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Exel's crossed product for non-unital C^* -algebras

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Abstract

We consider a family of dynamical systems (A, α, L) in which α is an endomorphism of a C^* -algebra A and L is a transfer operator for α . We extend Exel's construction of a crossed product to cover non-unital algebras A , and show that the C^* -algebra of a locally finite graph can be realised as one of these crossed products. When A is commutative, we find criteria for the simplicity of the crossed product, and analyse the ideal structure of the crossed product.



1. Introduction

Crossed products of C^* -algebras by endomorphisms were first used to describe the relationship between the Cuntz algebras \mathcal{O}_n and their UHF cores [6, 27]; the original constructions were spatial, and Stacey later described an appropriate universal construction [33]. Various generalisations to semigroups of endomorphisms have been proposed [24, 25, 26], and these crossed products have been used to study Toeplitz algebras and Hecke algebras [2, 19, 20]. The endomorphisms in these applications have all been non-unital *corner endomorphisms*, which shift the algebra onto a full corner of itself.

In [7], Exel observed that these notions of crossed product do not work well for the endomorphisms coming from classical dynamical systems in which the dynamics is irreversible, and proposed an alternative construction. The crucial extra ingredient in Exel's construction is a *transfer operator*: a positive linear map which is, loosely speaking, a left inverse for the endomorphism. One of his main motivations was to find a version of the crossed-product

construction which realised the Cuntz–Krieger algebras as crossed products by a single endomorphism. His answer to this problem is quite different from Cuntz’s description of \mathcal{O}_n : Exel realises a Cuntz–Krieger algebra as a crossed product of the diagonal subalgebra, which is a maximal commutative subalgebra, and is much smaller than the UHF core in \mathcal{O}_n .

In most of these examples and applications, the underlying C^* -algebras have identities, even though many of the endomorphisms are not unital. For example, in Exel’s description of the Cuntz–Krieger algebras, the underlying algebra is the (unital) algebra of continuous functions on a compact space of infinite words. Recently there have been many interesting generalisations of Cuntz–Krieger algebras, such as the graph algebras discussed in [29], where the infinite-path space is locally compact rather than compact. Our goal here is to extend Exel’s construction to cover endomorphisms of non-unital algebras, with a view to realising some substantial family of graph algebras as Exel crossed products.

Our extension of Exel’s construction follows the original as closely as possible: there are technical issues involving nondegeneracy of representations and homomorphisms, but otherwise things go quite smoothly. Our main technical tools are a realisation of the crossed product as a relative Cuntz–Pimsner algebra, generalising the one for unital algebras found and used by the first two authors in [5], and a closely related realisation as a topological-graph algebra, which allows us to apply the deep results of Katsura on simplicity and ideal structure [17, 18]. We succeed in realising the C^* -algebras of locally finite graphs without sources as Exel crossed products, and we analyse the ideal structure of Exel crossed products arising from (non-compact) irreversible dynamical systems. The limitations of our method (for example, as to what kinds of graphs we can handle) are in many ways as interesting as the results we have obtained, and at the end we make some speculative comments on what we have learned from our investigations.

We begin in Section 2 by describing the *Exel systems* which we study. Each system consists of an endomorphism α of a C^* -algebra A and a transfer operator $L: A \rightarrow A$. For technical reasons, we have chosen to assume that the endomorphisms and transfer operators have strictly continuous extensions to the multiplier algebra; similar *extendibility* hypotheses have appeared in the work of Adji [1] and Larsen [21]. These properties are enjoyed by the endomorphisms $\alpha: f \mapsto f \circ \tau$ of $C_0(T)$ associated to proper local homeomorphisms $\tau: T \rightarrow T$; we refer to such a pair (T, τ) as a *classical system*. In our motivating example, τ is the shift on the infinite path space of a locally finite graph.

In Sections 3 and 4, we describe the crossed products of Exel systems (A, α, L) . As in [7], there are two algebras of interest: the *Toeplitz crossed product* $\mathcal{T}(A, \alpha, L)$, and the *crossed product* $A \rtimes_{\alpha, L} \mathbb{N}$, which is a quotient of $\mathcal{T}(A, \alpha, L)$. Following [5], we identify $\mathcal{T}(A, \alpha, L)$ as the Toeplitz algebra of a particular Hilbert bimodule M_L built from (A, α, L) (Proposition 3.1), and $A \rtimes_{\alpha, L} \mathbb{N}$ as a relative Cuntz–Pimsner algebra $\mathcal{O}(K_\alpha, M_L)$ (Theorem 4.1). For Exel systems $(C_0(T), \alpha, L)$ arising from classical systems, the ideal K_α is all of A , and $C_0(T) \rtimes_{\alpha, L} \mathbb{N}$ is the Cuntz–Pimsner algebra $\mathcal{O}(M_L)$.

In Section 5, we achieve one of our goals by proving that the C^* -algebra of a locally finite graph with no sources can be realised as the Exel crossed product of the classical system involving the shift on the (locally compact) space of infinite paths. (Exel and Royer [9] have described a different extension of the theory in [7] which covers the Exel–Laca algebras using a (unital) algebra of functions on a compact space.)

In Section 6, we give criteria for the simplicity of crossed products associated to classical systems. Our main tool is the work of Katsura [17, 18], which applies because we can realise the Cuntz–Pimsner algebra $\mathcal{O}(M_L) = C_0(T) \rtimes_{\alpha, L} \mathbb{N}$ as the C^* -algebra of a topological

graph. We then check that these criteria are compatible with the known criteria for graph algebras. In Sections 7 and 8 we use the same technique to determine the gauge-invariant ideals and primitive ideals of crossed products of the form $C_0(T) \rtimes_{\alpha, L} \mathbb{N}$. In all these sections, it takes some effort to recast the results in the language of dynamics so we can compare them with those for compact T in [10], and more effort to convert them to the usual graph-theoretic descriptions of the ideal structure of graph algebras in [3, 4, 14, 29], for example. Reassuringly, though, everything does match up in the end.

1.1. *Background and notation*

Let A be a C^* -algebra. A *Hilbert A -bimodule* (or *correspondence over A*) is a right Hilbert A -module M together with a left action of A on M which is implemented by a homomorphism ϕ of A into the C^* -algebra $\mathcal{L}(M)$ of adjointable operators on M : $a \cdot x := \phi(a)(x)$. A *Toeplitz representation* (ψ, π) of M in a C^* -algebra B consists of a linear map $\psi: M \rightarrow B$ and a homomorphism $\pi: A \rightarrow B$ such that

$$\psi(x \cdot a) = \psi(x)\pi(a), \quad \psi(x)^*\psi(y) = \pi(\langle x, y \rangle_A), \quad \text{and} \quad \psi(a \cdot x) = \pi(a)\psi(x).$$

The *Toeplitz algebra* of M is the C^* -algebra $\mathcal{T}(M)$ generated by a universal Toeplitz representation (i_M, i_A) (see [13, proposition 1.3]).

For $x, y \in M$ the operator $\Theta_{x,y}: M \rightarrow M$ defined by $\Theta_{x,y}(z) := x \cdot \langle y, z \rangle_A$ is adjointable with $\Theta_{x,y}^* = \Theta_{y,x}$. The span $\mathcal{K}(M) := \overline{\text{span}}\{\Theta_{x,y} : x, y \in M\}$ is a closed two-sided ideal in $\mathcal{L}(M)$ called the *algebra of compact operators on M* . Thus $J(M) := \phi^{-1}(\mathcal{K}(M))$ is a closed two-sided ideal in A . For every Toeplitz representation (ψ, π) of M in B there is a homomorphism $(\psi, \pi)^{(1)}: \mathcal{K}(M) \rightarrow B$ satisfying

$$(\psi, \pi)^{(1)}(\Theta_{x,y}) = \psi(x)\psi(y)^* \quad \text{for } x, y \in M.$$

If K is an ideal with $K \subset J(M)$, a Toeplitz representation (ψ, π) of M is *coisometric on K* if

$$(\psi, \pi)^{(1)}(\phi(a)) = \pi(a) \quad \text{for } a \in K,$$

and the *relative Cuntz–Pimsner algebra* $\mathcal{O}(K, M)$ is the C^* -algebra generated by a universal Toeplitz representation (k_M, k_A) which is coisometric on K (see [12, 22]). It is the quotient of $\mathcal{T}(M)$ by the ideal generated by

$$\{(i_M, i_A)^{(1)}(\phi(a)) - i_A(a) : a \in K\},$$

and if $q: \mathcal{T}(M) \rightarrow \mathcal{O}(K, M)$ is the quotient map, then $(k_M, k_A) := (q \circ i_M, q \circ i_A)$. We have $\mathcal{O}(\{0\}, M) = \mathcal{T}(M)$, and $\mathcal{O}(J(M), M)$ is Pimsner's version of the Cuntz–Pimsner algebra [12, 28]. With $(\ker \phi)^\perp = \{a \in A : ab = 0 \text{ for all } b \in \ker \phi\}$, we recover Katsura's version of the Cuntz–Pimsner algebra as $\mathcal{O}(J(M) \cap (\ker \phi)^\perp, M)$ [16]. In our bimodules the homomorphism ϕ is always injective, and Pimsner's and Katsura's Cuntz–Pimsner algebras are the same algebra $\mathcal{O}(M)$.

2. *Exel systems*

Suppose A is a C^* -algebra and α is an endomorphism of A . We assume throughout that α is *extendible*: there is a strictly continuous endomorphism $\bar{\alpha}$ of $M(A)$ such that $\bar{\alpha}|_A = \alpha$. This is equivalent to assuming that there is an approximate identity $(u_\lambda)_{\lambda \in \Lambda}$ for A and a projection $p_\alpha \in M(A)$ such that $\alpha(u_\lambda) \rightarrow p_\alpha$ strictly in $M(A)$. In this paper, a *transfer operator* L for (A, α) is a bounded positive linear map $L: A \rightarrow A$ which extends to a

bounded positive linear map $\bar{L}: M(A) \rightarrow M(A)$ such that $L(\alpha(a)m) = a\bar{L}(m)$ for $a \in A$ and $m \in M(A)$. We call the triple (A, α, L) an *Exel system*.

Remark 2.1. Since positive linear maps are adjoint-preserving, the extension also satisfies $L(m\alpha(a)) = \bar{L}(m)a$. Such transfer operators \bar{L} are automatically strictly continuous.

2.1. Exel systems arising from classical systems

In the main examples of interest to us (and in [7], [8] and [10]), the C^* -algebra A is commutative. A *classical system* consists of a locally compact Hausdorff space T and a surjective local homeomorphism $\tau: T \rightarrow T$ which is proper in the sense that inverse images of compact sets are compact. Properness implies that $\alpha: f \mapsto f \circ \tau$ maps $C_0(T)$ into $C_0(T)$, and the endomorphism α is nondegenerate, hence extendible with $\bar{\alpha}(1) = 1$. As in [7] and [8], the transfer operator L is defined by averaging over the inverse images of points. It is not immediately obvious that this process maps $C_0(T)$ to itself:

LEMMA 2.2. *Suppose that $\tau: T \rightarrow T$ is a surjective proper local homeomorphism. Then the function $\delta: T \rightarrow \mathbb{N}$ defined by $\delta(t) = |\tau^{-1}(t)|$ is locally constant, and for every $f \in C_0(T)$ the function $L(f)$ defined by*

$$L(f)(t) = \frac{1}{|\tau^{-1}(t)|} \sum_{\tau(s)=t} f(s) \quad (2.1)$$

belongs to $C_0(T)$.

Proof. We fix $t \in T$ and a compact neighbourhood N of t . The inverse image $\tau^{-1}(t)$ is a compact set, and it cannot have a cluster point because τ is a local homeomorphism, so it must be finite. We list it as $\tau^{-1}(t) = \{s_i : 1 \leq i \leq m\}$. Next choose disjoint open sets $U_i \subset \tau^{-1}(N)$ such that $s_i \in U_i$ and $s|_{U_i}$ is a local homeomorphism onto an open neighbourhood of t . The set $K := \tau^{-1}(N) \setminus (\bigcup_i U_i)$ is compact, and t does not belong to $\tau(K)$, so there is a neighbourhood V of t which misses $\tau(K)$. Then $W := \bigcap_i (V \cap \tau(U_i))$ is an open neighbourhood of t , and every point of W has exactly m preimages, one in each U_i . So δ is constant on W , and $L(f)|_W = (1/m) \sum_{i=1}^m f \circ (s|_{U_i})^{-1}|_W$ is continuous at t .

Finally, note that if $|f| < \epsilon$ outside a compact set K , then $|L(f)| < \epsilon$ outside the compact set $\tau(K)$.

