

1  $\beta$ -cell dysfunction and insulin resistance in relation to prediabetes and diabetes among adults  
2 in north-western Tanzania: a cross-sectional study.

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23

24 Abstract

25

26 **BACKGROUND:** Studies on phenotypes of diabetes in Africa are inconsistent. We assessed  
27 the role of  $\beta$ -cell dysfunction and insulin resistance on prediabetes and diabetes.

28

29 **METHODS:** We included 1890 participants with mean age of 40.6 (SD11.9) years in a cross-  
30 sectional study among male and female adults in Tanzania during 2016 to 2017. Data on C-  
31 reactive protein (CRP), alpha-acid glycoprotein (AGP), HIV, oral glucose tolerance test  
32 (OGTT), body composition, and insulin were collected. Insulinogenic index and HOMA-IR  
33 were used to derive an overall marker of  $\beta$ -cell dysfunction and insulin resistance and  
34 categorized as: normal  $\beta$ -cell function and insulin sensitivity, isolated  $\beta$ -cell dysfunction,  
35 isolated insulin resistance, and combined  $\beta$ -cell dysfunction and insulin resistance.

36 Prediabetes and diabetes were defined as 2-hour OGTT glucose between 7.8-11.1 and  $\geq 11.1$   
37 mmol/L, respectively. Multinomial regression assessed the association of  $\beta$ -cell dysfunction  
38 and insulin resistance with outcome measures.

39

40 **RESULTS:**  $\beta$ -cell dysfunction, insulin resistance, and combined  $\beta$ -cell dysfunction and  
41 insulin resistance were associated with higher prediabetes risk. Similarly, isolated  $\beta$ -cell  
42 dysfunction (adjusted Relative Risk Ratio (aRRR) 4.8 (95% confidence interval (CI) 2.5, 9.0),  
43 isolated insulin resistance (aRRR 3.2 (95% CI 1.5, 6.9), and combined  $\beta$ -cell dysfunction and  
44 insulin resistance (aRRR 35.9 (95% CI 17.2, 75.2) were associated with higher diabetes risk.  
45 CRP, AGP and HIV were associated with higher diabetes risk, but fat mass was not. 31%,  
46 10% and 33% of diabetes cases were attributed to  $\beta$ -cell dysfunction, insulin resistance and  
47 combined  $\beta$ -cell dysfunction and insulin resistance, respectively.

48

49 **CONCLUSIONS:**  $\beta$ -cell dysfunction seemed to explain most of diabetes cases compared to  
50 insulin resistance in this population. Cohort studies on evolution of diabetes in Africa are  
51 needed to confirm these results.

52

53

54 **KEYWORDS:**  $\beta$ -cell dysfunction, insulin resistance, pre-diabetes, diabetes, HIV

55 INTRODUCTION

56 Non-communicable diseases including type 2 diabetes are becoming major health problems in  
57 Sub-Saharan Africa (SSA)(1). Diabetes develops as a result of either insulin resistance,  
58 reduced insulin secretion or both (2) and is established when plasma glucose reaches certain  
59 cut-points, where complications (seen in high-income populations) start to appear (3). In SSA,  
60 diagnosis relies mostly on plasma glucose, thus more detailed assessment of islet auto-  
61 antibodies and insulin or C-peptide secretion to determine whether patients have either insulin  
62 resistance or reduced secretion or both is rarely done. Similarly, a suggestion to sub-divide  
63 type 2 diabetes into five sub-groups with varying levels of insulin resistance/insulin secretion  
64 combinations (4) may not be feasible due to lack of detailed investigation. Furthermore, in  
65 SSA, we may see a completely different group of type 2-like entities that do not fit the  
66 traditional type 2 phenotype, nor the five sub-group classification due to differences in  
67 genetics and pre- and post-natal exposures such as malnutrition potentially affecting diabetes  
68 aetiology, risk and presentation(5). These limitations hinder prevention strategies and proper  
69 patient management.

70

71 Reviews suggest that the clinical manifestations of type 2 diabetes are due to both insulin  
72 resistance and reduced insulin secretion(6). However, field studies have shown considerable  
73 inconsistency, with some indicating the predominance of insulin resistance (7) and others the  
74 predominance of reduced secretion (8). In SSA, the increasing diabetes burden(1) is partly  
75 thought to be driven by overweight, particularly seen in urban settings where it is associated  
76 with intake of high-calorie low-fibre diets and decreased level of physical activity (9). These  
77 could result in insulin resistance(10) leading to type 2 diabetes. However, the rising diabetes  
78 burden could also be contributed to by reduced insulin secretion likely caused by widespread  
79 infections including HIV and tuberculosis (TB) and other adverse environmental exposures,  
80 but data are limited (11).

81

82 In SSA, research on the causes driving the diabetes epidemic is very limited, but urgently  
83 needed to guide approaches to both prevention and treatment which are currently informed by  
84 studies conducted in other settings. In this analysis conducted in a large diabetes risk factors  
85 cohort study among Tanzanian adults, we investigated the relative contribution of  $\beta$ -cell  
86 dysfunction and insulin resistance to prediabetes and diabetes and tested if these were  
87 modified by HIV infection.

88

## 89 METHODS

### 90 Study design and setting

91 This was a cross-sectional study conducted using baseline data of participants recruited from  
92 the Chronic Infections, Comorbidities and Diabetes in Africa (CICADA) study, a cohort study  
93 investigating risk factors for diabetes among HIV-uninfected and HIV-infected adults in  
94 north-western Tanzania from 2016 to 2021 and registered at clinical.trials.gov as  
95 NCT03106480. During October 2016 to November 2017, CICADA recruited 1947  
96 participants. Participants with both glucose and insulin data were eligible for inclusion in this  
97 paper.

98

### 99 Participants

100 The study population and main methods have been reported elsewhere (12). Briefly,  
101 participants who were recruited in previous tuberculosis and HIV nutritional supplementation  
102 trials in Mwanza from 2006 to 2013 (i.e. Nutrition, Diabetes and Pulmonary Tuberculosis  
103 (TB-NUT)(13, 14) and Nutritional Support for African Adults Starting Antiretroviral Therapy  
104 (NUSTART)(15)) and were known to be alive were invited to participate. TB-NUT recruited  
105 HIV-infected and uninfected TB patients (13, 14) as well as non-TB controls (16) whereas  
106 NUSTART recruited undernourished HIV-infected patients (15). In addition, HIV-infected  
107 people who visited ART clinics in Mwanza City from October 2016 to November 2017, who  
108 were preparing to start antiretroviral therapy (ART) and were not part of TB-NUT or  
109 NUSTART were invited in the study as a new HIV cohort, if they were aged  $\geq 18$  years and  
110 residents of Mwanza City. Finally, we randomly took half of the new HIV cohort participants  
111 and selected HIV-uninfected participants for frequency matching. Criteria for HIV-uninfected  
112 participants selection were: lived within the same neighbourhood as the HIV index participant  
113 (defined as living in the same street or sub-village), HIV-uninfected based on HIV rapid tests,  
114 had lived in Mwanza City for at least 3 months, aged  $\geq 18$  years and age difference with HIV-  
115 infected index participant not more than 5 years, and same sex as the HIV-infected index  
116 participant. All study participants were recruited if they had intention to stay in the study area  
117 in the next 3 years and after they consented to be enrolled in the study.

