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1 1 Introduction

2 Cervical cancer has the fourth highest incidence of cancers in women worldwide [1] and incidence is 3 highest in low income countries, where routine cervical screening programmes are mainly absent 4 and diets are deficient in possible protective factors [2]. It is well established that the main risk 5 factor for cervical cancer is infection with the high-risk human papilloma virus (HR-HPV) [3] and for 6 this reason HPV screening has been introduced into cervical screening programmes around the 7 globe [201]. The human papilloma virus consists of many strains with HPV-16 and HPV-18 being 8 responsible for around 70% of cervical cancers [202], however not all women with HR-HPV infection 9 develop cervical cancer but the persistence of certain high risk strains is a risk factor for 10 precancerous cervical cell lesions (CIN) and therefore increases the risk of progression to cervical 11 cancer [3, 4, 5]. As women with cervical cell abnormalities and persistent infection with HR-HPV have 12 an increased risk of developing cervical cancer [4], early detection of cervical cell abnormalities and 13 of persistence of the virus are central to cancer prevention and mortality reduction. There is 14 therefore, much interest in the factors that influence the acquisition and the persistence of HR-HPV 15 infection as well as in the classification of the severity of early stage precancerous cell lesions, as an 16 understanding of these processes will inform strategies to reduce cervical cancer risk. 17 The mechanisms that support HPV persistence rather than clearance are not understood. HPV 18 testing, while important in an assessment of cancer risk, does not predict whether the infection will 19 be rapidly cleared or will persist. Monitoring for early stage cervical cell abnormalities requires 20 scoring cell appearance by microscopy, which is time-consuming and has variable sensitivity. 21 Changes that occur in the development of the cancer phenotype may include epigenetic 22 modification to DNA, including methylation, and expression of genes important to the regulation of 23 processes such as the cell cycle, DNA repair and apoptosis. Such changes in gene expression will be 24 reflected in changes in both the cell proteome and metabolome and therefore, should be detectable 25 by proteomic or metabolite profiling techniques.

26 Many metabolite profiling studies have concentrated on using Nuclear Magnetic Resonance, [6, 7] 27 which is not practical as a routine technique in a clinical laboratory, or have concentrated on 28 targeting specific metabolites [8] which involves a more complex analysis. Whilst targeting particular 29 classes of compounds has value, such targeted analysis does not capture or provide a global picture 30 of the most important discriminatory metabolites in the different cells. This means that some 31 important metabolite changes and markers could easily be missed and therefore, particularly in the 32 absence of specific hypotheses relating to mechanism underpinning HPV persistence, a non-targeted 33 approach is more valuable.

34 The current screening and triage for cervical cancer risk uses HR-HPV infection and cytology to

35 inform patient treatment. The most vulnerable women are those in whom the HR-HPV infection is

36 likely to persist but have a low grade cellular abnormality, as these women are generally not treated

but recalled 12 months after the initial HPV test. Not only is there a high loss to follow-up among this

38 group but there is potential for progression of low grade lesions. For these reasons in this study we

39 targeted HPV positive women with low grade lesions.

40 The aim here is to present a rapid metabolite screening method using Direct Injection Mass

41 Spectrometry (DIMS) along with Principal Component Analysis (PCA) as tools to discriminate between

42 cervical cell samples at different early-stage precancerous change, and between those samples from

43 which HR-HPV virus is cleared and those in which it persists.

44 **2 Materials and Methods**

45 2.1 Sample History

The samples were provided by the Department of Oncology in the University of Sheffield Medical School. The samples were part of a wider study called the ARTISTIC trial (A Randomised Trial of HPV Testing in Primary Cervical Screening) [9] which involved routine cervical screening and HPV testing in 24,510 women aged between 20-64 years old. The primary aim of the ARTISTIC trial was to provide clear evidence on the costs, medical effects and psychosocial impact of adding HPV testing to cervical cytology screening.

At entry to the ARTISTIC trial cervical cell samples were collected from all women using liquid based
 cytology. All samples were examined for evidence of cervical cell abnormalities and HPV positivity
 and then stored at -80°C until further use.

Usually the gold standard for new methods for cervical cancer screening and triage is histologically confirmed high grade dysplasia (CIN2+). Parameters for new methods include sensitivity, specificity, positive predictive values (PPV) and negative predictive values (NPV) for CIN2+ with ROC curves used to define cut-offs for the test. CIN2+ is a surrogate marker for cervical cancer and HPV persistence is a surrogate marker for CIN2+. However for the purpose of our investigation we used a classification of abnormality according to cytology investigations, and only women with a classification of normal,

61 borderline/mild and >= moderate abnormality were recruited [10].

