A thermoregulation model for whole body cooling hypothermia

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Abstract

This paper presents a thermoregulation model based on the finite element method to perform numerical analyses of brain cooling procedures as a contribution to the investigation on the use of therapeutic hypothermia after ischemia in adults. The use of computational methods can aid clinicians to observe body temperature using different cooling methods without the need of invasive techniques, and can thus be a valuable tool to assist clinical trials simulating different cooling options that can be used for treatment. In this work, we developed a finite element method (FEM) package using isoparametric linear three-dimensional elements which is applied to the solution of the continuum bioheat Pennes equation. Blood temperature changes were considered using a blood pool approach and a lumped analysis for intravascular catheter methods of blood cooling. Some analyses are performed using a three-dimensional mesh based on a complex geometry obtained from computed tomography medical images, considering a cooling blanket and an intravascular catheter. A comparison is made between the results obtained with the two techniques and the effects of each case in brain temperature reduction in a required period of time, maintainance of body temperature at moderate hypothermia levels and gradual

Preprint submitted to Journal of Thermal Biology

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rewarming.

Keywords: thermoregulation, hypothermia, brain cooling, intravascular catheter, finite element method

1 1. Introduction

Therapeutic hypothermia is a medical treatment used to reduce the damages 2 caused by ischemic diseases that lead to a hypoxic condition in the internal organs. The brain is the most vunerable organ to this condition [Tisherman and Sterz, 2005], which can be caused by cardiac arrest, arteries occlusion and cerebral trauma. After an hypoxic-ischemic event, also called primary phase of energy failure, cerebral oxidative metabolism is restored [Christiansen, Rakhilin, Tarakanova, and Wong, 2010]. However, a second energy failure phase may oc-8 cur in the first few hours after the ischemia, and there is a critical time when secondary factors such as hypotension, hypoxia, hyperglycemia and hyperther-10 mia may occur and cause brain cell damage [Hickey and J.Painter, 2006]. The 11 window for hypothermic treatment occurs between the primary and secondary 12 energy failure stages, and consists in reducing the brain temperature to a mild 13 $(35-36^{\circ}C)$ or moderate $(32-35^{\circ}C)$ hypothermic state, depending on the type 14 of intervention. This reduction results in a decrease of metabolic activities and 15 other hazardous biochemical effects, offering protection and limiting the damage 16 in the affected tissues. 17

In animal trials, an improvement of neurological sequels was observed after hypothermia treatment within six hours of injury [Eicher, Wagner, Katikaneni, Hulsey, Bass, Kaufman, Horgan, Languani, Bhatia, Givelichian, Sankaran, and Yager, 2005], even when only a small reduction $(1 - 2^{\circ}C)$ is achieved [Diao, Zhu, and Wang, 2003]. Nozari *et al.* [Nozari, Safar, Stezoski, Wu, Kostelnik, Radovsky, Tisherman, and Kochanek, 2006] state that mild or moderate hypothermia induced during cardiopulmonary resuscitation opens a window of time to restore spontaneous circulation, minimizes organ injury and enables
intact survival in dogs.

For adults, the most usual type of hypothermia treatment is whole body cooling (WBC) using thermal blankets and thermal mattresses, the application of ice pads, intracarotid infusion of cold fluid or intravascular catheter. The efficacy of each method is still under discussion, as there is no consensus so far about which of them would have a better effect in reducing sequels.

Multiple studies also suggest that, after the treatment, a gradual rewarming 32 phase is really important. Zhu et al. [Zhu, Schappeler, Cordero-Tumangday, 33 and Rosengart, 2009] state that rapid rewarming may result in rebound intracra-34 nial pressure elevation to dangerous levels and reduction of cerebral perfusion 35 pressure, worsening outcome in brain injuries, emphasizing the importance of 36 gradual rewarming. For this reason, it is suggested that the process should \mathbf{a} 37 be conducted at a rate of less than $0.5^{\circ}C/h$ [Hoque, Chakkarapani, Liu, and 38 Thoresen, 2010]. 39

In recent years, different models were developed to simulate the human ther-40 moregulatory behaviour, from two-node models of core and skin heat balances to 41 more complex multi-segment models of the human body and its thermoregula-42 tory responses [Fiala, Lomas, and Stohrer, 1999]. The latter model incorporates 43 concepts of physiological regulation to predict human thermal responses and 44 body heat loss at various activity levels and thermal environments [Al-Othmani, 45 Ghaddar, and Ghali, 2008]. Practical examples can be found in different appli-46 cations [Kingma, Vosselman, Frijns, Steenhoven, and Lichtenbelt, 2014, Fiala, 47 Lomas, and Stohrer, 1999, Al-Othmani, Ghaddar, and Ghali, 2008]. 48

Early attempts to develop head cooling models did not consider arterial temperature changes [Dennis et al., 2003, Leeuwen et al., 2000]. According to Zhu and Diao [2001], the arterial temperature is the major determinant of the temperature in the body tissues, being responsible for a protective effect against external cooling. The blood flow in the circulatory system is responsible for the thermoregulation in the tissues. During hypothermia, hyperthermia or changes in the environment, it works regulating the local temperature [Bhowmik, Singh,

