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- <sup>2</sup> Highly Accurate Experimental Heave Decay Tests
- <sup>3</sup> with a Floating Sphere: A Public Benchmark Dataset

# 4 for Model Validation of Fluid-Structure Interaction

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27 Abstract: Highly accurate and precise heave decay tests on a sphere with a diameter of 300 mm 28 were completed in a meticulously designed test setup in the wave basin in the Ocean and Coastal Engineering Laboratory at Aalborg University, Denmark. The tests were dedicated to providing a 29 30 rigorous benchmark dataset for numerical model validation. The sphere was ballasted to half 31 submergence, thereby floating with the water line at the equator when at rest in calm water. Heave decay tests were conducted where the sphere was held stationary and dropped from three drop 32 33 heights: A small drop height, which can be considered a linear case, a medium weakly linear case, 34 and a highly nonlinear case with a drop height from a position where the whole sphere was initially 35 above the water. The precision of the heave decay time series is calculated from random and 36 systematic standard uncertainties. At a 95% confidence level, uncertainties are found to be very low, 37 on average only about 0.3% of the respective drop heights. Physical parameters of the test setup and 38 associated uncertainties are quantified. A test case is formulated that closely represents the physical 39 tests, enabling the reader to make his/her own numerical tests. The paper includes a comparison of 40 the physical test results to the results from several independent numerical models based on linear 41 potential flow, fully nonlinear potential flow, and the Reynolds-averaged Navier-Stokes (RANS) 42 equations. High correlation between physical and numerical test results is shown. The physical test 43 results are very suitable for numerical model validation and are made public as a benchmark dataset 44 in the supplementary material of the paper.

Keywords: physical tests; sphere; benchmark dataset; heave decay; wave energy converters; linear
 potential flow; fully nonlinear potential flow; CFD; RANS; fluid-structure interaction.

# 48 1. Introduction

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Numerical models with complex fluid-structure interaction are often developed to simulate motions of floating bodies in the ocean, which can be applied to assess the performance of wave energy devices, see, e.g., [1,2]. Despite the complexity of such models, the discretization and

52 assumptions needed to formulate the numerical model mathematically inevitably introduce errors, 53 for many of which the influence is unknown. Engineers may struggle to identify whether linear wave 54 theory can be applied with sufficient accuracy, or if more advanced computational fluid dynamics 55 (CFD) methods should be used. Physical tests of high accuracy and reproducibility are paramount 56 for validation and calibration purposes when using such advanced methods, see, e.g., [3,4].

57 The International Energy Agency Technology Collaboration Programme for Ocean Energy 58 Systems (OES) has initiated the OES Wave Energy Converters Modelling Verification and Validation 59 working group (formerly OES Task 10). Here, multiple research institutions and R&D companies 60 from 12 countries collaborate with the focus on development of numerical models for simulating 61 wave energy converters (WECs) [4]. A floating sphere was chosen as a practical representation of a 62 simple wave energy convertor buoy, and numerical modelling of the decay of a sphere was 63 completed as an initial test case [5-7]. The resulting simulations from the different members showed 64 widespread simulation results, which highlighted the need for knowing the true, real-world results 65 for the considered test case together with the associated measurement uncertainties. In order to 66 validate and calibrate numerical models, a high-quality benchmark dataset was therefore needed. 67 Such datasets were lacking, so during a Danish-granted EUDP project [8] a sphere model was built, 68 and tests were performed in the wave basin in the Ocean and Coastal Engineering Laboratory at 69 Aalborg University in Denmark. The test design, namely the release mechanism and the construction 70 of the sphere, was optimized through several stages to mitigate sources of uncertainties. A 300 mm 71 diameter aluminum sphere model with changeable ballasts, see Figure 1, was chosen as the most 72 practical and accurate representation of a sphere for physical heave decay tests dedicated to 73 producing a highly accurate benchmark dataset. The benchmark dataset is made publicly available 74 in the supplementary material to the present paper, see Section 6. The iterations in the design and 75 construction process of the physical test setup are described in [9], which is also included as 76 supplementary material under the Descriptions folder. In [9], the tests are referred to as the Kramer Sphere Cases. 77



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Figure 1: The sphere model used in the heave decay tests.

80 A new test case was formulated to accurately represent the performed tests and allow for 81 numerical replications for model validation against the benchmark. Three different drop heights 82 were investigated. The aim of the present paper is to estimate the precision and accuracy of the 83 physical decay tests using uncertainty analysis and comparison to state-of-the-art hydrodynamic 84 numerical models for all three drop heights. Using this approach, the applicability of the benchmark 85 dataset to validation of numerical modelling of the presented test case is accounted for. The presented 86 uncertainty analysis is based on the ASME Performance Test Code Test Uncertainty [10], which is in 87 accordance with the methodologies and nomenclature of the ISO/IEC Guide 98-3 Guide to Expression 88 of Uncertainty in Measurement (GUM) [11], but contains a more technical treatment.

89 In Section 1.1, the test case is presented. All physical parameters are given to mimic the setup of 90 the conducted heave decay tests. The reader can set up his/her own numerical model based on the 91 information given herein, and thereafter apply the generated benchmark dataset for 92 comparison/validation. Dedicated measurements of certain physical parameters, such as air pressure 93 and viscosity, are not included in the test case. These are instead considered in the uncertainty 94 analysis in Section 3.

95 The test case was given to participants of the OES working group, who independently 96 formulated numerical models to simulate the decay tests utilizing miscellaneous modelling 97 approaches. In the order of descending fidelity, these models included finite volume method (FVM) 98 3-D unsteady Reynolds-averaged Navier-Stokes (URANS) models, boundary element method (BEM) 99 fully nonlinear potential flow (FNPF) models, and BEM linear potential flow (LPF) models. The 100 utilized numerical modelling approaches are presented in Section 1.2.

#### 101 1.1. The Test Case

102 Consider an ideal sphere with a diameter *D* and a mass *m*. In a local Cartesian coordinate system 103 with the origin coinciding with the geometrical center of the sphere and with the z-axis vertical 104 oriented upwards, the center of gravity is *CoG*. The local acceleration due to gravity is *g*.

105 The sphere floats between an air and a water phase, when at rest (equilibrium). The water phase 106 has the density  $\rho_{w}$ , while the density of air is disregarded. A fixed global Cartesian coordinate system

107 is defined from the still water level; the xy-plane coincides with the plane of the free water surface,

108 and the z-axis is vertical oriented upwards towards the air phase, see Figure 2. The sphere is half-

109 submerged when at rest, and with the CoG on the z-axis (underneath the center of buoyancy), the

110 local and global coordinate system axes will coincide when the sphere is at rest, see Figure 2. The

111 seabed is horizontal with a depth of d = 3D.



112 113

Figure 2: Fixed global coordinate system and the sphere at rest.

114 Initial conditions of zero velocity and zero acceleration are applied in all test setups. Under the 115 assumption of a rigid body, the sphere has six degrees of freedom (DoF). Translations relative to the 116 rest condition in the direction of the local x-, y-, and z-axes are defined as surge  $x_1$ , sway  $x_2$ , and 117 heave  $x_3$ , respectively. Rotations relative to the rest condition around the local x-, y-, and z-axes are 118 defined as roll  $x_4$ , pitch  $x_5$ , and yaw  $x_6$ , respectively. Three initial test setups are investigated with 119 displacements of the sphere in positive heave given by the drop height  $H_0 = \{0.1D, 0.3D, 0.5D\}$ , see

120 Figure 3.



 $H_0 = 0.1D = 30 \text{ mm}$ 

 $H_0 = 0.3D = 90 \text{ mm}$ 

 $H_0 = 0.5D = 150 \text{ mm}$ 

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122 The sphere is released, and around eight natural periods in heave should be captured for 123 comparison to the benchmark dataset. The physical parameters of the test case are presented in 124 Table 1. The utilized initial conditions match previous tests carried out under the OES working 125 group.

