- 1 Title: Long-term drivers of vegetation turnover in Southern Hemisphere temperate
- 2 ecosystems
- 3

### 4 Abstract

### 5 **Aim:**

6 Knowledge of the drivers of ecosystem changes in the past is key to understanding present

7 ecosystem responses to changes in climate, fire regimes and anthropogenic impacts. Northern

8 Hemisphere-focussed studies suggest that climate and human activities drove turnover during

9 the Holocene in temperate ecosystems. Various drivers have been invoked to explain changes 10 in Southern Hemisphere temperate vegetation, but the region lacks a quantitative assessment

in Southern Hemisphere temperate vegetation, but the region lacks a quantitative assessment
 of these drivers. To better understand the regional drivers of past diversity, we present a

12 quantitative meta-analysis study of turnover and richness during the lateglacial and Holocene

- 13 in Australian temperate ecosystems.
- 14

### 15 **Location:**

16 Southeast Australia (Tasmania, Bass Strait, SE Mainland)

17

## 18 Methods:

19 We conducted a meta-analysis study of 24 fossil pollen records across southeast Australian

20 temperate ecosystems, applying an empirical turnover threshold to fossil records to identify

21 periods of major turnover for the first time in Australia. We tested pollen richness as a proxy

- 22 for vegetation richness to estimate past richness and applied this to fossil pollen data. The
- resulting reconstructions were compared to independent records of climate, sea-level change
- 24 and fire through generalised linear modelling.
- 25

# 26 **Results and conclusion**

27 Our results show changes in available moisture and sea level drove turnover and richness in

28 most parts of SE Australia in the past, explaining up to ~97% deviance. However, fire mainly

29 drove turnover in Bass Strait. Our richness reconstructions also support the Intermediate

30 Disturbance Hypothesis, suggesting that high biodiversity was partially maintained by

anthropogenic-managed fire regimes. While temperature change is considered key to
 Northern Hemisphere palaeodiversity, past turnover and richness in Southern Hemisphere

- Northern Hemisphere palaeodiversity, past turnover and richness in Southern Hemisphere
   temperate ecosystems responded mainly to moisture availability and sea-level change
- 34 (considering its role in modulating regional oceanic climate).
- 35

36

- **Keywords:** Bass Strait, climate, diversity, fire, Holocene, Intermediate Disturbance
- 38 Hypothesis, Squared Chord Distance, southeast Australia, turnover, Tasmania.
- 39

#### 41 Introduction

42 Temperate ecosystems harbour many of the world's largest biodiversity hotspots and carbon

43 sinks (Forseth, 2010; Dean & Wardell-Johnson, 2010), but are also the most disturbed by

- 44 human activities (Heath et al., 1993). These ecosystems are dependent on cool winter
- 45 temperatures and are sensitive to climatic shifts (Kreyling, 2010; Giesecke, Brewer,
- 46 Finsinger, Leydet & Bradshaw, 2017). Numerous quantitative regional studies have shown
- 47 that temperature change was the major regional driver of ecosystem turnover (magnitude of
- 48 change through time) during the Holocene in the Northern Hemisphere temperate ecosystems
- 49 (e.g., Seddon, Macias-Fauria & Willis, 2015; Overpeck, Webb & Prentice, 1985; Stivrins et
- 50 al., 2016), but recent research from the Mediterranean region argues that humans were the
- 51 major driver of Holocene turnover (Connor et al., 2019). Studies targeted at understanding
- the drivers of regional ecosystem turnover are hitherto lacking in Southern Hemisphere
   temperate ecosystems. Quantitatively assessing the rates of long-term regional vegetation
- 54 turnover and richness, as well as their respective drivers in Australian ecosystems will
- 55 provide insights into the regional dynamics, degree of resilience/stability and potential for
- 56 future changes in these ecosystems.
- 57 Southern Hemisphere temperate ecosystems are different from the Northern Hemisphere
- 58 counterparts. Species pools (composition) are vastly different due to the Gondwanan heritage
- of Southern Hemisphere ecosystems, as well as a climate marked by greater oceanic
- 60 influence and the limited extent of glaciation during the Last Glacial Maximum compared to
- 61 the Northern Hemisphere (Markgraf et al., 1995). This explains why the floristic
- 62 physiognomy (especially of rainforests) in temperate Australia, New Zealand and southern
- 63 South America is similar (McGlone, Lusk, & Armesto, 2016). Southern Australia is
- 64 characterized by temperate forest ecosystems dominated by *Eucalyptus* and *Nothofagus s.l.*
- 65 (Keith, 2017). These temperate ecosystems are resilient and resistant to fires to a large extent
- 66 (Knox & Clarke, 2012). However, recurrent large fires and a rapidly changing climate are
- 67 transforming sclerophyllous temperate forests to the point where these ecosystems risk
- tipping into a new state (Laurance et al., 2011; Fairman, Nitschke & Bennett, 2016).
- 69 Australian palaeoecological studies have mostly attributed Holocene vegetation changes in
- 70 Australia's temperate ecosystems to fire and climate, while anthropogenic impact accelerated
- <sup>71</sup> since British colonisation in the late 18<sup>th</sup> century (e.g., Black, Mooney & Martin, 2006;
- 72 Black, Mooney & Haberle, 2007; McWethy, Haberle, Hopf & Bowman, 2017). On the
- <sup>73</sup> 'island continent', the sea also modulates regional temperature and moisture, especially in
- areas with an oceanic climate (Australian Bureau of Meteorology ABM, 2018). Past sea-
- 75 level changes are thought to have affected vegetation development in coastal areas, especially
- on the small islands in Bass Strait during the sea-level transgression around 8000 years ago
- 77 (Thomas & Kirkpatrick, 1996; McWethy et al., 2017). However, no study has quantitatively
- assessed the above-mentioned patterns on a regional scale for Australia, even though pollen
- 79 records elsewhere have proven to be useful in quantitatively estimating long-term vegetation
- turnover and richness, especially on a regional scale (e.g., Seddon et al., 2015; Connor et al.,
  2019; Jacobson, Webb, & Grimm, 1986; Shuman, Bartlein, & Webb, 2005).
- 82
- 83 The dynamics of ecosystem floristic richness is largely dependent on interactions between
- plant functional traits and disturbance regimes (e.g., Dornelas et al., 2014; Vellend et al.,
- 85 2013). Disturbance can both promote or reduce richness, depending on the ecosystem type
- and magnitude and nature of disturbance (e.g., Biswas & Mallik, 2010; He, Lamont, &
- 87 Pausas, 2019). For example, fire may promote richness in fire-adapted woodlands and
- 88 heathlands through enhanced seed germination, while fire may drive extirpation in fire-

