



Duan, K., Kwok, C. Y., Zhang, Q. and Shang, J. (2020) On the initiation, propagation and reorientation of simultaneously-induced multiple hydraulic fractures. *Computers and Geotechnics*, 117, 103226. (doi: [10.1016/j.compgeo.2019.103226](https://doi.org/10.1016/j.compgeo.2019.103226)).

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Deposited on: 20 May 2021

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1 **1 On the initiation, propagation and reorientation of simultaneously-induced**
2 **2 multiple hydraulic fractures**

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16
17 9 **Abstract:** This study aims to uncover the growth characteristics of simultaneously-induced multiple
18
19 10 hydraulic fractures using the discrete element method. We evaluate the influences of in-situ states and
20
21 11 operational parameters on the fracture trajectories. Results reveal that reservoir heterogeneity
22
23 12 magnifies the stress-shadowing effect and causes severe interactions among fractures. Higher
24
25 13 effective stress anisotropy offsets the stress-shadowing effect and force the fractures to propagate in
26
27 14 the direction of maximum stress and results in relative long parallel fractures. Increasing the spacing
28
29 15 can mitigate the stress-shadowing effect to some degree. Injection rate and fluid viscosity have a less
30
31 16 significant influence on the interactions among fractures.

32
33 17 **Keywords:** hydraulic fracturing, discrete element method, stress-shadowing effect, simultaneous
34
35 18 multiple fractures, formation heterogeneity

19 **1 Introduction**

20 Simultaneous multiple fracturing treatment from a horizontal wellbore has been widely used in
21 the oil/gas industry and the enhanced geothermal system to enlarge the stimulated volume of the
22 reservoir. It is a prevalent approach to reduce the operation cost, and to create several hydraulic
23 fractures (HFs) at once [1]. One challenge to effectively use this technique is to generate effective
24 HFs from all perforation clusters. It is generally believed that longer and persistent fractures along the
25 orientation of the maximum stress will lead to an optimal conductivity [2-4]. However, the fracture
26 paths in reality are highly unpredictable.

27 Analysis of production logs from several basins indicates that about 30% of perforation clusters
28 might not contribute to production [5-7]. Possible reasons are attributed to the fact that the
29 interactions among multiple fractures lead to the preferential growth of some fractures during the
30 treatment. Field analysis results from advanced diagnostic technologies confirm that simultaneous
31 propagation of multiple fractures is often not uniformly developed [6, 8]. In fact, opening of a fracture
32 induces excessive compression (known as the ‘stress shadowing’) that causes the adjacent fractures to
33 curve away from each other and the appearance of the dominant perforation clusters during
34 simultaneous stimulation of multiple fractures [9-11]. A comprehensive understanding of the stress
35 shadowing effect and the physical processes underlying the growth of simultaneous multiple HFs is
36 essential for the proposing of proper treatments which can minimize the negative effect of stress
37 shadowing and promote more uniform fracture growth.

38 Several factors including the geological complexity, the uncertainty and spatial variability of
39 reservoir properties contribute to the complication of physical process underling the multiple
40 hydraulic fracturing. Moreover, it is still difficult to measure the geometry of hydraulic fractures
41 directly so far. Limited access to the treated formation makes the interpretation of field monitoring
42 information more difficult and uncertain [12], and thus hinder our understanding towards the
43 mechanisms underlying the propagation and interaction among multiple fractures.

44 An extensive body of experiments have been designed in the laboratory at reduced-scale to
45 uncover the mechanics of HFs in natural and artificial rocks [13-16]. Although most of the
46 experiments are conducted on the growth of a single HF, they have confirmed the complexity of the
47 physical process involved. For instance, multiple experimental results suggest the hydraulic fractures
48 do not develop symmetrically with respect to the injection hole [13, 17]. Different acoustic emission
49 (AE) sources distribution and breakdown pressure are identified from the treatments with the use of
50 oil, water, supercritical and liquid CO₂ [15, 17]. The interaction between pre-fracture and induced

1 51 fractures display different modes depending on the approaching angle and differential stress level [18].
2 52 Recently, pioneering work has been done to study the initiation of multiple fractures induced by cyclic
3
4 53 pumping using cuboid concrete specimens [19]. Notwithstanding these findings, the influences of
5
6 54 in-situ and operational parameters deserve a thorough examination to elucidate the mechanisms
7
8 55 underlying the fracturing process.

9
10 56 Numerical approaches have been developed to solve the fully coupled problems underlying the
11
12 57 growth of hydraulic fractures [20-23], which involve several fields of physical concepts including,
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14 58 elastic deformation, poro-mechanics, fluid mechanics, and fracture mechanics [24]. To model the
15
16 59 hydraulic fracturing, coupling of the following three processes should be considered: the mechanical
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18 60 deformation caused by the fluid pressure, fluid flow inside the fracture, and the propagation of
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20 61 fracture. Numerous constitutive equations have been developed to describe each of the physical
21
22 62 process and the coupling process among them. Different with the continuum approach, the
23
24 63 discontinuous methods can simulate the fracturing process of rocks under different stress conditions at
25
26 64 multi-scales with mathematically simple contact relations [25-29].

27 65 Based on the pipe-network coupling algorithm, Discrete Element Method (DEM) has been
28
29 66 widely adopted in the simulation of hydro-mechanical responses of rock materials. Both quantitative
30
31 67 and qualitative comparisons with analytical, numerical, experimental or field results have been
32
33 68 conducted to confirm the validity of the numerical approach to reproduce the fluid flow behaviors
34
35 69 [30-32], the hydraulic fracturing [33-36] and the injection induced seismicity [37, 38]. Multi-branched
36
37 70 growth of fractures have also been investigated by DEM simulation, with emphasis on increasing the
38
39 71 hydraulic fracture complexity [39, 40]. Nevertheless, more efforts are still necessary to systematically
40
41 72 evaluate the influences of various factors on the stress shadowing effect and mutual interactions
42
43 73 among multiple fractures. The innovative academic contributions of this study lie in the
44
45 74 comprehensive parametric studies and the acquisition of critical information regarding local rock
46
47 75 deformation, pressure alteration, fracture interaction and reorientation.

48 76 We investigate the initiation, propagation and reorientation of simultaneously-induced multiple
49
50 77 hydraulic fractures using the fully-coupled two-dimensional particle flow code (PFC2D) [41].
51
52 78 Injection rate is maintained constant during the whole fracturing process to perform a fair comparison
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54 79 between the stress shadowing effect and the influence of various parameters. Although this scenario
55
56 80 deviates from the reality, where the constant fluid influx into the wellbore is dynamically partitioned
57
58 81 to each fracture so that the wellbore pressure is the same throughout the array, we simplify the
59
60 82 scenario into the mutual interaction among multiple fractures since the fracturing path in reality can
61
62 83 be extremely complex and random. We first validate the numerical model by comparing the stress
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65

1 84 alteration caused by the opening of a fracture with the model. Thereafter, we perform a set of
2 85 comparative studies to assess the influences of the in-situ conditions (maximum horizontal stress,
3
4 86 initial pore pressure and reservoir heterogeneity) and operational parameters (intervals, injection rate
5
6 87 and fluid viscosity) on the fracture propagation trajectories.

8 88 **2 Setup of the DEM model**

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10 89 Genesis of the DEM model follows the procedures recommended by Ref [25], which consists of
11
12 90 4 steps: compact initial assembly; install specified isotropic stress; reduce the number of ‘floating’
13
14 91 particles; install parallel bonds, and remove from material vessel. As illustrated in Fig 1a, the 200 m ×
15
16 92 200 m square-shaped model consists of 11,710 particles and 23,529 parallel bonds. The particle size
17
18 93 follows a uniform distribution ($R_{max}/R_{min}=1.66$) with an average diameter of 2.0 m. Model generated in
19
20 94 this way has a coordination number of 4.09. Note that the particle in the model is a simple way to
21
22 95 discretize the space and thus cannot be treated as a single block in the reservoir. Selection of the
23
24 96 particle size and size distribution is optimized by considering both the representativeness of the model
25
26 97 and the computational effectiveness. In this section, we provide brief introductions to the two key
27
28 98 components of the model, i.e., the bonded particle model representing the rock formation and the fluid
29
30 99 flow model simulating the hydro-mechanical coupling responses. After that, we provide detailed
31
32 100 information about the boundary conditions and the selection of micro-parameters.

33 101 2.1 Bonded particle model (BPM)

34 102 In the DEM model, rock formation is represented by an assembly of discs (yellow circles in Fig
35
36 103 1b) bonded at their contacts (red lines in Fig 1b), known as the bonded particle model [25].
37
38 104 Interactions between the contacting two particles are described by the force-displacement relationship,
39
40 105 i.e., the linear contact model, while the movements of the particles are governed by the Newton’s
41
42 106 second law. Location, force and displacement of the particles are updated upon a time-stepping
43
44 107 algorithm. The bond may break once the stress acting on it exceeds the strength, in terms of tensile or
45
46 108 shear component. Each bond breakage is idealized to be a crack which can be classified into the
47
48 109 tensile or shear mode according to the failure mechanism. In this way, initiation, propagation and
49
50 110 interaction of fractures can be explicitly simulated.

51 111 2.2 Fluid flow model

52
53 112 As illustrated in Fig 1b, a set of domains can be identified in the DEM model by drawing all
54
55 113 contacts between particles (red lines). Each close region is assumed to be a reservoir (Blue dots
56
57 114 enclosed by red lines). These reservoirs are connected by pipes. Fluid flow is simulated in the 2D
58
59 115 DEM model by assuming that each contact has an initial aperture. As illustrated in Fig 1c, with the

116 assumption of laminar flow between parallel plates, the volumetric flow rate through the pipe can be
117 calculated as:

$$Q = \frac{w^3 \Delta P}{12L} \quad (1)$$

119 where w is the aperture of pipe, ΔP is the pressure difference crossing the pipe and L is the length
120 of the pipe (see Fig 1c), which is assumed to be the averaged particle diameter.

121 A virtual aperture is assumed at the contact to ensure fluid flow can occur crossing two particles
122 in touch (See Fig 1c). We assume this aperture never decreases to zero. The relation between the
123 aperture (w) and the compressive contact force (F) is defined as:

$$w = \frac{w_0 F_0}{F + F_0} \quad (2)$$

125 where F_0 is the force when the aperture decreases to half of the residual aperture (w_0).

126 During the fluid flow calculation, each domain receives a certain volume of fluid from its
127 surrounding pipes. Given the total volume ($\sum Q$) of fluid during one time step (Δt), the update of
128 fluid pressure can be calculated as:

$$\Delta P = \frac{K_f}{V_d} (\sum Q \Delta t - \Delta V_d) \quad (3)$$

130 where K_f is the fluid modulus and V_d is the volume of the domain.

131 Validity of the fluid flow model to simulate the fully coupled hydro-mechanical responses in
132 rock formation have been extensively confirmed in terms of the initiation and subsequent growth of
133 hydraulic fracture from a single hole [33, 35], the interaction with pre-existing fracture [42], injection
134 induced seismicity [38, 42], fault reactivation [37], permeability alternation [31] and stress alteration
135 [2, 34]. We apply this same algorithm into the modeling of simultaneous multiple hydraulic
136 fracturing.