62 For this study only the samples in the borderline and mild categories (with borderline being the least

abnormal) were selected for analysis by metabolite profiling as these women would normally be

recalled in 12 months' time. Samples included those from women who tested HR-HPV positive at 24

65 month follow up (cases), and women in whom no HR-HPV was detected at this point (controls).

66 These samples were primarily selected for a study of epigenetic determinants of HR-HPV persistence

67 [11] and surplus sample material was then used for the metabolite profiling described here.

68 2.2 Sample Preparation

69 160 samples were selected for metabolite analysis, based on the results of the cytology screen at 70 baseline and HPV test at follow-up. All samples had a low-grade abnormality (borderline or mild) and 71 tested positive for HR-HPV at baseline. Case samples were classed as women at baseline (the start of 72 the trial) who were diagnosed with low-grade cervical neoplasia and who tested positive for HR-HPV 73 infection and who were still HR-HPV positive 24 months later. Controls were women with the same 74 diagnosis at baseline but who had cleared the infection and tested negative for HR-HPV 24 months 75 later. In total there were 40 samples of each type which were selected at random: borderline case, 76 borderline control, mild case and mild control.

77 HR-HPV classification was undertaken prior to this work by the ARTISTIC trial by using Hybrid 78 Capture[®] 2 (hc2) according to the manufacturer's instructions [10]. Positive results were expressed 79 in relative light units (RLUs) compared with a positive control containing 1pgml-1 of HPV DNA. The 80 high-risk HPV types detected by the assay are 16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59 and 68. 81 Samples were classified as HPV positive according to the manufacturer's instructions at the outset of 82 the study, which was to use a positive cut off as 1 RLU/control. Persistence of HR-HPV was classified 83 as the case samples which tested positive for the presence of HR-HPV at time 0 as well as at recall 24 84 months later.

The cell extracts for this study were prepared by authors HP and JF at the University of Sheffield Medical School [11] following the standard operating procedure for rapid purification of DNA from cell samples supplied with a standard QIAamp DNA Mini Kit, purchased from Qiagen (part number 51304). The QIAamp DNA Mini Kit provides silica-membrane-based nucleic acid purification from tissues, swabs, CSF, blood, body fluids, or washed cells from urine use an optimised mix of buffers and enzymes. The supernatant which would normally be discarded after DNA extraction was retained and stored at -20°C, in this case, for the metabolite profile analysis.

92 2.3 Direct Injection Mass Spectrometer Analysis

93 All analysis was carried out by directly injecting each sample into a Waters Micromass Liquid 94 Chromatograph Time of Flight mass spectrometer (LCT) (Waters, Manchester, UK). The mass 95 spectrometer was operated in both positive and negative modes in order to analyse as many 96 metabolites as possible, however only data from the positive mode analysis will be discussed here. 97 The conditions for the analysis were capillary 3000V, extraction cone 5V, sample cone 15V, RF lens 75V with source and desolvation temperatures at 100°C and 150°C respectively. Spectra were 98 99 collected in continuum mode over the mass range 75-800amu at a rate of one scan per 0.5sec with 100 an interscan delay of 0.1sec.

101 Due to the high concentration of buffer in the samples, matrix effects were minimised by diluting

102 with 1:500 v/v with high purity water:methanol (50:50 v/v) before analysis. This dilution was found

to be optimal for sensitivity, reduced ion suppression effects and ease of analysis.

104 The sample set of 160 samples, 40 of each of the 4 types, were arranged as a double blind

105 experiment as the identity of the samples was unknown to the analyst, and the samples were all

106 randomised for analysis.

107 To show the robustness of this technique a small set of the samples were re-analysed in positive

108 mode on a portable Advion CMS compact mass spectrometer. The conditions for this analysis were

109 ESI voltage 3500V, capillary 180V, source voltage offset 20V, source voltage span 30V and capillary

and source gas temperatures 250°C and 200°C respectively. Spectra were collected over the range

111 50-800amu at a rate of one scan per 0.38sec with an interscan delay of 0.1msec.

112 **2.4 Data Processing and Multivariate Analysis**

Three replicate total ion chromatograms (TIC) of each individual sample were obtained to enable noise reduction according to the procedure defined by Overy and colleagues [12]. For each mass the summed intensity from the TIC was averaged to minimise variation and the data for each sample were normalised to the TIC for each biological replicate. In this case the data were rounded into 0.4amu bins to minimise the number of peaks. The binned data sets were saved as metabolite profiles in text file format.

119 The data were exported into SIMCA-P (Umetrics) to create PCA and OPLS-DA plots for the data

120 analysis and the significantly changing bins were tabulated along with standard errors calculated

121 from the standard deviation of the mean.

