Seeded* vs. *Indigenous Microbes*

Noting that as much as 95.5% of the seeded phage was lost before reaching the MBR, Cicek *et al.* [46] underscored the importance of considering processes other than membrane filtration (e.g., predation, biosorption or spontaneous decay), particularly when the removal is assessed based on seeded phage and not the ones occurring naturally in wastewater. In order to avoid overestimation of membrane contribution to total virus removal, Ueda *et al.* [42] also expressed similar concerns.

## *8.2. Quantification Methods and Indicator—Pathogen Correlation*

Different indicator organisms have been considered in the literature reviewed, but there has been some concern recently regarding the correlation between indicators and the presence of actual viruses [125]. Ottoson *et al.* [21] note that the presence of indicator organisms does not always indicate the presence of pathogens. Conversely, it has been suggested that much of the controversy with regards to indicator and pathogen correlations is the result of studies with insufficient data for assessing correlations [56,126] and these problems can be rectified by taking the appropriate quality control measures. On the other hand, Sima *et al.* [56] pointed out potential pitfalls of the RT-PCR method of quantifying virus genomes due to compounds present in wastewater samples, which may interfere with extraction efficiencies, and raised the importance of careful quality control measures also in this respect.

#### *8.3. Case-Specific Suitability of Indicators*

Some indicators may be more suitable than others for a particular treatment train. Wu *et al.* [126] noted that no single indicator could be identified as the most correlated with pathogens, however, coliphages, F-specific coliphages, *Clostridium perfringens*, fecal streptococci and total coliforms were more likely than other indicators to be correlated with pathogens. De Luca *et al.* [127], observed the traditional bacterial indicators to be almost totally removed by biofiltration, and thus recommended somatic coliphages as best indicators to evaluate the microbiological risk when MBR effluent is discharged into natural waters or reused. Based on reductions of organisms throughout treatment processes, Francy *et al.* [38] reported that somatic coliphage may best represent the removal of viruses across secondary treatment in both MBR and conventional secondary plants, while F-specific coliphage and *E. Coli* may best represent the removal of viruses across the disinfection process in MBR facilities.

Ottoson *et al.* [21] observed a marked variation in the removal of the tested indicator organisms. bacterial indicators were more efficiently removed than coliphages, which were more efficiently removed than enterovirus and norovirus genomes. Similarly, while looking at the removal of human enteric viruses from a full scale MBR plant, Simmons *et al.* [45] found that compared to human adenovirus (5.5 log removal), human enterovirus (5.1 log removal) and norovirus (3.9 log removal) were removed with lower efficiencies. In a study by Hirani *et al.* [51] the log removal of total and faecal coliform varied from 5.8 to 6.9 and 5.5 to 6.0, respectively, showing some impact of the indicator organism chosen on the perceived bacteria removal efficiency. Notably, the lower LRVs of faecal coliform, despite the generally expected similar removal of total and faecal coliforms due to the similarity of their particle sizes, was attributed to lower influent concentrations of the former. Passage of MS2 bacteriophage through a breached membrane was not substantial, indicating that indigenous MS2 bacteriophage are more likely to be particle-associated compared to coliform bacteria and thereby consistently rejected by the membrane even in the event of a loss of membrane integrity [17].

## **9. Concluding Remarks**

MBRs have been proven to consistently deliver complete removal of protozoa and around six-log reduction of bacteria, and are therefore accepted, as a single step, capable of meeting the high regulatory standards. Although MF or UF membranes commonly used with MBRs cannot be expected to be an effective barrier for virus-sized particles based on the nominal pore size, under optimal conditions, MBR systems can also reliably remove various viruses and phages. This is a marked improvement over the CAS processes that can achieve very low virus removal if not complemented with a tertiary filtration. However, reported MBR virus removal has been observed to vary significantly depending on the type of the virus (e.g., human adenovirus *vs.* norovirus) or the indicator (e.g., F-specific coliphage *vs.* somatic coliphage) monitored and also on the issue whether indigenous or spiked viruses are being probed. Furthermore, MBR systems require periodic backwash and chemical cleaning of the membrane to prevent blockages and excessive build-up of biofilm. But this disruption of the biofilm layer may adversely affect virus removal. It is also important to note that passage of pathogens through the membrane can occur as a result of a membrane breach. Additionally, the regrowth of pathogens in the distribution system may lead to microbial contamination of the permeate. Thus post-disinfection of MBR effluent is recommended for water reuse purposes. Nevertheless, the higher removal of pathogens by MBR as compared to CAS or other treatment processes means chemical post-disinfection is required at a lower dosage to reach the reuse standard, reducing the problems and costs associated with storing and using corrosive disinfection agents. Indeed recent studies, following rigorous monitoring campaigns, suggest that a significant decrease in plant footprint and operational costs could be realized by applying such lower post-disinfection dosages. Apart from the concept of post disinfection via classical disinfectants or advanced oxidation processes, an elegant approach could be to develop MBR-compatible functionalized membranes with anti-microbial coating. In addition to the safety of recycled water, it is highly important to ensure proper disposal or reuse of biosolids originating from MBR plants. Most wastewater virus studies report virus concentrations in influent and effluent, but because viruses tend to attach to solid surfaces, monitoring for enteroviruses in biosolids warrant careful consideration.

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# **Author Contributions**

Faisal I. Hai conceived and led the project and prepared the final manuscript in consultation with the co-authors. All co-authors contributed to particular sections.

# **Conflicts of Interest**

The authors declare no conflict of interest.

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