¹ Survival of the Systems

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18 Keywords

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20 Abstract

21 Since Darwin, individuals and more recently genes have been the focus of evolutionary thinking. The idea that selection operates on non-reproducing, higher-level systems 22 23 including ecosystems or societies has met with scepticism. But research emphasising that natural selection can be based solely on differential persistence invites reconsideration of 24 their evolution. Self-perpetuating feedback cycles involving biotic as well as abiotic 25 26 components are critical to determining persistence. Evolution of autocatalytic networks of 27 molecules is well studied, but the principles hold for any 'self-perpetuating' system. Ecosystem examples include coral reefs, rainforests and savannahs. Societal examples 28 include agricultural systems, dominant belief systems and economies. Persistence-based 29 30 selection of feedbacks can help us understand how ecological and societal systems survive 31 or fail in a changing world.

32 Evolution based on persistence

In times of pervasive global change it is important to ask: Why do some ecological, social or
social-ecological systems dominate the world today? Why not others? Plausibly the winners
we see have out-persisted other systems. But how does that work? And can we view it as an
evolutionary process?

The founders of ecology and biogeochemistry thought so. Tansley [1] argued that there is "a kind of natural selection of incipient systems, and those which can attain the most stable equilibrium survive the longest". Hutchinson [2] postulated that systems with self-correcting mechanisms tend to persist longer, and disruptive elements tend to get filtered out by causing their own extinction. Subsequent researchers argued "the criterion for selection is survival of the system" [3] and that ecosystems have become more stable over time through
undergoing a winnowing series of "limited catastrophes" [4]. Archaeologists and
anthropologists advanced comparable ideas involving differential persistence of cultural
groups [5, 6], with state formation occurring through a sequence of "experiments", which
often fail but sometimes achieve a persistent configuration [7].

47 Evolutionary theory, however, has struggled to accommodate such explanations. It generally 48 refuses to recognise ecosystem evolution (see Glossary) [3, 4, 8], because that involves 49 systems of unrelated species that do not faithfully replicate as a whole – despite having 50 some limited heritability [9]. Whilst cultural evolution is widely recognised [5, 10-13], and often involves only distantly related humans, most theory focuses on lower levels of 51 52 selection than whole societies or cultures - because the latter do not faithfully replicate as a 53 whole. But if both social systems and ecosystems have irreducible properties at the systemlevel, then (how) can those properties evolve? 54

Recent theory [14-21] highlights that natural selection does not require replication and 55 56 instead can be based on variation in persistence alone. The basic idea of **persistence-based** 57 selection is straightforward: Some systems tend to spread through space at the expense of others and persist longer over time than others, and thus come to dominate the world. 58 59 Persistence in turns increases the chances of acquiring further persistence-enhancing traits - providing a potential mechanism of adaptation [17]. Variation could be amongst a 60 population of non-interacting, non-reproducing systems, e.g. a hypothetical population of 61 62 planetary-scale biospheres [17, 19, 21], or amongst interacting populations of nonreproducing systems [18, 20, 21] – re-opening the tantalising possibility of whole social 63 and/or ecological system evolution. 64

65 Feedback cycles as units of persistence-based selection

Building on recent [18, 19, 22, 23] and earlier [2, 24] work, we argue that biotic feedback
cycles – closed loops of causal interactions involving life – are key units of persistence-based
selection. Box 1 and Table 1 relate this to existing theory.

- 69 Feedback loops have irreducible properties that cannot be exhibited by any of their
- individual components in isolation [24] including the overall sign and strength ('gain') of
- 71 the feedback. Abiotic systems subject to an external supply of free-energy develop
- structures such as waves, galaxy spiral arms, convection cells, or snow crystals which rely
- on unstable modes of motion which grow exponentially because of self-amplifying (positive)
- 74 feedbacks [25]. A stationary state emerges when the free energy dissipated around these
- 75 structures balances the external supply.

This provides a starting point to think about the development of complex ecological and
social systems. Hypercycles [26] and other **autocatalytic networks** of molecules [27], are
widely recognised as irreducible self-amplifying phenomena [28] integral to the origin of life.
Autocatalytic networks can grow in complexity, as well as size, by acquiring more selfperpetuating feedback cycles [29].

However, biological systems – in contrast to abiotic ones – have the capacity to transfer
context-dependent information through time or space by mechanisms including heritability
and signalling, which generally involve information-carrying molecules. These uniquely
biological traits provide the foundation for evolution by learning and adaptation [24, 30],
including replication-based selection.

