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1 **Clinical presentation, treatment and outcome in 31 dogs with presumed primary colorectal**  
2 **lymphoma (2001-2013).**

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25 The objective of this multicenter retrospective study was to describe clinical presentation, treatment,  
26 outcome, and determine prognostic factors for dogs with presumed primary colorectal lymphoma  
27 (PCRL). Thirty-one dogs were included. The predominant features of PCRL were high grade (n=18)  
28 and immunophenotype B (n=24). Most dogs were substage b (n=25) with higher prevalence of  
29 haematochezia (n=20). One dog had surgery only. Thirtydogs received chemotherapy; amongst them  
30 13 had surgery or radiotherapy. Progression free survival (PFS) was 1318 days and disease-related  
31 median survival time (MST) 1845 days. Fourteen dogs were alive at the end of the study with a  
32 median follow-up time of 684 days (3- 4678 days). Younger dogs had longer PFS (p=0.031) and  
33 disease-related MST (p=0,01).Presence of haematochezia corresponded with longer PFS (p=0.02).  
34 Addition of local treatment to chemotherapy did not significantly improve the outcome (p=0.584).  
35 Canine PCRL has considerably longer PFS and MST than other forms of non-Hodgkin's lymphoma.

36 Keyword: canine, lymphoma, chemotherapy, colorectal

37 Abbreviations

38 AL: Alimentary Lymphoma

39 CI: Confidence Interval

40 CR: Complete Response

41 GI: Gastrointestinal

42 MST: Median Survival Time

43 PCRL: Primary Colorectal Lymphoma

44 PFS: Progression Free Survival

45 PR: Partial Response

46 **Introduction**

47 Canine gastrointestinal (GI) lymphoma, also named alimentary lymphoma (AL), is second in  
48 frequency to the multicentric form and accounts for 5-7% of all canine lymphomas.<sup>1</sup> In human  
49 medicine, AL is defined according to Dawson's criteria<sup>2</sup> (Figure 1), in which the lymphoma is  
50 considered alimentary only if the predominant lesion lies within the GI tract, with lymph node  
51 involvement confined to the lymph node chain draining that specific GI segment. In veterinary  
52 medicine, this definition historically has been extended and AL in general is described as the primary  
53 infiltration of neoplastic lymphocytes in the GI tract with or without additional extra-GI involvement  
54 confined to the abdominal cavity.<sup>3</sup> Most of the dogs affected by AL present late in the course of the  
55 disease with severe GI clinical signs and have rapid progression with a worse outcome than the  
56 multicentric form<sup>4</sup>: median survival time for canine AL ranges from 0.5 to 2.5 months,<sup>4,5</sup> versus 10 to  
57 12 months for the multicentric form.<sup>1</sup> This difference in prognosis can be attributed to the negative  
58 prognostic factors carried by the most common presentation of canine GI lymphoma: T-cell  
59 immunophenotype, high grade and substage b.<sup>4,5,6,7</sup> However dogs with AL typically do worse than  
60 dogs with multicentric lymphoma carrying similar negative prognostic factors so it is unknown if  
61 there are additional inherent features of AL, such as specific morphologic subtype that could account  
62 for its poorer prognosis. Chemotherapy and supportive care are the standard of treatment for AL in  
63 dogs. A specific chemotherapy protocol (VELCAP-SC: vincristine, L-asparaginase,  
64 cyclophosphamide, doxorubicin, prednisolone - short, consolidated) for canine AL resulted in an  
65 overall median survival time of 77 days for the whole population and of 117 days for the  
66 chemotherapy responders.<sup>4</sup>

67 Primary large intestinal lymphoma can arise primarily from the colon, the rectum or both. In human  
68 medicine, it is referred to as primary colorectal lymphoma (PCRL) regardless of the segment of large  
69 intestine it is originating from. Canine PCRL is a rare presentation of AL, which has been sparsely  
70 described in the veterinary literature within case series reporting information about response and  
71 outcome for all GI locations.<sup>3-6,8</sup> When specific information for dogs with large intestinal lymphoma  
72 has been reported in those studies, a better outcome than other GI locations has been observed<sup>3-5,8,9</sup>  
73 with remission times up to 54 months (Table 1). A recent case series of 11 dogs with rectal lymphoma  
74 treated with surgery (7/11) and/or chemotherapy (8/11) described a mean survival time of 1697 days,

75 with a median not reached<sup>10</sup>. This data suggests that PCRL could have a better prognosis than AL in  
76 other locations. Such difference in prognosis could have implications in veterinary practice as some  
77 owners or veterinarians might be reluctant to treat dogs diagnosed with PCRL due to the currently  
78 described overall poor prognosis for AL. Questions that remain unanswered at the moment are: if  
79 studies with a higher number of patients would corroborate a distinct prognosis and a specific clinical  
80 presentation for canine PCRL; which prognostic factors are associated with this presentation and  
81 which therapeutic approach would have the best outcome. The purpose of the present multi-center  
82 retrospective study is to provide further information to answer those questions.

83

## 84 **Material and methods**

### 85 Case selection:

86 Dogs were presumed to have PCRL if they had absence of peripheral lymphadenopathy, a cytologic  
87 or histologic diagnosis of lymphoma had been made based on examination of a mass or diffuse  
88 intestinal wall infiltration of rectum, colon, or both and the main clinical signs at presentation were  
89 associated to large intestinal involvement. Dogs with concurrent abdominal lymphadenopathy,  
90 hepatomegaly and splenomegaly were eligible for inclusion. Exclusion criteria included presence of  
91 gross disease in other areas of the GI-tract evidenced by abdominal imaging or direct visualization at  
92 surgery.

