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# Event Processing in the Global Information Grid (GIG): Orders of Magnitude Advantage in Information Supply Chains through Context-sensitive Smart Push VIRT



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# Event Processing in the Global Information Grid (GIG):

*Orders of Magnitude Advantage  
in Information Supply Chains through  
Context-sensitive Smart Push (“VIRT”)*

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# The What and How of DoD's Information Superiority

## ➤ *What is information superiority?*

- ✓ *A state where each operator acquires all relevant information in a timely way*

## ➤ *How is information superiority achieved?*

- ✓ A Global Information Grid (GIG) enables each operator to access quickly all relevant information
  - ✓ Produces shared awareness, better decisions, and greater agility.

### *Fallacy:*

**plentiful information &  
unlimited bandwidth  
will make it so**

# Model-based Communication Networks: *Seeking a “mind meld” (shared situation models) under resource constraints*

## ■ Challenges

- ◆ Distributed entities have different concerns and perspectives
- ◆ Dynamic situations evolve rapidly
- ◆ Data updates glut channels and processors
- ◆ Backlogs build and processing entities thrash

## ■ MCN remedy: optimize information flows

- ◆ Each node lets others know its concerns
- ◆ Every node maintains dynamic models
  - ▣ Of itself
  - ▣ Of others
- ◆ A node X informs a node Y when X detects an event that affects Y

# MCNs: *State-full* Networking

Model-based Communication Networks,  
unlike current *stateless* networks,  
*remember what's been communicated,*  
*maintain a distributed understanding of state,*  
*& exploit state to avoid sending low-value bits*

# The Basic Ideas

- 1. Optimize info chains (bit flows) for each operator**
  - Get the high-value bits to operators quickly (**VIRT**)
  - Reduce the number of low-value bits they receive
- 2. Measure the productivity of information processes**
  - Compare “smart pull” to “smart push”
  - Show 5 orders of magnitude advantage for “smart push”
- 3. Shift efforts in DoD to VIRT and Smart Push**
  - Value derives from operator plans and contexts
  - Filters use **COIs** to optimize flow: significant “news”
  - This filtering dictates priorities for semantic mark-ups
- 4. Implement information superiority incrementally**
  - One operator “thread” at-a-time
  - Delivering a few, high-value bits, swiftly
  - Continually improving **COIs** & enabling semantics

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**VIRT = Valuable Information at the Right Time**

**COI = Condition Of Interest**

# Two Basic Approaches: Pull v. Push

## ■ Theory 1 – Smart Pull

- Describe all information available using some type of meta-data description.
- Give each processing entity good search tools.
- Each entity seeks and acquires whatever information it needs, when and as needed.

## ■ Theory 2 – Smart Push

- Each processing entity describes conditions that would make its current plans undesirable, *i.e.* which contradict assumptions justifying the plan.
- Agents alert the affected entity.
- The entity responds quickly to the received news.

# Condition Monitoring is Key

## ■ Conditions of Interest (COIs)

- ◆ Computable expressions (“continuous queries”)
- ◆ Describe critical assumptions (like CCIRs)
- ◆ Depend on operator’s evolving context
  - ▣ Usually reflect phase of a mission & current status

## ■ High-value events are detected

- ◆ Data describing the event match the COI
- ◆ The event is “news”
- ◆ The COI assures the event is still “relevant”
- ◆ Bits reporting the event flow with priority

## ■ Low-value data do not flow

- ◆ Generally “relevant” data not matching a COI
- ◆ Repeated and redundant data, not newsworthy



# Numerical Analysis of Example

## ■ Theater & Information Sources

- ◆ Area of interest is 200 km X 200 km
- ◆ Lat-long mesh 1 km x 1 km => 40K grid points
- ◆ Altitude ranges to 6km, 500m mesh => 13 planes
- ◆ Time span = 4.5hr, gridded @ 30min => 10 slices
- ◆ 10 variables of interest
  - 50M **apparently relevant** data values
- ◆ Data refreshed on average every 30 min

## ■ Pilot's strategy: Reexamination every 10 min

- ◆ 27 reexaminations over the 4.5 hr mission

## ■ Conservative assumptions

- ◆ 90% automatically dropped as “obviously” not “relevant”
- ◆ 90% automatically dropped as “obviously” not “significant”
  - Theory 1 gets just 1% of **apparently relevant** data

# Comparing Process Efficiencies

## ■ Theory 1 (Smart Pull)

- ◆ Every 10 minutes, 1% of 50M data values received
- ◆ I.e., 500K relevant & significant data values
- ◆ Equivalently, 50K items per minute, or 800/sec
- ◆ As a consequence, the pilot “skims” the glut

## ■ Theory 2 (Smart Push)

- ◆ Every 10 minutes, 0 or a small number of significant events will occur
- ◆ As a consequence, the pilot has required cognitive resources to process any event