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Table 1: Values of the test case physical parameters.

	Parameter	D	m	CoG	8	$H_0$	$ ho_w$	d
	Unit	mm	kg	mm	m/s <sup>2</sup>	mm	kg/m³	mm
-	Value	300	7.056	(0, 0, -34.8)	9.82	{30 90 150}	998.2	900

#### 127 1.2. Numerical Modelling Blind Tests of the Test Case

128 Participants of the OES working group independently developed numerical models to simulate 129 the test case presented in Section 1.1 and to compare results against the benchmark. Only the 130 governing physical parameters of the test case, given in Table 1, were shared with the participants, 131 and the numerical modelling of the test case was thus carried out in blind without any shared 132 information on domain geometry, resolution, turbulence modelling, etc. Various types of numerical 133 models were developed by the participants. The specifications of the numerical model developed by 134 each participant are presented in Appendix A. In general, three categories of numerical models were used: i) FVM-based Reynolds-Averaged Navier-Stokes (RANS) models, ii) BEM-based fully 135 136 nonlinear potential flow (FNPF) models, and iii) BEM-based LPF models. These are introduced in the 137 following subsections.

138 An analytical solution of the Navier-Stokes (N-S) equations would yield an exact model of the 139 fluid flow of any Newtonian fluid such as water. In its most general form, the N-S equations are the 140 formulation of conservation of mass, momentum, and energy into a set of nonlinear partial 141 differential equations. Currently, no analytical solutions to the N-S equations exist, but several 142 numerical solutions have been established introducing various simplifying assumptions and levels 143 of inaccuracies. In general, decreasing the complexity of the mathematical problem by simplifying 144 assumptions will yield less accurate numerical models, but increase the computational efficiency 145 creating more feasible models. The influence of the errors introduced by the numerical model are 146 strongly case-specific, and no generic model with a perfect balance of accuracy and efficiency is 147 currently available.

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#### 149 RANS Models

150 Within high-fidelity CFD modelling of WECs, RANS models have become the model of choice 151 [12]. The RANS equations are based on Reynolds decomposition and ensemble-averaging of the N-S 152 equations. This reformulation of the N-S equations introduces a term referred to as the Reynolds 153 stress, which accounts for the contribution of turbulent fluctuations to the fluid momentum. 154 Turbulence structures are not resolved in RANS models, and thus computational effort is 155 significantly decreased relative to, e.g., direct numerical simulations (DNS). Larger unsteady mean 156 flow structures are captured from the unsteady RANS (URANS) formulation (see, e.g., [13]), to the 157 extent allowed by the temporal resolution. In the present paper, URANS models are developed from 158 the open-source framework of OpenFOAM (versions 5.0, 7, and v1912) [14] and the commercial code 159 StarCCM+ 13.06 [15]. The numerical models utilize the FVM to discretize the RANS equations. The 160 interface between the two fluid phases is tracked by a volume of fluid (VOF) advection scheme, see, 161 e.g., [16]. The models further assume incompressible, isothermal, immiscible flows.

#### 162 FNPF Models

163 In the FNPF category of CFD models, further assumptions to the 2<sup>nd</sup> order nonlinear N-S 164 equations are made; i.e., the fluid domain is assumed inviscid and irrotational thus introducing 165 potential flow theory, which reduces the governing equations of the fluid domain to Laplace's 166 equation [17]. The boundaries of the fluid domain evolve in time, to be able to capture finiteamplitude waves and have a time-varying wetted body surface. The boundary conditions of the fluid domain are fully nonlinear in the sense that the velocity potential satisfies the nonlinear kinematic and dynamic boundary conditions at the free surface. No-flow boundary conditions are satisfied at solid boundaries [18]. In the present paper, the FNPF commercial code SHIPFLOW-Motions 6 [19] has been applied. Here, a mixed Eulerian and Lagrangian (MEL) scheme [20] is utilized to capture the nonlinear free surface. The positions of free surface particles are then tracked in time in a Lagrangian representation of the flow problem, allowing for the advection of mesh nodes [21]. A

- 174 rigid six DoF model is included to update the position of the wetted surface at each time step.
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# 176 LPF Models

177 At the low-fidelity end of CFD models to simulate WECs are the LPF models, which despite 178 rather gross assumptions of linearity in both the governing equation (Laplace) and the boundary 179 conditions, produce useful simulations for engineering purposes and indeed are very time-efficient, 180 see, e.g., [22]. The dynamic response of marine structures is commonly analyzed in the frequency 181 domain using LPF theory [23-25]. Time-domain models are based on hydrodynamic coefficients 182 solved in the frequency domain and inserted into the Cummins equation [26,27], see Appendix B for 183 further information. In the present paper, hydrodynamic coefficients are calculated in the frequency 184 domain from the BEM-based LPF software WAMIT [28]. Five models of various levels of accuracy 185 are considered. The LPF0 model is based on the solution to a traditional one-DoF mass-spring-186 damper system with constant hydrodynamic coefficients; i.e., the added mass, the hydrodynamic 187 damping, and the hydrostatic stiffness are merely evaluated at a single frequency (damped natural 188 frequency). Furthermore, the draft-dependency is disregarded in the calculation of the 189 hydrodynamic coefficients, in which the sphere is considered static at the neutrally buoyant position 190 (submergence to the equator). The LPF1-4 models are based on the Cummins equation, allowing the 191 description of arbitrary motions (multiple frequencies) rather than a regular motion (single 192 frequency). For LPF1, the hydrodynamic coefficients in the frequency domain are calculated for the 193 neutrally buoyant position and are assumed linear. Various levels of nonlinearities (draft-194 dependencies) are added in extension of each other to LPF2, -3, and -4: Respectively, the hydrostatic 195 stiffness, the added mass at infinite frequency, and the convolution part of the radiation force are 196 nonlinearized. The utilized LPF models are thoroughly presented in Appendix B.

197 2. Materials and Experimental Setup

198 In the present section, the materials and setup of the physical heave decay tests conducted at 199 Aalborg University are presented. Four repetitions were carried out for each drop height.

# 200 2.1. The Sphere Model

The sphere model was constructed using computer numerical control (CNC) machining of two aluminum blocks into two hemisphere shells of equal outer radii. A thread was cut internally at the equator of the sphere to be able to assemble and disassemble the two hemisphere shells, see Figures 4 and 5(a). A thin rubber gasket was installed to seal the model when assembled, see Figure 5(b). The sphere was designed with an adjustable internal ballast system. A thread was tapped internally at the bottom of the model to fix ballast weights, see section view A-A in Figure 4.

Additional threads were tapped externally at the top and bottom of the sphere to allow attachment of lines for decay tests and future tests including mooring and power take-off (PTO). For line attachment to the sphere model, custom-made M8 nuts were used, see Figure 6(a). In the presented tests, a line was merely mounted to the top of the sphere to displace it in the positive *z*direction as the initial condition. A nut was installed at the bottom external thread with a cover of polyvinyl chloride (PVC) tape, see Figure 6(b,c). The sphere model was marked with thin lines to have a reference system of *x* and *y*, as also seen in Figure 6(b,c). A





Figure 4: Technical drawing of the two hemisphere shells. Measurements are in mm.



(a)

(b)



Figure 5: Unballasted hemisphere shell with a diameter of 300 mm (a). Ballasted hemisphere shell with rubber gasket (b).





(b)

(c)

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Figure 6: Custom-made nuts (a) mounted at the bottom hemisphere (b) with a cover of PVC tape (c).

An optical 3-D motion capture system was utilized to track four reflective markers installed on top of the model. In order to minimize the reflection from the model itself, the upper hemisphere

shell was painted matte black. Ballast weights were CNC machined from stainless steel and mounted
 internally at the bottom of the lower hemisphere, see Figure 7.