93 The American College of Veterinary Internal Medicine Oncology Diplomate list serve was used to  
94 recruit cases for this retrospective study for which a diagnosis of PCRL was established. Medical  
95 records of dogs diagnosed with PCRL-between 2000 and 2013 at the Queen Mother Hospital for  
96 Animals, Royal Veterinary College, London, United Kingdom; The College of Veterinary Medicine,  
97 Washington State University, Pullman, Washington State, USA; East Bay Veterinary Specialists,  
98 Walnut Creek, California, USA; Animal Cancer Center, Colorado State University, Fort Collins,  
99 Colorado, USA; The Hope Center for advanced Veterinary Medicine, Vienna, Virginia, USA; The  
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105 Veterinary Specialty Center at Veterinary Emergency Service, Middleton, Wisconsin, USA;  
106 Southwest Veterinary Oncology, Gilbert, Arizona, USA; Alta Vista Animal Hospital , Ottawa,  
107 Ontario, Canada; Veterinary Medical Center, Ohio State University, Columbus, Ohio, USA; Animal  
108 Specialty and Emergency Center (ASEC), Los Angeles, California, USA; Vets Now Referrals, Blue  
109 Bell Hill, Kent, UK; were retrospectively reviewed.

110 Medical records review:

111 Data abstracted from the medical record included signalment, date and weight at diagnosis, presenting  
112 clinical signs, duration of the clinical signs, prior treatment(s), location of disease, method of  
113 diagnosis (cytology or histopathology), lymphoma grade and immunophenotype, results of clinical  
114 staging performed including physical examination findings, imaging technique used (thoracic  
115 radiographs, abdominal ultrasound, colonoscopy or CT scan) and bone marrow aspirate results,  
116 laboratory test results (including complete blood count, serum biochemical profile, urinalysis and  
117 faecal analysis when available), treatment modality (surgery, radiation therapy protocol,  
118 chemotherapy protocol(s)), response to therapy, date and cause of death or last follow-up visit.  
119 Necropsy results were recorded when available. Results of clinicopathological testing were classified  
120 as normal or abnormal by comparison with established reference ranges for the participating  
121 institution where the test was performed.  
122 Histological reevaluation was performed for all cases for which samples were available. Lymphoma  
123 grading was performed with grading system employed at the discretion of the pathologist reviewing  
124 the slides for cases with histopathology and according to cell size for those with cytological  
125 diagnosis.<sup>11-13</sup> Immunohistochemistry or immunocytochemistry was performed using antibodies  
126 against CD3 and CD79 for all cases for which samples were available and in which

127 immunophenotyping had not been performed. Immunophenotyping was also performed with PCR for  
128 antigen receptor re-arrangement (PARR) in some cases.

129 Dogs were clinically staged at diagnosis according to the World Health Organization (WHO) criteria  
130 for canine lymphoma.<sup>1</sup> Dogs were further classified as substage a (having no clinical signs) or  
131 substage b (having systemic signs or clinical signs associated to the gastrointestinal presentation of  
132 lymphoma with potential impact on systemic status, as previously described for assessment of  
133 substage in canine AL). Clinical signs included in the b substage category were haematochezia,  
134 tenesmus, diarrhoea, vomiting, lethargy, or decreased appetite. Haematochezia, tenesmus, vomiting,  
135 and diarrhoea were considered local clinical signs; lethargy and decreased appetite were considered  
136 systemic signs. Information regarding exact anatomic location and extent of the disease was gathered  
137 from the physical examination and staging test results.

138 Therapeutic modality was recorded for each patient. When surgery was performed, information  
139 regarding the type and extent of surgery (i.e. excisional or incisional biopsy, date of procedure, and  
140 regional lymph node(s) removal) was recorded. Only dogs that had an excisional surgery were  
141 considered to have had surgery as a treatment. Surgical technique was recorded when available. For  
142 patients that were treated with radiation therapy, type and protocol (dose, number and frequency of  
143 fractions) were recorded. For chemotherapeutic treatments, information regarding protocols  
144 (induction and rescue) including drug, dose, administration frequency and protocol duration, was  
145 recorded. For descriptive purposes, the first chemotherapy protocol used was categorised as: CHOP  
146 type protocol, doxorubicin single agent, COP type protocol, lomustine-based protocol, prednisolone  
147 and chlorambucil or prednisolone alone. CHOP protocols could be of different length (19 or 25  
148 weeks) and sometimes included L-asparaginase, or epirubicin instead of doxorubicin; the COP type  
149 protocols sometimes included a dose of cytarabine (COAP protocol).

150 Response to treatment was classified according to remission status recorded by the veterinarian  
151 providing the data using the following categories: complete response (CR), partial response (PR),  
152 stable disease, and progressive disease. Response to treatment was mainly determined based on results  
153 of clinical examination and assessment of progression of clinical signs. When available, follow-up  
154 abdominal ultrasound examinations were used to assess for changes in internal organs. Complete

155 response was defined as resolution of all clinical signs and disappearance of all clinical evidence of  
156 disease on the basis of physical examination (via rectal and abdominal palpation) or follow-up  
157 abdominal ultrasound examination. Partial response was defined as ( $\geq$ ) 50 and ( $<$ ) 100% reduction in  
158 size of measurable disease on the basis of physical examination (via rectal and abdominal palpation)  
159 or follow-up abdominal ultrasound examination associated or not with improvement of the clinical  
160 signs. No response was defined as no changes or deterioration in clinical signs, and less than 50%  
161 reduction, increase in the size of measurable disease or appearance of new lesions on the basis of  
162 physical examination (via rectal and abdominal palpation) or follow-up abdominal ultrasound  
163 examination.<sup>14</sup> When surgery was performed prior to chemotherapy, only dogs with residual  
164 macroscopic disease were included in the assessment of response to chemotherapy.

165 Statistical analysis:

166 Categorical data are presented either as percentages or ratios. Continuous data are presented as  
167 median (range). Survival time was defined as the time from diagnosis until natural death or  
168 euthanasia. Deaths due to disease progression were considered events for the disease-related median  
169 survival time. Dogs that were lost to follow-up, still alive at the end of the study period or died from  
170 causes unrelated to the lymphoma were censored. In addition, overall survival time was also  
171 calculated. Due to the small number of patients that died from lymphoma, this variable included all  
172 deaths as events (related to lymphoma or unrelated) with dogs lost to follow-up or still alive at the end  
173 of the study period being censored. Progression free survival was defined as the time from initiation  
174 of treatment to event. For this variable the date of relapse was considered as the endpoint for dogs  
175 when this occurred. Dogs that had not relapsed during the study period were censored at the last date  
176 they were contacted or evaluated by the vet or the date of death free of disease.

