

# 1           **Role of the cation-chloride-cotransporters in the circadian system**

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## 15 16   **Abstract**

17   The circadian system plays an immense role in controlling physiological processes in our body.  
18   The suprachiasmatic nucleus (SCN) supervises this system, regulating and harmonising the  
19   circadian rhythms in our body. Most neurons present in the SCN are GABAergic neurons.  
20   Although GABA is considered the main inhibitory neurotransmitter of the CNS, recent studies  
21   have shown that excitatory responses were recorded in this area. These responses are  
22   enabled by increase in intracellular chloride ions  $[Cl^-]_i$  levels. The chloride ( $Cl^-$ ) levels in  
23   GABAergic neurons are controlled by two solute carrier 12 (SLC12) cation-chloride-  
24   cotransporters (CCCs):  $Na^+/K^+/Cl^-$  co-transporter (NKCC1) and  $K^+/Cl^-$  co-transporter (KCC2),  
25   that respectively cause an influx and efflux of  $Cl^-$ . Recent works have found altered expression  
26   and/or activity of either of these co-transporters in SCN neurons have been associated with  
27   circadian rhythms. This review, we summarize and discuss the role of CCCs in circadian  
28   rhythms, and highlight these recent advances which attest to CCC's growing potential as  
29   strong research and therapeutic targets.

- 1 **Keywords:** GABAergic; Na<sup>+</sup>-K<sup>+</sup>-2Cl<sup>-</sup> cotransporter 1 (NKCC1), K<sup>+</sup>-2Cl<sup>-</sup> cotransporter 2 (KCC2),
- 2 WNK3-SPAK/OSR1, Chloride (Cl<sup>-</sup>) homeostasis, suprachiasmatic nucleus (SCN), circadian
- 3 rhythms

## 1 **1. The Circadian System**

2 The circadian system refers to our near 24-hour biological clock that plays a crucial role in the  
3 daily control of physiological and behavioural processes [1-3]. Essential aspects in our body  
4 such as hormonal secretions, body temperature and sleep/wake cycles rely on regulation from  
5 our circadian system [1]. Research done in mammals reveal that the circadian system is  
6 composed of a stratified assemblage of biological clocks that are supervised by a master  
7 circadian clock known as the suprachiasmatic nucleus (SCN) [1,4]. The primary role of the  
8 SCN is to generate and harmonise the circadian oscillations to a near 24-hour cycle matching  
9 the environment [3,4]. Evidence to support the role of the SCN in controlling circadian  
10 rhythmicity stemmed from numerous experiments such as disrupting circadian rhythmicity by  
11 introducing lesions in the SCN [5] or restoring the circadian system through SCN transplant  
12 [6].

13  
14 The SCN is located in the anterior part of the hypothalamus, and it is composed of  
15 approximately 20 000 neurons, referred to as clock cells, that communicate between each  
16 other to monitor, regulate and stabilise the circadian system [2,7]. Through studies of the SCN,  
17 researchers were able to identify the different anatomical regions [2,3]. Indeed, the SCN can  
18 be divided into two parts, the dorsal that is often referred to as the shell and the ventral part,  
19 also known as the core region [1,3] (**Figure 1B**). The core region of the SCN is mainly  
20 composed of vasoactive intestinal peptide (VIP) expressing neurons while the shell region is  
21 predominantly formed of arginine vasopressin (AVP) expressing neurons [8] (**Figure 1B**). It  
22 must be noted that although VIP and AVP expressing neurons concentrate in different regions  
23 of the SCN, their anatomical separation is not absolute [9]. However, for the purpose of this  
24 review, it will be considered that VIP neurons constitute mainly the ventral part while the dorsal  
25 part contains, primarily, AVP neurons.

26 To synchronise with the solar time, the SCN relies on integrating photic signals where  
27 information about the presence and intensity of light are transmitted as well as non-photic cues  
28 such as the timing of meals [6,10]. Cones, rods and photosensitive melanopsin ganglion cells  
29 of the retina collect light information that they transmit, through the retinohypothalamic tract  
30 (RHT), to the VIP producing neurons in the core region of the SCN [6,10] (**Figure 1A**). This  
31 assures that the synchronisation is maintained between the clock and the environment [6,10].  
32 It must be noted that other parts of the brain and body express their own circadian clock, but  
33 are controlled by the master clock through neuronal projections and paracrine signalling [7,11].  
34 On a cellular level, the molecular clock in individual cells is regulated through a molecular  
35 feedback loop that maintains a near-24h circadian activity locally in cells [11,12]. There is a

1 diversity of SLC12A family ion-transporters found in SCN neurons (**Figure 1C**, discussed in  
2 next section).

3 A balance between excitatory and inhibitory signalling must be maintained for a proper function  
4 of the brain [13].  $\gamma$ -aminobutyric acid (GABA) is considered as the main inhibitory  
5 neurotransmitter in the nervous system [14]. It plays an essential role in different parts of the  
6 brain, such as the thalamus [15] and the hypothalamus [16]. GABA seems to play a vital role  
7 in communications between clock cells in the SCN, evident by GABA expression in almost all  
8 SCN neurons [7,17]. Models and experiments brought evidence of the significant impact GABA  
9 has on plasticity, properties and function of the SCN [18] with a recent study showing that a  
10 lack of GABA function led to disruptions of the circadian rhythms [19].

