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1 **A Retrospective Study of the Short-Term Complication Rate following 750 Elective Elbow**
2 **Arthroscopies**

3

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27 **A Retrospective Study of the Short-Term Complication Rate following 750 Elective Elbow**
28 **Arthroscopies**

29

30 **Introduction**

31 Surgical exploration via arthroscopy or arthrotomy may be beneficial in canine elbow dysplasia to
32 achieve a definitive diagnosis, determine severity and allow for subsequent treatment. Direct
33 examination and probing of the elbow joint surfaces helps achieve an earlier diagnosis (1), which has
34 been shown to improve clinical outcomes (2,3).

35 Many reports describe advantages of arthroscopy over arthrotomy including superior field of
36 visibility, minimal invasiveness, reduced surgical time, ability to access multiple joints, lower patient
37 morbidity, faster recovery and reduced risk of septic arthritis (3-6). Arthroscopy has been shown to
38 result in superior functional outcomes in the treatment of medial coronoid disease (6). Lameness
39 deterioration postoperatively was reported in 5.2% of arthrotomy cases compared with 2.9%
40 following arthroscopy (6). Postoperative septic arthritis has been reported in 1-3% of arthrotomy
41 cases (7), which is higher than the reported rates of 0.85% following canine arthroscopy (4), 0.9%
42 following equine arthroscopy (8) and 0.8% following human elbow arthroscopy (9). Other
43 complications reported following elective arthroscopy include fluid extravasation, iatrogenic
44 cartilage damage, persistent or worsened lameness and peripheral nerve injury (3,10,11), however
45 their rates of occurrence have not been well defined.

46 In human arthroscopy, studies have shown higher complication rates in the elbow (9) compared to
47 larger joints, such as the knee (12). As the types and rates of complications differ between different
48 joints, procedures and species, the results from other studies are not directly applicable to elbow
49 arthroscopy in dogs. To date, there are no large studies that investigate the full range of complications
50 associated with canine elbow arthroscopy and the rates at which they occur. The provision of these
51 data to owners will facilitate achieving informed consent.

52

53 **Materials and Methods**

54 Medical records of all dogs which underwent unilateral or bilateral elbow arthroscopy for confirmed
55 or suspected canine elbow dysplasia between November 2002 and April 2012 at the Queen Mother
56 Hospital for Animals were reviewed. Clinical records were attained from 437 dogs, of which 21 had
57 repeat procedures, such that 458 dogs (750 elbows) were included. Data retrieved from the clinical
58 records included signalment, body weight, laterality of clinical signs preoperatively and whether
59 unilateral or bilateral arthroscopy was performed. Intraoperative data included arthroscopic findings,
60 primary disease process(es) diagnosed, procedure(s) performed, requirement for arthrotomy, duration
61 of elbow arthroscopy and duration of anaesthesia. Postoperative data included any complications
62 encountered, necessity for a second surgery and, whether lameness at re-examination was graded as
63 improved, the same or worse than that noted preoperatively. Cases were noted when a definitive
64 diagnosis could not be achieved or where no arthroscopic abnormalities were evident.

65 All surgical procedures were performed by faculty surgeons or surgical residents under direct
66 supervision of faculty surgeons. A standard medial approach was used for all arthroscopic procedures
67 (10).

68 **Perioperative Management**

69 Postoperative analgesia consisted of administration of methadone¹ (0.1-0.2mg/kg IV q4-6h PRN) for
70 the first 24-48 hours, followed by buprenorphine² (0.02mg/kg IV q6-8h PRN) for the following 24-
71 48 hours. Either meloxicam³ (0.1-0.2mg/kg IV) or carprofen⁴ (2-4mg/kg IV) was administered to
72 each patient at induction of anaesthesia, and then this medication (0.1mg/kg PO q24h or 2-4mg/kg
73 PO q24h respectively) was prescribed for the following 10 days. A self-adhesive wound dressing⁵
74 was applied to the surgical site until the patient was discharged from our hospital. Cage rest with
75 gradually increasing duration of lead-only walking until 6-8 weeks postoperatively was advised.

