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1 Reconciling surveillance systems with limited resources: an 2 evaluation of passive surveillance for rabies in an endemic 3 setting

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11 Abstract

12 Surveillance systems for rabies in endemic regions are often subject to severe constraints in terms of
13 resources. The World Organisation for Animal Health (OIE) and the World Health Organisation
14 (WHO) propose the use of an active surveillance system to substantiate claims of disease freedom,
15 including rabies. However, many countries do not have the resources to establish active surveillance
16 systems for rabies and the testing of dead dogs poses logistical challenges. This paper explores the
17 potential of using a scenario tree model parameterised with data collected via questionnaires and
18 interviews to estimate the sensitivity of passive surveillance, assessing its potential as a viable low-
19 cost alternative to active surveillance systems. The results of this explorative study illustrated that
20 given a large enough sample size, in this case the entire population of Colombo City, the sensitivity
21 of passive surveillance can be 100% even at low disease prevalence (0.1%), despite the low
22 sensitivity of individual surveillance components (mean values in the range 4.077×10^{-5} – 1.834×10^{-3}
23 at 1% prevalence). In addition, logistic regression was used to identify factors associated with
24 increased recognition of rabies in dogs and reporting of rabies suspect dogs. Increased recognition

25 was observed amongst dog owners (OR 3.8 (1.3 -10.8)), people previously bitten by dogs(OR 5.9 (2.2
26 -15.9)) and people who believed they had seen suspect dogs in the past (OR 4.7 (1.8 – 12.9)).
27 Increased likelihood of reporting suspect dogs was observed amongst dog owners (OR 5.3 (1.1 -25)).
28 Further work is required to validate the data collection tool and the assumptions made in the model
29 with respect to sample size in order to develop a robust methodology for evaluating passive rabies
30 surveillance.

31 **1. Introduction**

32 Rabies is a viral zoonosis that, despite being amenable to control, continues to plague most
33 developing countries across the world. It is estimated that there are 61,000 (95% CI 37,000–86,000)
34 deaths caused by rabies annually across the globe (World Health Organization, 2013). In addition,
35 rabies accounts for 1.9 million (95% CI, 1.3–2.6 million) disability-adjusted life years lost (DALY), and
36 financial costs of US\$ 6 billion (95% CI, 4.6–7.3 billion) annually (World Health Organization, 2013). In
37 southeast Asia where 45% of all human rabies deaths occur, rabies is therefore a disease of public
38 health and economic importance (Gongal and Wright, 2011).

39 In more than 99% of all cases of human rabies, the virus is transmitted directly by dogs (Knobel et
40 al., 2005). Canine rabies can be eliminated, as demonstrated in North America, Western Europe,
41 Japan, areas of South America and parts of Asia (Hampson et al., 2009). Advancements in post
42 exposure prophylaxis (PEP) mean that if a person can access the appropriate post exposure
43 vaccination and immunoglobulin therapy in a timely manner they are likely to survive (Hampson,
44 Cleaveland, & Briggs, 2011). However, this treatment is costly, requires expertise for administration
45 and is often not available in remote or resource poor settings where it is most needed. Without such
46 medical intervention following infection the case fatality rate is close to 100%. Controlling and
47 eventually eliminating the disease in dogs therefore has major benefits for public health and
48 healthcare costs (World Health Organization, 2013).

49 In Colombo City, Sri Lanka, in the period 2008-2012 a combined approach to rabies management
50 was applied, targeting improved PEP and rabies diagnostics provided by the Ministry of Health and a
51 complementary canine control programme involving vaccination and sterilisation funded by World
52 Animal Protection (at the time the study was conducted called World Society for the Protection of
53 Animals- WSPA) and implemented by The Blue Paw Trust. In 2011 the human death rate due to
54 rabies was 0.08 per 100,000 people (Häsler et al., 2014); declining from the rate of 1.7 per 100,000
55 in 1990 (Ministry of Health, 2007). During the combined control programme, a steady decrease in
56 the number of confirmed canine rabies cases was observed, from 20 in 2009 to only 3 in 2012
57 (Häsler et al., 2014).

58 Surveillance is defined as any number of component activities which generate information on the
59 health, disease or zoonosis status of animal populations to inform intervention (Corner et al., 1990).
60 Adequate surveillance is therefore of paramount importance before, during and after any
61 intervention to monitor technical and economic efficiency, and to inform responses to changes in
62 prevalence (Häsler et al., 2011, Howe et al., 2013). In addition, surveillance is required to
63 substantiate claims of disease freedom in a geographical area or region. In the case of rabies, WHO
64 currently recommend sampling of 0.01-0.02% of the domestic animal population to substantiate
65 freedom from rabies (WHO, 2004) this would require either a random sample of all dogs to be
66 euthanized and tested or would rely upon a small subset of the population who are euthanized for
67 health reasons to be tested. The latter would certainly introduce bias into the sample and the
68 former would likely prove unfeasible and be opposed by animal rights activists. Serological testing is
69 rarely useful for *antemortem* diagnosis because of late seroconversion and the high mortality rate of
70 host species (Mani & Madhusudana, 2013). Differentiation between vaccinated and exposed cases is
71 currently not feasible using serological methods and would not be appropriate in a setting
72 employing vaccination as the control method.