118

### 119 Data collection

#### 120 Risk factors

121 Data on demographics and non-communicable diseases (NCDs) risk factors were collected  
122 based on WHO STEPS manual questionnaire (17). According to previously reported analysis,

123 of the lifestyle factors, only physical activity was associated with diabetes(12), so was the  
124 only such variable included here. Less than 600 MET (metabolic equivalent of tasks) minutes  
125 per week was considered as being physically inactive(18). Information on ART use was  
126 retrieved from patients' treatment cards and clinic records and used to derive HIV-ART status  
127 groups.

128

### 129 Anthropometry and body composition

130 Anthropometric measurements were determined using standardized methods. While barefoot  
131 and with minimal clothing, weight of the patient was determined to the nearest 0.1 kg using a  
132 digital scale (Seca, Germany) and height measured to the nearest 0.1 cm using a stadiometer  
133 fixed to the wall (Seca, Germany). Anthropometric measurements were taken in triplicate and  
134 medians were used during analysis. Based on weight and height measurements, body mass  
135 index (BMI) was calculated as mass (kg)/height (m)<sup>2</sup>. Participants underwent bio-impedance  
136 analysis to estimate fat mass and fat-free mass (Tanita BC418, Tokyo, Japan) which were  
137 categorized into tertiles (i.e. lower, middle and upper) for analysis.

138

### 139 Glucose assessment

140 Following 8 hours of fasting, plasma glucose (Hemocue AB, Angelholm, Sweden) was  
141 determined using venous blood. Participants underwent an oral glucose tolerance test (OGTT)  
142 and were provided with 82.5 g of dextrose monohydrate (equivalent to 75g of glucose  
143 anhydrous) diluted in 250 ml of drinking water to drink within 5 minutes. The OGTT glucose  
144 assessment was done at 30 minutes and 2 hours. According to WHO guidelines (3),  
145 participants whose 2-hour OGTT glucose level was  $\geq 7.8$  to  $< 11.1$  mmol/L were classified as  
146 impaired glucose tolerance (IGT), in this study termed prediabetes, and those with glucose  
147 level of  $\geq 11.1$  mmol/L were classified as diabetes. Prediabetes and diabetes were used as  
148 outcome measures of this study.

149

### 150 Insulin, C-reactive protein (CRP), alpha-acid glycoprotein (AGP), and HIV status

151 Venous blood samples drawn at the same time as those for glucose assessment were separated  
152 into serum for insulin (fasting, 30 min and 120 min) and inflammatory markers (CRP and  
153 AGP; fasting only) assessments and stored at -80 °C pending analysis. ELISA technique was  
154 used to assess insulin in Denmark using dual-monoclonal antibodies (ALPCO, Salem, NH,  
155 USA) whereas CRP and AGP were measured using sandwich ELISA in Germany (19). HIV  
156 testing was done using two rapid antibody tests (SD HIV- 1/2 3.0 SD standard diagnostics

157 Inc, and The Uni-Gold, Trinity Biotech, IDA Business Park, Bray, Co. Wicklow, Ireland).  
158 Discordant samples were tested using Uniform II vironostika-HIV Ag/Ab Micro-Elisa system  
159 (Biomerieuxbv, The Netherlands).

160

#### 161 Derivation of an overall marker of $\beta$ -cell dysfunction and insulin resistance

162 Using fasting and 30 min glucose and insulin data, we computed several indices of  $\beta$ -cell  
163 function and insulin resistance including insulinogenic index, early phase insulin release  
164 index, first and second phase Stumvoll indices and Homeostatic model assessment (HOMA)-  
165  $\beta$  as markers of  $\beta$ -cell function(20) and HOMA-Insulin Resistance (IR) and Matsuda index as  
166 markers of insulin resistance (21, 22) (Supplementary Table 1). Then we generated Receiver  
167 Operating Characteristics (ROC) curves and used area under the curves (AUCs) to investigate  
168 the probabilities of these markers in predicting prediabetes and diabetes using non-parametric  
169 approach (23)(Table 1). Based on this comparison, insulinogenic index and HOMA-IR, the  
170 markers with highest AUCs, were selected as markers of  $\beta$ -cell function and insulin  
171 resistance, respectively, as in previous work (24). These markers correlate well with reference  
172 techniques (20, 21, 25) and are not derived from 2-hour glucose, which could have led to  
173 spurious associations with prediabetes and diabetes. We dichotomized them using optimal  
174 cut-points for predicting diabetes computed using Liu's method (26). The cut-points optimally  
175 predicting diabetes among this study population were:  $<0.71$  (mU/L/mg/dL) for insulinogenic  
176 index and  $>1.9$  (mU/L)/(mmol/L) for HOMA-IR. Based on these cut-off points, we derived  
177 an overall marker of  $\beta$ -cell function and insulin resistance dividing participants into four  
178 groups i.e. normal  $\beta$ -cell function and insulin sensitivity (insulinogenic index $\geq 0.71$  and  
179 HOMA-IR $\leq 1.9$ ), isolated  $\beta$ -cell dysfunction (insulinogenic index $<0.71$  only), isolated insulin  
180 resistance (HOMA-IR $>1.9$  only), and combined  $\beta$ -cell dysfunction and insulin resistance  
181 (insulinogenic index $<0.71$  and HOMA-IR $>1.9$ )(24).

182

#### 183 Ethics

184 Ethical clearance was provided by the National Institute for Medical Research (NIMR) in  
185 Tanzania and the London School of Hygiene and Tropical Medicine in UK. Consultative  
186 approval was provided by the National Committee on Health Research Ethics in Denmark.  
187 Participants were enrolled after written informed consent and those with diabetes and other  
188 illnesses were referred to Sekou-Toure referral hospital for care.

189

190 Data management and statistics

191 Data were double entered in CSPro database and analysed in STATA version 13 (Station  
192 College, Texas, USA). Demographic characteristics, body composition, physical activity,  
193 inflammatory markers and  $\beta$ -cell dysfunction and insulin resistance markers were compared  
194 between participants without diabetes vs those with prediabetes or diabetes using means,  
195 medians, percentages or graphs as appropriate. Comparisons between two groups were done  
196 using t-test or Mann Whitney U test (if the distribution was not normal) for continuous  
197 variables and by chi-squared test for categorical variables.