Figure 7: The sphere model after installation of reflective markers, ballasts, and rubber gaskets.

226 The machined components (i.e., the hemisphere shells and the ballast weights), were constructed 227 with a precision of 0.1 mm. The dimensions of the additional components (i.e., nuts and reflective 228 markers), were known with the same precision. The weight of each of the individual components of 229 the sphere model were measured on precision scales with a precision of 0.1 g. A 3-D computer-aided 230 design (CAD) drawing of the sphere model was created in which densities were ascribed to the 231 individual components from the measured weights. The total mass, total center of gravity (in the local 232 coordinate system defined in Section 1.1), and the total moments of inertia of the sphere model 233 installed with ballast to generate half-submergence are given in Table 2. In the supplementary 234 material under the Descriptions folder, the dimensions, weights, and centers of gravity are given for 235 all individual components.

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Table 2: Inertia specifications of the sphere model (in the local coordinate system).

Parameter	М	CoG	$I_{xx}$	$I_{yy}$	$I_{zz}$	$I_{xz}$	Ixy, Iyz
Unit	g	mm	gmm <sup>2</sup>	gmm <sup>2</sup>	gmm <sup>2</sup>	gmm <sup>2</sup>	gmm <sup>2</sup>
Value	7056	(0, 0, -34.8)	98251 • 10 <sup>3</sup>	98254 • 10 <sup>3</sup>	73052 • 10 <sup>3</sup>	0 · 10 <sup>3</sup>	$10 \cdot 10^{3}$

#### 237 2.2. Experimental Setup and Equipment

238 The decay tests were carried out in the wave basin in the Ocean and Coastal Engineering 239 Laboratory at Aalborg University in Denmark. The wave basin measured  $13.00 \times 8.44$  m, and a water 240 depth of 900 mm was used for all tests. The wave basin had vertical wavemaker pistons and vertical 241 passive wave absorber elements installed. The wavemaker pistons were inactive during the tests. The 242 sphere model was released in the middle of the basin, see Figure 8. A camera was mounted for documentation purposes, and three wave gauges were installed to measure the radiated waves from 243 244 the decays and reflected waves, see Figure 8. Wave gauge data was collected, partly to assess 245 reflections, and partly to analyze radiated waves in further work. The position of the sphere model 246 was tracked by a Qualisys Motion Capture System; four Oqus7+ cameras at 300 fps with invisible, 247 infrared strobes were mounted in the air phase, pointing towards the model, see Figures 9 and 10.





Passive wave absorber elements

Figure 8: Test setup and measurements of the wave basin. Measurements are given in mm.



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Figure 9: Test setup in the wave basin; center: half-submerged sphere model, left: camera, front: wave gauges, right: motion capture cameras, above: release system fixed to the bridge.



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Figure 10: Test setup in the wave basin; center: half-submerged sphere model, background: motion 257 capture cameras (strobes in purple), right: wave gauge (no. 3).

258 The release of the sphere model was initiated by a mechanical system consisting of a pushrod 259 and a small electrical actuator, see Figure 11. A line was mounted to the top of the sphere model at 260 the one end and to a small nut at the other end. The nut was supported by the pushrod preceding the 261 initialization of the tests. A trigger signal was sent to the actuator which displaced the pushrod 262 backwards (towards the actuator), thus removing the support of the sphere model. The release time 263 was measured by highspeed cameras (960 fps) to less than 1/960 s [9]. The line connecting the sphere 264 model to the pushrod was a Suffix® 832 line with 8 braided fibers and 32 weaves per inch (thickness 265 0.30 mm, weight 0.18 g/m).



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Figure 11: Release system consisting of a pushrod and electrical actuator.

269 The sphere model was displaced in positive heave to approximately match the test case drop 270 heights  $H_0$  as given in Table 1. The sphere model was kept at a given drop height, until the model 271 and the free water surface were at rest, see Figure 12. The initial calmness of the sphere model 272 (measured drop heights, velocities, and accelerations) and the free water surface are quantified in 273 Section 3.





(b)



(c)



# 277 3. Results

The measured heave decay time series and the associated systematic and random uncertainties are accounted for in the present section. Furthermore, deviations between the ideal test case and the physical tests are quantified and considered. Heave  $x_3$  of the sphere is measured as the displacement of the sphere in the global *z*-axis. The influence of rotations in roll and pitch on the heave measurements of the sphere model are included in the uncertainty analysis.

283 3.1. Decay Measurements and Expanded Uncertainty

The measured heave decay time series are presented for the three investigated drop heights in Figure 13. To mitigate the effect of small variations in the drop height between the repetitions, the heave decay time series are normalized with the respective measured drop heights  $H_{0,m}$ . Time is normalized with the damped natural period in heave  $T_{e0} = 0.76$  s, see Appendix B.





Figure 13: Normalized decay time series for the three investigated drop heights.

290 The measured heave decay time series included with 95% confidence intervals (CIs) around the 291 sample mean are presented for each of the investigated drop heights in Figure 14. To be able to 292 distinguish the 95% CIs from the sample mean, a zoom around the first trough is included in Figure 293 14. Both the normalized and raw heave decay time series can be found in larger formats in Appendix 294 C, where the 95% CIs are upscaled to be able to visualize the time-dependency of the CIs. The 95% 295 CIs were calculated from the Taylor series method (TSM) in accordance with the recommendations 296 in [10]. The calculation of both the random and systematic uncertainties in the physical heave decay

297 tests are described in the present section.





Figure 14: Normalized heave decay time series and 95% CIs with zoom around the first trough.

- 300 The time-dependent, two-sided 95% CI on the sample mean  $\bar{X}(t)$  is established from expanding
- 301 the combined standard uncertainty  $u_{\overline{X_3}}(t)$  by the value  $t_{C,\nu}$  following the Student's *t* distribution [29].
- 302 *C* refers to the confidence level and  $\nu$  is the number of degrees of freedom (not to be confused with
- 303 the previously introduced rigid body motions, but rather the independent variables in the calculation

304 of  $u_{\bar{X}}$ ) given by v = N - 1 with *N* being the number of repetitions.

$$\bar{X}(t) \pm t_{0.95,3} \, u_{\bar{X}}(t) = \bar{X}(t) \pm U_{\bar{X}}(t), \tag{1}$$

- where  $U_{\bar{X}}(t)$  is referred to as the expanded uncertainty, and  $t_{0.95,3} = 3.182$  [29].
- 306 The combined standard uncertainty  $u_{\bar{X}}(t)$  is calculated as the root-sum-square of the random
- standard uncertainty  $s_{\bar{X}}$  and the systematic standard uncertainty  $b_{\bar{X}}$  as per TSM [10];

$$u_{\bar{X}}(t) = \sqrt{b_{\bar{X}}(t)^2 + s_{\bar{X}}(t)^2}.$$
(2)

The random standard uncertainty of the sample mean is directly calculated from the samplestandard deviations at each instant of time (ISO Type A) as

$$s_{\bar{X}}(t) = \frac{s_X(t)}{\sqrt{N}}.$$
(3)

310 The systematic standard uncertainty is calculated as the root-sum-square of the elemental 311 systematic standard deviations, see Table 1. The precision of the motion capture system (incl. 312 calibration) was assessed from displacements of the sphere model in heave with high-precision 313 blocks 50.0 mm in height. By comparing position time series, the systematic standard uncertainty of 314 the motion capture system setup is 0.01 mm (ISO Type A); refer to [9] for further information. The 315 systematic standard uncertainty introduced by vibrations of the bridge (reference frame for the 316 motion capture system) after release of the sphere model is conservatively assessed through a simple 317 supported beam analogy to be less than 0.1 mm (ISO Type B). The systematic standard uncertainty 318 from the deflections of the support rods of the reflective markers are estimated from the magnitude 319 of the change in acceleration of the decaying sphere from time zero to the first trough in the heave 320 time series (~16.5 m/s2 for  $H_0 = 0.5D$ , see Figure 16), which is in the same order of magnitude as g, 321 allowing the deflection to be assessed from including the weight of an additional reflective marker. 322 Conservatively, the systematic standard uncertainty introduced from deflections in the global z-323 direction of the support rods of the reflective markers are included as 0.1 mm (ISO Type B) for  $H_0 =$ 324 0.5D. The systematic standard uncertainty for the lower drop heights are linearly scaled down.