Repaka, and Mishra, 2013]. As the arterial temperature regulates the local 56 tissue temperature, hypothermia simulation models must consider arterial tem-57 perature changes. The work of Al-Othmani et al. [Al-Othmani, Ghaddar, and 58 Ghali, 2008] uses an arterial system model to calculate blood flow in the core 59 tissue and a bioheat model to determine skin temperature for nude and clothed 60 human bodies in transient non-uniform environments. The model presented in 61 [Fiala, 1998] incorporates the body heat losses considering a non-uniform tem-62 perature distribution in the skin, regulatory responses, properties of clothing 63 used and various environmental conditions such as extreme temperatures, wind 64 speed and solar radiation. Xiang and Liu [Xiang and Liu, 2008] use a com-65 partmental model of 12 body segments and a blood compartment to simulate 66 whole body hyperthermia treatments for tumours. In [Laszczyk and Nowak, 67 2015b, Silva, Laszczyk, Wrobel, Ribeiro, and Nowak, 2016, a heat transfer 68 model was implemented to simulate hypothermia treatment in neonates using a 69 three-dimensional geometry obtained from magnetic resonance imaging (MRI) 70 scans. In [Zhu, Schappeler, Cordero-Tumangday, and Rosengart, 2009], a nu-71 merical model for whole body intravascular cooling was developed and applied to 72 a human body consisting of a cylinder of one material and a combination of com-73 ponents representing torso, head and limbs. The work calculated a $1.2^{\circ}C/hour$ 74 cooling rate for a cooling capacity of 100W and suggested the method can be 75 used to reduce critical fever of $40^{\circ}C$ or hypothermia of $34^{\circ}C$ in less than 3 76 77 hours.

For the rewarming phase of the hypothermia therapy, the simulation of the 78 rewarming procedure by [Diao, Zhu, and Wang, 2003] considering a passive 79 rewarming, taking off the helmet or icepacks and considering the room temper-80 ature of $25^{\circ}C$, showed the need for more studies in this part of the procedure, as 81 the passive rewarming in this case was too rapid. Some studies using a mattress 82 to simulate hyperthermia conditions [Vallez, Plourde, and Abraham, 2016] used 83 experimental data to determine some parameters that can be used not only on 84 the rewarming stage but also during the whole procedure of hypothermia using 85 a cooling mattress. 86

In this work, the Pennes bioheat equation was used to simulate bioheat 87 transfer in the human body, and the model that represents heat exchange on 88 the circulatory system described in [Fiala, 1998] was implemented in a three-89 dimensional finite element code to simulate hypothermia treatments in adults. 90 The in-house software was developed at the Structure and Materials Labora-91 tory at the Federal University of Rio de Janeiro, as a continuation of the work 92 of [Silva, 2012, 2016]. Numerical analyses of whole body cooling methods were 93 performed to compare the efficacy of cooling mattress and intravascular catheter 94 procedures during rapid cooling, maintenance of cooling and rewarming phase 95 of the therapy. A thermoregulation model capable of simulating a real cooling 96 therapy can be used to assist clinical trials for hypothermia techniques, sim-97 ulating the best options to be used during treatment and improving low cost 98 methods that could be used in hospitals and clinics that cannot afford expensive 99 techniques. 100

101 2. Methodology

102 2.1. Bioheat Transfer

In this paper, the calculation of a whole body thermal analysis will be based on
a blood perfusion continuum macro-scale bioheat model developed by Pennes
[Bhowmik, Singh, Repaka, and Mishra, 2013]:

$$\rho_t c_t \frac{\partial T_t}{\partial t} = \nabla \cdot (k_t \nabla T_t) + \rho_b c_b \omega_b (T_a - T_t) + \dot{q}_m \tag{1}$$

and represents the bioheat flux in a domain Ω . The symbol T is the temperature and the subscripts t, b, a and m represent tissue, blood, arterial blood and metabolism, respectively. The material properties defined in the equation are: k (thermal conductivity), c (specific heat), ρ (density) and ω (blood perfusion rate). The metabolic heat generation rate is represented by \dot{q}_m . The values of the parameters will be defined in the next section.

For each tissue of the body are defined different properties. Prescribed temperatures $\overline{T}(\Gamma_t t)$ in the boundary Γ_t and heat fluxes $\overline{q}(\Gamma_q, t)$ in the boundary Γ_q are defined as boundary conditions in the boundary $\Gamma = \Gamma_t \cup \Gamma_q$. The initial condition is

$$T(x,t_0) = T_0,$$
 (2)

where T_0 is the initial temperature in each tissue, which may vary according to the position within the body.