198

199 To understand the role of  $\beta$ -cell dysfunction and insulin resistance on prediabetes and  
200 diabetes, we fitted multinomial logistic regressions. We examined the association of the  $\beta$ -cell  
201 dysfunction and insulin resistance overall marker with prediabetes or diabetes and included  
202 age, sex, CRP, AGP, HIV/ART, fat mass, fat-free mass and physical activity in models.  
203 HIV/ART, fat mass, fat-free mass and physical activity were included in models because they  
204 were previously found to be associated with diabetes in univariate or multivariable analysis in  
205 this study population(12) whereas CRP and AGP were included because inflammation is  
206 known to be important in both HIV and insulin resistance and may explain the effect of HIV  
207 on insulin resistance. Minimally adjusted multinomial logistic regression models including  
208 age and sex for all predictor variables were fitted and those significant at  $P<0.10$  were  
209 included in a final multivariable model adjusted for significant predictors. We also tested if  
210 effects of  $\beta$ -cell dysfunction and insulin resistance marker on pre-diabetes or diabetes were  
211 modified by HIV/ART status. To investigate relative contribution of  $\beta$ -cell function and  
212 insulin resistance on prediabetes and diabetes, we computed population attributable fraction  
213 (PAF) using the formula  $PD[(aRRR-1)/aRRR]$ , where PD was proportion of cases (pre-  
214 diabetes or diabetes) exposed to the risk factor and aRRR was adjusted Relative risk ratio(24).  
215 The associations were presented as aRRR with 95% confidence intervals. In all analyses a  
216 significance level of  $P<0.05$  was used.

217

## 218 RESULTS

219 Glucose and insulin data were obtained for 1890 participants (Supplementary figure 1). The  
220 prevalence of diabetes was 6.5% (n=123) and that for prediabetes was 43.9% (n=829), similar  
221 to what was reported in a full CICADA cohort (12). The mean ( $\pm$ SD) age was 40.6 ( $\pm$ 11.9)  
222 years and 60% (1128) were females. Participants with prediabetes and diabetes were older,  
223 and the latter had a lower proportion of females, compared to those without diabetes (Table

224 2). In addition, BMI was lower in participants with diabetes compared those without diabetes  
225 (21.0 vs 22.0 kg/m<sup>2</sup>,  $p=0.01$ ), although this was driven by HIV infection (Supplementary table  
226 2). Insulinogenic index was lower in participants with prediabetes and diabetes compared to  
227 those without diabetes (0.9 and 0.3 vs 1.2 mU/L/mg/dL,  $P<0.0001$ , all) whereas HOMA-IR was  
228 higher among participants with prediabetes (1.6 vs 1.4 mU/L, mmol/L,  $P=0.02$ ) but only  
229 marginally higher in those with diabetes (1.5 vs 1.4 mU/L, mmol/L,  $P=0.08$ ). Overall, the  
230 prevalence of isolated  $\beta$ -cell dysfunction was 25.3% (478), isolated insulin resistance was  
231 27.9% (527) and combined  $\beta$ -cell dysfunction and insulin resistance was 9.5% (180); these  
232 were different between those without diabetes vs those with prediabetes or diabetes  
233 ( $P<0.0001$ , all). During the 2-hour OGTT, we found insulin was higher at 30 minutes but  
234 lower at 120 minutes among those without diabetes compared to those with prediabetes or  
235 diabetes, whereas glucose was lower at both 30 and 120 minutes among the group without  
236 diabetes compared to prediabetes or diabetes (Figure 1 and Figure 2).

237

#### 238 Predictors of prediabetes and diabetes

239 Table 3 presents the association of  $\beta$ -cell dysfunction and insulin resistance on prediabetes or  
240 diabetes. In final models adjusted for age, sex, CRP, HIV, fat mass and fat free mass, and  
241 physical activity, isolated  $\beta$ -cell dysfunction (aRRR=1.6, 95% CI: 1.2, 2.0), isolated insulin  
242 resistance (aRRR=1.6, 95% CI: 1.2, 2.1), and combined  $\beta$ -cell dysfunction and insulin  
243 resistance (aRRR=2.1, 95% CI: 1.6, 2.6) were associated with higher risk of prediabetes.  
244 Similarly, isolated  $\beta$ -cell dysfunction (aRRR=4.8, 95% CI: 2.5, 9.0), isolated insulin  
245 resistance (aRRR=3.2, 95% CI: 1.5, 6.9), and combined  $\beta$ -cell dysfunction and insulin  
246 resistance (aRRR=35.9, 95% CI: 17.2, 75.2) were associated with higher risk of diabetes.  
247 CRP was associated with higher risk of prediabetes and diabetes whereas AGP was associated  
248 with higher risk of diabetes only (Supplementary table 2). As already reported in analyses not  
249 including an overall marker of  $\beta$ -cell dysfunction and insulin resistance as a predictor(12),  
250 HIV infection was associated with higher risk, physical activity was protective of diabetes  
251 whereas fat and fat-free mass were not predictors (Supplementary table 3)

252

253 Regarding PAFs, we found that prediabetes could have been due to  $\beta$ -cell dysfunction in  
254 10.3% (95% CI: 4.6, 13.7), isolated insulin resistance in 11.2% (95% CI: 5.0, 15.7), and  
255 combined  $\beta$ -cell dysfunction and insulin resistance in 4.9% (95% CI: 3.1, 6.5) of cases. We  
256 also found that diabetes could have been due to isolated  $\beta$ -cell dysfunction in 30.9% (95% CI:  
257 23.4, 34.7), isolated insulin resistance in 10.0% (95% CI: 4.9, 12.5), and combined  $\beta$ -cell



258 dysfunction and insulin resistance in 32.5% (95% CI: 31.5, 33.0) of cases. HIV/ART did not  
259 modify the role of an overall marker of  $\beta$ -cell dysfunction and insulin resistance on pre-  
260 diabetes ( $P=0.31$ ) or diabetes ( $P=0.93$ ).

261

## 262 DISCUSSION

263 In this study, we investigated the relative contribution of  $\beta$ -cell dysfunction and insulin  
264 resistance on prediabetes and diabetes among Tanzanian adults and found that  $\beta$ -cell  
265 dysfunction and insulin resistance were associated with higher risk of having prediabetes and  
266 diabetes. We found that 31% of diabetes cases could have been attributed to isolated  $\beta$ -cell  
267 dysfunction alone whereas only 9% could be attributed to isolated insulin resistance  
268 indicating that in this population  $\beta$ -cell dysfunction is a major contributor to diabetes.

269

### 270 $\beta$ -cell dysfunction

271 Previous research has hypothesized that diabetes develops when both insulin resistance and  $\beta$ -  
272 cell dysfunction exists (27). Based on work mostly in western countries, it has been suggested  
273 that insulin resistance and thereby hyperglycaemia precede  $\beta$ -cell damage and decreased  
274 insulin secretion (28). Some studies have found diabetes to be associated with both insulin  
275 resistance and lack of first phase or diminished second phase insulin response to glucose  
276 challenge(29). However, in this analysis, we found that only 33% of diabetes patients had  
277 combined  $\beta$ -cell dysfunction and insulin resistance, while 14% had isolated insulin resistance  
278 and 40% had isolated  $\beta$ -cell dysfunction. In regression analysis adjusted not only for HOMA-  
279 IR but also CRP and AGP, other proxies of insulin resistance (20), isolated  $\beta$ -cell dysfunction  
280 was significantly associated with diabetes suggesting that in some patients  $\beta$ -cell dysfunction  
281 may be the only defect leading to diabetes.