Rotations in roll and pitch result in small deviations between the measured heave of the sphere model (global coordinate system) and the actual heave, as the reflective markers are placed at a certain distance from the center of rotation (305 mm on average). The motions in heave resulting from the time-dependent roll and pitch are calculated, and the systematic standard uncertainty on the measured heave are found by the root-sum-square (ISO Type A). The maximum measured rotation in pitch or roll was 0.5°, see Figure 17, corresponding to approximately a 0.01 mm decrease of the global *z*-coordinate of the reflective markers.

Systematic error source k	Elemental systematic standard uncertainty $b_{\bar{X},k}\overline{H}_{0,m}$ [mm]	ISO types
Calibration of motion capture system (Oqus7+)	0.01	А
Vibrations of bridge (reference frame)	0.01	В
Vibration of support rods for reflective markers (for ascending $H_0$ )	0.02, 0.06, 0.10	В
Influence on heave measurements from roll and pitch	Time-dependent, < 0.02	А

Table 3:	Classification	and qua	ntification	of s	vstematic errors.
rubic 0.	ciabonication	una qua	intitication	010	youtiliance critoro.

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By multiplying the expanded uncertainty time series  $U_{\bar{X}}(t)$  for each drop height with the 335 respective averaged measured drop heights  $\overline{H}_{0,m}$ , the expanded uncertainty (with a confidence level 336 of 95%) are given with a physical dimension (length in mm), see Figure 15.







Figure 15: Expanded uncertainty time series for the three investigated drop heights.

339 The mean values of the expanded uncertainty time series for  $0 < t / T_{e0} < 8$  multiplied with 340  $\overline{H}_{0,m}$  are 0.44, 0.24, and 0.09 mm for the target drop heights of 0.5D, 0.3D, and 0.1D, respectively, 341 which correspond to about 0.3% of the drop height for all cases.

#### 342 3.2. Initial Calmness of the Sphere Model

343 The test case imposes zero velocity and zero acceleration as initial conditions on the sphere. To 344 investigate the initial calmness of the sphere model, the heave (position) time series and time

- 345 derivatives preceding the drop (i.e., for  $-0.3 < t/T_{e0} < 0$ ), are assessed, see Figure 16. The position
- 346 time series are subtracted with the respective measured drop heights to get zero as reference value. 347 A moving average filter with a size of 21 samples is utilized to filter the acceleration time series.





Figure 16: Velocity (a) and acceleration (b) time series with zoom around the limits  $-0.3 < t/T_{e0} < 0$ .

The mean and standard uncertainty of the position, velocity, and acceleration time series for all repetitions and drop heights averaged over  $-0.3 < t/T_{e0} < 0$  are calculated. The mean and standard uncertainty of the position time series are both 0.0000 m (0.0 mm). The mean and standard uncertainty of the velocity time series are 0.0000 m/s and 0.0004 m/s, respectively. The mean and standard uncertainty of the acceleration time series are -0.0002 m/s<sup>2</sup> and 0.0097 m/s<sup>2</sup>, respectively.

# 354 3.3. Six DoF Motions

In Figure 17, time series of the six DoF rigid body motions of the sphere model measured from the optical motion capture system are presented for  $H_0 = 0.5D$ . The measured six DoF motions for  $H_0 = \{0.1D, 0.3D\}$  are presented in Appendix C. The influences on the heave measurements from

roll and pitch of the sphere model have been included in the uncertainty analysis, see Table 3.

0

0

Heave  $x_3$  [mm]

[mm] 2.5

Surge  $x_1$  [ 0 -2.5

Sway  $x_2$  [mm] -10 -20

0.6

100 0 -100







Figure 17: Measured six-DoF motion time series for  $H_0 = 0.5D$ .

#### 360 3.4. Frequency Content

361 The three normalized heave decay time series (Figure 14) with  $0 < t/T_{e0} < 8$  are converted to a 362 periodic signal by mirroring about  $t/T_{e0} = 0$ , see Figure 18(a). The one-sided spectral densities are 363 calculated through FFT analysis, see Figure 18(b).







366 The measured surface elevation time series at the three wave gauges are seen for the highest

367 drop height (four repetitions) in Figure 19. Reflective walls (wave maker) are at 4.22 m from the *Energies* **2020**, *13*, x FOR PEER REVIEW

- 368 sphere model location, see Figure 8. A radiated wave needs to travel to the reflective wall and back
- 369 (i.e.,  $2 \cdot 4.22 = 8.44$  m), before reaching the sphere model location. The time  $t_{r0} = 8.44/c$ , where *c* is
- 370 the celerity of a linear wave with period  $T_{e0}$ , is included in Figure 19. Reflected waves will
- propagate past the locations of wave gauges 1, 2, and 3 around 2.0, 1.3, and 0.7 periods before  $t_{r_0}$ ,
- respectively. Decay time series presented up to  $t/T_{e0} = 8$  are not under influence of reflections from waves with the period  $T_{e0}$ , see Figure 19. This can be considered a conservative estimate as the
- main wave front of radiated waves will propagate with the group velocity rather than the phase
- velocity. The measured surface elevations from the other drop heights are included in Appendix C.





Figure 19: Decay and surface elevation time series for  $H_0 = 0.5D$ .

The initial calmness of the free water surface is assessed by the surface elevation time seriesprior to the release of the sphere model, see Figure 20.





381 The mean and standard uncertainty of the surface elevation time series for all repetitions and wave

- 382 gauges over  $-1 < t/T_{e0} < 0$ , are both 0.0000 m, respectively.
- 383

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# 384 3.6. Uncertainties of Physical Parameters

The values and standard uncertainties of the physical parameters from the test case in Section 1.1 are presented for the physical tests in Table 4. Standard uncertainties are calculated from the sample standard deviations, see Equation (3). Physical parameters not included in the test case, and the influence of which are not assessed to vary significantly between indoor laboratories of about 20 °C, are also included in Table 4 to easily be available to the reader (for inclusion in high-fidelity numerical models).

391

Table 4: Values and standard uncertainties for physical parameters in the test setup.

	Parameter	Value	Standard uncertainty	Unit	ISO type
	Diameter of sphere	300	0.1	mm	В
	Mass of sphere	7056	1	g	В
lues	Centre of gravity	(0.0, 0.0, -34.8)	(0.1, 0.1, 0.1)	mm	В
e va	Acceleration due to gravity	9.82	0.003	m/s <sup>2</sup>	В
Test cas	Drop heights (mean); $H_0 = \{0.1D, 0.3D, 0.5D\}$	{29.16, 89.18, 150.06}	{0.8, 0.5, 0.3}	mm	А
	Density of water [30]	998.2	0.4	kg/m³	В
	Water depth	900	1	mm	В
	Initial velocity in heave	0.0000	0.0004	m/s	А
	Initial acceleration in heave	-0.0002	0.0097	m/s <sup>2</sup>	А
y	Temperature of air and water	20	2	°C	В
s -fideli	Kinematic viscosity of water [30]	$1.0 \cdot 10^{-6}$	$0.1 \cdot 10^{-6}$	m²/s	В
alue nigh	Density of air [30]	1.20	0.012	kg/m³	В
onal va d for l odels)	Kinematic viscosity of air [30]	$15.1 \cdot 10^{-6}$	$0.2 \cdot 10^{-6}$	m²/s	В
dditic nende m	Surface tension water-air [30]	0.07	0.004	N/m	В
∠ (Recomi	Moments of inertia of the sphere model; $I = \{I_{xx}, I_{yy}, I_{zz}, I_{xy}, I_{xz}, I_{yz}\}$	{98251, 98254, 73052, 0, 10, 0} · 10 <sup>3</sup>	{37, 37, 1, 0, -77, 96} · 10 <sup>3</sup>	gmm²	В
	Initial surface elevation	0.0	0.01	mm	А

392 3.7. Comparison of Decay Measurements to Numerical Modelling Blind Tests

In the present section, the numerical heave decay time series are presented that were obtained from the numerical models of the test case by modelling approaches of various fidelity as introduced in Section 1.2, and with the properties outlined in Appendices A and B. Comparison of the full time series for all drop heights are shown in Figure 21. In Figure 22 the initiation of the decay for  $H_0$  = 0.5*D* is shown. The first trough and crest of the decay time series are shown in Figures 23 and 24, respectively. In Figure 25, the comparison of decay time series are shown merely for the numerical models of higher fidelity; i.e., FNPF and RANS models. 400





Figure 21: Comparison of physical and numerical test results.