86	Feedback cycles in ecosystems [31] and social systems generally involve multiple,
87	genetically-unrelated components, either fulfilling different functions within a cycle, or
88	representing diversity amongst the performers of a given function. We argue that their
89	irreducible, higher-level properties may be subject to selection based purely on differential
90	spread and persistence – with these measures of relative system performance providing an
91	analogue to conventional 'fitness' (Table 1). There are several proposed mechanisms
92	through which such persistence-based selection could occur (Table 1) (see 'Finding
93	stability'). When combined with lower-level replication-based selection the result may be a
94	form of type '2' multi-level selection [28] (Box 1, Table 1).
95	We define the corresponding (ecological or social) system as comprised of multiple
96	feedback cycles that contribute to its identity (i.e. what is maintained through time and
97	space for it to be considered the same system) [32]. A system's spatial boundaries may be
98	set by physical constraints (e.g. island area) or by the extent of spatial influence of its
99	components, their relationships, and resultant feedbacks, bounding against other systems.
100	We define creation as when a new system identity arises, and destruction (or collapse) as
101	when identity is lost [32]. Persistence (lifetime) can be measured as the intervening interval.
102	Box 1 addresses the critical issue of how feedback information is transmitted through time.
103	For ongoing selection to occur (at the system-level) there must be a source of variation:
104	Evolution at lower levels can give rise to changes in information at the system level [28],
105	particularly when a new interaction or variant of an existing interaction gives rise to a new
106	feedback cycle or a new variant of an existing feedback. Box 2 considers inter-system
107	dispersal as a further source of variation.

Feedback cycles can be built from the **by-products** of traits that are naturally selected at
lower (e.g. individual, gene) levels [33]. This reduces the problem of invoking altruism to
close feedback cycles – as in that case, natural selection can favour components that disrupt
or break the cycles. It differentiates this review from extensive work on the evolution of
altruism by multi-level selection [28].

Feedback cycles can be continually regenerated by different organisms performing the same metabolic function with the same by-products, e.g. members of a **microbial guild** [18]. This provides continuity of information over time (Box 1) in the same gene complexes encoding particular metabolisms, regardless of the organisms carrying them [34] – as well as in the persistence of the feedback cycle structure [35] – adding for societies behavioural or symbolic **inheritance systems** [36].

119 Types of self-perpetuating feedback

Several key types of self-perpetuating feedback can form a basis for persistence-basedselection.

122 Resource acquisition and recycling

123 Resource recycling (Fig. 1a,b) is self-perpetuating in that it decouples productivity and

124 population size from being wholly limited by external supply fluxes of material resources,

125 which may be meagre [21]. This benefits all members of a recycling loop. Recycling is

- 126 irreducible in that it requires multiple, different, usually unrelated components, in both
- 127 ecosystems and social-ecological systems.
- 128 Resource-recycling microbial ecosystems built on 'waste' by-products robustly emerge in
- evolutionary simulations [23, 35, 37-39], and in experiments [40], and can evolve into

altruistic recycling [41]. Nutrient cycle assembly can be helped by 'waste' consumption by 130 one microbial guild enhancing its production by another, which increases the resulting free 131 132 energy yield [42]. In plant-decomposer models, natural selection for improved individual 133 resource competition can increase system-level cycling over time [43]. Even if there is an evolutionary cost to closing a recycling loop (altruism), this can be overcome by positive 134 135 fitness feedbacks within cellular clusters [41], or by recycling systems spreading at the 136 expense of non-recycling ones, because they support denser populations [38] (Box 2). Real-137 world examples of recycling therefore abound. The Amazon rainforest maintains stunning productivity through highly effective nutrient recycling on otherwise very nutrient-poor 138 139 highly weathered soils [44] and by recycling its own rainfall [45]. Global recycling ratios of 140 essential elements range from ~10 for sulphur to >1000 for phosphorus [46].