11 Although GABA is often referred to as an inhibitory neurotransmitter, there is a growing number  
12 of evidences to support that GABA can also elicit excitatory responses [7,16]. In fact, more  
13 than 95% of the neurons that enables the mammalian SCN to play its role as the master  
14 pacemaker for circadian rhythms are GABAergic neurons [20], which speaks volume of the  
15 role of GABA in the regulation of the circadian rhythm in SCN. Recently, Ono and colleagues  
16 published review articles on the role of GABA in the regulation of circadian rhythm and how  
17 GABAergic mechanisms influences the circadian system [7,21], while He et al. (2020) and Chi-  
18 Castañeda and Ortega (2018) highlighted the effect of circadian systems on GABA transport  
19 in the SCN in their respective review publications [22,23]. It is generally accepted that GABA  
20 plays the role of an excitatory neurotransmitter during foetal development [24,25]. Indeed,  
21 GABA stabilises and refines the circadian firing rhythm in the foetal SCN [19]. Recently, this  
22 GABA-depolarising phenomenon was also observed in multiple areas of a matured brain,  
23 including the SCN [26]. However, this excitatory theory was recently opposed by Zilberter, as  
24 the paper questioned the validity of this theory [26]. The commentary highlights the contrast  
25 observed between *in vitro* and *in vivo* where GABA is respectively excitatory and inhibitory  
26 during foetal development. The difference may stem from limitations associated with *in vitro*  
27 studies or preparation on acute brain slices [26]. The exact cause of difference observed was  
28 not identified but emphasis was given on the need to conduct more research on this topic [26].  
29 Polarity in GABA neurons depends on the GABA equilibrium potential ( $E_{GABA}$ ).  $E_{GABA}$  is  
30 regulated by the intracellular concentration of chloride ions  $[Cl^-]_i$  [25]. If the  $[Cl^-]_i$  in an SCN  
31 neuron is high, the  $E_{GABA}$  will be more positive, and the GABA signalling will result in  
32 depolarisation [25]. If the  $[Cl^-]_i$  is low, the  $E_{GABA}$  will be more negative, and the response is an  
33 inhibitory hyperpolarisation [25] (**Figure 2**). In all these, however, the mechanism of  
34 GABAergic signalling is still elusive as controversy persists on its status as an excitatory or  
35 inhibitory neurotransmitter [7].

1 Neuronal  $[Cl^-]_i$  is majorly regulated by two Cation Chloride Cotransporters (CCCs):  $Na^+K^+2Cl^-$   
2 cotransporter 1 (NKCC1) and the  $K^+Cl^-$  cotransporter 2 (KCC2) [27]. NKCC1 drives  $Cl^-$  into  
3 the cell through the  $Na^+$  gradient generated by  $Na^+/K^+/ATPase$ , and KCC2 extrudes the  $Cl^-$  in  
4 mature neurons [28]. NKCC1 expression is reduced with KCC2 increased during development,  
5 this results in high  $[Cl^-]_i$  in immature neurons, and low  $[Cl^-]_i$  in mature neurons[29,30].  
6 Therefore, mature neurons have low  $[Cl^-]_i$  causing a shift in  $E_{GABA}$  from depolarising to  
7 hyperpolarising [29,30]. Thus, KCC2 and NKCC1 are crucial regulators of GABA mediated  
8 hyperpolarisation: an essential component of synaptic inhibition within the adult brain (**Figure**  
9 **2**).

10 Recently, a lot of research has been conducted on CCCs and SCN in the body; in view of this,  
11 the structural aspect of NKCC1 and KCC2 are not dealt with in this review. Thus, the aim of  
12 this review is to summarise the current understanding of the role of NKCC1 and KCC2  
13 cotransporters in SCN neurons, and the impact they have on the master clock of the circadian  
14 system, and on a broader picture, the regulation of the circadian system. The review will first  
15 examine the expression of NKCC1 and KCC2 within the SCN, and the impact different  
16 expression has on the polarity of SCN neurons. Then, the relationship between CCC  
17 expression and light, a main driver of circadian rhythmicity, will be considered. Lastly, the  
18 regulation of NKCC1 and KCC2 by other components of the cell, that may play a critical role  
19 in maintaining the circadian system, will be discussed.

20

## 21 **2. Expression of NKCC1 and KCC2 in the SCN**

22 When investigating the role of CCCs in the circadian system and its master clock, the SCN,  
23 one approach was to first observe the distribution of NKCC1 and KCC2. Studying the  
24 distribution of CCCs will provide vital information on the regulation of  $[Cl^-]_i$  in the GABAergic  
25 neurons of a matured SCN. This section aims to gain insight into the diverse expression of the  
26 CCCs in the SCN.

27 Kanaka and colleagues investigated the expression of the CCCs by analysing the messenger  
28 RNA (mRNA) of NKCC1 and KCC2 in the nervous system of rats [31]. Using five male Wistar  
29 rats, they observed that NKCC1 mRNA seemed to be ubiquitously expressed in the nervous  
30 system, including the SCN, while KCC2 mRNA was absent in the dorsal part of the SCN [31].  
31 This void of KCC2 in the shell region of the SCN was also observed by Belenky and colleagues  
32 [9] who noticed that KCC2 was instead highly expressed in the ventral area [9]. When  
33 comparing both studies, they have similar conclusions; however, Belenky's paper seems to be  
34 more accurate than Kanaka's for numerous reasons. First, Kanaka and colleagues used only  
35 five male rats, whereas Belenky used 47 rats; this provides a strong statistical significance for

1 Belenky's study. Then, the method used by Kanaka was to study the mRNA distribution. One  
2 common limitation cited with this method is that although mRNA expression usually translates  
3 in the presence of the protein it codes for, in this case CCCs, it is not a certainty. Belenky's  
4 method is considered more reliable as the team employed numerous immunostaining methods  
5 that were used alongside a confocal microscope. The method employed by Belenky and  
6 colleagues is more reliable as they used antibodies that specifically bind to membrane-bound  
7 KCC2 and NKCC1. By binding directly to the CCCs, the antibodies confirm the presence of  
8 the co-transporters. The mRNA method is less reliable as mRNA presence might not  
9 necessarily mean that they were translated into proteins.