177 After statistical description of the patient population, survival analysis using Kaplan-Meier product  
178 limit method was conducted to estimate disease-related median survival time (MST), median overall  
179 survival time, and progression free survival (PFS), for the whole population of PCRL patients.  
180 Disease-related MST and PFS of dogs distributed in groups on the basis of various potential risk



181 factors (univariate analysis) was also calculated. Exploratory statistical analysis for each categorical  
182 risk factors (age, location of the disease, involvement of organs other than the large intestine,  
183 substage, number of clinical signs present, type of clinical signs (local vs systemic), grade,  
184 immunophenotype, treatment type, chemotherapy protocol) used the logrank test to compare  
185 estimated PFS and disease-related MST between categories. Multivariate analysis was not performed  
186 due to the small number of animals in each group and high censorship of dogs in the study. A value of  
187  $p \leq 0.05$  was considered significant. All calculations were performed with the aid of a standard  
188 statistical software<sup>a</sup>.

## 189 **Results**

190 Signalment: Thirty-one dogs met the inclusion criteria for this study and consisted of 17 spayed  
191 females and 14 males (12 neutered and 2 intact). The median age at diagnosis was 5 years (range 1.5-  
192 13.5 years old). Median weight was 23.8 kg (range 5.9-52.1 kg). The most common breeds were cross  
193 breeds (7 dogs; 22.6%), and Labrador retrievers (4 dogs; 12.9%).

194 Clinical signs at diagnosis: Presenting clinical signs were known for 29 of 31 dogs. Twenty-five  
195 (80.64%) dogs had clinical signs at presentation associated with the disease and were considered  
196 substage b. Haematochezia was the most common presenting clinical sign (n = 20; 64.5%) with 13 of  
197 these dogs presenting additional clinical signs. Other reported clinical signs included: tenesmus in  
198 35.6 % (n=11), diarrhea in 25.8 % (n=8), narrow diameter of faeces in 12.9% (n=4), vomiting in 3.4%  
199 (n=1), lethargy in 3.4% (n=1). Eighteen dogs (58%) had simultaneously 2 to 4 different clinical signs  
200 and 7 dogs (22.5%) had one clinical sign at presentation. Presence of a rectal mass was described on  
201 physical examination in 35.6 % (n=11) and rectal prolapse in 9.68% (n=3). The median duration of  
202 clinical signs was 21 days (range 1-120 days) for the 28 dogs for which this information was  
203 available.

204 Staging and location of the disease: As per inclusion criteria, none of the dogs had peripheral  
205 lymphadenopathy identified on physical examination. Imaging was performed in 29 patients: 2 dogs  
206 had imaging of thorax and abdomen as well as bone marrow evaluation, 19 had imaging of the thorax

207 and abdomen, 7 dogs had only abdominal imaging and 1 had only thoracic imaging. Abdominal  
208 imaging consisted of ultrasonography in 24 dogs, radiographs in 2 and computed tomography in 2.  
209 Thoracic imaging was performed in 2 dogs with CT scan and in 20 with radiographs. Dogs that did  
210 not have abdominal imaging had direct evaluation of the GI tract via laparotomy or endoscopy.

211 A solitary mass was found in 23 patients (17 rectal masses of which 4 dogs also had regional lymph  
212 node involvement, 6 colonic masses of which 5 dogs also had regional lymph node involvement).  
213 Multiple masses were identified in 5 patients, with 1 dog having multiple masses in the rectum, 1 dog  
214 with multiple masses in the colon, and 3 dogs having masses occurring in both areas. Three dogs were  
215 determined to have lymphoma diffusely throughout the colorectal region. Regional lymphadenopathy  
216 was present in 12 dogs with lymphoma confirmed by lymph node cytology for 8 dogs. Splenic  
217 aspirates were performed in 2 dogs and liver aspirates in 4 dogs. Liver involvement was confirmed in  
218 1 dog based on cytology.

219 Immunophenotype and grade: Three cases were diagnosed via cytology and 28 by histopathology.  
220 Histological or cytological assessment of lymphoma grade was reported for 29 cases (94%) and 2  
221 cases were reported to be low grade lymphoma, 9 were intermediate grade lymphoma, and 18 high  
222 grade lymphoma (Table 2). Slides of 22 cases were obtained for review by a pathologist (7 different  
223 pathologists reviewed all the slides with 2 of them reviewing a total of 16 slides- KS and BP), in those  
224 confirmation of diagnosis and grade was performed. None of the cases which had a grade previously  
225 done and for which histopathology slides were reviewed had a change in their grade.

226 Immunophenotype was available for 26 patients (84%). It was performed via immunohistochemistry  
227 for 23 dogs and this took place at the time of pathology review for 12 cases. Immunophenotype was  
228 determined by immunocytochemistry for 2 dogs and PARR for 1 dog. There were 24 B-cell  
229 lymphomas, 1 T-cell lymphoma and 1 non-B non-T cell lymphoma. (Table 2).

230 Treatment and response to treatment: All dogs received therapy, and all but one (that had only  
231 surgery) received chemotherapy as part of the treatment plan. Seventeen patients (55.5%) received  
232 chemotherapy as a sole treatment and thirteen (42%) received chemotherapy in addition to local

233 treatment. Nine dogs (29%) had surgery prior to adjuvant chemotherapy. Four dogs (13%) had  
234 chemotherapy combined with radiation therapy. These cases received radiation therapy with photons;  
235 protocols comprised hypofractionated regimens for 3 dogs (2 dogs received 2 fractions of 6 Gy and  
236 one dog received one fraction of 6 Gy) and the fourth dog was treated with a hyperfractionated  
237 protocol (19 fractions of 1.5 Gy). One dog (2.5%) had surgery alone.

