

1 Severe human bocavirus 1 respiratory tract infection in an
2 immunodeficient child with fatal outcome

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23

24 **Abstract**

25 We report a case of lower respiratory tract infection with human bocavirus 1 (HBoV1) in an
26 immunodeficient 6-month-old boy leading to respiratory failure with fatal outcome. PCR of
27 serum/tracheal secretions revealed exceptionally high HBoV1-DNA levels and immunoassays
28 showed seroconversion indicating an acute primary HBoV1 infection. All assays for other
29 pathogens were negative, strongly suggesting that HBoV1 was the causative agent in this
30 case.

31 **Background**

32 Human bocavirus (HBoV) 1 is a recently identified viral agent that belongs to the family of
33 *Parvoviridae* and comprises a non-enveloped capsid with a linear single-stranded DNA
34 genome [1]. Viral DNA has been detected mainly in nasopharyngeal secretions, and in serum
35 or blood samples of younger children with upper or lower respiratory tract infections (RTI).
36 Besides HBoV1, which is predominantly detected in the respiratory tract, three other
37 bocaviruses, HBoV2 – 4, are mainly found in stool.

38 Detection of HBoV1 DNA in airway samples of children with RTI is frequently combined
39 with other viruses or bacteria because HBoV1 persists and is shed for a prolonged period.
40 This makes interpretation of a PCR-positive test result difficult. The high detection rate of
41 multiple respiratory viruses in an airway specimen, and the presence of HBoV1 DNA in
42 asymptomatic children, thereby complicate the diagnosis of acute HBoV1 infections [2]. In
43 addition to detection of HBoV1 DNA in airway samples, other diagnostic methods, such as
44 serology, should be used. With accurate diagnostic methods, acute HBoV1 infection has been
45 shown to cause mild to life-threatening RTIs. Due to the lack of an animal model, the Koch's
46 postulates have not been formally fulfilled, thus proving clinical relevance is challenging.

47 Life-threatening infections are rare. Here we present a case of HBoV1 lower RTI, diagnosed
48 by both PCR and serology, leading to severe respiratory failure with fatal outcome in an
49 immunodeficient child.

50

51 **Case presentation**

52 A six-month-old boy, the first child of consanguineous parents, was transferred from Dubai,
53 United Arab Emirates, to the University Hospital Heidelberg, Germany, for further diagnostic
54 workup of an unspecified syndrome including failure to thrive, distinct psychomotor
55 retardation, multiple osseous malformations, microcephaly due to cerebral atrophy, blindness,
56 and symptoms of an acute hemolytic uremic syndrome. Shortly after admission, a T-cell
57 defect was diagnosed and whole-exon screening identified a homozygous mutation in the *NIN*
58 gene which codes for Ninein, a protein crucial for mitosis. The *NIN* gene mutation was
59 regarded as the cause of the complex syndrome. The cause of the immunodeficiency found in
60 our patient was an impaired cytokine response in combination with insufficient formation of
61 antigen-presenting cells to T lymphocyte synapses leading to a functional T-cell deficiency.
62 Because of feeding difficulties and significant dysphagia, a percutaneous gastrostomy tube as
63 well as a Hickman line were placed. The hemolytic uremic syndrome was treated with
64 eculizumab; however, proteinuria and oliguria persisted and required high doses of diuretics.
65 About ten days after admission, the boy developed pneumonia and was transferred to the
66 intensive care unit where he had to be intubated, and was mechanically ventilated. He
67 developed an acute respiratory distress syndrome (ARDS) with multiple critical
68 deteriorations. One week after admission, an initial radiograph was performed in the context
69 of the surgery for a Hickman line and a gastrostomy tube. He did not show signs of a
70 preceding lung injury (Fig. 1). Mechanical ventilation was difficult with an FiO_2 of 1.0, high
71 inspiratory pressures up to 40/11 mbar, and intermittent use of high frequency oscillation and