Calculations show that the map $L: C_0(T) \rightarrow C_0(T)$ defined in Lemma 2.2 is positive, norm-decreasing and satisfies $L(\alpha(f)g) = fL(g)$. Equation (2.1) also defines a map \bar{L} on $C_b(T) = M(C_0(T))$ with the required properties, and hence L is a transfer operator for $(C_0(T), \alpha)$. Thus $(C_0(T), \alpha, L)$ is an Exel system.

Remark 2.3. The normalising factor of $|\tau^{-1}(t)|^{-1}$ in (2.1) is not required for the key identity $L(\alpha(f)g) = fL(g)$ – we could multiply L by any bounded continuous function without changing this equation. Indeed, in [10] no normalising factor is used. However, there the space T is compact, so the function $t \mapsto |\tau^{-1}(t)|$ is bounded, and the unnormalised transfer operator is still a bounded linear map on $C(T)$. When T is locally compact, $t \mapsto |\tau^{-1}(t)|$ need not be bounded, and then we have to include the normalising factor to ensure that (2.1) defines a bounded operator on $C_0(T)$.

2.2. Systems arising from directed graphs

We assume throughout this paper that $E = (E^0, E^1, r, s)$ is a locally finite directed graph with no sources or sinks, and in Section 9 we discuss the changes that would need to be made

to accommodate more general graphs. We think of elements of E^0 as vertices, elements of E^1 as edges, and $r, s: E^1 \rightarrow E^0$ as determining the range and source of edges. Saying that E has no sources (resp. sinks) means that $r^{-1}(v)$ (resp. $s^{-1}(v)$) is nonempty for every vertex $v \in E^0$. Local-finiteness means that E is both *row-finite* ($r^{-1}(v)$ is finite for every v) and *column-finite* ($s^{-1}(v)$ is finite for every v).

We use the conventions of [29] for graphs and their C^* -algebras. Thus $C^*(E)$ is the C^* -algebra generated by a universal Cuntz–Krieger E -family consisting of partial isometries $\{s_e : e \in E^1\}$ and mutually orthogonal projections $\{p_v : v \in E^0\}$ such that $s_e^*s_e = p_{s(e)}$ and $p_v = \sum_{r(e)=v} s_e s_e^*$. We write E^* for the set of finite paths $\mu = \mu_1\mu_2 \cdots \mu_n$ satisfying $s(\mu_i) = r(\mu_{i+1})$ for all i , and $|\mu|$ for the length n of such a path μ .

The Exel system associated to E arises from a classical system, as in Section 2.1. The underlying topological space E^∞ is the set of infinite paths $\xi = \xi_1\xi_2\xi_3 \cdots$, which is locally compact in the product topology from $\prod_{n=1}^\infty E^1$ because E is row-finite; this topology has a basis consisting of the compact open sets $Z(\mu) := \{\xi \in E^\infty : \xi_i = \mu_i \text{ for } i \leq |\mu|\}$ for $\mu \in E^*$. The map σ is the shift on E^∞ defined by $\sigma(\xi_1\xi_2\xi_3 \cdots) = \xi_2\xi_3 \cdots$; σ is a local homeomorphism because it is a homeomorphism of each $Z(e)$ onto $Z(s(e))$, and is proper because the graph is column-finite.

As in Section 2.1, the endomorphism α in our Exel system $(C_0(E^\infty), \alpha, L)$ is given by $\alpha : f \mapsto f \circ \sigma$ and the transfer operator L is defined by averaging over the inverse images of points. Since $\sigma^{-1}(\xi) = \{e\xi : s(e) = r(\xi)\}$, we can write L as

$$L(f)(\xi) = \frac{1}{|s^{-1}(r(\xi))|} \sum_{s(e)=r(\xi)} f(e\xi).$$

Even for locally finite graphs E the valencies $|s^{-1}(v)|$ may be unbounded, so this is one situation where we need the normalising factor to make L bounded (see Remark 2.3).

3. The Toeplitz crossed product

A *Toeplitz-covariant representation* of an Exel system (A, α, L) in a C^* -algebra B consists of a nondegenerate homomorphism $\pi: A \rightarrow B$ and an element $V \in M(B)$ such that

(TC1) $V\pi(a) = \pi(\alpha(a))V$, and

(TC2) $V^*\pi(a)V = \pi(L(a))$.

The *Toeplitz crossed product* $\mathcal{T}(A, \alpha, L)$ is the C^* -algebra generated by a universal Toeplitz-covariant representation (i, S) .

Following [7] and [5], we next realise $\mathcal{T}(A, \alpha, L)$ as the Toeplitz algebra of a Hilbert bimodule. We make A into a right A -module A_L in which the right action of $a \in A$ on $m \in A_L$ is given by $m \cdot a = m\alpha(a)$, and define a pairing on A_L by $\langle m, n \rangle_L = L(m^*n)$; A_L is then a pre-inner-product module. We mod out by vectors $m \in A_L$ with $\langle m, m \rangle_L = 0$, and denote the quotient map by q . The completion M_L of the quotient is a Hilbert A -module, and $q(A_L)$ is dense in M_L . The action of A by left multiplication extends to an action by bounded adjointable operators on M_L , giving a homomorphism $\phi : A \rightarrow \mathcal{L}(M_L)$, and M_L becomes a right-Hilbert bimodule. Further details are in [5, section 3]. An approximate-identity argument shows that M_L is essential as a left A -module: $A \cdot M_L = \{a \cdot m : a \in A, m \in M_L\}$ is dense in M_L . (M_L is also essential as a right A -module, because every Hilbert module is [30, corollary 2.7].)

PROPOSITION 3.1. *Suppose (A, α, L) is an Exel system. There is a linear map $\psi_S : M_L \rightarrow \mathcal{T}(A, \alpha, L)$ such that $\psi_S(q(a)) = i(a)S$, and (ψ_S, i) is a Toeplitz representation of M_L in $\mathcal{T}(A, \alpha, L)$ such that $\psi_S \times i$ is an isomorphism of $\mathcal{T}(M_L)$ onto $\mathcal{T}(A, \alpha, L)$.*

This proposition seems to be substantially trickier than the analogous result for unital A [5, corollary 3.3]. As in the unital case, there is an issue with nondegeneracy: in a Toeplitz representation (ψ, π) , the representation π does not have to be nondegenerate. But even if we assume nondegeneracy, it is not so easy to move from Toeplitz representations (ψ, π) to Toeplitz-covariant representations (π, V) : in the unital case, we just take $V = \psi(q(1))$, and we go back by taking $\psi_V(q(a)) = \pi(a)V$ (see [5, lemma 3.2]). Here we construct V from (ψ, π) using a spatial argument.

LEMMA 3.2. *Suppose (μ, τ) is a Toeplitz representation of M_L on a Hilbert space \mathcal{H} , and τ is nondegenerate. Then there is a bounded linear operator $U_{\mu, \tau}$ on \mathcal{H} such that*

$$U_{\mu, \tau} \left(\sum_{i=1}^m \tau(a_i)k_i \right) = \sum_{i=1}^m \mu(q(\alpha(a_i)))k_i \quad \text{for } a_i \in A \text{ and } k_i \in \mathcal{H}, \quad (3.1)$$

and the pair $(\tau, U_{\mu, \tau})$ is a Toeplitz-covariant representation on \mathcal{H} .

Proof. Nondegeneracy ensures that τ extends to a representation $\bar{\tau} : M(A) \rightarrow B(\mathcal{H})$, and a calculation using the equation $L(\alpha(a)\alpha(b)) = a\bar{L}(1)b$ shows that

$$\left\| \sum_{i=1}^m \mu(q(\alpha(a_i)))k_i \right\|^2 \leq \|\bar{\tau}(\bar{L}(1))\| \left\| \sum_{i=1}^m \tau(a_i)k_i \right\|^2 \leq \|\bar{L}(1)\| \left\| \sum_{i=1}^m \tau(a_i)k_i \right\|^2. \quad (3.2)$$

If $\sum_{i=1}^m \tau(a_i)k_i = \sum_{i=1}^n \tau(b_i)l_i$, then (3.2) implies that

$$\left\| \sum_{i=1}^m \mu(q(\alpha(a_i)))k_i - \sum_{i=1}^n \mu(q(\alpha(b_i)))l_i \right\|^2 \leq \|\bar{L}(1)\| \left\| \sum_{i=1}^m \tau(a_i)k_i - \sum_{i=1}^n \tau(b_i)l_i \right\|^2 = 0,$$

and hence there is a well-defined linear map $U_{\mu, \tau}$ on $\text{span}\{\tau(a)h : a \in A, h \in \mathcal{H}\}$ satisfying (3.1). Equation (3.2) implies that $U_{\mu, \tau}$ is norm-decreasing, and hence extends to a bounded linear operator on $\overline{\text{span}\{\tau(a)h : a \in A, h \in \mathcal{H}\}}$, which is all of \mathcal{H} by nondegeneracy of τ .

To see that $(\tau, U_{\mu, \tau})$ is Toeplitz-covariant, we let $b \in A$. Then

$$U_{\mu, \tau} \tau(a)(\tau(b)h) = \mu(q(\alpha(ab)))h = \tau(\alpha(a))\mu(q(\alpha(b)))h = \tau(\alpha(a))U_{\mu, \tau}(\tau(b)h),$$

and the nondegeneracy of τ implies that $U_{\mu, \tau} \tau(a) = \tau(\alpha(a))U_{\mu, \tau}$. Next we calculate:

$$\begin{aligned} (U_{\mu, \tau}^* \tau(a)U_{\mu, \tau}(\tau(b)h) \mid \tau(c)k) &= (\tau(a)U_{\mu, \tau}(\tau(b)h) \mid U_{\mu, \tau}(\tau(c)k)) \\ &= (\tau(a)\mu(q(\alpha(b)))h \mid \mu(q(\alpha(c)))k) \\ &= (\mu(q(\alpha(c)))^* \mu(q(\alpha\alpha(b)))h \mid k) \\ &= (\tau(L(\alpha(c))^* \alpha\alpha(b)))h \mid k) \\ &= (\tau(c)^* \tau(L(a))(\tau(b)h) \mid k) \\ &= (\tau(L(a))(\tau(b)h) \mid \tau(c)k), \end{aligned}$$

which gives $U_{\mu, \tau}^* \tau(a)U_{\mu, \tau} = \tau(L(a))$.

LEMMA 3.3. *If (π, V) is a Toeplitz-covariant representation of (A, α, L) in a C^* -algebra B , then there is a Toeplitz representation (ψ_V, π) of M_L in B such that $\psi_V(q(a)) = \pi(a)V$.*

Proof. We define $\theta: A_L \rightarrow B$ by $\theta(a) = \pi(a)V$. Then θ is linear, and for $a \in A$ we have

$$\|\theta(a)\|^2 = \|(\pi(a)V)^*\pi(a)V\| = \|\pi(L(a^*a))\| \leq \|L(a^*a)\| = \|(a, a)_L\|,$$

so θ is bounded for the semi-norm on A_L and extends to a bounded map $\psi_V: M_L \rightarrow B$. To see that (ψ_V, π) is a Toeplitz representation of M_L , we let $a, b, c \in A$ and compute:

$$\psi_V(q(b) \cdot a) = \psi_V(q(b\alpha(a))) = \pi(b\alpha(a))V = \pi(b)V\pi(a) = \psi_V(q(b))\pi(a),$$

$$\psi_V(q(b))^*\psi_V(q(c)) = (\pi(b)V)^*\pi(c)V = V^*\pi(b^*c)V = \pi(L(b^*c)) = \pi(\langle q(b), q(c) \rangle_L),$$

and

$$\psi_V(a \cdot q(b)) = \psi_V(q(ab)) = \pi(ab)V = \pi(a)\pi(b)V = \pi(a)\psi_V(q(b)).$$

This completes the proof.

LEMMA 3.4. *Suppose that (ψ, π) is a Toeplitz representation of M_L on a Hilbert space \mathcal{H} . Then the essential subspace $\mathcal{K} := \overline{\text{span}}\{\pi(a)h : a \in A, h \in \mathcal{H}\}$ is reducing for (ψ, π) , and we have $\pi|_{\mathcal{K}^\perp} = 0$ and $\psi|_{\mathcal{K}^\perp} = 0$.*

Proof. It is standard that \mathcal{K} is reducing for π and $\pi|_{\mathcal{K}} = 0$, so we need to show that \mathcal{K} and \mathcal{K}^\perp are invariant under ψ . Let $m \in M_L$ and $k \in \mathcal{K}$. Since M_L is essential, the Cohen factorisation theorem (as in [30, proposition 2.33], for example) allows us to factor $m = a \cdot m'$. Then $\psi(m)k = \psi(a \cdot m')k = \pi(a)\psi(m')k$ belongs to \mathcal{K} , so \mathcal{K} is invariant under ψ . Next, for $m \in M_L$ and $h \in \mathcal{K}^\perp$, we have

$$\|\psi(m)h\|^2 = (\psi(m)h | \psi(m)h) = (\psi(m)^*\psi(m)h | h) = (\pi(\langle m, m \rangle_L)h | h) = 0,$$

because $\pi(\langle m, m \rangle_L)h \in \mathcal{K}$. Hence $\psi(m)h = 0$ for all $h \in \mathcal{K}^\perp$, which implies that \mathcal{K}^\perp is invariant under ψ and that $\psi(m)|_{\mathcal{K}^\perp} = 0$.