73 The World Organisation for Animal Health (OIE) specifies that an adequate surveillance system must
74 be in place, following the generic guidelines for surveillance systems described in the Terrestrial
75 Animal Health Code, with no confirmed rabies cases in two years (OIE, 2011). However, while
76 substantial progress has been made with regards intervention measures for rabies, surveillance
77 systems are often 'poorly resourced, particularly in developing countries and especially for zoonosis
78 which require combined veterinary and medical capacity and collaboration' (Townsend et al., 2013).
79 This conflict, between a requirement for surveillance based on active sampling to substantiate
80 freedom, and the availability of resources in affected countries, creates a need to evaluate
81 alternative rabies surveillance systems with lower resource input requirements.

82 The evaluation of surveillance systems is an area that has grown rapidly over the past 10 years.
83 Many techniques have been developed to assess the effectiveness of disease surveillance, of note
84 here is the scenario tree method first described by Martin et al. (2007). In the scenario tree
85 approach, each event from the occurrence of an infection to the detection of the case is represented
86 with specified probabilities. The overall probability or sensitivity to detect at least one positive unit
87 given that the population is truly infected can be calculated for each individual surveillance system
88 component (Hadorn and Stark, 2008). Mainly used in evaluating production animal surveillance
89 systems, it has also been employed to estimate the sensitivity of systems in place for surveillance of
90 zoonoses, such as avian influenza (Knight-Jones et al., 2010).

91 Currently the surveillance system in place for rabies in Sri Lanka is a passive one. 'The approach is
92 perceived as passive since the decision on inclusion, or exclusion, of individuals is done by the animal
93 owners or practitioners, and not by the investigators or veterinary authorities that require the
94 information' (Doherr and Audige, 2001). The sensitivity of passive surveillance depends on many
95 factors including the probability of infected animals showing detectable clinical signs, the disease
96 awareness of the public, veterinarians and health authorities and their motivation to report, as well
97 as the sensitivity of the confirmatory test (Hadorn and Stark, 2008, Gilbert et al., 2014).

98 This research aimed to estimate the sensitivity of the current passive rabies surveillance in Colombo
99 City, Sri Lanka through a scenario tree model, as well as to identify factors associated with differing
100 levels of public rabies awareness. Evidence on the effectiveness and representativeness of passive
101 surveillance provides important information for decision-makers in charge of disease control and
102 allows them to identify areas where further research is required.

103 **2. Materials and methods**

104 **2.1 Overview**

105 To construct the scenario tree, all components of canine rabies surveillance in Colombo City were
106 identified and detailed, considering every step needed to generate a positive laboratory diagnosis.
107 This was based on data from scientific and grey literature and expert input from four veterinarians
108 from The Blue Paw Trust, a local animal welfare organisation managed by veterinarians. Semi-
109 structured interviews were undertaken with staff at the Medical Research Institute (MRI), Colombo
110 City, and the municipality dog shelter offices to describe the surveillance processes and protocols in
111 place. The information collected was used to derive the scenario tree (Figure 1).

112 From the scenario tree, the data requirements needed to parameterise the model were identified
113 and primary and secondary data were collected. Primary data collection focused on parameterising
114 the recognition and reporting probabilities in the scenario tree. Questionnaires were composed for
115 members of the community and for private veterinarians (questionnaires available as supplementary
116 materials). The administration of questionnaires is described below, and was facilitated by the
117 community liaison officer of the Blue Paw Trust. Ethical approval for the data collection process was
118 gained from the Royal Veterinary College ethics and welfare committee (*URN 2013 0085H*).

119 **2.2 Primary data collection**

120 **2.2.1 Community questionnaire**

121 A questionnaire was designed to evaluate the ability of people in the community to recognise rabies
122 as well as their reporting behaviour. It was also used to collect data on possible factors that were
123 hypothesised to affect people’s ability to recognise and report rabies. Questionnaires were
124 administered in English or Sinhalese by face-to-face interviews. The enumerators gained consent
125 from participants before commencing the interview. To avoid response bias, participants were told
126 that the survey’s aim was to gain information on people’s attitudes to dogs and the general diseases
127 they can have, rather than being specifically introduced as a rabies study.

128 The participant was shown five photographs of dogs: one each of dogs with distemper, mange,
129 transmissible venereal tumours and two pictures of rabid dogs and were asked to suggest what
130 diseases they thought the dogs had. They were also given a list of 15 symptoms and asked to identify
131 those that would be seen in a dog with rabies. The list contained eight symptoms that are likely to
132 occur in rabies cases and seven that are not, namely agitation, diarrhoea, excess salivation,
133 vomiting, lethargy, hair loss, loss of appetite, eye discharge, aggression, sneezing, convulsions, nose
134 discharge, fear of water, coughing, collapse (WHO, 2013). Ten Likert style questions with a four scale
135 answering option were used to evaluate general rabies knowledge of the participant (“A technique
136 for the measurement of attitudes (Book, 1932) ,” n.d.). Future reporting behaviour was also
137 evaluated using Likert style questions. A copy of the questionnaire is provided as supplementary
138 material.

139 Sampling was done by convenience; the data collected was of a correlated nature with 6
140 respondents per socioeconomic group in each ward. Seven wards were randomly selected from the
141 47 wards, assuming a homogenous distribution of ward populations. Stratification by socioeconomic
142 group was carried out in each ward; the type of housing was used to identify areas of low, middle
143 and high socioeconomic status. Those in large detached houses enclosed by fencing were classed as

144 high, those in apartment style blocks were classed as middle and those residing in one or two room
145 residences in large housing schemes were considered in the low category. A central point in each
146 ward was chosen, then houses in one particular direction visited until six houses had been visited
147 where someone over the age of 15 was willing to participate. Four pilot questionnaires were carried
148 out in each socioeconomic strata of the first ward; no changes were deemed necessary after the
149 pilot.