137 2.3 Boundary condition

138 We model the reservoir located at an average depth of 3449-3550 m. To represent the typical
139 stress and operational conditions in this site, the following parameters are determined to define the
140 initial state of the model: the vertical stress $\sigma_v=82.74$ MPa, the maximum principal horizontal stress
141 $\sigma_{Hmax}=70.26$ MPa, and the initial pore pressure $P_0=59.32$ MPa. The formation is assumed to be
142 isotropic with the aim to isolate the influence of stress shadowing from the effect of sedimentary

143 beddings and pre-existing fractures.

144 Prior to the injection of fluid, we apply the initial stress states to the model and then saturate the
145 formation with the initial pore pressure. The injection points locate along a horizontal well in the
146 center of the simulated reservoir. The outside model boundary is fixed and impermeable. The size of
147 the wellbore is assumed to be negligible with respect to the size of the fracture, and thus the wellbore
148 is represented by an injection point within a domain in the simulation. We maintain the in-situ stresses
149 constant during the subsequent saturation and injection stages by adjusting the location of boundary
150 walls through the servo control mechanism.

151 2.4 Determination of the micro-parameters

152 The micro mechanical parameters of the DEM model listed in Table 1 are calibrated to match the
153 uniaxial compressive strength, the Young's modulus and the Poisson's ratio of the Haynesville Shale
154 [43, 44], which can be found in Table 2. These mechanical properties are obtained from the laboratory
155 tests on brittle Haynesville shale at depth of 3448.8 to 3450.3 m. To do the calibration, we perform a
156 set of virtual uniaxial compressive tests on the DEM sample with the size of 80×160 m. There are
157 roughly 40×80 particles across the sample with the particle size adopted in this study. This meets the
158 limit recommended by the International Society for Rock Mechanics, which requires that the number
159 of grains cross the minimum boundary should be more than 20 in regard to its largest mineral grain
160 size [45].

161 The target formation is saturated with water under in-situ conditions and intact rock has
162 relatively low in-situ permeability. The equilibrium permeability measured from fluid flow test on the
163 DEM model with scale of 40×40m under the same in-situ conditions is 5.5×10^{-12} m/s. Although the
164 initial pore pressure is present in the model, it is assumed that most of the fluid flow occurs in the
165 hydraulically induced fracture. These assumptions are reasonable and have little, if any, effect on
166 model predictions. Considering the time scale of fluid transport along the open fractures and the
167 relative small aperture of contacts compared with the fractures, leak-off into the matrix due to the
168 permeability is negligible.

169 3 Validation of the DEM model

170 We first model the growth of a single fracture stimulated at the formation center and examine the
171 stress alternation induced by the fracture opening with an analytical solution from reference [46].
172 Setup of the DEM model and boundary condition for the simulation are summarized in Table 3.

173 3.1 Growth of a single hydraulic fracture

174 We perform injection treatment at point (0, 0) with a constant rate of $2.12 \times 10^{-5} \text{ m}^3/\text{s}$. Note that
 175 the injection rate adopted in this study cannot be directly related to the actual values due to the 2D
 176 nature of the model [33]. In practice, we choose a rate high enough to induce fracturing but low
 177 enough to maintain stability. The well pressure history in Fig 2 follows the typical responses obtained
 178 from hydraulic fracturing tests [17, 47], namely, the well pressure increases gradually till a maximum
 179 value, known as the breakdown pressure ($P_b=176.4 \text{ MPa}$), accompanied by the initiation of fracture.
 180 Subsequently, the well pressure drops in a zig-zag pattern, and ultimately approaches to a constant
 181 value. The number of cracks increases at an approximately linear rate over time. After the opening of
 182 the first crack, slope of the pressure curve at the secondary increasing stages (e.g., from Point B to C)
 183 gradually decreases, indicating that the stress needed for the formation of new cracks decreases with
 184 the longer fracture. According to Eq. (4)[48], the length of a fracture (a) is inversely proportional to
 185 the stress (σ) required to induce bond breakage as fracture toughness (K_I) is an intrinsic property of
 186 a rock and is a constant.

$$K_I = C(\phi)\sigma\sqrt{\pi a} \quad (4)$$

187 where $C(\phi)$ is a geometrical factor. This factor is a function of the ratio between crack length and
 188 sample width (known as ϕ) and can be calculated from the following equation:

$$C(\phi) = \left[\sec\left(\frac{\pi\phi}{2}\right) \right]^{1/2} (1 - 0.025\phi^2 + 0.06\phi^4) \quad (5)$$

191 During the whole fracturing process, all cracks form in terms of tensile failure, which is in line
 192 with the linear elastic fracture mechanics. Tempo-spatial evolution of the cracks in Fig 3 illustrates
 193 that the single HF propagates vertically as dictated by the initial stress state. However, rather than the
 194 systematic growth path predicted by ideal continuum models, the geometry of a single fracture is
 195 asymmetric with respect to the injection point. The fracture propagates either upward or downward,
 196 depending on the relative resistance at the two fracture tips.

197 Fig 4 displays the magnitude of fluid pressure along the fracture at various stages. Overall, the
 198 pressure drops with the growth of fracture. The pressure is not necessarily uniform along the fracture.
 199 Soon after the formation of new cracks (Point B in Fig 2), the pore pressure at the newly-appeared
 200 fracture tip is much lower than those close to the injection point. At the stages ahead the formation of
 201 new cracks (Point A and C in Fig 2), the pore pressure stays almost constant along the whole fracture
 202 after a certain period of fluid diffusion.

203 3.2 Comparison with the analytical solution

204 We install a set of measurement circles with a diameter of 10 m (see Fig 5a) to monitor the stress
 205 states within the model. Spacing between the adjacent circles equals to 5 m. This diameter is selected
 206 considering both the representativeness of specific point and the accuracy of the measured stress. We
 207 measure the interior stresses (σ_{xx} , σ_{yy} and σ_{xy}) after the application of in-situ stress ($\sigma_{H\max}$ and
 208 σ_v) and after the formation is fully saturated. The effective horizontal stress (σ'_{xx}) can be calculated
 209 by:

$$210 \quad \sigma'_{xx} = \sigma_{xx} - P_0 \quad (6)$$

211 The horizontal stress in Fig 5b fluctuates around the applied horizontal in-situ stress ($\sigma_{H\max}$
 212 =70.26 MPa). The degree of fluctuation becomes less significant after the formation is fully saturated
 213 (See Fig 5c), implying that presence of pore pressure leads to the adjustment of particle location and
 214 the redistribution of contact forces towards a more uniform mode.

215 Sneddon [46] developed the solutions to calculate the stress state in the interior of an infinite
 216 ‘two-dimensional; elastic medium’ induced by the opening of an internal fracture under the action of a
 217 uniform liquid pressure (p_0) inside as the only load. As illustrated in Fig 6, the components of stress
 218 (σ_x , σ_y and τ_{xy}) at any point in the vicinity of the fracture (from $x = -c$ to $x = c$) are given by:

$$219 \quad \frac{1}{2}(\sigma_x + \sigma_y) = p_0 \left(\frac{r}{r_1^2 r_2^2} \cos(\theta - \frac{1}{2}\theta_1 - \frac{1}{2}\theta_2) - 1 \right) \quad (7)$$

$$220 \quad \frac{1}{2}(\sigma_y - \sigma_x) = p_0 \frac{r \sin \theta}{c} \left(\frac{c^2}{r_1 r_2} \right)^{\frac{3}{2}} \sin \frac{3}{2}(\theta_1 + \theta_2) \quad (8)$$

$$221 \quad \tau_{xy} = p_0 \frac{r \sin \theta}{c} \left(\frac{c^2}{r_1 r_2} \right)^{\frac{3}{2}} \cos \frac{3}{2}(\theta_1 + \theta_2) \quad (9)$$

222 For the maximum shear stress:

$$223 \quad \tau = p_0 \frac{r \sin \theta}{c} \left(\frac{c^2}{r_1 r_2} \right)^{\frac{3}{2}} \quad (10)$$

We select three stages (i.e., Stage A, C and D in Fig 2 and Fig 3) to do the comparison as the fluid pressure at these stages is uniformly distributed and thus meets the prerequisites of the theoretical model. States of the fracture are provided in Table 4. Length of the fracture (L) is estimated from the coordination of the upper and lower tips ((x_{up}, y_{up}) and (x_{low}, y_{low})), by assuming it to be linear and continuous:

$$L = \sqrt{(y_{up} - y_{low})^2 + (x_{up} - x_{low})^2} \quad (11)$$

Fig 7 compares the geometry of the fracture and the contours of σ_{xx} obtained numerically and theoretically. Negative stress indicates that the corresponding site is under compression. General well agreement exists between the numerical and theoretical results in sense of the stress state with the growth of fracture. The fracturing-induced stress alterations appear in certain areas of the model. Specifically, opening of HF exerts horizontal compressive stress perpendicular to the fracture trajectory. The degree of alternation declines with distance from the fracture. Tensile stress (positive magnitude in Fig 7b and 7c) concentrates ahead of the fracture tips. Difference exists between the numerical and theoretical results in terms of stress magnitude close to the fracture since the measured stresses are an averaged state of certain number of particles, which cannot reflect the sharp transition close to the fracture interface and the tips.

4. Simultaneous multiple hydraulic fracturing

We first carry out individual fracturing treatment at the four points spaced with 17 m (see Fig 1a), using the same injection rate with the single hydraulic fracturing test. The well pressure histories in Fig 8a present the similar trend with the single HF test (Fig 2). However, slope of the curve varies from case to case due to the non-uniform volume of domains representing the injection points and the number of pipes surrounding each domain. Under the same injection rate, smaller domain leads to a higher well pressure after the same time. Moreover, the breakdown pressure varies from 210.1 to 336.4 MPa, depending on the local tensile strength of the bond and the local force distribution. The values of break down pressure from simulation are much higher than the value commonly encountered in the experimental and field treatments. Possible reasons will be discussed in Section 5. The difference among well pressures becomes smaller with the ongoing of test. Ultimately, all pressures approach to a same magnitude. In Fig 8b, fracture geometry shows that all the four fractures propagate vertically, with the aperture gradually decreasing from the injection point to the tips. However, the fracture trajectory is site-dependent. Fractures A and D are almost symmetric with

254 respect to the injection points while fracture C only propagates downward. This discrepancy is caused
255 by the nonuniformity of rock properties. During the fracturing process, competition exists between the
256 two fracture fronts, depending on the local contact force and local bond strength. Consequently, the
257 fracture propagates along the path with least resistance.

