

1 **Local and regional drivers of environmental changes in two subtropical montane**
2 **ponds (central China) over the last two centuries**

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13 **HIGHLIGHTS**

14 Primary producers of two subtropical montane ponds exhibited changes from the 1900s.

15 The drainage versus seepage hydrologies of each pond were important modulators of
16 primary production.

17 Temperature and nitrogen deposition interacted with local catchment conditions to
18 influence ecosystem response

19

20 **Authors Contributions:** XC and SM conceived and designed the study. XC, SM, JP,
21 TZ, XB, and LZ collected and analysed the data. XC and SM wrote the manuscript.

22 **Abstract:** Central China, one of the Earth's distinctive ecoregions due to its endemic
23 subtropical biota, has been subjected to enhanced nitrogen deposition and climate
24 warming during recent decades. However, the extent and timescale of ecological
25 changes are largely unexplored. Multiproxy analyses (diatoms, photosynthetic
26 pigments and geochemistry) of ²¹⁰Pb-dated sediment cores from two shallow ponds
27 within an alpine basin (central China) were used to investigate the response of primary
28 producer communities to external stressors during the last two centuries. The study sites
29 include one drainage pond and one seepage pond. Both ponds exhibited unambiguous
30 changes in production and composition of photoautotrophs since the early 20th century,
31 which are linked to climate warming, nitrogen deposition and local factors (e.g. lake
32 morphometry, desiccation and macrophyte). Although primary producers responded to
33 regional warming and nitrogen deposition, the ecological responses differed among
34 ponds due to local factors. In the deeper seepage pond, light attenuation due to terrestrial
35 organic matter input caused recent decreases in carotenoids and small fragilarioid taxa.
36 In contrast, the co-occurrence of euterrestrial and tycho planktonic diatoms in the
37 shallower drainage pond was indicative of its hydrological instability. Our results
38 indicate that subtropical montane ponds in the East Asian monsoon region appear to be
39 strongly influenced by the combined effects of local (e.g. catchment-lake connectivity)
40 and regional driving forces (e.g. warming and nitrogen deposition).

41

42 **Key words:** diatom; pigment; nitrogen deposition; climate warming; lake morphometry;
43 palaeolimnology

44 INTRODUCTION

45 Montane lakes are remote aquatic ecosystems characterized by prolonged ice
46 cover, short growing seasons, dilute water chemistry and low primary production
47 (Catalan and others 2013; Wolfe and others 2013). As such, montane lakes may act as
48 sentinels for a variety of environmental stressors, such as climate warming,
49 hydrological alteration, and nitrogen deposition (Moser and others 2019). Algae, the
50 dominant primary producers in aquatic ecosystems, are of global significance for
51 biogeochemical cycling, and are an invaluable bioindicators of ongoing global change
52 due to their wide distribution, high diversity, and sensitivity to habitat alteration
53 (Rühland and others 2015). Local and regional driving forces may induce shifts in
54 primary producers of montane water bodies, with substantial implications for higher
55 trophic levels and ecosystem structure and function (Catalan and others 2013; Rühland
56 and others 2015; Hobbs and others 2016).

57 A number of processes have been proposed as contributors to observed changes in
58 primary producer communities of alpine lakes. Firstly, the elevated inorganic nitrogen
59 concentrations due to atmospheric deposition has increased primary production in lakes
60 (Bergström and Jansson 2006). Secondly, climate warming is proposed to enhance
61 primary production by prolonging the growing season (Rühland and others 2015).
62 Thirdly, changes in local factors, such as catchment-lake hydrological connection, are
63 important driving forces for shifts in primary producers (Hu and others 2018;
64 Moorhouse and others 2018; Hadley and others 2019). For example, vegetation and soil
65 development can increase the influx of terrestrial coloured dissolved organic matter
66 (CDOM) to lakes (McGowan and others 2018a), imposing a dual effect on primary
67 producers, via nutrients (positively) and light (negatively) (Bergström and Karlsson
68 2019). Due to the complexities of these processes, the ecological responses of small

69 and shallow montane lakes may vary spatially and temporally (Catalan and others 2013;
70 Rühland and others 2015).

71 Present knowledge on the effects of multiple stressors on primary producers mainly
72 derives from Arctic, European and North American montane lakes (Binford and
73 others 1987; Caballero-Miranda 1996; Saros and others 2005; Catalan and others 2013;
74 Rühland and others 2015; Hobbs and others 2016; Smol 2016; Moorhouse and others
75 2018), while there is a paucity of information on montane lakes in subtropical East Asia
76 (Hu and others 2018; Wang and others 2020). Montane lakes are considered to be
77 relatively pristine, nutrient-limited and seasonally frozen, and hence more sensitive to
78 influxes of energy or mass from atmospheric changes (Vogt and others 2011; Smol
79 2016; Hadley and others 2019). Small and shallow lakes may show more pronounced
80 biological responses to global environmental changes than deep lakes, due to their
81 unique features of low water volumes and hydrological sensitivity resulting from high
82 catchment: lake area ratios (Spaulding and others 2015; Rantala and others 2017; Giles
83 and others 2018).

84 In monsoonal regions where rainfall patterns are generally intense and highly
85 seasonal, the transfer of carbon and nutrients between the watershed and lake is likely
86 to be highly efficient. However, the ecological responses of shallow lakes to
87 eutrophication and hydrological changes are known to be complex because of strong
88 benthic-pelagic interactions, which can result in distinctive macrophyte-dominated and
89 turbid states (Squires and others 2002; Vadeboncoeur and others 2003; Scheffer and
90 Jeppesen 2007). Long-term (millennial-scale) changes in lake level of montane lakes
91 are associated with shifts in monsoonal cycles, and lake level variability has been linked
92 to changes in ecosystem state in shallow lakes (REFS). Strong potential exists, therefore,

93 for abrupt ecosystem transitions in subtropical montane ponds which may be detectable
94 in longer term records as recovered by palaeolimnology (Briddon and others 2020).

95 Recently there has been increasing interest in environmental changes in montane
96 lakes of China (Hu and others 2014; Chen and others 2018; Yan and others 2018; Kang
97 and others 2019). In northern China, Chen and others (2018) found that rising primary
98 production was consistent with enhanced nitrogen deposition in a montane lake after
99 the 1980s. In contrast, the responses of algal communities to atmospheric deposition
100 are more muted in alpine lakes of southwestern China, probably mediated by catchment
101 processes (Hu and others 2014, 2018; Kang and others 2019). Algal communities of
102 deeper stratified lakes shift mainly due to weak mixing and strong stratification caused
103 by warming in both northern and southern China (Chen and others 2014; Yan and others
104 2018). For achieving a deeper understanding of the combined effects of local and
105 regional driving forces, there is a necessity to decipher the response of primary producer
106 communities across a broader geographic area. Central China, located in the East Asian
107 monsoon region with synchronous changes in seasonal rainfall and temperature,
108 displays a rapid warming trend of 1.5 °C/100 years during the last century (Wang and
109 others 2009). In Central China, nitrogen deposition is dominated by wet deposition and
110 demonstrates the world's greatest increase rate (Ackerman and others 2019). The
111 combination of local and regional drivers may cause substantial shifts in primary
112 producer communities of montane lakes in central China.

113 In this study, we present fossil diatoms, preserved pigments and geochemical data
114 ($\delta^{13}\text{C}$, $\delta^{15}\text{N}$, total organic carbon and total nitrogen) in sediment cores from two shallow
115 but hydrologically different montane ponds (a seepage pond and a drainage pond with
116 an outlet) in an alpine basin located in central China. Seepage ponds without surface
117 outlets should enhance the accumulation of organic matter due to prolonged water

118 residence times, in comparison with drainage ponds (Spaulding and others 2015). We
119 hypothesize that light attenuation due to enhanced CDOM accumulation in the slightly
120 deeper seepage pond will inhibit the growth of some benthic algae, whereas primary
121 producers (including macrophytes and phytobenthos) in the very shallow drainage pond
122 would be free of light limitation and so probably more directly responsive to changing
123 nutrient fluxes. The objectives of this study were to (1) investigate changes in primary
124 producer communities of two montane ponds during the last two centuries; (2) evaluate
125 potential effects of local (e.g. catchment-lake hydrological connectivity) and regional
126 (e.g. climate warming, rainfall and nitrogen deposition) drivers on primary producer
127 communities.

128

129 **MATERIALS AND METHODS**

130 **Study area**

131 Congping Basin (31°24'12"N, 110°03'25"E, 2080 m a.s.l.) is located in the Three
132 Gorges Area (central China) that is a transition zone between the western mountains
133 and the eastern plain of China (Fig. 1A). Bedrock within the basin is Triassic limestone,
134 while the surrounding highlands feature Permian sandstone and sandy shale. The
135 regional climate is strongly influenced by the subtropical monsoon, with cold, dry
136 winters and cool, wet summers. There is a weather station at the nearby Dajiuhu
137 Wetland (31°28'50"N, 110°00'09"E, 1758 m a.s.l.; 10 km away from Congping Basin).
138 Mean annual air temperature is ~8°C, and mean annual precipitation is ~1700 mm at
139 Dajiuhu weather station (Fig. 2a; Li and others 2019). According to the vertical lapse
140 rate of temperature of 0.5 °C/100 m, air temperature at Congping would be ~1.5°C
141 colder than at Dajiuhu. Mean monthly air temperature at Congping Basin is estimated
142 to range from -6 °C to -2 °C between December and February (Fig. 2a), and hence water

143 bodies are ice-covered during the winter. Over the last century, temperature increased
144 remarkably from the early 20th century, followed by a slight decrease until recent
145 warming since the 1980s (Fig. 2b; Wang and others 2009). In contrast, seasonal
146 precipitation displayed fluctuant trends over the last century, with an increasing trend
147 in winter precipitation after 1980 (Fig. 2c). The mountains around the basin are
148 characterized by montane conifers (e.g. *Pinus*, *Picea* and *Abies*), while the lowland
149 vegetation within the basin is alpine meadow scattered with more than ten shallow
150 ponds (Fig. 1B). The alpine meadow is dominated by *Carex*, *Allium* and *Sphagnum*.
151 Surface soil in the basin is Alfisol, which is characterized by high proportions of SiO₂
152 (57%), Al₂O₃ (13%) and organic matter (18%, estimated by loss on ignition at 550°C),
153 low contents of MgO (1.7%), CaO (0.6%) and Na₂O (0.8%), and relatively low pH (5.4)
154 (Mo 2019).

