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Gomes, S. A., Volk, H. A., Packer, R. M., Kenny, P. J., Beltran, E. and De Decker, S. (2016), CLINICAL AND MAGNETIC RESONANCE IMAGING CHARACTERISTICS OF THORACOLUMBAR INTERVERTEBRAL DISK EXTRUSIONS AND PROTRUSIONS IN LARGE BREED DOGS. *Veterinary Radiology & Ultrasound*. doi: 10.1111/vru.12359

which has been published in final form at <http://dx.doi.org/10.1111/vru.12359>.

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The full details of the published version of the article are as follows:

TITLE: Clinical and magnetic resonance imaging characteristics of thoracolumbar intervertebral disk extrusions and protrusions in large breed dogs

AUTHORS: Gomes, S. A., Volk, H. A., Packer, R. M., Kenny, P. J., Beltran, E. and De Decker, S.

JOURNAL TITLE: *Veterinary Radiology & Ultrasound*

PUBLISHER: Wiley

PUBLICATION DATE: 2 April 2016 (online)

DOI: 10.1111/vru.12359

1     **Clinical and Magnetic Resonance Imaging Characteristics of Thoracolumbar**  
2     **Intervertebral Disk Extrusions Intervertebral Disk Protrusions in Large Breed**  
3                                     **Dogs**

4

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14

15 Running head: MRI of thoracolumbar intervertebral disk disease

16 Keywords: disk herniation, disk prolapse, intervertebral disk, intervertebral disk

17 disease

18

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23

24 Funding sources: none

25

26 Previous presentations: Presented in abstract form (Poster) at the 27th Symposium of  
27 the European Society of Veterinary Neurology, 18 – 20 September 2014, Madrid,  
28 Spain.  
29  
30  
31

**32 Abstract**

33 Treatment recommendations differ for dogs with intervertebral disk extrusion vs.  
34 intervertebral disk protrusion. The aim of this retrospective, cross-sectional study was  
35 to determine whether clinical and magnetic resonance imaging (MRI) variables could  
36 be used to predict a diagnosis of thoracolumbar intervertebral disk extrusion or  
37 protrusion in dogs. Dogs were included if they were large breed dogs, had an MRI  
38 study of the thoracolumbar or lumbar vertebral column, had undergone spinal surgery,  
39 and had the type of intervertebral disk herniation (intervertebral disk extrusion or  
40 protrusion) clearly stated in surgical reports. A veterinary neurologist unaware of  
41 surgical findings reviewed MRI studies and recorded number, location, degree of  
42 degeneration and morphology of intervertebral disks, presence of nuclear clefts, disk  
43 space narrowing, extent, localization and lateralization of herniated disk material,  
44 degree of spinal cord compression, intraparenchymal intensity changes, spondylosis  
45 deformans, spinal cord swelling, spinal cord atrophy, vertebral endplate changes, and  
46 presence of extradural hemorrhage. Ninety-five dogs were included in the sample.  
47 Multivariable statistical models indicated that longer duration of clinical signs ( $P =$   
48  $0.01$ ), midline instead of lateralized disk herniation ( $P = 0.007$ ), and partial instead of  
49 complete disk degeneration ( $P = 0.01$ ) were associated with a diagnosis of  
50 intervertebral disk protrusion. The presence of a single intervertebral herniation ( $P =$   
51  $0.023$ ) and dispersed intervertebral disk material not confined to the disk space ( $P =$   
52  $0.06$ ) made a diagnosis of intervertebral disk extrusion more likely. Findings from this  
53 study identified one clinical and four MRI variables that could potentially facilitate  
54 differentiating intervertebral disk extrusions from protrusions in dogs.

## 55 **Introduction**

56 Intervertebral disk herniation is a well-recognized and common spinal cord disorder  
57 in dogs.<sup>1-4</sup> Two types of degenerative intervertebral disk herniation have traditionally  
58 been recognized: intervertebral disk extrusion or Hansen Type-I, and intervertebral  
59 disk protrusion or Hansen Type-II disk herniation.<sup>3</sup> Intervertebral disk extrusion is  
60 characterized by sudden herniation of degenerated and calcified nucleus pulposus  
61 through a fully ruptured anulus fibrosus,<sup>3,5,6</sup> while intervertebral disk protrusion is  
62 characterized by a focal and more gradual extension of the anulus fibrosus and dorsal  
63 longitudinal ligament into the vertebral canal. Although recent studies have  
64 demonstrated similar pathological abnormalities,<sup>8</sup> intervertebral disk extrusions and  
65 protrusions are associated with different clinical characteristics.<sup>6</sup> Intervertebral disk  
66 extrusions occur typically in chondrodystrophic dogs, can occur at a young age and is  
67 typically associated with an acute onset of neurological signs.<sup>4,6,9</sup> Intervertebral disk  
68 protrusions occur typically in non-chondrodystrophic dogs, affected dogs are  
69 generally older and can present with a more protracted and insidious clinical  
70 history.<sup>4,6,9</sup> Although extrusions typically affect chondrodystrophic and protrusions  
71 typically non-chondrodystrophic dogs,<sup>2-4,6,10</sup> large breed dogs can suffer from both  
72 types of intervertebral disk herniation.<sup>4,11</sup> Apart from the above mentioned  
73 differences in pathophysiology and clinical presentation, intervertebral disk extrusions  
74 and protrusions are also associated with different suggested treatment options<sup>4,10,12-14</sup>,  
75 and possibly also a different prognosis.<sup>4</sup> Intervertebral disk extrusions are typically  
76 treated by a hemilaminectomy.<sup>5</sup> Several studies have however suggested this type of  
77 surgery would be inadequate for intervertebral disk protrusions and have suggested  
78 alternative surgical approaches, including additional vertebral stabilization<sup>10</sup> or a  
79 lateral corpectomy.<sup>12,13</sup> While a hemilaminectomy is considered a basic spinal

80 surgical technique, a corpectomy should be considered more technically demanding.  
81 Treatment of intervertebral disk protrusions is further complicated by the fact that  
82 little is known about the results of medical management and that dogs with  
83 protrusions are at increased risk of early postoperative neurological deterioration  
84 compared to dogs with extrusions.<sup>4</sup> It seems therefore important to accurately  
85 differentiate thoracolumbar intervertebral disk extrusions from protrusions before  
86 treatment options and associated outcomes are discussed with owners of affected  
87 dogs. Currently, the exact type of intervertebral disk herniation can only be  
88 recognized during surgery. If we want to improve our knowledge on the natural  
89 evolution and results of medical management, we should improve our knowledge on  
90 how to differentiate different types of intervertebral disk herniation without surgical  
91 confirmation. Although intervertebral disk herniation can be diagnosed by a variety of  
92 imaging modalities, magnetic resonance imaging (MRI) is considered the imaging  
93 modality of choice.<sup>15</sup> Several studies have reported MRI findings in dogs with  
94 thoracolumbar intervertebral disk herniations,<sup>16-20</sup> establishing further insights into  
95 the pathophysiology, diagnosis and treatment of this disorder. Little is however  
96 known about specific clinical or MRI abnormalities that can be used to differentiate  
97 between thoracolumbar intervertebral disk extrusions and protrusions. The aim of this  
98 retrospective, cross sectional study was therefore to evaluate the use of clinical and  
99 previously reported MRI characteristics to differentiate between these two specific  
100 types of intervertebral disk herniation. It was hypothesized that specific clinical and  
101 MRI variables exist that could independently predict the occurrence of intervertebral  
102 disk extrusion or protrusion.