150 *2.2.2 Veterinarian questionnaire*

151 A questionnaire was developed to assess the likelihood of disease recognition and reporting
152 behaviour of private veterinarians. All of the thirteen private veterinary clinics within Colombo City
153 were approached; seven agreed to participate in face-to-face interviews conducted in English.
154 Questions covered the size of the practice in terms of number of veterinarians employed, number of
155 clients seen per week and the proportion of clients who undertook rabies vaccination of their pets.
156 Respondents were asked to identify symptoms of rabies as per the community questionnaire. Open-
157 ended questions covered past behaviour with regard to rabies cases. The final section of the
158 questionnaire used Likert scale questions to assess opinions on the ease of reporting to officials.

159 *2.3 Data analysis*

160 *2.3.1 Scenario Tree*

161 Surveillance sensitivity was estimated using the scenario tree methodology developed by Martin et
162 al (2007). Probabilities were derived from primary and secondary data. These, and their respective
163 notations, are detailed in Table 1 with the distributions assigned to each probability. The scenario
164 tree (Figure 1) was built in Microsoft Excel 2011; distributions were defined in @Risk 6 (Palisade
165 Corporation, Ithaca NY). The tree was designed to model surveillance of the whole dog population of
166 Colombo City. Two branches represented those dogs that were owned and those not owned
167 (labelled street dogs). This definition was made as to allow separate allocation of design prevalence
168 in these two groups, as well as to reflect the hypothesized difference in a dog owner's knowledge.

169 The unit sensitivity (CSeU), that is, the probability that an individual infected dog in Colombo City will
170 be diagnosed under the surveillance system was estimated as follows:

$$171 \quad CSeU = \sum_{k=1}^4 P_{own} \times P_i \times P_{sym} \times P_{rec} \times P_{rep} \times P_{sub} \times TestSe$$

172

173 This combines the sensitivity calculated at each of k terminal ends of the tree.

174 The overall sensitivity of the system (CSe), i.e. the probability that the surveillance system will detect
175 at least 1 dog as positive if rabies is present in the population at the design prevalence stated, was
176 calculated as follows, where n is the dog population of Colombo City:

$$177 \quad CSe = 1 - (1 - CSeU)^n$$

178 The model therefore assumes that the duration of an iteration is the timeframe in which every dog
179 within the city is observed by a person, that is, the proportion of dogs sampled is 1.

180 Outputs were defined for the terminal sensitivities, the combined unit sensitivity and the overall
181 sensitivity for the system in @Risk. The model was run stochastically for 10,000 iterations and an
182 output detailing the mean, 5th and 95th percentiles was obtained.

183 A sensitivity analysis was then performed using @Risk. The output was given as a tornado plot
184 reflecting the uncertainty in measurement of input variables for their effects on the mean of the
185 output parameters. This was compared at different design prevalences (0.1%, 1% and 5%)
186 designating the same prevalence in both owned and street dogs. To simulate what may happen in
187 the future if vaccination coverage in street dogs reduces, the model was then run with 0.1%
188 prevalence in owned dogs and 5% prevalence in street dogs.

189 *2.3.2 Community questionnaire*

190 All data was coded and entered into Microsoft Excel 2011. To obtain a binary recognition score a
191 logistic regression was performed looking at correct identification of rabies pictures and individual

192 correct symptoms. Identifying a picture was assumed to give a better representation of true
193 recognition than being prompted by a list of symptoms so this was used as a way to define a cut off
194 for recognition. From the logistic regression a cut off of two correct symptoms identified correlated
195 with identifying the picture of a rabid dog. A distribution was then gained by using proportions of
196 those who identified two correct symptoms and those who identified three as an upper and lower
197 limit to reflect the probability of recognizing rabies in the scenario tree.

198 Data from questions on reporting behaviour were also combined to give a binary score. Past
199 reporting behaviour was calculated only in those people who had previously seen a suspect case of
200 rabies. An open-ended question on their action was then coded as 1 for actions with potential to
201 lead to diagnosis (e.g. reporting to veterinarian or dog shelter) and 0 for actions that would not
202 result in diagnosis (e.g. running away or burying the dog). The same technique was used to predict
203 future reporting. There was a considerable difference in past and future reporting probabilities
204 (35.5% and 81.8% respectively), so past reporting behaviour was used to define this probability in
205 the scenario tree.

206 Statistical analysis was then performed using Stata 12.1. Descriptive statistics were obtained before
207 carrying out univariate logistic regression with each variable for effect on the dependent variables of
208 recognition and reporting score, to assess for any associations. After identifying variables showing
209 significant evidence of association, forward stepwise regression was carried out with addition of
210 significant variables into a multivariate model.

211 *2.3.3 Veterinarian questionnaire*

212 Answers were coded and input to Excel then analysed using Stata 12.1 to give descriptive statistics
213 of the sample. The probability of submission of suspect samples to the MRI was calculated from this
214 sample by coding answers as to actions taken in the past when seeing a rabies suspect dog. The
215 number of respondents who reported they submitted samples to MRI in the past was then modelled
216 as a beta distribution in the scenario tree to give the probability of submission.

217 **3. Results**

218 **3.1 Community questionnaire**

219 A total of 137 responses with equal proportions coming from each of the three socioeconomic
220 groupings were obtained using the community questionnaires. Table 2 provides descriptive statistics
221 of the respondents. The most common occupation listed by respondents was 'housewife' (47%),
222 followed by 'retired' (13%). The remaining 40% reported varying occupations. 27% of respondents
223 owned pet dogs, of which 50% let their dogs roam freely on the street.