72 inhaled nitric oxide. Tracheostomy was performed after six weeks of mechanical ventilation.
73 Because of atelectasis, pulmonary secretion, and bronchospasm, the mechanical ventilation
74 had to be intensified several times. Elevated CRP values (average 92.4 mg/l, peak 221.7 mg/l,
75 normal <5 mg/l) were first noted one week prior to intubation and mechanical ventilation, and
76 did not normalize over the next four months. This prompted antibiotic treatment with different
77 combinations of cefotaxime, meropenem, ciprofloxacin, teicoplanin, linezolid, and
78 erythromycin with no clinical effect. Apart from *Staphylococcus epidermidis* detected in a
79 single blood culture, bacterial as well as fungal cultures and PCR for atypical microorganisms
80 in tracheal secretions were always negative. Tracheal secretions were also tested in a real time
81 multiplex respiratory PCR (Fast Track Diagnostics respiratory pathogens 21, Luxembourg)
82 for influenza A virus including H1N1, influenza B virus, rhinovirus, respiratory syncytial
83 virus, bocavirus, adenovirus, parainfluenza virus 1 through 4, four coronaviruses (NL63,
84 229E, OC43, HKU1), parechovirus, enterovirus, human metapneumovirus A/B and
85 *Mycoplasma pneumoniae*. Additionally, these samples were also tested for herpes simplex
86 virus and varicella zoster virus DNA by in-house PCR and for bacteria and fungi by culture.
87 Human bocavirus 1 (HBoV1) was the only pathogen detected in tracheal secretions. All serial
88 tracheal secretions were positive for HBoV1 DNA, which was present in high copy numbers
89 in some samples (Fig. 2A). The highest viral load in respiratory samples of 3.1×10^9
90 copies/ml was detected about day 20 of hospitalisation, one week after pneumonia was
91 diagnosed and mechanical ventilation initiated. The viral load decreased slowly in respiratory
92 samples but DNA was detectable for several months. Blood was taken from the patient once
93 per week and HBoV1 DNA was detectable in serum for 50 days with a peak of 2.0×10^3
94 copies/ml. The specificity of the real-time PCR was confirmed with a qPCR and an in-house
95 PCR followed by DNA sequence analysis of the amplified product. The qPCR assays were
96 performed using the 1xSensiMix SYBR No-ROX Kit (Bioline Reagents Ltd, London, UK)

97 with HBoV1-specific primers (forward primer 5'-CCTATATAACCTGCTGCACTTCCT-3',
98 reverse primer 5'-AAGCCATAGTAGACTCACCACAAG-3').

99 The complete *VP1* gene (2016 bp) of the HBoV1 genome was amplified by an in-house PCR
100 assay including the HBoV1-specific primers (forward primer 5'-
101 *GTTACGTCTCGAAGATTACAACACTTTATTGATGTTTG-3'*, reverse primer 5'-
102 *GTTACGTCTCAGCAGATGCCTCCAATTAAGAGACA-3'*). The PCR product was
103 purified and subsequently sequenced. The sequence (accession no. MG680946) was then
104 aligned with different HBoV strains reported in GenBank and subjected to a phylogenetic
105 analysis (Fig. 3). This confirmed a 99% identity of the study sample with HBoV1, thus
106 verifying the specificity of the multiplex respiratory PCR, the qPCR and the immunoassays.
107 Moreover, the phylogenetic analysis revealed a close relationship to a previously reported
108 HBoV1 isolate from Egypt [3] (GB accession no. KU557404.1, as shown in Fig. 3). By
109 following the course of infection over a period of four months, we detected the emergence
110 and persistence of a mutation at amino acid position 590 (VP1 numbering) that results in an
111 amino-acid change from threonine to serine.

112 HBoV1-specific IgG and IgM were measured by highly sensitive and specific competition
113 immunoassays based on HBoV1-like particles [4, 5]. Both IgG and IgM antibodies against
114 HBoV1 were detected and seroconversion was observed (Fig. 2B), indicating an acute
115 HBoV1 infection. Thus, HBoV1 was considered the most likely cause of ARDS. The patient
116 died of multi-organ failure following four months of mechanical ventilation.

117

118 **Discussion and conclusions**

119 Human bocavirus 1 (HBoV1) was discovered in 2005 by Allander et al. in respiratory
120 secretions [1] and is increasingly recognized as a cause of pediatric respiratory tract infections

121 worldwide [2, 6, 7]. By PCR of airway samples, HBoV1 DNA has been detected in 2-20% of
122 children with respiratory tract infection, whereas 40-75% of the HBoV1 DNA-positive
123 patients show co-detections with other respiratory pathogens [8, 9].

124 However, it is important to acknowledge that almost all routine testing and published studies
125 of HBoV1 infections rely on only PCR testing of respiratory secretions. HBoV1 DNA can by
126 sensitive PCRs be detected for months or even up to a year after acute infection, leading to
127 co-detections and false clinical diagnoses — and thereby, inaccurate disease associations [10 -
128 13]. Mere qualitative PCR is therefore not an adequate method for diagnosing acute HBoV1
129 infections, instead a combination of other diagnostic means including qPCR of respiratory
130 samples and serum, as well as serology should be applied [4, 14].