103

104 **Materials and Methods**

105 *Criteria for Animal Selection*

106 The digital medical database of the Royal Veterinary College was searched between  
107 July 2002 and January 2014 for large breed dogs undergoing MRI and decompressive  
108 surgery for thoracolumbar or lumbar intervertebral disk herniation. Search terms were  
109 “intervertebral disk extrusion”, “intervertebral disk protrusion”, “intervertebral disk  
110 herniation”, “intervertebral disk prolapse”, “intervertebral disk disease” and “MRI”.  
111 Dogs were included if (1) they were large breed dogs, defined as a body weight  
112 exceeding 20kg<sup>4</sup>, (2) underwent an MRI study of the thoracolumbar or lumbar  
113 vertebral column, (3) following a diagnosis of intervertebral disk herniation  
114 underwent spinal surgery consisting of a hemilaminectomy or hemilaminectomy  
115 combined with a partial discectomy and (4) the type of intervertebral disk herniation  
116 (intervertebral disk extrusion or protrusion) was clearly noted in the surgical reports.  
117 Dogs were excluded if the medical records or imaging studies were incomplete, if  
118 they were not available in a digital format, if the type of intervertebral disk herniation  
119 (extrusion or protrusion) was not clearly noted in the surgical reports, if more than  
120 one type of intervertebral disk herniation (both intervertebral disk extrusion and  
121 protrusion), or acute herniations of flaps of anulus were observed during surgery. For  
122 inclusion in the study, the surgical treatment had to have consisted of a decompressive  
123 hemilaminectomy, a hemilaminectomy combined with an anulectomy, or a  
124 hemilaminectomy combined with a partial discectomy. During the latter procedure, a  
125 hemilaminectomy had to have been initially performed to allow inspection of the  
126 vertebral canal. This had to have been followed by a lateral approach to the affected  
127 intervertebral disk, after which the dorsal part of the disk and a portion of the adjacent  
128 vertebral endplates were removed by a pneumatic drill or surgical aspirator. For  
129 inclusion, all dogs had to have undergone MRI under general anesthesia (1.5T, Intera,

130 Philips Medical Systems, Eindhoven, the Netherlands). If dogs had MRI on multiple  
131 occasions for confirmed intervertebral disk herniation, only information from the first  
132 visit was used.

133

#### 134 *Data Recorded*

135 Information retrieved from the medical records included signalment, duration, type,  
136 and severity of clinical signs, general physical and neurological examinations  
137 findings, and type of surgery. Severity of neurological deficits was graded by the  
138 modified Frankel score <sup>21</sup>, which was defined as paraplegia with no deep nociception  
139 (grade 0), paraplegia with no superficial nociception (grade 1), paraplegia with  
140 nociception (grade 2), non-ambulatory paraparesis (grade 3), ambulatory paraparesis  
141 and ataxia (grade 4), spinal hyperesthesia only (grade 5), or no dysfunction.

142

143 Magnetic resonance imaging studies were anonymized and presented in a randomized  
144 order to a board-certified veterinary neurologist (S.D.D.). The observer was only  
145 informed on the location of the surgically confirmed intervertebral disk herniation and  
146 only T1 –and T2-weighted sequences were presented and assessed. Standard image  
147 archiving and communication system software (Osirix Foundation, V.5.5.2 Geneva,  
148 Switzerland) was used to view and assess the imaging studies. The selection of MRI  
149 variables was based on earlier reported veterinary studies <sup>5,16,22-33</sup> and covered several  
150 aspects of intervertebral disk disease (Table 1). Assessed variables included number,  
151 degree of degeneration and morphology of affected intervertebral disks, presence of  
152 nuclear clefts, narrowing of the intervertebral disk space, extent, localization and  
153 lateralization of herniated disk material, degree of spinal cord compression,  
154 occurrence and type of intraparenchymal intensity changes, occurrence of spondylosis



155 deforms ventral to the affected intervertebral disk space, spinal cord swelling,  
156 spinal cord atrophy, occurrence and type of vertebral endplate changes, and presence  
157 of extradural hemorrhage. Since intervertebral disk degeneration is associated with a  
158 decrease in nucleus pulposus signal intensity on T2-weighted images<sup>23</sup>, assessment of  
159 intervertebral disk degeneration was based on nucleus pulposus signal intensity on  
160 midsagittal T2-weighted images. A non-degenerate disk (grade 0) had a homogenous  
161 hyperintense signal, a partially degenerate disk (grade 1) had heterogeneous loss of  
162 hyperintense signal, and a completely degenerate intervertebral disk (grade 2) had  
163 complete loss of hyperintense signal.<sup>26</sup> Intervertebral disk morphology was described  
164 as bulging, protrusion or extrusion (Figure 1).<sup>16</sup> Bulging was defined as a symmetric,  
165 uniform and circumferential extension of the disk margin over the border of the  
166 vertebral endplate; protrusion was defined as a focal midline or dorsolateral extension  
167 of the disk margin with focal rupture of the annulus fibrosus; extrusion was defined as  
168 presence of herniated disk material through all layers of the annulus fibrosus.<sup>16</sup>  
169 Intervertebral disk morphology was further determined by the ability or inability to  
170 observe the well-defined contour of the annulus fibrosus on transverse T1-weighted  
171 images<sup>25</sup> and the ability or inability to identify the distinction between the annulus  
172 fibrosus and nucleus pulposus on midsagittal T2-weighted images.<sup>30</sup> Narrowing of the  
173 intervertebral disk space was assessed subjectively by visually comparing the affected  
174 intervertebral disk space maximum width with the adjacent, cranial and caudal, non-  
175 affected intervertebral disk spaces.<sup>31</sup> Nuclear clefts were defined as a focal area of  
176 signal loss in the nucleus pulposus on T2-weighted images.<sup>25</sup> Localization of  
177 herniated disk material was assessed on T1 -and T2-weighted transverse images,  
178 being defined as ventral, lateral, or dorsal relative to the spinal cord. Lateralization of  
179 herniated material was further described as being exclusively in the midline or