224 The logistic regression to identify a cut off for recognition score identified hydrophobia, salivation
225 and convulsions as the symptoms that were associated with the correct identification of pictures.
226 Table 3 shows the results of univariate logistic analysis for both recognition and reporting score.
227 When considering factors that might be associated with people's recognition score, three variables
228 showed strong evidence of association:-owning a pet dog (p-value 0.01), having been previously
229 bitten (p-value <0.01) and having seen a suspect case in the past (p-value <0.01). When looking for
230 factors that may be associated with reporting score only owning a pet dog had statistical evidence of
231 association (p-value 0.04).

232 From the multivariate logistic regression models it was estimated that those owning a pet dog have
233 3.77 the odds of recognition of rabies signs compared to those who do not own a dog (OR 3.77,
234 p=0.027, 95% C.I 1.32 – 10.79). Those who had previously seen a suspect case had an increased
235 likelihood of recognition, compared to those who had never seen a suspect case before, (OR 4.74,
236 p=0.002, 95% C.I 1.75 – 12.85). Stepwise logistic regression with a likelihood ratio test showed that
237 knowing someone who had contracted or been treated for rabies did not show significance when
238 added to the model.

239 When considering reporting behaviour the model showed that those who owned a pet dog had an
240 increased likelihood of reporting compared to people who did not own a dog, (OR 5.26, $p = 0.041$,
241 95% C.I 1.06 – 25).

242 3.2 Veterinarian questionnaire

243 There were seven respondents from seven of the thirteen private vet practices within Colombo City.
244 Four out of seven veterinarians questioned were over 56 years old and two respondents were
245 female. The largest practice employed fourteen vets, while four practices employed three or fewer
246 vets. The largest reported client number seen per week was 600 and the smallest 20 clients.

247 When asked what action they took upon seeing suspect rabies cases, four respondents said they
248 advised owners to tie the dog up at home, three respondents reported sending the dog's head for
249 testing following death. Only one respondent had sent the suspect dog for isolation and monitoring
250 at the municipality dog shelter. One practice had isolation facilities where they had isolated suspect
251 cases; this was the only practice that reported euthanizing the dog when symptoms progressed.

252 Of the rabies symptoms, six respondents correctly identified six or more out of the eight correct
253 symptoms presented. Six veterinarians reported always getting results and feedback from the
254 laboratory. One respondent said they would report a suspect case to the dog shelter.

255 3.3 Scenario Tree

256 The overall sensitivity of the system (CSe), representing the probability of the system to detect at
257 least one positive dog at a given infection prevalence, was found to be one (100%) throughout each
258 prevalence estimation (**Error! Reference source not found.**). The model showed the unit sensitivity
259 (CSeU) increased as design prevalence was increased above 1% (0.00254 at 1%, 0.0127 at 5%).

260 The probability of recognition in dog owners was the most influential factor on the unit sensitivity
261 and the probability of reporting to the dog shelter by dog owners also had a profound effect on unit
262 sensitivity, when both branches of the tree were set at the same design prevalence (Figure 2).

263 However, when the design prevalence was set at 5% in street dogs and 0.1% in owned dogs the
264 mean unit sensitivity was 0.00180 (0.000936 – 0.00292). The sensitivity analysis on this model
265 showed recognition in all members of the community to be the most influential input followed by
266 the probability of reporting to the dog shelter.

267 **4. Discussion**

268 The results of this study indicate that a passive surveillance system, as parameterized within this
269 scenario tree model, is able to achieve 100% sensitivity even at very low levels of design prevalence
270 (0.1%). This is a reflection of the ability of a passive surveillance system to sample a much larger
271 population than would be economically or technically feasible within many societies where rabies is
272 endemic using an active system.

273 Past use of scenario tree models has focused on active surveillance systems with well-defined
274 sample sizes. The model designed within this study assumes a timeframe per iteration in which the
275 entire dog population is observed prior to the step at which rabies is either recognized or not
276 recognized by the observer.

277 The validity of the assumption that the entire dog population of the city is sampled, or observed by a
278 person, at each time step is worth further consideration as it is crucial to the generation of the unity
279 of sensitivity observed in the model. In a city where approximately one quarter of the dog
280 population is estimated to be ownerless, it is conceivable that a number of these stray dogs are not
281 observed frequently and with sufficient scrutiny by members of the public to evaluate their health
282 status. The human to dog contact rate for example, may be different in areas with varying ratio of
283 human to dog population densities, meaning that contact and therefore observation of signs
284 becomes increasingly or decreasingly likely. The form of the disease itself, and the behaviour change
285 associated, is likely to affect the likelihood of infected animals being observed. In furious form dogs
286 in the clinical stage are thought to be more likely to be observed. However the paralytic or dumb
287 form is likely to reduce potential for observation since it is characterised by ataxia and paralysis. The

288 model could be parameterised further to reflect the relative incidence of the two forms with a
289 probability of observation adjusted accordingly and tested for effect on the system sensitivity.

290 Results from the scenario tree suggest that the component sensitivities for the current surveillance
291 system are very low, this is common in rabies endemic countries (Townsend et al., 2013). This is
292 attributable to the passive nature of the surveillance, where the onus is on the public to report.