10  
11 Overall, both papers showed that NKCC1 is expressed across the SCN, while KCC2 is  
12 expressed only in the ventral region of the SCN [32]. In trying to understand the underlying  
13 mechanism of GABA induced excitation in the SCN, Belenky and team uncovered a potential  
14 explanation for the void of KCC2 in the dorsal region [32]. Using immunostaining on the SCN  
15 of 32 adult rats, Belenky's team observed that while KCC2 is highly expressed in the core  
16 region, the dorsal region expresses other isoforms of KCC, KCC3 and KCC4 [32] (**Figure 1C**).  
17 The paper showed that no co-localisation existed between KCC2 and KCC4 [32]. Though, we  
18 did not discuss the structural characteristic of CCCs in this review but it is important to briefly  
19 state that various isoforms of KCC can be differentiated *in situ* by their specific antibodies.  
20 Over the years, data base queries and library screening coupled with molecular techniques  
21 such as polymerase chain reaction have been used to identify putative isoforms of CCCs  
22 specifically localized in various cells. Payne et al. (1996) used KCC2-specific cDNA probe for  
23 a northern blot analysis on cell culture prepared from a fresh whole brain of Wistar rats [33].  
24 Furthermore, they investigated the KCC2 transcript distribution within the rat brain with a  
25 KCC2-specific <sup>35</sup>S-labeled riboprobe *in situ*. Pan and colleagues used real time RT-quantitative  
26 PCR technique to investigate the expression of mRNAs for various isoforms of KCC in red  
27 blood cells of C57/BL6 mice [34]. Overall, a combination of NKCC1 and KCC2 is expressed in  
28 VIP-expressing neurons of the core region in the SCN while NKCC1 and KCC3/4 is found in  
29 the AVP-expressing neurons of the shell region. Interestingly, reports that putatively restrict  
30 KCC2 to neuronal tissue [33,35,36], and confirm NKCC1 to be ubiquitous [37-39] both exist.  
31 Taken together, these investigations highlight the importance of NKCC1 and KCC2 in the SCN  
32 and suggests a role for them in the circadian system.

### 34 **3. Regulation of [Cl<sup>-</sup>]<sub>i</sub> by NKCC1 and KCC2 in SCN neurons**

1 NKCC1 and KCC2 are crucial for the regulation of the intracellular concentration of chloride  
2 ions  $[Cl^-]_i$ , that is important to determine the polarity of the neurons [25]. It is known that during  
3 development, an elevated  $[Cl^-]_i$  is observed in immature neurons and that when activated, they  
4 display a depolarising response [29]. This is due to a higher expression of NKCC1 in  
5 comparison to KCC2. During maturation, NKCC1 expression gradually decreases and KCC2  
6 expression increases, resulting in an opposite expression pattern [29] (**Figure 2**). When a  
7 pharmacological blocker of NKCC1 is applied to immature neurons, it was observed that the  
8 polarity shifts from excitatory to inhibitory [29]. This exemplify the importance of the NKCC1 –  
9 KCC2 pair for the regulation of  $[Cl^-]_i$  and more broadly, for the polarity of the neuron.

10 Choi and colleagues noticed that NKCC1 expression in matured SCN neurons evolved during  
11 a 24-hour cycle and was particularly high during the night in the dorsal region of the SCN [40].  
12 This increase in expression was associated with GABA-evoked excitatory responses in the  
13 shell region that was recorded through a gramicidin-perforated-patch recording [40]. This  
14 increased NKCC1 expression in the dorsal region of the SCN at night was also observed by  
15 Alamilla and colleagues [41]. Using a patch-clamp approach, they noticed that the  $E_{GABA}$  of the  
16 dorsal and ventral regions reversed between day and night [41]. Concurrent with an increase  
17 in NKCC1 expression at night, the team recorded an  $E_{GABA}$  of -30mV and that when GABA was  
18 stimulated, the signalling induces an excitatory response [41]. In both experiments, the  
19 application of bumetanide, an NKCC blocker, resulted in a dampening of the excitatory  
20 responses in SCN neurons [40,41]. Alamilla and colleagues observed that bumetanide  
21 resulted in a more negative equilibrium potential [41] while Choi and colleagues observed that  
22 the application of bumetanide on individual neurons was sufficient to switch the response from  
23 excitatory to inhibitory [40]. The high expression of NKCC1 in the dorsal region at night,  
24 coupled with the excitatory responses observed, display the clear role of NKCC1 in the  
25 regulation of  $[Cl^-]_i$  in SCN neurons.

26 Klett and Allen investigated the regulatory mechanism of  $[Cl^-]_i$  in AVP and VIP neurons by using  
27 specific blockers for NKCC1 and KCCs, respectively, bumetanide and VU0240551 (VU) in  
28 rodents [42]. To quantify the effectiveness of these blockers and study the role of NKCC1 and  
29 KCCs in the regulation of intracellular levels of  $Cl^-$  ions, the team used ratiometric  $Cl^-$  imaging  
30 [42]. Consistent with previous studies, the pair reported KCC2 expression in VIP neurons and  
31 absence in AVP neurons and KCC3 and KCC4 in AVP neurons. They also observed that  $[Cl^-]$   
32  $]_i$  levels are higher during the day in comparison to night in both VIP and AVP neurons but  
33 bumetanide had little effect on the  $[Cl^-]_i$  levels of neurons when compared to VU. This suggests  
34 that KCC seems to play a more prominent role in the regulation of intracellular levels of  $Cl^-$  in  
35 comparison to NKCC1 [42]. Klett and Allen also noticed that VU had a more significant effect  
36 on VIP-expressing neurons compared to AVP neurons [42]. Although VU exhibit selectivity

1 towards KCC2 over NKCC1, VU lack selectivity for a specific KCC isoform. Thus, VU may  
2 have acted on KCC3 or KCC4 in AVP neurons and it can concluded that KCCs are the primary  
3 regulators of  $[Cl^-]_i$ .

4 These papers have provided evidence that the NKCC1 – KCC2 pair is massively involved in  
5 the regulation of intracellular  $Cl^-$  levels of SCN neurons. This regulation influences the  
6 response of SCN neurons. An upregulation of NKCC1 leads to a higher  $[Cl^-]_i$  since it allows an  
7 influx of  $Cl^-$  and thus when GABA is stimulated, causes an excitatory response. However, these  
8 papers do not provide sufficient information on elements that modulate the NKCC1 and KCC2  
9 expression in SCN cells. Although these papers provide interesting information on the NKCC1-  
10 KCCs pairing in SCN neurons, they do not cover on modulators involved in regulating their  
11 expression and activity. Furthermore, more research is needed to understand the relationship  
12 between the two CCCs, to categorically conclude if one is more essential than the other in AVP  
13 and/or VIP neurons.