155 These small ponds are developed from karst depressions, and there is no knowledge
156 of these lakes drying within the last ~50 years. Humans have had relatively little direct
157 effect on the landscape, with the exception of the expedition. Congping (CP) and
158 Mulong (ML) ponds are selected as study sites (two unnamed ponds on published maps,
159 Fig.1), and both of them are weakly-acidic, humic, oligotrophic, electrolyte-poor and
160 fishless (Table 1). CP is a much shallower (20cm depth) drainage pond, covered with
161 *Sparganium stoloniferum* (coverage of ~30%) with an outlet at the east, while ML is a
162 deeper (1.5m depth) seepage pond with *Sphagnum* development around lake margins
163 (Fig. 1).

164

165 **Sample collection and laboratory analysis**

166 Parallel sediment cores were retrieved from CP using a Russian corer in June 2016,
167 and from ML using a Kajak gravity corer in September 2017, respectively. The gravity

168 corer was most suitable for collecting short cores from soft sediments in the deeper ML
169 Pond. The length of sediment cores collected from CP and ML was 50 cm and 33 cm,
170 respectively. The sediment cores were sectioned immediately in field at 1-cm intervals.
171 The samples were stored at <4 °C until analysis; pigment sub-samples were stored
172 frozen at -20 °C prior to laboratory analysis. Sediment samples were analysed for
173 radioactive isotopes (^{210}Pb , ^{226}Ra and ^{137}Cs), total organic carbon (TOC), total nitrogen
174 (TN), carbon and nitrogen isotopes, particle size spectra, diatoms and pigments.

175 The radioactivities of ^{210}Pb , ^{226}Ra and ^{137}Cs were measured at 2-cm intervals in ML
176 and at 4-cm intervals in CP on a gamma spectrometer (Ortec HPGe GWL) following
177 the procedures described in Appleby (2001). Following treatment with 10% HCl to
178 remove carbonate, the samples were rinsed 3 times in distilled water and oven dried at
179 45°C. All dried samples were powdered in preparation for measurement of TOC, TN,
180 $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$. TOC and TN were measured by combustion with an elemental analyser
181 (vario EL cube), with reference to standard samples (precision of $\pm 0.1\%$). $\delta^{13}\text{C}$ and
182 $\delta^{15}\text{N}$ of organic matter were determined using a Thermo Fisher Scientific stable isotope
183 mass spectrometer (DELTA V advantage), with reference to standard samples
184 (precision of $\pm 0.3\%$). TOC, TN, $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ were analysed at intervals of every 2-
185 cm. Measured $\delta^{13}\text{C}$ values were corrected for the Suess effect (the decrease in $\delta^{13}\text{C}$ of
186 the atmosphere due to the release of CO_2 with low ^{13}C content by fossil fuel burning)
187 following the polynomial correction factor described by Neumann and others (2002).
188 Particle size spectra of samples were measured at 1-cm intervals using a Malven
189 automated laser optical particle-size analyser (Mastersizer-3000) after the removal of
190 carbonates by 10% HCl and organic matter by 30% H_2O_2 .

191 Diatom samples were treated with HCl (10%) and H_2O_2 (30%) following the
192 standard procedures (Battarbee and others 2001), and diatoms were counted using an

193 Olympus BX53 microscope with an oil immersion objective at 1000×. A minimum of
194 300 valves were counted in each sample. Diatom taxonomy mainly followed Krammer
195 and Lange-Bertalot (1986-1991). For pigment analyses, freeze-dried weighed
196 sediments (~ 200 mg) were extracted in a mixture of acetone: methanol: water (80: 15:
197 5) by leaving in a -20 °C freezer for 24 h. Extracts were filtered with a 0.22- μ m-pore
198 PTFE filter, dried under N₂ gas, re-dissolved in an acetone: ion-pairing reagent:
199 methanol mixture (70: 25: 5) and then injected into an Agilent 1200 series high-
200 performance liquid chromatography unit. Pigments were identified and quantified
201 based on their retention time and absorption spectra, compared with pigment standards
202 (Leavitt and Hodgson 2001; McGowan 2013). To calculate pigment concentrations,
203 chromatogram peak areas were calibrated using commercial standards (DHI Denmark).
204 Linear regressions ($r > 0.99$) of mass pigment injected (as volume \times concentration) and
205 peak area were used for calibration (Leavitt and Hodgson 2001). Lutein and zeaxanthin
206 did not separate in this study and so were reported here together. All concentrations
207 were expressed as nmol g⁻¹ organic carbon.

208

209 **Data analysis**

210 Zonation schemes were developed for diatoms and pigments using the broken-stick
211 model (Bennett 1996) using stratigraphically constrained cluster analysis (CONISS) in
212 the Tilia program (Grimm 1991). Seasonal climate anomalies in the study area were
213 collected from Atlas of Seasonal Temperature and Precipitation Anomalies over China
214 (1880-2007) (Wang and others 2009). An early detrended correspondence analysis
215 (DCA) showed that the gradient lengths of the diatom and pigment data from both
216 ponds were less than 2 standard deviations, and hence principal component analysis
217 (PCA) was used to summarise the major trends and a linear model (redundancy analysis,

218 RDA) was used to reveal the correlations between primary producer communities and
219 explanatory variables. Considering the uncertainty of the ^{210}Pb chronologies prior to ca.
220 1850 and the timescale of available paleoclimate data, only data from ca. 1880 were
221 used in the RDAs. In the RDAs, diatoms and pigments were used as response variables,
222 and the explanatory factors included TOC, TN, $\delta^{13}\text{C}$, $\delta^{15}\text{N}$, anomalies in seasonal
223 temperature and precipitation. Forward selection, with the false discovery rate
224 correction and the Monte Carlo tests ($p < 0.05$, $n = 999$ unrestricted permutations) was
225 used to reduce the explanatory factors to those correlating significantly with response
226 variables. Ordination analyses were performed using CANOCO 5.0 (Šmilauer and Lepš
227 2014).

228

229 **RESULTS**

230 **Lithology and chronology**

231 Sediments in the CP core consisted of grey silty gyttja between 50 and 40 cm,
232 yellow-grey gyttja between 40 and 22 cm, yellow gyttja with many plant remains in the
233 uppermost 22 cm. Sediments in the ML core were mainly composed of black gyttja,
234 with many plant remains in the bottom layers (below 26 cm). Sedimentary particle size
235 spectra, i.e. clay ($< 4 \mu\text{m}$), silt ($4 - 64 \mu\text{m}$) and sand ($> 64 \mu\text{m}$), are shown in Fig. 3.
236 Sediments were dominated by silt and clay in the drainage pond CP, and by silt and
237 sand in ML, the seepage pond. Concentrations of excess ^{210}Pb ($^{210}\text{Pb}_{\text{ex}}$) were calculated
238 by subtracting the ^{226}Ra supported ^{210}Pb concentrations from the total ^{210}Pb activities
239 (Appleby 2001). The $^{210}\text{Pb}_{\text{ex}}$ profile is non-monotonic in both ponds (Fig. 3). One
240 possible explanation is that hydrological changes due to monsoonal climate variability
241 have influenced soil erosion and so sedimentation rates in these alpine ponds.
242 Alterations in initial concentrations of ^{210}Pb and sedimentation rates indicate that both

243 the constant initial concentration (CIC) and constant flux constant sedimentation
244 (CFCS) models fail to yield a reliable chronology (Appleby 2001). The constant rate of
245 supply (CRS) allows changes in the initial concentrations and the sedimentation rates
246 at different layers, and hence the CRS model yields more realistic results than the CIC
247 and CFCS models (Appleby 2001). The ^{137}Cs activities reached a peak at 11.5 cm in
248 CP core (Fig. 3a) and at 12.5 cm in ML core (Fig. 3b), which can be assigned to the
249 1963 maximum atmospheric global fallout as a result of nuclear weapons testing
250 (Appleby 2001). In order to improve the accuracy of the chronology, the ^{137}Cs 1963
251 peak was used as an independent dated reference level. The final age-depth model was
252 calculated using the CRS model, together with the ^{137}Cs 1963 peak as a reference level.
253 Mass accumulation rate (MAR) in CP core increased to a peak around the 1880s, and
254 reached consistently low rates from the 1970s onwards after a visible decrease between
255 the 1880s and the 1970s. In contrast, MAR in ML core displayed a rising trend toward
256 the core tops.

257

258 **Diatom stratigraphy**

259 Over 143 diatom taxa were identified from the two sediment cores, with a relatively
260 high species richness of taxa which prefer oligotrophic conditions. The diatom
261 assemblages were dominated by benthic taxa, such as *Staurosira construens* var. *venter*
262 (Ehrenberg) Hamilton, *Navicula cryptotenella* Lange-Bertalot, *Sellaphora pupula*
263 (Kützing) Mereschkowsky, and *Pinnularia microstauron* (Ehrenberg) Cleve, with
264 frequent occurrences of *Aulacoseira alpigena* (Grunow) Krammer (Fig. 4). The two
265 ponds had different taxonomic and temporal changes in diatom composition, in spite of
266 their adjacent locations.