258 4.1 The reference case

259 For comparison purpose, we simultaneously inject fluid at the four points with the same setup of
260 the individual treatment case (Fig 8). All well pressure histories (Fig 9) follow the similar trend with
261 the single HF discussed previously. The well pressures also approach to a same magnitude with the
262 ongoing of fracturing. Breakdown pressures obtained from the simultaneous injection test are close to
263 those obtained from the individual injection test (see Fig 10a). This can be explained by the fact that
264 the perturbation of local contact force around the injection points are negligible at the breakdown
265 pressure stage, as confirmed by the force network in Fig 10b and Fig 10c.

266 Fig 11 and Fig 12 illustrate the growth of fractures and the corresponding contours of σ_{xx} .
267 Different with the individual case, stress-shadowing influences the development of multiple fractures.
268 Initiation of early fractures (A and D) alter the magnitudes and orientations of the local principle
269 stress (see Fig 12 when $t_{inj}=1323$ and 1639 s), and hence affect the propagation direction of the late
270 fractures (B and C in Fig 11 when $t_{inj}=2347$ and 3212 s). The interaction between fractures also affects
271 the growth of early fractures, e.g., propagation of fracture D starts to approach the outer of formation
272 from $t_{inj}=2347$ to 4229 s. When the fracture branches grow in the area far from the existing fractures
273 where stress shadow effect is less pronounced, they turn back toward the direction of the far-field
274 maximum in situ stress (fractures B and D after 4229 s). The lower tips of fracture B and D are
275 suppressed by compression stress induced by fracture A and C. On the contrary, the upper tips of A and
276 C fall in the region of stress shadow of fracture B and D and are suppressed. As a result, alternative
277 upward and downward fractures appear.

278 This scenario is regarded as the reference case. In the subsequent two sections, we
279 systematically change the in-situ states (maximum horizontal stress, initial pore pressure and
280 formation heterogeneity) and the operation parameters (injection point spacing, injection rate and
281 fluid viscosity) and evaluate the influence these factors on the characteristics of multiple fractures. In
282 these results, we mainly focus on the geometry of the fractures at the last stage of stimulation.

283 4.2 Influences of in situ conditions

284 To describe the in situ stress deviator, the anisotropy ratio of effective stress (α) is defined as:

$$\alpha = \frac{\sigma'_V}{\sigma'_{H \max}} = \frac{\sigma_V - P_0}{\sigma_{H \max} - P_0} \quad (12)$$

where σ'_V and σ'_H represent the effective vertical stress and the maximum effective horizontal stress, respectively. We conduct hydraulic fracturing tests on the same formation with the reference case but varying the magnitude of the vertical stress, the maximum horizontal stress and the initial pore pressure. Details about the tests are summarized in Table 5.

For the case with larger effective stress deviator ($\alpha = 4.12$), all fractures propagate approximately along the maximum stress direction (Fig 13a and 13c). The growth paths of fractures are almost consistent despite the short inclined segments in fracture B soon after the fracture initiation in Fig 13a caused by stress shadowing. After a certain height, when fracture B overcome the stress shadowing region, it grows upward along the in-situ stress direction again. For the case with lower stress deviator ($\alpha = 1.49$), the influence of stress shadowing is more significant (see Fig 13b and 13d). Fracture A and D surpass others and dominate the propagation. The propagation of two inner fractures (B and C) are severely suspended due to stress interaction with outer fractures at the very beginning. Especially, fracture C grows in the longitude direction due to locally altered stress orientation. The simulation results confirm that fractures in the middle region have smaller aperture because of the increased compressive stresses resulting from other fractures [49]. Maximum fracture aperture appears at the segments just exceeding the region of stress shadowing.

Another parameter contributing to the effective differential stress is the initial pore pressure. We carry two simulations with $P_0 = 55$ and 65 MPa, respectively. The case with lower pore pressure leads to smaller effective stress anisotropy ($\alpha = 1.82$), where the stress shadowing influence is more significant. In Fig 14a, fractures generated in the altered stress field (fracture C and the initial segment of fracture B) are shorter and narrower with orientation deviating significantly from the in-situ maximum stress orientation. Fracture A, B and C combine to one major fracture ultimately. The growth of fracture D is obviously suppressed with a comparative small fracture width. There is limited fracture divergence when $P_0 = 65$ MPa, because the larger stress anisotropy offsets the effect of fracture turning due to the stress shadow and forces the fracture to go in the direction of maximum stress. Nevertheless, the growth of the two inner fractures (B and C) are suppressed by the two outer ones acting as the dominant fractures (See Fig 14b).

We mimic the texture heterogeneity of rock formation by generating samples with particle size distribution of $R_{max}/R_{min} = 1.4, 1.66, 1.8$ and 2.0 , respectively. For each distribution, three packing

315 modes are generated by changing the random number. Simulation results show significant difference
316 between the four cases (see Fig 15). In general, the narrower particle size distribution results in a
317 formation with more uniform properties, in which the parallel fractures can propagate along the
318 maximum principle stress direction for a relative long distance (Fig 15a). Conversely, wider particle
319 size distribution leads to a more heterogeneous fabric, non-uniform force network and thus the
320 concentration of low and high forces. As illustrated in Fig 15c and 15d, heterogeneous rock properties
321 cause the localization of hydraulic fractures and magnify the stress shadowing effect. Fracturing is
322 affected by the local stress in the region within the outer fractures where fractures around becomes
323 inclined and propagate towards the longest one. For the case with largest particle size distribution (Fig
324 15d), even the longest fracture propagates in an inclined path, rather than the far-field stress
325 dominated vertical direction. Therefore, assumption of radial symmetric fracture growth may only
326 work for the ideal isotropic media while ignoring the formation heterogeneity may underestimate the
327 stress shadowing effect.

328 4.3 Influence of operational parameters

329 Since most of the in-situ states are intrinsic for a specific reservoir, we test the possibility of
330 mitigating the stress shadowing effect by changing the injection scheme.

331 We perform another two injection tests at four points evenly spaced with $D=10$ m and 20 m,
332 respectively. As compared in Fig 16, increasing the spacing between the injection points can
333 potentially decrease the level of interaction between the HFs. The most obvious interactions appear in
334 the model with the minimum spacing (Fig 16a), in which altered local stress orientation cause fracture
335 B propagating horizontally towards A and combining into one major fracture after a short time.
336 Ultimately, two prominent fractures dominate the growth with fracture A propagating upward and
337 fracture C propagating downward. Larger spacing may lead to relative longer fractures with parallel
338 patterns (see Fig 16b and c) but still stress shadowing influence can be identified from the inclined
339 segments within the interaction regions.

340 With a fixed spacing of 17m, we evaluate the influence of injection rate by conducting hydraulic
341 fracturing with the rate of 0.25, 0.5, 2.0, and 4.0 times of the reference case, respectively. Fig 17
342 compares the ultimate fracturing patterns. Compared with the in-situ states and the spacing, injection
343 rate has a relative minor influence on the final fracture path. Although the injection time varies,
344 fractures in all the four cases propagate following the same path. Stress shadowing effect forces
345 fracture B propagates away from fracture A at the initial injection phase. Once fracture B overcomes
346 the stress shadowing region, it turns back to propagate upward again. The final fracturing is
347 dominated by two fractures propagate downward (fracture A and C) and two propagate upward

348 (fracture B and D).

349 We also evaluate the influence of injection fluid viscosity by performing hydraulic fracturing
350 tests with $\mu = 0.0002, 0.0005, 0.005$ and 0.02 Pa.s. Generally, fluid viscosity does not influence the
351 trajectory of the fractures much (Fig 18), which is in line with the influence of injection rate.
352 Interaction between nearby fractures exists in a short range, in the form of inclined sections in fracture
353 A, B and D close to the injection points. Once the fracture exceeds its neighboring fractures, stress
354 shadow effect is less pronounced, and the fracture turns back toward the direction of the far-field
355 maximum in-situ stress.

356 5. Discussions

357 The breakdown pressures in all cases significantly overestimate the pumping pressure during an
358 actual hydraulic fracturing operation. This pressure corresponds to the pressure in the injection
359 domain when the first bond breaks and depends on the concentration of local contact force, the tensile
360 strength of a single bond, the unrealistic injection rate and the domain volume. Therefore, the
361 breakdown pressure in the DEM model deviates from the physical cases. During the fracture
362 propagation, the well pressure still overestimates the realistic magnitude. Possible reasons include the
363 much higher fracture toughness of the bonded particle model as a consequence of the selected large
364 particle size [25], the implementation of the solid-fluid interaction and inherent issue resulting from
365 the assumption of a discretization in blocks. We make an engineering decision to use a model with
366 high toughness in order to obtain results with practical run time. Our previous study has proved the
367 capacity of flat-jointed model in reproducing both the tensile strength and confinement dependent
368 compressive strength of brittle rocks [50]. Inserting the fluid-flow algorithm into the flat-jointed
369 contact model provides a promising approach to quantitatively capture the fracturing toughness and
370 hydraulic fracturing pressure [51].

371 During the injection treatment, fracturing occurs when the effective stress exceeds the tensile
372 resistance. Breakdown pressure for the formation with low permeability can be estimated using [52,
373 53]:

$$374 \quad P_b = T + 3\sigma_{H_{\max}} - \sigma_v - P_0 \quad (13)$$

375 where T represents the tensile resistance of the rock formation.

376 We conduct seven sets of virtual direct tensile tests on the DEM samples with the width ranging
377 from 6 m to 60 m and the height/width ratio fixed as 2.0. For each set, we change the random number
378 and generate 6 samples with different packing. Corresponding tensile strengths are summarized in Fig

19 together with the tensile strength of a single bond. With the increase of the sample size, the tensile strength dramatically drops from the bond strength and then gradually approaches to a constant value around 15 MPa. Taking the tensile strength of a single bond into Eq. 13, we can get the breakdown pressure to be around 117.7 MPa, which is much lower than those presented in Fig 10a. This is caused by the concentration of local contact force. At the subsequent breakdown stages (Point A and C in Fig 2), the equivalent tensile strength approaches ~15 MPa. The breakdown pressure calculated from this tensile strength equals to 83.72 MPa. This magnitude agrees well with the well pressure range between the formation of initial fracture and the propagation stage (see Fig 8), confirming that the simulation results are self-consistent although they overestimate the realistic fracture toughness and well pressure.

As acknowledged in Section 1, we simultaneously inject fluid into the domains with the same rate, resembling the case where fluid is uniformly divided into each fracture. This scenario deviates from the reality, where the constant fluid influx into the wellbore is dynamically partitioned to each fracture so that the wellbore pressure is the same throughout the array. Fracture geometry has been confirmed to be dependent on not only the stress-shadow effect but also the dynamic partitioning of flow rate [1, 5]. Future study is necessary to mimic the nonuniform development of multiple fractures induced by the uneven partitioning of flow rate into each fracture, depending on the flow resistance from fractures by assuming that a uniform pressure exists at all injection points.