Proof of Proposition 3.1. By Lemma 3.3, there is a Toeplitz representation (ψ_S, i) of M_L in $\mathcal{T}(A, \alpha, L)$. We will use [13, proposition 1.3] to prove that $(\mathcal{T}(A, \alpha, L), \psi_S, i)$ has the universal property which characterises $(\mathcal{T}(M_L), i_{M_L}, i_A)$. Since $\mathcal{T}(A, \alpha, L)$ is generated by $i(A) \cup i(A)S$, it is generated by $i(A) \cup \psi_S(M_L)$. Next, let (ψ, π) be a Toeplitz representation of M_L in B , and aim to prove that there is a representation $\psi \times \pi$ of $\mathcal{T}(A, \alpha, L)$ such that $(\psi \times \pi) \circ i = \pi$ and $(\psi \times \pi) \circ \psi_S = \psi$.

We choose a faithful nondegenerate representation $\rho : B \rightarrow B(\mathcal{H})$, and consider the Toeplitz representation $(\psi_0, \pi_0) := (\rho \circ \psi, \rho \circ \pi)$. Lemma 3.4 implies that the restriction $(\psi_0|_{\mathcal{K}}, \pi_0|_{\mathcal{K}})$ to the essential subspace \mathcal{K} of π_0 is a Toeplitz representation of M_L on \mathcal{K} with $\pi_0|_{\mathcal{K}}$ nondegenerate, so Lemma 3.2 gives a Toeplitz-covariant representation $(\pi_0|_{\mathcal{K}}, V)$ of (A, α, L) on \mathcal{K} , and the universal property of $\mathcal{T}(A, \alpha, L)$ gives a nondegenerate representation $\pi_0|_{\mathcal{K}} \times V: \mathcal{T}(A, \alpha, L) \rightarrow B(\mathcal{K})$ satisfying $(\pi_0|_{\mathcal{K}} \times V) \circ i = \pi_0|_{\mathcal{K}}$ and $(\pi_0|_{\mathcal{K}} \times V)(S) = V$. The representation

$$\mu := (\pi_0|_{\mathcal{K}} \times V) \oplus 0 : \mathcal{T}(A, \alpha, L) \longrightarrow B(\mathcal{H})$$

then satisfies $\mu \circ i = \pi_0 = \rho \circ \pi$, and $\mu \circ \psi_S = \psi_0 = \rho \circ \psi$. Since $\mathcal{T}(A, \alpha, L)$ is generated by $i(A) \cup \psi_S(M_L)$, and the range of ρ is closed, we have $\text{range } \mu \subset \text{range } \rho$, and the homomorphism $\psi \times \pi := \rho^{-1} \circ \mu$ has the required properties. The result now follows from [13, proposition 1.3].

COROLLARY 3.5. *The map $i : A \rightarrow \mathcal{T}(A, \alpha, L)$ is injective. The map $i_A : A \rightarrow \mathcal{T}(M_L)$ is nondegenerate, and the canonical Toeplitz representation (i_{M_L}, i_A) is universal for Toeplitz representations (ψ, π) in which π is nondegenerate.*

Proof. Proposition 1.3 of [13] implies that $i_A : A \rightarrow \mathcal{T}(M_L)$ is injective, and therefore so is $i = (\psi_S \times i)^{-1} \circ i_A$. On the other hand, i is nondegenerate, and so is $i_A = (\psi_S \times i) \circ i$. The last statement now follows from the universal property of $(\mathcal{T}(M_L), i_{M_L}, i_A)$.

4. The crossed product

Suppose that (A, α, L) is an Exel system, (i, S) is the canonical Toeplitz-covariant representation of (A, α, L) in $\mathcal{T}(A, \alpha, L)$, and (ψ_S, i) is the Toeplitz representation of Proposition 3.1. Following [7], we say that a pair $(i(a), k)$ in $\mathcal{T}(A, \alpha, L)$ is a *redundancy* if $k \in \overline{i(A)SS^*i(A)}$ and $i(a)i(b)S = ki(b)S$ for all $b \in A$. As in [5, lemma 3.5], $(i(a), k)$ is a redundancy if and only if $a \in \phi^{-1}(\mathcal{K}(M_L))$ and $k = (\psi_S, i)^{(1)}(\phi(a))$.

Following [7], we define the crossed product $A \rtimes_{\alpha, L} \mathbb{N}$ to be the quotient of $\mathcal{T}(A, \alpha, L)$ by the ideal $I(A, \alpha, L)$ generated by the elements $i(a) - k$ such that $(i(a), k)$ is a redundancy and $a \in \overline{A\alpha(A)A}$. As in [5, corollary 3.6], we write $K_\alpha := \overline{A\alpha(A)A} \cap \phi^{-1}(\mathcal{K}(M_L))$, and then $I(A, \alpha, L)$ is the ideal generated by the elements $i(a) - (\psi_S, i)^{(1)}(\phi(a))$ for $a \in K_\alpha$. We write Q for the quotient map of $\mathcal{T}(A, \alpha, L)$ onto $A \rtimes_{\alpha, L} \mathbb{N}$.

As in [5, proposition 3.6], the crossed product $(A \rtimes_{\alpha, L} \mathbb{N}, Q \circ i, \overline{Q}(S))$ is universal for Toeplitz representations (π, V) of (A, α, L) which are *covariant* in the sense that

$$\pi(a) = (\psi_V, \pi)^{(1)}(\phi(a)) \text{ for all } a \in K_\alpha.$$

Then, extending [5, proposition 3.10], we have:

THEOREM 4.1. *For every Exel system (A, α, L) , there is an isomorphism θ of the relative Cuntz–Pimsner algebra $\mathcal{O}(K_\alpha, M_L)$ onto $A \rtimes_{\alpha, L} \mathbb{N}$ such that $\theta \circ k_A = Q \circ i$ and $\theta \circ k_{M_L} = Q \circ \psi_S = \psi_{\overline{Q}(S)}$.*

Proof. We first observe that $Q(\psi_S(q(a))) = Q(\pi(a))\overline{Q}(S)$, so $Q \circ \psi_S$ coincides with the representation $\psi_{\overline{Q}(S)}$ associated to $(Q \circ \pi, \overline{Q}(S))$. We prove that $(A \rtimes_{\alpha, L} \mathbb{N}, \psi_{\overline{Q}(S)}, Q \circ i)$ has the universal property given in Section 1.1 which characterises $(\mathcal{O}(K_\alpha, M_L), k_{M_L}, k_A)$. Since $\pi(A) \cup \psi_S(M_L)$ generates the Toeplitz algebra, $Q \circ \pi(A) \cup \psi_{\overline{Q}(S)}(M_L)$ generates $A \rtimes_{\alpha, L} \mathbb{N}$.

Suppose that (ψ, π) is a Toeplitz representation of M_L in a C^* -algebra B which is coisometric on K_α ; that is, $(\psi, \pi)^{(1)}(\phi(a)) = \pi(a)$ for all $a \in K_\alpha$. As in the proof of Proposition 3.1, we choose a faithful nondegenerate representation $\rho : B \rightarrow B(\mathcal{H})$, and consider the Toeplitz representation $(\psi_0, \pi_0) := (\rho \circ \psi, \rho \circ \pi)$ of M_L on \mathcal{H} . The identity $(\rho \circ \psi, \rho \circ \pi)^{(1)} = \rho \circ (\psi, \pi)^{(1)}$ (see [12, section 1]) implies that (ψ_0, π_0) is coisometric on K_α . Now we restrict (ψ_0, π_0) to the essential subspace \mathcal{K} for π_0 , and, as in the proof of Proposition 3.1, we get a Toeplitz-covariant representation $(\pi_0|_{\mathcal{K}}, V)$. A straightforward calculation shows that $(\psi_0|_{\mathcal{K}}, \pi_0|_{\mathcal{K}})^{(1)}(T) = (\psi_0, \pi_0)^{(1)}(T)|_{\mathcal{K}}$ for $T = \Theta_{m,n}$, and this extends by linearity and continuity to $T \in \mathcal{K}(M_L)$. Thus

$$\begin{aligned} (\psi_V, \pi_0|_{\mathcal{K}})^{(1)}(\phi(a))(k) &= (\psi_0|_{\mathcal{K}}, \pi_0|_{\mathcal{K}})^{(1)}(\phi(a))(k) = (\psi_0, \pi_0)^{(1)}|_{\mathcal{K}}(\phi(a))(k) \\ &= (\psi_0, \pi_0)^{(1)}(\phi(a))(k) = \pi_0(a)(k) = \pi_0|_{\mathcal{K}}(a)(k), \end{aligned}$$

so $(\pi_0|_{\mathcal{K}}, V)$ is a covariant representation of (A, α, L) , and gives a representation $\pi_0|_{\mathcal{K}} \times V$ of $A \rtimes_{\alpha, L} \mathbb{N}$. Then $\nu := \rho^{-1} \circ ((\pi_0|_{\mathcal{K}} \times V) \oplus 0)$ satisfies $\nu \circ (Q \circ i) = \pi$ and $\nu \circ \psi_{\overline{Q}(S)} = \psi$.

The result now follows from [12, proposition 1.3].

From now on, we use the isomorphism of Theorem 4.1 to identify $A \rtimes_{\alpha, L} \mathbb{N}$ with $\mathcal{O}(K_\alpha, M_L)$, and we write (k_{M_L}, k_A) for the canonical Toeplitz representation of M_L in $A \rtimes_{\alpha, L} \mathbb{N} = \mathcal{O}(K_\alpha, M_L)$.

For systems $(C_0(T), \alpha, L)$ arising from classical systems (T, τ) , $\phi : C_0(T) \rightarrow \mathcal{L}(M_L)$ has range in $\mathcal{K}(M_L)$. To see this, it suffices to prove that $\phi(f) \in \mathcal{K}(M_L)$ for every $f \in C_c(T)$. Choose a finite cover $\{U_i\}$ of $\text{supp } f$ by relatively compact open sets such that $\tau|_{U_i}$ is one-to-one, and let $\{\rho_i\}$ be a partition of unity subordinate to $\{U_i\}$. Define g_i by $g_i(t) := (|\tau^{-1}(\tau(t))|\rho_i(t))^{1/2}$. Then for $h \in C_0(T)$ we have

$$(\Theta_{f g_i, g_i} h)(t) = f(t)g_i(t) \frac{1}{|\tau^{-1}(\tau(t))|} \sum_{\tau(s)=\tau(t)} g_i(s)h(s) = f(t)\rho_i(t)h(t),$$

so

$$\phi(f) = \sum_i \Theta_{f g_i, g_i} \tag{4.1}$$

belongs to $\mathcal{K}(M_L)$. Since α is nondegenerate, $\overline{A\alpha(A)A} = A$, and $K_\alpha = A$. Thus:

COROLLARY 4.2. *Suppose that $(C_0(T), \alpha, L)$ arises from a classical system (T, τ) , as in Section 2.1. Then $(C_0(T) \rtimes_{\alpha, L} \mathbb{N}, k_{M_L}, k_A)$ is the Cuntz–Pimsner algebra $(\mathcal{O}(M_L), k_{M_L}, k_A)$.*

Next, we recall from [5] that if I is an ideal in A , the transfer operator L is faithful on I of A if $a \in I$ and $L(a^*a) = 0 \Rightarrow a = 0$, and almost faithful on I if

$$a \in I \text{ and } L((ab)^*ab) = 0 \text{ for all } b \in A \implies a = 0.$$

The arguments of [5, theorem 4.2 and corollary 4.3] give the following results on the injectivity of $k_A: A \rightarrow A \rtimes_{\alpha, L} \mathbb{N}$. The examples in [5, section 4] show that they are sharp.

THEOREM 4.3. *Suppose (A, α, L) is an Exel system. Then $Q \circ i: A \rightarrow A \rtimes_{\alpha, L} \mathbb{N}$ is injective if and only if L is almost faithful on $K_\alpha := \overline{A\alpha(A)A} \cap J(M_L)$.*

COROLLARY 4.4. *Suppose (A, α, L) is an Exel system with A commutative. Then $Q \circ i: A \rightarrow A \rtimes_{\alpha, L} \mathbb{N}$ is injective if and only if L is faithful on K_α .*

COROLLARY 4.5. *Suppose that $(C_0(T), \alpha, L)$ arises from a classical system (T, τ) , as in Section 2.1. Then the canonical map k_A of $C_0(T)$ into $C_0(T) \rtimes_{\alpha, L} \mathbb{N} = \mathcal{O}(M_L)$ is injective.*

Proof. We just need to observe that

$$L(f^*f) = 0 \implies \sum_{\tau(s)=t} |f(s)|^2 = 0 \text{ for all } t \implies |f(s)|^2 = 0 \text{ for all } s \implies f = 0.$$

This completes the proof.