267 In CP, diatom assemblages were characterized by euterrestrial species before
268 ca.1910 (Fig. 4a), such as *Hantzschia amphioxys* (Ehrenberg) Grunow and *Pinnularia*
269 *borealis* Ehrenberg. The most pronounced changes occurred between 1910 and 1922,
270 with the replacement of euterrestrial species by opportunistic species (i.e. *S. construens*
271 var. *venter* and *Achnantheidium minutissimum* (Kützing) Czarnecki). Between 1922 and
272 1980, *A. alpigena*, *Cymbopleura naviculiformis* (Auerswald) Krammer, *Eunotia*
273 *mucophila* (Lange-Bertalot et Nörpel) Lange-Bertalot increased gradually at the
274 expense of a visible decrease in *S. construens* var. *venter*. The subdominant species
275 *Gomphonema parvulum* (Kützing) Kützing and *S. pupula* increased to a peak at around
276 1930, and gradually decreased thereafter. After 1980, diatom communities were co-
277 dominated by *S. construens* var. *venter* and *A. alpigena*, and remained relatively stable.

278 In ML, epiphytic or epipelagic species were dominant throughout the record,
279 including *S. construens* var. *venter*, *N. cryptotenella*, *S. pupula* and *P. microstauron*
280 (Fig. 4b). After 1955, epiphytic diatoms increased towards the core tops, such as
281 *Brachysira brebissonii* Ross, *Eunotia bilunaris* (Ehrenberg) Schaarschmidt and
282 *Eunotia exigua* (Brébisson) Rabenhorst. *S. construens* var. *venter* remained the
283 dominant species, despite its recent decrease. The subdominant species *N. cryptotenella*
284 increased to a peak at around 1963, followed by a gradual decrease.

285

286 **Pigment stratigraphy**

287 In CP, all pigments exhibited similar patterns with low concentrations before 1925.
288 Thereafter they all began to increase, with further visible increases after 1960 (Fig.5a).
289 In ML, there were two obvious shifts in pigment composition (Fig. 5b). Before 1948,
290 pigment composition was characterized by high concentrations of alloxanthin
291 (cryptophytes), fucoxanthin and diatoxanthin (siliceous algae), lutein-zeaxanthin (from

292 plants, chlorophytes and cyanobacteria), pheophytin *b* (plants and chlorophytes),
293 pheophorbide *a* (grazing or degradation of Chl *a*). Lutein-zeaxanthin increased to a
294 peak between 1948 and 1980, concurrent with relatively stable concentrations of other
295 pigments. Chl *a* and Chl *b* increased exponentially after 1980, with concurrent
296 decreases in carotenoid pigments.

297

298 **Geochemistry records**

299 The changing TOC and TN contents are accompanied by significant variations in
300 the isotopic composition of organic matter (Fig. 6). Sedimentary $\delta^{15}\text{N}$ values became
301 significantly lighter towards the sediment surface in the two lakes, i.e. from 0.3‰ to -
302 1.5‰ in ML and from 6.4‰ to 1.6‰ in CP. Sedimentary TN showed a linear increase
303 in both lakes after the 1900s, especially a recent acceleration since the 1980s. The
304 Suess-corrected $\delta^{13}\text{C}$ changed in the opposite directions in the two lakes, i.e. an increase
305 in ML but a decrease in CP. TOC gradually increased by ca. 10% in CP, whereas it
306 decreased slightly before the 1970s, followed by a rebound in ML. The molar ratios of
307 carbon to nitrogen (C/N) ranged from 11 to 20 in both lakes. C/N ratios in CP were
308 variable, but generally increased before 1960 and decreased thereafter; while the ratios
309 were more stable and exhibited a gradual decrease in ML.

310

311 **Multivariate analysis**

312 In CP, diatom PCA 1 and pigment PCA 1 captured 47% and 81% of the total
313 variance in diatoms and pigments, respectively. Diatom PCA 1 represented a shift from
314 euterrestrial (e.g. *H. amphioxys* and *P. borealis*) to aquatic taxa, while all the pigment
315 types were positively correlated with pigment PCA 1. In ML, diatom PCA 1 and
316 pigment PCA 1 explained 64% and 40% of the total variance in diatoms and pigments,

317 respectively. Diatom PCA 1 was most strongly correlated with changes in small
318 fragilarioid and moss-attached taxa, while pigment PCA 1 in ML was positively
319 correlated with changes in chlorophylls. Diatom and pigment PCA 1 sample scores of
320 the two lakes displayed obvious changes from the early 1900s, broadly corresponding
321 to the $\delta^{15}\text{N}$ depletion in the two sediment cores, the nitrate enrichment in the Himalayan
322 ice core (Thompson and others 2000), the rising nitrogen content and the $\delta^{15}\text{N}$ (NO_3^-)
323 depletion in the Greenland ice core (Geng and others 2014) (Fig. 7). In the RDA
324 analyses of environment-diatom and -pigment correlations at CP and ML ponds, both
325 diatom and pigment assemblages were significantly correlated with seasonal
326 temperature and $\delta^{15}\text{N}$ but not with changes in total annual rainfall (Fig. 8).

327

328 **DISCUSSION**

329 The Congping basin receives water from the surrounding mountains (local relief ca.
330 300m) and is an interconnected mosaic of alpine meadows, wetlands and ponds. Such
331 heterogeneity is driven by localised conditions across the basin and is important in
332 supporting terrestrial and aquatic biodiversity of this region (Chen and others 2012).
333 Our results indicate different conditions in water bodies located within a few hundred
334 metres of one another. Although primary producers responded to the same regional
335 drivers of nitrogen deposition and warming, the ecological consequences differed
336 among ponds (Fig. 9). Differences in hydrological setting, water depth and macrophyte
337 communities between the drainage and seepage ponds are useful in helping to
338 understand the divergent ecological responses.

339

340 **Lake-specific factors**

341 Before the 1900s, high abundances of euterrestrial diatom species (e.g. *H.*
342 *amphioxys* and *P. borealis*) were concomitant with occasional occurrence of
343 tychoplanktonic species (i.e. *A. alpigena*) in the very shallow drainage pond CP,
344 suggesting that it was ephemeral (seasonally dried out) during the dry season (winter),
345 with episodic flooding and water-level rises in the wet season (summer). The near
346 absence of pigments apart from alteration products of chlorophylls *a* and *b* (pheophytin
347 *a* and *b*) corroborates the idea of seasonal desiccation because exposure to oxygen and
348 light accelerates pigment degradation (Leavitt and Hodgson 2001). A seasonally
349 desiccated wetland would most likely be dominated by wetland plants such as sedges,
350 rushes and mosses which produce chlorophylls *a* and *b*, consistent with the pheo-
351 pigments recorded. Due to water table drawdown in the dry season and wind-driven
352 mixing, kinetic isotope fraction during protein hydrolysis likely contributed to the ¹⁵N-
353 enrichment in oxic conditions (Lehmann and others 2002) before the 1900s. Our
354 evidence suggests, therefore, that there were pronounced hydrological changes in CP,
355 the drainage pond, from ephemeral to more permanently inundated after the 1900s,
356 leading to a rise in the production and preservation of algae-derived carotenoids more
357 commonly associated with shallow freshwater communities (McGowan 2013).

358 The pronounced increase in temperature at this time (Fig. 2b) could be associated
359 with this shift in hydrology. Warmer temperatures can promote the development of
360 vegetation and soil, which could act as giant sponges retaining moisture during the rainy
361 season and steadily supplying water to the ponds during the dry season (Giles and others
362 2018). This could buffer against increased evapotranspiration caused by higher
363 temperatures. Vegetation growth may also restrict drainage pathways, increasing the
364 residence time of the pond, indicating the potential for localised geomorphic effects
365 within the basin (Gurnell 2014). Local changes in hydrology most likely explain the

366 non-significant relationship between rainfall and pigment responses (Fig. 8). Rising
367 abundance of *A. alpigena* in CP after the 1930s indicated an increase in water depth
368 (Fig. 4); in particular, the subdominance of *A. alpigena* and low C/N ratios after the
369 1980s indicated a status of permanent inundation during the ice-free season.
370 Hydrological changes were also evidenced by an increase in coarse particles (Fig. 3).
371 The coarsening grain size may indicate fine suspended particles would be washed out,
372 as rising water levels caused water discharge through the outlet (Fig. 1).

373 In contrast to CP, the deeper seepage pond ML displayed clear decreases in
374 carotenoid pigments and small fragilarioid taxa (i.e. *S. construens* var. *venter*) after the
375 1980s (Figs. 4 and 5). Recent changes in diatom assemblages were significantly
376 correlated with higher $\delta^{13}\text{C}$ (Fig. 8b), suggestive of a linkage to carbon cycling. The
377 ranges of Suess-corrected $\delta^{13}\text{C}$ (between -29.7‰ and -25.7‰) and C/N ratios (from 11
378 to 20) in both ponds are within the range of allochthonous soil organic matter (Meyers
379 and Teranes 2001) and C3 plants (e.g. *Carex* and *Sphagnum*) in the nearby Dajiuhu
380 Wetland ($\delta^{13}\text{C}$ ranging from -29.3‰ to -22.8‰; Liu and others 2018), suggesting that
381 organic matter is mainly sourced from terrigenous inputs, with some contributions from
382 algae. In ML, an increase in $\delta^{13}\text{C}$ after the early 1900s may imply that littoral *Sphagnum*
383 made a larger contribution to the carbon pool, since *Sphagnum* mosses have relatively
384 heavier $\delta^{13}\text{C}$ values than other plants (e.g. *Juncus*, *Polytrichum* and *Sanguisorba*) (Liu
385 and others 2018).