180 lateralized. Extension of herniated disk material was assessed on T2-weighted sagittal  
181 images and characterized as dispersed or confined to the intervertebral disk space.  
182 Dispersed disk material was defined as herniated disk material with no clear  
183 association with its original intervertebral disk space.<sup>5,16</sup> Disk material confined to the  
184 intervertebral disk space was defined as herniated disk material, which did not exceed  
185 the limits of the disk space or associated vertebral endplates.<sup>12,29</sup> Presence of spinal  
186 cord swelling and spinal cord atrophy were subjectively evaluated on T2-weighted  
187 sagittal and transverse images at the spinal segments immediately adjacent to the site  
188 of spinal cord compression. Spinal cord swelling was defined as a subjectively  
189 decreased area of cerebrospinal fluid and fat relative to a decreased area of spinal  
190 cord, while the presence of spinal cord atrophy was defined as an subjectively  
191 increased area of cerebrospinal fluid and epidural fat relative to a decreased spinal  
192 cord area.<sup>24</sup> Degree of spinal cord compression was determined by calculating the  
193 remaining spinal cord area and compression ratio at the site of maximum spinal cord  
194 compression. The remaining spinal cord area was defined as the cross sectional area  
195 of the spinal cord of the compressed area divided by the cross sectional area at the  
196 adjacent, non-compressed segment.<sup>22,33</sup> The compression ratio was determined by  
197 dividing the smallest dorsoventral diameter of the spinal cord by the broadest  
198 transverse diameter at the same level.<sup>22,33</sup> Intraparenchymal signal intensity changes  
199 were assessed on sagittal images and classified as; absent intraparenchymal signal  
200 intensity changes on T2 or T1-weighted images (grade 0); light (obscure)  
201 hyperintense intraparenchymal signal intensity change on T2-weighted images (grade  
202 1); intense (bright) hyperintense intraparenchymal signal intensity change on T2-  
203 weighted images (grade 2); hyperintense intraparenchymal signal intensity change on  
204 T2-weighted images, which corresponded to a hypointense intraparenchymal signal

205 intensity change on T1-weighted images (grade 3).<sup>32</sup> Vertebral endplate changes were  
206 classified as: no changes (grade 0); hypointense areas on T1-weighted images and  
207 hyperintense areas on T2-weighted images (grade 1); hyperintense areas on T1-  
208 weighted images and areas of isointense or slightly hyperintense signal intensity on  
209 T2-weighted images (grade 2), hypointense signal on both T1 -and T2-weighted  
210 images (grade 3).<sup>16</sup> Presence of extradural hemorrhage was defined as a poorly  
211 demarcated, extradural area of heterogeneous intensity on T2-weighted images.<sup>28</sup>

212

### 213 *Statistical Analyses*

214 Statistical analysis was performed by one of the authors (RMAP) and data were  
215 analyzed using statistical software (IBM SPSS Statistics version 19, New York). The  
216 binomial outcome variable was diagnosis of intervertebral disk extrusion or  
217 protrusion. Associations between the 32 predictor variables (clinical and MRI  
218 characteristics) and the outcome variables were screened at the univariable level using  
219 Chi-squared analysis for categorical predictors, and the Student's *t*-test or Mann-  
220 Whitney *U* test for continuous variables, dependent upon the normality of the  
221 distribution of the data, which was determined via visual inspection of histograms. *P*  
222 values <0.05 were considered significant in all analyses. Variables significantly  
223 associated with diagnosis at the univariable level ( $P < 0.05$ ) were taken forward to be  
224 tested in a multivariable model; a binomial logistic regression with diagnosis as the  
225 binomial outcome variable, using intervertebral disc extrusion as the reference  
226 category. Odds ratios of significant variables were inspected to determine which type  
227 of disc disease was more likely based on the predictor variables. Multicollinearity was  
228 checked for in all models, identified from inflated standard errors in the models and  
229 thus avoided. Model fit was assessed using the Akaike's information criterion (AIC)

230 and percentage correct classification, with lower AIC models favored to reduce  
231 residual error in the model while avoiding overfitting. In addition to these analyses, a  
232 post hoc receiver operating characteristic (ROC) analysis was used to examine the  
233 performance of the significant continuous variable, duration of clinical signs, as an  
234 indicator of diagnosis by determining the diagnostic power of the test by measuring  
235 the area under the curve (AUC). The reference standard was surgically confirmed  
236 diagnosis of intervertebral disk extrusion or protrusion. A perfect test has an AUC  
237 value of 1.0, with an AUC of 0.5 means the test performs no better than chance.  
238 Youden's index (Youden's J statistic;  $J = \text{Sensitivity} + \text{specificity} - 1$ ) was calculated  
239 to identify the optimal cut-off value of duration of clinical signs that yielded  
240 maximum sums from the ROC curves.

241

## 242 **Results**

### 243 *Included animals*

244 A total of 105 large breed dogs underwent MRI and spinal surgery for thoracolumbar  
245 intervertebral disk herniation. Ten cases were excluded, as the nature of herniated  
246 disk material was not clearly noted in the surgical reports. Ninety-five dogs with  
247 intervertebral disk extrusion (n=52) or protrusion (n=43) were therefore included in  
248 this study. Magnetic resonance imaging was performed with dogs in dorsal  
249 recumbency and by using a dedicated spinal coil. Imaging studies included a  
250 minimum of T2-weighted (repetition time (ms) (TR)/ echo time (ms) (TE); 3000/120)  
251 and T1-weighted (TR/TE, 400/8) sagittal and transverse images. Slice thickness for  
252 sagittal and transverse images were respectively 1.75 and 2.5mm with an interslice  
253 gap of 0.3mm in both planes. The transverse images were aligned parallel to the  
254 respective intervertebral disks. The surgical appearance of intervertebral disk

255 extrusions was typically characterized as sequestered calcified intervertebral disk  
256 material without physical connection with the ruptured anulus fibrosus. The surgical  
257 appearance of intervertebral disk protrusion was typically characterized by a focal or  
258 broad based dorsal displacement of the intervertebral disk without any defect in the  
259 outer layers of the anulus fibrosus.