According to the theoretical analyses from Detournay [20, 54], two dissipative processes exist during fluid-driven fracturing process, i.e., fracturing of the rock (toughness) and dissipation in the fracturing fluid (viscosity). In the viscosity-dominated regime, the energy expended in the creation of new fracture surfaces is small compared to the energy dissipated in viscous flow, while in the toughness-dominated regime, the viscous dissipation is small compared to the energy dissipated at the crack tip. The conclusion of independence from injection rate and viscosity is assured because the large toughness will have driven the system into a toughness dominate regime. Therefore, the simulation results regarding the influence of injection rate and fluid viscosity are valid under the specific rock properties considered herein. In other words, the results are not universal for other rock with different property. It is necessary to quantitatively capture the tensile strength (i.e., fracture toughness) of the specific rock type and verify if it belongs to the regime where fluid flow is more important in the future study. Orthogonal experimental design considering the influence of fracture toughness and fluid flow parameters is also worth conducting to assess the transition of hydraulic fracturing regimes.

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411 6 Conclusions

412 We conduct a suite of DEM simulations to investigate the stress-shadowing effect and the
413 growth characteristics of simultaneously-induced multiple fractures under the influences of different
414 factors including the in-situ states and the operational parameters.

415 Comparison between the theoretical model and simulation results from a single hydraulic
416 fracture confirms the validity of the DEM model to reproduce the fracturing-induced stress alteration.
417 A single hydraulic fracture propagates along the path with least resistance and ultimately leads to the
418 trajectory parallel with the orientation of maximum far field stress but asymmetric with respect to the
419 injection point due to the non-uniformity of formation properties.

420 Simulation results reveal that the in-situ states play a more dominant role on the interactions
421 among multiple hydraulic fractures. Stress shadowing effect suppress some HFs by the compressive
422 stresses exerted on them by neighboring HFs. Interactions among multiple fractures lead to the
423 appearance of some dominant fractures, propagating either upward or downward. The
424 stress-shadowing effect diminishes with higher effective stress anisotropy, depending on the in-situ
425 stress difference and the initial pore pressure. Non-uniformity of formation properties magnifies the
426 stress shadowing effect and causes the severe interactions among fractures. Increasing the spacing
427 between injection points may mitigate the interactions to a certain degree while changing injection
428 rate and fluid viscosity has a relative minor influence on the stress shadowing and interaction among
429 multiple fractures.

430 Acknowledgement

431 Financial supports from the Natural Key Research Development Project of China (No.
432 2016YFC0401804), the Taishan Scholars Project Foundation of Shandong Province, and the Natural
433 Science Foundation Project of China (NO:41772282) are appreciated.

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Table 1. Micro-parameters used in the DEM model to simulate the propagation of simultaneously-induced multiple hydraulic fractures.

Particle property		Parallel bond property		Hydraulic property	
Property	Value	Property	Value	Property	Value
Particle density (kg/m^3)	3169	Parallel bond modulus (GPa)	23	Bulk modulus of fluid (GPa)	1
Young's modulus (GPa)	23	Parallel bond normal strength (MPa)	49 ± 9.8	Fluid viscosity (Pa.s)	0.002
Friction coefficient	0.5	Parallel bond shear strength (MPa)	49 ± 9.8	Pressure when the aperture decreases to half (MPa)	20
Minimum particle size, R_{min} (m)	0.752	Ratio between normal and shear stiffness	2.5	The residual aperture (m)	5×10^{-4}
Ratio between maximum and minimum particle size, R_{max}/R_{min}	1.66	Radius multiplier	1.0	Gap multiplier	1.0
Ratio between normal and shear stiffness, k_n/k_s	2.5				

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Table 2. Comparison between the mechanical properties obtained from the experiments and the DEM simulations on the uniaxial compression test.

	Uniaxial compressive strength (MPa)	Young's modulus (GPa)	Poisson ratio
Experimental results	60.74	27.58	0.27
DEM results	60.46	27.39	0.25

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Table 3 Setup of the DEM model and boundary condition for the simulation of simultaneous hydraulic fractures.

Model setup	Magnitude	Boundary condition	Magnitude
Width (m)	200	Initial pore pressure (MPa)	59.32
Height (m)	200	Horizontal stress (MPa)	70.26
Coordination number	4.09	Vertical stress (MPa)	82.74
Initial isotropic stress (MPa)	1.0	Loading rate when applying the in-situ stress (s^{-1})	0.0001
Number of parallel bonds	23529		

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Table 4. States of the injection-induced single fracture at different stages. Stages A, C, and D are marked in Fig 7.

Stage	Injection time, t_{inj} (sec)	Coordinates of upper fracture tip		Coordinates of lower fracture tip		Coordinates of fracture center		Fracture length (m)	Half fracture length (m)
		x	y	x	y	x	y		
		A	3275	3.09	3.66	-2.68	-10.71		
C	4011	3.09	6.59	-4.56	-28.53	-0.74	-10.97	35.94	17.97
D	7650	4.54	39.31	-4.56	-28.53	-0.01	5.39	68.45	34.23

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Table 5. Cases designed to evaluate the influence of in-situ stress and pore pressure states on the interaction among multiple hydraulic fractures.

Case	Vertical stress, σ_v (MPa)	Maximum horizontal stress, σ_{Hmax} (MPa)	Initial pore pressure, P_0 (MPa)	Effective stress anisotropy ratio, α
Base case	82.74	70.26	59.32	2.14
Case I	82.74	65.00	59.32	4.12
Case II	82.74	75.00	59.32	1.49
Case III	75.66	70.26	59.32	1.49
Case IV	104.43	70.26	59.32	4.12
Case V	82.74	70.26	55.0	1.82
Case VI	82.74	70.26	65.0	3.37

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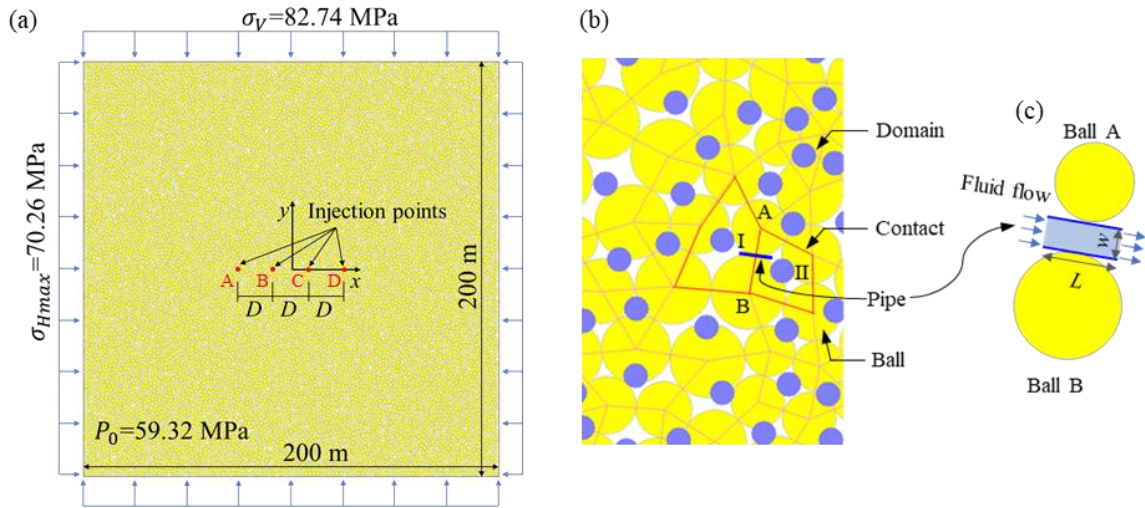


Figure 1. (a) Setup of the DEM model for the simulation of simultaneous multiple hydraulic fractures. The formation is saturated under the initial pore pressure $P_0=59.32 \text{ MPa}$. (b) Schematic diagram of the hydro-mechanical coupling model. (c) Idealization of fluid flow through the contact between two particles.

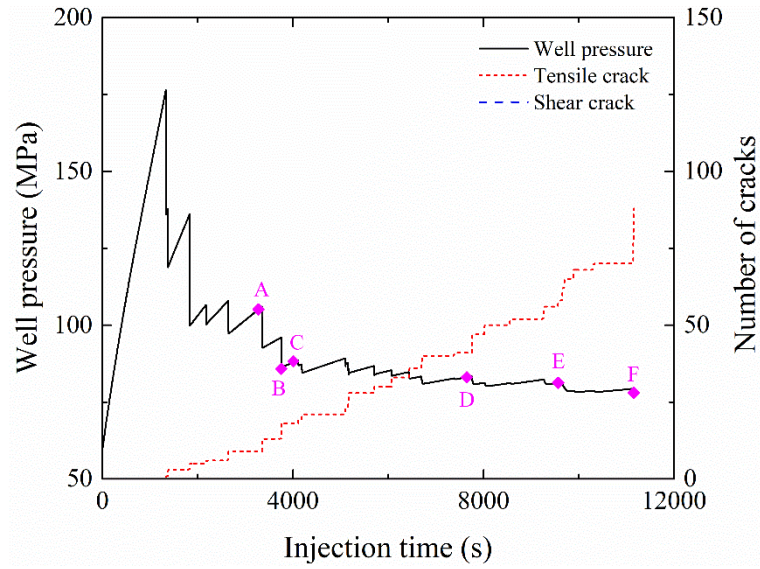


Figure 2. Well pressure history and the number of cracks from the single hydraulic fracturing treatment. Cracks are classified into tensile and shear modes according to their failure mechanism.