282

283 Several other observations point to the importance of  $\beta$ -cell dysfunction in the pathogenesis of  
284 diabetes in this study population. In the analysis of  $\beta$ -cell dysfunction across the continuum  
285 of diabetes, we found that there was progressive loss of  $\beta$ -cell function as individuals moved  
286 from normal glycaemia to diabetes and that isolated  $\beta$ -cell dysfunction was associated with  
287 higher risk of prediabetes suggesting that even before clinical diabetes, potential patients have  
288 lost substantial  $\beta$ -cell function. Furthermore, based on OGTT, an approach to confirm pattern  
289 of insulin secretion among diabetes patients, we found lower insulin at 30 minutes but higher  
290 at 120 minutes among those with diabetes compared to those without diabetes, which is a  
291 characteristic feature of diabetes associated with  $\beta$ -cell dysfunction (27). In OGTT, the intake

292 of glucose stimulates secretion of insulin, however, in individuals with diabetes there is  
293 delayed insulin response at 30 minutes, but increased secretion by 2 hours and persistent  
294 hyperglycaemia in comparison to those without diabetes similar to what we observed. A few  
295 other studies have investigated the role of  $\beta$ -cell dysfunction on diabetes in Africa. In a  
296 prospective study among 128 South African Indians it was reported that IGT was associated  
297 with early  $\beta$ -cell dysfunction(30), while other studies among southern Africans and Ghanaians  
298 suggested that early loss of  $\beta$ -cells preceded insulin resistance in diabetes patients (31, 32).  
299 These studies further suggested that the pathogenesis of diabetes in black Africans was  
300 different from white populations in western countries where insulin resistance seemed to  
301 precede loss of  $\beta$ -cell function(33). These data point to the primacy of  $\beta$ -cell dysfunction as a  
302 major driver of diabetes in African populations, but further studies are needed. We do not  
303 know what are the major factors driving  $\beta$ -cell function loss in African populations, however,  
304 it has been hypothesized that genetic predisposition, environmental factors and chronic  
305 infections(5), could contribute to  $\beta$ -cell dysfunction.

306

307 Insulin resistance

308 Using HOMA-IR, the proxy of insulin resistance used in this study, we found that 37% of the  
309 study population had insulin resistance and that both isolated insulin resistance and insulin  
310 resistance in combination with  $\beta$ -cell dysfunction were significantly associated with  
311 prediabetes or diabetes indicating that in some of our participants insulin resistance was  
312 probably the only abnormality explaining the occurrence of diabetes. Insulin resistance is  
313 hypothesized to develop when the body becomes obese due to physical inactivity and intake  
314 of high-energy but low fibre diet compromising insulin uptake in muscles. In this analysis, we  
315 found that fat mass was not associated with either prediabetes or diabetes suggesting that the  
316 effect of adipose tissue on glycaemia may have been mediated by HOMA-IR, a marker  
317 insulin resistance used in this study, although it may also be that the effect of adipose tissue  
318 on glycaemia occurs at lower threshold than that found in other populations possibly also  
319 explaining our previous findings(12). Excessive adipose tissue in the visceral organs like  
320 liver, mesenteric region and kidneys could have led to higher glucose level due to insulin  
321 resistance without changes in total body fat mass, however we did not have imaging  
322 equipment to assess this in the current study (27). In our previous work, we had shown that  
323 obesity, which is a conventional risk factor for NCDs, may not be associated with diabetes  
324 among Tanzanians (35). Similarly, Ghanaian studies found that diabetes occurred independent  
325 of high BMI and developed in younger age in comparison to other settings (32, 36). It could

326 also be that in these populations, insulin resistance is not primarily determined by obesity but  
327 rather by other factors leading to inflammation including infections (34). In this population  
328 we found that the prevalence of raised CRP (the proxy marker of inflammation), increased  
329 from 20% in participants without diabetes to 67% in participants with diabetes and that  
330 inflammation was associated with both prediabetes and diabetes independent of HIV  
331 infection. Future work should explore if strategies to reduce inflammation would help reduce  
332 risk of prediabetes and diabetes in this population.

333

#### 334 Strengths and limitations

335 This was a large study including both HIV-uninfected and HIV-infected people in SSA and  
336 thus results can be generalized to similar populations. Insulinogenic index is validated against  
337 hyperglycaemic glucose clamp whereas HOMA-IR is validated against Hyperinsulinemic-  
338 Euglycemic Clamp Technique which are gold standard techniques for assessing insulin  
339 secretion and resistance, respectively(21, 37). Probability of insulinogenic index to predict  
340 diabetes was excellent whereas that for HOMA-IR was only satisfactory, but was better than  
341 the Matsuda insulin sensitivity index, the other measure of insulin resistance, which we  
342 derived but did not use in this analysis. We included CRP and AGP, other measures of insulin  
343 resistance to complement the role of HOMA-IR. In multivariable models including both CRP,  
344 AGP and marker of  $\beta$ -cell dysfunction and insulin resistance we found that both CRP and  
345 AGP were significant predictors of diabetes suggesting that both may have contributed to  
346 insulin resistance which could not be explained by HOMA-IR. This was a cross-sectional  
347 study so causality cannot be confirmed. In addition, we used populations with different  
348 backgrounds including those with previous TB as well as undernutrition and other potential  $\beta$ -  
349 cell dysfunction and insulin resistance determinants including childhood undernutrition and  
350 childhood diseases which could have confounded our results. However, we adjusted for  
351 important potential confounders.

352

#### 353 Future research agenda

354 To conclude, in this large cross-sectional study we found that  $\beta$ -cell dysfunction seemed to be a  
355 major contributor of diabetes in this study population, although insulin resistance was also a  
356 key contributor. Longitudinal studies are needed to understand evolution of diabetes as well  
357 as contributors of insulin insufficiency and resistance in African populations. These studies  
358 will help generate evidence base for development of strategies to prevent diabetes epidemic  
359 and to inform clinicians on appropriate management approaches as aetiology may affect

360 choice of treatment. Given that HIV-infected participants on ART continued to have elevated  
361 level of inflammation, it would be critical to further investigate long-term health of HIV-  
362 infected patients since these could be at higher risk of developing diabetes and other non-  
363 communicable diseases in future due to ongoing inflammation.