386 Continuous inputs of CDOM attenuate light and may restrict light penetration and
387 inhibit benthic primary productivity (McGowan and others 2018a; Bergström and
388 Karlsson 2019). Higher sedimentary TN and TOC contents in the seepage pond ML
389 may suggest enhanced organic matter accumulation (Fig. 6). Shallowing of the euphotic
390 zone due to terrestrial CDOM inputs, may thus have impeded the growth of benthic

391 algae beneath the euphotic zone (Fig. 9). This is consistent with recent decreases in
392 fossil carotenoids and small fragilarioid species after 1955. After 1980, changes to more
393 diverse periphytic taxa that are associated with littoral habitats and mossy substrates
394 (e.g. *Brachysira*, *Frustulia* and *Eunotia* species) (Chen and others 2016), are inferred
395 to reflect increased littoral habitat availability with longer growing seasons. Such shifts
396 were not observed in CP because CDOM additions could not have a significant effect
397 on light attenuation at the bottom of such a shallow pond (20cm depth).

398 Differences among the pond diatom assemblages are consistent with the
399 hydrological characteristics. For example, *A. minutissimum* and the planktonic *A.*
400 *alpigena* successively increased in the drainage pond CP since water level increased,
401 and are common in snowmelt-fed Swiss alpine basins, whereas *T. flocculosa* was
402 present in the seepage pond ML and may be more common in lakes which are
403 disconnected from riverine influence (Robinson and Kawecka 2005). The diatom
404 assemblages of both ponds were dominated by benthic taxa, including some motile
405 species (e.g. *N. cryptotenella*, *S. pupula*, *P. microstauron* and *A. minutissimum*) that
406 can migrate within biofilms (McGowan and others 2018b). Migration of motile diatoms
407 enables the avoidance of unfavourable conditions (e.g. desiccation, excessive light
408 exposure, grazing and nutrient limitation), to maximise overall fitness and productivity
409 of the biofilm (Consalvey and others 2004).

410 Nutrients may be delivered in pulses to lake margins during snowmelt, which may
411 offer benthic diatoms living at lake margins a competitive advantage over planktonic
412 taxa in these seasonally frozen ponds (McGowan and other 2018b). However, in this
413 region summer monsoon rains deliver the majority of rainfall, supplying benthic
414 diatoms with a regular supply of catchment-delivered nutrients (Hu and others 2018).
415 The majority of the benthic taxa in both ponds would be considered epipellic or

416 periphytic species, suggesting that shifts in the diatom assemblages in the ponds were
417 not strongly associated with changes in macrophyte abundance (Scheffer and Jeppesen
418 2007), but instead driven by changes in water level and nutrients in the drainage pond
419 CP where light was not limiting, and water browning and seasonal water level
420 fluctuations in the seepage pond ML where the relative availability of benthic: pelagic
421 habitat was regulated by light limitation.

422

423 **The linkage to climate variability**

424 Pigment and diatom data were significantly correlated with seasonal temperature,
425 but not with rainfall in both ponds (Fig. 8), suggesting that climate warming stimulates
426 changes in primary producer communities. In shallow water bodies, light penetration
427 to the bottom allows the development of complex benthic communities which respond
428 to warming differently (Spaulding and others 2015). The increasing primary production
429 (inferred from chlorophylls and their derivatives) in both ponds in the early 1900s was
430 compatible with a persistent rise in regional temperature between 1900 and 1920 (Figs.
431 5 and 7). The shortened ice-cover duration under warmer climate is an important factor
432 influencing the growth of primary producers in the study ponds (Fig. 9). Firstly, a longer
433 ice-free season would enhance the terrestrial-aquatic linkage (i.e. runoff and nutrients
434 from land to lake). Secondly, a longer growing season allows more time for the
435 development of primary producer communities, including macrophytes which provide
436 substrates for epiphytes, thus accelerating annual biomass accumulation (Rühland and
437 others 2015). Taken together, warming-related processes may promote primary
438 production of the study ponds, indicated by rising pigment concentrations in the early
439 1900s.

440 Seasonal precipitation was not significantly correlated with pigments and diatoms
441 of both ponds, indicating that precipitation effects were probably mediated by local
442 hydrological factors. For example, groundwater is an important component of the water
443 cycle in limestone areas, and there are lags between rainfall and groundwater recharge
444 which may have been particularly important in the seepage lake (ML). In contrast, it
445 appears that local vegetation development might have influenced the drainage of CP
446 and increased the complexity of the relationship between rainfall and ecological
447 response. For example, lower rainfall before the 1900s probably caused periodic
448 desiccation, subsequently increasing phosphorus availability by mineralization
449 processes of inorganic and organic phosphorus in lake sediment (Reddy and other 2005).
450 An increasing supply of soluble phosphorus would facilitate the growth of eutrophic
451 species (e.g. *C. naviculiformis*, *G. parvulum*, *Stauroneis phoenicenteron* Nitzsch) (cf.
452 Van Dam and others 1994) in CP Pond (Fig. 4a). In addition, an increase in winter
453 rainfall after the 1980s (Fig. 2c) would provide habitats suited to small fragilarioid taxa
454 that can compete well under ice during the winter (Laing and Smol 2000; Lotter and
455 Bigler 2000). This explanation probably accounted for the proliferation of *S. construens*
456 var. *venter* in the upper strata of CP core (Fig. 4a).

457

458 **The linkage to nitrogen enrichment**

459 Sediment $\delta^{15}\text{N}$ has declined progressively in both lakes since the early 20th century,
460 and, despite considerable local heterogeneity and ecological complexity in these ponds,
461 this trend parallels the records of nitrogen deposition observed in other remote Northern
462 Hemisphere lakes (Holtgrieve and others 2011), a Himalayan ice core (Thompson and
463 others 2000), and the Greenland Ice Sheet (Geng and others 2014) (Fig. 7). Although
464 fertilizer use was limited before 1950, inputs from animal manure from the fast-growing

465 animal stocks, and human excreta from the expanding population have increased during
466 the early 20th century (Bouwman and others 2013). Average nitrogen deposition rates
467 over forests in East Asia have increased from less than 2 kg N ha⁻¹ yr⁻¹ in the mid-19th
468 century to more than 3 kg N ha⁻¹ yr⁻¹ in the mid-20th century (Wang and others 2017).
469 Remote montane lakes are often N-limited, which renders them susceptible to the
470 enrichment effects of nitrogen deposition (Bergström and Jansson 2006; Baron and
471 others 2011). Enhanced nitrogen deposition elicits mesotrophic species as N-deposition
472 rates cross a critical load (Saros and others 2011).

473 Carotenoids (e.g. lutein-zeaxanthin) increased gradually in both ponds from the
474 1920s (Fig. 5), suggesting that rising N inputs may have caused nutrient enrichment
475 with higher primary production. Meanwhile, synchronous increases in chlorophylls and
476 their derivatives (e.g. Chl *a* and pheophytin *a*) implied that nutrient enrichment might
477 also promote the growth of macrophytes that contain chlorophylls and their derivatives
478 (McGowan 2013). However, gradual decreases in carotenoids in the seepage pond ML
479 after the 1980s indicated that algal growth might be inhibited by local factors (Fig. 9).
480 Meanwhile, nutrient inputs promoted the development of aquatic plants in the littoral
481 zone, probably accounting for rising concentrations of chlorophylls and their
482 derivatives in ML Pond (McGowan 2013). Although most dominant diatom taxa in
483 both ponds are thought to use inorganic forms of nitrogen, some taxa that can utilise
484 organically bound nitrogen increased obviously after the early-1900s (Tuchman and
485 others 2006), such as the increase of *E. mucophila*, *S. pupula* and *C. naviculiformis* in
486 CP, and the expansion of *E. exigua* and *E. bilunaris* in ML.

487

488 **CONCLUSIONS**

489 This study investigates the response of primary producer communities to local and
490 regional driving forces in two subtropical montane ponds (central China) during the last
491 two centuries. Climate warming and nitrogen deposition have altered biomass and
492 community composition of primary producers, probably mediated by local factors (e.g.
493 lake morphometry and catchment-lake connections). Further warming and nitrogen
494 deposition would possibly increase autotrophic biomass (especially nitrophilous taxa)
495 in these subtropical montane ponds, probably influencing ecosystem structure and
496 function through aquatic food web. The effects of warming and nitrogen deposition on
497 primary producers are mainly deduced from correlational statistical analyses, and
498 previous knowledge of the autoecology of dominant taxa. In order to disentangle the
499 effects of warming and nitrogen deposition, further seasonal monitoring of primary
500 producers are needed in the study region. Therefore, it is essential to conduct
501 palaeolimnological and seasonal monitoring studies in order to track the ecological
502 responses of subtropical montane lakes to changes in local and regional drivers over
503 subtropical East Asia.

504

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513

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703 **TABLE AND FIGURE CAPTIONS**

704 **Table 1** Summaries of environmental conditions in the two study ponds.

705

706 **Figure 1** Maps showing the location of study sites in Asia (A) and local topography
707 (B), and photos of Congping (C) and Mulong (D) ponds. The inserted maps in Figure
708 B show local topography of Congping (the upper) and Mulong (the lower). Congping
709 is dominated by *Sparganium stoloniferum*, with coverage of ~30%. Maps A and B
710 have been modified from the maps downloaded from
711 <http://www.lib.utexas.edu/maps/asia.html> and Google Earth, respectively.