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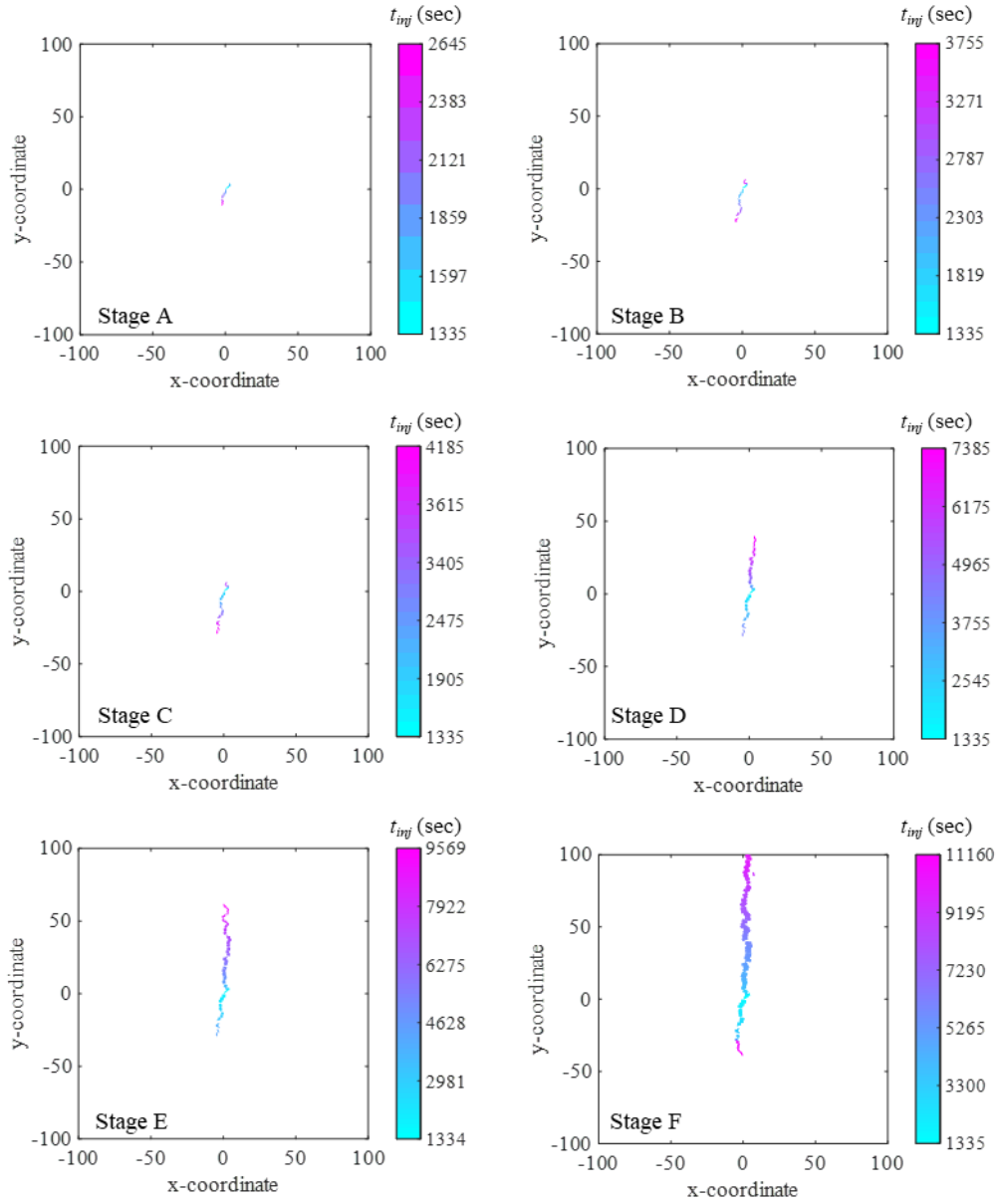


Figure 3. Growth of the single hydraulic fracture when injection is operated at the center of the formation. The thickness of the short line is proportional to the aperture of each crack, which are all formed as tensile failure. The aperture is normalized by 0.1 m. Corresponding stages are marked in the well pressure history in Fig 2.

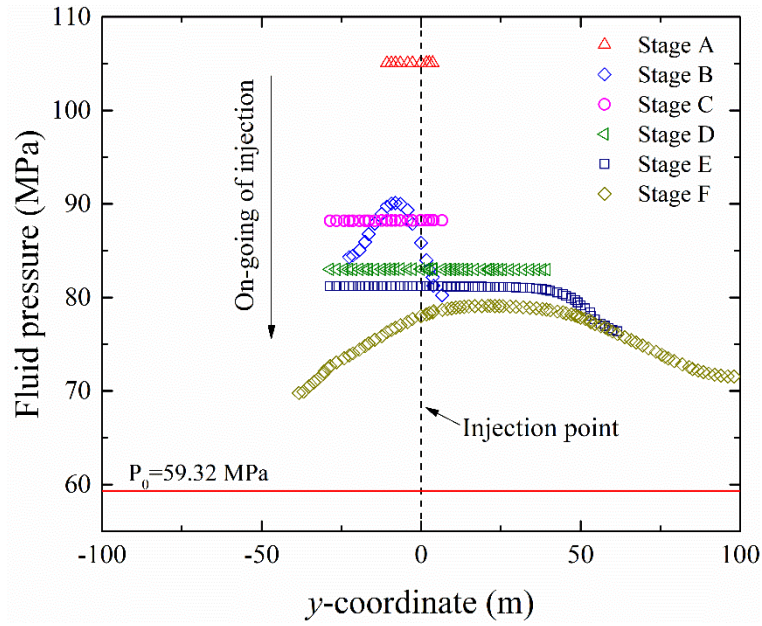


Figure 4. Distribution of the fluid pressure along the hydraulic fracture at various stages. Corresponding stages are marked in the well pressure history (Fig 2). The solid red line indicates the magnitude of initial pore pressure ($P_0=59.32$ MPa).

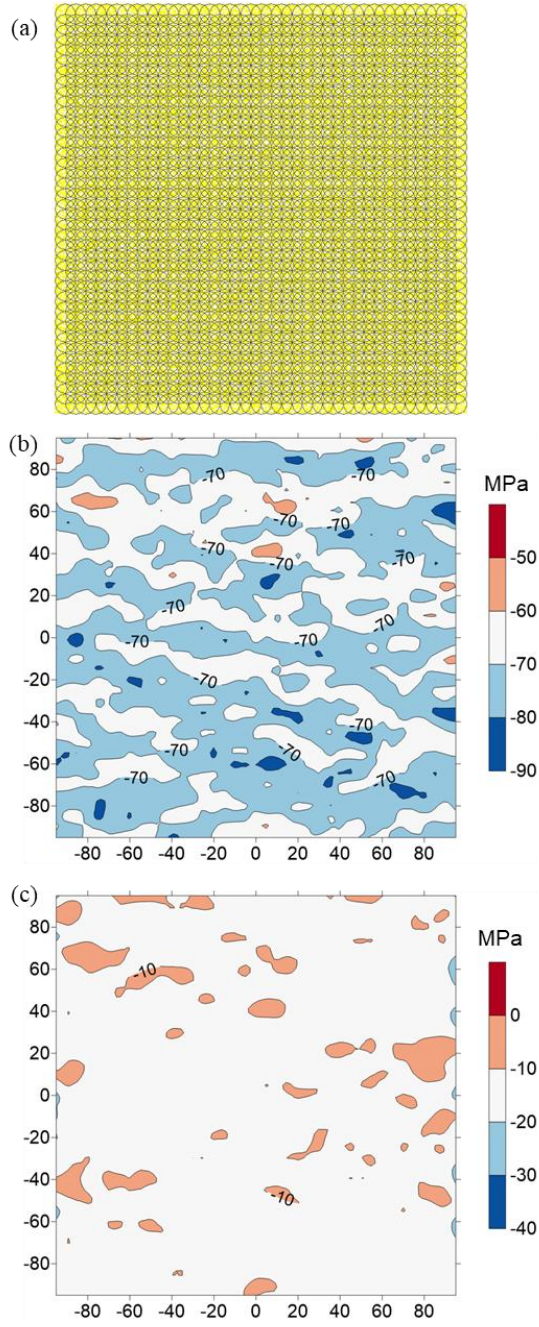


Figure 5. (a) Layout of the measurement circles. (b) Horizontal stress (σ_{xx}) measured after the application of in-situ stresses ($\sigma_{Hmax}=70.26$ MPa; $\sigma_V=82.74$ MPa); (c) Effective horizontal stress (σ_{xx}') measured after the formation is fully saturated under the initial pore pressure. Negative magnitude indicates the corresponding site is under compression.

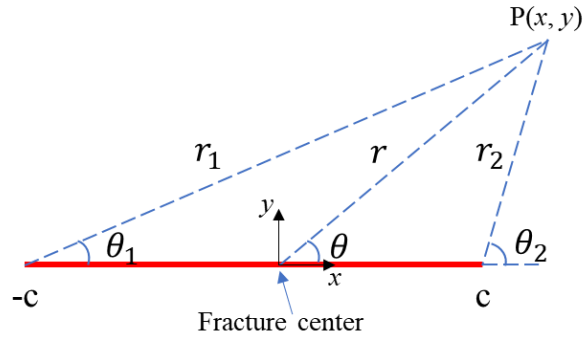


Figure 6. Schematic diagram of the model for the analytical solution (after *Sneddon 1946*). The pre-existing fracture is represented by the red solid line.

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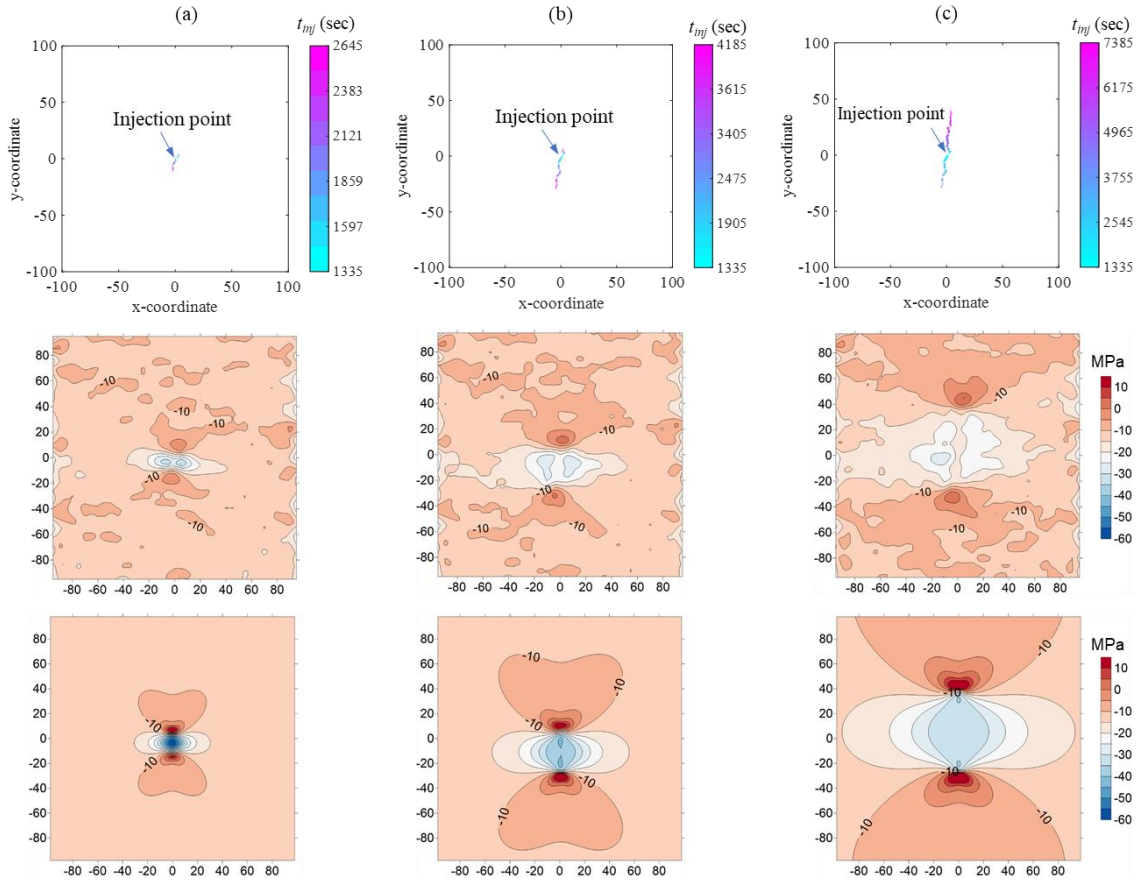


Figure 7. Induced cracks (top row), contour of σ_{xx}' measured from the DEM model (middle row), and contour of σ_{xx}' predicted by the analytical solution (bottom row). Three stages in Fig 2 are considered: (a) Stage A; (b) Stage C; and (c) Stage D.