293 The highest component sensitivity in the model was seen in owned dogs after being reported to the
294 dog shelter. This is a reflection of the higher proportion of dogs being owned than street dogs,
295 meaning more pass down this branch, combined with the fact that owners have a higher probability
296 of recognition of rabies. Dog owners were found to have a mean probability of 0.49 of recognizing
297 rabies compared to the public as a whole, who only had a mean 0.37 probability. This is also
298 reflected when considering a dog owner's mean probability of reporting to the correct authority,
299 which was estimated at 0.75, compared to the public's mean probability of 0.38. These large
300 differences suggest that there are sectors of the public with very little knowledge of the disease. It is
301 possible that these people may not seek out adequate treatment if they were to come into contact
302 with rabies. Public health campaigns to increase knowledge about the disease would be beneficial to
303 highlight symptoms of rabies. In addition, dog owners showed evidence of being highly motivated to
304 report, but only half of them were able to recognise the disease. Hence, this group would therefore
305 be a candidate target if it were necessary to encourage increased reporting of suspect dogs.

306 Not all suspect cases underwent laboratory confirmation of disease (represented by 1- P_{sub} in the
307 scenario tree), the proportion undergoing laboratory testing was a conservative estimate from only
308 one expert in the field and would need further investigation to substantiate the figure.

309 The model was initially run with the same design prevalence for both branches. However, since the
310 vaccination of street dogs is currently suspended, vaccination coverage is expected to decrease over
311 time, which may lead to a higher risk of infection in the street dog population. When the model was

312 run at a lower design prevalence to reflect this, the unit sensitivity was reduced from its already low
313 level; however the overall system sensitivity remained at unity. Further sensitivity analysis is
314 required to reflect an expected decline in public awareness and recognition of rabies as control
315 activities have decreased, and prevalence of rabid dogs declined.

316 If the rabies campaign were to be maintained or widened such that it became realistic to consider a
317 claim of rabies freedom two options are to be considered. Previous studies looked at targeted or risk
318 based surveillance components and found these to give much higher sensitivities and often prove to
319 be more cost effective (Knight-Jones, Hauser, Matthes, & Stärk, 2010). As rabies is a disease with
320 high mortality the probability of detecting a positive case may be increased by targeting only dead or
321 suspect animals as has been documented in rabies surveillance in wildlife (Thulke et al., 2009). It is
322 also likely that geographical location may be a risk factor, with those wards on the outskirts of the
323 city at higher risk of reintroduction of rabies. At present active surveillance is required to meet the
324 standards set by the OIE to prove freedom from disease.

325 As is widely acknowledged (Townsend et al., 2013), the funding of rabies surveillance systems in
326 many endemic countries is severely limited. As a result, a passive system such as the one evaluated
327 here, if shown to be sufficiently sensitive, is likely to provide the most cost-effective and feasible for
328 implementation in these settings. Therefore, refining the methodology proposed herein for passive
329 surveillance systems and validating data collection instruments and model output would allow a
330 case to be made for passive surveillance to be sufficient to substantiate a claim of rabies freedom
331 without a prohibitively costly active surveillance component being required.

332 Within Sri Lanka rabies control is a public health concern rather than being of importance to trade.
333 Proving disease freedom from rabies may not have the same economic incentive as proving freedom
334 from certain livestock diseases, which affects trade with other countries.

335 It could be argued that disease free status would be beneficial for the tourist trade as tourists may
336 be more inclined to travel to areas known to be rabies free. Since the end of the civil war in 2009,
337 tourism in Sri Lanka has grown rapidly (visitor numbers from western Europe have more than
338 doubled in the period 2009 to 2013 (Sri Lanka tourism development authority figures)). The need to
339 control rabies then might become more significant in Sri Lanka as tourism becomes more important
340 to the economy.

341 Another major limitation on the ability to declare freedom is the free movement of animals both
342 into Colombo city from neighbouring areas and indeed into Sri Lanka. Being an island nation,
343 however, countrywide control of imported canines is more plausible than in landlocked nations.

344 The questionnaire surveys highlighted various areas where peoples' behaviour limited the unit
345 sensitivity. In the survey only 25% of respondents said they would contact someone if they found a
346 dead dog and, while the cost of testing is covered by the government health service, the laboratory
347 will only accept decapitated heads. At present then, the cost of travel to the laboratory and any
348 costs associated with decapitation must be covered by the individual submitting the sample. In the
349 community questionnaire 70.8% of people said they would not be willing to transport a dog head to
350 the laboratory. If the veterinary department were designated the responsibility of decapitation and
351 transport of samples to the laboratory, submissions of suspect cases may increase.

352 Respondents in both questionnaires showed little awareness of or intention to communicate with
353 the staff of the dog shelter. Only 14.3% of vets and 30% of the community said they would contact
354 them if they suspected a dog to have rabies. As the body responsible for canine rabies control, the
355 dog shelter should be the contact point for anybody who suspects a dog to have rabies. Resources
356 channelled into enhancing public use of this service would be required if it became necessary to
357 achieve a certain sample size for a disease freedom claim. It was also indicated within the data that
358 potential information was lost through people reporting to their veterinarian rather than to the dog
359 shelter. Submission rates by private veterinarians were variable, with a large proportion (57.1%) of

360 the sample reporting that they advised people to tie a suspect dog at home rather than taking any
361 action. However, the small sample size of veterinarians means the figures derived from this source
362 must be treated with caution with respect to representativeness.