¹ Physeptone™: Martindale, Romford, UK

² Vetergesic™: Reckitt Benckiser Healthcare, Hull, UK

³ Metacam™: Boehringer Ingelheim, Rhein, Germany

⁴ Rimadyl™: Pfizer, Sandwich, UK

⁵ Primapore™: Smith & Nephew, Hull, UK

76 **Complications**

77 The definitions of complications used for this study were adopted from a study investigating
78 complications of the tibial tuberosity advancement procedure in dogs(13). Perioperative
79 complications were those occurring prior to recovery from anaesthesia, and postoperative
80 complications those occurring at any time thereafter.

81 Any complications requiring a repeat arthroscopy,arthrotomy or both were defined as major; these
82 cases were identified and reasons for repeat surgery reviewed. All other complications were defined
83 as minor. Minor perioperative complications included unplanned conversion to arthrotomy, technical
84 difficulties associated with the instruments, fluid extravasation, excessive haemorrhage from portals
85 and significant iatrogenic cartilage damage. Minor postoperative complications included severe
86 elbow swelling, septic arthritis, severe pain and temporary neurapraxia. Postoperative elbow swelling
87 was only considered a complication when swelling necessitated additional treatment above standard
88 postoperative measures, such as application of a pressure bandage. The presence of signs of severe
89 pain during hospitalisation was considered a complication when it necessitated a change in the
90 anticipated postoperative analgesia protocol.

91 A recommendation was made to the owners of all patients that re-examination be performed at six
92 weeks postoperatively. One complication following discharge that was specifically investigated was
93 lameness that was noted to be worse than that noted preoperatively during the postoperative re-
94 examination. Not all dogs returned for re-examination rendering the outcome for these cases
95 unknown. Despite the limitations of this, for the purposes of this study, these cases were presumed
96 not to have deteriorated in terms of lameness relative to their preoperative status.

97 Quantitative descriptive data for metric variables are presented as median values (range).

98

99 **Results**

100 **Study Population**

101 Of the 458 cases reviewed, 292 (63.8%) arthroscopic procedures were performed bilaterally and 166

102 (36.2%) unilaterally yielding a total of 750 joints. While clinical signs were noted to be bilateral in
103 327 cases, only 292 cases underwent bilateral arthroscopy. In the remainder of cases, owners only
104 perceived unilateral thoracic limb lameness to be a problem and elected to have only unilateral
105 surgery due to their wish to avoid any risk of surgical complications for perceived limited advantage.
106 Eighty-two of the unilateral procedures were performed in the right forelimb and 84 in the left
107 forelimb. Labrador Retriever (41.3%) was the breed most commonly represented and the male:female
108 ratio was 3:1 (Table 1).

109

110 The median age of the study population was 25 months (5 - 127 months) and 60.9% of cases were
111 ≤ 18 months old. The median body weight was 32kg (5 - 77kg). Preoperative clinical signs of
112 abnormalities were found bilaterally in 71.4% of cases. These included lameness, a pain response
113 upon manipulation of the elbow, a pain response upon palpation of the medial musculature distal to
114 the elbow, palpable elbow effusion and crepitus upon elbow manipulation. This study did not
115 specifically investigate the diagnostics used for each case, however, the majority of cases were
116 referred with plain radiographs. Further imaging in the form of computed tomography (CT) was
117 performed in 690 of 750 elbows; CT was only omitted in cases with definitive radiographic findings
118 or if there were financial restrictions. Arthrocentesis was used in 90 cases where the findings of the
119 CT imaging were inconclusive. The median durations of elbow arthroscopy and general anaesthesia
120 were 73 minutes (15-260 minutes) and 177 minutes (65-460 minutes) respectively.