141 An input of free energy is required to power a recycling loop (Fig. 1a), which usually comes from autotrophic members of the loop (e.g. plants). Some members may also enhance the 142 input of the material resource(s) being recycled. For example, nitrogen fixers produce a 143 144 costly, leaky public good – available nitrogen – enjoyed by other members of the ecosystem. 145 Hence as nitrogen fixers become more common and increase available nitrogen levels, 146 negative feedback regulates their abundance [47]. Nitrogen fixation in turn fuels a nitrogen cycle which contains diverse components and is a candidate unit of persistence-based 147 selection [18, 19]. 148

Other resource-acquisition strategies have a more favourable cost-benefit for the acquirers. In coral reef ecosystems, sponges filter-feed on coral mucous and dissolved organic matter from the water column and convert it to particulate organic matter, also shedding their cells, providing a resource for other ecosystem members. The resulting **sponge loop** is an integral part of the self-perpetuating recycling coral reef system that involves many
unrelated functional groups and helps support a large population of sponges [48]. Other
resource-acquisition and recycling strategies have evolved into tight symbioses. Some
involve multiple, unrelated resource acquirers, making conventional evolutionary
explanations problematic – for example, both cyanobacteria and eukaryotic algae in
symbiosis with a fungus within one lichen [49].

159 Over geologic time, more productive resource acquisition and recycling systems have 160 displaced less productive ones [50]. In the progressive colonisation of the land, microbial mats, lichens and non-vascular plants, have largely been displaced by vascular plant-161 162 dominated ecosystems that are more effective at acquiring and recycling nutrients [51]. 163 Larger plants also transpire more, supporting more rainfall and hence larger plants [52]. 164 Subsequently, angiosperm ecosystems with a resource-recycling plant-fungal relationship, 165 have displaced gymnosperm ecosystems with a resource-acquisition plant-fungal 166 relationship, in many areas [53].

167 Human agricultural systems, with 6-10 independent regional origins during the Holocene, 168 represent hugely successful resource acquisition and recycling systems (Fig. 1b), which have spread across the world and persisted for thousands of years, accumulating countless 169 170 improvements. They capture solar energy and via human and animal labour transform it into consumable calories more efficiently than previous systems dependent on wild plants. 171 The domesticators were consequently also domesticated. More settled households could 172 173 better monitor plant growth and protect plants from predation by other animals or other 174 people. They also accumulated waste which could be recycled to infields at very low cost with high rewards to plant productivity. Where readily domesticated animals were available, 175

recycling of animal manure added to a highly productive, self-perpetuating system [54]. The
addition of charcoal and other organic matter to Amazonian soils, creating 'terra preta' and
other 'anthropogenic dark earths' [55], helped the recycling of water and nutrients and
plausibly boosted the success of communities using this technology [56]. Efficient water use
and recycling through diverse capture, storage and irrigation systems has also been integral
to the persistence of many ancient and modern societies [57].

182 In early agrarian societies, resource extraction began with the use of natural islands of 183 fertility. Landesque capital [58] captures the idea that by investing in productivity-improving 184 technologies (e.g. pre-Colombian use of guano as fertiliser, terracing) the population a 185 landscape can support increases, in a self-perpetuating cycle. Whilst this can be interpreted 186 as due to a family's or a society's investment in its own success, the exceedingly long 187 duration of soil enrichments by mobile herders in African savannahs [59], provide an example of ecological legacy that is broadly dispersed to many organisms and societies. The 188 system of pastoralism may even have locally delayed the demise of the "Green Sahara" well 189 190 beyond what would be predicted from orbital-driven climate change [60]. Conversely, 191 where land-use change degrades the environment, self-perpetuating feedbacks working in 192 the opposite direction can bring about the collapse of social-ecological systems – for example in the Dust Bowl across the American Great Plains during the 1930s [61, 62]. 193

194 Local environmental alteration

Material resources are not always the limiting factor for system productivity and spread.
Sometimes environmental conditions such as temperature or pH are limiting and feedbacks
alter these conditions in a manner that is self-perpetuating. In principle, such environmental
alteration might derive from a single species, but in practice examples of successful artificial

ecosystem selection for environmental properties [63, 64] appear to involve multiple
species [64, 65] affecting the same environmental variable to differing degrees and
sometimes in opposing directions.

202 Evolutionary simulations show that microbial ecosystems whose diverse metabolic by-203 products collectively improve aspects of their environment which are limiting to the growth 204 of their constituents, have denser populations than ecosystems that degrade their 205 environment [66]. Hence under conditions of selectively neutral genetic dispersal (Box 2) 206 environment-improving ecosystems tend to spread and persist at the expense of 207 environment-degrading ones [66]. Diverse real-world ecosystem engineers [67] often alter 208 their environment in a manner that enhances their persistence. For example, different 209 Sphagnum moss species contribute to acidifying and waterlogging the soil, thus 210 perpetuating the peat bog ecosystem by preventing trees from establishing [68]. Forests of 211 diverse tree species, in contrast, typically modify their micro-climate in a manner that 212 enhances tree growth [69]. Reintroducing ecosystem engineers and promoting such positive 213 feedbacks can be key to successful ecosystem restoration [70]. 214 Humans also often improve their local environments in self-perpetuating ways. This started with fire as a technology that warms up humans in cold conditions, detoxifies food, 215 216 improves caloric intake, and provides protection from predators [71]. Later the construction of buildings created a regulated micro-environment for humans (and often their 217

218 domesticated livestock), which both persisted across generations and enhanced the

219 persistence of their inhabitants.