712 **Figure 2** Mean monthly temperature and precipitation between 2016 and 2017 (a) at
713 Dajiuhu Wetland (31°28'50"N, 110°00'09"E, 1758 m a.s.l.; 10 km away from
714 Congping Basin). Mean monthly temperature at Congping (blue line) was calculated
715 based on the vertical lapse rate of temperature of 0.5°C/100 m. Anomalies in
716 seasonal temperature (b) and precipitation (c) in the study area since 1880 were
717 sourced from Wang and others (2009).

718 **Figure 3** Particle size spectra and chronology of sediment cores in Congping (a) and
719 Mulong (b), with mass accumulation rate (MAR, orange line) shown.

720 **Figure 4** Diatom assemblages in Congping (a) and Mulong (b).

721 **Figure 5** Fossil pigment diagrams of Congping (a) and Mulong (b).

722 **Figure 6** Multiple proxies in sediment cores collected from Congping (a) and Mulong
723 (b). Original and Suess effect corrected $\delta^{13}\text{C}$ values are indicated by filled circles
724 and open squares, respectively.

725 **Figure 7** Synthesis of sedimentary records (a-f) with (g) $\delta^{15}\text{N}$ (NO_3^-) and (h) nitrate
726 concentration from the Summit, Greenland ice core (Geng and others 2014), (i)
727 nitrate concentration from the Himalayan ice core (Thompson and others 2000), (j)

728 winter temperature anomalies based on reconstruction (Wang and others 2009).
729 Diatom PCA1 sample scores, pigment PCA1 sample scores, and $\delta^{15}\text{N}$ in Congping
730 (a, c, e) and Mulong (b, d, f) are shown.

731 **Figure 8** Biplots of redundancy analyses, main diatom species and significant variables
732 in CP (a) and ML (b), pigments and significant variables in CP (c) and ML (d).
733 Diatom species abbreviations: Bra bre: *B. brebissonii*, Cym gra: *Cymbella gracilis*,
734 Enc ces: *Encyonopsis cesatii*, Eun bil: *E. bilunaris*, Eun exi: *E. exigua*, Eun muc: *E.*
735 *mucophila*, Fru rho: *Frustulia rhomboides*, Gom par: *G. parvulum*, Han amp: *H.*
736 *amphioxys*, Nav cry: *N. cryptotenella*, Nei amp: *Neidium ampliatum*, Pin bor: *P.*
737 *borealis*, Pin mic: *P. microstauron*, Pin vir: *Pinnularia viridis*, Sel pup: *S. pupula*,
738 Sta ven: *S. construens* var. *venter*, Sta pho: *Stauroneis phoenicenteron*, Tab flo:
739 *Tabellaria flocculosa*.

740 **Figure 9** Schematic diagram of some possible effects of climate warming and nitrogen
741 deposition on primary producer communities. Effects of nitrogen deposition and
742 warming either inhibit (-) or stimulate (+) response variables.

743

744

	Congping	Mulong	Congping	Mulong
Sampling date	June 2016	June 2016	Sep. 2017	Sep. 2017
Latitude (N)	31°24'13.58"	31°24'10.03"	31°24'13.58"	31°24'10.03"
Longitude(E)	110°03'35.83"	110°03'17.89"	110°03'35.83"	110°03'17.89"
Altitude (m a.s.l.)	2073	2078	2073	2078
Water depth (m)	0.2	1.5	0.2	1.5
Secchi depth (m)	0.2	0.5	0.2	0.5
Area (m ²)	360	380	360	380
pH	6.17	5.17	6.43	5.83
Conductivity (μS cm ⁻¹)	12	9	23	12
PO ₄ ³⁻ (μg L ⁻¹)	4	2	3	2
DOC (mg L ⁻¹)	18.0	12.3	n.a.	n.a.
NO ₃ ⁻ (μg L ⁻¹)	73	22	115	25
K ⁺ (mg L ⁻¹)	0.4	-	1.39	0.13
Ca ²⁺ (mg L ⁻¹)	1.04	0.86	1.22	0.69

Na ⁺ (mg L ⁻¹)	0.71	0.26	0.78	0.07
Mg ²⁺ (mg L ⁻¹)	0.27	0.19	0.25	0.12
Si (mg L ⁻¹)	0.17	0.43	0.87	0.52

-The value was below detection limit. n.a. Environmental factors were not measured.