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119 2.2. Metabolism

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The metabolic heat generation rate in each tissue is composed of the basal rate $\dot{q}_{m,0}$, and an additional rate $\Delta \dot{q}_m$ generated by a local thermoregulation activity [Fiala, 1998]:

$$\dot{q}_m = \dot{q}_{m,0} + \Delta \dot{q}_m \tag{3}$$

124 The rate $\Delta \dot{q}_m$ is composed of three terms:

$$\Delta \dot{q}_m = \Delta \dot{q}_{m,0} + \Delta \dot{q}_{m,sh} + \Delta \dot{q}_{m,w} \tag{4}$$

where the local basal metabolic variation is $\Delta \dot{q}_{m,0}$ and variations due to changes in metabolism are represented by the terms $\Delta \dot{q}_{m,sh}$ and $\Delta \dot{q}_{m,w}$. These variations are caused by shivering and muscular effort, and occurs only in muscular tissues. The local basal metabolic variation can be calculated by [Fiala, Lomas, and Stohrer, 1999]:

$$\Delta \dot{q}_{m,0} = \dot{q}_{m,0} \left[Q_{10}^{\frac{T_t - T_0}{10}} - 1 \right] \tag{5}$$

where T_0 is the temperature of thermal neutrality, equal to $30^{\circ}C$ and the Q_{10} coefficient is responsible for changes in the metabolic heat generation rate and blood perfusion rate due to changes in the temperature of the tissues, defined from experimental measurements to be in a range between 2 and 4 and usually considered as equal to 2 [Fiala, Havenith, Bröde, and B. Kampmann, 2012]. The shivering effect may be neglected because it may be controlled in a medical procedure for adults. The muscular response may also be omitted since the
hypothermia treatment does not involve muscular activities that may increase
the metabolic heat generation at a significant level.

139 2.3. Arterial Temperature

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In Eq. (1) the term that represents the blood perfusion considers that the 141 heat exchange between blood and tissues occurs only on the capillary vessels, 142 but adjacent arteries and veins exchange heat in the body extremities, where 143 the blood is colder than in the core. These effects must be considered in the 144 model, as the tissue temperature influences the arterial blood temperature. To 145 take this effect into account the arterial temperature calculation is performed by 146 a circulatory system model described in [Fiala, 1998, Silva, Laszczyk, Wrobel, 147 Ribeiro, and Nowak, 2016]. 148

This model assumes that the arterial temperature has different values in different regions of the human body, called sectors. The central sectors have an arterial temperature equal to the blood pool temperature while the arterial temperature of the extremities is influenced by the countercurrent heat exchange effect. Assuming mass continuity in blood vessels and the net flow rate from the equation of Gordon [Gordon, 2001], the arterial temperature can be calculated as:

$$T_a = \frac{\dot{m_b} c_b T_p + h_x T_v}{\dot{m_b} c_b + h_x} \tag{6}$$

In the above equation, T_p is the blood pool temperature, T_a and T_v are the arterial and venous temperatures and h_x is the counter current heat exchange coefficient, considered as zero in the core and with defined values obtained from experimental measurements for the extremities of the body [Fiala, Lomas, and Stohrer, 1999].

As the bioheat equation assumes capillary blood is in equilibrium with the surrounding tissue[Fiala, 1998], the calculation of T_v in a body element can be ¹⁶³ obtained as follows:

$$T_{v_{element}} = \frac{\int \omega_b \, T_t \, dV}{\int \omega_b \, dV} \tag{7}$$

This means the venous blood leaving the body element is equal to the local
tissue temperature of the element.

The implementation presented here is similar to the model applied for neonates described in [Laszczyk and Nowak, 2015a, Silva, Laszczyk, Wrobel, Ribeiro, and Nowak, 2016], and is a fully continuum three-dimensional model that considers that all sectors of the body are connected and all surfaces exchange heat. The numerical procedure to calculate T_a , T_v and T_p will be shown in Section 2.7.

171 2.4. Blood Perfusion Rate

The blood perfusion rate $\omega_{b,t}$ in a specific tissue can be described as a composition of two terms:

$$\omega_{b,t} = \omega_{b,0,t} + \Delta \omega_{b,t} \tag{8}$$

where $\omega_{b,0,t}$ stands for the local basal blood perfusion rate and $\Delta \omega_{b,t}$ is a local temperature-dependent variation. Assuming that the local blood perfusion rate is coupled with the local metabolic heat generation [Diao, Zhu, and Wang, 2003], this local variation may be calculated as:

$$\Delta\omega_{b,t} = \omega_{b,0,t} \left[Q_{10}^{\frac{T_t - T_0}{10}} - 1 \right]$$
(9)

Similarly to Eq.(5), the reference temperature T_0 is the temperature of thermal neutrality and the Q_{10} coefficient is usually considered as equal to 2.

181 2.5. External Heat Exchange

The external heat exchange is sum of the contributions of three main mechanisms: convection, radiation and evaporation. The heat exchange rate varies ¹⁸⁴ along the body surface, and the heat flux consists of the convective, radiative¹⁸⁵ and evaporative fluxes:

$$q_{skin} = q_{conv} + q_{rad} + q_{evap} \tag{10}$$

The convective flux q_{conv} between the environment and the body boundaries consists of the skin surface and it can be obtained using the Newton cooling law, defined as

$$q_{conv} = h_{conv} \cdot (T_{ext} - T_{skin}) \tag{11}$$

The symbol T_{ext} represents the external air temperature and h_{conv} is the convective heat transfer coefficient.