220 Disturbance enhancement

Feedback between the biological members of a system and a disturbance factor thatbenefits that system over others can be self-perpetuating (Fig. 1c).

223 Grasslands promote fire and herbivory, in self-perpetuating feedbacks that displace forests.

This has enabled grasslands to cover a third of the Earth's productive land surface in just the

last ~35 million years. Anti-flammability is a more plausible individual-level adaptation

hence promoting flammability is argued to be a systems-level property [72]. Transplant

227 experiments have shown that fire, rather than climate, limits the distribution of trees in the

African savannah [73]. Together, fire and herbivores tend to remove trees and suppress

their regeneration – forming a potentially lethal combination for woody plants [74].

230 Early human social groups using fire in hunting facilitated the transition of forest to

231 grassland and savannah. This may have positively fed back on the hunters by supporting a

greater food source. In Australia, small-scale Aboriginal hunting fires buffered the landscape

against large-scale fires started by lightning strikes, thus maintaining greater mammal

234 diversity [75]. The later domestication of livestock was also self-perpetuating; domesticated

herbivores got rid of trees, thus tending to maintain a pasture state in which they thrived.

236 The introduction of fire and domesticated herbivores to New Zealand illustrates self-

perpetuation of the pasture state [76].

In cultural evolution, grasslands inhabited by horse-riding nomads are seen as a source
region for military technological innovation and warfare [10] – where war is an extreme
disturbance factor for societies. The resulting conflicts are argued to have selected for
altruistic ultra-social traits (particularly self-sacrifice as part of an army), and through the
assimilation of cultural traits of the victors, to the emergence of increased agrarian state

complexity. If this complexity – resulting in resources that could be plundered – in turn led 243 244 to more aggressive, war-waging behaviour, then a self-perpetuating feedback loop would be 245 closed. Agrarian societies, by supporting a transition to grasslands and domesticating 246 horses, may have closed a further feedback loop. For example, European settlers introduced horses to Native American communities, who then rapidly assimilated them into trade 247 networks, hunting practices, and resistance against the invaders (e.g. the Comanche). The 248 249 independent origin of this feedback, recognised in earlier Eurasian contexts [10], supports it 250 being a potential unit of persistence-based selection.

251 Feedbacks involving diversification and specialisation

252 Self-perpetuating systems typically support diversification and specialisation within them, producing further feedback [35, 39] (Fig. 2). This is abundantly clear in societies. Productive 253 254 systems based on plant and animal domestication may produce surpluses which can be 255 concentrated in a small social segment which in turn may sponsor specialists to provide this 256 elite with socially valuable goods. In places where cattle were domesticated, oxen could be used to extend farming well beyond the infields, providing new sources of revenue for their 257 258 owners [77]. Resulting feedbacks may, ultimately, help explain the typically greater wealth 259 concentration, technological innovation, and specialization in late prehistoric Eurasian 260 societies than among late prehispanic societies in the Americas [78]. 261 The intensification of labour in agriculture was incentivised at the household level and

increased production at the social system level. Investment of labour into the landscape and
taxation in turn provided ways of gathering and privatizing common pool resources. This
began to subsume agroecosystems within larger food systems and economic systems.
Further diversification and specialisation is seen in more productive social systems [79],

where cycling can take new material and non-material forms – classically described by
Durkheim as the shift from mechanical solidarity to organic solidarity [80].

268 In ecology, the most intensive resource-recycling systems, including coral reefs and the 269 Amazon rainforest, are also the most spectacularly biodiverse, plausibly because effective 270 recycling both requires a diversity of functional roles and supports increased diversity within 271 those roles. The resulting **functional redundancy** [18], gives ecosystems robustness against 272 extinctions and resilience to perturbations. Increased species diversity can increase net 273 primary production and reduce the risk of exotic species invasion, thanks to inter-species niche complementarity and facilitative interactions that increase resource extraction and 274 275 use, and make them available to the rest of the community via recycling [81, 82].