121 Medial coronoid disease was the most frequently diagnosed primary disease process, found in 81.5%
122 of elbows. All arthroscopic lesions found and their prevalence are detailed in Table 2. Conditions
123 included in the “other” category include incomplete ossification of the humeral condyle and elbows
124 where incongruity or osteoarthritis were the only abnormalities detected.

125 **Minor Complications**

126 Definitive diagnoses were not achievable in 10 elbows from seven dogs, due to marked synovitis in
127 three cases and technical difficulties with the instruments in four. No signs of pathology were

128 detectable in 50/750 (6.7%) elbows from 28 dogs. Of these 50 arthroscopically normal joints, 48 of
129 the respective limbs demonstrated preoperative clinical signs and 2 were asymptomatic and
130 investigated at the request of the owners. Only 2/28 of these dogs proceeded to have shoulder
131 arthroscopy in the same thoracic limb; findings were unremarkable in one case and demonstrated
132 bilateral osteochondritis dissecans of the humeral head in the other. Therefore for 27 dogs (48 elbows,
133 6.4%) no definitive diagnosis was achieved.

134 One or more minor perioperative complications were encountered in seventy-four (17.1%) cases.
135 Fifty-five (12%) cases of elbow arthroscopy progressed to require arthrotomy for treatment (Table
136 3), of which 32 were considered routinely necessary for the planned treatment or elective based on
137 surgeon preference where the surgeon made no attempt to treat the condition arthroscopically
138 following diagnosis. The remaining 23 were considered complications with arthrotomy being
139 performed due to inability to treat the condition arthroscopically when this would normally be
140 possible. Failure to remove medial coronoid disease fragment(s) arthroscopically occurred in 19
141 cases, and represented the most common reason necessitating arthrotomy.

142
143 Technical difficulties associated with the instruments occurred in 13 (2.9%) cases; nine of these were
144 due to an inability to insert the arthroscope into the elbow or difficulties viewing the entire joint
145 cavity, whilst four were due to faulty instruments which prevented definitive diagnoses being made.
146 Excessive fluid extravasation resulting in impaired visibility was reported in two (0.44%) cases,
147 however diagnoses were achieved in both. Significant iatrogenic cartilage damage occurred in eight
148 (1.7%) cases, none of which resulted in postoperative deterioration in lameness. The exact nature of
149 this damage, in terms of lesion size, was not discernible from the records, however all involved
150 iatrogenic exposure of subchondral bone. Three (0.66%) dogs suffered from excessive haemorrhage
151 during portal placement.

152 Minor complications during postoperative hospitalisation were found to occur in 24 (5.2%) cases:
153 these included severe elbow swelling (2%), septic arthritis (0.22%), severe pain (2.8%) and temporary

154 neurapraxia (0.22%). The case of septic arthritis was diagnosed following development of drainage
155 from the portals and elbow swelling one week postoperatively. Arthrocentesis revealed turbid joint
156 fluid with an elevated neutrophil count and culture was positive for an unidentified *Staphylococcus*
157 spp. Treatment with appropriate antibiotics resulted in lameness resolution. The one case of
158 temporary neurapraxia was considered to be due to damage to the ulnar nerve based on clinical
159 findings of overextension of the carpus during weight bearing and absence of cutaneous sensation on
160 digit five and the caudal and caudolateral aspects of the antebrachium. These findings resolved by 48
161 hours postoperatively.

162 **Major Complications**

163 Of the 458 elbow arthroscopies, 21 were repeat cases, producing a major complication rate of 4.8%.
164 Repeat surgery was performed at a median of 135 days (1 - 1095 days) following initial arthroscopy.
165 The most common reason necessitating repeat arthroscopy was recurrent or persistent postoperative
166 lameness of unknown aetiology. This was the case in 19 out of the 21 cases of repeat arthroscopy
167 (90.5%). Seven of these dogs had developed a worsened postoperative lameness compared to that
168 noted preoperatively. The other reason for repeat arthroscopy was technical difficulties or poor
169 visibility during a previous arthroscopy in two cases. Signs of medial coronoid disease were
170 arthroscopically appreciable in 18/21 of the repeat cases. Out of all dogs that underwent arthroscopy
171 for the first time, 6/437 cases that did not have detectable fragmentation of the medial coronoid
172 process in the initial arthroscopy went on to require repeat surgery for medial coronoid process
173 fragment removal.