5. Graph algebras as Exel crossed products

Our next theorem says that many graph algebras can be viewed as Exel crossed products associated to the classical system (E^∞, σ) . Recall that in this case M_L is the completion of a copy $\{q(f) : f \in C_c(E^\infty)\}$ of $C_c(E^\infty)$.

THEOREM 5.1. *Let E be a locally finite directed graph with no sources or sinks, and define $c: E^0 \rightarrow [0, \infty)$ by $c(v) = |\sigma^{-1}(v)|$. Then the elements*

$$S_e := \sqrt{c(s(e))}k_{M_L}(q(\chi_{Z(e)})) \text{ and } P_v := k_A(\chi_{Z(v)}) \tag{5.1}$$

form a Cuntz–Krieger E -family, and the homomorphism $\pi_{S,P}: C^*(E) \rightarrow C_0(E^\infty) \rtimes_{\alpha,L} \mathbb{N}$ is an isomorphism. For $\mu \in E^n$, we have

$$k_A(\chi_{Z(\mu)}) = S_\mu S_\mu^* \text{ and } k_{M_L}(q(\chi_{Z(\mu)})) = c(s(\mu_1))^{-1/2} S_\mu S_{\mu_2 \cdots \mu_n}^*. \quad (5.2)$$

To make our calculations more legible we are going to drop the map $q: C_c(E^\infty) \rightarrow M_L$ from our notation. We will use the next lemma several times.

LEMMA 5.2. For $\mu \in E^*$ with $|\mu| \geq 1$ we have

$$\phi(\chi_{Z(\mu)}) = c(s(\mu_1)) \Theta_{\chi_{Z(\mu)}, \chi_{Z(\mu_1)}} = c(s(\mu_1)) \Theta_{\chi_{Z(\mu_1)}, \chi_{Z(\mu)}}.$$

Proof. We let $f \in C_c(E^\infty)$ and $\xi \in E^\infty$, and compute:

$$\begin{aligned} c(s(\mu_1))(\Theta_{\chi_{Z(\mu)}, \chi_{Z(\mu_1)}}(f))(\xi) &= c(s(\mu_1))(\chi_{Z(\mu)} \cdot \langle \chi_{Z(\mu_1)}, f \rangle_L)(\xi) \\ &= c(s(\mu_1))\chi_{Z(\mu)}(\xi) \langle \chi_{Z(\mu_1)}, f \rangle_L(\sigma(\xi)) \\ &= c(s(\mu_1))\chi_{Z(\mu)}(\xi) c(r(\sigma(\xi)))^{-1} \sum_{s(e)=r(\sigma(\xi))} \chi_{Z(\mu_1)}(e\sigma(\xi)) f(e\sigma(\xi)). \end{aligned} \quad (5.3)$$

This vanishes unless $\xi = \mu\xi'$, and then $e = \mu_1 = \xi_1$ is the only edge which gives a non-zero summand: then $e\sigma(\xi) = \xi$, $r(\sigma(\xi)) = s(\xi_1) = s(\mu_1)$ and (5.3) is $(\chi_{Z(\mu)}f)(\xi) = (\phi(\chi_{Z(\mu)})(f))(\xi)$. The second formula follows from a similar calculation.

Proof of Theorem 5.1. The projections $\{P_v\}$ are mutually orthogonal because the $\chi_{Z(v)}$ are. Next, observe that $\langle \chi_{Z(e)}, \chi_{Z(e)} \rangle_L = L(\chi_{Z(e)}) = c(s(e))^{-1} \chi_{Z(s(e))}$, so

$$S_e^* S_e = c(s(e)) k_A(\langle \chi_{Z(e)}, \chi_{Z(e)} \rangle_L) = k_A(\chi_{Z(s(e))}) = P_{s(e)}.$$

To verify the Cuntz–Krieger relation at a vertex v , we compute using covariance and Lemma 5.2 for $\mu = e$:

$$\begin{aligned} \sum_{r(e)=v} S_e S_e^* &= \sum_{r(e)=v} c(s(e)) k_{M_L}(\chi_{Z(e)}) k_{M_L}(\chi_{Z(e)})^* \\ &= \sum_{r(e)=v} (k_{M_L}, k_A)^{(1)}(c(s(e)) \Theta_{\chi_{Z(e)}, \chi_{Z(e)}}) \\ &= \sum_{r(e)=v} (k_{M_L}, k_A)^{(1)}(\phi(\chi_{Z(e)})) \\ &= k_A(\sum_{r(e)=v} \chi_{Z(e)}) \\ &= k_A(\chi_{Z(v)}) = P_v. \end{aligned} \quad (5.4)$$

So $\{S_e, P_v\}$ is a Cuntz–Krieger E -family, and gives a homomorphism $\pi_{S,P}: C^*(E) \rightarrow \mathcal{O}(M_L)$. Since k_A is faithful (Corollary 4.5), the projections P_v are all non-zero, and the gauge-invariant uniqueness theorem for graph algebras implies that $\pi_{S,P}$ is faithful.

To see that $\pi_{S,P}$ is surjective, it suffices to show that every $k_{M_L}(\chi_{Z(\mu)})$ and every $k_A(\chi_{Z(\mu)})$ belongs to range $\pi_{S,P}$. We prove by induction that $k_{M_L}(\chi_{Z(\mu)}) \in \text{range } \pi_{S,P}$ for every $\mu \in E^{n+1}$ and $k_A(\chi_{Z(v)}) \in \text{range } \pi_{S,P}$ for every $v \in E^n$. This is true for $n = 0$ by definition of S_e and P_v . Suppose it is true for $n = k$, and let $v \in E^{k+1}$ and $\mu \in E^{k+2}$. Using Lemma 5.2, we have

$$\begin{aligned} k_A(\chi_{Z(v)}) &= (k_{M_L}, k_A)^{(1)}(\phi(\chi_{Z(v)})) = (k_{M_L}, k_A)^{(1)}(c(s(v_1)) \Theta_{\chi_{Z(v)}, \chi_{Z(v_1)}}) \\ &= c(s(v_1)) k_{M_L}(\chi_{Z(v)}) k_{M_L}(\chi_{Z(v_1)})^*, \end{aligned} \quad (5.5)$$

which belongs to range $\pi_{S,P}$ by the inductive hypothesis. Next, we use the inductive hypothesis on k_{M_L} and (5.5) (for $v = \mu_2 \cdots \mu_{k+2}$) to see that

$$\begin{aligned} k_{M_L}(\chi_{Z(\mu)}) &= k_{M_L}(\chi_{Z(\mu_1)}\alpha(\chi_{Z(\mu_2 \cdots \mu_{k+2})})) \\ &= k_{M_L}(\chi_{Z(\mu_1)} \cdot \chi_{Z(\mu_2 \cdots \mu_{k+2})}) \\ &= k_{M_L}(\chi_{Z(\mu_1)})k_A(\chi_{Z(\mu_2 \cdots \mu_{k+2})}) \end{aligned} \tag{5.6}$$

belongs to range $\pi_{S,P}$. Thus $\pi_{S,P}$ is surjective.

The second formula in (5.2) follows from a calculation like that in (5.6). We prove the first formula by induction on n . It is trivially true for $n = 0$. So suppose it is true for $n = k$. Now we let $\mu \in E^{k+1}$ and calculate, using Lemma 5.2 again:

$$\begin{aligned} k_A(\chi_{Z(\mu)}) &= k_A(\chi_{Z(\mu)})^2 = (k_{M_L}, k_A)^{(1)}(c(s(\mu_1))^2 \Theta_{\chi_{Z(\mu_1)}, \chi_{Z(\mu)}} \Theta_{\chi_{Z(\mu)}, \chi_{Z(\mu_1)}}) \\ &= c(s(\mu_1))^2 k_{M_L}(\chi_{Z(\mu_1)})k_{M_L}(\chi_{Z(\mu)})^* k_{M_L}(\chi_{Z(\mu)})k_{M_L}(\chi_{Z(\mu_1)})^* \\ &= c(s(\mu_1))^2 k_{M_L}(\chi_{Z(\mu_1)})k_A(\langle \chi_{Z(\mu)}, \chi_{Z(\mu)} \rangle_L)k_{M_L}(\chi_{Z(\mu_1)})^* \\ &= c(s(\mu_1))S_{\mu_1}k_A(L(\chi_{Z(\mu)}))S_{\mu_1}^*. \end{aligned}$$

A quick calculation shows that $L(\chi_{Z(\mu)}) = c(s(\mu_1))^{-1} \chi_{Z(\mu_2 \cdots \mu_{k+1})}$, so the inductive hypothesis implies that

$$k_A(\chi_{Z(\mu)}) = S_{\mu_1}(S_{\mu_2 \cdots \mu_{k+1}}S_{\mu_2 \cdots \mu_{k+1}}^*)S_{\mu_1}^* = S_{\mu}S_{\mu}^*.$$

This completes the proof.

6. Simplicity for classical systems

To find criteria for the simplicity of crossed products $C_0(T) \rtimes_{\alpha,L} \mathbb{N} = \mathcal{O}(M_L)$, we want to use Katsura's general theory of topological graphs [17, 18] (as in [9]): to study the classical system (T, τ) , we use the topological graph $E = (T, T, \tau, \text{id})$. The bimodule M_L is not quite the same as the bimodule $C_{\tau}(E)$ appearing in [17], but it is isomorphic to it (this too has been noticed elsewhere, including [15]). Indeed, both bimodules can be viewed as completions of $C_c(T)$, the only difference being that the inner product $\langle \cdot, \cdot \rangle_E$ in $C_{\tau}(E)$ satisfies

$$\langle f, g \rangle_E = \sum_{\tau(s)=t} \overline{f(s)}g(t) = |\tau^{-1}(t)|\langle f, g \rangle_L(t).$$

The formula $U(f)(t) = \sqrt{|\tau^{-1}(\tau(t))|}f(t)$ defines a $C_0(T)$ – $C_0(T)$ bimodule homomorphism U from $C_c(T) \subset C_{\tau}(E)$ to $C_c(T) \subset M_L$ such that $\langle Uf, Ug \rangle_L = \langle f, g \rangle_E$. Thus U extends to an isomorphism of Hilbert bimodules, and the Cuntz–Pimsner algebras $\mathcal{O}(E) := \mathcal{O}(C_{\tau}(E))$ and $C_0(T) \rtimes_{\alpha,L} \mathbb{N} = \mathcal{O}(M_L)$ are isomorphic. Thus we can use Katsura's results to study $C_0(T) \rtimes_{\alpha,L} \mathbb{N}$.

We next describe the faithful representations of $C_0(T) \rtimes_{\alpha,L} \mathbb{N}$. Following Exel–Vershik [10], we say that (T, τ) is *topologically free* if the sets $H_{m,n} := \{t \in T \mid \tau^m(t) = \tau^n(t)\}$ have empty interior for every $m \neq n \in \mathbb{N}$. The next result extends [10, theorem 10.3].

THEOREM 6.1. *Suppose that $\tau: T \rightarrow T$ is a proper local homeomorphism such that (T, τ) is topologically free, and (ψ, π) is a covariant representation of M_L such that π is faithful. Then $\psi \times \pi$ is faithful on $\mathcal{O}(M_L) = C_0(T) \rtimes_{\alpha,L} \mathbb{N}$.*

We need to relate the Exel–Vershik notion of topological freeness which we are using to the one used in [17], and then Theorem 6.1 follows immediately from [17, theorem 5.10].

LEMMA 6.2. *The system (T, τ) is topologically free if and only if the topological graph $E = (T, T, \tau, \text{id})$ is topologically free.*

Proof. Suppose that (T, τ) is topologically free. We need to show that the set of base points of loops without entries has empty interior. The loops in E are the paths $t\tau(t) \cdots \tau^n(t)$ with $t = \tau^{n+1}(t)$; an entry would be an element $s \in E^1 = T$ which has the same range as some $\tau^i(t)$ but is not itself $\tau^i(t)$, and since the range map in E is the identity, there is no such s . So the set of base points of loops without entries is $\bigcup_{n=1}^\infty \{t : t = \tau^n(t)\} = \bigcup_{n=1}^\infty H_{0,n}$. Since each $H_{0,n}$ has no interior, the Baire category theorem for locally compact spaces [23, theorem 48.2] implies that $\bigcup_{n=1}^\infty H_{0,n}$ has empty interior.