Figure 22: Comparison of physical and numerical test results at the initiation.





Figure 23: Comparison of physical and numerical test results at the first trough.





Figure 24: Comparison of physical and numerical test results at the first crest.



Figure 25: Comparison of physical and high fidelity numerical test results with zoom around  $0 < t/T_{e0} < 2$ .

405 4. Discussion

The measured heave decay time series are seen in Figure 14. The repeatability between the test repetitions is very high for each of the three drop heights, as seen both from Figure 14 and from the random standard uncertainties of the heave decay time series. On average, these are around 0.07, 0.03, and 0.01 mm, respectively, for the three drop heights in descending order, which corresponds to less than 0.1% of the initial respective drop heights. However, the random standard uncertainty is largely time-dependent, and the maxima are factors of 4-7 times larger than the average. In general, the random uncertainty decreases when the sphere model decreases in speed and vice versa. This is 413 both visible over time and over the three investigated drop heights. Over time, two maxima (in 414 magnitude) are expected in the speed time series per natural period, and these maxima damp out 415 over time (to less than 10% of the first maxima after  $\sim 5T_{e0}$ ), see Figure 16(b). This broadly correlates 416 to the time-variation of the expanded uncertainty in Figure 15, for which the time-variation is 417 governed by the random uncertainty (over the systematic). Over the three drop heights, the random 418 uncertainty decreases with the drop height, where obviously the sphere model will oscillate with 419 lower speeds for lower drop heights, see Figures 15 and 16(b). The observations of dependence 420 between the random uncertainties and the speed of the sphere model are ascribed to marker-image-421 shape-distortions increased by higher relative speeds between the optical motion capture system and 422 the test specimen, as reported in [31].

423 Apart from the systematic uncertainty modelled from the influence of roll and pitch on the heave 424 measurements, the systematic uncertainty is modelled as a time-invariant. The systematic standard 425 uncertainty stemming from the roll and pitch time series does not exceed 0.02 mm, and as the total 426 systematic standard uncertainty is taken as the root-sum-square of elemental systematic standard 427 uncertainties of significantly higher values, the total systematic uncertainty is practically modelled 428 as a time-invariant. As the random uncertainty largely is dictated by the sphere model speed (equal 429 to zero twice per natural period), the dominating nature of the time-varying uncertainty is alternately 430 systematic and random. As the sphere model damps out, it will eventually be dominated by the 431 systematic uncertainties, seen as the offsets in Figure 15. The reader should note that systematic 432 uncertainties are not directly modelled from the test measurements as with random uncertainties, 433 but rather on estimates and engineering judgment. This is indicated by the ISO Type categorization 434 in Section 3, see [10] for further information.

In Figure 13, the normalized heave decay time series for the three drop heights can be seen relative to one another. Most notably, for increasing drop heights, the initial damped natural period in heave increases. This is in accordance with the spectra shown in Figure 18, where the peak in the spectrum for the highest drop height is shifted to a slightly lower frequency.

The ideal heave decay tests described as the test case in Section 1.1 only allow oscillations in heave (one-DoF system). Naturally, imperfections will activate additional DoF, which under the assumption of rigid body motions are quantified in Figure 17 for  $H_0 = 0.5D$ . As reflective markers are mounted on the upper hemisphere of the sphere model, rotations in pitch and/or roll influence the measurement of the position of the sphere model in the global coordinate system. These influences are accounted for in the uncertainty analysis, see Table 3. Slight drifts occur in surge, sway, and yaw during the decay. The drifts have a negligible influence on the heave decays.

446 The physical parameters from the test case are listed in Table 4, with associated standard 447 uncertainties and values of additional physical parameters not given in the test case. The values given 448 in Table 4 quantify the certainty with which the governing physical parameters of the test setup are 449 known. All physical parameters from the test case comply very well with the values given in Table 4. 450 The relative deviation between the measured drop heights are the largest, but are basically without 451 influence on the presented results, since normalizing with respect to the measured drop height in 452 each repetition practically eliminates deviations between repetitions. The initial calmness of the 453 sphere model and water phase are analyzed from time series preceding the drop, see 454 Figures 16 and 20. Both the sphere model and the water phase are considered completely calm for 455 practical applications.

### 456 4.1. Comparison to Numerical Modelling Blind Tests

457 Numerical models have successfully been formulated to represent the test case presented in 458 Section 1.1. The majority of the numerical models depict the heave decay time series from the physical 459 tests very well, see Figure 21. The largest deviations between physical and numerical tests occur for 460 the LPF models, where the deviations are more pronounced for higher drop heights. This is expected, 461 as nonlinearities increasingly govern the heave decay, as the drop height is increased. The *LPF0* and 462 *LPF1* models, introduced in Appendix B, have a significant negative phase shift within the first 463 natural period relative to the physical tests and the models of higher fidelity, see Figures 21-24. As a 464 result of the phase shift, large deviations from the 95% CI from the physical tests of around 50 mm (i.e., 33% of  $H_0$ ), occur for the LPF0 and LPF1 models at  $H_0 = 0.5D$ . Not considering the phase shifts, 465 466 but merely the magnitudes of troughs and crests, the LPF0 and LPF1 models, respectively, deviate 467 with around 12-13 and 1-5 mm (i.e., 9% and 1-3% of  $H_0$ ), at the first trough and crest, see Figures 23 468 and 24. The LPF0 model oscillates with the damped natural frequency of a one-DoF spring-mass-469 damper system with constant hydrodynamic coefficients, and thus is not capable of including 470 broader frequency contents, which may explain the larger phase shifts for larger drop heights, see 471 Figure 21. The linearization of the hydrostatic force in the LPF1 model spuriously increases the 472 acceleration, as discussed in Appendix B. As the drop height is decreased, the heave decay will 473 oscillate with  $T_{e0}$  and the assumption of linear hydrostatics will become more accurate. 474 Consequently, the LPF0 and LPF1 models become increasingly accurate in both amplitude and phase 475 for lower drop heights, see Figure 21. The inclusion of nonlinear hydrostatics in the LPF2 and -3 476 models significantly reduces the phase shifts, see, e.g., Figure 23. The constant  $a_{33}^{\infty}$  term in the LPF2 477 model, however, spuriously delays the decay at initiation, see Figure 22, and in general increases the 478 deviation from the physical tests when the sphere is displaced from its rest condition at which the 479 constant  $a_{33}^{\infty}$  term is evaluated, see Figures 21 and 24. Only including the draft-dependency of 480 the  $a_{33}^{\infty}$  term in the radiation force as in the LPF3 model (see Appendix B) introduces large deviations 481 at the first trough at  $H_0 = 0.5D$ , see Figure 23. The inclusion of draft-dependency of the convolution 482 part of the radiation force as done in the LPF4 model (refer to Appendix B for further information) 483 does not yield more accurate results. Despite the large deviations at the first trough, the LPF3 model 484 captures all subsequent crests and troughs in the  $H_0 = 0.5D$  case with an accuracy close to those of 485 the RANS models, and is thus significantly more accurate than the LPF2 model with constant  $a_{33}^{\infty}$ . 486 At  $H_0 = 0.1D$ , the LPF2 and -3 models perform with maximum deviations of around 1 mm, which are 487 comparable to the deviations of the models of higher fidelity.