174 A total of 204 cases returned for re-examination 1.5 to 14 weeks postoperatively. Thirty-two out of
175 458 dogs which had undergone elbow arthroscopy were reported to have developed a lameness
176 postoperatively which was more severe than that noted preoperatively. Thirteen of these dogs had
177 other concurrent complications associated with the arthroscopy procedure whilst 19 did not. Out of
178 these 32 cases, seven subsequently underwent a repeat arthroscopy as described above. The initial
179 and final arthroscopic findings for these cases are described in Table 4.

180

181 Table 5 summarises all the major and minor complications that occurred as a consequence of elbow
182 arthroscopy. This gives a total major complication rate of 4.8%, and a total minor complication rate
183 of 27.8%, (17.1% perioperative and 10.7% postoperative).

184

185 **Discussion**

186 There is a paucity of information in the veterinary literature regarding the complication rates
187 associated with elbow arthroscopy and some studies have questioned the benefit of arthroscopic
188 treatment over medical treatment (14). Given this controversy, informed consent is critical and this
189 study provides valuable information which will enable owners to be made more aware of the potential
190 complications associated with this procedure.

191 Failure to detect any signs of pathology or to make a definitive diagnosis by elbow arthroscopy was
192 the most common complication overall (6.4%) in our study. This is a useful statistic which may allow
193 owners to be prepared for this disappointing outcome. The authors recognise that this figure would
194 probably vary between facilities as it will be heavily dependent upon the preoperative imaging used
195 and the experience of the surgeon. Further investigation of the 50 elbows without any detectable
196 elbow pathology on arthroscopy to ascertain whether a primary cause of clinical signs was ultimately
197 diagnosed was not performed because our purpose was primarily to elucidate the complication rate
198 associated with the initial arthroscopic procedure. The difficulty in localising the cause of thoracic
199 limb lameness to the elbow or shoulder has been reported previously (15). Arthroscopic imaging of
200 both the elbow and shoulder joints may be considered in dogs with thoracic limb lameness (15, 16).

201 The second most common perioperative complication was the need to convert to arthrotomy. A total
202 of 12% of dogs required conversion but this was only considered a complication in 5% of dogs. While
203 none of the dogs which required conversion to arthrotomy in this series developed joint sepsis, higher
204 rates of septic arthritis have been reported following arthrotomy (4,7). While it is beyond the scope
205 of this study, it would be interesting to investigate potential risk factors necessitating conversion to

206 arthrotomy. These may include surgeon experience, fragment size, patient size relative to fragment
207 size and inadequate instrumentation.

208 Iatrogenic damage is not uncommon in arthroscopic joint surgery and is the most likely complication
209 to be omitted from recording (8). In a previous paper, small to very small iatrogenic cartilage lesions
210 were reported in 30% of dogs undergoing elbow arthroscopy (17) compared to 1.7% in our study.
211 Only larger articular cartilage lesions were reported in our study but it was not possible to ascertain
212 retrospectively the percentage of cases in which minor damage had occurred. Three cases suffered
213 from iatrogenic excessive perioperative haemorrhage during creation of the arthroscopy portals which
214 may have been due to damage to the median artery, the common interosseous artery, the articular
215 branches of the brachial artery or the recurrent ulnar artery (18). Reported rates of iatrogenic injury
216 may differ between surgeons of varying experience, arthroscopic techniques and choice of
217 instruments (8,10). It is difficult to assess the impact of iatrogenic damage to the dog in terms of
218 postoperative morbidity, however none of the dogs that suffered from iatrogenic cartilage damage or
219 haemorrhage in this study developed a worsened postoperative lameness or required follow-up
220 treatment. Nevertheless, surgeons should minimise iatrogenic damage through selecting
221 appropriately sized instruments, maintaining adequate joint distension and inserting and manipulating
222 instruments gently(8,10).