131 By utilizing accurate diagnostics, increasing evidence has been gathered of HBoV1 being the
132 cause of mild to severe upper and lower respiratory tract infections in children over 6 months
133 of age [6, 7]. HBoV1 may cause also life-threatening complications of lower respiratory tract
134 infection including emphysema, pneumomediastinum, pneumothorax and acute respiratory
135 failure [15 - 18]. In addition, both Sadeghi et al. [19] and Krakau et al. [20] described
136 immunocompromised adult patients suffering from an advanced myelodysplastic syndrome
137 with severe HBoV1 pneumonia with fatal outcome. Further fatal cases associated with
138 HBoV1 infection were described in an adult and a pediatric patient with underlying lung
139 diseases [21, 22]. The need for ventilator support for four months reflecting the extensive lung
140 damage is perfectly explained by the severe ARDS leading to lung fibrosis, impaired gas
141 exchange and eventually death of the patient. Most of the damage to the lungs of patients that
142 do not recover from ARDS is caused by pulmonary inflammation and interstitial fibrosis. It
143 has been shown that HBoV1 infection of in vitro airway epithelium cultures inhibits apoptosis
144 and induces pyroptotic cell death, resulting in tissue injury and inflammation [23, 24].
145 Persistent HBoV1 infection of the lungs in immunocompromised children may thus lead to

146 lung tissue injury. It can therefore be hypothesized that persistent HBoV1 infection in this
147 child directly damaged the alveolar tissue.

148 Nevertheless, the significance of HBoV1 infection as a cause of death, as described in this
149 case of an immunodeficient child, is not easy to determine. However, viral DNA of
150 exceptionally high copy numbers of 5×10^9 copies/ml was observed in tracheal secretions at
151 the same time as it occurred in serum, pointing to an acute HBoV1 infection. In general, acute
152 HBoV1 infection is accompanied by the presence of viral DNA in serum [4]. After atypical
153 hemolytic-uremic syndrome had been diagnosed at the age of three months, eculizumab was
154 given five times with approximately three weeks between each application. The last dose was
155 applied 18 days before the respiratory decompensation. It is tempting to speculate that the
156 very high viral load reflects the unusually complicated clinical course and the
157 immunocompromised status of the patient. High viral loads of HBoV1 are associated with
158 respiratory symptoms whereas low viral loads mostly indicate longitudinal asymptomatic
159 shedding [2, 4, 6, 14, 25, 26]. Detection of HBoV1 DNA in serum has further been more
160 tightly linked to symptoms than DNA in respiratory samples. The functional T-cell defect in
161 our case is regularly found in Schimke immuno-osseous dysplasia that was initially suspected
162 but ruled out genetically. Other cases with severe HBoV1 infection in patients with T-cell
163 defect or immunodeficiency have been reported previously [10, 19, 27, 28].

164 High HBoV1 DNA copy numbers in airway samples, short viremic phase, detection of
165 HBoV1-specific IgM and seroconversion of IgG antibodies have been shown to be accurate
166 diagnostic markers in children with acute HBoV1-induced respiratory illness and can thereby
167 separate acute infection from asymptomatic virus shedding [4]. The usefulness of the applied
168 serology has been documented in studies of children with acute wheezing or with community-
169 acquired pneumonia [4, 29, 30]. HBoV1 IgM positivity correlates with both HBoV1 viremia
170 and seroconversion of IgG in paired serum samples, whereas healthy subjects are generally

171 IgM negative with stable IgG absorbance levels [4]. While in this case, multiplex PCR for 19
172 other respiratory viruses and mycoplasma was negative, the course of the disease perfectly
173 matched a primary infection by HBoV1, supported by serology and detection of HBoV1 DNA
174 in serum.

175 The emergence and persistence of a mutation at amino acid position 590 that resulted in an
176 amino-acid change from threonine to serine reflects either (i) a mixed primary infection with
177 two HBoV1 variants, where one dominates over time, (ii) a secondary infection with a
178 different HBoV1 variant, as hypothesized in Martin et al. [12], or (iii) the occurrence of a *de*
179 *novo* mutation that fostered clonal selection. Further time-course studies with more patients
180 and the use of deep sequencing approaches are required to unanimously resolve these
181 possibilities.