14 As earlier mentioned in this review, KCC2 and NKCC1 are crucial regulators of GABA  
15 mediated polarisation shifts. In other words, the progressive difference in  $[Cl^-]_i$  regulation  
16 between immature and mature neurons is mainly caused by a difference in expression/activity  
17 of the key chloride transporters NKCC1 and KCC2 [29,30] and it varies across different species  
18 [43]. Ben-Ari and co-workers (2012) documented that a shift in  $[Cl^-]_i$  in rodents happen during  
19 the second postnatal weeks [24]. Similarly, Rivera et al. (1999) experiment on hippocampal  
20 tissue and Dzhala et al. (2005) study on cortical tissues of experimental rats both revealed that  
21 an increased KCC2 mRNA expression increased after postnatal week 2 while mRNA  
22 expression of NKCC1 declined between 14-21 postnatal days [44,45]. Furthermore, Dzhala  
23 and colleagues comparatively carried out the same experiment on human cortex and found  
24 out that KCC2 expression increases around 40 days postnatal week, whereas NKCC1  
25 expression reaches matured stage approximately around 50 postnatal days [45]. These  
26 findings suggest that significant variation in maturation patterns exist among different species;  
27 a key factor worth considering when comparing studies using different models.

28

#### 29 **4. The relationship between light and NKCC1 and KCCs in the SCN**

30 When considering the upregulation of NKCC1 in the dorsal region at night [40,41], one can  
31 question if light influences the expression of the CCCs in the SCN neurons. Indeed, this point  
32 is compelling as light is missing during night and it is known that SCN neurons integrate photic  
33 cues that are collected and transferred from the retina through the RHT (**Figure 1**). Beyond  
34 the simple difference between day and night, variations of light exposure is key during seasonal  
35 change. Indeed, the transition between seasons (e.g. winter to spring) is mainly characterised



1 by lengthening or shortening of light exposure [46]. For animals, synchronising their biological  
2 clocks is, in some case, a do or die situation as these annual changes can threaten their  
3 survival [46]. Seasonal affective disorder, a form of depression that is sensitive to day length  
4 changes, is partially caused by a transition in the photoperiod exposure length [47,48].  
5 Understanding if light regulates the expression on NKCC1 and KCC2 to alter the SCN and  
6 thus the circadian system is paramount as humans in the modern society are often exposed  
7 to artificial light [49]. This section of the review aims to explore the potential link of light  
8 exposure to the expression of NKCC1 and KCC2 in SCN neurons to further understand the  
9 possible consequences of light regulation of the circadian system/rhythm through NKCC1 and  
10 KCC2. Although the team did not focus on NKCC1 and KCC2, the work conducted by  
11 VanderLeest and colleagues provides precious insight into the role of SCN neuronal network  
12 in encoding day lengths [50]. They housed mice under long and short photoperiods and  
13 recorded SCN activity *in vitro* and *in vivo*. Their results revealed different activity profiles for  
14 long-day and short-day conditions [50]. In particular, in long days, the animals showed less  
15 nocturnal activity than in short-day. This paper provided evidence that the SCN is influenced  
16 by day length and that the difference is significance between long and short days [50]. The  
17 rest of this section will go in focus on the CCCs' expression in relation to light.

18

#### 19 4.1. Light and NKCC1

20 McNeill and colleagues administered the NKCC1 blocker, bumetanide, at different times of the  
21 cycle and to varying doses in hamsters to see the effects of NKCC1 on the circadian clock  
22 [51]. Exposure to light in the early subjective night phase delays the SCN and light in the  
23 subjective night phase advance the SCN. This information shows the phase delaying capacity  
24 of light that contributes to one of the main functions of the circadian system- the entrainment  
25 of day & night cycles. They observed that bumetanide administered during the early subjective  
26 night phase reduced the light-induced phase delays but did not alter the circadian phase in the  
27 absence of light [51]. This paper provides proof that reduction of excitatory GABA, reduces the  
28 phase delays in the circadian system triggered by light [51], suggesting NKCC1 activity could  
29 be one of the biomarkers for such process. The data gathered by McNeill and colleagues are  
30 consistent with models that propose that depolarising response from RHT innervations are  
31 associated with neurons having a higher ratio of NKCC1:KCC2 activity [52].

32 Farajnia and colleagues explored the expression of NKCC1 in SCN under long and short-day  
33 photoperiods by recording the neuronal response [47]. Using the NKCC1 blocker bumetanide  
34 on mice, the team noticed that in mice subjected to long-day photoperiods, 40% of cells were  
35 excitatory, while 36% were inhibitory. However, for short-day subjects, 52% of neurons were

1 inhibitory and 28% excitatory [47]. These results support the different phenotypes associated  
2 with short-days and long-days, consolidating the idea presented in VanderLeests' paper.  
3 Farajnia's findings also support the concept that long-day photoperiod exposure induces a  
4 polarity switch in GABAergic neurons of the SCN, from inhibitory to excitatory. Treatment with  
5 bumetanide reduced the excitatory GABAergic response, suggesting photoperiod modulation  
6 of NKCC1 activity or expression [47].