- 89 sensitive rainforests (Gill, 1996). The idea of disturbance promoting richness is encapsulated
- 90 in the Intermediate Disturbance Hypothesis (IDH) which theorises that: (1) disturbance
- 91 significantly affects species richness, (2) competitive exclusion is driven by interspecific
- 92 competition, and (3) moderate disturbance supresses interspecific competition (Wilkinson,
- 1999; Kricher, 2011; Catford et al., 2012). While richness change has been mainly driven by
  human activity during the Holocene in the Northern Hemisphere's temperate and
- human activity during the Holocene in the Northern Hemisphere's temperate and
  Mediterranean ecosystems (Giesecke et al., 2017), research into the past richness/diversity
- 96 dynamics of Australia's temperate ecosystems is lacking. In Australia, diversity was thought
- to have been promoted by moderate burning by humans in the past (Bowman, 1998; Bird,
- 98 Bird, Codding & Taylor, 2016); however, few studies have directly and quantitatively
- addressed the role of fire in promoting ecosystem richness/diversity during the Holocene in
- 100 Australia (Thomas, Enright & Kenyon, 2001; McWethy et al., 2013).
- 101 Here, we present a meta-analysis that assesses the drivers and timing of past turnover and
- richness change in temperate Southern Australia, using a quantitative approach. The SE
- 103 Australian regions included in this study include the SE mainland (continent), Bass Strait
- 104 islands (an archipelago, formerly a land bridge) and Tasmanian mainland (continental island,
- 105 formerly connected to the mainland) (Fig. 1). We hypothesise that sea-level change may have
- driven vegetation turnover and changes in richness during the Holocene in addition to climate
- and anthropogenic land-use/fire. We also hypothesise that intermediate levels of fire (biomass
- burned) likely promoted floristic richness in the past. To test these hypotheses, we estimated
   palynological richness and rates of vegetation change using fossil pollen records across SE
- 110 Australia. We developed objective measures of turnover and richness by adopting an
- empirical turnover threshold to identify the timing of major vegetation turnover and by
- 112 verifying palynological richness as a proxy for plant species richness. We use generalised
- 113 linear models to compare the reconstructions to independent records of fire, climate and sea-
- 114 level changes to identify possible drivers of regional vegetation turnover and richness during
- the lateglacial (~13,000–11,700 years ago) and Holocene (the last 11,700 years) in SE
- 116 Australia.
- 117
- 118 [Figure 1 here]
- 119

### 120 Southeast Australian vegetation and climate

Australia's vegetation follows a precipitation gradient, ranging from wet to dry landscapes 121 characterized by forests and non-forest vegetation types (Keith, 2017). The vegetation of the 122 SE Australian mainland is characterized by *Eucalyptus* open forests and woodlands, as well 123 as mallee shrublands. The central to eastern part of Tasmania is dominated by Eucalyptus 124 open forests and open woodlands, while the western to southern part is characterized by cool 125 temperate rainforests, wet sclerophyll forests and moorland (Keith, 2017). The Bass Strait 126 islands' vegetation is characterized primarily by myrtaceous heaths and related scrub, with 127 very little rainforest (Kitchener & Harris, 2013). A large part of the Australian mainland has 128 been transformed into grazing pasture and dryland cropping, as have widespread areas in the 129 130 central eastern parts of Tasmania. In Bass Strait, however, only King and Flinders Islands have been largely modified into grazing pastures (Department of Agriculture, 2018). 131 The climate of the southern Australian mainland is controlled by strong Southern Westerly 132

- 133 Winds (SWW) during winter, bringing high rainfall, while an anticyclonic influence comes
- into play during summer, causing dry summers (ABM, 2019). The El Niño Southern

- 135 Oscillation (ENSO) also drives interannual climatic variability on the SE mainland and in NE
- 136
   Tasmania (Mariani, Fletcher, Holz & Nyman, 2016; Risbey, Pook, McIntosh, Wheeler &

   137
   138
- Hendon, 2009). In contrast, high rainfall in southern to western Tasmania is generally driven
- by the Pacific–South American mode and SWW (Mariani & Fletcher, 2016; Hill, Santoso &
- 139 England, 2009; ABM, 2019; Risbey et al., 2009).
- 140

# 141 Methods

142 Data selection

Pollen records from 117 sites across SE Australia were considered for this study. From these, we used strict criteria to select 24 sites ranging between 1 and 1955 m asl, which include the

- SE mainland (11 sites), Tasmania (9 sites) and the Bass Strait islands (4 sites; Fig. 1; see
- Table S1 in Appendix S1 for list of sites and site details; see Appendix S2 for references of
- 147 data sources not cited in text). Site selection was made based on at least one pollen sample
- every 500 years and at least one radiocarbon date every 3000 years. Most pollen data were
- 149 obtained from a SE Australian pollen database (Herbert & Harrison, 2016), some by
- 150 contacting individual analysts, and five sites from the Neotoma Paleoecology Database
- 151 (<u>http://www.neotomadb.org/</u>). Four unpublished records were also used with permission, due
- to the scarcity of high-resolution pollen records.
- 153 Overall, 14 sites were used spanning the last 13,000 years, while the remaining 10 sites span
- the last 9000 years. *Pinus*, introduced to Australia in the 19<sup>th</sup> century, was used as a dating
- marker for seven sites by assigning a date of 150 cal yr BP to the first appearance of *Pinus*
- pollen in the records. The age-depth model for one of the sites (Middle Patriarch Lagoon –
- 157 MPL) from the Bass Strait islands was corrected by re-running a Bacon age-depth model (Rlauwy & Christon 2011) using *Binus* as a marker for 150 calve PD (see Table S2 in
- 158 (Blauuw & Christen, 2011), using *Pinus* as a marker for ~150 cal yr BP (see Table S2 in Appendix S1 for new age don'th model and age actimates)
- 159 Appendix S1 for new age-depth model and age estimates).
- 160
- 161 <u>Composite charcoal analysis</u>
- 162 In order to compare turnover and richness results with an independent record of regional fire
- activity, 61 and 13 charcoal accumulation datasets from different sites in SE mainland and
- 164Tasmania were selected from the Global Paleofire Database, respectively
- 165 (<u>https://paleofire.org/index.php</u>). Four charcoal records (not from the Global Paleofire
- 166 Database) were added from the Bass Strait region (Adeleye et al., submitted.; Hopf et al., in
- 167 prep.). Composite z-scores were then calculated for each region using the 'paleofire' package
- in R (Blarquez et al., 2014b; R Core Team, 2019).
- 169
- 170 <u>Numerical analysis turnover</u>
- 171 To estimate the rate of vegetation turnover through time at each site, we conducted a rate-of-
- 172 change analysis, using the Squared Chord Distance (SCD) dissimilarity metric (Overpeck et
- al., 1985; Seddon et al., 2015; Connor et al., 2019). There are many metrics that can be used
- to estimate rates-of-change, however the SCD metric has been proven to be the most suitable
- 175 for palynological data due to its better handling of the signal-noise effect (Overpeck et al.,
- 176 1985). 'Rates-of-change', 'compositional change' and 'temporal turnover' are often used
- interchangeably in the literature, as they can all be interpreted from the SCD of fossil pollen
- spectra; however, we will use the term 'turnover' in this study to refer to these. After