182 This report illustrates that blood sampling is important for linking HBoV1 with disease, and it
183 indicates that HBoV1 should be considered in severe respiratory tract disease in children.
184 HBoV1 is the most probable cause of respiratory tract disease if the patient has a high viral
185 load in respiratory samples accompanied by viremia, if HBoV1 is the only pathogen detected,
186 and if an acute primary HBoV1 infection is diagnosed by serological testing [4, 8]. We
187 detected HBoV1 DNA in both respiratory and serum samples. Moreover, the serologic results
188 indicate that this child had an acute primary HBoV1 infection. The dramatic increase of
189 HBoV1 load in tracheal secretions and viral dissemination most likely resulted from a
190 progressive impairment of cellular immunity. The observation that all other viral and
191 microbiological assays were negative, strongly suggest that HBoV1 was the causative agent
192 of respiratory failure and death in the present case.

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202 **Availability of data and materials**

203 The data supporting the conclusions of this article are included within this article. The
204 sequence generated and analysed for this report is available in GenBank under the accession
205 number MG680946.

206 **Author's contributions**

207 Study design: JT, PS; Clinical evaluation: JT, JM, PS; Laboratory testing: JF, K-PL, MX;
208 Data analysis and manuscript preparation: JT, JF, K-PL, MS-V, DG, PS. All authors reviewed
209 and approved the final manuscript.

210 **Ethics approval**

211 The study was approved by the Ethical Research Board of the University Hospital Heidelberg,
212 Germany (S-547/2015). All samples and medical information included in this study were
213 obtained during routine medical care.

214 **Competing interests**

215 The authors declare that they have no competing interests.

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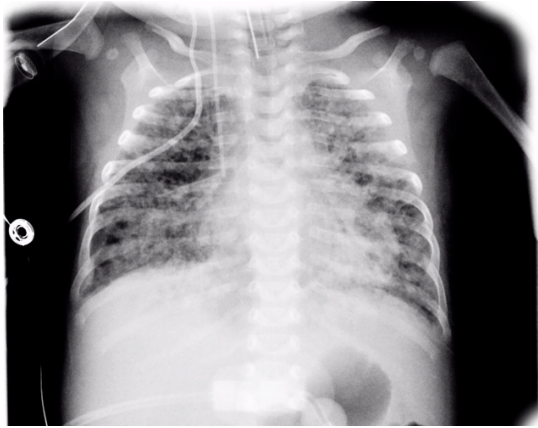
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308

309 **Fig. 1.**

310 Chest X-ray showing bilateral opacities as sign of acute respiratory distress syndrome
311 (ARDS).



312

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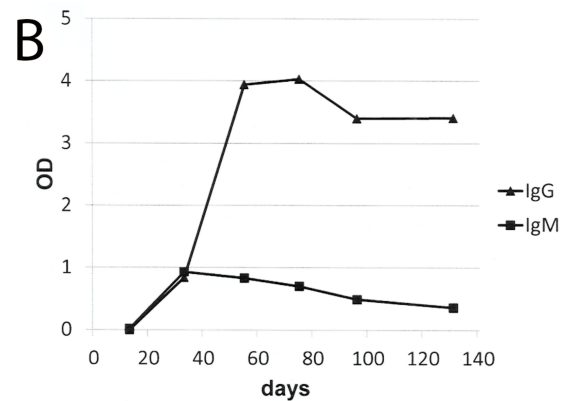
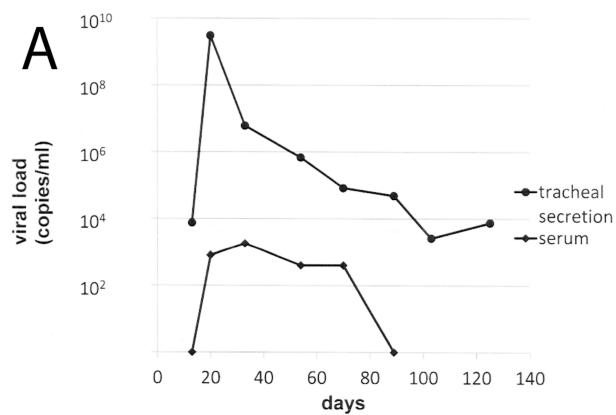
314 **Fig. 2.**

315 HBoV1 diagnostic findings. A) Detection of HBoV1 DNA in tracheal secretions and serum.

316 B) Detection of anti-HBoV1 IgG and IgM antibodies. OD, optical density; Days, days after

317 symptom

318 onset.