7  
8 Taken together, the papers highlight the contribution of light to the entrainment of the circadian  
9 system and that this role is accomplished via regulatory feedback on NKCC1 activity. Farajnia  
10 and colleagues showed that longer photoperiods induced a higher number of SCN neurons to  
11 switch polarity and display excitatory activity, thus providing different excitatory and inhibitory  
12 ratio profiles for long and short days [47]. McNeill and colleagues' paper showed that NKCC1  
13 was involved in circadian phase delay due to a higher exposure to light [51]. Due to the  
14 mediatory role of NKCC1 in the SCN neurons, Farajnia et al. [47] suggested the potential  
15 clinical use of bumetanide to reduce inappropriate excitatory GABAergic responses. This will  
16 enable the modulation of the circadian system for patients exhibiting long-day phenotype to  
17 restore it closer to a normal rhythm [47]. Although bumetanide-induced reduction of excitatory  
18 GABAergic signalling improved the behaviour of autistic children [47], more research is needed  
19 to explore the potential clinical use of bumetanide in this scenario. In particular, dosage should  
20 be investigated further as bumetanide shows a 500-fold greater affinity to NKCC1 compared  
21 KCC2 but at high doses, bumetanide can inhibit  $\text{Cl}^-$  efflux via KCC2 [51].

#### 22 23 *4.2. Light and KCC2*

24 Olde and colleagues investigated the role of KCC2 by studying mice that were exposed to  
25 short and long photoperiods [14]. They studied the responses of GABAergic neurons in the  
26 SCN for each photoperiod and compared it to mice given KCC2 blocker, VU0255011 [14]. The  
27 team observed that in the control groups, mice exposed to short photoperiods exhibit a higher  
28 GABAergic inhibitory response (56%) when compared to mice entrained to a long photoperiod  
29 (31%). This suggests that the ratio activities of NKCC1:KCC2 differ according to light exposure:  
30 KCC2 activity increased with less light exposure. In the VU0255011 groups, Olde and team  
31 noticed that inhibitory responses severely decreased to 31% inhibitory responses in short  
32 photoperiods and only 15% inhibitory response in long photoperiods [14]. This reduction in  
33 inhibitory responses and increase in excitatory responses is wholly justified as KCC2 activity  
34 was blocked, consequently increasing the  $[\text{Cl}^-]_i$ . When looking closely at the data, one can  
35 notice that with the use of VU0255011, short photoperiod neurons had a similar percentage of  
36 excitatory and inhibitory neuronal response [14]. Beyond showing that light influences the

1 excitatory/inhibitory(E/I) balance via the ratio activity of NKCC1 and KCC2, the paper suggests  
2 that only blocking KCC2 is enough to change the E/I balance in SCN neurons.

### 4 *4.3. NKCC1 and KCC2 under light*

5 Although the aforementioned papers provided substantial evidence for the regulation of the  
6 CCC in different photic exposure, the researches only focused on one of the CCCs without  
7 investigating both under short and long photoperiods [53]. Myung and colleagues investigated  
8 short and long entrained SCN neurons, that will be discussed below. First, at a transcriptional  
9 level, the team examined the transcripts of two proteins, brain and muscle Arnt-like1 (Bmal 1)  
10 and period (Per), that are involved in maintaining the near 24h circadian oscillations in clock  
11 cells. They noticed only a little difference between short and long photoperiods, however, when  
12 observing NKCC1 and KCC2, they notice an upregulation of both NKCC1 and KCC2 in the  
13 long-phase entrained SCN neurons in comparison to the short-photoperiod SCN neurons [53].  
14 Furthermore, the team noted that NKCC1:KCC2 expression ratio was significantly higher in  
15 the dorsal region for long entrained SCN neurons [53]. However, Myung and colleagues used  
16 transcripts to obtain these results and mRNA presence does not necessarily translate into  
17 protein expression. Thus, there is insufficient evidence to confidently confirm a higher  
18 expression of NKCC1. The researchers also explored the effect of different light duration  
19 entrainment on the circadian oscillations emitted in the SCN neurons. They noticed excitatory  
20 GABAergic response in the dorsal region of the SCN and concluded that this is probably due  
21 to an upregulation of NKCC1. The excitatory GABA was also associated with phase advances  
22 in circadian oscillations of the dorsal region [53]. This phase-advance in the dorsal region  
23 causes a desynchronisation with the ventral area [53]. Indeed, since the oscillation phases are  
24 quicker in the dorsal region, the ventral part has difficulty keeping up. This desynchronisation  
25 is further exacerbated by the fact that the VIP signal produced by the ventral SCN become  
26 diffuse, thus diminishing the ability of ventral region SCN neurons to synchronise with dorsal  
27 region of SCN neurons [53]. This study provides evidence that light exposure can alter the  
28 expression ratios of NKCC1 and KCC2 in the SCN and adjust the polarity of GABA signalling,  
29 resulting in dysregulated circadian oscillations. The idea of using bumetanide as a therapeutic  
30 tool, suggested in the Farajnia's paper [47], can be useful for resetting and resynchronising  
31 the two regions of the circadian master clock. Indeed, application of the NKCC1 can reduce  
32 the polarity switch to excitatory neurons and thus reduce the phase advance observed in the  
33 dorsal region, permitting the resynchronisation of both zones.

34  
35 Overall, these studies provide evidence that light has an impact on the expression and activity  
36 of CCCs, NKCC1 and KCC2 in the SCN. There is substantial evidence that NKCC1 and KCC2  
37 are expressed differently in short and long photoperiods and contributes to the regulation of

1 circadian phases. Overexposure to light can result in alteration and desynchronization of the  
2 circadian oscillations that are generated by the SCN regions. This is a cause for concern as  
3 failure to maintain synchrony may contribute to pathogenesis as the body does not follow one  
4 rhythm. Targeting the CCC in the SCN should be explored as it could yield great potential  
5 value.