¹⁹¹ The radiative flux is calculated using the Stefan-Boltzmann law:

$$q_{rad} = h_{rad} (T_{skin}^4 - T_{sr,mean}^4) \tag{12}$$

where T_{skin} , $T_{sr,mean}$ and h_{rad} are the temperatures at the skin surface, the temperature of the radiation source on the exterior of the domain, and a radiative parameter, respectively, and

$$h_{rad} = \sigma \varepsilon \tag{13}$$

¹⁹⁵ in which σ refers to the Stefan-Boltzmann constant and ε is the emissivity of ¹⁹⁶ the external skin surface. The value of the emissivity varies according to the ¹⁹⁷ surface material.

The evaporative flux was incorporated in the model as a prescribed heat flux boundary condition to consider heat losses by evaporation, based on values described in the literature. In the applications described in this paper the basal evaporation rate from the skin was considered as 18W (taken from [Fiala, Havenith, Bröde, and B. Kampmann, 2012]).

Respiration losses were incorporated on the material thermal properties of the trunk/head sectors, as respiration can be responsible for a loss of 25% of whole-body metabolic heating [Vallez, Plourde, and Abraham, 2016]. The value of the external heat transfer coefficient may be adjusted to simulate clothed or unclothed situations as discussed in [Al-Othmani, Ghaddar, and Ghali, 2008].

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210 2.6. Lumped Analysis for Blood Cooling

One of the most effective ways to reduce body temperature is to use in-211 travascular cooling catheters that directly cool the major veins and can achieve 212 cooling rates of $5.0^{\circ}C/hour$ depending on the capacity of the device [Dae, Gao, 213 Ursell, Stillson, and Sessler, 2003]. In these procedures, the blood temperature is 214 actively lowered or increased. The simulation of blood cooling for hypothermia 215 treatments using methods applied directly to the blood vessels, as intravenous 216 saline fluid infusion or an intravascular catheter, needs an additional equation 217 coupled to the Pennes bioheat equation to account for the tissue-blood thermal 218 interactions. 219

The model implemented in this paper considers the energy balance of a 220 blood compartment as a lumped system that combines the energy added or 221 subtracted by an external device and the loss of heat from blood to tissues during 222 circulation. This model, adapted from [Zhu, Schappeler, Cordero-Tumangday, 223 and Rosengart, 2009, provides a method to obtain blood and body temperatures 224 during active blood temperature modifications and is capable of simulating the 225 stages of cooling and rewarming of blood during hypothermia procedures to 226 treat strokes and brain damages in adults. 227

The mathematical model consists of a coupled simulation of body temperature distribution and blood energy balance, and couples the Pennes bioheat equation (Eq. 1) and an equation of energy balance of the blood compartment of the body to predict blood temperature change during clinical aplications. Because of the relatively short recalculation time, blood in the human body is represented as a lumped system. The governing equation for the blood temperature can be written as [Zhu, Schappeler, Cordero-Tumangday, and Rosengart, 235 2009]

$$\rho_b c_b V_b \frac{dT_a}{dt} = Q_{ext}(T_a, t) - Q_{b-t}(t) = Q_{ext}(T_a, t) - \rho_b c_b \overline{\omega} V_{body}(T_a - \overline{T_t}) \quad (14)$$

where Q_{ext} represents the capacity of the external device and can be a function of arterial temperature or time, and V_{body} stands for the volume of the body. The parameter $\overline{\omega}$ is the mean volumetric blood perfusion rate, calculated by:

$$\overline{\omega} = \frac{1}{V_{body}} \iiint_{V_{body}} \omega dV_{body} \tag{15}$$

239 and $\overline{T_t}$ is the weight-average tissue temperature, defined by the relation:

$$\rho c\omega (T_{a0} - \overline{T_t}) V_{body} = \iiint_{V_{body}} \rho c\omega (T_{a0} - T_{t0}) dV_{body}$$
(16)

where T_{a0} stands for the arterial temperature before time step $t + \Delta t$ and T_{t0} represents the tissue temperature before time step $t + \Delta t$. At steady-state, the arterial temperature T_a should be the same as the weight-average tissue temperature $\overline{T_t}$.

The numerical procedure for the implementation of this model will be shown in the next section.

246 2.7. Numerical Model

The solution of the Pennes bioheat equation and the circulatory model de-247 scribed in section 2.4 is obtained using the finite element method The transient 248 problem is solved using a time-marching scheme based on a semi-discrete form 249 of the finite element method (FEM). The numerical model is described in [Silva, 250 Laszczyk, Wrobel, Ribeiro, and Nowak, 2016]. Considering Eq. (1) in a spa-251 tial domain Ω and a temporal interval $(0, \Pi)$, the domain Ω is discretized into 252 elements and at each time step $t = t_{n+1}$, the following system of algebraic 253 equations is obtained: 254

$$M \dot{T}_{n+1} + K T_{n+1} = F_{n+1} \tag{17}$$

where M is the mass matrix, \dot{T}_{n+1} stands for the nodal values of the time derivative of temperature, K is the stiffness matrix, T_{n+1} are the nodal temperatures at time step t_{n+1} and F_{n+1} is the vector of independent terms. The coefficients of these matrices are calculated as follows:

$$m_{ij} = \int_{\Omega} c_t \rho_t N_i N_j d\Omega \tag{18}$$

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$$K_{ij} = k_t \int_{\Omega} \left(\frac{\partial N_i}{\partial x} \frac{\partial N_j}{\partial x} + \frac{\partial N_i}{\partial y} \frac{\partial N_j}{\partial y} + \frac{\partial N_i}{\partial z} \frac{\partial N_j}{\partial z}\right) d\Omega + \int_{\Omega} c_b \rho_b \omega_b N_i N_j d\Omega \quad (19)$$