- 179 calculating fossil pollen percentages, a binning approach (Connor et al., 2019, after Seddon et
- al. 2015) was chosen over interpolation due to the risk of artefacts created by interpolation
- 181 (Birks, 2012). The binning approach involves dividing pollen records from each site into time
- bins, selecting random samples from adjacent bins, calculating the inter-sample SCD, then
- repeating this random selection 50 times to obtain an average bin-to-bin SCD score. A bin width of 1000 years was used due to the low dating resolution of most available records.
- width of 1000 years was used due to the low dating resolution of most available records.
  Spores and aquatic/wetland taxa were excluded from the pollen sum. We also tested an
- alternative dissimilarity metric known as Hellinger transformation with Euclidean distance
- (Plumpton, Whitney & Mayle, 2019) for comparison with SCD results.
- In order to determine an empirical SCD threshold for significant change in SE Australian vegetation, surface pollen rain and modern vegetation survey data from different sites on the mainland and Tasmania were obtained (Fig. 1). For Tasmania, samples were collected from 27 sites (see Mariani, Connor, Theuerkauf, Kuneš & Fletcher, 2016 for more information and sampling methods). Using the same approach, we included 25 sites from the SE Mainland, with 11 sites from the Alpine National Park, 4 sites from Wilson's Promontory National Park
- and 10 sites from Grampians National Park (Mariani et al., submitted).
- SCDs were calculated between modern samples in the two regions and SCDs of vegetation and corresponding pollen data were then compared using a Mantel dissimilarity test
- (Legendre & Legendre, 2012) to determine whether dissimilarity in vegetation translated into
- dissimilarity in pollen assemblages. This step was repeated using distance-weighted plant
  abundance of target taxa, which is the product of each taxon's cover and respective weighting
- factor (weighted with Lagrangian Stochastic pollen-dispersal model) to verify relationships
- between modern pollen and vegetation (see Mariani, Connor, et al., 2016 for details on
- 202 calculating distance-weighted plant abundance). We then set a numerical SCD threshold
- based on modern pollen and vegetation SCDs, using the analogue matching technique and a
   dissimilarity score greater than the 5<sup>th</sup> percentile was selected as the cut-off for significant
- dissimilarity score greater than the 5<sup>th</sup> percentile was selected as the cut-off for significant
   dissimilar vegetation groups, i.e. an indicator of ecosystem turnover (Plumpton et al., 2019;
- Simpson, 2007). Mainland and Tasmanian vegetation were also grouped by SCD, using
- 207 Ward's clustering method, with similar and dissimilar vegetation groups separated in line
- 208 with the SCD threshold. Similar assessments of thresholds have been done in the Northern
- Hemisphere (e.g., Overpeck et al., 1985; Huntley, 1990b; Davis, Collins & Kaplan, 2015).
- 210
- 211 <u>Numerical analysis richness</u>
- To estimate palaeo-richness for each site, we conducted a rarefaction analysis by randomly 212 resampling each pollen sample (1000 times) to the minimum pollen sum from each pollen 213 record (excluding introduced species). Random resampling reduces the bias effect of pollen 214 taxa with high counts over taxa with low counts (Felde, Peglar, Bjune, Grytnes & Birks, 215 2016). For this study, pollen richness is taken to reflect floristic richness at a site, though 216 there are complexities surrounding the use of pollen spectra in estimating vegetation richness. 217 These complexities include differential pollen production, dispersal and preservation, as well 218 219 as pollen taxonomic resolution (Birks et al., 2016). Despite these complexities, pollen records have proven useful in reconstructing past floristic richness (Giesecke et al., 2017). To verify 220 palynological richness as a proxy of richness in Australian vegetation, we compared plant 221 richness in modern vegetation to surface pollen richness from SE Australia. 222
- 223
- 224