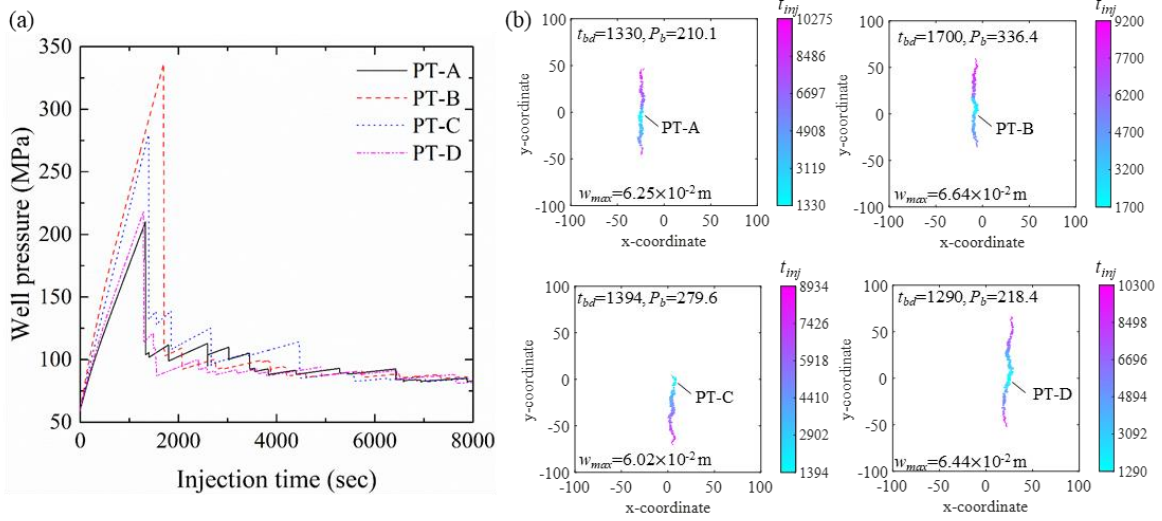


Figure 8. (a) Well pressure history from the four injection points when they are operated individually. (b) Geometry of the fractures in the end of the stimulation. Short lines represent the cracks. Color of the short lines indicates the formation time of fracturing. The thickness of the short line is proportional to the aperture of each crack. The maximum aperture (w_{max}), breakdown pressure time and magnitude are provided accordingly.

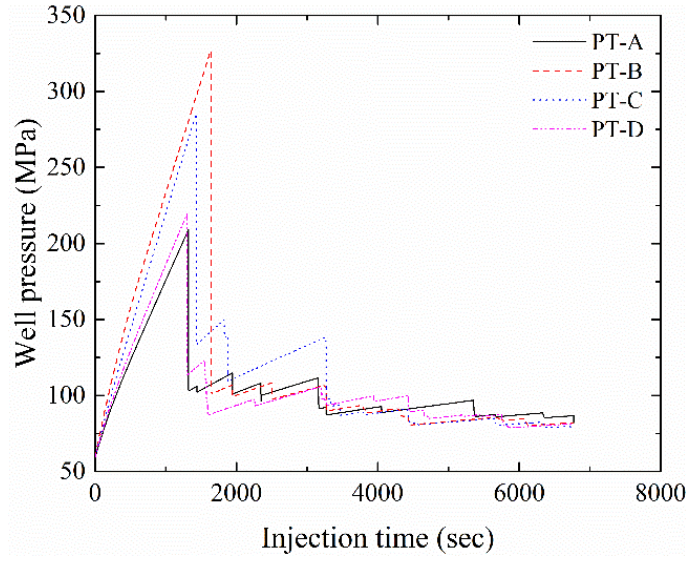


Figure 9. Well pressure histories from the simultaneous multiple hydraulic fracturing from a horizontal well. Spacing between adjacent injection points (D) equals to 17 m.

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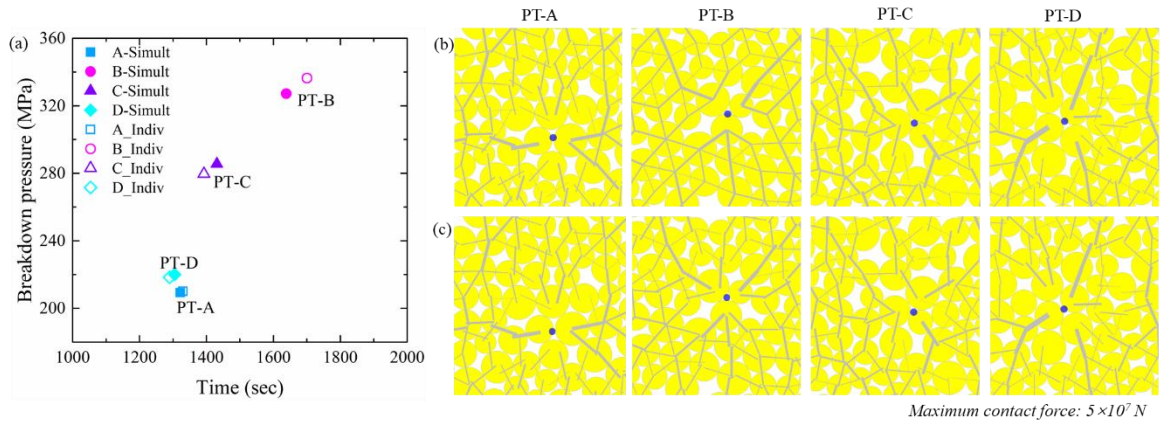


Figure 10. (a) Comparison of the breakdown pressure obtained from the simultaneous injection (solid symbols) and from individual injection tests (open symbols). (b) and (c) illustrate the contact force network around the injection point (blue dot) at the breakdown pressure stage obtained from the individual and simultaneous injection case, respectively. Thickness of the line is proportional to the magnitude of contact force.

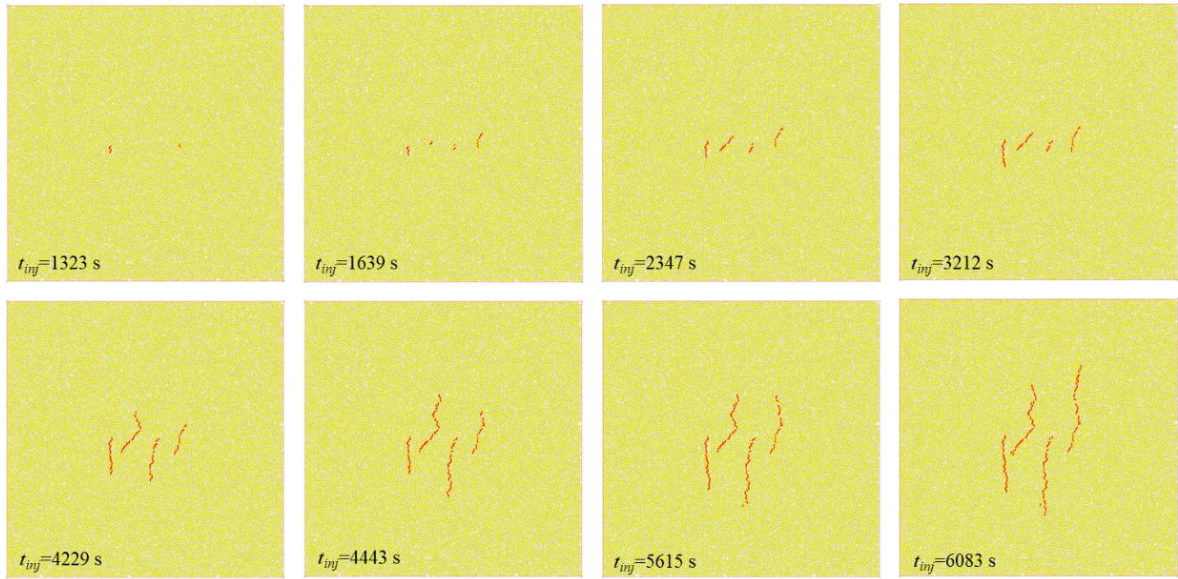


Figure 11. Initiation and propagation of the simultaneous multiple hydraulic fractures. Short red lines represent the cracks.

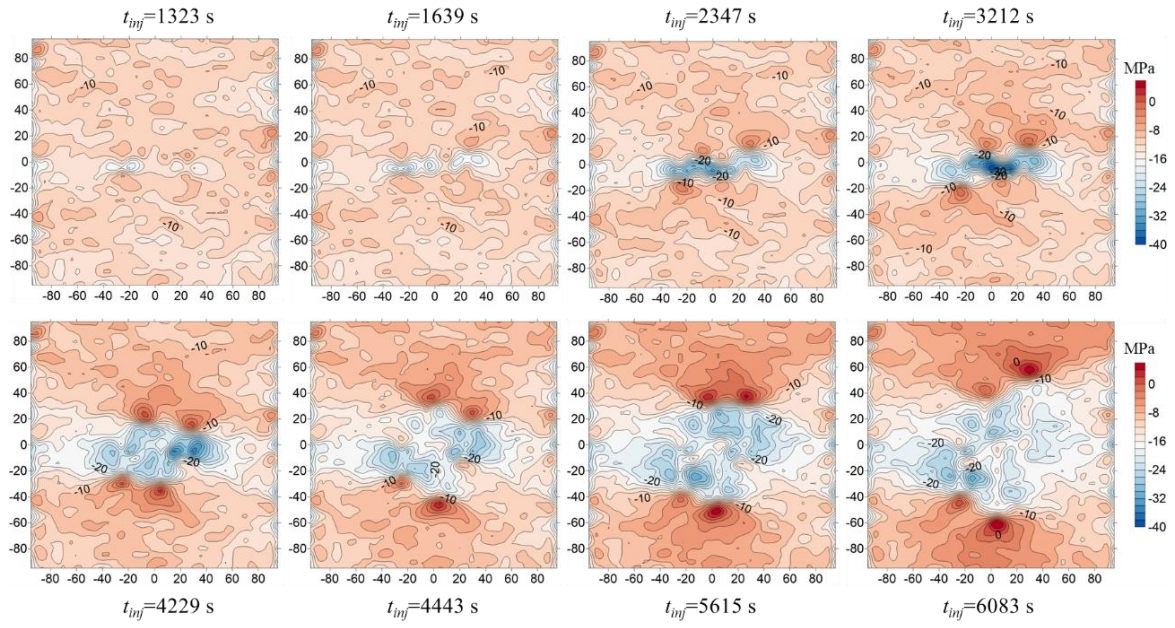


Figure 12. Contour of horizontal effective stress monitored from the DEM model at various stages during the growth of simultaneous multiple hydraulic fractures.