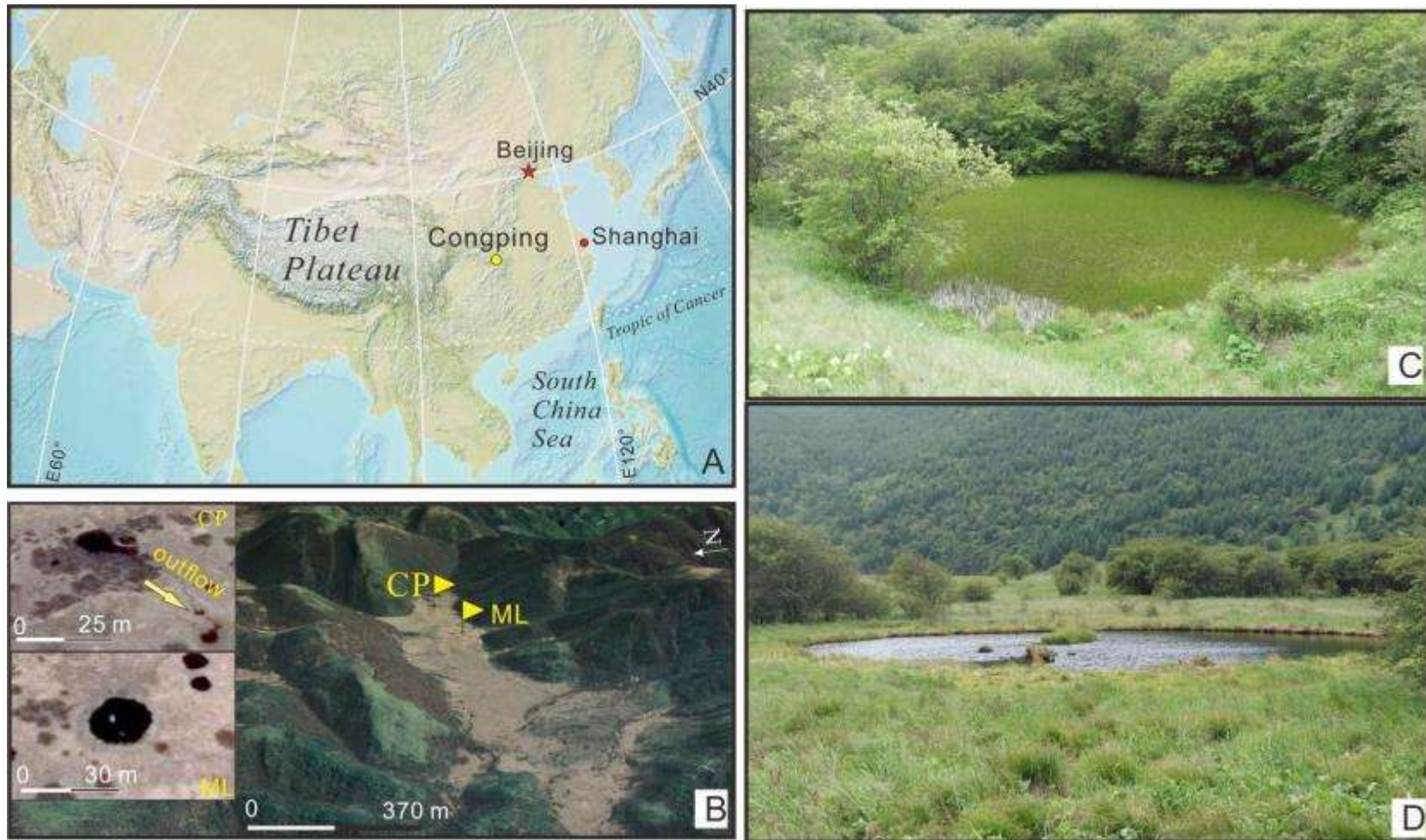


Figure 1

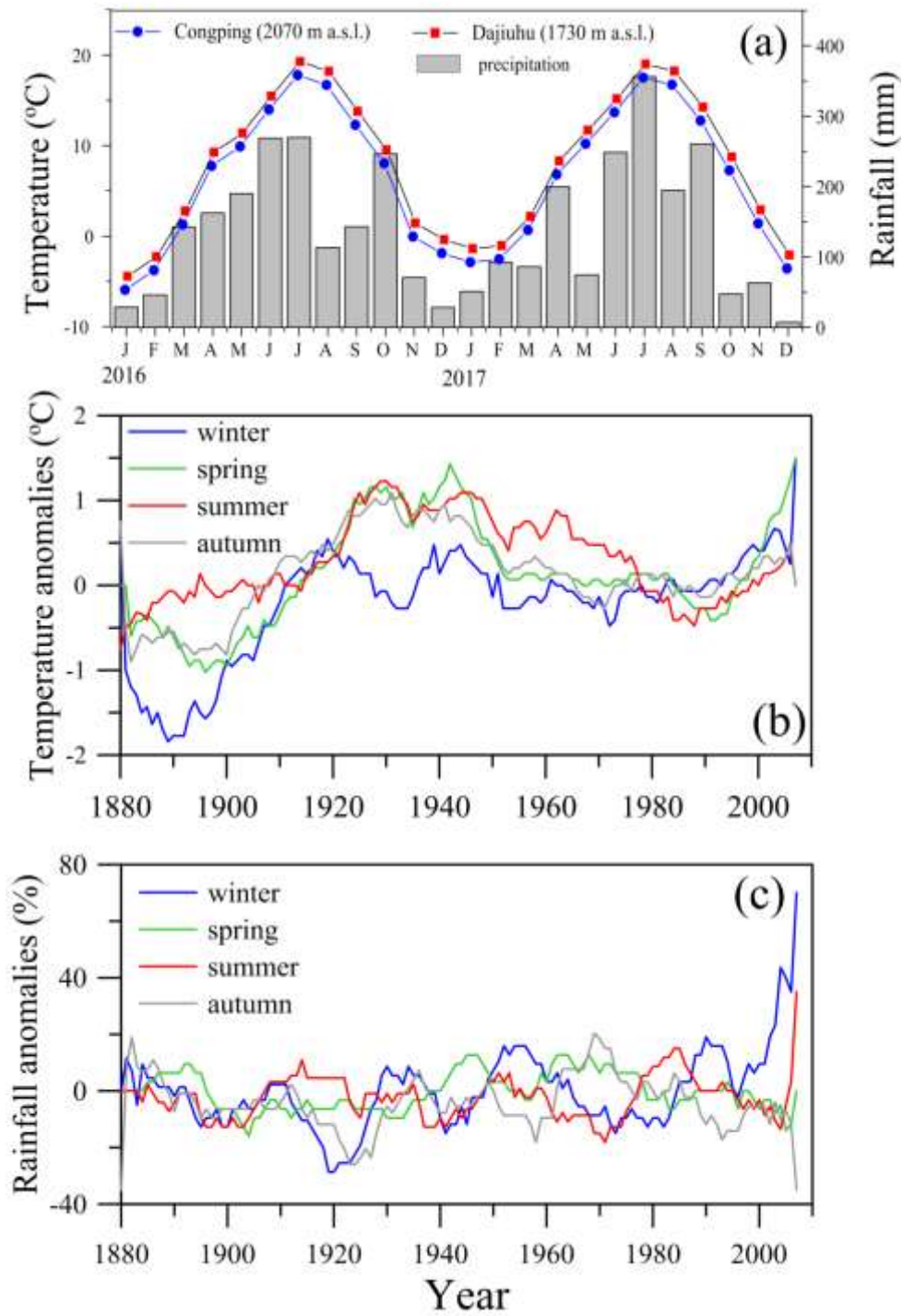


Figure 2

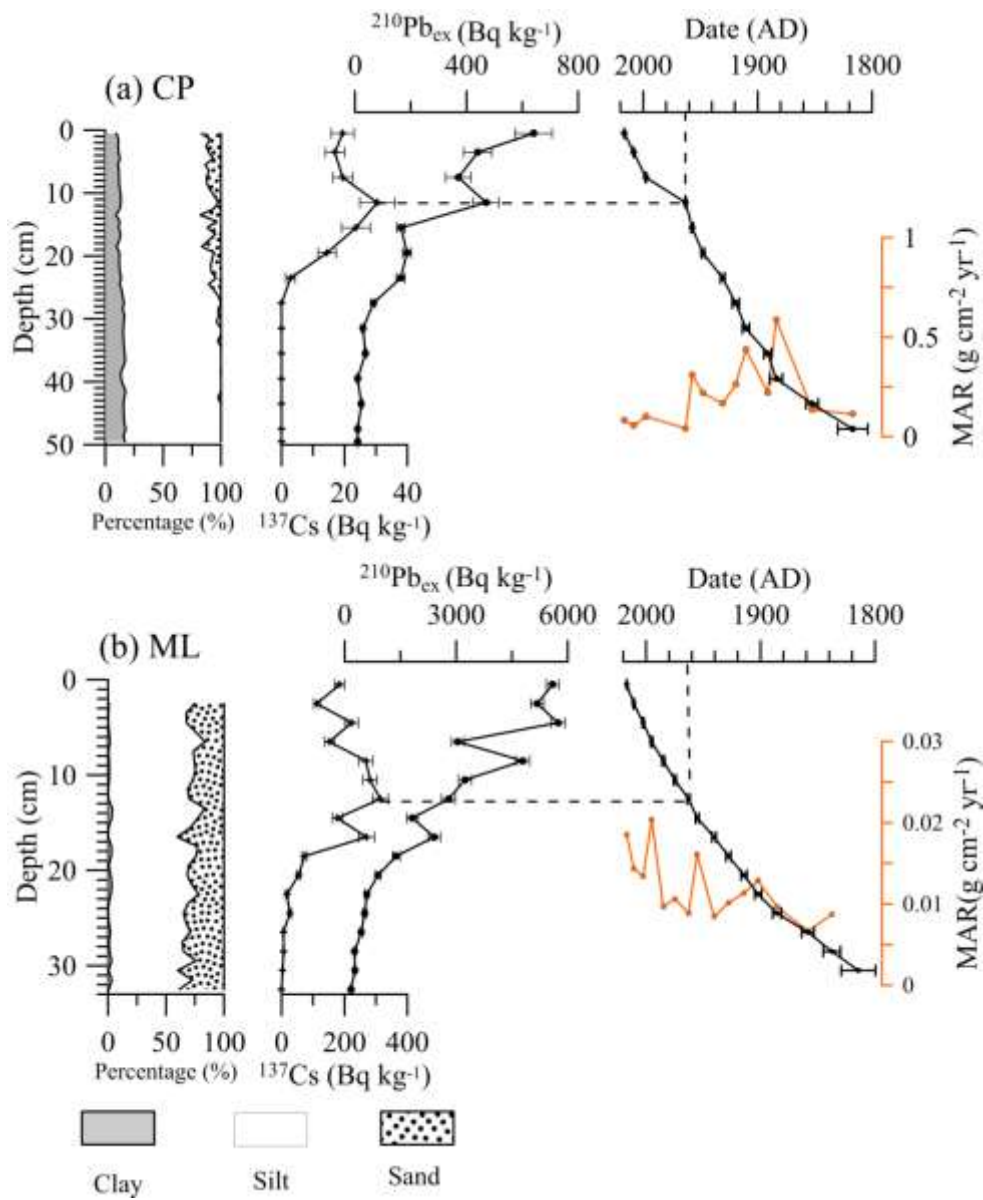