276 **Finding stability**

277 What constrains the spread of self-amplifying (positive) feedbacks and gives rise to stability? 278 Individual feedbacks can be bounded by their own limited strength, by other constraints 279 kicking in, or in biological systems by driving themselves past optimal conditions for their 280 perpetrators and into a regime of self-stabilising (negative) feedback. More complex 281 systems can find stable configurations through trial-and-error 'experiments' [1-4, 7]. Ashby [83] first demonstrated an abiotic mechanism for such sequential selection [21], whereby a 282 283 system that left prescribed tolerable bounds randomly rewired its connections, repeatedly, 284 until a stable configuration was found within tolerable bounds, which by definition tended to persist. Collapse and random rewiring destroy memory and hence the potential for 285 evolution. However, in biological systems more incremental reconfiguration can find 286 287 stability whilst retaining information through time (e.g. in the gene pool or in written 288 records). Whilst sequential selection applies to repeated trials of one system over time,

stability-based sorting [22, 84] considers populations of interacting systems that differ in
 their stability properties – with the most stable coming to predominate.

In ecology, selection based on stability is recognised across a range of scales [21, 84]. 291 292 Selective extinction at the species level has long been recognised [85]. In the construction of 293 food webs [86], the steady arrival of species at a given location – thanks to natural selection 294 favouring dispersal [87] – can add to an incumbent community or destabilise it, driving 295 other species to extinction – but once a stable configuration is found it (by definition) 296 persists. Recent models extend this to show how life-environment coupled systems can find 297 stable configurations [21]. Fossil data shows stable ecological configurations prior to the End 298 Permian mass extinction, 'random rewiring' in the aftermath, then the emergence of new stable ecological configurations [88]. 299

300 Sequential selection of stable social systems is seen in the history of state formation in Madagascar, Mesopotamia and the US Southeast [7]. In the northern Pueblo region of the 301 US Southwest there were at least four successive attempts to achieve stable socio-political 302 303 formations, each ending in pulses of violence, marked declines in wealth inequality, and 304 local and regional disaggregation [89, 90]. Each time high proportions of the population and their cultural repertoire survived. Finally, around AD 1300 relatively stable socio-political 305 306 formations emerged, only to be disrupted two centuries later by the invading Spanish. 307 Similar patterns are visible in the persistence of foraging systems across the ice age to 308 Holocene transition in the Levant [91], and in early Holocene farming societies of southwest 309 Central Europe [92]. They may be especially prominent among societies developing novel forms of subsistence and social organization. 310

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Social systems contain endogenously generated practices and institutions, such as law 311 enforcement, democracy and organised religions that promote internal stability and 312 313 persistence. Whilst some are portrayed as deliberately constructed stabilising mechanisms 314 [93], others were presumably chanced upon, but then enhanced their own persistence. 315 Ritual can play a key role in social-ecological system regulation. For example, the ritual sacrifice of pigs among the Maring tribe of Papua New Guinea helps restore a sustainable 316 317 ratio of pigs to humans, provides food, and prevents land degradation [94]. 318 The endogenous generation of new feedbacks (e.g. by random mutation or cultural 319 innovation) and subsequent sequential selection of persistence-enhancing ones, provides a 320 plausible mechanism to accumulate system-level complexity, as well as stability [17-19]. 321 However, it is too slow to account for rapid, recent cultural evolution [12] – plausibly 322 because it lacks a mechanism for recombination of beneficial innovations occurring in different systems (Box 2). 323

324 **Concluding remarks**

A 'survival of the systems' perspective can help us understand the changing predominance of ecological, social and social-ecological systems, including those driving and responding to contemporary global change.

The ideas discussed need to be formalised and tested (see Outstanding Questions). Existing theories of feedbacks and multi-level selection could be combined. Resulting theory could be tested in the lab by sequentially assembling microbial microcosms from sequenced representatives of functional guilds, and e.g. examining the relative persistence of nonrecycling and recycling systems in isolation [95], then allowing them to interact through

limited mixing to see which predominates. If persistence-based selection supports system-333 scale evolution, then cases of convergent system evolution would be expected. For 334 335 example, savannah ecosystems found in South America, Africa, India and Australia all have a 336 similar functional structure even though the species involved differ [74]. Statistical patterns in ancient and contemporary ecosystems could also provide a test of the persistence of 337 particular ecosystem configurations – noting the remarkable similarity of reconstructed 338 339 Cambrian food webs and present ones [96]. Differential persistence as a mechanism of 340 replacing cultural norms with more persistent ones, already has empirical support in that its 341 timescale fits early cultural evolution [6]. System-level persistence-based selection may also 342 explain the existence of archaeological cultures (or "traditions") – for example, Pueblo societies comprised of several ethnolinguistic groups [97]. Such cultures link disparate 343 344 populations, built on exchanges of people, goods, and ways of life that provide mechanisms 345 for recombination and accumulation of technologies and practices.