363 The passive surveillance system is dependent on the general public's ability to recognise and report
364 cases of rabies. The only factors found to be associated with recognition of rabies symptoms in the
365 sample were the owning of a dog and having been previously bitten. This association is expected to
366 be due to these groups being exposed to a higher volume of risk information than the general public,
367 either through contact with veterinarians or medical services. When it came to factors that may be
368 associated with reporting, owning a pet dog was the only variable that showed significance. Again in
369 this situation this may reflect greater awareness through exposure to information. Alternatively, it is
370 conceivable that dog owners feel a greater concern for canine welfare in general and are more
371 motivated to report than the general public

372 For the derivation and analysis of recognition and reporting behaviour, various assumptions were
373 made. Both scores were formulated to give a binary outcome; this was more straightforward for
374 reporting scores where self-reported past actions defined the outcome. For recognition scores a
375 representation of a standard rabies case had to be defined. In reality the presentation would very
376 often vary from those portrayed. Nevertheless, the approach captures the situation in the
377 community and allows scoring recognition ability rather than knowledge about the disease per se
378 (Nagle et al., 2013)(Nagle, Usita, & Edland, 2013)(Nagle, Usita, & Edland, 2013).

379 When designating a probability for reporting behaviour it was decided to use the past-reporting
380 behaviour score. While this represented a more reliable estimate, it considerably reduced the
381 sample size from 137 to 30 people. The overestimation of future behaviour was most likely a result
382 of the social desirability bias introduced. 'Social desirability reflects the tendency on behalf of the
383 subjects to deny socially undesirable traits and to claim sociably desirable ones' (Nederhof, 1985).

384 While efforts were made to try to minimise this effect, this was inhibited by the face-to face format

385 of the questionnaire. Another major limitation in data collection was introduced by response bias.
386 No information was available on those who refused to partake or those who were not at home at
387 time of interviewing. Interviews were carried out during the weekdays and meant that there was an
388 over representation of those who were at home during these times, such as housewives, the retired
389 and the unemployed. The use of convenience sampling instead of formal random sampling in the
390 questionnaires is likely to have introduced further bias, however in this setting a conventional
391 random sampling would have proved unworkable.

392 Data to parameterize the submission rate from the dog shelter was limited. It was reported during
393 verbal interview that no dogs had been held as suspect cases over the last year but no records were
394 available to corroborate this information. As a result, the probability was determined to be between
395 80-90% from the verbal information gained when asking the dog shelter manager what proportion
396 he believed to have been submitted in the past.

397 **5. Conclusion**

398 The dedication of different agencies within Colombo City to control rabies is encouraging; a lot of
399 progress has been made towards eradication within the city. This is a novel setting for the use of the
400 scenario tree model, but the results obtained in this research highlight its functionality. While the
401 passive surveillance system currently in place in Colombo City has low unit sensitivity, the model
402 indicates that with sufficient sample size this need not inhibit overall system sensitivity. Further
403 work however is needed to validate the sample size assumptions used in these calculations. The
404 passive surveillance system seen in Colombo City in Sri Lanka and other similar systems are
405 commonplace in most rabies endemic countries where more costly active surveillance systems are
406 difficult to implement. This project therefore provides a framework that could be widely utilised to
407 evaluate surveillance in countries battling to control the rabies burden. Implementation of cost-
408 effective monitoring of control efforts and facilitating the ability to substantiate freedom from
409 disease using a passive system provide incentives for rabies control.

410 **Conflict of interest**

411 No conflict of interest.

412

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417

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498

499 **Table 1**

500

Abbreviation	Value or distribution	Description and data source
recognition	Beta(24+1,37-24+1)	Upper estimate of recognition ability from community questionnaire. (Probability of recognising two of the three symptoms)
recognition2	Beta(12+1,37-12+1)	Lower estimate of recognition ability from community questionnaire. (Probability of recognising all three symptoms)
Pown	Beta(15640+1,21377-15640+1)	The probability that a dog is owned, taken from the 2007 dog census of Colombo City.
Pi	0.01	The design prevalence, assumption based on past prevalence data from the Blue Paw Trust.
Psym	1	The probability that a dog infected with rabies will show clinical symptoms.
Prec_O	Uniform (recognition2(O),recognition(O))	The probability that a dog owner recognises the signs of rabies, calculated from the community survey.
Prec_SD	Uniform (recognition2(SD),recognition(SD))	The probability that any person (dog owner or not)recognises the signs of rabies, calculated from the community survey.
PrepDP_O	Beta(6+1,10-6+1)	The probability that a dog owner would report to the dog shelter if they suspect a dog has rabies, calculated form the community survey.
PrepV_O	Beta(1+1,10-1+1)	The probability that a dog owner would report to the veterinarian if they suspect a dog has rabies, calculated form the community survey.
PrepDP_SD	Beta(9+1,30-9+1)	The probability that a person would report to the dog shelter if they suspect a street dog has rabies, calculated form the community survey.
PrepV_SD	Beta(1+1,30-1+1)	The probability that a person would report to the veterinarian if they suspect a street dog has rabies, calculated form the community survey.
Psub	Pert(0.8,0.9,1)	The probability that the veterinarians at the dog shelter will submit the head for rabies testing.
PsubV	Beta(5+1,7-5+1)	The probability that the veterinarian will submit the head for rabies testing, calculated from the survey amongst private vets.
Testse	0.98	The diagnostic sensitivity of the laboratory diagnosis from expert opinion.
n	21,377	Dog population of Colombo City from 2007 census data provided by Blue Paw Trust.