488 The FNPF and RANS models deviate with less than 1 mm for  $H_0 = 0.1D$ , corresponding to 3% of H<sub>0</sub>. At the first trough, the models FNPF1, RANS1 and RANS5 lie within the 95% CI of the physical 489 490 measurements, while the RANS2 and RANS4 models deviate with less than 0.3 mm (i.e., less than 1% 491 of  $H_0$ ). Deviations at the first trough have the same order of magnitude for  $H_0 = 0.3D$ , while at  $H_0 =$ 492 0.5D, the deviations increase to around 1-3 mm (i.e., 1-2% of  $H_0$ ), with the exception of the RANS2 493 and RANS3 models, which are actually within the (narrow) 95% CI. The kinematics, and thus velocity 494 gradients, are largest within the first natural period, leading to high demands on the near-wall 495 meshing and treatment (mesh morphing, wall functions etc.) in the RANS models. However, from 496 Figure 25, there is a general tendency of the largest deviations to occur at  $1 < t/T_{e0} < 4$  (even when 497 taking into account the decrease of the CI width, see Figure 15). Assuming the time-error of the 498 motion capture system to be negligible, the reasoning behind the tendency of largest deviations not 499 to occur during the first natural period is two-fold: i) in a RANS model, errors from the numerical 500 discretization and iterations accumulate and ii) turbulence increases over the first periods and when 501 the sphere changes direction. The former includes numerical errors of turbulence parameters if 502 calculated in a turbulence model, while the latter refers to the increase of the complexity of the water 503 phase over time (emergence of high-frequency perturbations of the free surface and sub-grid vortices) 504 and how model errors of either not including a turbulence model (laminar simulations) or the 505 inaccuracies associated with a given model thus become more pronounced with time. The deviations 506 tend to reduce for  $4 < t/T_{e0}$  which is ascribed to the low amplitudes themselves rather than an 507 increase in the accuracy, as the continued increase in the phase shifts (up to around 0.04 s; i.e., 0.05TeO) 508 also suggests. An increased accuracy from inclusion of a turbulence model (k-omega-SST) can be seen 509 by comparing the RANS2 and RANS3 models in Figure 25.

Troughs and crests for the RANS models are calculated with deviations of maximally 1 mm, 2 mm, and 4 mm, respectively, for the three drop heights in ascending order. This corresponds to deviations up to 3% of  $H_0$ . The FNPF model has similar deviations for the two lowest drop heights, while the deviations at  $H_0 = 0.5D$  are up to 8 mm or 5% of  $H_0$ . For  $H_0 = 0.5D$ , the maximum of deviations at troughs and crests are an order of magnitude higher for the LPF models than the RANS models, which indicates the potential pitfalls of LPF models for large-amplitude motions.

#### 516 5. Conclusions

22 of 35

517 A sphere model was constructed to accurately represent the formulated test case. Physical 518 parameters of the test setup are quantified, and associated uncertainties are generally found to be 519 low. The precision of the physical test results is very high and is quantified by time-varying 520 systematic and random uncertainties of the heave time series. At a 95% confidence level, the 521 uncertainties are on average 0.09, 0.24, and 0.44 mm for the target drop heights in ascending order, 522 corresponding to about 0.3% of the respective drop heights. The uncertainty of the optical motion 523 capture system increases with larger velocities of the test specimen, and for the largest drop height 524 the uncertainty is less than 1.5 mm, corresponding to less than 1% of the drop height.

525 High correlation is found between the physical test results and the results from independent 526 numerical modelling blind tests for LPF, FNPF, and RANS models, ranged with increasing fidelities. 527 At the lowest drop height, the deviations are less than 1 mm for all models, which corresponds to 528 less than 3% of the drop height (disregarding the regular motion model LPF0). Deviations of the LPF 529 models increase for higher drop heights. The performance of the FNPF model is in general better than 530 the LPF models, but deviations are larger than those of the RANS models for the highest drop height. 531 RANS models produce heave decay time series with deviations of 0-4 mm at troughs and crests for 532 the highest drop height, which correspond to 0-3% of the drop height. Deviations are smaller for the 533 lower drop heights. It should be mentioned that the results from the RANS models have a larger 534 spread than the physical results, and various models are outside of the 95% CIs at various periods 535 during the decay. The comparison of the numerical and physical test results suggests that the LPF 536 and partly the FNPF models should be used with care in applications with motions of very large 537 amplitudes, whereas the RANS models, if proper convergence is reached, are capable of producing 538 accurate results for all drop heights.

The high correlation of multiple independent numerical modelling blind tests with the physical tests demonstrates the use of the test case and the physical test results in validating numerical models. Taking this into account, together with the high repeatability and quantified uncertainties of the physical tests, the measured heave decay time series of the sphere model provide a highly accurate solution to the test case, and are thus highly appropriate for numerical model validation. The heave decay time series are made public as a benchmark dataset in the supplementary material to the present paper.

It is the intention of the authors to perform further tests in the future, including motion of the sphere model in waves with PTO and motions in multiple DoF. If the reader is interested in following the future work, he/she is encouraged to become a member of the international working group by contacting the coordinator of the OES modelling task Kim Nielsen (please request his contact details from the authors of this paper).

551 6. Supplementary Materials: Access to and contents of the benchmark dataset

552 The benchmark dataset of the physical heave decay tests is made publicly available from the 553 supplementary material to the present paper online at www.mdpi.com/xxx/si and at the OES 554 webpage [4]. In addition, all numerical modelling blind tests of the test case are made available. The 555 datasets are structured under the folder Datafile with subfolders Descriptions, Experimental results, and 556 Numerical results, see Figure 26. The Description folder is included with technical descriptions of the 557 sphere model and the test setup (referred to in Sections 1 and 2). The Experimental results and 558 Numerical results folders contain the results from heave decay tests performed physically and 559 numerically, respectively. Ten numerical modelling approaches were performed on the test case, and 560 thus ten subdirectories are located under Numerical results, see Figure 26. For further information on 561 the specifications of the numerical models refer to Appendix A.

The results are given as text-files with columns containing time t [s] and heave  $x_3$  [m], see Figure 27. The three columns *WG*1, *WG*2, and *WG*3 [m] contain the surface elevation time series at three wave gauges locations, introduced in Section 2.2, and are included for the experimental results and for certain numerical results. Four repetitions were performed of the physical heave decay tests,

- 566 all of which are included in the result files under *Experimental results*. The heave decay time series are
- 567 presented both in a raw and normalized format, as explained in Section 3. The normalized results are also represented in a file containing the sample mean and the upper and lower bounds of the 95% CI

568

569 around the sample mean, see Section 3.



570 571

Figure 26: Directory structure of supplementary material.