364

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369

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376

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378 conceived the study, KJ, BK, BBK, GP and JC collected data, GP analysed data with help  
379 from AMR, RKM, SF and DFJ and drafted the paper. All authors interpreted results,  
380 critically revised the manuscript, approved the final version and agree to take responsibility  
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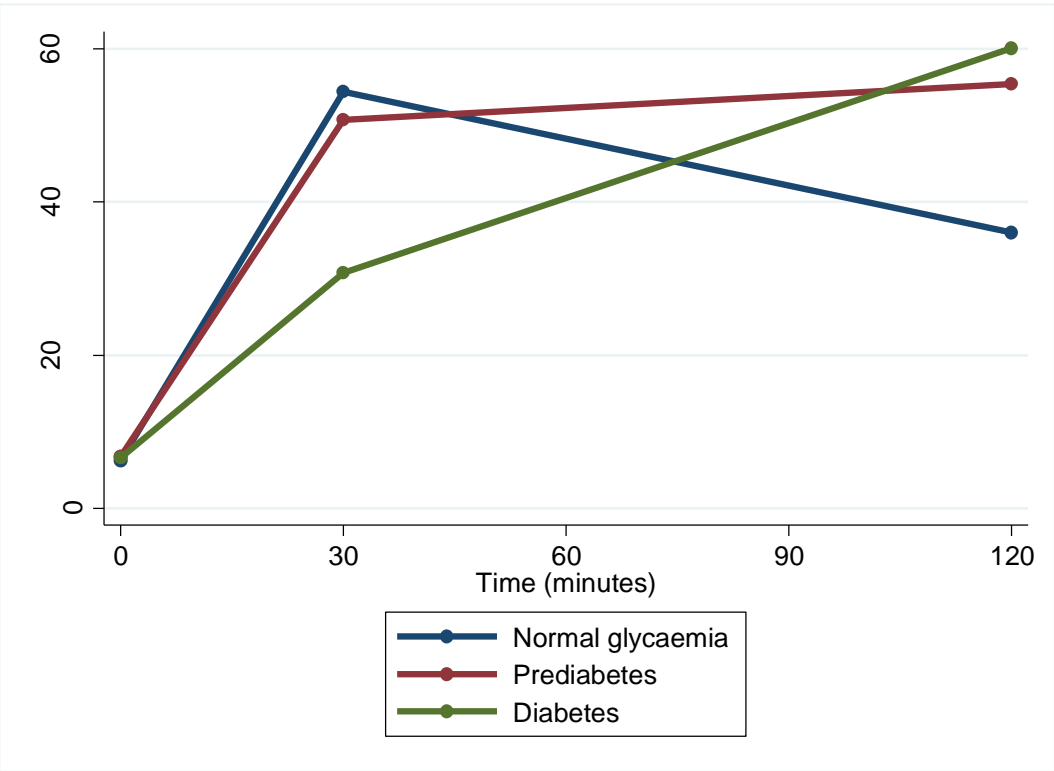


Figure 1



Figure 1 caption:

Figure 1: Insulin secretion during 2-hour oral glucose tolerance test by diabetes status. Differences in median insulin level at 0 minutes: Normal glycaemia and prediabetes groups ( $P=0.52$ ), Normal glycaemia and diabetes groups ( $P=0.33$ ); Differences in median insulin level at 30 minutes: Normal glycaemia and prediabetes groups ( $P=0.02$ ), Normal glycaemia and diabetes groups ( $P<0.0001$ ); Differences in median insulin level at 120 minutes: Normal glycaemia and prediabetes ( $P<0.0001$ ), Normal glycaemia and diabetes groups ( $P<0.0001$ ). All comparisons by Mann Whitney U test.

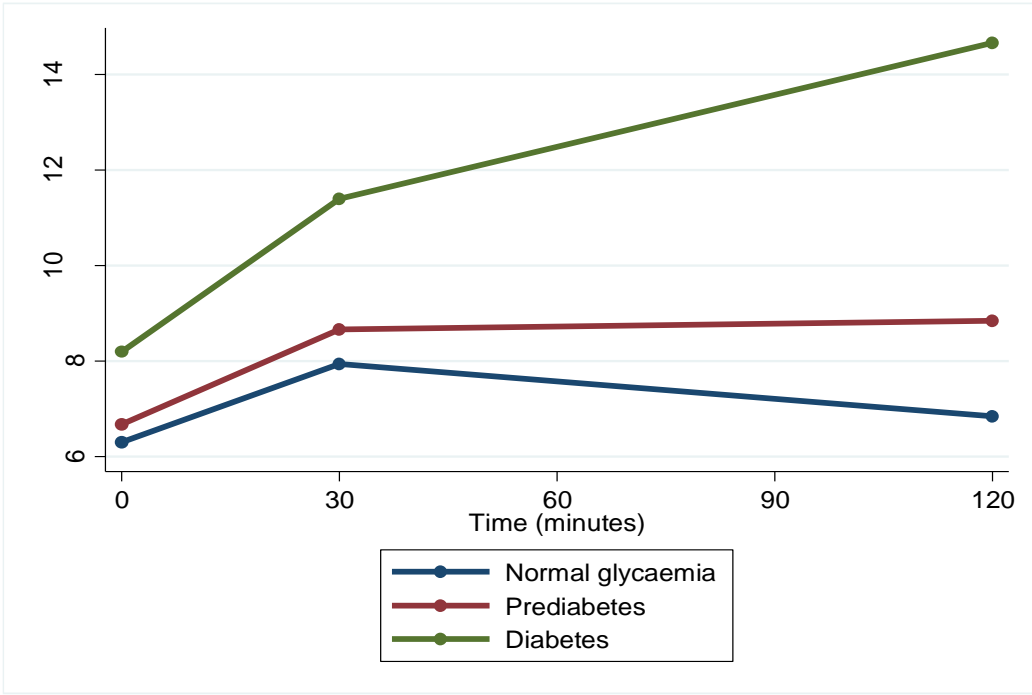


Figure 2

Figure 2 Caption

Figure 2: Glucose level during 2-hour oral glucose tolerance test by diabetes status. Differences in mean glucose at 0 minutes: Normal glycaemia and prediabetes groups ( $P<0.0001$ ), Normal glycaemia and diabetes groups ( $P<0.0001$ ); Differences in mean glucose at 30 minutes: Normal glycaemia and prediabetes groups ( $P<0.0001$ ), Normal glycaemia and diabetes groups ( $P<0.0001$ ); Differences in mean glucose at 120 minutes: Normal glycaemia and prediabetes groups ( $P<0.0001$ ), Normal glycaemia and diabetes groups ( $P<0.0001$ ). All comparisons by t-test.