501

502 **Table 2**

Variable	Category	Frequency
Gender	Male	48 (35.0%)
	Female	89 (65.0%)
Religion (12 missing values)	Buddhist	66 (52.8%)
	Christian	27 (21.6%)
	Hindu	20 (16.0%)
	Muslim	9 (7.2%)
	None	3 (2.4%)
Age group	15-36 years	42 (30.7%)
	36-65 years	70 (51.1%)
	66+ years	25 (18.3%)
Children <5yr in household	Yes	35 (25.6%)
	No	102 (74.5%)
Children <15yr in household	Yes	77 (56.2%)
	No	60 (43.8%)
Education level reached	No formal education	11 (0.7%)
	Primary	34 (24.8%)
	Secondary	83 (60.6%)
	Undergraduate	16 (11.7%)
	Postgraduate	3 (2.2%)
Dog Owner	Yes	37 (27.0%)
	No	100 (73.0%)
Number of stray dogs in neighbourhood	0	35 (25.9%)
	1-5	60 (44.4%)
	6-10	32 (23.7%)
	11-15	4 (3.0%)
	15+	4 (3.0%)
Previously bitten by dog	Yes	50 (36.5%)
	No	87 (63.5%)
Known anyone who has contracted or been treated for rabies	Yes	19 (13.9%)
	No	118 (86.1%)
Seen a suspect rabid dog in the past	Yes	30 (21.9%)
	No	107 (78.1%)

503

504

505

506 **Table 3**

Factors potentially associated with recognition and reporting of rabies	Univariate logistic regression with recognition score		Univariate logistic regression with reporting score		
		Odds Ratio (95% C.I.)	P value	Odds Ratio (95% C.I.)	P value
Socioeconomic group			0.52		0.6
	Low	1		1	
	Middle	0.811 (0.23-2.83)		1.81 (0.6-5.47)	
	High	0.47 (0.12-1.77)		1.14 (0.42-3.14)	
Gender			0.08		0.06
	Male	1		1	
	Female	0.44(0.17-1.11)		2.38 (0.99-5.75)	
Religion (12 missing values)	-	-	-	-	-
Age group			0.88	5.88 (0.72 – 50)	0.3
	15-35yrs	1		1	
	36-65yrs	1.14 (0.41-3.18)		3.5 (0.35-35-38)	
	66+yrs	0.82 (0.2-3.34)		9 (0.56-143.95)	
Children <5yr in household			0.4		0.48
	Yes	1		1	
	No	1.63 (0.52-5.09)		1.93 (0.32-11.74)	
Children <15yr in household			0.36		0.15
	Yes	1		1	
	No	1.54 (0.62-3.83)		3.26 (0.66-16.03)	
Education level reached	-	-	-	-	-
Dog owner			0.01		0.04
	Yes	1		1	
	No	0.27 (0.09-0.76)		0.19 (0.04-0.94)	
No. stray dogs in neighbourhood			0.65		1.00
	None	1		1	
	1-5	0.67 (0.2-2.21)		0.95 (0.14-6.28)	
	6-10	1.89 (0.48-7.55)		1 (0.13-7.57)	
	11-15	-		-	
	20-30	1.95 (0.12-.31.32)		-	
Previously bitten by a dog			0.0005		0.81
	Yes	1		1	
	No	0.17 (0.06-0.46)		0.83 (0.19-3.64)	

Known anyone contracted or treated for rabies		0.27	0.64
Yes	1	1	
No	0.5 (0.14-1.74)	0.67 (0.12-3.73)	
Seen suspect rabid dog in past		0.002	0.43
Yes	1	1	
No	0.21 (0.08-0.57)	0.63 (0.2-2.0)	

507

508

509 **Figure and table legends**

510 Figure 1. Scenario tree for the passive surveillance of rabies in the dog population of Colombo City,
511 Sri Lanka.

512 Figure 2. Sensitivity analysis of scenario tree parameters, exploring influence on mean unit sensitivity
513 of passive surveillance for rabies in the dog population of Colombo City, Sri Lanka.

514 Figure 3. Output of the stochastic scenario tree model. Mean sensitivity for each terminal, as well as
515 unit and overall sensitivity is given with 5% and 95% percentiles Model output at 0.1%, 1% and 5%
516 design prevalence in both branches are given. Se = component sensitivity, SD = street dog, O =
517 owned dog, CSeU = unit sensitivity, Pound = reporting to dog shelter, Vet = reporting to vet.

518 Table 1. Parameters used in the scenario tree model, distributions applied and data sources.

519 Table 2. Frequency of demographic and descriptive categorical data amongst respondents to the
520 community survey.

521 Table 3. Univariate logistic regression results from the community survey for factors associated with
522 increased or decreased recognition and reporting of rabies suspect animals.