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$$f_i = \int_{\Omega} \dot{q}_m N_i d\Omega - \int_{\Gamma} \overline{q} N_i d\Gamma + \int_{\Omega} c_b \rho_b \omega_b T_a N_i d\Omega \tag{20}$$

The counter current heat exchange effect and the changes in arterial temperature are calculated for each sector $T_{a,l}$ as

$$T_{a,l} = \frac{\rho_b c_b \left(\sum_{i=1}^{N_l} \omega_{b,t,l} V_{i,t,l}\right) T_p + h_{x,l} T_{v,l}}{\rho_b c_b \left(\sum_{i=1}^{N_l} \omega_{b,t,l} V_{i,t,l}\right) + h_{x,l}}$$
(21)

where the subscript l denotes the sector of the body, the number of elements in each sector l is denoted by N_l and the volume of element i of the tissue t in the sector l is $V_{i,t,l}$.

As the venous temperature of each element is equal to the tissue temperature, to calculate the venous temperature $T_{v,l}$ in each sector, Eq. (7) can be incorporated to the numerical model as:

$$T_{v,l} = \frac{\rho_b \, c_b \left(\sum_{i=1}^{N_l} \omega_{b,t,k} \, V_{i,t,l} T_{i,t,l} \right)}{\rho_b \, c_b \left(\sum_{i=1}^{N_l} \omega_{b,t,l} \, V_{i,t,l} \right)} \tag{22}$$

²⁶⁹ The blood pool temperature can be written as:

$$T_{p} = \frac{\sum_{l=1}^{L} \left[\frac{\rho_{b} c_{b} \left(\sum_{i=1}^{N_{l}} \omega_{b,t,l} V_{i,t,l} \right) \rho_{b} c_{b} \left(\sum_{i=1}^{N_{l}} \omega_{b,t,l} V_{i,t,l} T_{i,t,l} \right)}{\rho_{b} c_{b} \left(\sum_{i=1}^{N_{l}} \omega_{b,t,l} V_{i,t,l} \right) + h_{x,l}} \right]} \qquad (23)$$

$$\sum_{l=1}^{L} \left[\frac{\left[\rho_{b} c_{b} \left(\sum_{i=1}^{N_{l}} \omega_{b,t,l} V_{i,t,l} \right) \right]^{2}}{\rho_{b} c_{b} \left(\sum_{i=1}^{N_{l}} \omega_{b,t,l} V_{i,t,l} \right) + h_{x,l}} \right]}$$

where L is the total number of sectors in the body and $T_{i,t,l}$ is the temperature of each element i of tissue t in sector l. For the treatment of the non-linearities a predictor multi-corrector algorithm was used [Hughes, 1987], as described in [Silva, Laszczyk, Wrobel, Ribeiro, and Nowak, 2016].

For the method described in section 2.7 for blood cooling applications in adults, the transient problem represented by Eq. (14) can be discretized by finite differences. The implicit discretization scheme results in the calculation of the arterial temperature as:

$$T_a^{n+1} = \frac{\frac{Q_{ext}\Delta t}{\rho_b c_b V_b} + T_a^n + \overline{T_t^n}(\frac{\rho_b c_b \overline{\omega} V_{body}\Delta t}{\rho_b c_b V_b})}{1 + \frac{\rho_b c_b \overline{\omega} V_{body}\Delta t}{\rho_b c_b V_b}}$$
(24)

In this case, the same iterative solver and element calculation procedures of the previous model is used. The calculation of the temperature T_a^{n+1} is performed before step 5 at each new time step.

281 3. Applications

The geometrical model used for simulations of adults was obtained by segmentation of 3D medical images (CT scans) of the Visible Human Data Set (VHD) provided by the National Library of Medicine, US Department of Health and Human Services. The medical images were used to generate the geometry using the software *MIMICS* and adapted using the packages *ANSYS Workbench* and *Trelis* to generate a 13 million mesh of a male adult with a body weight of 100kg, body surface area of $2.27m^2$, 1.88m height, a cardiac output of 6l/minand 28% body fat content. The total basal whole body metabolism is 106W and basal evaporation rate from the skin of 18W (taken from [Fiala, Havenith, Bröde, and B. Kampmann, 2012]). The simulations were performed using a platform comprised by two Xeon E5 - 5420 processors with 64GB of RAM and 12 cores.

The geometry of the body is composed of eight different materials: skin+fat, muscle, bone, brain, viscera, lungs, eyes and cerebrospinal fluid). For the calculation of the arterial temperature, the body is divided in six sectors: trunk + abdomen, head, arm, hand, leg, foot. The simulations where performed in a geometry of half of the body, due to symmetry. The materials and division into sectors are depicted in Figures 1-4.