/// 05D_Meas	sured4_Raw - Notepad			- 🗆	×
File Edit Fo	ormat View Help				
t [s]	x3 [m]	WG1 [m]	WG2 [m]	WG3 [m]	
-0.3780000	0.1507666	0.0000236	-0.0000400	-0.0000	284
-0.3760000	0.1507664	0.0000180	-0.0000415	-0.0000	337
-0.3740000	0.1507648	0.0000114	-0.0000413	-0.0000	376
-0.3720000	0.1507653	0.0000041	-0.0000398	-0.0000	400
-0.3700000	0.1507702	-0.000038	-0.0000369	-0.0000	409
		(a)			
05D_Cl95_Normal	lized - Notepad			_	
File Edit Format	View Help				
t/Te0 [-]	x3/H_{0,m} (mean)	[-] Lower 95% C]	[ bound [ - ] Up	per 95% CI	bound [-]
-0.4999339	1.0000092	0.9978673	1.0	0021512	
-0.4972887	0.9999992	0.9978571	1.0	0021412	
-0.4946436	0.9999894	0.9978475	1.	0021313	
-0.4919984	0.9999937	0.9978517	1.0	0021356	
-0.4893533	1.0000064	0.9978642	1.	0021486	
		(b)			



Figure 27: Structure of result files. Example with first part of raw measurements (a) and mean of 573 normalized data with 95% CI (b).

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589 publish the results.

# 590 Appendix A

As explained in Section 1.2, three categories of numerical models have been applied to the test
case: i) Reynolds-Averaged Navier-Stokes (RANS) models, ii) fully nonlinear potential flow (FNPF)
models based on the boundary element method (BEM), and iii) linear potential flow (LPF) models
based on BEM.

595

Table A1: Numerical models from the participants in the OES working group.

Name	Institution and authors	Framework	Description	Comp. effort [CH]*
RANS1	Aalborg University; C.E., J.A.	OpenFOAM-v1912	3-D URANS model. Incompressible, isothermal. Volume of fluid method. Two vertical symmetry planes. Reflective side walls. Mesh morphing using SLERP method. Cell count of 6-9 M cells. No turbulence model. 2 <sup>nd</sup> order accurate in time and space. CFL criterion of 0.5	~3000-6500
RANS2	University of Plymouth; E.R., S.B.	OpenFOAM 5.0	3-D URANS model. Incompressible, isothermal. Volume of fluid. Two vertical symmetry planes. Reflective side walls. Mesh morphing using SLERP method. Cell count of ~12 M cells. No turbulence model. CFL criterion of 0.5.	~1000-4200
RANS3	University of Plymouth; E.R., S.B.	OpenFOAM 5.0	Same as RANS2 except k-Omega SST turbulence model. Only conducted for $H_0 = 0.5D$ .	~1800
RANS4	National Renewable Energy Lab.; Y-H.Y., T.T.T.	STAR-CCM+ 13.06	3-D URANS model. Incompressible, isothermal. Volume of fluid. Two vertical symmetry planes. Cell count of 6 M cells. Mesh morphing with one DOF. k-Omega SST turbulence model. 2 <sup>nd</sup> order accurate in time and space. CFL criterion of 0.5. Max. time step of 0.1 ms.	~1000-2600
RANS5	Budapest University of Technology and Economics; J.D., C.H.	OpenFOAM 7	<ul> <li>2D URANS model. Incompressible, isothermal. Volume of fluid method.</li> <li>Axisymmetric wedge geometry. Cell count of approx. 20 K cells. No turbulence model.</li> <li>2<sup>nd</sup> order accurate in time and space. CFL criterion of 0.25. Water depth changed to 1.8 m to allow mesh morphing.</li> </ul>	~0.5-2.5
FNPF1	Chalmers University of Technology; C-E.J.	SHIPFLOW- Motions 6	Fully nonlinear potential flow BEM. 1600 panels were used on the sphere and 4600 panels were used on the free surface. The time step was 0.005 s.	~6

LPF0	Aalborg University; M.B.K., J.A.	WAMIT and MatLab	Analytical solution to one-DoF mass-spring-damper system with hydrodynamic coefficients from BEM (for $\omega = \omega_{e0}$ )	_**
LPF1	Floating Power Plant; M.B.K.	WAMIT and MatLab/Simulink	Model with linear hydrostatics and linear coefficients from BEM. Time- step: 1 ms, solver: ode4 (Runge-Kutta).	_**
LPF2	Floating Power Plant; M.B.K.	WAMIT and MatLab/Simulink	Model with nonlinear hydrostatics and linear coefficients from BEM. Time-step: 1 ms, solver: ode4 (Runge-Kutta).	_**
LPF3	Floating Power Plant; M.B.K.	WAMIT and MatLab/Simulink	Model with nonlinear hydrostatics, linear radiation function from linear BEM but position dependent infinity added mass. Time-step: 1 ms, solver: ode4 (Runge-Kutta).	_**
LPF4	Floating Power Plant; M.B.K.	WAMIT and MatLab/Simulink	Model with nonlinear hydrostatics and position dependent radiation functions (based on linear coefficients from BEM). Time-step: 1 ms, solver: ode4 (Runge-Kutta).	_**

\*Core-Hours for one decay, \*\*Order of seconds for Matlab/Simulink simulations using precomputed WAMIT coefficients

# 596 Appendix B

597 In the present Appendix, the utilized LPF models are presented. The principles of the 598 linearization of hydrostatics is presented first. Then, the formulation of the grossly linearized, regular 599 LPF0 model is presented. Subsequently, the time domain LPF1-4 models with various levels of 600 nonlinearities are introduced. Physical test measurements of the draft-dependency of the hydrostatics 601 of the sphere model are presented and compared to the linear and nonlinear analytical expressions 602 of the hydrostatic force. Numerical results of the draft-dependency of the added mass at infinite 603 frequency and the convolution part of the radiation force are presented. Lastly, a comparison of 604 simulation results from the LPF1-4 models is included.

605

606 *Linearization of Hydrostatics* 

607 The exact nonlinear hydrostatic force is calculated using the analytical equation of the 608 submerged volume; i.e.,

$$f_h = f_b - f_g = V_s \rho g - mg, \tag{A1}$$

609 where  $V_s$  is the exact submerged volume of the sphere, calculated by

$$V_s = ((\pi h^2)/3)(3D/2 - h), \tag{A2}$$

610 where  $h = D/2 - x_3$  is the draft with limits 0 and *D*. In the linear case the hydrostatic force is 611 linearized to

$$f_h \cong -\rho g A_{WP} x_3,\tag{A3}$$

612 where  $A_{WP} = \pi (D/2)^2$  is the water plane area (i.e., the area of a circle with diameter *D*). With 613  $C_{33} = \rho g A_{WP}$  being the hydrostatic stiffness in heave, the linearized hydrostatic force can be written 614 as

$$f_h \cong -\mathcal{C}_{33} x_3. \tag{A4}$$

615 The LPF0 Model

616 The dynamic one-DoF system can be considered as a traditional mechanical oscillator composed 617 of a mass-spring-damper system with *constant* mass, damping, and spring stiffness; i.e., merely a 618 regular motion (single frequency) is modelled. When restricted to a regular motion, the linear 619 equation of motion for a free oscillation in heave is written as

$$(m + A_{33}(\omega))\ddot{x}_3(t) + B_{33}(\omega)\dot{x}_3(t) + C_{33}x_3(t) = 0,$$
(A5)

620 where *m* is the mass of the sphere,  $A_{33}$ ,  $B_{33}$ , and  $C_{33}$  is the added mass, hydrodynamic damping, and 621 hydrostatic stiffness in heave, respectively. Note that the right-hand side of the equation is zero as 622 there is no external forcing on the system; i.e., no incident waves and no PTO forces. Drag forcing 623 due to viscous effects are not included in any of the models based on linear theory. The frequency 624 dependent added mass and hydrodynamic damping coefficients for the given water depth are 625 calculated using traditional BEM theory utilizing the commercial LPF code WAMIT.

The natural frequency, the damped natural frequency, and the logarithmic decrement of the oneDoF system are calculated using the hydrodynamic coefficients for the statically neutrally buoyant
position [6]. From [27], the solution to the free oscillation is

$$x_{3}(t) = (C_{1} \cos \omega_{e0} t + C_{2} \sin \omega_{e0} t)e^{-\delta t}$$
(A6)

As an initial check the reader is encouraged to compare the results of this equation to his/her
own simulation results. The hydrodynamic coefficients, the damped natural frequency (and period),
logarithmic decrement and the added mass and damping coefficients at the damped natural

632 frequency is given in Table A2.