523

524 Figure 1.

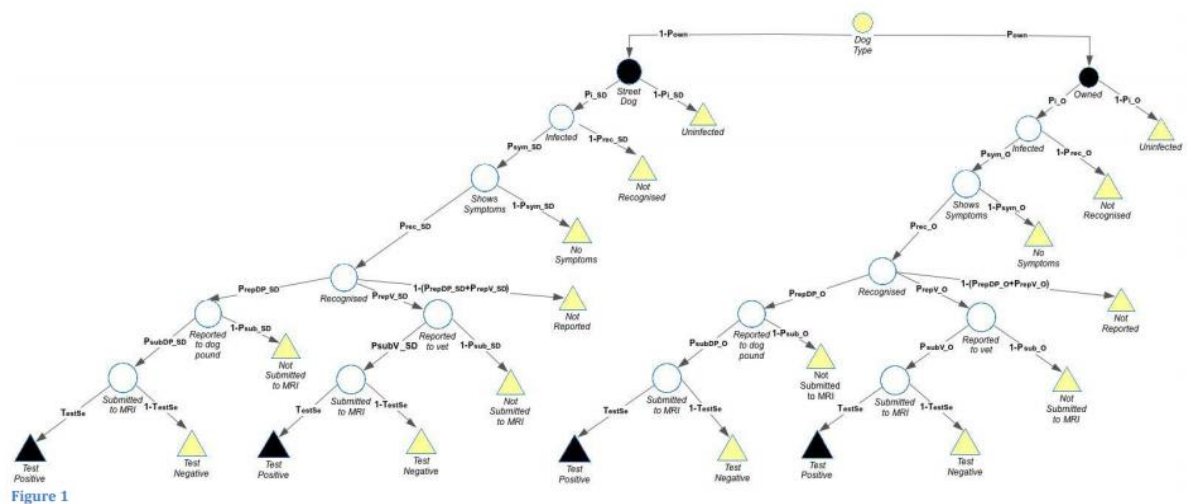


Figure 1

525

526

527 Figure 2:

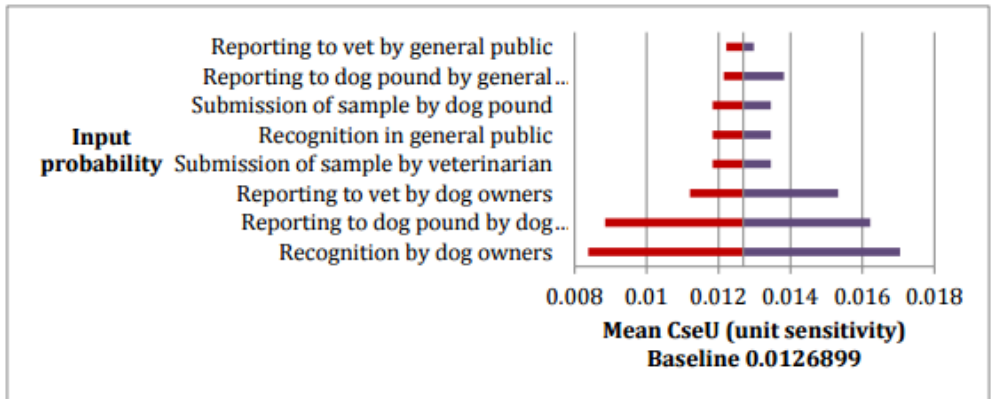


Figure 2

528

529 Figure 3:

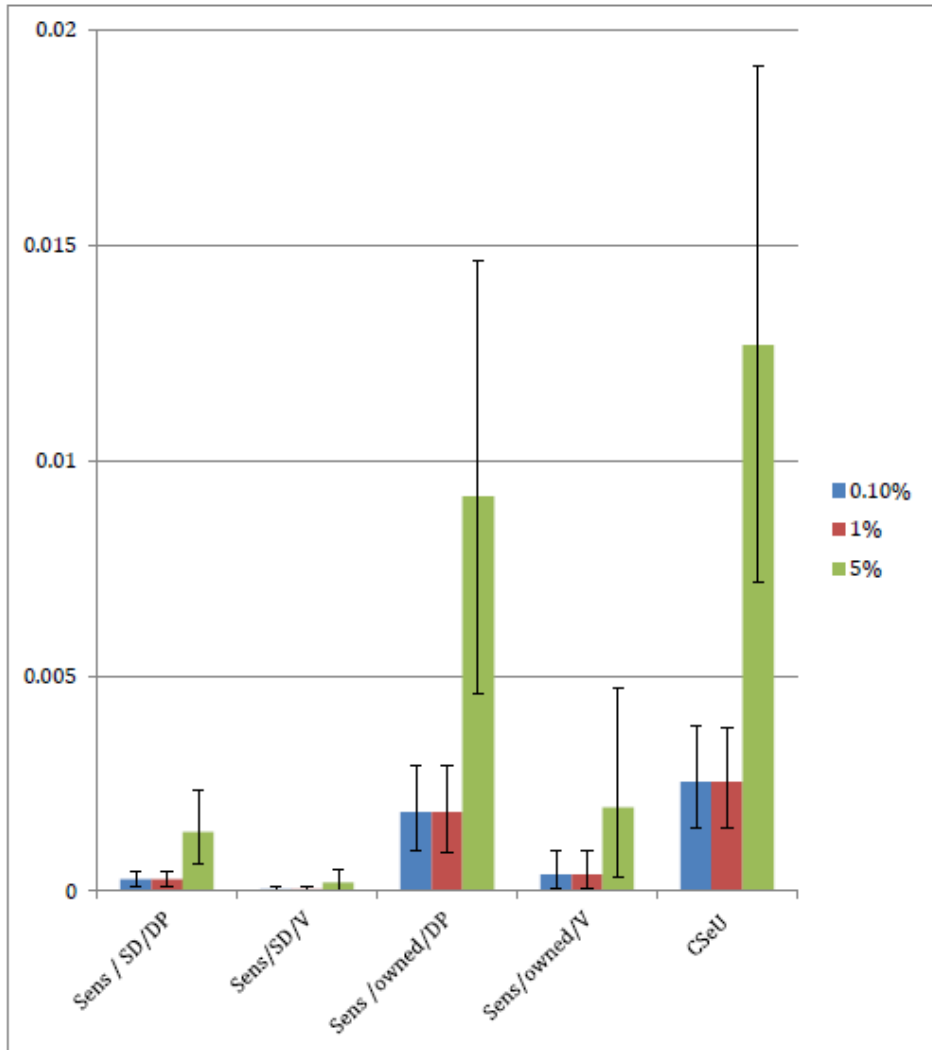


Figure 3

530