### 225 <u>Modelling</u>

- 226 In order to identify major drivers of turnover and richness, we applied Generalized Linear
- 227 Modelling (GLM), with Gaussian distribution and each potential driver (fire, ENSO,
- temperature, sea level and precipitation:evaporation) as a predictor of vegetation turnover and
- changes in richness. We removed the effect of temporal autocorrelation by resampling each
- variable at a lower resolution and re-running each model 1000 times (bootstrapping) (Mellin,
- Bradshaw, Meekan, & Caley, 2010). All variables were initially included as predictors of
- turnover and richness, and significant variables were selected for final GLMs using a
   stepwise variable selection procedure. Corrected Akaike's information criterion (AICc) and
- delta AICc (dAICc) were then used to rank final models, with additional consideration given
- to the amount of deviance explained by each variable. All data analyses in this study were
- done in R (R core team, 2019) using the 'vegan', 'analogue' 'TSA', 'stats', 'EnvStats',
- <sup>237</sup> 'mgcv' and 'MASS' packages (Oksanen et al. 2007; Simpson, 2007; Cryer & Chan, 2012;
- Millard, 2013; Ripley et al., 2020; Wood, 2020). We used composite charcoal results as a proxy for fire, sedimentation record of Laguna Pallcacocha lake as a proxy for ENSO events
- 239 proxy for fire, sedimentation record of Laguna Pancacocha fake as a proxy for ENSO events 240 (Moy, Seltzer, Seltzer, & Anderson, 2002), EPICA Dome C Antarctic deuterium record as a
- proxy for temperature (Stenni et al., 2001), precipitation:evaporation reconstruction from
- southwestern Victoria as a proxy for SWW-driven moisture availability (Wilkins,
- Gouramanis, De Deckker, Fifield, & Olley, 2014) and biological indicator-based
- reconstructed sea levels for SE Australia as a proxy for sea-level change (Sloss, Murray-
- 245 Wallace, & Jones, 2007).
- 246 We applied Generalized Additive Modelling (GAM), with non-linear effect to assess the
- 247 Intermediate Disturbance Hypothesis in SE Australia, using charcoal composite (fire
- 248 disturbance) and reconstructed palynological richness. Considering that pollen production
- 249 may have differed under glacial climates (Mariani et al. 2016), we only used Holocene
- 250 samples for this assessment.
- 251
- 252 **Results**
- 253 Squared Chord Distance (SCD) threshold assessment
- Our SCD and Hellinger transformation results were similar (r = 0.9), therefore we only
- present the former (see Fig. S4a–S4c in Appendix S1 for examples of SCD versus Hellinger
- transformation results). Results show a significant positive correlation (based on Mantel test)
- between Tasmania's (r = 0.4, p < 0.01) and the mainland's (r = 0.6, p < 0.01) modern vegetation
- survey SCD and surface pollen rain SCD, which means sites with similar vegetation tend to
- 259 have similar pollen assemblages (Fig. 2a–b). Distance-weighted plant abundance results were
- similar to those of the unweighted vegetation data (Fig. 2c–d). After applying Ward's
- clustering method, the sites with the most similar vegetation had a pollen assemblage SCD
- value ranging from 0-0.4 in both regions, while SCD values for the most dissimilar sites
- mainly ranged from 0.1–1.0 (Fig. 3). Specifically, SCDs within *Eucalyptus* forests ranged
- from 0.15–0.3, however Tasmanian *Nothofagus* rainforest ranged between 0.3 and 0.8 (see
- Fig. S2 in Appendix S1).
- Analogue analysis produced a dissimilarity threshold (5<sup>th</sup> percentile) of 0.143 for the
- 267 mainland and 0.259 for Tasmania (Table 1). To obtain a single representative regional
- analogue threshold for SE Australia comparable to regional studies from the Northern
- Hemisphere, we averaged the analogue thresholds for the mainland and Tasmania, yielding a
- 270 final threshold value of 0.2. Also, our results show a positive relationship between pollen and

- plant richness (r = 0.33, p<0.05). We found that excluding high elevation sites across the
- region, as suggested by Felde et al. (2016), further improved the relationship (r = 0.53, r = 0.05) (E<sup>+</sup> = 2)
- 273 *p*<0.05) (Fig. 3).
- 274 [Figure 2 here]
- 275 [Figure 3 here]
- 276 [Table 1 here]
- 277
- 278 Generalized Linear Models (GLM)

For Bass Strait, only fire was significantly (p < 0.05) related to turnover during variable

selection. Only sea-level change was significant for richness. Hence, fire and sea-level

- change are taken as the major drivers of Holocene turnover and richness in the region,
- respectively (Table 2a).
- For the mainland, precipitation:evaporation, sea-level change and ENSO were significantly
- 284 (p < 0.05) related to turnover. A combination of these variables best predicted turnover
- 285 (AICc=186, dAICc=0, Rm=50, Deviance=71%). For richness, a combination of
- precipitation: evaporation, sea-level change, temperature, and fire most significantly (p<0.05)
- predict richness (AICc=61, dAICc=0, Rm=91, Deviance=96%) on the mainland. Of these
- variables, fire appears to be less important, considering the similar deviance explained (95%)
  by models 2–4 (Table 2a & b).
- 290 For Tasmania, a combination of precipitation:evaporation, sea-level change, temperature, and
- ENSO best predicted turnover (AICc=141, dAICc=0, Rm=93, Deviance=96%). ENSO
- appears to be the least important variable, considering the similar deviance explained (97%)
- by model 2 (Table 2). Richness in Tasmania is best predicted by a combination of sea-level
- change, temperature and ENSO (AICc=95, dAICc=0, Rm=49, Deviance=63%).
- 295
- 296 [Table 2 here]
- 297 [Figure 4a here]
- 298
- 299 <u>Rates of vegetation turnover, changes in richness and fire activity</u>

300 For the SE mainland, significant vegetation turnover occurred in the late Holocene (~4000–

301 present cal yr BP) with average SCDs reaching 0.25. Rarefaction analysis shows richness

- increased during the lateglacial–early Holocene, remained relatively stable until ~7000 cal yr
- BP and gradually increased from ~7000 cal yr BP–present (Fig. 4b). Results also show low
   fire activity on the mainland from the lateglacial to mid-Holocene (~13,000–7000 yr BP)
- fire activity on the mainland from the lateglacial to mid-Holocene (~13,000–7000 yr BP)
   with z-scores below 0, while fire activity increased over the last ~7000 years with z-scores
- 306 consistently above 0 (Fig. 4b).

307

308 [Figure 4b here]

- 310 In Bass Strait, results show high rates of vegetation turnover from the lateglacial through the
- mid-Holocene with SCD values between 0.3 and 0.4 (~13,000–8000 cal yr BP), stabilizing
- during the mid- to late Holocene (~7000–1000 cal yr BP) with intermittent changes, as
- reflected in SCD values below 0.2. Turnover increased in the last ~1000 cal yr BP with a return to values >0.2. The highest richness is recorded during the lateglacial, after which it
- return to values >0.2. The highest richness is recorded during the lateglacial, after which it steadily decreased until ~5000 cal yr BP. Richness increased again from ~5000–2000 cal yr
- BP before finally decreasing during the last ~2000 years. Charcoal z-scores ranged between -
- 1 and 0 from ~13,000 to 9000 cal yr BP, attained a maximum from ~8000 to 6000 cal yr BP
- with a maximum z-score of up to 0.5, then declined to below 0 for the last 2000 years (Fig.
- 319 4b).
- 320 In Tasmania, rate of vegetation turnover was highest during the lateglacial–early Holocene
- 321 (~13,000–11,000 cal yr BP) with average SCDs of up to 0.3, attaining stability by ~10,000
- 322 cal yr BP and remaining stable (below threshold) throughout the rest of the Holocene.
- Richness was highest in the lateglacial–earliest Holocene and mid- to late Holocene (~7000
- cal yr BP–present) and lowest from ~11,000–7000 cal yr BP. Tasmanian fire records show
- the highest fire activity during the lateglacial–early Holocene (~13,000–10,000 cal yr BP)
- with z-scores above 0, decreasing through the mid-Holocene ( $\sim 10,000-6000$  cal yr BP) and
- then gradually increasing from ~6000 yr cal BP-present (Fig. 4b). In addition, overall results
   in all regions show that a high-intermediate level of charcoal corresponds with high richness
- 329 (Fig. 5).
- 330