Marker	AUC (95% CI)	P
<b>Prediabetes</b>		
Insulin secretion		
Insulinogenic index <sup>a</sup>	0.59 (0.56, 0.61)	-
HOMA-β cell function <sup>a</sup>	0.55 (0.52, 0.58)	0.01 <sup>b</sup>
Early insulin release index <sup>a</sup>	0.56 (0.53, 0.58)	0.01 <sup>b</sup>
First-phase Stumvoll <sup>a</sup>	0.58 (0.55, 0.61)	0.44 <sup>b</sup>
Second-phase Stumvoll <sup>a</sup>	0.58 (0.55, 0.60)	0.33 <sup>b</sup>
Insulin resistance		
HOMA-IR	0.53 (0.51, 0.56)	-
Matsuda insulin sensitivity index	0.41 (0.39, 0.44)	<0.0001 <sup>c</sup>
<b>Diabetes</b>		
Insulin secretion		
Insulinogenic index <sup>a</sup>	0.82 (0.77, 0.87)	-
HOMA-β cell function <sup>a</sup>	0.67 (0.62, 0.72)	<0.0001 <sup>b</sup>
Early insulin release index <sup>a</sup>	0.78 (0.73, 0.82)	0.09 <sup>b</sup>
First-phase Stumvoll <sup>a</sup>	0.64 (0.57, 0.71)	<0.0001 <sup>b</sup>
Second-phase Stumvoll <sup>a</sup>	0.71 (0.64, 0.77)	0.0004 <sup>b</sup>
Insulin resistance		
HOMA-IR	0.55 (0.50, 0.60)	-
Matsuda insulin sensitivity index	0.38 (0.33, 0.44)	0.004 <sup>c</sup>

AUC, Area under the receiver operating characteristic curve; HOMA-IR, Homeostatic model assessment-Insulin resistance; HOMA- β, Homeostatic model assessment - β-cell function <sup>a</sup>Inverse of the predictor was used in calculations to meet test requirements; <sup>b</sup>Compared with AUC of insulinogenic index <sup>c</sup>Compared with AUC of HOMA-IR

Table 1. Area under receiver operating characteristic curves for markers of insulin secretion and resistance in predicting prediabetes or diabetes.

	Normal (N=938)	Pre-diabetes (N=829)	Diabetes (N=123)	<i>p</i> <sup>1</sup>	<i>p</i> <sup>2</sup>
Age (years), mean (sd)	39.4 (11.5)	41.3 (12.0)	45.3 (12.2)	0.001	<0.0001
Female sex, n (%)	578 (61.6)	494 (59.6)	56 (45.5)	0.38	0.001
Body mass index (kg/m <sup>2</sup> ), mean (sd)	22.0 (4.3) <sup>a</sup>	21.9 (4.7)	21.0 (4.9)	0.70	0.01
Fat mass (kg), mean (sd)	13.8 (9.1) <sup>b</sup>	13.8 (9.7) <sup>c</sup>	11.5 (8.9) <sup>d</sup>	0.87	0.01
Physical activity (MET min per week), n(%) <sup>e</sup>					
Not active (≤ 600 MET min per week )	89 (9.5)	141 (17.1)	34 (27.6)	<0.0001	<0.0001
Active (>600 MET min per week)	845 (90.5)	686 (82.9)	89 (72.4)		
β-cell function and insulin resistance markers					
Fasting insulin (mU/L), median (IQR)	5.2 (3.4, 7.8)	5.3 (3.4, 8.3)	4.7 (2.7, 8.2)	0.58	0.36
30 minutes insulin (mU/L), median (IQR)	44.4 (27.6, 71.4)	41.0 (25.9, 62.3)	23.3 (15.3, 39.7)	0.01	<0.0001
120 minutes insulin (mU/L), median (IQR)	29.7 (19.2, 46.1) <sup>f</sup>	44.9(28.7,67.8) <sup>g</sup>	49.9 (31.4, 82.8) <sup>a</sup>	<0.0001	<0.0001
Insulinogenic index (mU/L/mg/dL), median (IQR)	1.2 (0.7, 2.1)	0.9 (0.5, 1.7)	0.3 (0.2, 0.8)	<0.0001	<0.0001
HOMA-IR (mU/L, mmol/L), median (IQR)	1.4 (0.9, 2.3)	1.6 (1.0, 2.5)	1.5 (0.9, 2.8)	0.02	0.08
β-cell function and insulin resistance status, n (%)					
Normal β-cell function and insulin sensitivity	413 (44.0)	276 (33.3)	16 (13.0)	<0.0001	<0.0001
Isolated reduced β-cell function	203 (21.7)	227 (27.4)	48 (39.0)		
Isolated insulin resistance	261 (27.8)	248 (29.9)	18 (14.6)		
Reduced β-cell function and insulin resistance	61 (6.5)	78 (9.4)	41 (33.4)		
Inflammatory markers					
C-Reactive Protein (mg/L), median (IQR)	1.7 (0.7, 4.5) <sup>a</sup>	2.7 (1.0, 9.0)	8.3 (2.6, 61.5)	<0.0001	<0.0001
Raised (>5mg/L), n (%)	209 (22.1) <sup>a</sup>	308 (37.2) <sup>i</sup>	82 (67.2)	<0.0001	<0.0001
Alpha-acid glycoprotein (g/L), median (IQR)	0.7 (0.5, 1.0) <sup>a</sup>	0.8 (0.6, 1.4)	1.5 (0.8, 3.1)	<0.0001	<0.0001
Raised (>1g/L), n (%)	276 (29.1) <sup>a</sup>	307 (37.1)	81 (66.4)	<0.0001	<0.0001
HIV status					
Not infected	367 (39.1)	241 (29.1)	26 (21.2)	<0.0001	<0.0001
HIV-infected not on antiretroviral therapy	405 (43.2)	441 (53.2)	87 (70.7)		
HIV-infected on antiretroviral therapy	166 (17.7)	147 (17.7)	10 (8.1)		

HOMA-IR, Homeostatic model assessment-Insulin resistance, IQR, interquartile range, sd, standard deviation. <sup>1</sup>Difference between non-diabetes and pre-diabetes groups by t-test or Mann Whitney U test (when distributions were not normal)

<sup>2</sup>Difference between non-diabetes and diabetes groups by t-test or Mann Whitney U test (when distributions were not normal)

<sup>a</sup>1 participant missing, <sup>b</sup>18 participants missing, <sup>c</sup>22 participants missing, <sup>d</sup>6 participants missing, <sup>e</sup>4 participants in the normal glucose group and 1 in prediabetes group had missing data, <sup>f</sup>4 participants missing <sup>g</sup>9 participants missing

Table 2. Background characteristics, β-cell function and insulin resistance, and inflammatory markers by diabetes status