Now suppose that (T, τ) is not topologically free. Then there exists $(m, n) \in \mathbb{N}^2$ with $m > n$ such that $H_{m,n}$ contains an open set V . Since local homeomorphisms are open mappings, $\tau^n(V)$ is open, and since $\tau^n(V) \subset H_{0,m-n}$, the set $\bigcup_{k=1}^\infty H_{0,k}$ has interior.

Example 6.3. Suppose that E is a locally finite graph with no sources or sinks. We claim that the system (E^∞, σ) is topologically free if and only if every cycle in E has an entry.

First suppose that (E^∞, σ) is topologically free, and $\mu \in E^n$ is a cycle. Then $\mu\mu\mu \cdots$ belongs to $H_{0,n}$. Since $H_{0,n}$ has empty interior, the set $Z(r(\mu))$ cannot be contained in $H_{0,n}$, and there exists ξ with $r(\xi) = r(\mu)$ but $\xi \neq \sigma^n(\xi)$. Then $\xi \neq \mu\mu\mu \cdots$, and the first ξ_k which is not equal to $(\mu\mu\mu \cdots)_k$ is an entry to μ .

Conversely, suppose every cycle in E has an entry. We fix $m < n$, and aim to show that $H_{m,n}$ has empty interior. If $H_{m,n}$ is empty, this is trivially true, so suppose there exists $\xi \in H_{m,n}$. Then $\mu := \xi_{m+1} \cdots \xi_n$ has $r(\mu) = s(\mu)$, hence contains a cycle, hence has an entry, say e with $r(e) = r(\mu_j)$ but $e \neq \mu_j$. Choose $\eta \in E^\infty$ with $r(\eta) = s(e)$. Because ξ is in $H_{m,n}$, $\xi_{m+k(n-m)+j} = \xi_{m+j} = \mu_j$ for every $k \geq 1$, and then $\zeta^{(k)} := \xi_1 \cdots \xi_{m+k(n-m)+j-1}e\eta$ is a sequence in $E^\infty \setminus H_{m,n}$ which converges to ξ . So no point of $H_{m,n}$ is an interior point, and the claim is proved. (See also [31, proposition 4.3], where this claim is proved in greater generality.)

The first formula in (5.2) shows that if $\{T, Q\}$ is a Cuntz–Krieger family on Hilbert space, then the corresponding covariant representation (θ, ρ) of M_L satisfies $\rho(\chi_{Z(\mu)}) = T_\mu T_\mu^*$. Theorem 6.1 says that $\theta \times \rho$ is faithful if and only if ρ is faithful on $C(E^\infty)$. On the face of it, this is weaker than the Cuntz–Krieger uniqueness theorem, which says that $\pi_{T,Q}$ is faithful if and only if $Q_v \neq 0$ for every $v \in E^0$, and implies that $\theta \times \rho$ is faithful if and only if every $Q_v \neq 0$. However, $C(E^\infty)$ is the direct limit of the subalgebras $D_n = \overline{\text{span}} \{\chi_{Z(\mu)} : |\mu| = n\}$. If every Q_v is non-zero, then every $S_\mu S_\mu^* = Q_{s(\mu)} \neq 0$, the projections $\{S_\mu S_\mu^* : |\mu| = n\}$ are mutually orthogonal and non-zero, ρ is faithful on each D_n , and hence also on the direct limit $C(E^\infty)$ by [29, proposition A.8]. So Theorem 6.1, as it applies to (E^∞, σ) , is equivalent to the Cuntz–Krieger theorem for E .

Next we characterise the systems (T, τ) for which $C_0(T) \rtimes_{\alpha,L} \mathbb{N}$ is simple. Again following [10], we say that a subset Y of T is *invariant*¹ if we have $\tau(Y) \subset Y$ and $\tau^{-1}(Y) \subset Y$, and that τ is *irreducible* if the only closed invariant subsets are \emptyset and T . Our version of [10, theorem 11.2] differs from that theorem in that we need to assume topological freeness as

¹ In [10], the authors define Y to be invariant if $t \in Y$ and $\tau^m(s) = \tau^n(t) \implies s \in Y$, and claim that this is equivalent to $\tau^{-1}(Y) \subset Y$. We think they inadvertently omitted the extra condition $\tau(Y) \subset Y$, since it has to be there: for example, with $\tau : \mathbb{T} \rightarrow \mathbb{T}$ given by $\tau(z) = z^2$, the set $Y = \{\exp(2\pi i k 2^{-n}) : k \in \mathbb{N}, n \geq 2\}$ satisfies $\tau^{-1}(Y) \subset Y$ but is not invariant. [10, proposition 4.1] still holds because the set in question is invariant in our sense; [10, theorem 11.2] still holds because it is a special case of our Theorem 6.4.

well as irreducibility. When τ is a covering map on an infinite compact space, irreducibility implies topological freeness [10, proposition 11.1], but this is not true for locally compact T , as our later examples show.

THEOREM 6.4. *Suppose that $\tau : T \rightarrow T$ is a proper local homeomorphism. Then $C_0(T) \rtimes_{\alpha,L} \mathbb{N}$ is simple if and only if (T, τ) is topologically free and τ is irreducible.*

Proof. Lemma 6.2 says that (T, τ) is topologically free if and only if $E = (T, T, \tau, \text{id})$ is, and it is easy to see that E is minimal in the sense of [18] if and only if τ is irreducible, so the result follows immediately from [18, theorem 8.12].

Example 6.5. Suppose that E is a locally finite graph with no sources or sinks. We claim that σ is irreducible if and only if E is cofinal. This claim and the one in Example 6.3 say that the criteria in Theorem 6.4 applied to (E^∞, σ) reduce to the known criteria for simplicity of $C^*(E) = C_0(E^\infty) \rtimes_{\alpha,L} \mathbb{N}$, as in [4, proposition 5.1] or [29, theorem 4.14].

Suppose E is cofinal, and Y is a nonempty open invariant subset of E^∞ . Let $\xi \in E^\infty$. Since Y is open, it contains a cylinder set $Z(\mu)$, and cofinality implies that there exists $\nu \in E^*$ with $r(\nu) = s(\mu)$ and $s(\nu) = \xi_k$ for some k . Then $\eta := \mu\nu\xi_k\xi_{k+1}\cdots$ is in $Z(\mu) \subset Y$, and since $\sigma^k(\xi) = \sigma^{|\mu|+|\nu|}(\eta)$, invariance of Y implies that $\xi \in Y$. Thus $Y = E^\infty$, as required. Conversely, suppose that σ is irreducible. Then for $v \in E^0$,

$$Y_v := \{\xi \in E^\infty : \text{there exists } \mu \in E^* \text{ with } r(\mu) = v \text{ and } s(\mu) = r(\xi_k) \text{ for some } k\}$$

is a non-empty open invariant subset of E^∞ , hence all of E^∞ . But this says precisely that v can be reached from every infinite path in E , and hence that E is cofinal.

7. Gauge-invariant ideals in crossed products for classical systems

Every relative Cuntz–Pimsner algebra carries a gauge action ([12, proposition 1.3]), and pulling this over under the isomorphism of Theorem 4.1 gives a gauge action $\gamma : \mathbb{T} \rightarrow \text{Aut}(A \rtimes_{\alpha,L} \mathbb{N})$ which is characterised by $\gamma_z \circ k_A = k_A$ and $\gamma_z(i_{M_L}(m)) = zi_{M_L}(m)$. An ideal I in $A \rtimes_{\alpha,L} \mathbb{N}$ is *gauge-invariant* if $\gamma_z(I) \subset I$ for all $z \in \mathbb{T}$.

LEMMA 7.1. *For every ideal I of $C_0(T) \rtimes_{\alpha,L} \mathbb{N}$,*

$$Y_I := \{t \in T : f(t) = 0 \text{ for all } f \in C_0(T) \text{ such that } k_A(f) \in I\}, \tag{7.1}$$

is a closed invariant subset of T in (T, τ) .

Proof. The set Y_I is the kernel of the ideal $k_A^{-1}(I)$, so it is closed. [18, propositions 2.5 and 2.7] say that Y_I is an invariant subset of the topological graph $E = (T, T, \tau, \text{id})$, which is the same thing as invariance in (T, τ) . However, it is easy to give a short direct proof. First, we suppose that $\tau(t) \in Y_I$ and aim to prove that $t \in Y_I$. Let $f \in C_0(T \setminus Y_I)$; we need to prove that $f(t) = 0$. Choose g such that $g(t) = 1$ and g has support in a neighbourhood of t on which τ is one-to-one. Since $k_A(\langle g, \phi(f)g \rangle_L) = k_{M_L}(g)^*k_A(f)k_{M_L}(g)$ is in I , we have $\langle g, \phi(f)g \rangle_L(\tau(t)) = 0$, and the calculation

$$0 = \langle g, \phi(f)g \rangle_L(\tau(t)) = \frac{1}{|\tau^{-1}(\tau(t))|} \sum_{\tau(s)=\tau(t)} |g(s)|^2 f(s) = |\tau^{-1}(\tau(t))|^{-1} f(t)$$

shows that $f(t) = 0$, as required.

We show that $\tau(Y_I) \subset Y_I$ by proving that $k_A(C_c(T \setminus \tau^{-1}(Y_I))) \subset I$. So we take $f \in C_c(T \setminus \tau^{-1}(Y_I))$, and write $\phi(f) = \sum_i \Theta_{fg_i, g_i}$ as in (4.1). Then for $t \in Y_I$ and each i , we have

$$\langle \phi(f)g_i, \phi(f)g_i \rangle_L(t) = \langle fg_i, fg_i \rangle_L(t) = L(|fg_i|^2)(t) = \frac{1}{|\tau^{-1}(t)|} \sum_{\tau(s)=t} |fg_i|^2(s) = 0,$$

because f vanishes on $\tau^{-1}(Y_I)$. Thus $k_{M_L}(fg_i)^*k_{M_L}(fg_i) = k_A(\langle fg_i, fg_i \rangle_L)$ belongs to I , and so does $k_{M_L}(fg_i)$. Thus

$$k_A(f) = (k_A, k_{M_L})^{(1)}(\phi(f)) = (k_A, k_{M_L})^{(1)}(\sum_i \Theta_{fg_i, g_i}) = \sum_i k_{M_L}(fg_i)k_{M_L}(g_i)^*$$

also belongs to I , as required.

THEOREM 7.2. *Suppose that $(C_0(T), \alpha, L)$ arises from a classical system (T, τ) . Then the map $I \mapsto Y_I$ is a bijection from the set of gauge-invariant ideals of $C_0(T) \rtimes_{\alpha, L} \mathbb{N}$ to the set of closed invariant subsets of T . The inverse takes a closed invariant set Y to the ideal I_Y generated by $\{k_A(f) : f \in C_c(T \setminus Y)\}$.*

Proof. Since the range map id is surjective, all the vertices in $E = (T, T, \tau, \text{id})$ are regular; in the notation of [18, section 2], $E_{\text{rg}}^0 = T$ and $E_{\text{sg}}^0 = \emptyset$. Thus the ‘‘admissible pairs’’ in [18, definition 2.3] are (Y, \emptyset) for Y closed and invariant in T . So [18, theorem 3.19] implies that $I \mapsto Y_I$ is a bijection. It remains to identify the inverse.

Suppose Y is closed and invariant, giving the admissible pair $\rho = (Y, \emptyset)$. Since $\phi : C_0(T) \rightarrow \mathcal{L}(M_L)$ has range in $\mathcal{K}(M_L)$ by (4.1), the algebra \mathcal{F}^1 in [18, section 3] is the image under $(k_{C_\tau(E)}, k_A)^{(1)}$ of $\mathcal{K}(C_\tau(E))$. Thus the ideal J_ρ in [18, definition 3.1] is

$$J_\rho = \{(k_{C_\tau(E)}, k_A)^{(1)}(x) : x \in \ker \omega_Y : \mathcal{K}(C_\tau(E)) \longrightarrow \mathcal{K}(C_{\tau|_Y}(Y))\}.$$

[17, lemma 1.14] implies that $\ker \omega_Y|_{\mathcal{K}(C_\tau(E))}$ is

$$\mathcal{K}(C_{\tau|_Y}(T \setminus Y)) = \overline{\text{span}} \{\Theta_{f, g} : f, g \in C_c(T \setminus Y)\},$$

and applying $(k_{C_\tau(E)}, k_A)^{(1)}$ shows that (modulo the isomorphism of $C_\tau(E)$ with M_L which carries $\mathcal{O}(C_\tau(E))$ onto $C_0(T) \rtimes_{\alpha, L} \mathbb{N}$),

$$J_\rho = J_Y := \overline{\text{span}} \{k_{M_L}(f)k_{M_L}(g)^* : f, g \in C_c(T \setminus Y)\}.$$

Thus the ideal I_ρ in [18, definition 3.3] is generated by J_Y .