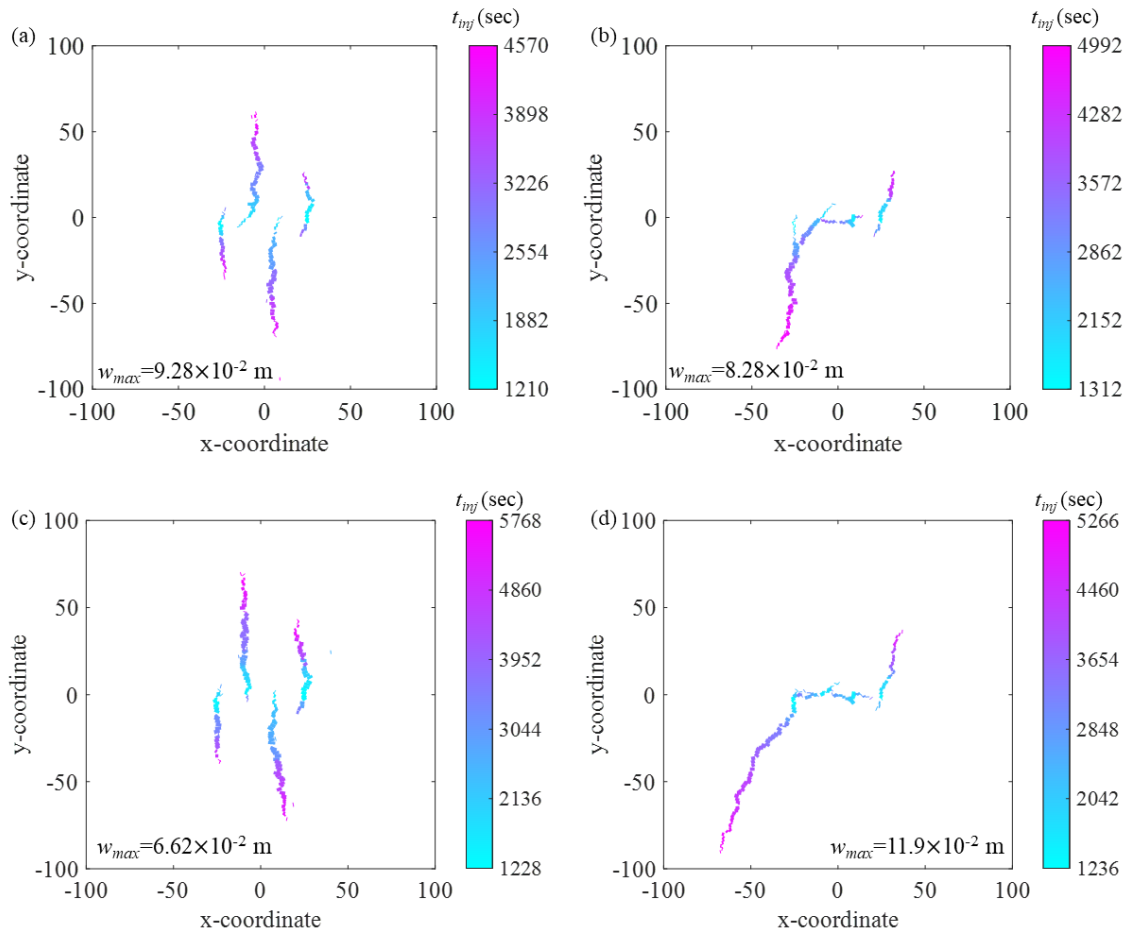


Figure 13. Influences of the in-situ stress anisotropy: (a) $\sigma_{Hmax} = 65$ MPa, $\sigma_V = 82.74$ MPa; (b) $\sigma_{Hmax} = 75$ MPa, $\sigma_V = 82.74$ MPa; (c) $\sigma_{Hmax} = 70.26$ MPa, $\sigma_V = 104.43$ MPa; and (d) $\sigma_{Hmax} = 70.26$ MPa, $\sigma_V = 75.66$ MPa. Short lines represent the cracks. Color of the short lines indicates the formation time of fracturing. The thickness of the short line is proportional to the aperture of each crack with the maximum magnitude (w_{max}) provided accordingly.

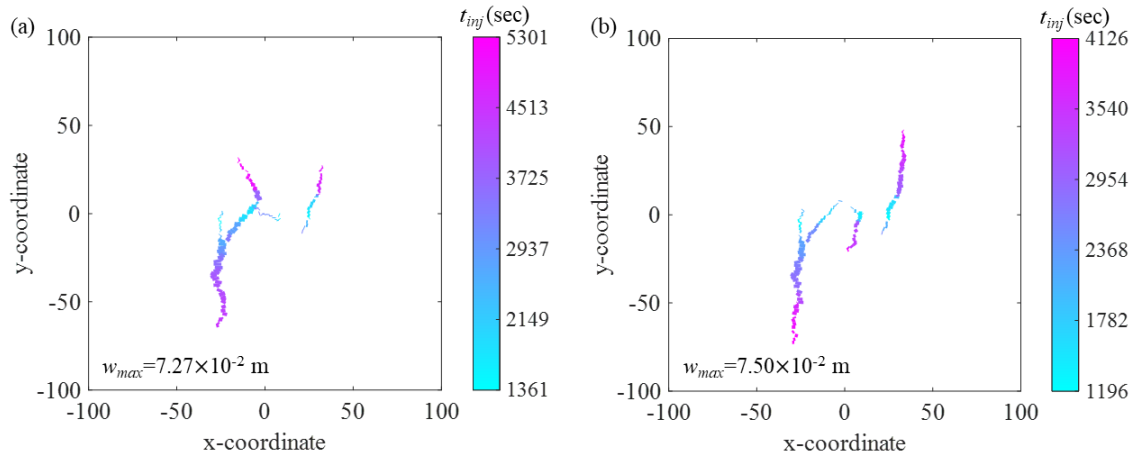


Figure 14. Influence of initial pore pressure (P_0): (a) $P_0=55$ MPa; (b) $P_0=65$ MPa. All other parameters are the same with the reference case. Short lines represent the cracks. Color of the short lines indicates the formation time of fracturing. The thickness of the short line is proportional to the aperture of each crack with the maximum magnitude (w_{max}) provided accordingly.

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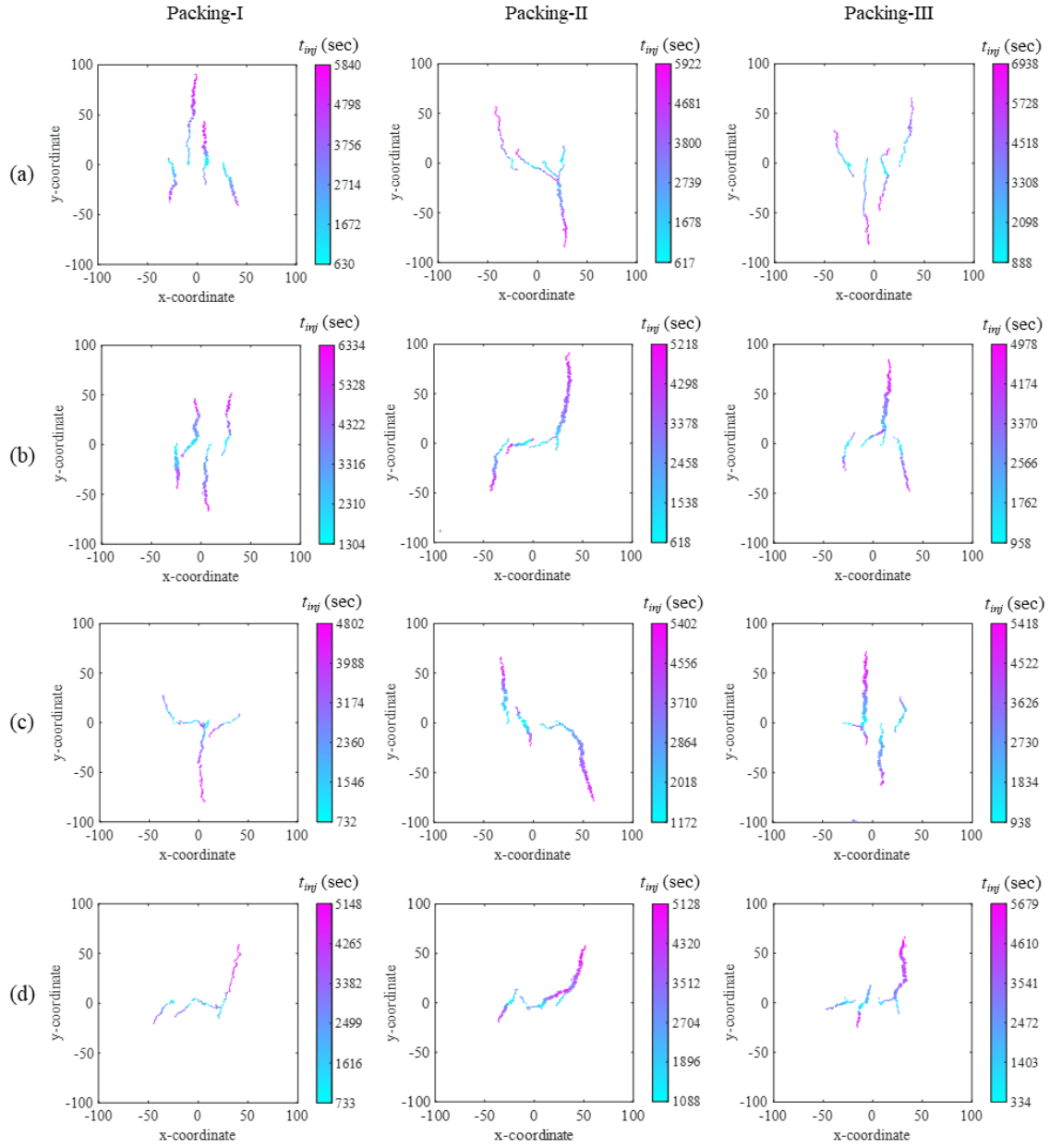


Figure 15. Influence of the formation heterogeneity. The particle size distribution is: (a) $R_{max}/R_{min}=1.4$, (b) $R_{max}/R_{min}=1.66$, (c) $R_{max}/R_{min}=1.8$ and (d) $R_{max}/R_{min}=2.0$. three packing modes are generated for each particle size distribution range. All other parameters are the same with the reference case. Short lines represent the cracks. Color of the short lines indicates the formation time of fracturing. The thickness of the short line is proportional to the aperture of each crack with the maximum magnitude (w_{max}) provided accordingly.