223 Peripheral nerveinjury is a complication often documented in humans (9,12,19), with risk factors
224 including contracture of the elbow joint or a diagnosis of rheumatoid arthritis (9). However, only one
225 dog suffered from temporary neurapraxia in our study. The low prevalence of these disorders in dogs
226 may explain why this complication is rarely reported or it may be that the commonly reported
227 symptoms in humans of weakness and numbness, (9) are undetected in veterinary patients. In humans,
228 insufficient joint distension prior to creating arthroscopy portals may lead to an increased risk of
229 iatrogenic nerve damage (20).

230 Joint infection following arthroscopy has been described as a rare occurrence in horses and humans
231 (4,8,9). Although the rate of postoperative septic arthritis in our study was lower than that of previous

232 studies, the authors recognise the possibility that some cases of septic arthritis may have been treated
233 elsewhere which could result in a falsely low rate of sepsis (0.22%) being reported here.

234 Lameness was more severe than that noted preoperatively in 7% of cases at the time of reassessment
235 which ranged from 10-98 days postoperatively. This was higher than the previously reported rates of
236 2.9% following arthroscopy and 5.2% following arthrotomy (6). However, the lack of long-term
237 follow-up and failure to determine the reason for ongoing lameness in many cases limits the value of
238 this result in our study. Many dogs do not return to soundness as pre-existing secondary osteoarthritis
239 may continue to progress even after surgical treatment of canine elbow dysplasia (6,21) making it
240 difficult to distinguish whether the lameness is a complication of the procedure or is to be expected.
241 As dogs may display clinical signs of canine elbow dysplasia and begin to develop secondary
242 osteoarthritis as young as 4 months of age, lesions may have been well established by the time of
243 arthroscopy. The delay in diagnosis and treatment may result in increased lesion severity and more
244 advanced secondary osteoarthritis making treatment less effective (12). Regardless of the reason
245 behind it, the potential for a deterioration in lameness following arthroscopy is a concerning
246 complication of which the clients should be forewarned.

247 Failure to remove osteochondral fragments is another recognised complication of arthroscopy in
248 horses (8), however the prevalence of this complication in dogs remains unknown. Fragmentation
249 may be undetectable during the initial elbow arthroscopy or develop postoperatively. We found that
250 in 18 of the 21 elbows that underwent repeat arthroscopy there were medial coronoid disease lesions
251 suggesting that diagnosis of medial coronoid disease still fails at the first attempt despite arthroscopy
252 being considered the current gold standard (4).

253 The limitations of this study stem from its retrospective nature. As information from clinical records
254 may be incomplete, the reported complication rates from this study should be considered potential
255 underestimations. We did not attempt direct follow-up with the owners of each patient and relied
256 solely on the information contained in the clinical records. The lameness assessments in this study
257 were subjectively performed by multiple different clinicians and the lack of consistency and the need

258 to interpret this information retrospectively will have inevitably produced variability. The
259 arthroscopies in this case series were performed by multiple different clinicians and the postoperative
260 care varied. A further limitation is the classification of complications into minor and major based on
261 the requirement for a further surgical procedure. Thirty-two dogs returned for re-examination with a
262 lameness reported to be more severe than that noted preoperatively and it could be argued that all of
263 these cases should have been classified as major complications.

264

265 In conclusion, results from this large number of elbow arthroscopies performed within a single
266 institution demonstrate a low short-term major complication rate but a concerning minor complication
267 rate. These findings may assist veterinarians in discussing the potential disadvantages of proceeding
268 with arthroscopic investigation and treatment of canine elbow dysplasia.