6

## 7 **5. Phosphoregulation of NKCC1 and KCCs**

8 Like all the other CCCs, NKCC1 and KCCs are regulated by a cascade of kinases [54]. The  
9 primary kinase of interest is the serine-threonine kinase WNK (with no K [lysine]) and its  
10 downstream substrates, SPS/Ste20-related proline-alanine-rich kinase (SPAK) and oxidative  
11 stress responsive 1 (OSR1), initiates downstream phosphorylation that directly affect the  
12 activity of NKCC1 and KCCs in cells. Susa et al. [55] studied WNK4 in male mice and reported  
13 that the activity of the WNK4 cascade displayed a diurnal rhythm in mouse kidneys. Transcript  
14 [53] and immunostaining [32] studies identified WNK3 as the most common isoform in the  
15 SCN. WNK3 is a chloride sensitive kinase that is involved in the regulation of  $[Cl^-]$  levels and  
16 GABA induced excitation [32,53]. These kinases may play a vital role in the detection of  
17 direction of  $Cl^-$  movement in SCN neurons [32]; thus, they are involved in the regulation of  
18 intracellular  $Cl^-$  levels and in the polarity of GABAergic neurons. WNK3 has important control  
19 of the cellular activity of NKCC1 and KCC2. Indeed, NKCC1 activity is increased when it is  
20 phosphorylated by WNK3 while KCC2 activity is inhibited [54,56] (**Figure 3**). These  
21 characteristics of WNK3 were obtained after researched conducted by Kahle and colleagues  
22 [56]. They studied the impact of WNK3 regulation on NKCC1 and KCC2 cotransporters in  
23 *Xenopus oocytes*. One effective result that shows this importance of WNK3 in the activation  
24 of NKCC1 is that in the abs-ence of WNK, NKCC1 was inactive or partially active in  
25 respectively hypotonic and isotonic conditions, but NKCC1 was only fully activated in  
26 hypertonic conditions [56]. However, when NKCC1 was co-expressed with WNK3, NKCC1  
27 was fully active in all three states [56]. When the team used a mutated version of WNK3,  
28 referred to as kinase-dead WNK3, they observed the opposite effect in NKCC1 and KCC2 [56].

29 We recently employed a functional kinomics study, incorporating a kinome-wide siRNA-  
30 phosphoproteomic screen and a kinase trapping-Orbitrap MS screen, to show that WNK3-  
31 SPAK kinase complex is an essential regulator of both KCC3 Thr991 and Thr1048  
32 phosphorylation (corresponding to KCC2 Thr906 and Thr1007) in *in vitro* cells and *in vivo*  
33 mouse brains [57,58]. We further demonstrated that WNK1/3-regulated phosphorylation of  
34 KCC2 at Thr906 and Thr1007, by SPAK/OSR1, maintains depolarising GABA activity in  
35 immature neurons [59,60]. Notably, while phosphorylation of KCC2 reduces its activity, the

1 WNK-SPAK/OSR1 kinase pathway also phosphorylates NKCC1, but this increases NKCC1  
2 function. Thus, the same kinase pathway causes opposite effects on the opposing co-  
3 transporters, providing a very powerful push-pull regulatory control of  $[Cl^-]_i$ . Previous  
4 crystallographic analysis [61] and our recent study using *in vivo* SPAK mouse model [62],  
5 suggest SPAK or OSR1 serves as a bridge to facilitate the signalling cascade between WNKs  
6 and CCCs. Furthermore, we have recently developed a novel SPAK binding inhibitor, termed  
7 ZT-1a, which specifically blocks this signalling pathway, and subsequently reduces the NKCC1  
8 and KCCs phosphorylation in cultured cells and *in vivo* mouse and rat brains [63]. This is  
9 promising because ZT-1a may interfere with the SPAK regulation of GABA signalling via  
10 NKCC1 and KCC2 through controlling  $[Cl^-]_i$  in neurons.

11 These studies show that WNK3-SPAK/OSR1 is a key component in the control of  $[Cl^-]_i$ , and it  
12 accomplishes this role through the phosphorylation of the CCCs. This role can be especially  
13 crucial in the polarity shift of GABAergic neurons observed in the SCN. Although these current  
14 studies support the role of WNK3-SPAK/OSR1 signalling in the regulation of clock cells in the  
15 SCN, this paper does not provide information on whether the WNK3 or SPAK/OSR1  
16 phosphorylation activity presents circadian patterns. More research should be conducted to  
17 see if light exposure can influence or work alongside WNK3 or SPAK/OSR1 for the regulation  
18 of NKCC1:KCC2 ratio in the SCN. Furthermore, other regulatory mechanisms of NKCC1 and  
19 KCC2 should be explored to see if they have any association to our circadian system, as this  
20 field remains still fairly novel. Technological advancements can be a driving factor in unveiling  
21 the mechanism underlying regulation, as seen with the papers used in this review.

22

## 23 **6. Conclusion**

24 NKCC1 and KCC2 are massively involved in regulating the polarity of GABAergic neurons in  
25 the SCN. These CCCs enable this through a control of the intracellular  $Cl^-$  levels, that alter the  
26 equilibrium potential. This polarity regulation is a key instrument to maintain an appropriate  
27 ratio of excitatory and inhibitory GABA response in the SCN. These CCCs expression ratios  
28 and activity can be altered by light, resulting in profound changes in the circadian oscillations  
29 and rhythmicity of the SCN. NKCC1 and KCC2, and their upstream regulators, WNK-  
30 SPAK/OSR1, and new drug like molecular ZT-1a, also present potential therapeutic options  
31 for conditions related to circadian disruption. However, more research on this topic is needed  
32 to decipher their mechanism of action. Further studies should focus on human's clinical trials  
33 as most of the experiments were completed in animal models. Nevertheless, these  
34 experiments provide precious insights into this complex yet important regulation.

35

1 **Conflict of interest**

2 The authors assert that there is no conflict of interests concerning the publication of this review.

3

4 **Acknowledgment**

5 This work was in part supported by a Commonwealth PhD Scholarship (S.S.J.), NSFC grants  
6 to Y.W. (31771188, 31471027), and the University of Exeter Medical School start-up fund  
7 (J.Z.) and NIH Grants R01 NS109358 (J.Z.).