238 Out of the ten patients that had surgery, nine dogs (29%) presented with a solitary mass. The  
239 remaining dog had a solitary mass removed from the rectum and was found to have diffuse disease in  
240 the colon at later staging. Two dogs also had lymph node involvement and one of them had the lymph  
241 nodes biopsied at the same surgery. Eight dogs (25.8%) had surgery performed by the referring vet  
242 prior to referral and there was no evidence of previous diagnosis based on cytology or biopsy for the  
243 two remaining dogs so it is likely that surgery in the 10 patients was performed as a mean to obtain a  
244 diagnosis as well as therapeutic procedure. Due to the retrospective nature of the study, the primary  
245 intent of performing surgery could not be ascertained. Type of surgery was recorded for 2 patients:  
246 one had mucosal resection and one had end-to-end anastomosis. The latter procedure was performed  
247 because the dog was initially referred for a rectal polyp previously diagnosed on endoscopic biopsies  
248 performed by the referring vet and lymphoma was diagnosed on the surgical histopathology. Eight  
249 patients out of the 28 diagnosed via histopathology (25.8%) had endoscopic biopsies.

250 The distribution of induction chemotherapy protocols used was as follows: 18 (58.1%) patients  
251 received a CHOP type protocol, 5 dogs (16%) had a COP type protocol, 3 (10%) had a lomustine-  
252 based protocol ( lomustine / vincristine / cyclophosphamide; lomustine / prednisolone or lomustine /  
253 prednisolone/ L-asparaginase respectively), 1 dog (2.5%) had doxorubicin single agent and  
254 prednisolone, 1 dog (2.5%) had chlorambucil and prednisolone , and 2 dogs (5%) received only  
255 prednisolone. The response rate to chemotherapy for the 22 dogs that had gross disease at the start of  
256 chemotherapy (only one of the dogs that had surgery had gross residual disease at the start of  
257 chemotherapy) was available in the records of 19 dogs (86%). The overall response rate was 100%  
258 with 18 dogs (95%) having a complete response and 1 dog (5%) a partial response.

259 The estimated PFS was 1318 days, since 71% of dogs were censored the confidence interval (CI) is  
260 not available. Nine patients had a relapse during the follow up period and reinduction with a  
261 chemotherapy protocol was attempted in 8 of them (six dogs received one additional protocol, one  
262 dog received 2 and one received 5 additional protocols). Chemotherapy protocols used for reinduction  
263 comprised: lomustine/prednisolone with or without L-asparaginase, CHOP, COP,  
264 vincristine/chlorambucil, doxorubicin single agent or vincristine single agent. Two dogs were  
265 euthanized the same day that the chemotherapy was resumed. Response was available for 5 out of the  
266 6 remaining dogs and all had a complete response. The median remission duration for these 5 dogs,  
267 after the first reinduction protocol was initiated, was 411 days (range 298-938).

268 Outcome: At the end of the study period, 14 dogs were still alive, 5 dogs were lost to follow up, 7  
269 dogs died of lymphoma, and 5 dogs died of unrelated causes (1 intramuscular hemangiosarcoma, 1  
270 suspected osteosarcoma, 1 chronic kidney disease, 2 unknown cause). Only one dog underwent  
271 necropsy and disseminated lymphoma was found to be the cause of his death. The median follow-up  
272 time was 684 days (range 3-4678 days). The estimated disease-related MST where only dogs that died  
273 from lymphoma were considered as events was 1845 days (Figure 2). Since 77.5% of dogs were  
274 censored, the CI was not available. The estimated overall MST, where dogs that died from any causes  
275 were considered as events, was 1548 days (95% CI: 886- 2210). MST calculated only for those 7  
276 patients that died of lymphoma was 643 days (range 399-1845 days) and for the 5 patients dying of  
277 unrelated causes was 789 days (range 465-4678 days). The 1, 2, and 4 year overall survival rates for  
278 all dogs in this study were 100, 50, and 25 %, respectively.

279 Prognostic factors:

280 There was no statistically significant association between gender, number of presenting clinical signs  
281 (one versus multiple), type of clinical signs (systemic versus local), location of the disease (solitary vs  
282 multiple/diffuse), involvement of organs other than intestines, immunophenotype (B vs others), grade  
283 (high vs others), treatment (chemotherapy alone versus chemotherapy plus a local treatment) (Figure  
284 3), chemotherapy treatment (CHOP/doxorubicin-based protocol versus others or CHOP vs others) and

285 either PFS or disease-related MST. The disease-related MST for dogs receiving chemotherapy alone  
286 was 1845 days (95 % CI: 855-2835) versus a median that could not be reached for dogs receiving  
287 chemotherapy plus a local treatment and the difference between these medians was not statistically  
288 significant (p-value 0.584) (Figure 3). When substage was analysed it was not a statistically  
289 significant risk factor for disease-related MST (p-value = 0.345) but substage b was found to have a  
290 significantly positive impact on PFS (p-value = 0.001), with a PFS that was not reached for dogs  
291 categorized as substage b (95% CI: 0-2331.5) versus a PFS of 271 days for dogs without clinical signs  
292 at diagnosis. The presence of haematochezia at diagnosis was not a statistically significant risk factor  
293 for disease-related MST (p-value = 0.147) but was found to have a significantly positive impact on  
294 PFS (p-value = 0.02), with a PFS of 1141 days for dogs without haematochezia (95% CI: 0-2331.5)  
295 versus a PFS that was not reached for dogs with haematochezia at presentation. Dogs younger than 7  
296 years had longer PFS than dogs older than 7 years (836 days for older dogs, 95% CI: 537-1135 versus  
297 a PFS not reached for younger dogs, p-value = 0.031 and 0.01 respectively) but a similar disease-  
298 related MST was present in both groups.