633	Table A2: Hydro	dynamic o	coefficients	s and modal	parameters 1	utilized in	the LP	F0 model.
	$T_{10}$	(1) . 0	δ	$A_{\alpha\alpha}(\omega, \alpha)$	$B_{\alpha\alpha}(\omega, \alpha)$	Can	C.	Ca

$T_{e0}$	$\omega_{e0}$	δ	$A_{33}(\omega_{e0})$	$B_{33}(\omega_{e0})$	$C_{33}$	$\mathcal{C}_1$	$\mathcal{C}_2$
[s]	[rad/s]	[rad/s]	[kg]	[Ns/m]	[N/m]	[m]	[m]
0.76	8.30	0.695	2.97	13.95	692.89	$H_0$	$0.0839H_0$

634 The LPF1-4 Models

Through the Cummins equation [26], the linear equation of motion is expressed in the time domain as

$$(m + a_{33}^{\infty})\ddot{x}_3(t) + f_{r,conv}(t) + C_{33}x_3(t) = 0,$$
(A7)

637 where  $f_{r,conv}$  is the convolution part of the radiation force; i.e.,

$$f_{r,conv} = \int_0^t K_{33}(t-\tau) \, \dot{x}_3(\tau) d\tau.$$
(A8)

638 WAMIT directly outputs the infinite frequency added mass coefficient  $a_{33}^{\infty}$ , and the radiation 639 impulse response functions (IRF) is calculated based on the damping coefficients:

$$K_{33}(t) = \frac{2}{\pi} \int_0^\infty B_{33}(\omega) \cos(\omega t) \, d\omega. \tag{A9}$$

For a strictly linear model the coefficients are found for the structure located at rest at its statically neutrally buoyant position in the water. The results of such a model is given in the *LPF1* model. However, one may try to extend the linear case by introducing nonlinear coefficients. When doing this the effects of the motion of the structure (i.e., the draft of the sphere) are included, but the water surface is considered calm. The easiest and most common first step is to include nonlinear buoyancy, which is done in *LPF2*. Further, the draft dependency of  $a_{33}^{\infty}$  is included in *LPF3*, and finally, in addition, the radiation convolution function is included in *LPF4*. The models are outlined in Table A3.



Model	Hydrostatics	Added mass	Radiation convolution function
	Сз	$a_{33}^{\infty}$	Кзз
LPF1	Constant	Constant	Constant function
LPF2	Draft-	Constant	Constant function
	dependent		
LPF3	Draft-	Draft-	Constant function
	dependent	dependent	
LPF4	Draft-	Draft-	Draft-dependent functions
	dependent	dependent	_

#### Table A3: Overview of the LPF1-4 models.

648

### 649 *Measured hydrostatics*

650 Measurements were performed using a force sensor which was connected to the mooring line. 651 Two tests were performed, one test where the sphere was slowly lifted out of the water and the sensor 652 was mounted at the mooring line going upward, and another test where the sphere was slowly 653 submerged into the water and in this case the sensor was mounted under the water at a mooring line 654 going downward. Simultaneous position and force measurements were recorded, see Figure A1. It is 655 seen that the nonlinear Equation (A1) represents the measurements accurately, whereas the linear 656 Equation (A3) is about 50% off when the sphere is fully submerged ( $x_3/D = -0.5$ ) or just lifted out of

- 657 the water  $(x_3/D = 0.5)$ . Equation (A1) is utilized in the models with nonlinear implementation of the
- hydrostatic force; i.e., *LPF*2, -3, and -4.



659

- 660Figure A1: Measured hydrostatic forces as function of the draft. Nonlinear (Equation (A1)) and661linear (Equation (A3)) analytical expressions of the hydrostatic force are included.
- 662 *Added Mass at Infinite Frequency*

663 The added mass at infinite frequency coefficient  $a_{33}^{\infty}$  was calculated in WAMIT using different

- values of the draft of the sphere. The data was fitted to a fifth order polynomial, see Figure A2. This
- 665 fit was subsequently used in the models with nonlinear implementation of  $a_{33}^{\infty}$ ; i.e., *LPF3* and -4.





668

Figure A2: Draft-dependent normalized added mass at infinite frequency with a fifth order polynomial fit.

### 669 Radiation IRF

The radiation IRF  $K_{33}$ , see Equation (A9), was calculated using WAMIT hydrodynamic damping coefficients for different drafts of the sphere. The curves in Figure 3 show the spread in the functions when going from zero draft (flat curve) to full submergence with draft equal to the diameter *D* (largest curve). A resolution in draft of 1 mm was used (a total of 300 functions). The radiation impulse function to be used at a particular time step during the simulation was thus pieced together of the radiation impulse functions corresponding to the drafts of previous time history. Linear interpolation in the functions was used to get the values corresponding to the actual drafts.



677 678

679

Figure A3: Normalized radiation impulse response functions for different drafts. Steps of 15 mm draft is shown for better visualization.

680

#### 682 Comparison of the LPF1-4 Models

683 A comparison of the simulation results from the LPF1-4 models with various levels of 684 nonlinearities is particularly interesting for the tests conducted with the highest drop height; i.e., 685  $H_0 = 0.5D$ . These are shown in Figure 4 for the first two natural periods in heave. For these tests, the 686 initial buoyancy force on the sphere is zero, as the draft is zero. The LPF1 model, however, under-687 predicts the initial downward hydrostatic force, see Figure A4, since in the linearized hydrostatics 688 assumption, Equation (A1), the buoyancy of a cylinder with the sphere diameter and the height equal 689 to half the sphere diameter is subtracted from the rest condition at  $x_3 = 0$  (zero hydrostatic force). In the LPF2 model, the initial downward acceleration of the sphere is over-predicted due to the 690 691 inclusion of a constant added mass term (the added mass should ideally be zero at initiation). The 692 LPF1 model weighs out this error by the former mentioned error induced by the subtraction of the 693 buoyancy of the cylinder, where it ideally should be the buoyancy of half a sphere. The volume of a cylinder is 1.5 times the volume of a sphere, causing the under-predicted hydrostatic force to exactly 694 695 balance out the extra added mass ( $a_{33,LPF1}^{\infty} = 0.5m$ ) at initiation. Hence, the LPF1 model accelerates 696 by g at initiation, as is the case with the models LPF3 and -4, where the added mass at infinite 697 frequency is calculated as a function of the draft. Regarding the convolution part of the radiation 698 force, the LPF4 model is predicting a different force time series with higher frequency content. 699 Consequently, the LPF4 model has a different response in the heave decay when compared to the 700 LPF3 model.

701 Not including any nonlinearities as in *LPF1* model or only including nonlinear hydrostatics as

in the *LPF2* model produces large deviations from the more accurately formulated models with draft dependent radiation forces implemented, see Figure A4. It is stressed that the comparison to physical

dependent radiation forces implemented, see Figure A4. It is stressed that the comparison to physical
 tests or numerical models of higher fidelity are needed to evaluate the accuracy of any of the LPF

705 models, see Sections 3 and 4.





# 707 Appendix C



709 710 711

Figure A5: Normalized decay time series for the three investigated drop heights (enlarged version). The 95% CI is scaled up by a factor of 30 to be able to visualize the time-dependency.





Figure A6: Raw decay time series for the three investigated drop heights.





Figure A7: Surface elevation time series for tests with  $H_0 = 0.1D$  (a) and  $H_0 = 0.3D$  (b).

The measured motions in all six DoF for the drop heights  $H_0 = 0.1D$  and  $H_0 = 0.3D$  are presented in Figures A8 and A9, respectively.











Figure A9: Time series of the measured motions in six DoF for  $H_0 = 0.3D$ .

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