346 The industrial revolution was propelled by self-perpetuating feedbacks between new 347 technologies, capitalism and an expanding labour force [98]. It continues to spread around 348 the world, as banks finance and governments subsidise resource-extraction industries, 349 which repay them with capital and contented voters. For some, the **technosphere** has coopted humans to perpetuate itself [99]. But powering it with fossil fuel burning cannot 350 persist - the resource is finite and the externalities are cumulatively toxic [100]. If achieving 351 352 long-term sustainability requires fundamental changes, ranging from sustainable energy and 353 increased material recycling to different governance structures [101], these can be viewed 354 as alternative systems to the currently predominant one. The salient questions then 355 become: Under what conditions can such alternative systems spread at the expense of the

- 357 can replace it? Or can we find ways to promote collective human persistence without going
- 358 through such a crude and potentially brutal selection mechanism?

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597

598 Box 1: Relationship to existing theory

599

replication-based natural selection (Table 1) centre on the nature of information 600 transmission over time (usually called "inheritance") and the type of selection. 601 602 **Information transmission:** Particulate inheritance plays a key role in replication-based 603 selection because it prevents variation in fitness being diluted at each generation (as would occur under blending inheritance) [102]. Feedback cycle properties arise from the combined 604 relations of components – here often both biotic and abiotic – making information 605 transmission more complex. Information regarding the response of a biotic variable (e.g. 606 grass) to another biotic variable (e.g. herbivores), or an abiotic one (e.g. fire), can be 607 encoded in a recognised [36] (e.g. genetic) inheritance system. But if such links in feedback 608 609 cycles are "re-produced" by unrelated organisms performing the same function [18] (i.e. 610 breaking parent-offspring inheritance), one must look harder for continuity of information, 611 e.g. to a common gene complex encoding a particular response [34], or a reference text in the cultural case. In general, a complex interplay between such physical structures and their 612 environmental context serves to interpret the structures and confer on them a functional 613 614 role. Information regarding the response of an abiotic variable to a biotic one (or another abiotic one) is not encoded, yet it may be conserved e.g. because it is governed by 615 thermodynamics. Thus at least some pertinent information transmission resides in the 616 persistence of feedback cycle structures. Conceivably, variation in particulate heritability 617 may be subject to selection based on its impact on system performance [103]. Niche 618 619 construction theory (NCT) recognises persistent niche states as derived from genetic

Parallels and distinctions between proposed mechanisms of 'survival of the systems' and

620 information within the biological entities that create them, but it does not treat whole621 niche-population feedback loops as units of selection.

622 **Types of selection:** Natural selection in cases of overlapping generations combines elements of replication-based and persistence-based selection, which can be partitioned using the 623 624 Price equation [104]. Hence purely persistence-based selection can be formalised this way. 625 Recursive application of the Price equation can distinguish multiple levels of selection, 626 showing that selection at one level becomes a source of variation at the level above [28]. Multi-level selection type '2' recognises distinct (irreducible or "emergent") properties at 627 the higher-level that are subject to selection [28]. However, it retains an emphasis on 628 discrete replication, whereas we focus on purely persistence-based selection at the higher-629 630 level: Different systems comprised of different feedback cycles differ in their propensity to 631 spread. Shared physical boundary conditions on Earth constrain the spread of ecosystems and social-ecological systems. Hence different systems with different feedback properties 632 interact ("compete") and some may out-persist others. 633

29

634 Box 2: Dispersal mechanisms

Dispersal (horizontal transfer) of individuals, norms and innovations and resultant
recombination of successful components coming from different 'parent' systems provides a
potential source of variation in feedback cycles, which may then be subject to persistencebased selection.

639 Genetic dispersal. Dispersal of members of genetically-related populations of an ecosystem or social system, is usually naturally selected because dispersal, even to an equally 640 populated habitat, reduces the likelihood of competing with relatives [87]. Under neutral 641 dispersal, members of larger groups will tend to spread at the expense of members of 642 smaller ones. Hence models show that systems with self-perpetuating feedbacks that 643 enable them to support larger populations tend to spread their components at the expense 644 645 of systems that lack such feedbacks [38, 66]. Humans also sometimes undertake 'selective 646 migration' [13], involving the use of knowledge and foresight on the part of the migrators – 647 who usually seek to move to wealthier, safer and more just societies.