299

## 300 **Discussion**

301 The primary goal of the current study was descriptive, as PCRL has not previously been described in  
302 a large case series in the veterinary literature and just few sparse cases of colorectal lymphoma have  
303 been reported (Table 1). The current study showed that PCRL occurs primarily in middle-aged dogs  
304 with no sex or breed predisposition. Results of this study suggested that PCRL is typically high grade  
305 and B cell immunophenotype with most dogs presenting as substage b. The majority of dogs (23/31;  
306 74.2%) presented with a solitary mass and 13/31 (43.7%) had evidence of involvement of abdominal  
307 extra GI organs (liver or regional lymph nodes). The disease features of dogs with PCRL described in  
308 this study are consistent with cases previously reported.<sup>3-5,8,10,15</sup>

309 Results of the present study suggest that dogs with PCRL treated with chemotherapy, with or without  
310 additional local therapy, had a very good prognosis as demonstrated by a high response rate, long

311 PFS, and long MST. This is in agreement with previous published results <sup>3-5,8,10,15</sup>, and in contrast to  
312 the reported low response rate (56%) <sup>4</sup> and short survival time (13-77 days) for dogs with diffuse GI  
313 tract lymphoma of other locations.<sup>4,5</sup> The CI could not be calculated for disease-related MST, despite  
314 a median follow-up time of almost 2 years, as 14 (45%) of the dogs in this study were still alive at the  
315 time of writing. Therefore it is possible that the PFS and survival time for dogs with PCRL might be  
316 even longer than has been estimated in our study. For a more accurate determination of disease-  
317 related MST, the population in this study would require follow up beyond 2 years. The possibility of  
318 additional deaths unrelated to lymphoma for dogs currently alive and in complete remission besides  
319 the 5 already recorded, could continue adding censored dogs to the statistical analysis which will  
320 make it difficult to reach a defined value for PFS and disease-related MST. We considered that the  
321 improved prognosis of dogs with PCRL found in this study, particularly in comparison to previously  
322 published outcomes of dogs with AL lymphoma, warranted communication to the veterinary  
323 community despite availability only of estimated statistical parameters via the Kaplan Meier method.

324 A subsequent goal of our study was to evaluate outcome with different treatment modalities for dogs  
325 with PCRL. No statistical difference was found between PFS or survival of dogs receiving  
326 chemotherapy only versus chemotherapy plus local treatment with surgery or radiation. Patients that  
327 received chemotherapy only had a PFS of 1318 days and a disease-related MST of 1845 days, and the  
328 PFS and disease-related MST were not reached for patients that had chemotherapy combined with  
329 local treatment, however, this difference in outcome was not statistically significant. This finding  
330 shows that chemotherapy alone was effective to treat a localized presentation of lymphoma and  
331 surgery or radiation therapy, which carry some morbidity, might delay start of medical anticancer  
332 treatment, and increase total cost of therapy, are not necessarily required to achieve a good outcome.

333 In this study surgery was performed mainly in cases with presumed solitary large intestine masses  
334 primarily to achieve a diagnosis and we lack information documenting if alternative diagnostic  
335 procedures were previously attempted unrewardingly or why surgery was elected by referring vets as  
336 a diagnostic tool above other diagnostic modalities. It is possible that the differential diagnosis of  
337 PCRL and its implications in the therapeutic approach were not considered due to its rarity in

338 comparison to other types of colorectal neoplasia for which surgery is routinely recommended. The  
339 findings in this study support consideration of PCRL as a differential for solitary colorectal masses  
340 and when possible the use of low invasive diagnostic techniques such as cytology or incisional biopsy  
341 prior to treatment planning. Due to the retrospective nature of the study, treatment groups were not  
342 randomised and there is a possibility that surgery had been selected more often for dogs with more  
343 advanced diseases and that multimodality treatment would be more beneficial than a single treatment  
344 for advanced disease. On the contrary surgery may also have been elected more often for dogs with  
345 isolated disease, and it might have made a difference in the outcome of PCRL patients, as this has  
346 been described for solitary lesions of lymphoma in other locations.<sup>16-18</sup>

347 In this study there was no difference in PFS or survival time based on the type of chemotherapy  
348 protocol used to treat dogs with PCRL. We have to be very cautious in the interpretation of our data  
349 considering that, due to the small number of dogs in the present study, only two chemotherapy groups  
350 could be defined (doxorubicin-based protocol vs others). Still, it is important to consider that these  
351 results describe that less intense chemotherapy protocols, often chosen when facing client economic  
352 or time constraints or when clients seek therapies with potentially lower prevalence of adverse effects,  
353 seem to achieve similar PFS and survival times than with CHOP type protocols. This finding  
354 correlates with a previous studies where an overall survival time of approximately 4.5 years was  
355 reached in 9 dogs with rectal lymphoma receiving either a low dose COP protocol or a truncated (6  
356 weeks) CHOP protocol<sup>10</sup>.

357 Age greater than 7 years was associated with a significantly decreased prognosis as compared to dogs  
358 younger than 7 years. Since most dogs had long PFS, it is possible that older patients were more likely  
359 to succumb to concurrent or subsequent morbidities than younger dogs. Decreased owner motivation  
360 to re-start treatment at relapse in older dogs is also a possible reason that these dogs had a shorter  
361 disease-related MST as compared to younger dogs, but this would not explain the shorter PFS  
362 experienced by dogs older than 7 years.

363 Canine lymphoma patients with systemic signs or clinical signs associated to their presentation with a  
364 systemic impact (substage b) are known to have a worse prognosis.<sup>1,19-21</sup> Dogs with AL are often  
365 substage b at diagnosis mainly due to clinical signs associated to local disease and it is possible that  
366 they often are less responsive to therapy due to clinical signs being surrogate markers of more  
367 advanced disease.<sup>5,14</sup> GI signs, particularly upper GI signs such as anorexia and vomiting, are often  
368 perceived as poor quality of life by owners, leading to an early decision of euthanasia during the  
369 course of treatment. In the present study, although most dogs presented with clinical signs associated  
370 to PCRL, their symptoms did not appear as severe in nature as GI signs of dogs with diffuse small  
371 intestinal or gastric lymphoma. We decided to consider haematochezia, tenesmus, and diarrhoea as  
372 markers of systemic illness due to the possible associated systemic discomfort and pain and potential  
373 impact in quality of life. Being this a retrospective study with limited recorded information about  
374 severity of clinical signs and impact on the dogs' general status, grading of GI signs at presentation  
375 according to the VCOG criteria<sup>22</sup> was not possible and precluded a comparison with previous studies  
376 about canine AL. Interestingly in this study there was no difference in outcome for dogs with systemic  
377 versus local signs and substage did not have an impact in survival time. Although PFS of dogs  
378 without clinical signs was shorter than for dogs with substage b (271 days versus not reached), these  
379 results could have been biased due to the small number of dogs in the substage a group or potential  
380 missing data regarding patient's clinical signs. More information is needed to ascertain how best to  
381 apply the current canine lymphoma staging system to extranodal forms like PCRL and to identify its  
382 value in determining prognosis.