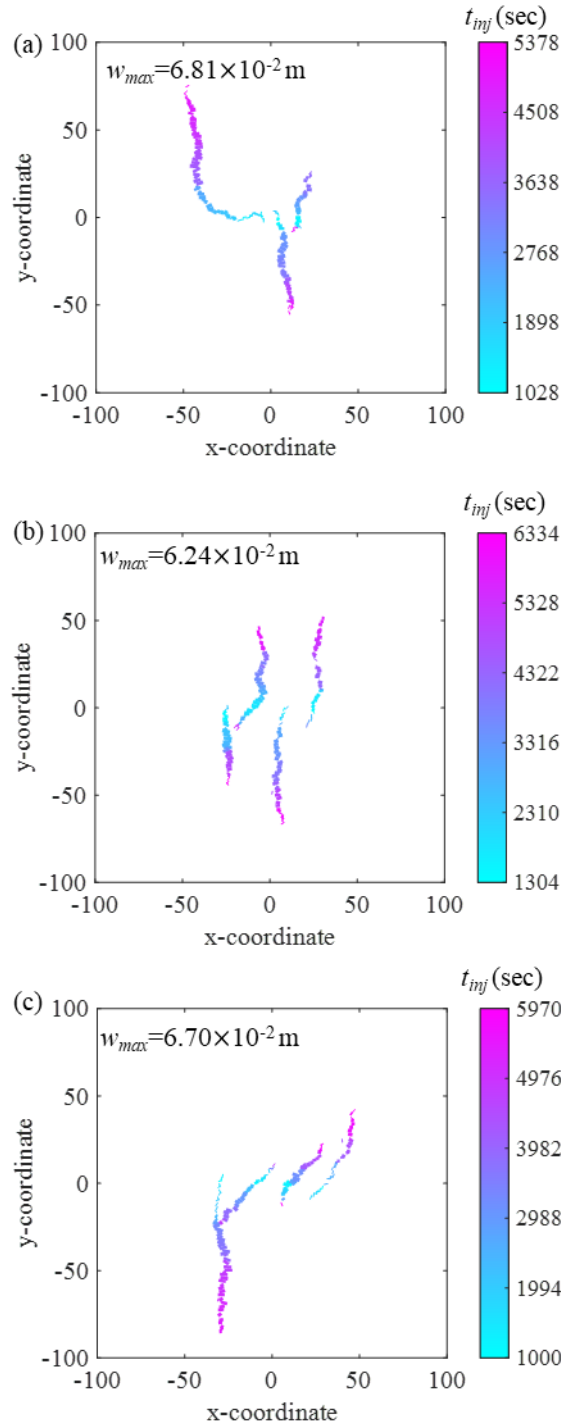


Figure 16. Influence of the spacing between injection points: (a) $D=10$ m, (b) $D=17$ m, and (c) $D=20$ m. All other parameters are the same with the reference case. Short lines represent the cracks. Color of the short lines indicates the formation time of fracturing. The thickness of the short line is proportional to the aperture of each crack with the maximum magnitude (w_{max}) provided accordingly.

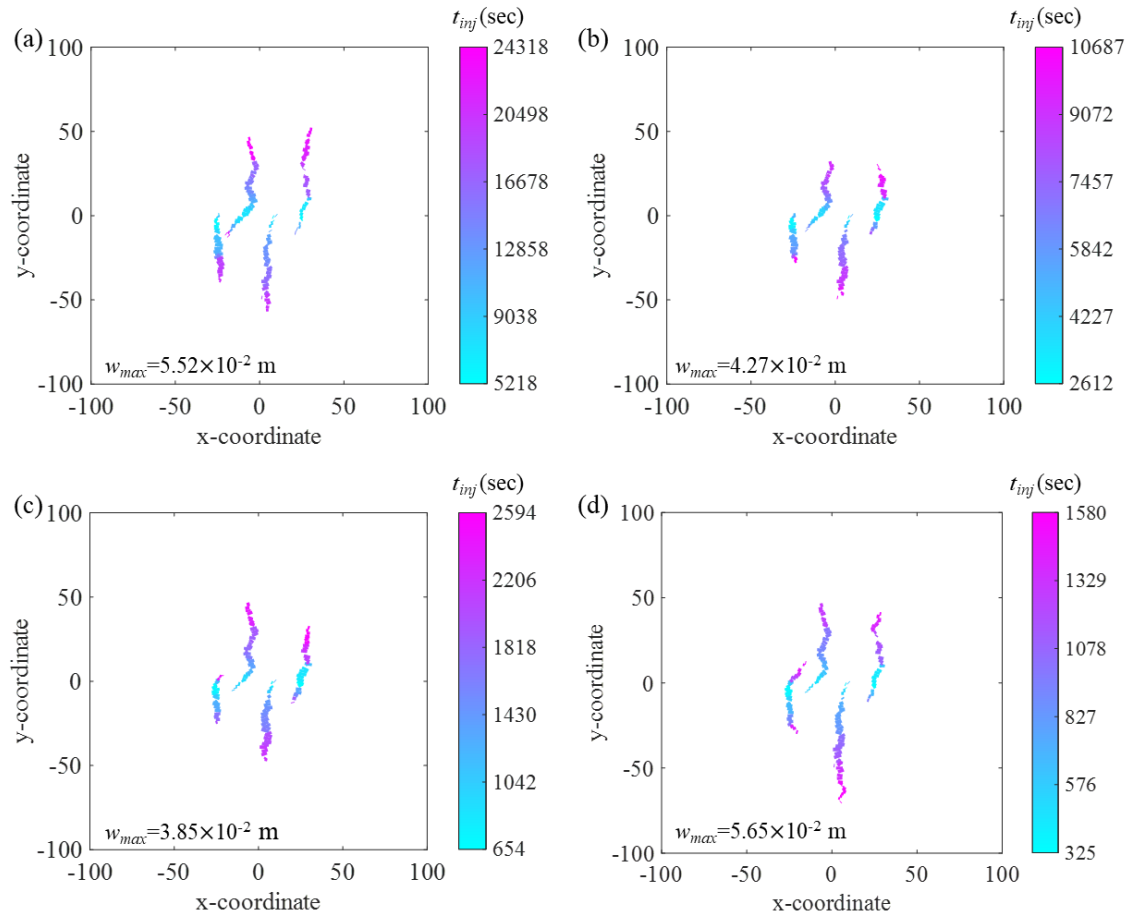


Figure 17. Influence of the injection rate. The injection rate equals to: (a) 1/4; (b) 1/2; (c) 2.0; and (d) 4.0 times of the reference case. Short lines represent the cracks. Color of the short lines indicates the formation time of fracturing. The thickness of the short line is proportional to the aperture of each crack with the maximum magnitude (w_{max}) provided accordingly.

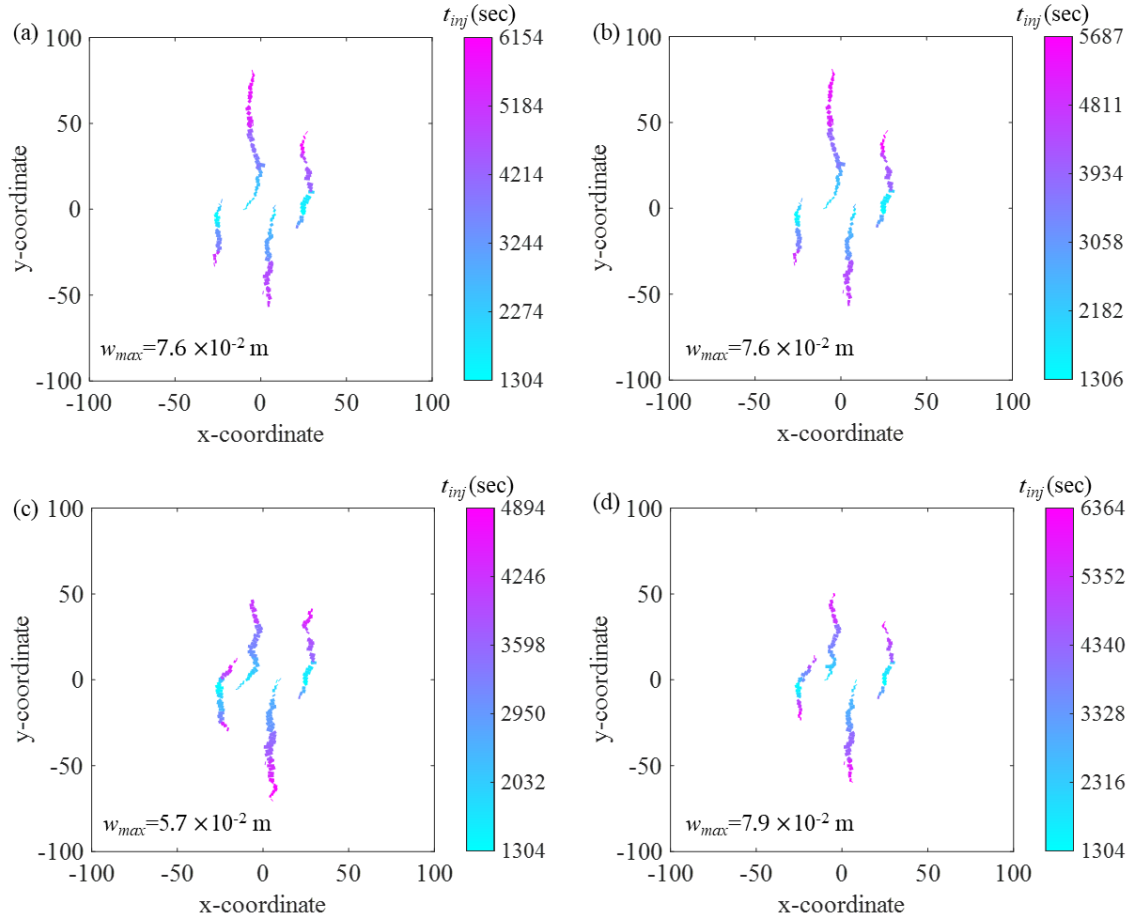


Figure 18. Influence of the fluid viscosity: (a) $\mu=0.0002$ Pa.s; (b) $\mu=0.0005$ Pa.s; (c) $\mu=0.005$ Pa.s; (d) $\mu=0.02$ Pa.s. All other parameters are the same with the reference case. Short lines represent the cracks. Color of the short lines indicates the formation time of fracturing. The thickness of the short line is proportional to the aperture of each crack with the maximum magnitude (w_{max}) provided accordingly.

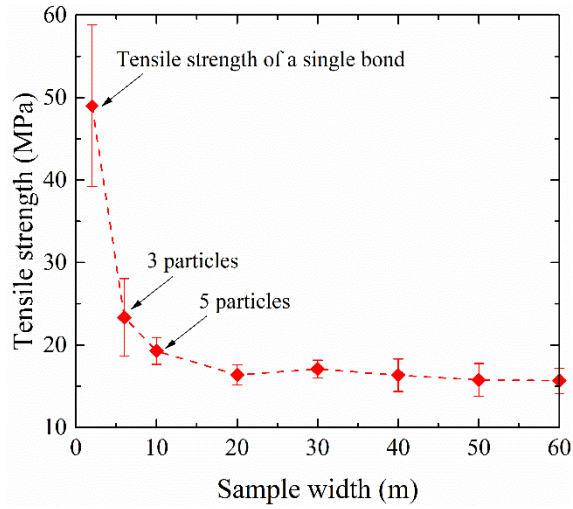


Figure 19. Tensile strength obtained from direct tensile tests on the DEM samples with various scales. For each scale, six samples were conducted by changing the random number. The ratio between height and width is kept constant as 2.0 for all the samples.