260 Breed distribution of 52 dogs with intervertebral disk extrusion was German Shepherd  
261 Dog (n=12), Cross breed (seven), Labrador Retriever (six), Basset Hound, English  
262 Staffordshire Bull Terrier (both five), Clumber Spaniel, Rottweiler (both four), Rough  
263 Collie, Doberman Pinscher, English Pointer, Golden Retriever, Lurcher, English Bull  
264 Terrier, Portuguese Waterdog, English Springer Spaniel and Weimaraner (one for  
265 each). This group included 27 males and 25 females aged between 1 and 12 years  
266 (mean, 6.7 years). Median duration of clinical signs, before referral, was 2 days (25<sup>th</sup>-  
267 75<sup>th</sup> percentile, 1 - 9.25 days). Dogs presented with neurological grades 0 (n=four  
268 dogs), 1 (one), 2 (13), 3 (15), and 4 (19). Affected intervertebral disk spaces in order  
269 of occurrence were T13-L1, L1-L2 (both n=11), T12-T13 (nine), L3-L4 (seven), L2-  
270 L3 (six), T11-T12, L4-L5 (both three), T3-T4 and T10-L1 (both one). All dogs  
271 underwent a decompressive hemilaminectomy.

272

273 Breed distribution of 43 dogs with intervertebral disk protrusion was German  
274 Shepherd Dog (n = 21), English Staffordshire Bull Terrier (eight), Cross Breed (four),  
275 Basset Hound (three), Labrador Retriever (two), Bullmastiff, Dalmatian, English  
276 Pointer, Golden Retriever and Rottweiler (one for each). This group included 34  
277 males and nine females aged between 4 and 12.2 years (mean, 8.7 years). The median  
278 duration of clinical signs, before referral, was 42 days (25<sup>th</sup>-75<sup>th</sup> percentile, 4 - 150  
279 days). Dogs presented with neurological grades 2 (n=one dog), 3 (seven), and 4 (35).

280 Affected intervertebral disk spaces in order of occurrence were T13-L1 (n=17), T12-  
281 T13 (10), L1-L2 (nine), L2-L3 (five), T9-T10 and T11-T12 (both one). All dogs  
282 underwent a hemilaminectomy with anulectomy (n=22) or a hemilaminectomy with  
283 partial discectomy (21).

284

### 285 ***Clinical variables associated with intervertebral extrusion or protrusion***

286 Univariable statistical analysis (Table 1) revealed that older age, longer duration of  
287 clinical signs, male gender, and a higher neurological grade (less severely affected)  
288 were significantly associated with a diagnosis of intervertebral disk protrusion ( $P <$   
289  $0.05$ ). After performing binomial logistic regression (Table 2), longer duration of  
290 clinical signs was the only clinical variable significantly associated with a diagnosis  
291 of intervertebral disk protrusion (median duration of clinical signs was two days and  
292 42 days for dogs with intervertebral disk extrusion and protrusion, respectively). With  
293 each increasing day that clinical signs were present, there was a significantly  
294 increased likelihood of the diagnosis being intervertebral disk protrusion rather than  
295 extrusion ( $P = 0.011$ ). ROC-analysis (Figure 1) revealed that duration of clinical signs  
296 of 21 days was associated with the highest combined sensitivity (70%) and specificity  
297 (87%) to differentiate between both types of intervertebral disk herniation. The area  
298 under the curve was 0.79 (95% CI: 0.69-0.88).

299

### 300 ***MRI-variables associated with intervertebral disk extrusion or protrusion***

301 Univariable statistical analysis (Table 1) revealed that extrusion-morphology,  
302 narrowing of the intervertebral disk space, complete intervertebral disk degeneration,  
303 presence of nuclear clefts, lateralized disk material, dorsal location of herniated disk  
304 material, subjective spinal cord swelling, and presence of epidural hemorrhage, were

305 associated with a diagnosis of intervertebral disk extrusion ( $P < 0.05$ ). Protrusion-  
306 morphology, partial disk degeneration, herniated disk material confined to the  
307 intervertebral disk space, ventral location of herniated disk material, spinal cord  
308 atrophy, lower compression ratio values (indicating more pronounced dorsoventral  
309 spinal cord flattening), presence and type of intraparenchymal signal intensity  
310 changes, presence and type of endplate changes, presence of multiple intervertebral  
311 disk herniations, and presence of spondylosis deformans were significantly associated  
312 with a diagnosis of intervertebral disk protrusion. After performing binomial logistic  
313 regression, four MRI variables were retained as independent predictors of  
314 intervertebral disk protrusion or extrusion (Table 2). Midline instead of lateralized  
315 intervertebral disk herniation (Figure 2), and partial instead of complete intervertebral  
316 disk degeneration (Figure 3) were significantly associated with a diagnosis of  
317 intervertebral disk protrusion. The presence of a single instead of multiple  
318 intervertebral disk herniations (Figure 4) and dispersed intervertebral disk material  
319 not confined to the disk space (Figure 5) made a diagnosis of intervertebral disk  
320 extrusion more likely. Although the latter variable did not reach statistical  
321 significance ( $P = 0.06$ ), inclusion of this variable improved model fit (determined by  
322 AIC values and percentage correct classification) and it was thus retained in the final  
323 model.

324

## 325 **Discussion**

326

327 This study evaluated the application of clinical and previously described MRI  
328 characteristics<sup>5,16,22-33</sup> in an attempt to identify specific variables that could be used to  
329 differentiate thoracolumbar intervertebral disk extrusions from protrusions. One

330 clinical and four MRI variables were identified as independent predictors for the exact  
331 type of intervertebral disk herniation (Table 2). Duration of clinical signs,  
332 lateralization of herniated disk material, degree of intervertebral disk degeneration,  
333 number of intervertebral disk herniations, and localization of herniated disk material  
334 relative to the affected intervertebral disk space were considered the most predictive  
335 independent variables to diagnose thoracolumbar intervertebral disk extrusion or  
336 protrusion. Differentiating between both types of thoracolumbar intervertebral disk  
337 herniation is of clinical importance. Both types of disk herniation can be considered  
338 distinct clinical entities and are associated with a different pathophysiology, available  
339 treatment options<sup>4,10,12-14</sup>, postoperative recovery, and prognosis after medical and  
340 surgical treatment.<sup>4</sup> Making informed clinical decisions is however only possible  
341 when an accurate diagnosis can be reached.

342

343 Longer duration of clinical signs was considered the only clinical variable able to  
344 assist in differentiating extrusions from protrusions. This is in agreement with  
345 previously reported studies<sup>4,10</sup> and most likely reflects the pathophysiological  
346 differences between both types of intervertebral disk herniation. Where intervertebral  
347 disk extrusion is characterized by a sudden extrusion of calcified and fragmented  
348 nucleus pulposus into the vertebral canal, intervertebral disk protrusion is  
349 characterized by a more gradual hypertrophy and hyperplasia of the anulus  
350 fibrosus.<sup>3,6,9</sup> Although dogs with both intervertebral disk extrusion and protrusion  
351 presented with a large variation in duration of their clinical signs, our results indicate  
352 that duration of clinical signs of 21 days could be considered a potential guideline to  
353 differentiate between dogs with both types of intervertebral disk herniation.