	Model <sup>1</sup>		Model <sup>2</sup>		PAF (95% CI)
	RRR (95% CI)	<i>P</i>	RRR (95% CI)	<i>P</i>	
<b>Prediabetes</b>					
β-cell function and insulin resistance status					
Normal β-cell function and insulin sensitivity	Reference		Reference		-
Isolated β-cell dysfunction	1.7 (1.3, 2.1)	<0.0001	1.6 (1.2, 2.0)	0.001	10.3 (4.6, 13.7)
Isolated Insulin resistance	1.5 (1.2, 1.9)	0.001	1.6 (1.2, 2.1)	<0.0001	11.2 (5.0, 15.7)
Combined β-cell dysfunction and insulin resistance	1.9 (1.3, 2.7)	0.001	2.1 (1.5, 3.2)	<0.0001	4.9 (3.1, 6.5)
<b>Diabetes</b>					
β-cell function and insulin resistance status					
Normal β-cell function and insulin sensitivity	Reference		Reference		
Isolated β-cell dysfunction	5.7 (3.1, 10.2)	<0.0001	4.8 (2.5, 9.0)	<0.0001	30.9 (23.4, 34.7)
Isolated Insulin resistance	2.0 (1.0, 4.2)	0.04	3.2 (1.5, 6.9)	0.003	10.0 (4.9, 12.5)
Combined β-cell dysfunction and insulin resistance	17.7 (9.3, 33.9)	<0.0001	35.9 (17.2, 75.2)	<0.0001	32.5 (31.5, 33.0)

<sup>1</sup>Adjusted for age and sex <sup>2</sup>Adjusted for age, sex, C-Reactive Protein, Alpha-acid glycoprotein, HIV/antiretroviral treatment, fat mass, fat-free mass and physical activity level. PAF, Population attributable fraction (%); RRR, Relative Risk Ratio

Table 3: Multinomial logistic regression of β-cell function and insulin resistance as predictors of prediabetes and diabetes.

Marker	Definition/formula	Units	References
Insulin secretion			
Insulinogenic index	Change in insulin over change in glucose in first 30 minutes following OGTT.	(mU/L/mg/dL)	(38)
Early phase insulin release index	Ratio of AUC of insulin to area under the curve of glucose from 0 to 30 minutes of OGTT	(pmol/L/mmol/L)	(39)
First-phase Stumvoll	$1283 + 1.829 * \text{Insulin}_{30} - 138.7 * \text{Glucose}_{30} + 3.772 * \text{Insulin}_0$	(pmol/L, mmol/L)	(40)
Second-phase Stumvoll	$286 + 0.416 * \text{Insulin}_{30} - 25.94 * \text{Glucose}_{30} + 0.926 * \text{Insulin}_0$	(pmol/L, mmol/L)	(40)
HOMA- $\beta$ cell function	$(20 * \text{Fasting blood insulin (FBI)} / (\text{Fasting plasma glucose (FPG)} - 3.5))$	(mU/L, mmol/L)	(21)
Insulin resistance			
HOMA-IR	$(\text{FBI} * \text{FPG}) / 22.5$	(mU/L, mmol/L)	(21)
Matsuda insulin sensitivity index	$1000 / \sqrt{\text{FPG} * \text{FBI}} (\text{MPG}) * (\text{MPI})$	(mU/L, mg/dL)	(22)

AUC, area under the curve; HOMA- $\beta$ , Homeostatic model assessment- $\beta$ ; HOMA-IR, HOMA-Insulin Resistance; OGTT, Oral glucose tolerance test; MPG, mean plasma glucose at 0, 30 and 120 minutes; MPI, mean of plasma insulin at 0, 30, and 120 minutes

Supplementary Table 1: Markers of insulin secretion and resistance

	Normal glycaemia	Prediabetes	Diabetes	<i>p</i> <sup>1</sup>	<i>p</i> <sup>2</sup>
<b>HIV-negative participants</b>	N=367	N=241	N=26		
Age (years), mean (SD)	40.5 (12.3)	43.6 (14.0)	52.9 (12.4)	0.003	<0.0001
Body mass index (kg/m <sup>2</sup> ), mean (SD)	23.6 (4.8)	23.8 (5.1)	24.8 (5.4)	0.60	0.22
Fat mass (kg), mean (SD)	16.8 (10.1) <sup>a</sup>	16.7 (10.7) <sup>b</sup>	17.6 (10.7)	0.92	0.69
Insulinogenic index (mU/L/mg/dL), median (IQR)	1.3 (0.7, 2.4)	1.0 (0.5, 1.8)	0.2 (0.05, 0.4)	0.005	<0.0001
HOMA-IR (mU/L, mmol/L), median (IQR)	1.6 (1.0, 2.4)	1.8 (1.1, 2.6)	2.3 (0.8, 3.9)	0.17	0.05
β-cell function and insulin resistance status, n (%)					
Normal β-cell function and insulin sensitivity	157 (42.8)	75 (31.1)	0 (0)	0.03	<0.0001
Isolated β-cell dysfunction	68 (18.5)	57 (23.7)	8 (30.8)		
Isolated insulin resistance	112 (30.5)	84 (34.9)	1 (3.9)		
B-cell dysfunction and insulin resistance	30 (8.2)	25 (10.4)	17 (65.3)		
Alpha-acid glycoprotein (g/L), median (IQR)	0.6 (0.5, 0.8) <sup>c</sup>	0.6 (0.5, 0.9)	0.7 (0.5, 0.8)	0.11	0.48
Raised (>1g/L), n (%)	51 (13.9)	36 (14.9)	5 (19.2)	0.73	0.46
C-Reactive Protein (mg/L), median (IQR)	1.1 (0.6, 3.1) <sup>c</sup>	1.6 (0.8, 3.8)	1.7 (0.6, 5.3)	0.01	0.34
Raised (>5mg/L), n (%)	45 (12.3)	45 (18.7)	7 (26.9)	0.03	0.03
<b>HIV-infected not on antiretroviral therapy participants</b>	N=405	N=441	N=87		
Age (years), mean (SD)	36.6 (10.7)	38.5 (10.4)	42.9 (11.5)	0.01	<0.0001
Body mass index(kg/m <sup>2</sup> ), mean (SD)	21.2 (3.9) <sup>c</sup>	21.3 (4.3)	19.8 (3.9)	0.86	0.002
Fat mass (kg), mean (SD)	12.4 (8.2) <sup>d</sup>	12.5 (9.2) <sup>e</sup>	9.2 (6.8) <sup>f</sup>	0.87	0.001
Insulinogenic index (mU/L/mg/dL), median (IQR)	1.3 (0.7, 2.0)	0.9 (0.5, 1.7)	0.4 (0.2, 0.8)	0.0001	<0.0001
HOMA-IR (mU/L, mmol/L), median (IQR)	1.3 (0.8, 2.0)	1.5 (0.9, 2.3)	1.4 (0.9, 2.4)	0.03	0.16
β-cell function and insulin resistance status, n (%)					
Normal β-cell function and insulin sensitivity	201 (49.6)	153 (34.7)	16 (18.4)	<0.0001	<0.0001
Isolated β-cell dysfunction	89 (22.0)	133 (30.2)	37 (42.5)		
Isolated insulin resistance	98 (24.2)	124 (28.1)	13 (15.0)		
β-cell dysfunction and insulin resistance	17 (4.2)	31 (7.0)	21 (24.1)		
Alpha-acid glycoprotein (g/L), median (IQR)	0.9 (0.6, 1.6)	1.1 (0.7, 2.3)	2.6 (1.2, 3.5)	<0.0001	<0.0001
Raised (>1g/L), n (%)	180 (44.4)	230 (52.2)	71 (81.6)	0.03	<0.0001
C-Reactive Protein (mg/L), median (IQR)	2.3 (1.0, 6.4)	4.9 (1.5, 19.5)	24 (7.0, 91.3)	<0.0001	<0.0001
Raised (>5mg/L), n (%)	120 (29.6)	219 (49.7)	68 (78.2)	<0.0001	<0.0001
<b>HIV-infected on antiretroviral therapy participants</b>	N=166	N=147	N=10		
Age (years), mean (SD)	43.9 (9.6)	45.9 (10.7)	46 (9.9)	0.08	0.40
Body mass index (kg/m <sup>2</sup> ), mean (SD)	20.5 (3.2)	20.8 (4.0)	21.1 (6.2)	0.34	0.53
Fat mass (kg), mean (SD)	10.8 (6.9) <sup>c</sup>	12.8 (8.6) <sup>b</sup>	15.4 (11.2) <sup>c</sup>	0.03	0.06
Insulinogenic index (mU/L/mg/dL), median (IQR)	1.0 (0.4, 1.6)	0.9 (0.4, 1.6)	0.2 (0.001, 1.1)	0.61	0.05