Figure 3

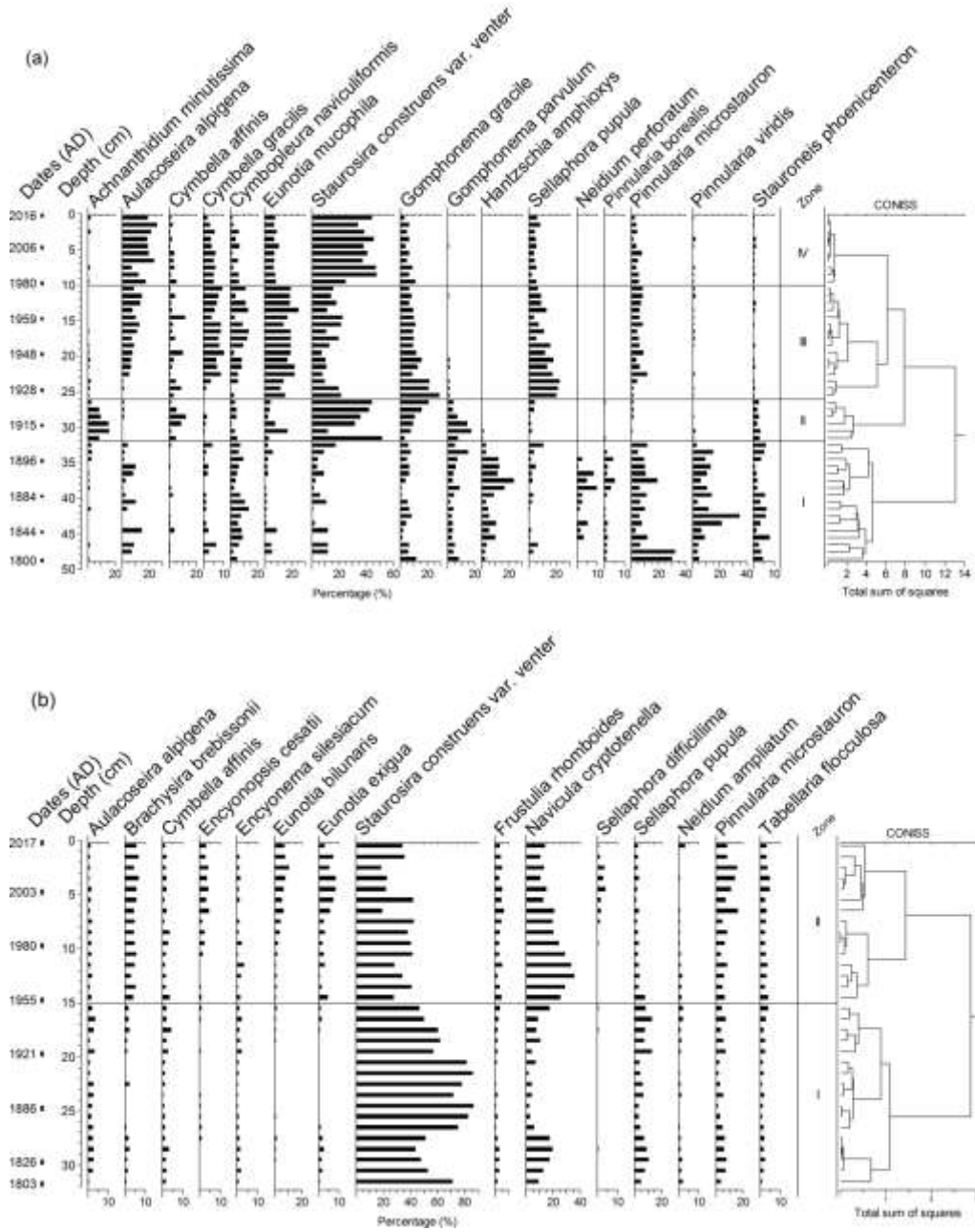


Figure 4

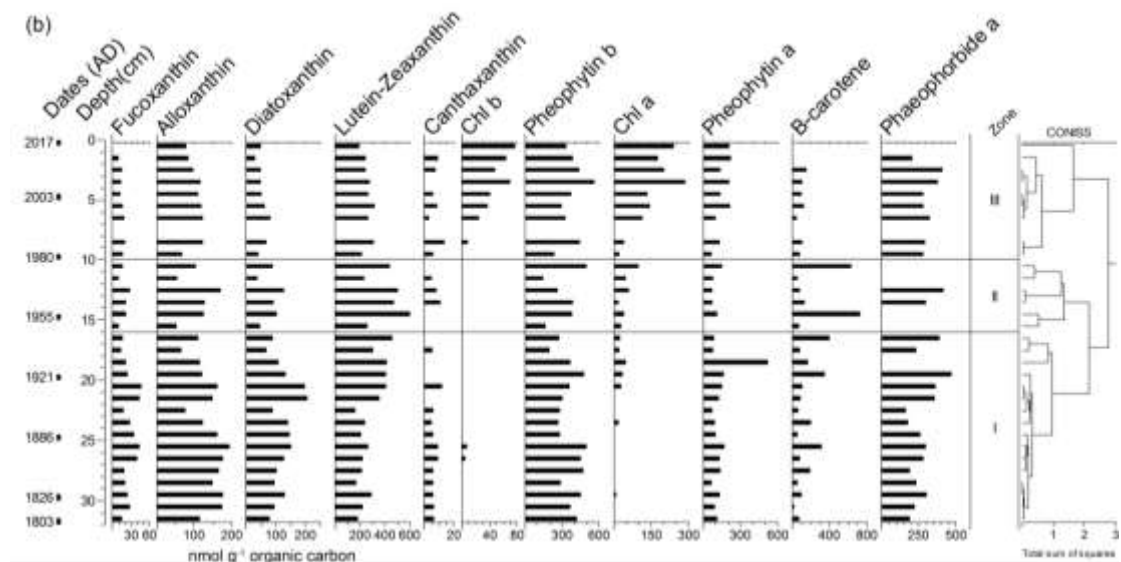
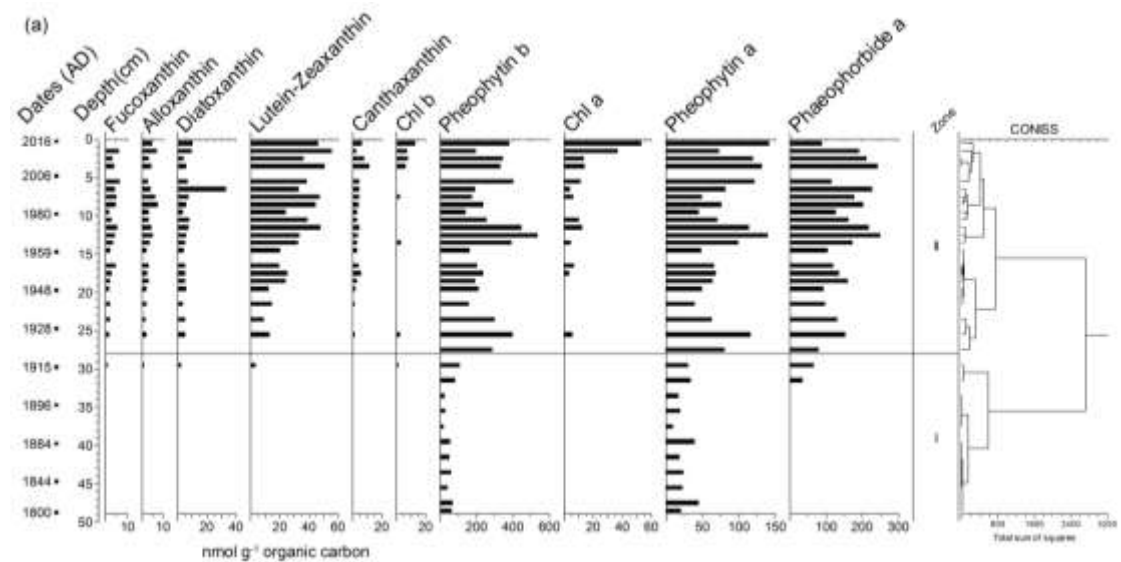