383 The presence of haematochezia at diagnosis was found to have a positive impact on the PFS  
384 compared to other signs. For the 20 dogs presenting with haematochezia, the PFS was not reached,  
385 compared with 1141 days for 9 dogs without haematochezia but with other clinical signs. It is not  
386 clear why haematochezia would have a positive impact on the prognosis. Perhaps this was more  
387 alarming for owners than diarrhoea and its observation might have led to earlier diagnosis and  
388 treatment. On the other hand, we can also assume that other signs reported (diarrhoea, rectal prolapse,  
389 tenesmus) might be associated with more advanced disease and decreased outcome, however 13/20



390 cases with haematochezia also had additional clinical signs. This result could also be secondary to a  
391 statistical bias; a larger population would help to determine if the presence or absence of  
392 haematochezia truly has an impact on prognosis.

393 In previous studies on canine AL, the immunophenotype was most commonly of T-cell origin.<sup>5,6,8,23-25</sup>  
394 We report here that colorectal lymphoma is most commonly of B-cell origin, raising a clear  
395 distinction from other GI locations. The B-cell phenotype in canine high-grade lymphoma generally is  
396 associated with a better response to conventional chemotherapy protocols, compared with the T  
397 immunophenotype.<sup>19,26</sup> In the current study, 77% of the dogs were of immunophenotype B, there were  
398 only 2 cases of known non-B immunophenotype with outcomes comparable to the ones with  
399 immunophenotype B (survival times 491 and 1273 days) and 5 cases with unknown  
400 immunophenotype (Table 2). We did not find any impact of immunophenotype on outcome but the  
401 low number of dogs with non-B phenotype included in the study clearly precludes knowing the real  
402 impact of this factor in PCRL.

403 The most common grade for lymphoma found in this study was high (58% of the dogs) and was not a  
404 significant prognostic factor in our population. The grading was performed based on the National  
405 Cancer Institute working formulation with separation of the patients into 3 categories: low,  
406 intermediate, and high grade.<sup>11-13</sup> Recent studies advise for classification of canine lymphomas  
407 according to the WHO classification<sup>7,28</sup> in order to standardise future study populations as this may  
408 have a prognostic significance.<sup>27</sup> This would be extremely interesting for canine PCRL in order to  
409 further characterize and describe this anatomical presentation. However, in this retrospective study,  
410 the limited availability of initial tissue samples and absence of immunophenotype for some dogs has  
411 precluded this classification. Other studies that classified their patients according to the working  
412 formulation into low, intermediate, and high grade<sup>13</sup> found that grade had prognostic significance.<sup>12</sup> In  
413 our study, there were too few dogs in the low or intermediate grade category and perhaps this could  
414 have an impact on the statistical significance. Interestingly, despite most dogs having high grade B  
415 cell lymphoma, their disease-related MST was much longer (1845 days) than that of dogs affected by  
416 the multicentric form of same grade and immunopenotype (MST reported in literature of 162-308

417 days<sup>12</sup>), reinforcing the fact that anatomic site seems to be a better predictor of outcome than  
418 previously published prognostic factors.

419 Information available for staging of dogs included in this study was limited due to its retrospective  
420 nature and staging performed was quite heterogeneous due to the high number of participating  
421 institutions. This was unfortunately unavoidable when trying to compile a large case series of PCRL  
422 due to its low prevalence. Staging was not complete for all dogs and different imaging modalities  
423 were used. In addition, even imaging techniques used routinely for staging in veterinary oncology  
424 such as abdominal ultrasound or computed tomography have limitations for detection of lymphoma.  
425 Also aspirates of liver and spleen were not routinely performed despite knowledge that neoplastic  
426 infiltration is possible even with a normal imaging appearance<sup>29</sup>. In this study, twelve dogs had  
427 evidence of regional lymphadenopathy and one hepatic involvement without impact on PFS or  
428 survival time. It is very possible that a higher number of dogs had a higher stage of disease than  
429 recorded leading to erroneous staging classification of cases (stage migration)<sup>30</sup> and this could explain  
430 the lack of statistical difference. On the other hand, given the overall long PFS and survival times for  
431 all patients, it could also be thought that possibly under-staged dogs had a good outcome. Based on  
432 this study's data it seems that presence of complete staging would not have provided any further  
433 prognostic information for dogs with PCRL. However, as there is not established standard treatment  
434 for PCRL, it would be advisable that complete staging would be performed to outweigh potential  
435 advantages versus morbidity/cost if considering local therapy prior to chemotherapy or as solely  
436 therapy in PCRL.

437 Human primary AL are classified as confined to the GI tract, with no other evidence of systemic  
438 involvement (see figure 1). They are most often of B cell origin<sup>8</sup>, which is comparable to our study  
439 findings, but opposite to the immunophenotype of canine AL<sup>6</sup>. PCRL is a rare disease in human  
440 medicine<sup>31</sup> with controversy about what the standard of care should be for its treatment.<sup>31</sup> Long  
441 survivals have been described with 83% of the patients alive and free of disease at 10 years after a  
442 combination of surgery and chemotherapy.<sup>32</sup> but shorter prognosis with a 5 year survival rate of 47%  
443 has also been reported.<sup>33</sup> In people, the two most frequent histologic subtypes of PCRL are mucosa-

444 associated lymphoid tissue (MALT) lymphoma and diffuse large B cell lymphoma with both PCRL  
445 types carrying very different prognosis. The MALT form, of low grade and B-cell origin, can be cured  
446 after surgical resection and/or a short course of chemotherapy. The prognosis is more guarded for the  
447 diffuse large B cell lymphoma which carries a high relapse rate (33-75%).<sup>34</sup> Interestingly, although  
448 most cases of canine PRCL in our population were high grade and B-cell origin the biologic  
449 behaviour seems to differ from the high grade form of human PRCL.