Figure 1: Geometry of the male adult



Figure 2: Geometry of the male adult, internal organs



Figure 3: Geometry of the tissues



Figure 4: Division into sectors

The finite element mesh consisted of 13 million four-node tetrahedral elements. A zoom in the upper region of the body is shown in Figure 5.



Figure 5: Zoom -Mesh of 13.0 million elements

The thermophysiological properties of the human tissues were taken from 302 the literature, adapted from [Vallez, Plourde, and Abraham, 2016, Fiala, 1998, 303 Hasgall, Gennaro, Baumgartner, Neufeld, Gosselin, Payne, Klingenbock, and 304 Kuster, 2015]. Table 1 shows the tissue thermophysiological properties used in 305 this simulation. Metabolic heat generation rates used in the simulation consider 306 the impact of respiration on heat loss corresponding to 25% of the whole-body 307 metabolic heating [Vallez, Plourde, and Abraham, 2016], distributed over the 308 elements belonging to the sectors trunk+abdomen and head. The counter cur-309 rent heat exchange coefficients were taken from [Fiala, 1998] and are presented 310 in Table 2. 311

Table 1: Thermophysiological properties of the different tissues									
Material properties									
Tissue	Thermal	Density	Specific	Metabolic	Blood				
	Con-	(kg/m^3)	Heat	Heat	Perfusion				
	ductivity		$(J/kg.^{o}C)$	Genera-	Rate $(1/s)$				
	$(W/m.^{o}C)$			tion Rate					
				(W/m^3)					
Blood	0.5	1050	3800	-	-				
Eye	0.43	1076	4200	0	0				
Lungs	0.39	394	3886	1835	0.0008677				
Skin + Fat	0.2	877	2727	170	0.0003146				
Cerebrospinal0.57		1007	380	0	0				
fluid									
Bones	1.16	1300	1590	0	0				
Muscle	0.5	1050	3770	528	0.0005355				
Viscera	0.55	1100	3350	3160	0.004532				
Brain	0.53	1360	2450	12954	0.013124				

Table 2: Counter current heat exchange coefficient of the seven sectors of the body

Countercurrent heat exchange coefficient - $h_{xc} (W/^o C)$									
Sector	Head	Trunk+Abdomen	Arm	Hand	Leg	Foot			
	0.000	0.000	4.13	0.57	6.2	1.45			

The first example used to validate the adult geometry and tissue properties in these simulations was taken from [Vallez, Plourde, and Abraham, 2016, Fiala, Lomas, and Stohrer, 1999] and consists of a male human in an environment in thermal neutrality. The boundary conditions consist of a convective heat flux at the skin surface (Eq.11). The skin surface was exposed to a room temperature of $30^{\circ}C$ and a heat transfer coefficient value of $7W/m^2 \cdot {}^{\circ}C$ was used, as defined in [Vallez, Plourde, and Abraham, 2016, Fiala, Lomas, and Stohrer, 1999].

The simulation of the WBC procedure was based on temperature results 319 found in different clinical studies [Wang, Olivero, Lanzino, Elkins, Rose, and 320 Honings, 2004, Yang, Ou, and Chen, 2006, Harris, Muh, Surles, Pan, Rozycki, 321 and Macleod, 2009, Callaway, Tadler, Katz, Lipinski, and Brader, 2002] and the 322 boundary conditions of the numerical simulations [Fiala, 1998, Vallez, Plourde, 323 and Abraham, 2016, Laszczyk and Nowak, 2015a] were considered to perform 324 analyses that match the same values obtained in the clinical trials. The target 325 was to reduce core temperature to around $34^{\circ}C$ after 1-4 hours, maintaining 326 the temperature at this level during 24 hours and then rewarming the body at 327 a rate of $0.15 - 1.45^{\circ}C/hour$. Although the body temperature can be higher 328 or lower than the normal temperature depending on the trauma, the examples 329 presented in this work consider an initial body temperature of $37^{\circ}C$. 330

Whole body cooling simulations were performed considering a cooling mat-331 tress on the bottom part of the body, where convective heat fluxes were pre-332 scribed using Eq. 11, and heat transfer to the room environment on the top part, 333 with convective heat fluxes prescribed using Eq. 11. Evaporative heat fluxes 334 were also considered on the top part of the body as a prescribed heat flux, cal-335 culated using the basal evaporation rate from the skin. For the top part, the 336 total heat flux at the skin surface is composed by the sum of convective and 337 evaporative heat fluxes: 338

$$q_{skin} = q_{conv} + q_{evap} \tag{25}$$

and the evaporative heat flux q_{evap} can be calculated according to

$$q_{evap} = \frac{Q_{evap}}{A_{top}} \tag{26}$$

where Q_{evap} represents the basal evaporation rate and A_{top} the area of the top surface of the body. The flux per unit of area was given at each external boundary surface element to calculate the equivalent nodal loads. Both boundary
regions are depicted in Fig. 6.