354



355 Midline intervertebral disk herniation was associated with a diagnosis of disk  
356 protrusion, while lateralized intervertebral disk herniation was associated with a  
357 diagnosis of intervertebral disk extrusion (Figure 2). Intervertebral disk protrusion is  
358 characterized by protrusion of the dorsal anulus and the intact dorsal longitudinal  
359 ligament into the vertebral canal.<sup>6</sup> Lateral displacement of herniated material is  
360 therefore likely limited by the anatomical boundaries of the dorsal longitudinal  
361 ligament, which then possibly facilitates midline protrusion. Intervertebral disk  
362 extrusion is however often characterized by extrusion of nuclear material through all  
363 layers of the anulus fibrosus and through or lateral to the dorsal longitudinal  
364 ligament.<sup>6</sup> The dorsal longitudinal ligament therefore does not directly limit lateral  
365 displacement of herniated material, which can move more freely into the vertebral  
366 canal.

367

368 Partial intervertebral disk degeneration, represented by the preservation of some  
369 hyperintensity in the nucleus pulposus on T2-weighted images, was associated with a  
370 diagnosis of intervertebral disk protrusion, while complete disk degeneration,  
371 represented by complete loss of hyperintense signal was associated with a diagnosis  
372 of intervertebral disk extrusion. This is consistent with published studies indicating  
373 that uniformly hyperintense signal on T2-weighted images of a non-degenerated  
374 intervertebral disk is caused by the high water content of the healthy nucleus  
375 pulposus.<sup>25,30,31</sup> The hallmark of Hansen Type I disk degeneration, which precedes  
376 intervertebral disk extrusion, is the transition from a gelatinous, semi-fluid nucleus  
377 pulposus into a drier and more rigid structure.<sup>3,6,9</sup> This is caused by a decrease of  
378 water-binding proteoglycans, including chondroitin sulfate, and an increase in  
379 collagen content.<sup>6,34,35</sup> While the primary target of degeneration is the nucleus

380 pulposus in dogs with intervertebral disk extrusion, this is not necessarily true in dogs  
381 with intervertebral disk protrusion. Mineralization of the nucleus pulposus is not  
382 always seen in dogs with disk protrusions and degenerative changes of the anulus can  
383 occur earlier, before pathological changes are seen in the nucleus pulposus.<sup>3,6,9,35,36</sup>  
384 This could explain why dogs with intervertebral disk protrusion can still demonstrate  
385 hydration of the nucleus pulposus with preservation of hyperintensity on T2-weighted  
386 images. In agreement with previous studies, presence of a single intervertebral disk  
387 herniation was associated with disk extrusions, while the presence of multiple  
388 compressive lesions was associated with a diagnosis of intervertebral disk protrusion.<sup>4</sup>  
389 Although this finding is difficult to explain, it is possibly related to the different  
390 pathological mechanisms underlying these two types of intervertebral disk disease.  
391 Sudden extrusion of disk material in intervertebral disk extrusion results most often in  
392 both contusion and compression of the spinal cord.<sup>37</sup> It is therefore less likely that  
393 disk extrusions will occur without noticeable clinical signs. In contrast, intervertebral  
394 disk protrusion is typically associated with gradual spinal cord compression without  
395 contusion.<sup>38</sup> Disk-associated spinal cord compression has been demonstrated in  
396 clinically normal dogs<sup>26,39</sup> and a remarkable degree of progressive spinal cord  
397 compression can occur before clinical signs eventually develop.<sup>33</sup> It is therefore  
398 possible that multiple spinal cord compressions of variable severity can co-exist  
399 before clinical signs appear. It is also possible that intervertebral disk protrusion is an  
400 intrinsically more multifocal disease process, facilitating concurrent intervertebral  
401 disk herniations. Additionally, dogs with intervertebral disk protrusions were  
402 significantly older than dogs with intervertebral disk extrusions. Intervertebral disk  
403 degeneration and herniation has been suggested to represent a physiological age  
404 related process.<sup>39</sup> This could also have contributed to the higher number of disk

405 herniations in dogs with intervertebral disk protrusions. Occurrence of multiple  
406 lumbar disk protrusions poses difficulties in selecting the most appropriate treatment  
407 modality. While specific surgical techniques, including stabilization, have been  
408 suggested<sup>10,13</sup>, the presence of multiple disk protrusions has also been associated with  
409 a reluctance to perform surgery.<sup>4</sup>

410

411 In agreement with previous findings<sup>4</sup> dispersion of herniated disk material beyond  
412 the borders of the affected disk space was associated with a diagnosis of intervertebral  
413 disk extrusion, while confinement to the borders of the intervertebral disk space was  
414 associated with a diagnosis of intervertebral disk protrusion (Figure 5). This finding  
415 can most likely be explained by the fact that the dorsally displaced nucleus pulposus  
416 remains contained within the outer layers of the annulus fibrosus in dogs with disk  
417 protrusions<sup>3,6,9</sup>, while calcified nucleus pulposus ruptures through all layers of the  
418 annulus in dogs with intervertebral disk extrusion and can therefore be more easily  
419 displaced beyond the boundaries of the affected intervertebral disk space.<sup>5</sup>

420

421 This study is limited by its retrospective nature, which complicated standardized  
422 patient assessment and correlation of MRI and surgical findings. Although the  
423 selection of MRI variables was based on previously published veterinary and human  
424 neuroradiology studies, it is possible that some of the variables were not necessarily  
425 associated with a perfect diagnostic accuracy for the intended purpose. For example,  
426 assessment of epidural haemorrhage was based on the presence of a poorly  
427 demarcated, extradural area of heterogeneous intensity on sagittal T2-weighted  
428 images<sup>28</sup>, which could be considered an unspecific imaging finding. Although it is  
429 possible that inclusion of gradient echo sequences would have improved diagnostic

430 accuracy, results of a recent study suggest that identification of a susceptibility artifact  
431 on gradient echo spinal MRI studies is also not specific for epidural hemorrhage in  
432 dogs with intervertebral disk extrusions.<sup>40</sup> It should further be emphasized that this  
433 study did not evaluate the diagnostic accuracy or reliability of the blinded observer  
434 and that interpretation of most evaluated MRI variables were likely associated with  
435 inherent subjectivity. Previous studies have questioned the reliability of some of the  
436 evaluated MRI variables, including subjective evaluation of intervertebral disk  
437 width.<sup>41,42</sup> Subjective evaluation of intervertebral disk width using MRI has been  
438 associated with considerable disagreement between and within observers<sup>41,42</sup>, while  
439 objective measurements have been associated with good inter –and intraobserver  
440 agreement.<sup>43</sup> Absolute measurements were however not included in this study due to  
441 concerns about heterogeneity of included breeds and dog sizes. Although this study  
442 has identified one clinical and several MRI-variables that are independently  
443 associated with a diagnosis of intervertebral disk extrusion or protrusion, it is  
444 currently unclear if application of these variables into a clinical setting will result in  
445 an improved differentiating of both clinical entities. Furthermore, it is currently  
446 unclear how well or poor intervertebral disk extrusion and protrusion can be  
447 differentiated without assistance of these variables. Further studies are therefore  
448 needed to determine the necessity, accuracy and reliability of the identified variables  
449 as diagnostic guidelines to differentiate both types of intervertebral disk herniation.