450 The limitations of this study are inherent to its retrospective design and include small sample size,  
451 variable staging and treatment regimens, and lack of standardized follow-up. The small population  
452 due to the rarity of the disease limited the statistical power of our analyses and prognostic factors  
453 associated with PFS and disease-related MST may not have been identified due to the variations in  
454 staging and treatment protocols. Of the factors analysed, few were found to be prognostic indicators in  
455 exploratory univariate analysis. Unfortunately, multivariate analysis could not be carried out as too  
456 many dogs were censored mostly due to long survival, loss of follow-up, or death from unrelated  
457 cause. Evaluation of a larger sample size, prospectively, over a longer period of time including  
458 standardized comprehensive staging and standardized therapy would be ideal and may permit  
459 determination of additional characteristics and identify risk factors for PCRL. This would be  
460 challenging, requiring even further collaboration than the one required for this study (with 18  
461 institutions collaborating in 4 countries) due to the low prevalence of this presentation of canine  
462 lymphoma. It needs to be reinforced that larger number of dogs and longer follow-up may still not  
463 reach statistical significance if most patients do not demonstrate events linked to their lymphoma  
464 (relapse or death) as they would remain censored from the whole population should they remain in  
465 remission or die from other causes.

466 In conclusion, results of the present study describe canine PCRL as a rare disease often of high grade  
467 and B-cell immunophenotype that when treated with chemotherapy results in prolonged PFS and  
468 survival times regardless of chemotherapy protocol type or addition of local treatment modalities.  
469 Results of this study suggest that PCRL has a greatly improved outcome compared to previously  
470 published outcomes of dogs with AL. Therefore, attempts to differentiate dogs with PCRL from dogs

471 with diffuse GI lymphoma should be pursued in order to better guide owners regarding treatment and  
472 associated prognosis. Veterinarian awareness of this specific presentation of canine lymphoma will  
473 likely have an important impact on the treatment decision process for owners of dogs with PCRL.

474

475 Footnotes

476 a. (SPSS version 19.0 for Windows, SPSS Inc, Chicago, Ill).

477

478

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Table 1: Cases of rectal lymphoma in the veterinary literature (LN: lymph node, NA: not available)

Cases (n)	Location	Diagnostic method	Immuno-phenotype	Treatment type	Survival	Ref.
1	Large intestine	NA	NA	Chemotherapy: COAP protocol	>54 months	3
7	Rectum	histology	NA	Surgery	5 weeks, 1 dog had no relapse after 8 months, 1 relapsed but was still alive at 18 months	9
6	Large intestine	5 histology 1 cytology	NA	4 chemotherapy only (type NA) 2 surgery + chemotherapy (NA)	61 days (0-2520 days)	5
1	Colon	histology	T	VELCAP-SC protocol	NA	4
1	Rectum	histology	NA	COP protocol	> 356 days	8
11	Rectum	histology	10 B	6 surgery + chemotherapy (CHOP/COP) 1 surgery alone 3 chemotherapy (CHOP/COP or prednisolone) 1 no treatment	1697 days	10

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609 Table 2: Location, extension, histologic characteristics, and treatment data of dogs with colorectal  
 610 lymphoma.

Data	Number of cases
Aspect	23 solitary masses 5 multiple masses 3 diffuse disease
Location	18 rectal disease 7 colonic disease 6 colorectal disease
Staging	12 lymph node involvement 1 liver involvement
Substage	29 substage b 2 unknown
Immunophenotype	24 B 1 T 1 non B non T 5 non available
Grade	18 high 9 intermediate 2 low 2 non available
Treatment	1 surgery 17 chemotherapy 9 chemotherapy + surgery 4 chemotherapy + radiotherapy
Chemotherapy protocol	18 CHOP 5 COP 1 doxorubicin 3 lomustine 1 chlorambucil + prednisolone 2 prednisolone