648 **Cultural dispersal.** In social systems innovations that are not tied to genetics can be horizontally transferred. Imitation provides one mechanism for the spread of group-649 beneficial norms and the recombination of different group-beneficial norms arising in 650 651 different populations [12]. However, it relies on fairly faithful imitation, which has been 652 questioned [105]. Selective (biased) imitation of the best-performing strategy – 'selective transmission' – can overwhelm the eroding effect of inaccurate imitation, if population 653 654 density is sufficiently high [106]. In principle, cultural dispersal can enable social systems to evolve much faster than ecosystems. The spread of literacy, ever-better means of 655 656 transportation, and ever-faster means of communication have plausibly increased the

657 importance of cultural evolution based on 'borrowing' (recombination) relative to slower658 group extinction mechanisms [12].

659 **System dispersal.** In microbial ecology system-level dispersal can occur through community coalescence [107]. Members of microbial communities produce extracellular compounds 660 that bind the entire community together (e.g. in a microbial mat) and thus facilitate 661 662 coherent dispersal. Thus, whilst system components may be genetically unrelated there may 663 still be some 'heritability' of the whole. A social equivalent of system dispersal is when 664 cultural groups going to colonise new lands take a whole system of skills, domesticated plants and animals, and their language with them. The European colonization of the New 665 World was undertaken by competing national entities, but the diseases, plants, and animals 666 introduced by any one of these entities enhanced the disruption of Native polities that 667 facilitated the success of all the colonisers. 668

669

670 **Outstanding questions**

How should persistence-based selection of feedback cycles be mathematically 671 formalized and modelled? The sign and strength of feedback cycles can be quantified 672 by 'gain' factors. Selective and non-selective effects can be partitioned – at multiple 673 levels – using the Price equation, but it relies on counting objects, whereas feedback 674 cycles are patterns of relations, not objects. 675 What are the relative influences of system-level persistence-based selection and 676 lower-level replication-based selection where both are occurring? Empirical test 677 cases where the levels and types of selection are in conflict may be the most 678 679 revealing, if not the most common. Can persistence-based selection generate increasing complexity? Learning through a 680 series of trials over time is inherently slower than learning through trials over time 681 and space (i.e. replication-based selection) but should still be able to accumulate 682 adaptations. 683 684 Can persistence-based selection explain apparent convergent evolution of ecosystems? Recognizably similar ecosystem structures – e.g. savannahs, coral reefs 685 686 and forests – are found assembled out of different species on different continents. The feedbacks involved appear conserved, whereas the component species 687 performing specific functions in the feedback loops appear to be substitutable. 688 Can archaeological cultures be explained as persistence-enhancing systems? Their 689 690 spatial extent is large, and they sometimes contain several ethnolinguistic groups, making explanations based on cultural group selection problematic. 691 692 Can a system persistence perspective help guide ecosystem-scale conservation 693 efforts? Some ecosystem configurations may be destined not to persist, as local-to-

- 694 global change pressures can tip them into alternative states leading to irreversible
- 695 species loss. Looking across ecosystems to identify variation in their persistence-
- 696 enhancing properties could provide a novel way to target ecosystem-scale
- 697 protection efforts.

698 **Glossary**

Archaeological culture: a recurring assemblage of artefacts, architectural styles and
 combined subsistence, settlement, and organizational practices, from a specific time and
 place that represent the material culture of a particular past human society, e.g. "Anasazi"
 and/or "Puebloan", "Hopewell", or "Weeden Island".

Autocatalytic network: a network of entities (usually chemical), the creation of each of
which can be catalysed by other members of the network, such that the whole network can
catalyse its own production.

706 **By-product:** a consequence of a phenotype selected for other reasons, for example,

707 environmental changes due to excreted metabolic waste products. By-products can

subsequently become selected for, e.g., if they form the basis of a closed recycling loop.

709 **Cultural evolution:** change in cultural information over time – that is information capable of

affecting individuals' behaviour that is socially transmitted from other members of their

711 species.

712 **Ecosystem evolution:** change in organisation over time here argued due to persistence-

based selection operating on variation in irreducible ecosystem-level properties.

714 **Functional redundancy:** many species performing the same metabolic function.

715 **Inheritance systems:** genetic, epigenetic, behavioural, and cultural means of faithfully

716 transmitting information through time.