We now claim that the ideal generated by J_Y is equal to I_Y . Let $f \in C_c(T \setminus Y)$, and choose $h \in C_c(T \setminus Y)$ with $h|_{\text{supp } f} = 1$. Then $f = hf$, and we have $k_{M_L}(f) = k_A(h)k_{M_L}(f)$. So each $k_{M_L}(f)k_{M_L}(g)^* \in I_Y$, and $J_Y \subset I_Y$. To see that J_Y generates, let $f \in C_c(T \setminus Y)$. Since (k_{M_L}, k_A) is coisometric on $C_0(T)$, (4.1) implies that

$$k_A(f) = (k_{M_L}, k_A)^{(1)}(\phi(f)) = (k_{M_L}, k_A)^{(1)}(\sum_i \Theta_{fg_i, g_i}) = \sum_i k_{M_L}(fg_i)k_{M_L}(g_i)^*,$$

belongs to J_Y , and so I_Y is contained in the ideal generated by J_Y .

Example 7.3. Suppose that E is a locally finite graph E without sources or sinks. For each closed invariant subset Y of E^∞ , the complement $E^\infty \setminus Y$ is open and invariant, and $H_Y := E^0 \setminus \{r(\xi) : \xi \in Y\}$ is a hereditary and saturated subset of E^0 , as in [29, section 4]. Conversely, if $H \subset E^0$ is saturated and hereditary, then $Y_H := \{\xi : r(\xi_i) \in E^0 \setminus H\}$ is a closed invariant subset of E^∞ . So Theorem 7.2 confirms that the gauge-invariant ideals in $C^*(E) = C(E^\infty) \rtimes_{\alpha, L} \mathbb{N}$ are parametrised by the saturated hereditary subsets H of E^0 .

We want to know, however, that the ideal I_{Y_H} is the ideal I_H generated by the projections $\{p_v : v \in H\}$ (as in [29, section 4], for example). When we realise $C^*(E)$ as a crossed product, the projections p_v are carried into the elements $k_A(\chi_{Z(v)})$ (see Theorem 5.1). So we need to show that I_{Y_H} is generated by $\{k_A(\chi_{Z(v)}) : v \in H\}$. Certainly each $k_A(\chi_{Z(v)})$ belongs to I_{Y_H} . To see that they generate, we deduce from the Stone–Weierstrass theorem that $C_0(E^\infty \setminus Y_H) = \overline{\text{span}} \{ \mathcal{X}_{Z(\mu)} : Z(\mu) \subset E^\infty \setminus Y_H \}$. Since $\chi_{Z(\mu)} \leq \chi_{Z(r(\mu))}$ and ideals are hereditary, this implies that $k_A(\chi_{Z(\mu)}) \in I_H$ belongs to the ideal generated by the $k_A(\chi_{Z(v)})$. So the $k_A(\chi_{Z(v)})$ generate.

Now we want to decide when every ideal is gauge-invariant, so that Theorem 7.2 gives a description of all the ideals in $C_0(T) \rtimes_{\alpha,L} \mathbb{N}$. We say that $t \in T$ is *periodic* if there exists $n \geq 1$ such that $\tau^n(t) = t$. The smallest such n is called the *period*.

THEOREM 7.4. *Suppose that $(C_0(T), \alpha, L)$ arises from a classical system (T, τ) . Then every ideal of $C_0(T) \rtimes_{\alpha,L} \mathbb{N}$ is gauge-invariant if and only if every periodic point t is a cluster point of $\tau^{-\mathbb{N}}(t) := \bigcup_{n \geq 0} \tau^{-n}(t)$.*

Proof. Katsura proved in [18, theorem 7.6] that every ideal of $C_0(T) \rtimes_{\alpha,L} \mathbb{N}$ is gauge-invariant if and only if the topological graph $E = (T, T, \tau, \text{id})$ is what he calls “free,” so we need to reconcile this notion of freeness with our condition.

For each $t \in T$ the set $\text{Orb}^+(t)$ in [18, definition 4.1] is $\tau^{-\mathbb{N}}(t)$. Condition (ii) of [18, definition 7.1] holds trivially for E because the range map id is one-to-one, so $t \in T$ is periodic and isolated in $\tau^{-\mathbb{N}}(t)$ if and only if t is an element of the set $\text{Per}(E)$ in [18, definition 7.1]. So our condition says precisely that $\text{Per}(E)$ is empty, which is freeness.

Example 7.5. A directed graph E satisfies Condition (K) if for every $v \in E^0$ either there is no cycle based at v or there are two distinct return paths based at v . We claim that a locally finite graph E with no sources or sinks satisfies (K) if and only if every periodic point $\xi \in E^\infty$ is a cluster point of $\sigma^{-\mathbb{N}}(\xi)$. Then Theorem 7.4 implies that all the ideals of $C^*(E)$ are gauge invariant if and only if E satisfies (K), as in [3, corollary 3.8].

Suppose that E satisfies Condition (K) and $\xi \in E^\infty$ is periodic with period n . We show that for each $\mu \in E^*$ with $\xi \in Z(\mu)$ we have $Z(\mu) \cap (\sigma^{-\mathbb{N}}(\xi) \setminus \{\xi\}) \neq \emptyset$. We know there is a cycle in E based at $s(\xi_n)$. Let $1 \leq k \leq n$ be the largest integer such that $r(\xi_k) = s(\xi_n)$. Then $\xi_k \cdots \xi_n$ is a return path in E based at $s(\xi_n)$ and E satisfies (K), so there is a distinct return path $\eta_1 \cdots \eta_m$ based at $s(\xi_n)$. Choose $j \geq 1$ such that $jn \geq |\mu|$. Then $\lambda := \xi_1 \cdots \xi_{jn} \xi_1 \cdots \xi_{k-1} \eta_1 \cdots \eta_m \xi \in Z(\mu) \cap (\sigma^{-\mathbb{N}}(\xi) \setminus \{\xi\})$.

Conversely, suppose every periodic point $\xi \in E^\infty$ is a cluster point of $\sigma^{-\mathbb{N}}(\xi)$, and that μ is a cycle in E based at v . Then $\xi := \mu\mu\mu \cdots$ is a periodic point in E^∞ , and there exists $\eta \in Z(r(\xi)) \cap (\sigma^{-\mathbb{N}}(\xi) \setminus \{\xi\})$. Let $m \geq 1$ be the smallest integer such that $\sigma^m(\eta) = \xi$. Then $\eta = \eta_1 \cdots \eta_m \xi$ has $r(\eta_1) = v$. Let $1 \leq k \leq m$ be the largest integer such that $r(\eta_k) = v$. Since $\sigma^{k-1}(\eta) \neq \xi$ by the choice of m , $\eta_k \cdots \eta_m \neq \mu$. Further, $r(\eta_k \cdots \eta_m) = v = s(\eta_k \cdots \eta_m)$. Hence $\eta_k \cdots \eta_m$ is a return path in E based at v , distinct from μ . Thus E satisfies Condition (K).

8. Primitive ideals in crossed products for classical systems

Suppose (T, τ) is a classical system. A closed invariant subset Y of T is a *maximal head* if for every pair $y_1, y_2 \in Y$ and neighbourhoods V_1 of y_1 and V_2 of y_2 , there exist points $x_1 \in V_1, x_2 \in V_2$ and $m, n \in \mathbb{N}$ with $\tau^m(x_1) = \tau^n(x_2)$.

We claim that if $t \in T$ is periodic, then $\overline{\tau^{-\mathbb{N}}(t)}$ is a maximal head. Since $\tau^{-\mathbb{N}}(t)$ is nonempty and invariant, $\overline{\tau^{-\mathbb{N}}(t)}$ is a closed nonempty invariant subset of T . Given $y_1, y_2 \in \overline{\tau^{-\mathbb{N}}(t)}$ and neighbourhoods V_1 of y_1 and V_2 of y_2 we know $\tau^{-\mathbb{N}}(t) \cap V_1 \neq \emptyset \neq \tau^{-\mathbb{N}}(t) \cap V_2$. So there exist $x_1 \in \tau^{-\mathbb{N}}(t) \cap V_1$ and $x_2 \in \tau^{-\mathbb{N}}(t) \cap V_2$, and there are $m, n \in \mathbb{N}$ with $\tau^m(x_1) = t = \tau^n(x_2)$.

If $t \in T$ is periodic with period n , then we call $\beta := \{\tau^k(t) : 0 \leq k \leq n\}$ a *cycle*. The cycle β is *discrete* if t is isolated in $\overline{\tau^{-\mathbb{N}}(\beta)} := \overline{\tau^{-\mathbb{N}}(t)}$. Each $\tau^k(t)$ is then isolated, and so each $\delta_{\tau^k(t)} \in C_c(\overline{\tau^{-\mathbb{N}}(\beta)})$.

THEOREM 8.1. *Suppose (T, τ) is a classical system and T is second-countable.*

(a) *Suppose Y is a maximal head in T . Then the ideal I_Y defined in Theorem 7.2 is primitive if and only if there is no discrete cycle β with $Y = \overline{\tau^{-\mathbb{N}}(\beta)}$.*

(b) *Suppose β is a discrete cycle with $|\beta| = n$ and denote $Y := \overline{\tau^{-\mathbb{N}}(\beta)}$. Choose $t \in \beta$, $f \in C_c(T)$ with $f|_Y = \delta_t$, and $g_i \in C_c(T)$ with $g_i|_Y = \sqrt{|\tau^{-1}(\tau^{i+1}(t))|} \delta_{\tau^i(t)}$ for $0 \leq i \leq n-1$. Then for each $w \in \mathbb{T}$ the ideal $I_{\beta, w}$ generated by*

$$\{k_{M_L}(g_0) \cdots k_{M_L}(g_{n-1}) - wk_A(f)\} \cup I_Y$$

does not depend on the choice of $t \in \beta$ or functions f, g_i , and is primitive.

(c) *Every primitive ideal I of $C_0(T) \rtimes_{\alpha, L} \mathbb{N}$ has the form I_Y for Y given by (7.1) or $I_{\beta, w}$ for a unique choice of cycle β and $w \in \mathbb{T}$.*

(d) *The ideals I_Y are gauge-invariant, and the ideals $I_{\beta, w}$ are not.*

Proof. We first prove that $I_{\beta, w}$ does not depend on the choice of f . Write

$$x := k_{M_L}(g_0) \cdots k_{M_L}(g_{n-1}) - wk_A(f).$$

Suppose $h \in C_c(T)$ satisfies $h|_Y = \delta_t$ and let $\tilde{x} = k_{M_L}(g_0) \cdots k_{M_L}(g_{n-1}) - wk_A(h)$. Then $h - f \in C_c(T \setminus Y)$, and $k_A(h - f) \in I_Y$. It follows that $\tilde{x} - x = wk_A(h - f) \in I_Y$, and so $\{x\} \cup I_Y$ and $\{\tilde{x}\} \cup I_Y$ generate the same ideal.

To prove that $I_{\beta, w}$ does not depend on the choice of g_i for any $0 \leq i \leq n-1$ we do it for g_0 . Recall from the proof of Theorem 7.2 that $k_{M_L}(g) \in I_Y$ for all $g \in C_c(T \setminus Y)$. Suppose $p_0 \in C_c(T)$ satisfies $p_0|_Y = \delta_t$, and let $\tilde{x} = k_{M_L}(p_0)k_{M_L}(g_1) \cdots k_{M_L}(g_{n-1}) - wk_A(f)$. Then $p_0 - g_0 \in C_c(T \setminus Y)$, and $k_{M_L}(p_0 - g_0) \in I_Y$. Thus $\tilde{x} - x \in I_Y$, and $\{x\} \cup I_Y$ and $\{\tilde{x}\} \cup I_Y$ generate the same ideal.

To prove that $I_{\beta, w}$ does not depend on the choice of $t \in \beta$ it suffices to show that for $h \in C_c(T)$ with $h|_Y = \delta_{\tau(t)}$ and

$$\tilde{x} = k_{M_L}(g_1) \cdots k_{M_L}(g_{n-1})k_{M_L}(g_0) - wk_A(h),$$

the sets $\{x\} \cup I_Y$ and $\{\tilde{x}\} \cup I_Y$ generate the same ideal. We have

$$k_{M_L}(g_0)^* x k_{M_L}(g_0) = k_{M_L}(\langle g_0, g_0 \rangle_L g_1) k_{M_L}(g_2) \cdots k_{M_L}(g_{n-1}) k_{M_L}(g_0) - wk_A(\langle g_0, f g_0 \rangle_L),$$

and routine calculations show that

$$\langle g_0, g_0 \rangle_L g_1|_Y = \sqrt{|\tau^{-1}(\tau^2(t))|} \delta_{\tau(t)} = g_1|_Y \quad \text{and} \quad \langle g_0, f g_0 \rangle_L|_Y = \delta_{\tau(t)} = h|_Y.$$

So $\{\tilde{x}\} \cup I_Y$ generates the same ideal as $\{k_{M_L}(g_0)^* x k_{M_L}(g_0)\} \cup I_Y$, which is contained in the ideal generated by $\{x\} \cup I_Y$.