# 331 Discussion

332 <u>Richness metrics and potential sources of error</u>

This study presents the first attempt to confirm and apply richness measures to Holocene 333 pollen data in Australia. We show that modern palynological richness has a positive 334 relationship with floristic richness, especially in sites <800 m asl (r = 0.53, p<0.05). Inclusion 335 of sites >800 m asl weakened the relationship (r=0.33) but remained significant (p<0.05). 336 This increased disparity between modern floristic richness and palynological richness in sites 337 >800 m asl may be due to the openness of the sites (dominated by e.g., heathland, moorland) 338 with increased extra-local pollen sources (Felde et al. 2016; Markgraf, 1980). Our results 339 demonstrate a strong link between modern plant richness and pollen richness in SE Australia. 340 This agrees with the findings of Meltsov, Poska, Reitalu, Sammul, & Kull (2013) that, 341 palynological richness better reflects floristic richness when a single climatic region is 342 considered, with our study restricted to the SE Australian temperate zone. We are aware of 343 the limitations that surround the use of rarefied pollen assemblages to estimate floristic 344 richness, especially pollen taxonomic precision and differential pollen production (Birks et 345 al., 2016). We limited these effects by ensuring consistency in taxonomic resolution of fossil 346 and modern datasets as much as possible. Distance-weighted plant abundance was also used 347 to verify the relationship between surface pollen and modern vegetation, which produced 348 similar results to non-weighted plant abundance (Fig. 2c-d). However, the role of pollen 349 productivity should be investigated in future studies. We used pollen counts for our modern 350 and fossil pollen rarefaction analysis, which include potential effects of evenness (Odgaard, 351 1999; van der Knaap, 2009). While fossil pollen accumulation rates can help to reduce this 352 bias, they tend to incur large errors from sediment accumulation rate estimation (van der 353 Knaap, 2009) and cannot be derived for the modern moss samples used here. 354

Other potential sources of error in this study include the nature of fossil pollen sites (e.g.,
swamps, lagoon), the available high-resolution pollen records (e.g., Bass Strait has 4) and site

distribution in terms of location and elevation (in Tasmania, mostly mid- to high elevation in
western and central parts). These are unavoidable biases due to the scarcity of high-resolution

- 359 pollen records in SE Australia.
- 360

### 361 <u>Turnover metric (SCD) verification</u>

The SCD threshold (0.2) verified in this study agrees with Northern Hemisphere studies 362 (Overpeck et al., 1985; Davis et al., 2015). We show that turnover within Eucalyptus forests 363 is low across space on the mainland and Tasmania, which is due to the relative homogeneity 364 in *Eucalyptus* forest structure across SE Australia. Conversely, the relatively high turnover 365 scores for Nothofagus rainforests are due to the floristic variety of rainforest communities 366 (high beta diversity) in western Tasmania (Fig. S2 in Appendix S1). For example, several 367 Nothofagus-dominated rainforest types were identified in the vegetation and surface pollen 368 data, including Nothofagus-Eucalyptus, Nothofagus-Phyllocladus, Nothofagus-Pomaderris 369 and Nothofagus-Eucryphia associations. Nothofagus pollen is strongly over-represented, 370 371 comprising up to 50% of total pollen even in non-arboreal vegetation and surpassing nonarboreal pollen like Gymnoschoenus, which in fact dominates non-arboreal vegetation 372

373 (Mariani, Fletcher, et al., 2016; Fletcher & Thomas, 2010; Macphail, 1979).

374

### 375 Drivers of turnover and richness in SE Australian temperate ecosystems

376 Our GLM results suggest all predictors contributed to past changes in turnover and richness

to some degree across SE Australia. (Table 2b). However, precipitation:evaporation (SWW-

driven moisture availability), sea-level change (oceanic influence) and temperature appear to

have affected turnover and richness in most regions, considering the lesser contributions of

fire and ENSO (Fig. 4a). Human influence is not included as a driver in our GLMs due to the patchiness of the archaeological records but is included in our discussion to infer past

anthropogenic land-use and its influence on past turnover and richness. While the proxy

records we modelled are currently the best for the region, especially in terms of resolution,

we acknowledge that the nature and quality of some of the proxies used as drivers of turnover

- and richness may have potentially influenced our results.
- 386

### 387 Turnover drivers: Moisture availability and sea-level change

Moisture availability and sea-level change mainly explained Holocene turnover in most parts
of SE Australia, while fire was the major driver of turnover in Bass Strait (Fig. 4a). These
drivers are discussed in the following sections.

Changes in SWW flow pattern contribute to precipitation:evaporation changes in temperate
Australia and determine available soil moisture, which is critical for plant growth, seed
production and seedling recruitment (Keith, 2017; Wilkins et al., 2014). The interactions

between precipitation (rainfall) and local factors such as slope and aspect also determine

395 vegetation structure, composition and distribution on a landscape scale (Keith 2017; Enright,

396 Miller, & Crawford, 1994). Biomass wetness/dryness influences fire regimes by modifying

the quantity of fuel available to burn (Bradstock 2010), though anthropogenic activities also

control fire regimes, particularly ignitions (Keith, 2017). The fire regime feeds back onto

vegetation structure and composition, with high fire activity promoting fire-adapted species(Keith, 2017; Bowman et al., 2016).