450

451 In summary, this study identified potential clinical and MRI-variables to improve  
452 differentiation of thoracolumbar intervertebral disk extrusions from protrusions. More  
453 specifically, duration of clinical signs, lateralization of herniated disk material, degree  
454 of intervertebral disk degeneration, presence of multiple intervertebral disk

455 herniations, and confinement of herniated disk material to the affected intervertebral  
456 disk space were identified as independent variables to predict a diagnosis of  
457 intervertebral disk extrusion or intervertebral disk protrusion. Further studies are  
458 necessary to evaluate the use of these variables to improve reaching a correct  
459 diagnosis of thoracolumbar intervertebral disk extrusion or protrusion.

460

461 **Acknowledgments:** None

462

463

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593 **Figure Legends**

594

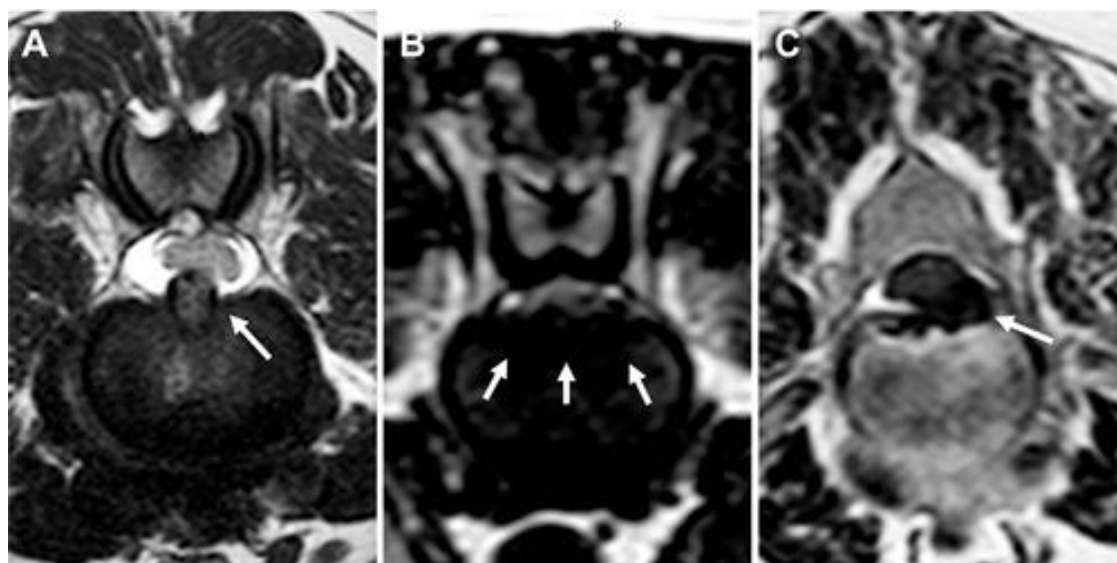
595 **Table 1.** IVDH, intervertebral disk herniation; IVDS, intervertebral disk space; ISI,  
596 intraparenchymal intensity; SE, standard error; %, percentile; non-significant values  
597 indicated by  $P$ -value  $>0.05$

598

599 **Table 2.** IVD, intervertebral disk; IVDH, intervertebral disk herniation; IVDP,  
600 intervertebral disk protrusion; IVDE, intervertebral disk extrusion, OR, odds ratio; CI,  
601 confidence interval; significant variables ( $P < 0.05$ ) marked by asterisk (\*). Although  
602 ‘IVDH not confined to IVDS’ did not reach statistical significance, inclusion of this  
603 variable improved model fit.

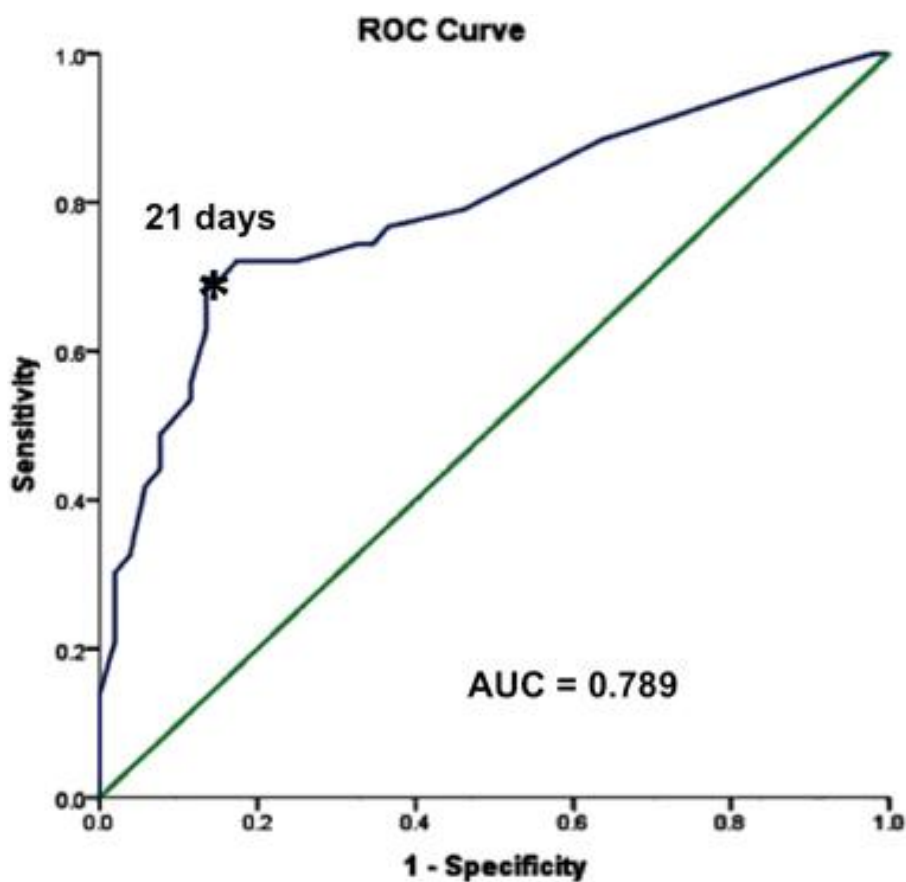
604

605 **Figure 1.** Receiver operating characteristic curve for duration of clinical signs in 52  
606 dogs with thoracolumbar intervertebral disk extrusion and 43 dogs with intervertebral  
607 disk protrusion. A duration of clinical signs of 21 days (asterisk) corresponded with  
608 the highest combined sensitivity and specificity to differentiate between both types of  
609 intervertebral disk herniation.