## ■ Theory 2 : Theory 1 (Push >> Pull)

- 99.999% less data for the operator to consider
- 5 orders of magnitude more efficient

# Can DoD Implement VIRT?

## ■ Incremental, evolutionary process critical

- ◆ **Necessary:** won't achieve information superiority all at once
- ◆ **Sufficient:** each operator mission addressed adds to superiority

## ■ Incremental, evolutionary process is Pareto optimal

- ◆ Focused by **value** for actual missions, implementation delivers maximum "bang for the buck"
- ◆ By focusing on individual missions, one-at-a-time, we minimize implementation failures, delays, budget over-runs
- ◆ No other approach can maximize expected returns on investment

## ■ Specific work required

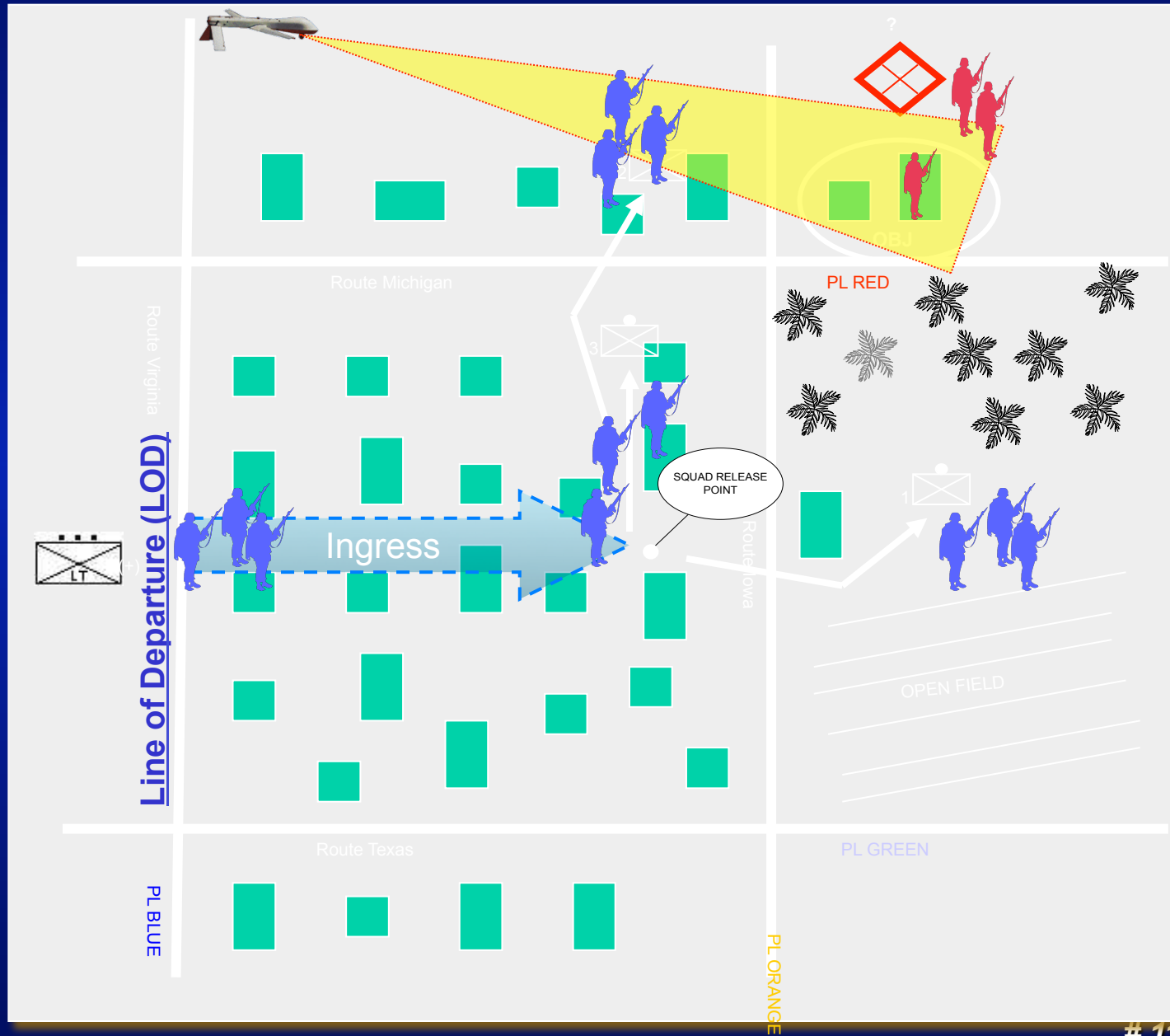
- ◆ Select specific operator missions
- ◆ Determine their mission success requirements
- ◆ Negate their success requirements to define COIs
- ◆ Implement COI monitoring
  - ▣ Many important, reusable components result
  - ▣ Adapt doctrine, tactics, training to exploit dynamic, informed operators
- ◆ Implement continuous improvement process