- 401 Oceanic circulation also modulates temperature and available moisture for plant
- 402 growth/productivity in temperate Australia, especially in mid–higher latitudes such as
- 403 Tasmania (Duran, England, & Spence, 2020; Keith 2017). Sea-level changes can also directly

shape vegetation structure and composition, especially in coastal areas or small islands by

- filtering certain species and growth forms (Kirkpatrick & Harris, 1999; Keith, 2017).
- Low SWW-driven moisture availability, an increase in ENSO events and higher sea levels
  are associated with turnover on the mainland (Table 2a; Fig. 4b). Significant turnover in the
- 408 region after the mid-Holocene can be linked to decreasing moisture availability due, in part,
- to ENSO intensification, which overrode SWW-driven precipitation during this period
  (Fletcher & Moreno, 2012). Increasing drought and fire is thought to have promoted the
- (Fletcher & Moreno, 2012). Increasing drought and fire is thought to have promoted the
   expansion of sclerophyllous woodland on the mainland during this period. This may have
- 411 expansion of scierophynous woodland on the mannand during this period. This may have 412 also driven changes in understorey composition in woodlands, resulting in a major vegetation
- 412 also driven enalges in understorey composition in woodlands, resulting in a major vegetation413 turnover (Kershaw et al., 1991). Furthermore, the increasingly oceanic climate (cool summer,
- 413 mild winter) that gets established with sea-level rise would have also promoted longer
- 414 initial whiter) that gets established with sea-level fise would have also promoted longer 415 growing seasons, a factor that has been linked to turnover in some Southern Hemisphere
- 416 ecosystems (Lord, 2019).
- Direct salt spray and wind damage driven by sea-level rise may have also driven vegetation changes by supressing trees to promote shrubby and herbaceous vegetation on the mainland, at least at sites exposed to direct oceanic influence (e.g., Hope, 1974; Martin, 1994). High soil salinity limits available soil moisture for tree growth and causes tree die back reducing
- soil salinity limits available soil moisture for tree growth and causes tree die-back, reducing
- 421 competition in favour of shrub and herb communities (Cramer, Hobbs, Atkins, & Hodgson,
- 422 2004; Briggs & Taws, 2003). Similarly, ocean-driven strong winds are capable of removing
- 423 trees in woodlands/forests further inland, increasing understorey composition in
- 424 woodland/forest gaps (Smart et al., 2014).
- Conversely, lower sea levels, high moisture availability and low temperature are linked to 425 426 high turnover in Tasmania (Table 2a; Fig. 4b). Major turnover before ~11,000 years ago may be mostly linked to increasing moisture during the lateglacial-Holocene climate transition, 427 which featured increases in forest cover. The Tasmanian landscape was generally open prior 428 429 to the Holocene under a cooler climate and lower sea level (Hopf et al., 2000). The overall decreasing turnover trend in the region may reflect vegetation stabilisation as climate 430 transitioned from a continental, cool lateglacial climate, characterized by open vegetation, to 431 an oceanic climate, characterized by increasing forest cover, as Tasmania became separated 432 from the Australian mainland due to rising sea-level around 14,000 years ago (Lambeck & 433
- Chappell, 2001; Fig. 4b). High-resolution pollen records spanning beyond the ~13,000-year
   records considered in our study are required to better understand turnover in Tasmania during
- 436 the glacial period.
- In Bass Strait, low fire activity is associated with turnover, and the coherence between
  turnover and human occupation patterns suggests Aboriginal burning at least partly drove
- 439 turnover in the region before the mid-Holocene (Table 2a; Fig. 4b). Aboriginal people may
- 440 have used low-intensity fire to shape vegetation in Bass Strait for country keeping during this
- 441 period. Low turnover after the mid-Holocene may be related to reduced Aboriginal fire usage
- in the region (McWethy et al., 2017; Sim, 1998), with occasional natural fires resulting in
- stable vegetation. Contrastingly, Romano & Fletcher (2018) concluded that intensive
- 444 Aboriginal land usage stabilized vegetation in northwest Tasmania during in the late

Holocene. This disparity may reflect the heterogeneous nature of Aboriginal land use insoutheast Australia in the past.

447

### 448 *Richness drivers: Sea-level change, temperature and moisture (SWW and ENSO)*

449 Sea-level change primarily explains richness variations across the three regions (Fig. 4a;

450 Table 2a). As discussed for mainland turnover, higher sea levels are also associated with high

451 richness on the mainland (Table 2a) and can be related directly to sea-level disturbance (salt

452 spray and wind damage) and indirectly to the prevalence of an oceanic climate (extended

- 453 growing seasons).
- Conversely, low sea level is associated with high richness in Bass Strait. This can be 454 explained by the increase in salt stress/damage to plants as Holocene sea levels rose in this 455 low-lying coastal region. Increased salt stress/damage (e.g., leaf necrosis) can suppress 456 growth of shrubby/short vegetation, selecting for salt-tolerant species, especially in coastal 457 sites, reducing richness by promoting only salt-adapted species (Griffiths, 2006). This would 458 459 have been pronounced on the Bass Strait islands (which are more exposed to direct salt-laden winds), as sea level rose through the lateglacial-mid-Holocene, contributing to the 460 decreasing richness trend in the region during this period (Fig. 4b). As a more oceanic 461 climate developed, temperate forest and woodland cover spread rapidly in Bass Strait and 462 Tasmania (McWethy et al., 2017; Hopf et al., 2000). This expansion may have suppressed 463 open vegetation communities (grasslands and heathlands), resulting in the overall trend in 464

465 richness decline in the two regions during the early Holocene.

Holocene richness trends can also be interpreted in the light of ecosystem-level responses to 466 drought. Ecological studies in Asia have shown that resource partitioning is more effective in 467 saplings of drought-tolerant trees during periods of drought, which in turn promotes tree 468 diversity (Sugden, 2020). High diversity also reduces the impact of drought in drought-prone 469 470 environments such as SE Australia (Grossiord et al., 2014). High richness is associated with low moisture availability, which might relate to weakened SWW and/or ENSO intensification 471 in this region (Table 2a). Increasing drought under a warmer climate, likely promoted 472 species-rich drought-tolerant sclerophyllous woodland, especially on the mainland from the 473 mid-Holocene (Hopf et al., 2000; Jones, Thomas & Fletcher, 2017; Kershaw et al., 1991; 474 Yuan, Wang, Cui, Meng, Kurban, & De Maeyer, 2017; Pickett et al., 2004). Aboriginal 475 managed burning is thought to have also promoted vegetation richness in Australia in the past 476 (Bird, Bird, & Codding 2016; Bowman, 1998) and the overlap between richness trends and 477

- 478 human occupation patterns across regions suggests Aboriginal land-use may have also
- promoted/maintained richness, especially on the mainland and Tasmania in the past (Fig. 4b).
- 480

## 481 Fire regime and Intermediate Disturbance Hypothesis (IDH) in SE Australia

482 Fire plays an important role in Australian ecosystems, providing opportunities for on-plant

and in-soil seed bank germination for species continuity and colonization of new habitats

484 (O'Bryan, Prober, Lunt & Eldridge, 2009; Williams et al., 2012). In line with the IDH, a lack

485 of fire promotes competitive exclusion, decreasing richness (Keith, McCaw & Whelan,

486 2002), whereas too much fire selects for pyrophytes, also decreasing richness (Wilkinson,

487 1999; Kricher, 2011; Catford et al., 2012).