To get the reverse containment we assume without loss of generality that τ is injective on $\text{supp } g_0$, and write $m := (|\tau^{-1}(\tau(t))|)^{-1}$, $d(s) := |\tau^{-1}(\tau(s))|$ for $s \in T$. We have

$$k_{M_L}(g_0)\tilde{x}k_{M_L}(mdg_0)^* = k_{M_L}(g_0) \cdots k_{M_L}(g_{n-1})(k_{M_L}, k_A)^{(1)}(\Theta_{g_0, mdg_0}) - w(k_{M_L}, k_A)^{(1)}(\Theta_{g_0\alpha(h), mdg_0}). \tag{8.1}$$

It follows from the injectivity of τ on $\text{supp } g_0$ that

$$\Theta_{g_0, mdg_0} = \phi(m|g_0|^2) \quad \text{and} \quad \Theta_{g_0\alpha(h), mdg_0} = \phi(m|g_0|^2\alpha(h)),$$

and since (k_{M_L}, k_A) is coisometric on $C_0(T)$, we have both $(k_{M_L}, k_A)^{(1)}(\Theta_{g_0, mdg_0}) = k_A(m|g_0|^2)$ and $(k_{M_L}, k_A)^{(1)}(\Theta_{g_0\alpha(h), mdg_0}) = k_A(m|g_0|^2\alpha(h))$. The right-hand side of (8.1) then becomes

$$k_{M_L}(g_0) \cdots k_{M_L}(g_{n-2})k_{M_L}(g_{n-1}\alpha(m|g_0|^2)) - wk_A(m|g_0|^2\alpha(h)).$$

Routine calculations show that

$$(g_{n-1}\alpha(m|g_0|^2))|_Y = \sqrt{|\tau^{-1}(t)|\delta_{\tau^{n-1}(t)}} = g_{n-1}|_Y \quad \text{and} \quad (m|g_0|^2\alpha(h))|_Y = \delta_t = f|_Y,$$

and so $\{x\} \cup I_Y$ generates the same ideal as $\{k_{M_L}(g_0)^* \tilde{x} k_{M_L}(mdg_0)\} \cup I_Y$, which is contained in the ideal generated by $\{\tilde{x}\} \cup I_Y$. Hence $\{x\} \cup I_Y$ and $\{\tilde{x}\} \cup I_Y$ generate the same ideal, and we have finished proving that $I_{\beta, w}$ does not depend on choices.

We now want to apply [18, theorem 11.14 and corollary 12.3] to $E = (T, T, \tau, \text{id})$, so we again have to reconcile our definitions with Katsura's.

The sets in [18, definition 1.3] are $T_{\text{sce}} = \emptyset$ and $T_{\text{fin}} = T = T_{\text{rg}}$, so $Y \subset T$ is invariant if and only if it is invariant in the sense of [18, definition 2.1]. We have already seen that $t \in T$ is periodic and isolated in $\tau^{-\mathbb{N}}(t)$ if and only if t is an element of $\text{Per}(E)$ given in [18, definition 7.1]. Thus $Y \subset T$ is a maximal head if and only if it is a maximal head as in [18, definition 4.12]. The definition of $\mathcal{M}_{\text{Per}}(E)$ in the middle of [18, page 1839] shows that $\{\tau^{-\mathbb{N}}(\beta) : \beta \text{ a discrete cycle}\} = \mathcal{M}_{\text{Per}}(E)$.

We claim that for Y a maximal head as in (a) we have $I_Y = P_Y$, where P_Y is given in [18, definition 11.4]. We have already seen in the proof of Theorem 7.2 that $I_Y = I_\rho$, where ρ is the admissible pair (Y, \emptyset) and I_ρ is given in [18, definition 3.3]. The ideal P_Y is defined to be I_ρ for such Y , so the claim follows.

We now claim that for $w \in \mathbb{T}$, β a discrete cycle and $Y := \overline{\tau^{-\mathbb{N}}(\beta)}$ we have $I_{\beta, w} = P_{Y, w}$, where $P_{Y, w}$ is given in [18, definition 11.8]. Write $\beta = \{\tau^k(t) : 0 \leq k \leq n-1\}$, choose $f \in C_c(T)$ such that $f|_Y = \delta_t$, and for $0 \leq i \leq n-1$ choose functions $g_i \in C_c(T)$ with $g_i|_Y = \delta_{\tau^i(t)}$. The ideal $P_{Y, w}$ is generated by

$$\{k_{C_\tau(T)}(g_0)k_{C_\tau(T)}(g_1) \cdots k_{C_\tau(T)}(g_{n-1}) - wk_A(f)\} \cup I_Y.$$

The isomorphism $U : C_\tau(T) \rightarrow M_L$ of Section 6 satisfies $k_{C_\tau(T)} := k_{M_L} \circ U$, so $P_{Y, w}$ is generated by

$$\{k_{M_L}(U(g_0))k_{M_L}(U(g_1)) \cdots k_{M_L}(U(g_{n-1})) - wk_A(f)\} \cup I_Y.$$

For $0 \leq i \leq n-1$ we have $U(g_i)|_Y = \sqrt{|\tau^{-1}(\tau^{i+1}(t))|}\delta_{\tau^i(t)}$, so $P_{Y, w} = I_{\beta, w}$.

The set $\text{BV}(E)$ given in [18, page 1837] is empty, so the result now follows from [18, theorem 11.14] and [18, corollary 12.3] (which needs second-countability).

8.1. *The primitive ideals of graph algebras*

Let E be a locally finite graph with no sources. As in [14], a *maximal head* is a non-empty subset M of E^0 such that

- (MH1) if $v \in E^0$, $w \in M$, and $v \leq w$ then $v \in M$;
- (MH2) if $v \in M$, then there exists $e \in E^1$ with $r(e) = v$ and $s(e) \in M$; and
- (MH3) for every $v, w \in M$ there exists $y \in M$ such that $v \leq y$ and $w \leq y$.

We write $\mathcal{M}(E)$ for the set of maximal heads in E , and $\mathcal{M}_l(E)$ for the set of maximal heads M containing a return path without an entry in M . [14, lemma 2.1] says that $M \in \mathcal{M}_l(E)$ if and only if there is a cycle in M without an entry in M .

The following result was proved for arbitrary directed graphs in [14, corollary 2.12].

THEOREM 8.2. *Suppose E is a locally finite directed graph with no sources, and denote by $\{s, p\}$ the universal Cuntz–Krieger E -family in $C^*(E)$.*

- (a) *Suppose $M \subset E^0$ is a maximal head. Then the ideal $I_{E^0 \setminus M}$ in $C^*(E)$ generated by $\{p_v : v \in E^0 \setminus M\}$ is primitive if and only if every cycle in M has an entry.*
- (b) *Suppose $M \subset E^0$ is a maximal head and let $\mu_1 \cdots \mu_n$ be a cycle in M without an entry in M . Then for each $w \in \mathbb{T}$ the ideal $I_{M,w}$ generated by*

$$\{s_{\mu_1} \cdots s_{\mu_n} - wp_{r(\mu_1)}\} \cup I_{E^0 \setminus M}$$

does not depend on the choice of cycle $\mu_1 \cdots \mu_n$, and is primitive.

- (c) *Every primitive ideal I of $C^*(E)$ is $I_{E^0 \setminus M}$ for $M = \{v \in E^0 : p_v \notin I\}$ or $I_{M,w}$ for a unique $w \in \mathbb{T}$ and a unique maximal head M containing a cycle without an entry.*
- (d) *The ideals $I_{E^0 \setminus M}$ are gauge-invariant, and the ideals $I_{M,w}$ are not.*

Remark 8.3. We claim that $Y \mapsto H_Y := E^0 \setminus \{r(\xi) : \xi \in Y\}$ is a bijection from the set of closed invariant subsets of E^∞ onto the set of saturated and hereditary subsets of E^0 , with inverse $H \mapsto Y_H := \{\xi : r(\xi_i) \in E^0 \setminus H\}$. We have

$$Y_{H_Y} = \{\xi : r(\xi_i) \in E^0 \setminus H_Y\} = \{\xi : \xi_i \xi_{i+1} \cdots \in Y \text{ for all } i\}.$$

It follows immediately that $Y_{H_Y} \subset Y$. For the reverse containment, let $\xi \in Y$. Since Y is invariant, $\xi_i \xi_{i+1} \cdots = \sigma^{i-1}(\xi) \in Y$ for all i . So $\xi \in Y_{H_Y}$, and it follows that $Y_{H_Y} = Y$.

Now fix a saturated hereditary subset H of E^0 and suppose $v \notin H$. Let $\xi \in Y_H$ with $r(\xi) = v$. Then $v \notin H_{Y_H}$, and so $H_{Y_H} \subset H$. Conversely, let $v \in H$. If $\xi \in Y_H$, then $r(\xi) \neq v$, so $v \in H_{Y_H}$. Hence $H \subset H_{Y_H}$, and so $H_{Y_H} = H$.

LEMMA 8.4. *Let E be a locally finite directed graph with no sources or sinks. The map $M \mapsto Y_{E^0 \setminus M}$ is a bijection from $\mathcal{M}(E)$ onto the set of maximal heads in E^∞ , with inverse $Y \mapsto E^0 \setminus H_Y$, and it maps $\mathcal{M}_l(E)$ onto $\{\overline{\sigma^{-\mathbb{N}}(\beta)} : \beta \text{ a discrete cycle in } E^\infty\}$.*

Proof. Let $M \in \mathcal{M}(E)$. Since $E^0 \setminus M$ is hereditary and saturated, $Y_{E^0 \setminus M}$ is closed and invariant; it is nonempty because we can choose $v \in M$ and $\xi \in E^\infty$ with $r(\xi) = v$ and $r(\xi_i) \in M$ for all $i \geq 1$, which implies $\xi \in Y_{E^0 \setminus M}$. Suppose $\xi^1, \xi^2 \in Y_{E^0 \setminus M}$ and consider the neighbourhoods $Z(\xi_1^1 \cdots \xi_m^1)$ of ξ^1 and $Z(\xi_1^2 \cdots \xi_n^2)$ of ξ^2 . It follows from (MH3) that there exist $v \in M$ and paths λ, μ with $s(\lambda) = v = s(\mu)$, $r(\lambda) = s(\xi_m^1)$ and $r(\mu) = s(\xi_n^2)$. Take $\eta \in E^\infty$ with $r(\eta) = v$, and let $\eta^1 := \xi_1^1 \cdots \xi_m^1 \lambda \eta$ and $\eta^2 := \xi_1^2 \cdots \xi_n^2 \mu \eta$. Then we have $\eta_1 \in Z(\xi_1^1 \cdots \xi_m^1)$, $\eta^2 \in Z(\xi_1^2 \cdots \xi_n^2)$ and $\sigma^{m+|\lambda|}(\eta^1) = \eta = \sigma^{n+|\mu|}(\eta^2)$. So $Y_{E^0 \setminus M}$ is a maximal head in E^∞ .

Let Y be a maximal head in E^∞ . Since Y is nonempty, so is $E^0 \setminus H_Y$. To see that $E^0 \setminus H_Y = \{r(\xi) : \xi \in Y\}$ satisfies (MH1), let $v \in E^0$ and $r(\xi) \in E^0 \setminus H_Y$ with $v \leq r(\xi)$. Then there exists a path μ with $s(\mu) = r(\xi)$ and $r(\mu) = v$. Since $\mu\xi = \sigma^{-|\mu|}(\xi)$, it follows from the invariance of Y that $\mu\xi \in Y$. Hence $v = r(\mu\xi) \in E^0 \setminus H_Y$.

It follows from the invariance of Y that for $r(\xi) \in E^0 \setminus H_Y$ we have $\sigma(\xi) \in Y$, and so $r(\sigma(\xi)) \in E^0 \setminus H_Y$. Then $\xi_1 \in E^1$ satisfies $r(\xi_1) = r(\xi) \in E^0 \setminus H_Y$ and $s(\xi_1) = r(\sigma(\xi)) \in E^0 \setminus H_Y$, and so $E^0 \setminus H_Y$ satisfies (MH2).