Figure 5

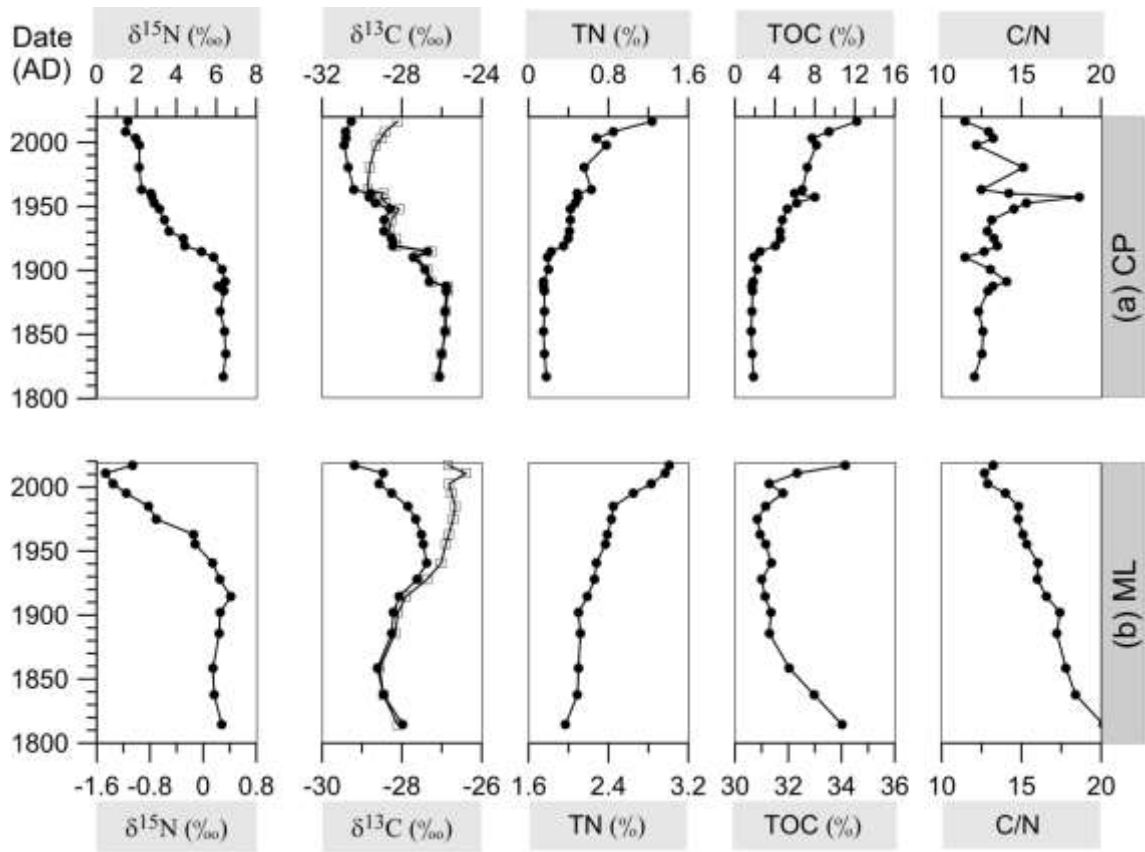


Figure 6

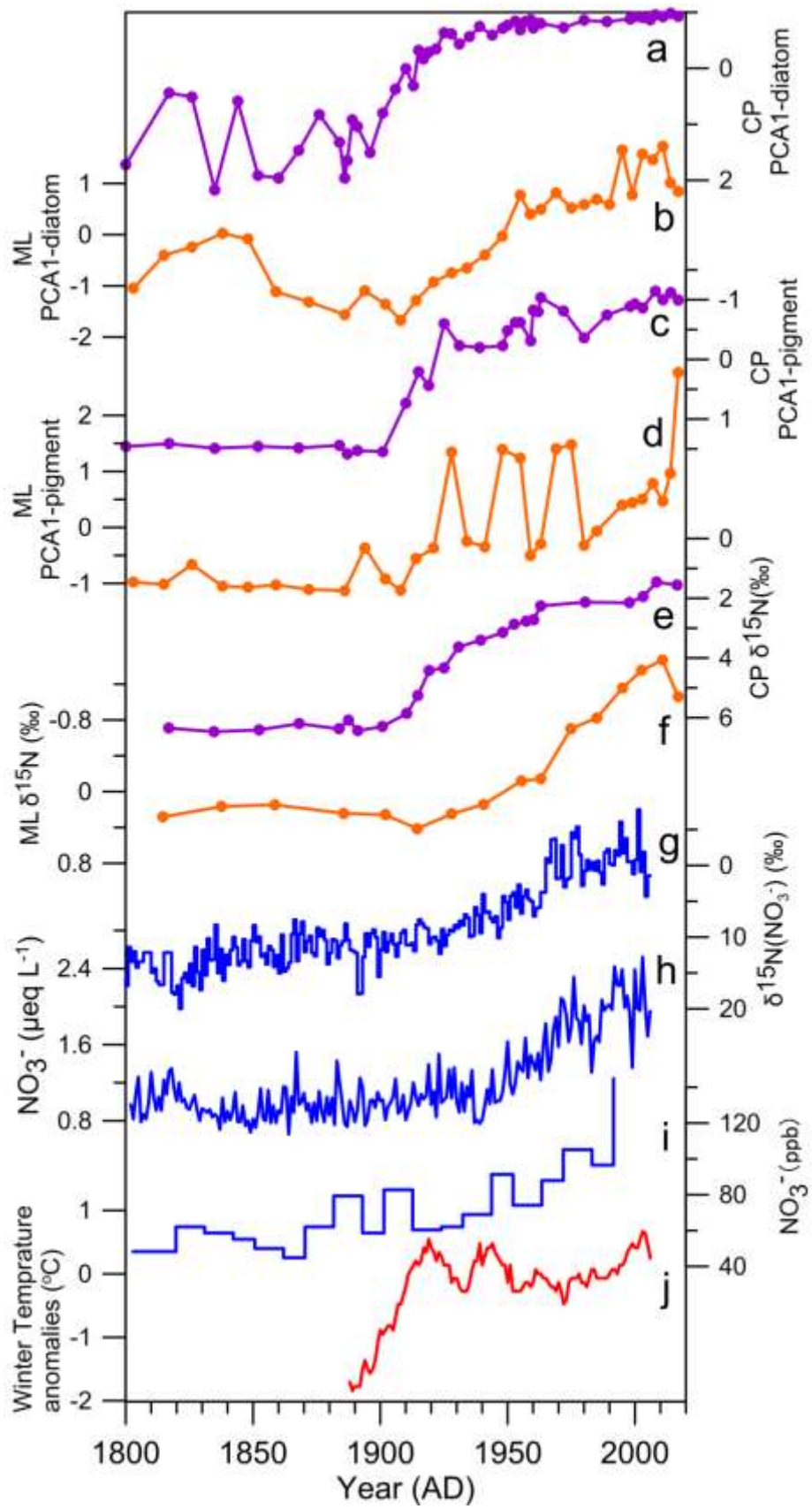


Figure 7

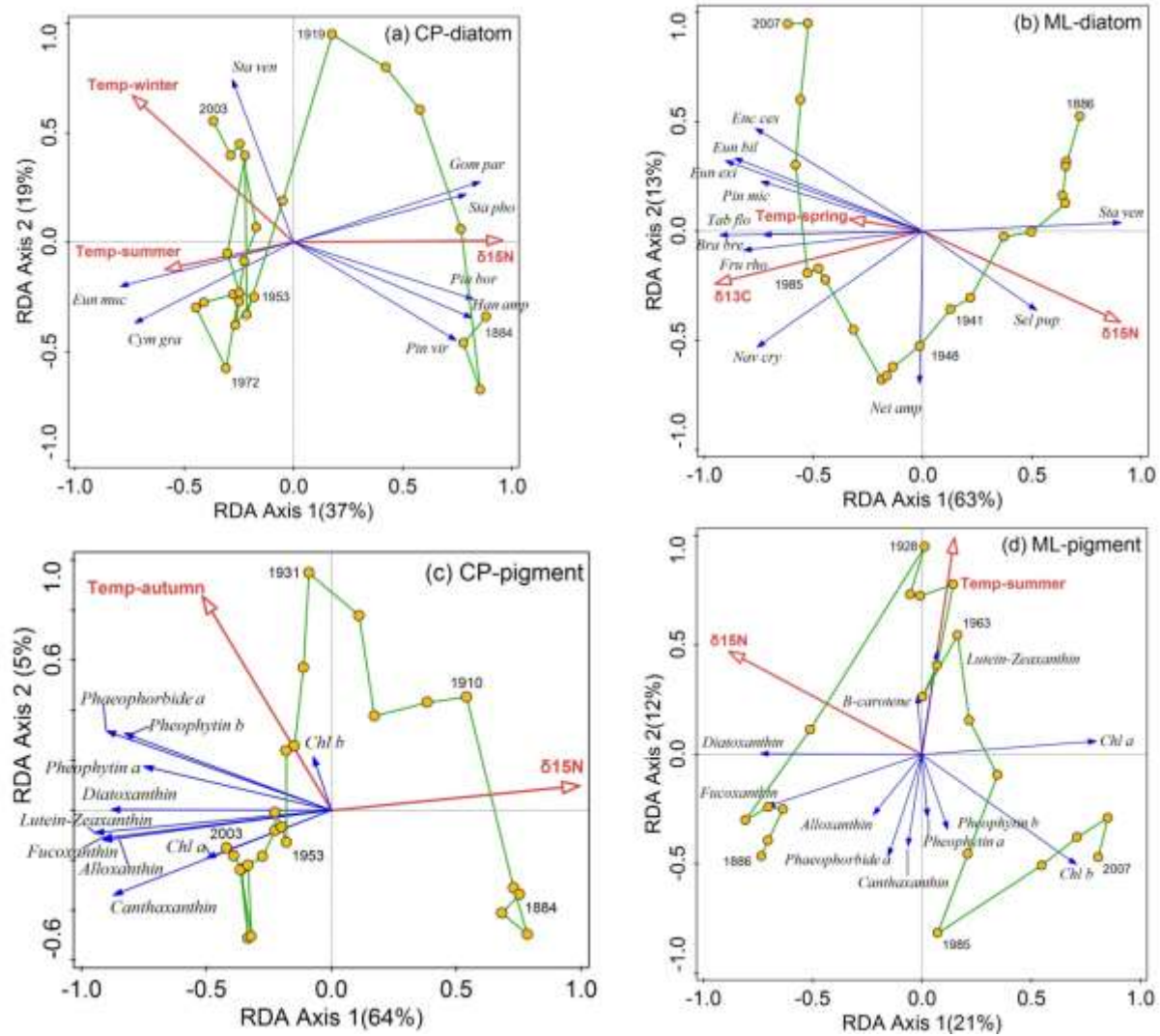


Figure 8

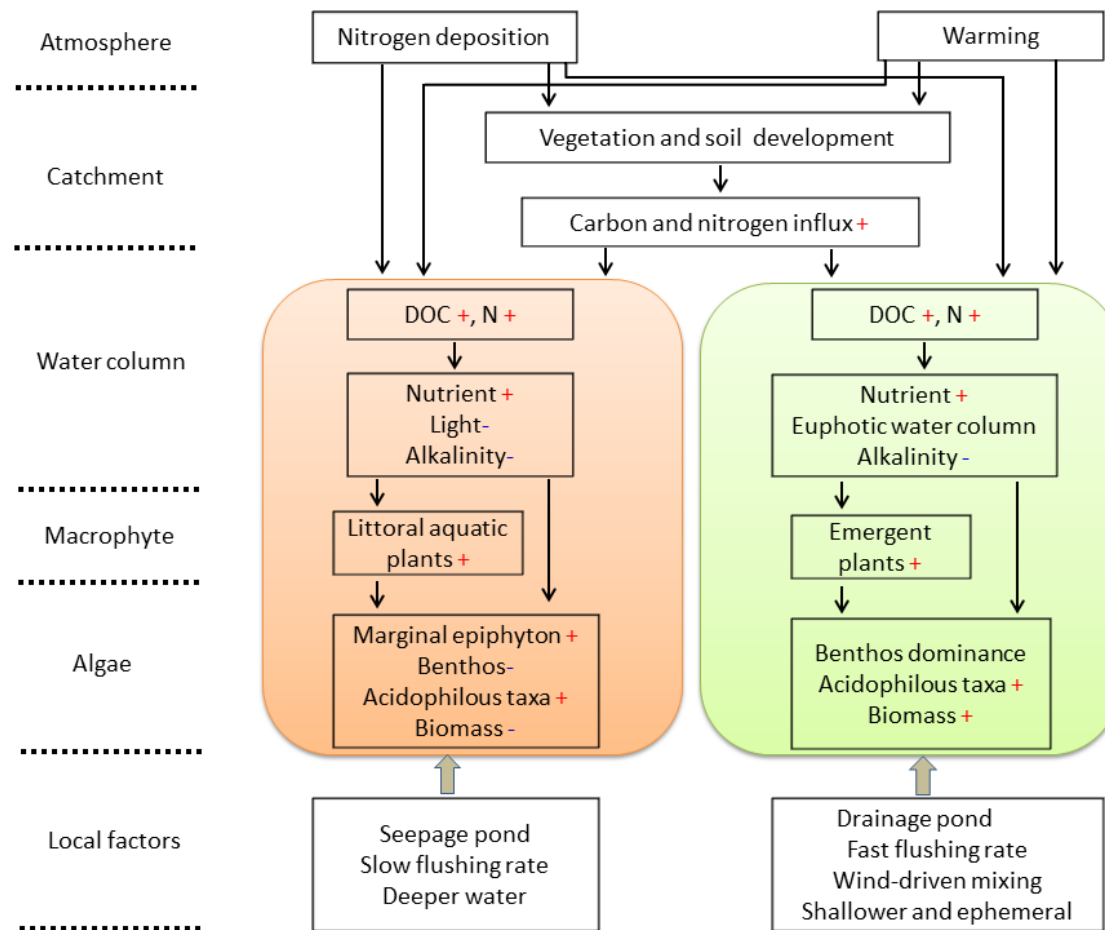


Figure 9