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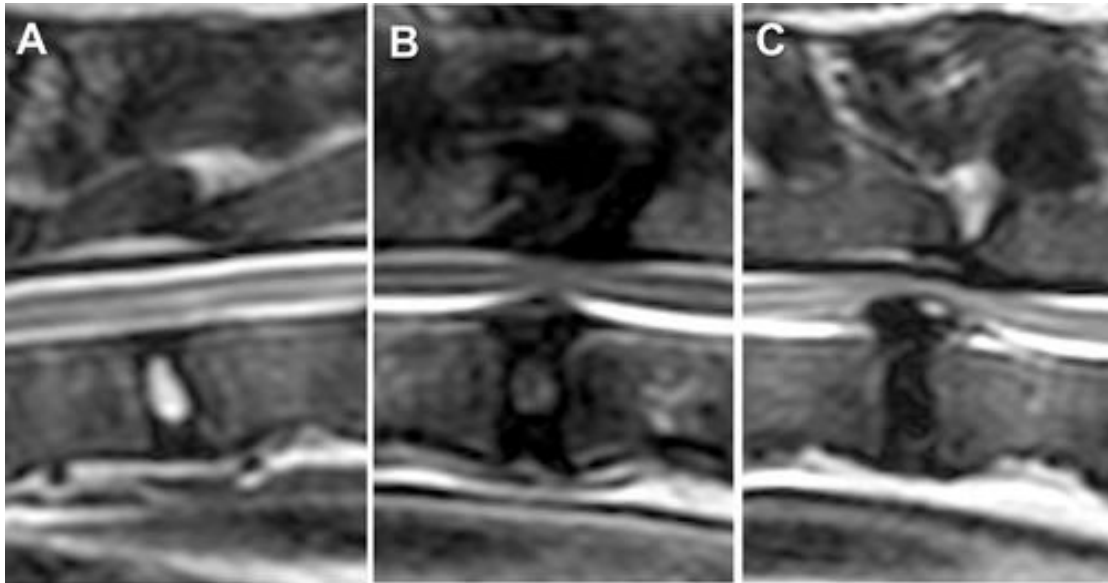
611 **Figure 2.** T2W transverse images of dogs with a surgically confirmed thoracolumbar  
612 intervertebral disk protrusion (A and B) and a dog with surgically confirmed  
613 intervertebral disk extrusion (C). (A and B) Midline intervertebral disk herniation  
614 (arrows) was predictive for a diagnosis of disk protrusion, while lateralized  
615 intervertebral disk herniation (arrow) was predictive for intervertebral disk extrusion  
616 (B). Presented intervertebral disk herniations represent protrusion (A), bulging (B),  
617 and extrusion (C) morphology



618

619

620 **Figure 3.** T2W sagittal images of a clinically normal dog (A), a dog with surgically  
621 confirmed thoracolumbar intervertebral disk protrusion (B), and a dog with a  
622 surgically confirmed intervertebral disk extrusion (C). Partial loss of nucleus pulposus  
623 signal intensity (B) was associated with disk protrusion, while complete loss of  
624 hyperintense signal (C) was associated with disk extrusion. Non-degenerated disk  
625 with homogenous hyperintense nucleus pulposus for comparison (A).



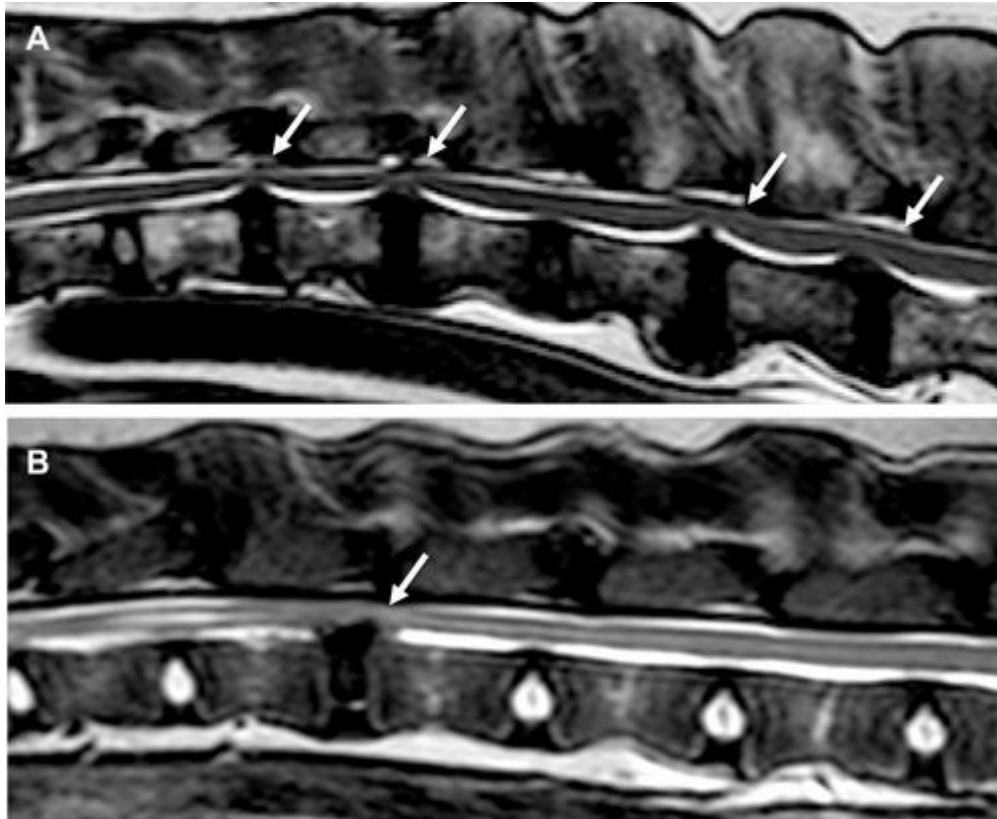
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629 **Figure 4.** T2W sagittal images of a dog with surgically confirmed thoracolumbar  
630 intervertebral disk protrusions (A), and a dog with intervertebral disk extrusion (B).