717 Irreducible properties: properties that cannot be assigned to any of the components of a system in isolation because they depend on the relations between components - for 718 719 example, the self-amplifying or self-damping properties of feedback cycles. 720 Landesque capital: capital goods which replace land (e.g. fertiliser, irrigation, pest control), 721 increasing yield without replacing labour (as distinct from laboresque capital goods which 722 replace labour, e.g. tractors). 723 Mechanical solidarity: social cohesion coming from homogeneity of individuals, their 724 values, and beliefs – people feel connected through similar work, education, religious 725 training, and lifestyle. 726 **Microbial guild:** a group of microbial species that perform the same metabolic 727 biogeochemical transformation (a guild more generally is a group of species that exploit the 728 same resource). 729 Multi-level selection: Selection operating simultaneously on multiple levels of biological 730 organisation. In type '1' the higher-level properties subject to selection are simple 731 aggregates of lower-level properties. In type '2' – pertinent here – irreducible higher-level 732 properties are subject to selection. 733 Organic solidarity: social cohesion based on the interdependence between people that 734 arises from complementary specialisation of work. For example, farmers make food that 735 feeds factory workers that make tractors that help farmers make food. 736 Persistence-based selection: differential persistence of non-reproducing entities, which 737 exhibit variation, results in increasing frequencies of persistence-promoting properties 738 among survivors.

739	Replication-based selection: heritable variation in phenotypic traits among members of a
740	reproducing population results in increasing frequencies of descendant-producing
741	properties amongst the descendant population.
742	Sequential selection: repetitions of a system over time alone enable it to acquire stabilising
743	mechanisms because fragile systems are fleeting whereas stable configurations tend to
744	persist.
745	Stability-based sorting: differential persistence of interacting, non-reproducing systems,
746	which exhibit variation in their steady states, with those exhibiting more stable steady
747	states coming to dominate.
748	Sponge loop: the hypothesis that sponges on coral reefs absorb large quantities of dissolved
749	organic carbon released by seaweeds and corals and return it to the reef as particles in the
750	form of living and dead cells, or other cellular debris.
751	Technosphere: that part of the Earth system that is made or modified by humans.
752	Ultra-social: the ability to co-operate with huge numbers of genetically unrelated
753	individuals.
754	
755	

Table 1. Relating mechanisms of survival of the systems discussed herein to other forms of selection

Property subject to selection	Example	Mechanism	Selection acts upon entities within an interacting population?	Negative selection removes pre-existing properties?	Interaction between selection and variation produces novel adaptations at the level under selection?	References
Heritable	Natural selection	Organism-level survival and/or	Yes	Yes	Yes	[28]
variation	of individuals	reproduction				
causing	Multi-level	Group fitness equates to total	Yes	Yes	No (but knock-on effect on	
differential	selection 'type 1'	fitness of constituent individuals			organism-level adaptation)	
survival and	Multi-level	Group fitness irreducible to	Yes	Yes	Yes	
reproduction	selection 'type 2'	individual-level properties				
Propensity for	Feedbacks upon	Differential spread and/or	Yes	Yes	No? (but knock-on effect	[8] This
non-reproducing	relative spread	encroachment based on distinct			on organism-level	study
systems to spread	of distinct	environmental boundary			adaptation)	
across space	systems	conditions				
Propensity for	"It's-the-song-	Feedback cycles interact with	No	Yes	No? (but co-evolutionary	[18, 19]
non-reproducing	not-the-singers"	biota in a way that affects their			adaptations in individual	
systems to persist	(ITSNTS)	persistence & "recruit" biological			genomes)	
through time		species to perform steps				
	Generalized	Properties promoting static	No	Yes	No	[22, 84]
	stability-based	physical stability inherently				
	sorting	promote persistence				
	Sequential	Reconfigurations when the	No	Yes	Yes, provided no re-set	[21, 83]
	selection for	system-state exceeds certain			permanently undermines	This study
	dynamic stability	bounds tend to remove unstable			the process (e.g. runaway	
	(cybernetics,	configurations and promote			climatic feedbacks)	
	biogeochemistry)	stable ones				

758 **Figure captions**



759

- 760 Fig. 1. Self-perpetuating (positive feedback) cycles. (a) Ecological resource recycling powered by free energy input. (b) Example of manuring in
- ⁷⁶¹ human agricultural systems. (c) Positive feedback between a system and disturbance factor(s): Example of savannah, fire and herbivores,
- 762 further augmented by humans promoting fires and domestic animal grazing.



763



765 diversification which can improve performance thus promoting further growth of the

766 system.

767