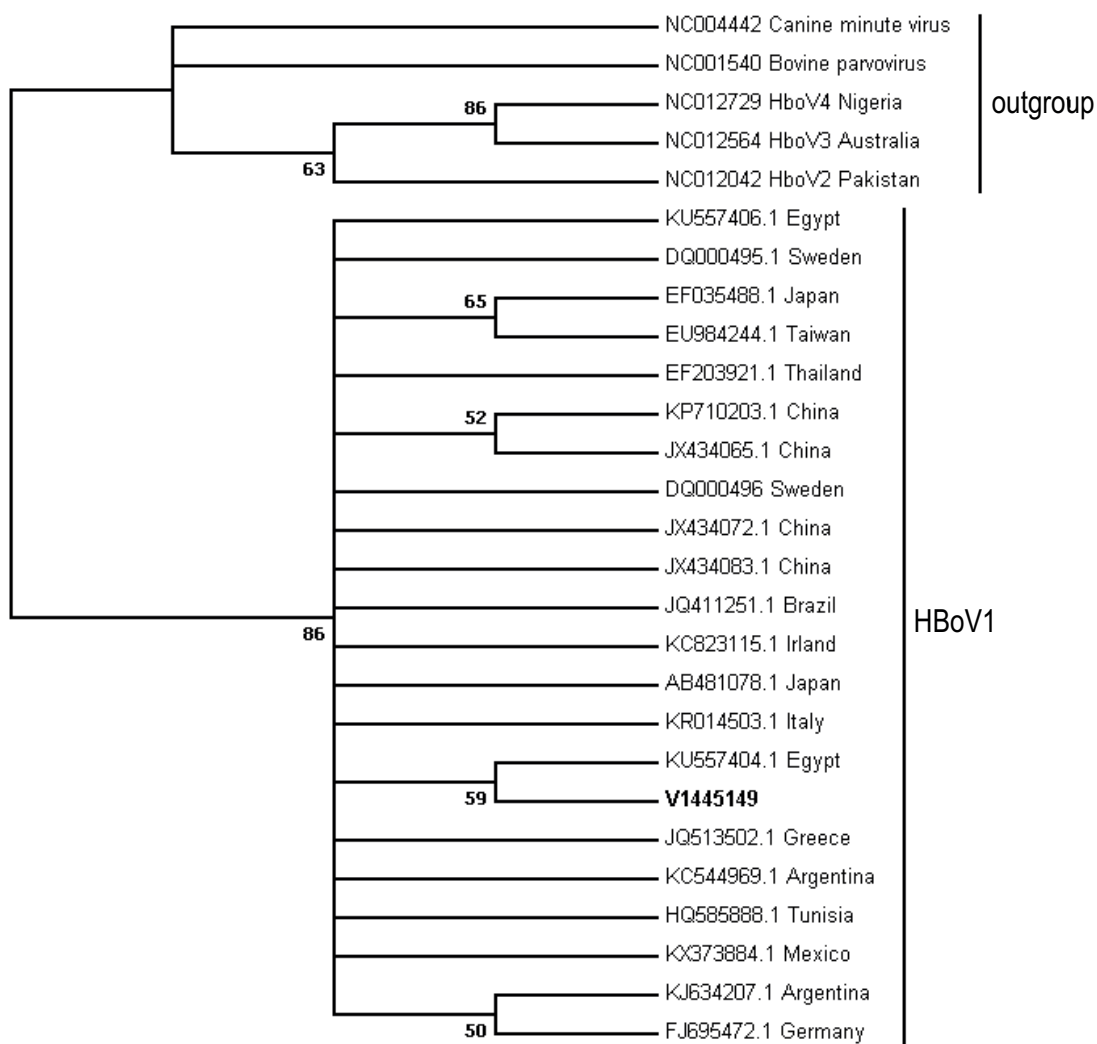
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322 **Fig. 3.**

323 Maximum likelihood phylogenetic consensus tree for the *VP* ORF nucleotide sequence of the
324 HBoV1 isolate (V1445149) studied here. The numbers next to the nodes indicate the value of
325 500 bootstrap analyses. To root the tree, an outgroup of the indicated closely related members
326 of the genus *Bocaparvovirus* was defined. Only bootstrap values higher than 50% are
327 presented. Codon positions included were 1st+2nd+3rd+noncoding. Evolutionary analyses
328 were conducted in MEGA 7.0.26.



329