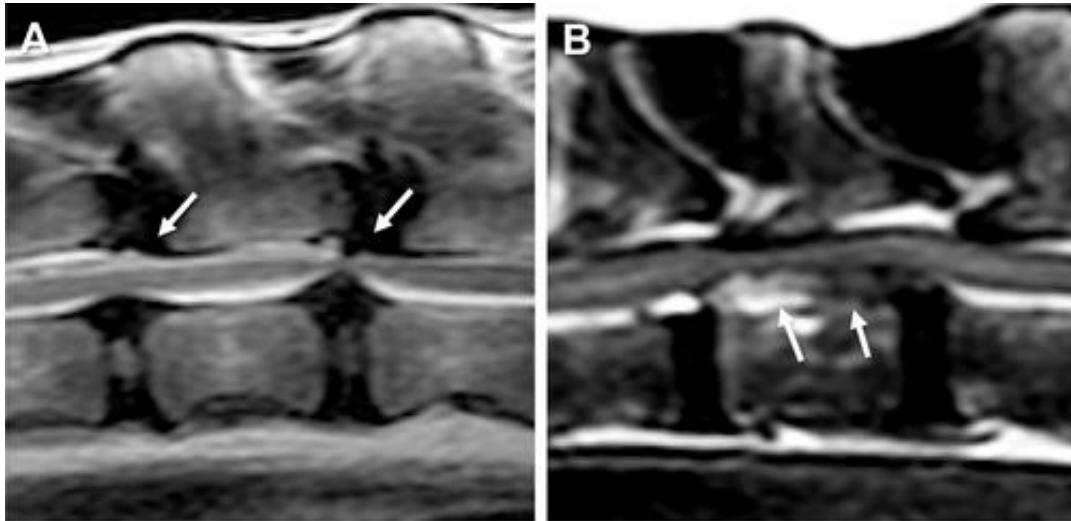
631 (A) Presence of multiple intervertebral disk herniations was predictive for a diagnosis  
632 of disk protrusion (arrows), while presence of a single intervertebral disk herniation  
633 (arrow) was predictive for disk extrusion (B).



634

635

636 **Figure 5.** T2W sagittal images of a dog with two surgically confirmed thoracolumbar  
637 intervertebral disk protrusions (A), and a dog with a surgically confirmed  
638 intervertebral disk extrusion (B). Presence of herniated disk material confined to the  
639 intervertebral disk space (arrows) was predictive for protrusion (A), while herniated  
640 disk material exceeding the limits of the intervertebral disk space (arrows) was  
641 predictive for extrusion (B). Both intervertebral disk protrusions (A) demonstrate  
642 partial intervertebral disk degeneration, while the intervertebral disk extrusion (B)  
643 demonstrates complete intervertebral disk degeneration.



644

645



646 **Table 1.** Results of univariate statistical analysis for clinical and MRI variables for 52  
 647 dogs with intervertebral disk extrusion and 43 dogs with intervertebral disk protrusion

Variable	Intervertebral disk extrusion (n=52)	intervertebral disk protrusion (n=43)	P-Value
Male	27 (51.9%)	34 (79.1%)	0.006
Neutered	24 (46.2%)	19 (44.2%)	>0.05
Age (mean, SE)	6.7 years (0.34)	8.7 (0.34)	< 0.001
Duration signs (median, 25 <sup>th</sup> -75 <sup>th</sup> percentile)	2 days (1.0 – 9.25)	42 days (4.0-150)	< 0.001
Neurological grade			<0.001
Grade 1	4 (7.7%)	0 (0%)	
Grade 2	13 (25.0%)	1 (2.3%)	
Grade 3	15 (28.8%)	7 (16.3%)	
Grade 4	19 (36.5%)	35 (81.4%)	
IVDH confined to IVDS	17 (32.7%)	42 (97.7%)	<0.001
IVDH lateralized	43 (82.7%)	8 (18.6%)	<0.001
Dorsal disk material	17 (32.7%)	1 (2.3%)	<0.001
Ventral disk material	37 (71.2%)	43 (100%)	<0.001
Lateral disk material	33 (63.5%)	0 (0%)	<0.001
Bulging morphology	3 (5.8%)	13 (30.2%)	0.002
Protrusion morphology	4 (7.7%)	24 (55.8%)	<0.001
Extrusion morphology	43 (82.7%)	6 (14%)	<0.001
Nuclear cleft present	34 (65.4%)	16 (37.2%)	0.006
Distinct contour anulus fibrosus	10 (19.2%)	12 (27.9%)	>0.05
Distinction anulus and nucleus	6 (11.5%)	9 (20.9%)	>0.05
IVD degeneration			0.048
Grade 0	5 (9.6%)	2 (4.7%)	
Grade 1	20 (38.5%)	27 (62.8%)	
Grade 2	27 (51.9%)	14 (32.6%)	
Multiple IVDH present	9 (17.3%)	33 (76.7%)	<0.001
Narrowed IVDS	42 (80.8%)	15 (34.9%)	<0.001
ISI change present	27 (51.9%)	32 (74.4%)	0.045
ISI changes			0.036
Type 0	25 (48.1%)	11 (25.6%)	
Type 1	24 (46.2%)	22 (51.2%)	
Type 2	2 (3.8%)	7 (16.3%)	
Type 3	1 (1.9%)	3 (7.0%)	
Extradural hemorrhage	35 (67.3%)	1 (2.3%)	<0.001
Spondylosis deformans	9 (17.3%)	21 (48.8%)	0.001
Endplate changes present	12 (23.1%)	22 (51.2%)	0.016
Endplate changes			0.025
Type 0	40 (76.9%)	21 (48.8%)	
Type 1	2 (3.8%)	5 (11.6%)	
Type 2	5 (9.6%)	12 (27.9%)	
Type 3	5 (9.6%)	5 (11.6%)	
Spinal cord swelling	37 (71.2%)	2 (4.6%)	<0.001
Spinal cord atrophy	2 (3.8%)	14 (32.7%)	<0.001
Remaining spinal cord area (mean, SE)	0.62 (0.02)	0.66 (0.03)	>0.05
Compression ratio (mean, SE)	0.50 (0.03)	0.39 (0.02)	0.004

648 **Table 2.** Results of multivariate statistical analysis for clinical and MRI variables for  
 649 52 dogs with intervertebral disk extrusion and 43 dogs with intervertebral disk  
 650 protrusion  
 651

<b>Risk Factor</b>	<b>Type of IVDH</b>	<b>OR</b>	<b>95% CI</b>	<b>P-value</b>
Longer duration of clinical signs	IVDP more likely	1.02	1.01-1.04	0.01*
Partial instead of complete IVD degeneration	IVDP more likely	16.58	1.95-141.3	0.01*
IVDH NOT lateralized	IVDP more likely	14.19	2.1 – 97.6	0.007*
Multiple IVDHs NOT present	IVDE more likely	0.17	0.04 – 0.78	0.023*
IVDH not confined to IVDS	IVDE more likely	0.09	0.01 – 1.08	0.06