# USMC-VIRT Scenario: High Value Target Raid

- Platoon Sized Force
- Each squad deploys to their positions

## LEGEND

-  One Story Bldg
-  Two Story Bldg
-  Palm Grove



# Conditions of Interest

Plan Assumptions	Negated Assumptions
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1-1. Notify me if my target location is no longer valid.  
 1-1.a. The distance we are concerned with is a variable. For this instance, we say +/- 100m

1-2-1. Tell me if there are any of friendly organic forces injured to the extent that it impacts mission accomplishment.  
 1-2-1.a. Variable here is the definition of what hinders the mission. Examples include mobility, life threatening injuries, and combat effectiveness issues.

1-2-2. Same as 1-1. Variable here is the distance of the squad from it's expected location; We are concerned with +/- 50m.

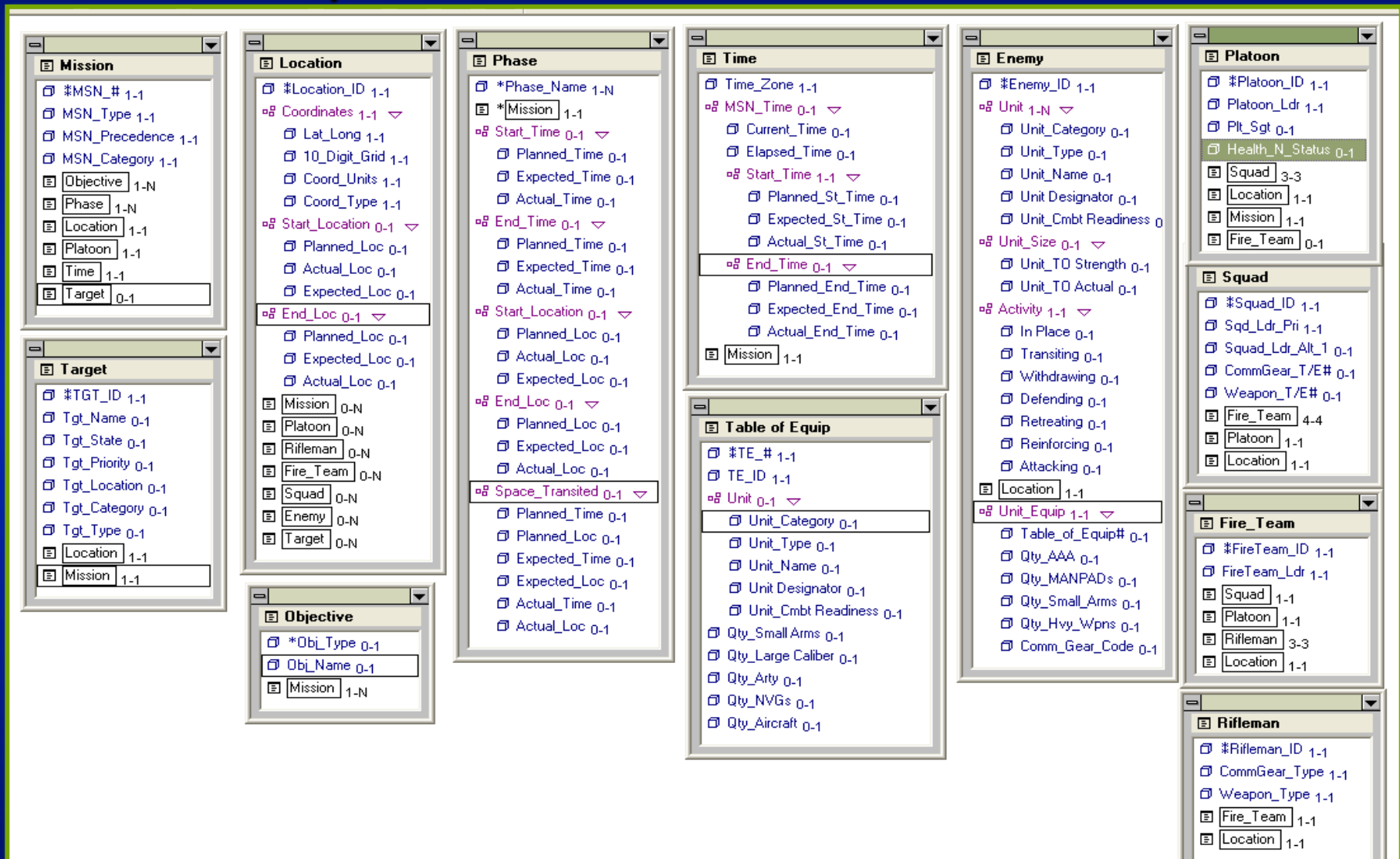
1-2-3. Tell me if any organic blue force weapons become inoperable.  
 1-2-3a. By inoperable, we mean incapable of sending a round downrange. Does not take into account multiple weapon systems (203 grenade launcher).