8

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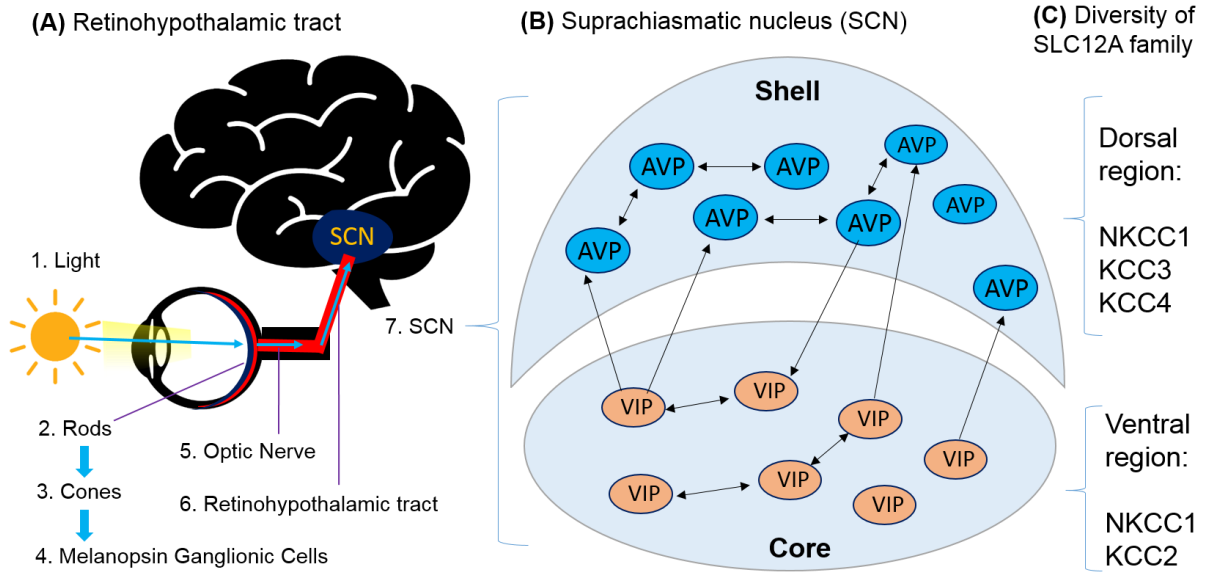


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1 **Figures**

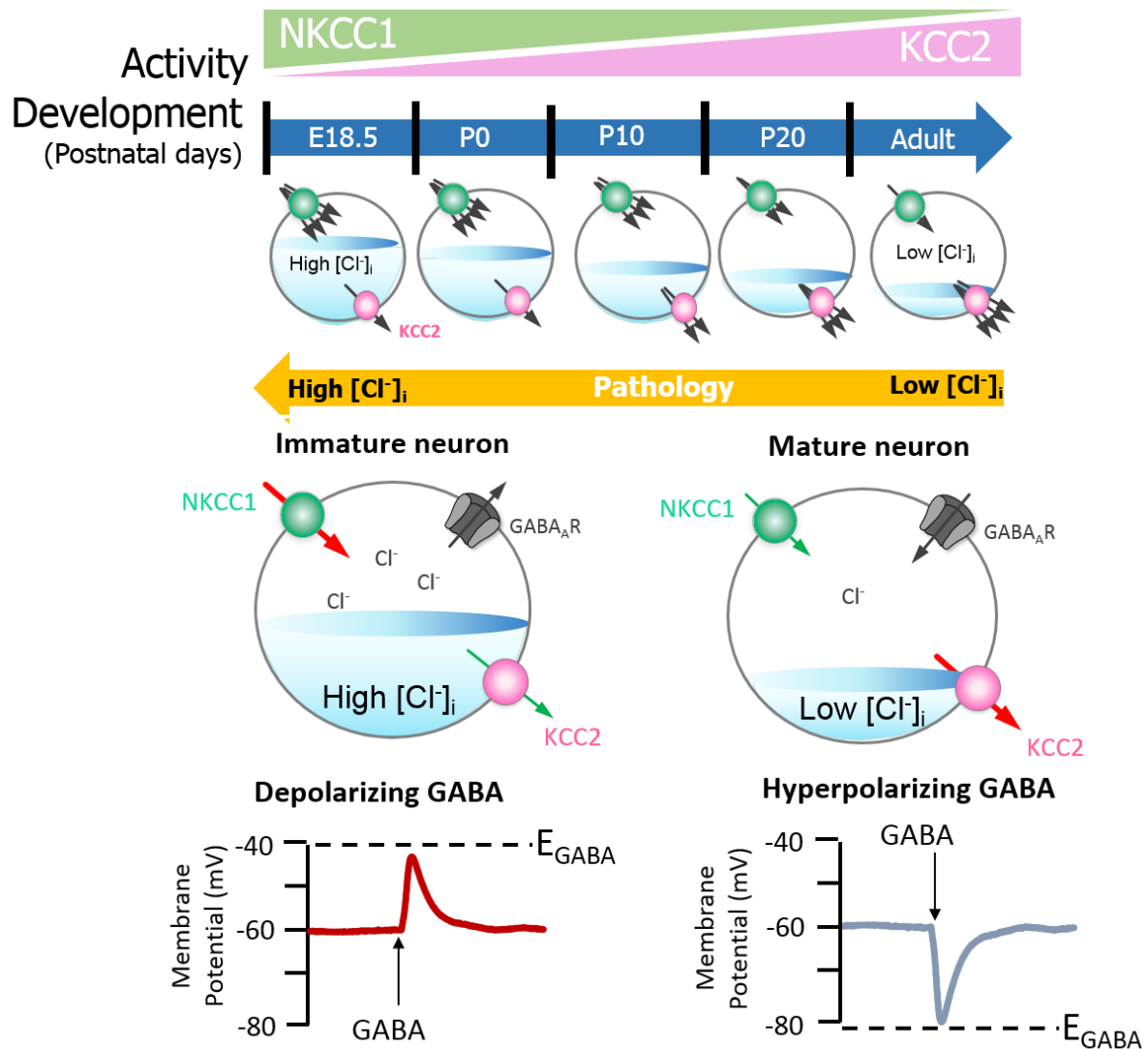
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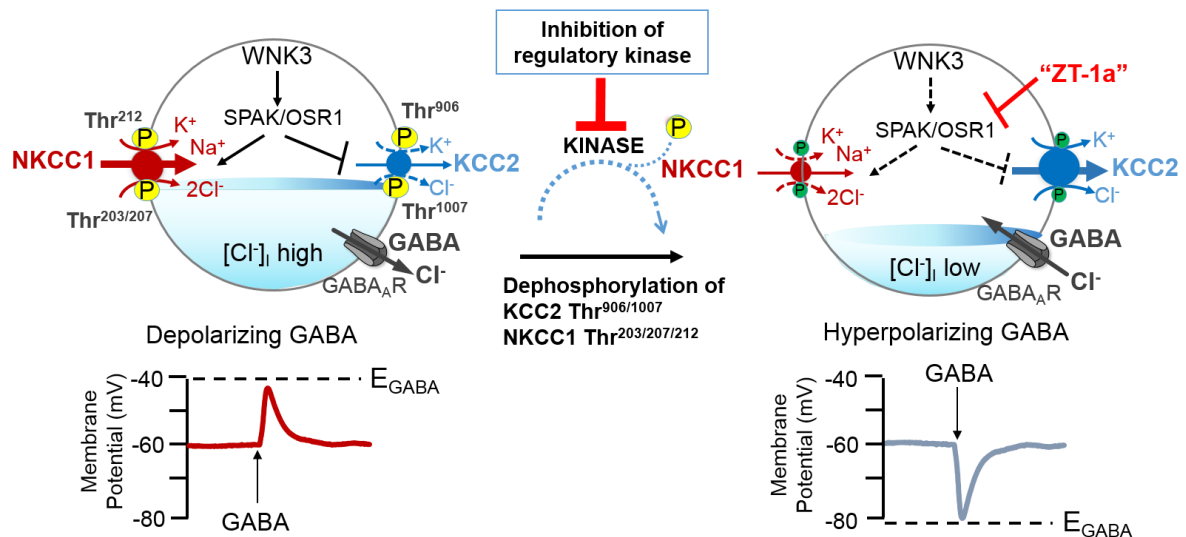
4 **Figure 1: Illustration of the retinohypothalamic tract and the suprachiasmatic nucleus**