488 Our GAM-based IDH assessment for SE Australia is not statistically significant at the p < 0.05

level (Fig. 5). This is due to the low resolution of pollen records (few data points). Further

study to improve sampling resolution is recommended to investigate fire-richness 490 relationships (IDH) in temperate Australia. Nonetheless, our IDH model shows a hump-491 shaped trend, especially in Bass Strait and Tasmania, suggesting moderate fire promoted 492 richness in these regions during the Holocene, while decreases in richness are associated with 493 low or high levels of biomass burning. This is in agreement with previous tests of the IDH in 494 temperate and tropical forest ecosystems (e.g., Colombaroli et al., 2013; Molino & Sabatier, 495 2001). It is important to note that charcoal records for Tasmania and Bass Strait are of high 496 resolution (contiguously analysed), while few contiguous records are available for the 497 mainland. Fire episodes (charcoal peaks) are likely to be missing in mainland records. For 498 499 this reason, the IDH pattern for the mainland is considered less robust than that of the other regions. The overlap between periods of increased human occupation and increase in richness 500 across regions also suggests Aboriginal-managed burning may have at least partly promoted 501 502 richness in SE Australia during the Holocene (Bowman, 1998; Bird, Bird, & Codding, 2016; 503 Fig. 4b & 5).

504 [Figure 5 here]

505

### 506 Conclusion

507 This is the first comprehensive meta-analysis study of long-term vegetation turnover in

508 Australia, which provides insight into drivers of change in temperate ecosystems using a 509 quantitative approach.

510 This study has successfully established an empirical turnover threshold (SCD = 0.2),

511 comparable to pollen-based thresholds developed in other temperate ecosystems globally.

512 Our study shows different interacting drivers were responsible for vegetation turnover and

513 changes in richness in SE Australian temperate ecosystems during the lateglacial and

514 Holocene. Overall, turnover and richness were driven by a combination of moisture

availability, sea-level change, temperature, fire and ENSO. However, moisture

availability/variability (related to SWW and ENSO) and sea-level change appears to be the

517 primary drivers in most regions. This contrasts with the Northern Hemisphere, where

temperature change was a major driver of turnover during the Holocene.

519 Our Holocene richness reconstructions support the Intermediate Disturbance Hypothesis,

520 especially in Bass Strait and Tasmania, promoting the notion that high biodiversity was at

521 least partially maintained by (managed) fire regimes. We propose that moisture availability

522 (both in terms of amount and variability) and sea-level change (considering its role in

523 modulating regional oceanic climate) be recognized as key drivers of ecosystem turnover and

- richness in Southern Hemisphere temperate ecosystems. These long-term drivers may prove
- critical in shaping future turnover and richness changes in SE Australian ecosystems.
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#### 838 Data accessibility

839 More information on raw data graphical representation can be found in Appendix S1. Five of

the pollen records used for this study (e.g., Paddy's Lake—site ID:18866, Granta pool—site
ID:10047, Lake Dove—site ID:19924, Lake St. Clair—site ID:10085) were downloaded

from the Neotoma Paleoecology Database and other pollen records are currently being

843 uploaded to the same database. Raw surface pollen and vegetation survey data can be

accessed directly by contacting Michela Mariani (University of Nottingham, UK). The 61

southeast Australian mainland charcoal records (and site ID) used in this study can be directly

accessed through the Global Paleofire Database in 'R' using the following code after loading

847 the 'paleofire' package: ID=pfSiteSel(lat>-39, lat<(-28), long>140,

848 long<154,date\_int<=3000). Tasmanian charcoal records (13 sites) can be accessed using the

 $\label{eq:selection} \texttt{following code: ID=pfSiteSel(lat>-42, lat<(-40.17), long>140, long<154, date_int<=3000).}$ 

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Table 1: Percentiles of the squared chord dissimilarities for the mainland and Tasmania
training set after analogue matching. SCD score above 5% reflect dissimilar vegetation
(Simpson, 2007). Averaged threshold (5%) represents SCD threshold for SE Australia.

 $\frac{1\%}{2\%} = \frac{5\%}{5\%} = \frac{10\%}{20\%}$ 

	1%	2%	5%	10%	20%
SE Mainland	0.104	0.109	0.143	0.192	0.268
Tasmania	0.162	0.208	0.259	0.327	0.405
Average threshold			0.201		

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BS		Turnover (SC	CD)		Richness		
BS	Variable	Std. Error	t value	<b>Pr(&gt; t )</b>	Std. Error	t value	<b>Pr(&gt; t )</b>
DO	Fire	2.33489	-4.331	5.74E-05*	0.25521	-1.771	0.0816
	P:E	1.79148	0.103	0.918	0.19582	-1.563	0.1233
	Sea	0.09587	0.276	0.7839	0.01048	-6.336	3.35E-08*
	Temp	0.17516	-1.775	0.0809	0.01915	-1.744	0.0863
	ENSO	0.16319	0.22	0.8267	0.01784	-1.812	0.075
SEM	Fire	2.07872	1.516	0.134648	0.340735	4.511	3.06E-05*
	P:E	0.76058	-3.204	0.002173*	0.124671	-4.768	1.22E-05*
	Sea	0.03703	3.545	0.000769*	0.00607	15.909	< 2E-16*
	Тетр	0.07251	1.694	0.095512	0.011885	4.455	3.72E-05*
	ENSO	0.06799	2.97	0.004276*	0.011144	0.131	0.896
Tas	Fire	0.67282	0.608	0.545684	0.40109	-0.646	0.520714
	P:E	0.41441	4.292	6.56E-05*	0.24704	-0.967	0.337668
	Sea	0.02777	-12.654	< 2E-16*	0.01655	-2.517	0.014518*
	Тетр	0.03488	-4.769	1.22E-05*	0.02079	-2.963	0.004361*
	ENSO	0.03497	2.145	0.035972*	0.02085	3.975	0.000192*

Table 2a: Summary GLMs for the selection of significant predictors of turnover (SCD) and
 richness in Bass Strait (BS), southeast mainland (SEM) and Tasmania (Tas).