122 3 Results and Discussion

Direct injection mass spectrometry (DIMS) was used without any clean-up or chromatography step before analysis, for reasons of simplicity and speed. Each analysis took only 2 minutes using this approach and the samples required no preparation apart from a simple dilution. This made the procedure routine and with the current introduction of portable mass spectrometers the procedure

127 would be very quick and easy to run in a clinical laboratory, even for staff who are unskilled in mass 128 spectrometry. The DNA extraction step removing large molecules could be further simplified. 129 A challenge with this analysis was overcoming the problems of dealing with the difficult chemical 130 matrix of the samples and possible suppression of ions due to the buffers used for the DNA 131 extraction method. A large dilution had to be used (1:500) but this allowed the samples to be 132 analysed routinely and did not significantly affect the sensitivity or the findings of the analysis as the 133 overall number of peaks detected did not significantly change with increasing dilution. 134 Initially all samples acquired in the same analysis mode were analysed together by PCA (data not shown). The case mild samples exhibited a clear cluster away from control mild but also away from 135 136 borderline samples. Although mild cases and controls showed reasonably distinct clustering the 137 borderline case samples did not show a tight cluster, rather they appeared to divide into two 138 clusters. The mild and borderline samples were therefore plotted in separate PCA plots (figure 1). 139 140 Fig. 1 PCA Scores Plot for mild samples only (a) and borderline sample only (b). The numbers refer to 141 individual sample ID. Samples were analysed by direct injection mass spectrometry, mass intensities 142 normalised to total ion count and the resulting data examined in a PCA analysis with SIMCA-P. 143 The scores plot for the mild samples (figure 1a) shows a very clear difference between the case and 144 control samples suggesting that the ability to clear the HPV infection is reflected in an altered 145 metabolome. 146 Analysis of the borderline samples separately (figure 1b) reveals that, although there is some 147 clustering of cases as distinct from controls neither classification shows a tight cluster. This contrasts with the clear clustering observed for the mild samples. The cytological classification of borderline 148 149 which was used for the samples in the Artistic trial indicated that there was some level of 150 abnormality but insufficient to classify the samples as mild. Therefore it is possible that this 151 cytological classification of cellular abnormalities may not be particularly distinct and includes a high

level of false positives [13, 14]. The division into two groups by metabolite profiling may reflect this

152

153	by distinguishing between those patients that clear the virus and those that do not and this different
154	metabolite profile may not be associated with a low level of cell abnormality.
155	From the loadings plot (figure 2) corresponding to scores plot for the mild samples (figure 1a) the
156	metabolite bins 119, 155, 157 and 385.4 (seen in the right hand side of fig. 2) strongly influenced the
157	separation of the classes, with the metabolite bin 385.4 having the strongest effect. At this stage the
158	identification of the metabolites in the individual bins was not determined, as the aim of the study
159	was to investigate whether the sample type contained a specific metabolite profile. The loadings
160	plot for the borderline samples (figure not shown) shows that the 385.4 bin again strongly influences
161	the separation seen in the scores plot.
162	
163	Fig. 2 Loadings plot to show mass bins responsible for separation in PCA scores plot (Fig. 1a) for mild
164	samples in positive mode. The labels refer to individual mass unit bins.
165	
166	Further analysis of the data showed that although other bins were responsible for the separation in
167	the PCA plot none was as striking, between cases and controls for both borderline and mild, as the
168	385.4 bin. No identification of the metabolites present was undertaken in this study as it was
169	intended to be a rapid screening method although identification could be done using MS-MS.
170	
171	
172	The data collected from the analysis on the portable Advion CMS were treated in exactly the same
173	way as the data collected from the Waters LCT and although the mass resolution and sensitivity of
174	the two instruments is different the same clustering patterns were seen in the PCA scores plots
175	(figure 3). The PCA loadings plots also showed the same patterns with the same major 385.4 mass
176	bin responsible for the separation of the classes seen in the scores plots (data not shown).

Fig. 3 PCA scores plot generated from data collected by direct injection on an Advion portable
compact mass spectrometer (CMS) for mild samples only in positive mode. Mass intensities
normalised to total ion count and the resulting data examined in a PCA analysis with SIMCA-P. The
numbers refer to individual sample ID.

182

The findings are important because they suggest that metabolite profiling could offer an accurate, and time-effective tool for identifying women at increased risk of HPV persistence.Current screening for cervical cancer risk relies, as a first step, on a cytological assessment which can be difficult to classify at the low grade end of cervical cell abnormality and currently there is no screening tool for predicting HPV persistence. Metabolite profiling therefore has the potential to improve existing screening methods for cell abnormality and to supplement information about HPV positivity; the critically important risk factor for cervical cancer.