Figure 6: Top (green) and bottom (black) surfaces used to prescribe boundary conditions in whole body cooling

For the top surface, a room temperature of $25^{\circ}C$ was prescribed and a con-344 vective heat transfer coefficient of $7W/m^2$. C is used. The contact between body 345 and cooling mattress is simulated by a convective flux prescribed at the bottom 346 surface, considering an initial temperature of $10^{\circ}C$ during the first 2 hours of 347 analysis. After this initial rapid cooling, the cooling mattress temperature is 348 set to $33^{\circ}C$ during 22 hours. The convective heat transfer coefficient was set 349 to $10W/m^2$.°C, based on experimental studies found in [Vallez, Plourde, and 350 Abraham, 2016]. A second subcase was performed considering a room tempera-351 ture of $20^{\circ}C$ and comparing the results. After 2 hours of simulation the cooling 352 mattress temperature has been set to $34^{\circ}C$ during the rest of the analysis. 353

The third example demonstrates the validity of our model for transient conditions. It consists of the simulation of the rewarming phase using the same boundary conditions of the cooling mattress case during 24 hours and then raising the temperature of the mattress. The mattress temperature was set to different values to compare core temperature behaviour. In this case, mattress temperatures of $37^{\circ}C$, $42^{\circ}C$ and $45^{\circ}C$ were used.

Test four demonstrates the viability of cooling using an intravascular catheter, with a novel numerical method adapted from [Zhu, Schappeler, Cordero-Tumangday, and Rosengart, 2009], which is used for the first time in a comprehensive whole body cooling model. For this case, the same boundary regions (top and bottom) of the previous case are used. The mattress in this case does not exchange heat and is considered as an insulated surface during the first hour of simula-

tion(prescribed heat fluxes at the bottom part are null). After the first hour, 366 the mattress temperature is set to $33^{\circ}C$ and the heat transfer coefficient of 367 $10W/m^2$. C is used (Eq.11). The top surface is subject to a convective flux 368 with a heat transfer coefficient of $7W/m^2$. C (Eq. 11) and a prescribed evap-369 orative heat flux based on the basal evaporation rate from the skin.. The heat 370 exchanged by the device is 100W during the first hour of simulation; after this 371 period, the device is turned off. The idea is to use the device for rapid cool-372 ing and then maintain the temperature at a hypothermia level using a cooling 373 mattress. 374

375 4. Results

In the first case, conditions of thermal neutrality were imposed and a tran-376 signt simulation was performed until a steady-state solution was obtained. The 377 core temperature was defined as equal to the blood pool temperature calculated 378 during the simulation. The core temperature was extracted and compared to 379 normothermic values of a human subject to a $30^{\circ}C$ room temperature. The 380 results showed a core temperature of $37^{\circ}C$, in agreement with values from other 381 studies [Vallez, Plourde, and Abraham, 2016, Fiala, 1998]. The mean skin sur-382 face temperature was $34.3^{\circ}C$, consistent with the results of published data for 383 neutrality conditions described by [Fiala, 1998], where the mean skin surface 384 temperature of $34.4^{\circ}C$ has been obtained. 385

The second case analyses core temperature during a whole body cooling treatment using a cooling mattress. Figure 7 shows changes in blood pool temperature during the 24 hour procedure.



Figure 7: Second case: Core temperature during a 24 hour treatment using a cooling mattress.

The results show a drop in the blood pool temperature to $34^{\circ}C$ after 2 hours of simulation and the core temperature reaches the minimum value of $33.8^{\circ}C$ at the end of the simulation. The temperature profile at the end of the analysis at the outer skin and internal organs is depicted in Fig. 8 and Fig. 9. It should be noted that the interface between the upper and bottom boundary conditions is a continuous field, not a step function as may be misinterpreted from Fig. 8



Figure 8: Second case: Internal temperature distribution after a 24 hour treatment.



Figure 9: Second case: Skin temperature distribution after a 24 hour treatment.

The temperature profile shows a minimum brain temperature of $34.1^{\circ}C$ at the end of the simulation. The temperature distribution at the top skin surface has a range between $24.6 - 30^{\circ}C$, and the minimum values are found in the extremities (hands and feet).

The third case analyzes core temperature during the rewarming phase of the WBC treatment. Three analyses were performed, considering the mattress temperature set to $37^{\circ}C$, $42^{\circ}C$ and $45^{\circ}C$. Figure 10 shows a comparison between the blood pool temperature during 7 hours of rewarming for the three cases analyzed.



Figure 10: Third case: Comparison between core temperature after a 24 hour hypothermia treatment using different mattress temperatures.

The results show a considerable influence of the mattress temperature in 404 the core temperature during the rewarming phase. The fastest rewarming rate 405 is $0.63^{\circ}C/hour$, for the mattress temperature of $45^{\circ}C$. Rewarming rates of 406 $0.47^{\circ}C/hour$ and $0.20^{\circ}C/hour$ were obtained for mattress temperatures of $42^{\circ}C$ 407 and $37^{\circ}C$, respectively. Based on these results, the more suitable rewarming 408 mattress temperature for a real case is $42^{\circ}C$. The core temperature during the 409 whole simulation (rapid cooling, cooling and rewarming) for the $42^{\circ}C$ rewarming 410 mattress temperature is shown in Fig. 11. 411



Figure 11: Third case: Core temperature during 24 hours of hypothermia and 6 hours of rewarming for mattress temperature of $42.0^{\circ}C$.