5 **(A)** This figure illustrates the pathway where light cues are transmitted from rod, cones and  
6 melanopsin ganglion cells through the optic nerve that goes into the retinohypothalamic tract  
7 to reach the master clock, the Suprachiasmatic Nucleus. **(B)** The Superchiasmatic Nucleus  
8 (SCN) of the hamster can be divided into a ventrolateral core and a dorsomedial shell, both  
9 densely packed with somata of small neurons. The vasoactive intestinal peptide (VIP) is  
10 predominantly expressed in the ventral core region and the arginine-vasopressin (AVP) is  
11 predominantly expressed in the dorsal shell region. Most neurons contain additionally GABA.  
12 **(C)** Diversity of SLC12A family in SCN neurons, NKCC1, KCC3 and KCC4 cotransporters were  
13 mainly found expressed in SCN neurons in Dorsal region, whereas the NKCC1 and KCC2  
14 cotransporters in SCN neurons in Ventral region.



1  
 2 **Figure 2: GABA<sub>A</sub> signalling shifts from depolarizing to hyperpolarising**  
 3 **responses is mediated by developmental expression of KCC2 and NKCC1.**  
 4 The differential expression of these channels regulates intracellular Cl<sup>-</sup> concentration  
 5 ([Cl<sup>-</sup>]<sub>i</sub>), and therefore determines the activity of γ-aminobutyric acid (GABA). Na<sup>+</sup>-K<sup>+</sup>-  
 6 Cl<sup>-</sup> cotransporter 1 (NKCC1) pumps Cl<sup>-</sup> into neurons, its expression is high in the early  
 7 postnatal period, decreasing as maturation proceeds. The expression pattern for K<sup>+</sup>-  
 8 2Cl<sup>-</sup> cotransporter 2 (KCC2), responsible for Cl<sup>-</sup> efflux is directly opposite. In the  
 9 embryonic and early postnatal periods, [Cl<sup>-</sup>]<sub>i</sub> is high and so GABAergic signalling is  
 10 excitatory (depolarising); as maturation occurs, [Cl<sup>-</sup>]<sub>i</sub> decreases, initiating the  
 11 development hyperpolarising shift, whereby GABAergic signalling becomes inhibitory.  
 12 Figure elements were taken and modified from Tillman and Zhang [25].

13  
 14



1

2 **Figure 3. A novel strategy to facilitate neuronal Cl<sup>-</sup> extrusion by coincident NKCC1**  
 3 **inhibition and KCC2 activation by inhibiting the WNK3-SPAK/OSR1 kinases.**

4 In neurons in multiple neuropsychiatric conditions driven by hyperexcitable circuits (e.g.,  
 5 seizures, neuropathic pain, spasticity, schizophrenia, and others), intraneuronal Cl<sup>-</sup> levels [Cl<sup>-</sup>]<sub>i</sub>  
 6 are elevated due to increased NKCC1 activity, and/or decreased KCC2 activity, promoting  
 7 GABA<sub>A</sub>R-mediated membrane depolarization and excitation. In healthy mature neurons, [Cl<sup>-</sup>]<sub>i</sub>  
 8 is low due to the opposite activity profile of the CCCs, promoting GABA<sub>A</sub>R-mediated  
 9 hyperpolarization, which is critical for the proper balance of excitation-inhibition in neuronal  
 10 circuits. WNK-SPAK/OSR1 inhibition, via the coincident effects of NKCC1 inhibition and KCC2  
 11 activation (the main Cl<sup>-</sup> extrusion mechanism in neurons) might be a potent way of facilitating  
 12 neuronal Cl<sup>-</sup> extrusion to restore ionic inhibition in diseases that are characterized by  
 13 disordered Cl<sup>-</sup> homeostasis and GABA disinhibition. ZT-1a, a specific SPAK inhibitor [63].  
 14 Figure elements were taken and modified from Tillman and Zhang [25].