HOMA-IR (mU/L, mmol/L) , median (IQR)	1.6 (0.8, 2.4)	1.7 (1.1, 2.7)	3.1 (1.4, 7.1)	0.13	0.04
β-cell function and insulin resistance status, n (%)					
Normal β-cell function and insulin sensitivity	55 (33.1)	48 (32.7)	0 (0)	0.04	0.02
Isolated β-cell dysfunction	46 (27.7)	37 (25.2)	3 (30)		
Isolated insulin resistance	51 (30.7)	40 (27.2)	4 (40)		
β-cell dysfunction and insulin resistance	14 (8.5)	22 (14.9)	3 (30)		
Alpha-acid glycoprotein (g/L), median (IQR)	0.7 (0.5, 1.0)	0.7 (0.5, 1.0)	1.0 (0.9, 1.4)	0.40	0.001
Raised (>1g/L), n (%)	42 (25.3)	41(27.9)	5 (50.0)	0.60	0.09
C-Reactive Protein (mg/L), median (IQR)	2.1 (0.9, 5.1)	2.2 (0.9, 7.2)	5.2 (1.9, 7.5)	0.48	0.13
Raised (>5mg/L), n (%)	42 (25.3)	46 (31.3)	7 (70)	0.24	0.02

HOMA-IR, Homeostatic model assessment-Insulin resistance, IQR, interquartile range, SD, standard deviation.

<sup>1</sup>Difference between non-diabetes and pre-diabetes groups by t-test or Mann Whitney U test (when distributions were not normal)

<sup>2</sup>Difference between non-diabetes and diabetes groups by t-test or Mann Whitney U test (when distributions were not normal)

<sup>a</sup>2 participants missing, <sup>b</sup>4 participants missing, <sup>c</sup>1 participant missing, <sup>d</sup>5 participants missing, <sup>e</sup>14 participants missing <sup>f</sup>15 participants missing

Supplementary Table 2. Body composition, β-cell function and insulin resistance, and inflammatory markers by diabetes and HIV treatment status

	Model <sup>1</sup>		Model <sup>2</sup>	
	RRR (95% CI)	P	RRR (95% CI)	P
<b>Prediabetes</b>				
CRP groups				
Normal	Reference		Reference	
Raised (>5mg/L)	2.1 (1.7, 2.6)	<0.0001	2.0 (1.6, 2.6)	<0.0001
Alpha-acid glycoprotein groups				
Normal	Reference			
Raised (>1g/L)	1.5 (1.2, 1.8)	<0.0001	0.9 (0.7, 1.1)	0.28
HIV treatment status				
HIV-uninfected	Reference		Reference	
HIV-infected not on antiretroviral therapy	1.8 (1.5, 2.3)	<0.0001	1.6 (1.3, 2.1)	<0.0001
HIV-infected on antiretroviral therapy	1.3 (1.0, 1.7)	0.06	1.1 (0.8, 1.5)	0.43
Fat mass tertiles				
Lower	Reference		Reference	
Middle	0.8 (0.6, 1.0)	0.10	0.9 (0.7, 1.1)	0.32
Upper	0.8 (0.6, 1.1)	0.14	0.9 (0.6, 1.2)	0.37
Fat-free mass tertiles				
Lower	Reference		Reference	
Middle	0.9 (0.8, 1.2)	0.89	1.0 (0.8, 1.3)	0.96
Upper	0.9 (0.7, 1.3)	0.60	0.9 (0.7, 1.4)	0.87
Physical activity (MET min per week)				
Not active ( $\leq$ 600 MET min per week)	Reference		Reference	
Active (>600 MET min per week)	0.5 (0.4, 0.7)	<0.0001	0.6 (0.4, 0.8)	<0.0001
<b>Diabetes</b>				
C-Reactive Protein groups				
Normal	Reference		Reference	
Raised (>5mg/L)	7.1 (4.7, 10.7)	<0.0001	4.4 (2.6, 7.6)	<0.0001
Alpha-acid glycoprotein groups				
Normal	Reference		Reference	
Raised (>1g/L)	5.0 (3.4, 7.6)	<0.0001	1.9 (1.1, 3.3)	0.03
HIV treatment status				
HIV-uninfected	Reference		Reference	
HIV-infected not on antiretroviral therapy	4.4 (2.7, 7.2)	<0.0001	2.5 (1.4, 4.5)	0.003
HIV-infected on antiretroviral therapy	0.9 (0.4, 1.8)	0.68	0.4 (0.2, 1.0)	0.05
Fat mass tertiles				
Lower	Reference		Reference	
Middle	0.50 (0.3, 0.8)	0.007	0.8 (0.4, 1.4)	0.39
Upper	0.5 (0.3, 0.8)	0.008	0.9 (0.4, 1.8)	0.68
Fat-free mass tertiles				
Lower	Reference		Reference	
Middle	0.6 (0.4, 1.1)	0.08	0.7 (0.4, 1.2)	0.21
Upper	0.4 (0.2, 0.7)	0.001	0.4 (0.2, 0.8)	0.008
Physical activity (MET min per week)				
Not active ( $\leq$ 600 MET min per week)	Reference		Reference	
Active (>600 MET min per week)	0.3 (0.2, 0.6)	<0.0001	0.4 (0.2, 0.7)	<0.0001

<sup>1</sup>Adjusted for age and sex <sup>2</sup>Adjusted for age, sex,  $\beta$ -cell function and insulin resistance status, C-Reactive Protein, Alpha-acid glycoprotein, HIV treatment status, fat mass, fat-free mass and physical activity level. RRR, Relative Risk Ratio; MET, Metabolic equivalents of tasks

Supplementary Table 3: Multinomial logistic regression of inflammatory markers and other factors as predictors of prediabetes and diabetes.