1-3. Notify me if I'm about to lose comms.

<b>Target location known</b>	<i>Actual target location not as planned / expected</i>
<b>All organic blue forces are mission capable</b>	<i>Organic blue force casualties exceed Go-No-Go threshold</i>
<b>Squads' locations are accurate</b>	<i>Squads' locations are not as planned / expected</i>
<b>Weapons are mission capable</b>	<i># non-mission-capable weapon systems exceeds Go / No-Go threshold</i>
<b>Still within my communication's threshold</b>	<i>Approaching my communication device's threshold</i>

# USMC-VIRT Semantic Object Model v.1

## Concepts of...



# Example Information Requirements and Conditions of Interest (COIs)

In_1.0	//Target Location has changed//
1	Current target location not as planned
[Mission]:Msn_#, Msn_Type-HVT[Phase]:= Ingress, [Target]:Tgt_ID, [Location]: Location_ID, Coordinates ≠ Coordinates Planned	
2	Current target location not as expected
[Mission]:Msn_#, Msn_Type-HVT[Phase]:= Ingress, [Target]:Tgt_ID, [Location]: Location_ID, Coordinates ≠ Coordinates Expected	
In_2.0	//Health & status of organic, mission assigned, friendly forces//
1	Blue organic force member is seriously injured
[Mission]:Msn_#, Msn_Type-HVT[Phase]:= Ingress, [Rifleman]: Rifleman_ID <b>and/or</b> [Squad]: Sqd_Ldr <b>and /or</b> [Fire_Team]: FireTeam_Ldr, Health_N_Status = Serious Injury	
2	# of organic blue forces injured exceeds mission Go-No-Go criteria
[Mission]:Msn_#, Msn_Type-HVT[Phase]:= Ingress, [Rifleman]: Rifleman_ID <b>and/or</b> [Squad]: Sqd_Ldr <b>and /or</b> [Fire_Team]: FireTeam_Ldr, Health_N_Status <b>SUM Qty</b> Serious Injury ≥ <b>Go_No_Go_Criteria {abort}</b>	
In_3.0	//Location of msn essential organic blue force maneuver elements, in this case a 12 man squad.//
1	Current position of Squad(n) is not as planned
[Mission]:Msn_#, Msn_Type-HVT[Phase]:= Ingress, [Squad]:Squad_ID, [Location]: Location_ID, Coordinates ≠ Coordinates Planned	

# Key Technology Shortcomings

1. **Models** of mission types with goals, activity models, assumed and predicted states, assumptions, and justifications.
2. **Tailorable process** for monitoring COIs and alerting operators.
3. **Vocabularies** that operators find natural and useful in characterizing their COIs.
4. **An expression language** operators can write and read to define COIs that uses their own vocabulary simply.
5. **“Cartridges” or “blades”** for the most popular **database products** that make it easy to define models suitable for typical vocabularies, expressions, and COIs.
6. **Standard solutions** for expressions involving **space-time intersections**. Make it easy to “mix in” space and time dimensions to virtually any ontology.
7. **Tools to audit information flows** and to determine specifically **“why”** particular alerts occurred or **“why not”** when they didn't.
8. **Tools to improve the information value chains** by fixing bugs in the vocabularies, expressions or COIs.



# High-Value Event Types

- a) Does an entity's route intersect another's range of capability (e.g., detection, weapons) at some time  $t$ ?
- b) Where is an entity expected to be and what area is included in its range of capability at some future time  $t$ ?
- c) What other positions and areas are possible, even if not currently expected?
- d) Will one entity detect another? With what probability?
- e) How long will an entity's plan (e.g., planned route to destination) take?
- f) Will the entity exhaust any of its resources (e.g., fuel) before completing?
- g) Does the probability exceed a threshold (e.g., 5%), that the ranges of capabilities (e.g., detection, weapons) of two entities will intersect (over the time remaining)?
- h) What's the probability that two entities will interact (e.g., collide, detect one another)?
- i) If two entities need to interact continually (e.g., remain in communication), will they?

# Conclusion

- **Theory of NCOW / Information Superiority:**  
“quickly get information to those who will benefit”
- **Two very different process designs**
  - ◆ DoD’s approach: Mark it all up with semantic meta-data so operators can pull what they deem relevant
  - ◆ Smart Push: Analyze how info improves mission outcomes so machines can watch for that information and push it to operators
- **The two designs address different types of problems**
- **VIRT offers *orders of magnitude* greater bang for the buck**
- **Information value-delivery chains provide the organizing principle for a revolution in IT-leveraged mission effectiveness**