Since Y is a maximal head, for each $r(\xi), r(\eta) \in E^0 \setminus H_Y$ there exists $\xi', \eta' \in E^\infty$ with $r(\xi') = r(\xi)$ and $r(\eta') = r(\eta)$, and $m, n \in \mathbb{N}$ with $\sigma^m(\xi') = \sigma^n(\eta')$. Since Y is invariant, we have $\sigma^m(\xi') \in Y$, so $r(\sigma^m(\xi')) \in E^0 \setminus H_Y$ and satisfies $r(\xi), r(\eta) \leq r(\sigma^m(\xi'))$. So (MH3) is satisfied. The first assertion in the result now follows from Remark 8.3.

Now suppose $M \in \mathcal{M}_l(E)$ and $\mu = \mu_1 \cdots \mu_n$ is a cycle in M without an entry in M . We claim that for $\eta := \mu\mu \cdots \in E^\infty$ the set $\beta := \{\sigma^k(\eta) : 0 \leq k \leq n-1\}$ is a discrete cycle with $Y_{E^0 \setminus M} = \overline{\sigma^{-\mathbb{N}}(\beta)}$. To see that η is isolated in $\overline{\sigma^{-\mathbb{N}}(\beta)}$ suppose that $\xi \in \sigma^{-\mathbb{N}}(\beta) \cap Z(r(\eta))$. Then $\sigma^m(\xi) = \eta$ for some $m \in \mathbb{N}$, and $r(\xi) = r(\eta)$. So $\xi = \xi_1 \cdots \xi_m \eta$ where $r(\xi_1) = r(\eta)$. Since $s(\xi_m) = r(\eta) \in M$, it follows from (MH1) that $r(\xi_i) \in M$ for each $1 \leq i \leq m$. If $\xi_1 \neq \eta_1 = \mu_1$, then ξ_1 is an entry for μ in M , so we must have $\xi_1 = \mu_1$. Continuing in this manner for $2 \leq i \leq m$ gives $\xi = \eta$. So $\sigma^{-\mathbb{N}}(\beta) \cap Z(r(\eta)) = \{\eta\}$, and hence η is isolated in $\overline{\sigma^{-\mathbb{N}}(\beta)}$.

Since $\eta \in Y_{E^0 \setminus M}$ and $Y_{E^0 \setminus M}$ is invariant, we have $\sigma^{-\mathbb{N}}(\beta) \subset Y_{E^0 \setminus M}$. Since $Y_{E^0 \setminus M}$ is closed, we have $\overline{\sigma^{-\mathbb{N}}(\beta)} \subset Y_{E^0 \setminus M}$. For the reverse containment, let $\xi \in Y_{E^0 \setminus M}$. If $\xi \in \sigma^{-\mathbb{N}}(\beta)$, then $\xi \in \overline{\sigma^{-\mathbb{N}}(\beta)}$, so we assume $\xi \notin \sigma^{-\mathbb{N}}(\beta)$. It suffices to show that $\sigma^{-\mathbb{N}}(\beta) \cap Z(\xi_1 \cdots \xi_j) \neq \emptyset$ for all j . Consider the points and neighbourhoods $\eta \in Z(r(\eta))$ and $\xi \in Z(\xi_1 \cdots \xi_j)$. Since $Y_{E^0 \setminus M}$ is a maximal head, there exists $\lambda^1 \in Z(r(\eta))$, $\lambda^2 \in Z(\xi_1 \cdots \xi_j)$ and $m, p \in \mathbb{N}$ with $\sigma^m(\lambda^1) = \sigma^p(\lambda^2)$. Since $Y_{E^0 \setminus M}$ is invariant, we have $\lambda^1 \in Y_{E^0 \setminus M}$, and so $r(\lambda_i^1) \in M$ for all i . Since $r(\lambda^1) = r(\eta)$ and μ does not have an entry, we must have $\lambda^1 = \eta$. So $\sigma^p(\lambda^2) = \sigma^m(\lambda^1) \in \sigma^{-\mathbb{N}}(\beta)$, which implies $\lambda^2 \in \sigma^{-\mathbb{N}}(\beta)$. So $\lambda^2 \in \sigma^{-\mathbb{N}}(\beta) \cap Z(\xi_1 \cdots \xi_j)$.

To see that $M \mapsto Y_{E^0 \setminus M}$ maps $\mathcal{M}_l(E)$ onto $\{\overline{\sigma^{-\mathbb{N}}(\beta)} : \beta \text{ a discrete cycle in } E^\infty\}$, we suppose $\beta = \{\sigma^k(\xi) : 0 \leq k \leq n-1\}$ is a discrete cycle, and let $Y = \overline{\sigma^{-\mathbb{N}}(\beta)}$. The bijection sends $E^0 \setminus H_Y$ to Y , so we need to show that $E^0 \setminus H_Y \in \mathcal{M}_l(E)$. We know that $\xi_1 \cdots \xi_n$ is a return path in E . Since $\xi \in Y$, $r(\xi_1) \in E^0 \setminus H_Y$. Then (MH1) implies that $r(\xi_i) \in E^0 \setminus H_Y$ for $1 \leq i \leq n$. We suppose that $\xi_1 \cdots \xi_n$ has an entry in $E^0 \setminus H_Y$, and look for a contradiction. There exist $e \in E^1$ and $1 \leq j \leq n$ such that $e \neq \xi_j$, $r(e) = r(\xi_j)$, and $s(e) \in E^0 \setminus H_Y$. Since $s(e) \notin H_Y$, there exists $\eta \in Y$ such that $r(\eta) = s(e)$. Choose $m \geq k+1$ such that $\xi_m = \xi_j$, and consider the infinite path $\xi_1 \cdots \xi_{m-1} e \eta$. Since Y is invariant and $\eta \in Y$, $\xi_1 \cdots \xi_{m-1} e \eta \in Z(\xi_1 \cdots \xi_k) \cap Y$. Moreover, since $e \neq \xi_m$, $\xi_1 \cdots \xi_{m-1} e \eta \neq \xi$. Thus ξ is not isolated in Y , which is a contradiction. Therefore, the return path $\xi_1 \cdots \xi_n$ must have no entries in $E^0 \setminus H_Y$, and hence $E^0 \setminus H_Y \in \mathcal{M}_l(E)$.

Proof of Theorem 8.2. Let $w \in \mathbb{T}$, $M \in \mathcal{M}_l(E)$ and $\mu_1 \cdots \mu_n \in M$ be a cycle without an entry in M , and note that all such cycles are cyclic permutations of each other. The Cuntz–Krieger relations imply that for $q_i := s_{\mu_1} s_{\mu_2} \cdots s_{\mu_{i-1}}$ and $r_i := s_{\mu_i} s_{\mu_{i+1}} \cdots s_{\mu_n}$ we have

$$s_{\mu_i} s_{\mu_{i+1}} \cdots s_{\mu_n} s_{\mu_1} s_{\mu_2} \cdots s_{\mu_{i-1}} - w p_{r(\mu_i)} = q_i^* (s_{\mu_1} s_{\mu_2} \cdots s_{\mu_n} - w p_{r(\mu_1)}) q_i$$

and

$$s_{\mu_1} s_{\mu_2} \cdots s_{\mu_n} - w p_{r(\mu_1)} = r_i^* (s_{\mu_i} s_{\mu_{i+1}} \cdots s_{\mu_n} s_{\mu_1} s_{\mu_2} \cdots s_{\mu_{i-1}} - w p_{r(\mu_i)}) r_i.$$

Thus the ideal $I_{M,w}$ does not depend on the choice of the cycle μ .

Recall from Theorem 5.1 that for $\{S_e, P_v\}$ given by (5.1) there exists an isomorphism $\pi_{S,P} : C^*(E) \rightarrow C_0(E^\infty) \rtimes_{\alpha,L} \mathbb{N}$ satisfying $\pi_{S,P}(s_e) = S_e$ for each $e \in E^1$, and $\pi_{S,P}(p_v) = P_v$ for each $v \in E^0$. We can apply the arguments in Example 7.3 to see that for each $M \in \mathcal{M}(E)$ in which every cycle has an entry we have $\pi_{S,P}(I_{E^0 \setminus M}) = I_{Y_{E^0 \setminus M}}$.

Now suppose $M \in \mathcal{M}_l(E)$ and $\mu = \mu_1 \cdots \mu_n \in M$ is a cycle without an entry in M . We saw in the proof of Lemma 8.4 that for $\eta := \mu\mu \cdots \in E^\infty$ the set $\beta = \{\sigma^k(\eta) : 0 \leq k \leq n-1\}$ is a discrete cycle with $Y_{E^0 \setminus M} = \overline{\sigma^{-\mathbb{N}}(\beta)}$. We claim that for $0 \leq i \leq n-1$ the function $g_i \in C_c(E^\infty)$ given by $g_i(\xi) = \sqrt{|\sigma^{-1}(\sigma^{i+1}(\xi))|} \chi_{Z(\mu_{i+1})}$ has restriction $\sqrt{|\sigma^{-1}(\sigma^{i+1}(\xi))|} \delta_{\sigma^i(\eta)}$ on $Y_{E^0 \setminus M}$. Clearly $\chi_{Z(\mu_{i+1})}|_{Y_{E^0 \setminus M}}(\sigma^i(\eta)) = 1$. Suppose that $\xi = \xi_1 \xi_2 \cdots \in Y_{E^0 \setminus M} \cap Z(\mu_{i+1})$. Since $\xi \in Y_{E^0 \setminus M}$, $r(\xi_j) \in M$ for $j \geq 1$. If we have $\xi \neq \sigma^i(\eta) = \eta_{i+1} \eta_{i+2} \cdots$, then we can choose the smallest $m \geq 1$ such that $\xi_m \neq \eta_{i+m}$. Since $s(\xi_m) \in M$, ξ_m is an entry for μ in M , which is a contradiction. So $\xi = \sigma^i(\eta)$. Therefore $\chi_{Z(\mu_{i+1})}|_{Y_{E^0 \setminus M}} = \delta_{\sigma^i(\eta)}$, and the claim follows. A similar argument shows that $\chi_{Z(r(\mu_i))}|_{Y_{E^0 \setminus M}}$ is the characteristic function δ_η .

The ideal $I_{\beta,w}$ is generated by the set

$$\{k_{M_L}(g_0) \cdots k_{M_L}(g_{n-1}) - wk_A(\chi_{Z(r(\mu_i))})\} \cup I_{Y_{E^0 \setminus M}}.$$

Since $\sqrt{c(s(\mu_i))} = \sqrt{|\sigma^{-1}(\sigma^i(\xi))|}$, $I_{\beta,w}$ is also generated by

$$\{\pi_{S,P}(s_{\mu_1} \cdots s_{\mu_n} - wp_{r(\mu_i)})\} \cup \pi_{S,P}(I_{E^0 \setminus M}),$$

which is $\pi_{S,P}(I_{M,w})$.

The result now follows by applying Theorem 8.1 to the system (E^∞, σ) .

9. Conclusions

In extending Exel's theory to non-unital algebras, we have had to make choices. We have already mentioned one such issue in Remark 2.3: even for a classical system (T, τ) there are different choices of transfer operator. We have mainly used the normalised version which is defined on all of $C_0(T)$. However, when we used the isomorphism with the topological-graph algebra $\mathcal{O}(E)$, we were effectively switching to the unnormalised version, which is only densely defined on $C_0(T)$. We chose not to try to develop a general theory for systems with densely-defined transfer operators, though we think the topic is potentially interesting, and this is one possible direction for further work. Here we discuss several other possible directions.

To get a bounded transfer operator, we had to restrict attention to locally finite graphs. To get a theory which applies to arbitrary graphs, we would need to use the boundary ∂E , which is formed by adding to E^∞ the paths which start at a source or a vertex v where $r^{-1}(v)$ is infinite. Then the shift is not everywhere defined, so we need to allow partially defined maps τ , as is done for the compact case in [9]. One could then directly define a topological graph (that is, with no normalising factor), so that Katsura's theory applies, and view his algebra as the crossed product. Such methods, though, could only be used for classical systems.

A second possibility which appeals to us is guided by what might work for actions of semigroups. From this point of view, it seems best to drop the normalising factor: the square L^2 of the normalised transfer operator L for a classical system (T, τ) need not be the normalised transfer operator for α^2 (as examples from graphs show). So we come back to densely-defined transfer operators. However, rather than work out some axioms, we think it might be best to concentrate on the modules M_L , which can be built by completing a dense subspace such as $C_c(T)$, work out conditions under which these modules form a product system over

the semigroup in the sense of Fowler [11], and define the Exel crossed product to be the Cuntz–Pimsner algebra of the product system. A start on such a theory has been made by Larsen [21], though she deals only with bounded transfer operators. One problem with such an approach is that there is not yet a generally accepted notion of Cuntz–Pimsner algebra for product systems (see the discussion at the start of [32]). Nevertheless, examples and intuition from Exel systems might be a fertile source of interesting product systems, and a useful contribution to the general theory.

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