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270

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Table 1 Breed and gender distribution of the 458 cases of elbow arthroscopy

Breed	Male	Female	Total number (%)
Labrador Retriever	125	64	189 (41.3)
Rottweiler	30	20	50 (10.9)
German Shepherd Dog	34	11	45 (9.8)
Staffordshire Bull Terrier	17	5	22 (4.8)
Golden Retriever	14	6	20 (4.4)
Boxer	8	5	13 (2.8)
Bernese Mountain Dog	8	3	11 (2.4)
Other pedigree	47	21	68 (14.8)
Cross breed	24	16	40 (8.7)
Total number (%)	307 (67)	151 (33)	458

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324 **Table 2** Arthroscopic lesions in 750 elbow joints

Disease process	Number of elbows (%)
MCD	611 (81.5)
UAP	12 (1.6)
OCD	12 (1.6)
MCD and OCD	11 (1.5)
MCD and UAP	7 (0.9)
Other diagnoses or combinations	37 (4.9)
Definitive diagnosis not achieved with arthroscopy	10 (1.3)
No detectable abnormalities	50 (6.7)
Total	750

325
326 Abbreviations

- 327
328 MCD – Medial Coronoid Disease
329 UAP – Ununited Anconeal Process
330 OCD – Osteochondritis Dissecans
331
332

333 **Table 3** Reasons for requiring arthrotomy in 55 cases following elbow arthroscopy

Reason for arthrotomy	Number of elective arthrotomies	Number of arthrotomies as a complication	Total
MCD fragment removal	0	19	19
UAP stabilisation	14	0	14
Subtotal coronoidectomy	7	0	7
OCD lesion removal	2	4	6
Correcting elbow incongruity	6	0	6
Treatment of IOHC	3	0	3
Total	32	23	55

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Table 4 Descriptions of the seven dogs with a worsened postoperative lameness necessitating repeat arthroscopy

Breed (age at first arthroscopy)	Findings from the first procedure	Findings from the repeat procedure	Length of time between the two procedures
Labrador Retriever (10 months)	Removal of MCP fragments from both elbows	Removal of a small MCP fragment from the left elbow	2 months
Labrador Retriever (7 months)	Removal of MCP fragments from both elbows	Removal of a small fragment from the left elbow. Extensive eburnation of MCP detected in the right elbow	2 months
Labrador Retriever (7 months)	MCP fissures detected in both elbows, but MCP fragment removal only performed on the left elbow	Arthroscopic appearance of right elbow similar to before, and half of the right MCP was subsequently debrided	3 months
German Shepherd Dog (23 months)	MCP fragment removed from left elbow and underlying bone debrided	Severe cartilage erosion detected in medial compartment of left elbow and no further treatment was performed	3 months
Labrador Retriever (12 months)	Bilateral OCD lesions detected, but arthroscopic removal of the lesions only possible in the left elbow	Repeat right elbow arthroscopy was not successful for OCD lesion removal and arthrotomy was necessitated	6 days
Labrador Retriever (14 months)	MCP fragment removed from right elbow, however poor visibility due to severe synovitis in the left elbow prevented diagnosis and treatment	Removal of small MCP fragment from left elbow	1 month
Staffordshire Bull Terrier (15 months)	Chondromalacia over both MCPs which were subsequently debrided	Removal of fragments from both elbows	3 months

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Table 5 Major and minor complications associated with elbow arthroscopy and rates of occurrence

Complication		Rate %
Major	Repeat surgery required	4.8
Minor – perioperative	Arthrotomy required due to inability to treat arthroscopically	5.0
	Technical difficulties associated with the instruments	2.9
	Excessive perioperative haemorrhage	0.66
	Significant iatrogenic cartilage damage	1.7
	Fluid extravasation impairing arthroscopic inspection	0.44
	No definitive diagnosis achieved	6.4
Minor – postoperative	Worsened postoperative lameness (no repeat surgery performed)	5.5
	Severe postoperative pain	2.8
	Severe postoperative joint swelling	2.0
	Postoperative septic arthritis	0.22
	Temporary postoperative neurapraxia	0.22