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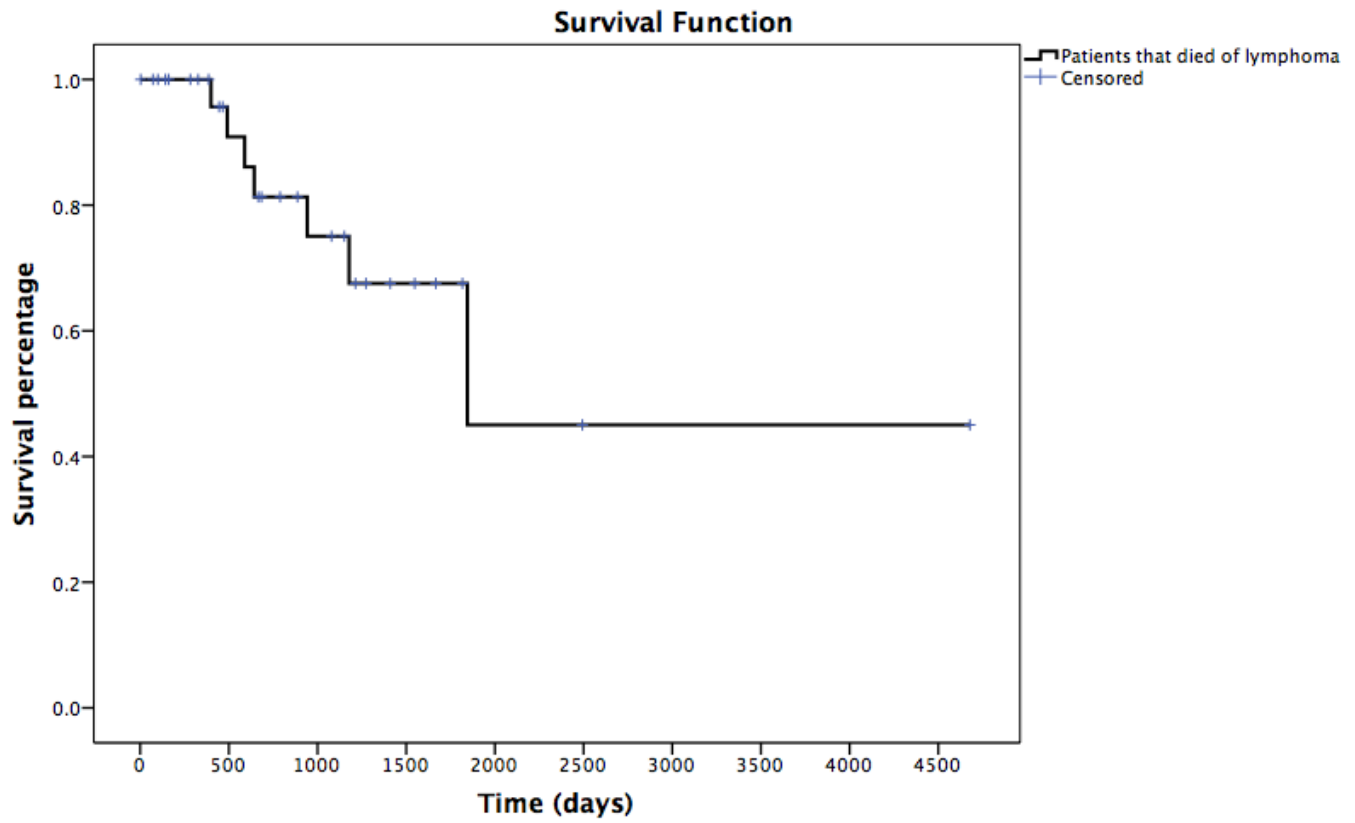


613 Figure 1: Criteria of inclusion for human primary gastrointestinal lymphoma

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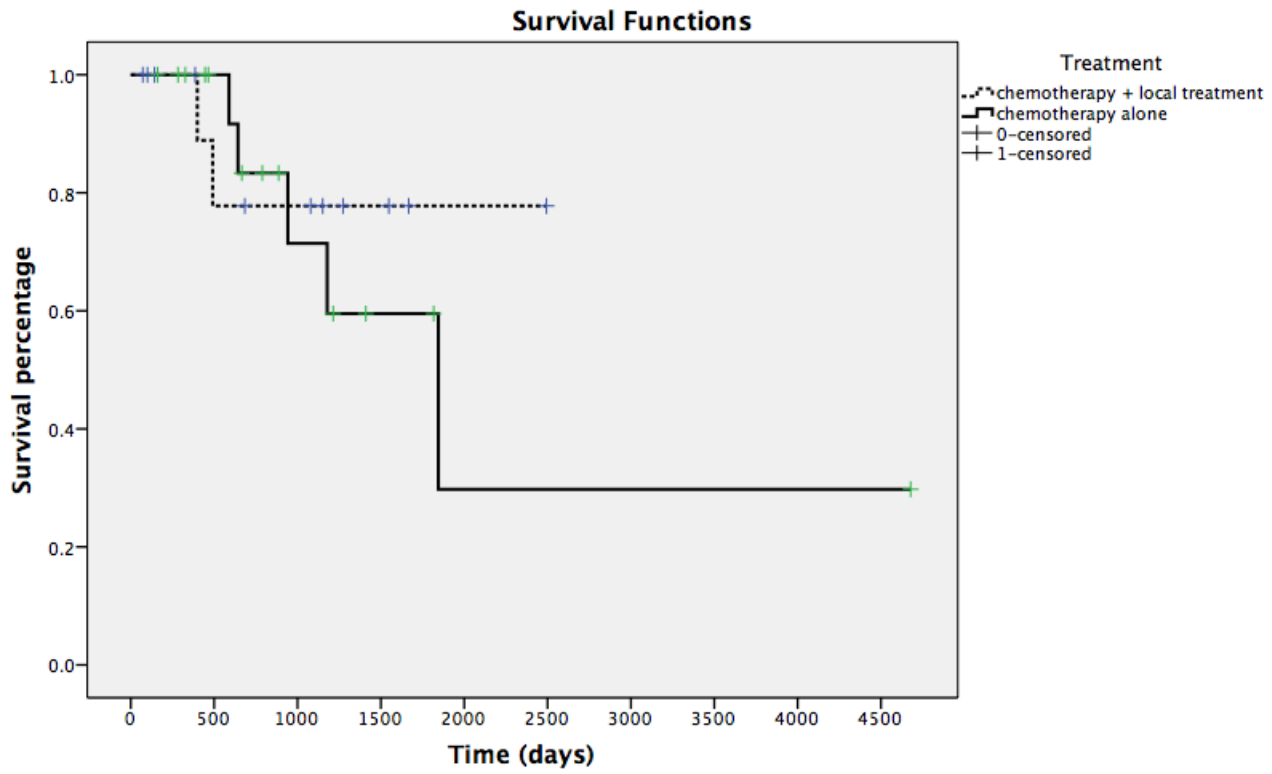
- Dawson's criteria:
- No generalized, superficial, or mediastinal lymphadenopathy
  - No leukemic or lymphomatous abnormalities in the peripheral blood
  - Lesion confined to the bowel, with only regional lymphadenopathy at the time of laparotomy
  - No involvement of the spleen or the liver at the time of diagnosis

620 Figure 2: Kaplan Meier of the estimated survival time with the event being dogs that died from  
621 lymphoma. The estimated MST was 1845 days (confidence interval not available).



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624 Figure 3: Kaplan Meier of the estimated survival time for dogs receiving chemotherapy versus dogs  
625 receiving chemotherapy plus a local treatment. The addition of a local treatment to chemotherapy did  
626 not impact the overall prognosis (p-value = 0.564).



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