**Table 2b:** GLMs relating vegetation turnover (SCD) and richness (Rich) as a function of significant predictors identified for southeast mainland (SEM) and Tasmania (Tas) in Table 2a, which included temperature (temp), ENSO, fire, precipitation:evaporation (P:E) and sealevel change (sea). Models are ranked based on Akaike's information criterion corrected for small sample sizes (AICc) and delta AICc. Also shown are percentage of deviance explained and marginal R<sup>2</sup> (Rm). For Bass Strait, only fire (sea-level change) was significantly (p<0.05) related to turnover (richness) during variable selection in Table 2a. Therefore, fire and sea-

		Model	AICc	dAICc	Rm	%Deviance
BS	1	SCD ~ Fire	-	-	-	-
	1	Rich ~ Sea	-	-	-	-
SEM	1	$SCD \sim ENSO + P:E + Sea$	185.9702	0	49.6	70.6
	2	$SCD \sim ENSO + Sea$	186.6286	0.658	45.15	62.4
	3	$SCD \sim ENSO + P:E$	188.6671	2.697	42.2	52.5
	4	$SCD \sim Sea + P:E$	197.0497	11.079	28.34	63.1
		Null	205.2042	19.234	0	
	1	Rich ~ Sea+Temp+P:E+Fire	61.152	0	90.79	95.8
	2	$Rich \sim Sea + Temp + P:E$	69.40632	8.254	87.87	94.8
	3	$Rich \sim Sea + Temp$	69.78211	8.63	86.92	94.1
	4	$Rich \sim P:E+Sea$	75.81581	14.664	84.79	94.8
	5	Rich ~ Fire+Sea	81.52133	20.369	82.46	87
	6	$Rich \sim Fire + Temp$	121.4014	60.249	52.47	0
	7	$Rich \sim P:E+Temp$	129.9882	68.836	41.09	0
	8	$Rich \sim Fire + P:E$	137.1007	75.949	29.63	0
		Null	146.3388	85.187	0	
Tas	1	SCD ~ Sea+Temp+P:E+ENSO	141.0679	0	93.25	96.4
	2	$SCD \sim Sea + Temp + P:E$	145.0892	4.021	91.96	96.7
	3	$SCD \sim Sea + P:E$	150.2798	9.212	90.17	84.2
	4	$SCD \sim Sea + Temp$	153.851	12.783	89.23	92
	5	$SCD \sim ENSO + Sea$	164.5718	23.504	85.82	0
	6	$SCD \sim Temp + P:E$	219.5086	78.441	42.02	
	7	$SCD \sim ENSO + Temp$	221.9746	80.907	38.23	
	8	$SCD \sim ENSO + P:E$	235.4719	94.404	12.69	
		Null	235.9219	94.854	0	
	1	Rich ~ Sea+Temp+ENSO	94.76423	0	49.2	63.2
	2	$Rich \sim ENSO+Sea$	96.20435	1.44	43.59	59.8
	3	$Rich \sim Sea + Temp$	101.6355	6.871	35.16	0
	4	$Rich \sim ENSO + Temp$	110.4195	15.655	18.78	57.3
		Null	113 6897	18.925	0	

level change are taken as the major driver of turnover and richness in the region, respectively.



Figure 1: Map showing site location of temporal and spatial data used in this study. Black
dots represent sites of fossil pollen records on the mainland, Bass Strait islands and
Tasmania. Key to site codes is included in Table S1 in Appendix S1. Yellow shading
indicates area where modern vegetation survey and surface pollen were sampled on the
mainland and Tasmania (Mariani, Connor, et al., 2016).



![](_page_23_Figure_1.jpeg)

**Figure 2:** Scatter plot showing positive relationship (based on Mantel test) between

dissimilarities of modern vegetation and surface pollen in SE mainland and Tasmania (a–b),
 and similar pattern is observed using distance-weighted plant abundance (DWPA) of major

vegetation and pollen taxa (c–d). Richness comparison (e) between plants and pollen using all

samples from mainland and Tasmania, showing relationship distribution along elevation

gradients. Regression line shows best relationship (r=0.53) with the removal of high-

elevation sites >800 m asl (following Felde et al. 2016).

![](_page_24_Figure_1.jpeg)

Figure 3: Histogram showing Squared Chord Distances (SCDs) of similar and dissimilar
vegetation groups/sites on the SE mainland and Tasmania, used in developing the empirical
turnover threshold. In general, similar vegetation types (grey) have an SCD < 0.3, while most</li>

dissimilar vegetation groups/sites are above SCD > 0.3. The empirical threshold of 0.2 SCD

906 indicates significant vegetation turnover in pollen assemblages (Table 1).

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![](_page_24_Figure_6.jpeg)

- 909 Figure 4a: Summary Venn diagrams showing major predictors of turnover and richness
- based on GLM results in Table 2b. Predictors in bold explain turnover and/or richness inmore than one region.

![](_page_25_Figure_0.jpeg)

Figure 4b: Lateglacial and Holocene rates of turnover (black) and richness (purple) in SE
Australia in relation to composite charcoal concentration z-score (yellow), number of El Niño
Southern Oscillation (ENSO) events (Moy et al., 2002), precipitation:evaporation (available
moisture)(Wilkins et al., 2013), relative Holocene sea levels of coastal SE Australia (Sloss et
al., 2007), and EPICA Dome C temperature (Stenni et al., 2001). Grey histograms represent

- the number of radiocarbon dates from human occupation sites, drawn from the Australia
- 920 Archaeology data base (Williams et al., 2014). Black dash line represents the empirical
- 921 threshold (SCD > 0.2) for significant vegetation turnover. Each average SCD point represents
- 922 dissimilarity between adjacent time bins e.g., the average SCD point at ~1000 represents the
- 923 dissimilarity between 500 and 1500 cal yr BP.
- 924

![](_page_26_Figure_6.jpeg)

Figure 5: Testing the Intermediate Disturbance Hypothesis: Generalized Additive Model,
showing relationships between charcoal composite (fire) and reconstructed richness in Bass
Strait, Tasmania and the southeast mainland.

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