Despite the differences in the likelihood of different HR-HPV types persisting, metabolite profiling was able to distinguish between cases and controls, suggesting that the metabolite profile was independent of strain of HPV and any changes in metabolism was due to the presence of the virus and not the individual type. The tool therefore would be useful even when HR-HPV type was not known or not factored into the analysis.

Additionally, metabolite profiling was able to distinguish levels of low grade cell abnormality, which is difficult to do using conventional microscopic screening. This has the potential to reduce the risk of mis-classification thereby minimising the costly clinic recall for women providing a classification of 'indeterminate'. Metabolite profiles could also reveal new targets for pharmaceutical intervention for influencing HPV persistence [15, 16].

200 4 Concluding Remarks

A mass spectrometer direct injection method for the analysis of a large number of biological
 replicates has been shown to be highly effective in discriminating samples on the basis of stage of
 low grade cervical cell abnormality, and also for HPV persistence. The ability to clearly predict HPV

204 persistence was greater for samples with a mild classification, which may reflect a more 205 homogeneous sample than for those classified as borderline, which likely contain false positives. The 206 ability to discriminate between women who need more intensive monitoring and those who do not 207 could greatly benefit health care systems worldwide. Type of HPV strain was not taken into 208 consideration for this study as it has been previously shown that type of strain has no effect on 209 clearance of the virus [17]. Also age was not taken into consideration even though it is considered to 210 be a factor influencing HR-HPV persistence because age was found not to be significantly different 211 between cases and controls in the original study (30.1±8.9 vs 31.6±9.5 years) [11]. With the increased 212 portability of mass spectrometers and therefore the reduced cost this technique becomes more 213 affordable for low and middle income countries. The method is fast, uses a very small amount of 214 sample, and could readily be integrated into existing care protocols in different settings without any 215 added complex sample preparation [18].

216 **5 Future Perspectives**

217 Current screening and triage for cervical cancer risk rely on HR-HPV testing and a cytological 218 assessment of cervical cell abnormality, which is difficult to classify at the low grade end of cervical 219 cell abnormality. This is important because, given that there is no diagnostic tool for HR-HPV 220 persistence, women with HR-HPV infection and low grade abnormality or normal cells, are generally 221 not treated but recalled at a later date, with an associated loss to follow up. Metabolite profiling 222 could become an integral part of screening and triage for cervical cancer risk, particularly in low and 223 middle income countries, where significant progress is being made to introduce screening programs, 224 involving low cost HPV testing and cytological examination. 225 As the use of MS is increasing in clinical laboratories, due to improved knowledge, simplification of

technology, changing perceptions and training, this novel and rapid method has real potential value

227 for the prediction of HPV persistence and offers considerable efficiency savings in the prevention of

this cancer type since patient recall could be targeted effectively to those most at risk.

229 6 Executive Summary

230 Background

231	 Screening and diagnosis for cervical cancer requires time consuming and labour intensive
232	cytology and in some countries also includes identification of the presence of high risk
233	human papillomavirus (HR-HPV). With the advent of rapid metabolic profiling techniques
234	and the introduction of portable mass spectrometers we examined whether cells
235	distinguished by their cytology and the presence of a persistent HPV infection, could be
236	easily differentiated by their metabolite profile.
237	Experimental
238	• Direct injection electrospray mass spectrometry (DIMS) was used for rapid metabolite
239	profiling of cervical cell samples in a non-targeted double blind experiment.
240	• Cell extracts were prepared using a routine DNA extraction procedure and the resulting
241	supernatant, normally discarded, was analysed by mass spectrometry.
242	• Data were interpreted using principal component analysis (PCA).
243	Results and Discussion
244	• The samples analysed had been collected from women infected with high risk human
245	papillomavirus (HR-HPV) and diagnosed with one of two grades of cervical cytology and
246	exhibiting either HPV persistence or clearance.
247	• The metabolite profiles obtained clearly differentiated samples with different grades of cell
248	abnormality and HPV persistence or clearance.
249	Conclusion
250	• The results indicate strongly that a simple metabolite extraction procedure and mass
251	spectrometric profiling method could be used to rapidly identify women at increased risk of
252	HPV persistence
253	

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- 308

309 **Compliance with Ethical Standards**

- 310 Ethics approval for use of samples from the ARTISTIC study was obtained from Multicentre Research
- 311 Ethics Committee, North West, UK (MREC 00/8/30)
- 312
- 313 Keywords
- 314 Cervical Cancer
- 315 HPV
- 316 Mass Spectrometry
- 317 Metabolomics
- 318 Metabolite Profiling
- 319
- 320