The temperature profile at the end of the analysis at the outer skin and internal organs is depicted in Fig 12 and Fig. 13.



Figure 12: Third case: Internal temperature distribution after rewarming.



Figure 13: Third case: Skin temperature distribution after rewarming.

The temperature profile shows the brain temperature reestablishes the normothermic value at the end of the simulation. The temperature distribution at the top skin surface has a range between $24.8 - 32^{\circ}C$, and the minimum values are found in the extremities (hands and feet).

A second simulation using the same parameters and a room external temperature of $20^{\circ}C$ is performed to compare the behaviour and distribution of temperatures for a decrease in the room temperature of $5^{\circ}C$. The comparison between core temperature during rapid cooling, cooling maintenance and rewarming is plotted in Fig. 14.



Figure 14: Third case: Comparison between core temperature during 24 hours of hypothermia and 6 hours of rewarming for mattress temperature of $42.0^{\circ}C$ for room temperature of $25.0^{\circ}C$ and $20.0^{\circ}C$.

The above figure shows a decrease of $5^{\circ}C$ in the room temperature reduces the minimum value of the core temperature to $33^{\circ}C$ after a 24 hour treatment. The rewarming rate for subcase B is $0.42^{\circ}C/hour$, 8.5% lower than the original case for external temperature of $25^{\circ}C$.

The fourth case considers the anatomical geometry shown in Figure 1 for 427 direct blood cooling. The simulation of an invasive procedure using an intravas-428 cular catheter uses an insulated mattress during the first hour of simulation 429 and a room temperature of $25^{\circ}C$. The blood cooling procedure is treated as an 430 external device with capacity of 100W applied during the first hour of simula-431 tion. After the first hour, the mattress temperature is set to $33^{\circ}C$ with a heat 432 transfer coefficient of $10W/m^2$. oC and the intravascular catheter is turned off. 433 Results of arterial temperature during 24 hours are shown in Fig. 15. 434



Figure 15: Fourth case: Arterial temperature during 24 hours of a mixed hypothermia procedure - intravenous catheter and cooling mattress.

The results show a drop in arterial temperature of $2.6^{\circ}C$ during the first hour of simulation. After this period, the cooling rate is reduced and the temperature drops from $34.4^{\circ}C$ to $33.6^{\circ}C$ during the next 23 hours of simulation.

438 5. Conclusion

The main goal of the work described in this paper was to develop a finite element model able to simulate bioheat transfer processes in adults, and to perform whole body cooling procedures as a treatment for brain traumas. The Pennes bioheat model was chosen to simulate the bioheat transfer processes in a macroscale and the blood pool approach described in [Fiala, 1998] was considered to take into account changes in the arterial temperature due to the circulatory system and heat transfer with the environment. For blood cool⁴⁴⁶ ing using an intravenous catheter, a blood cooling approach described in [Zhu,
⁴⁴⁷ Schappeler, Cordero-Tumangday, and Rosengart, 2009] was used.

The whole body cooling method applied to the adult body produced sat-448 isfactory results in reducing brain/core temperature to less than $34^{\circ}C$ in two 449 hours. The moderate hypothermia was maintained for 22 hours with a core 450 temperature around $34.0 - 33.8^{\circ}C$. As stated previously, the brain temperature 451 remained $0.2 - 0.3^{\circ}C$ above/below core temperature during the whole analysis. 452 The mattress had to be set to a temperature of $42^{\circ}C$ to allow a smooth increase 453 of core temperature, at a rate around $0.5^{\circ}C/hour$. Although this value is de-454 fined as the ideal rewarming rate, [Wang, Olivero, Lanzino, Elkins, Rose, and 455 Honings, 2004] reported values of $0.15 - 1.45^{\circ}C/hour$ measured on randomised 456 trials, showing that the rewarming procedures are not always able to maintain 457 cooling rates close to the ideal value. 458

The blood cooling simulation, considering an intravascular catheter, was able 459 to reduce core temperature to less than $34^{\circ}C$ in one hour. After the intravas-460 cular catheter was removed, the cooling mattress was set to a temperature of 461 $33^{\circ}C$. The mixed method was able to simulate a hypothermia treatment with 462 rapid cooling and maintenance of cooling at moderate hypothermia levels dur-463 ing 24 hours. Suggestions of a study using mixed methods, considering head 464 cooling methods to maintain cooling after induction of hypothermia with cold 465 intravenous fluids were mentioned in [Harris, Andrews, Murray, Forbes, and 466 Moseley, 2012, but no results were found in clinical trials mixing blood cooling 46 with WBC methods. 468

The results of different cooling methods demonstrate the importance of the developed model for the study of different cooling procedures. The simulations presented in this work reproduce a hypothermic treatment in a realistic adult body with results similar to values reported in the literature [Harris, Andrews, Murray, Forbes, and Moseley, 2012, Hoque, Chakkarapani, Liu, and Thoresen, 2010, Unit, 2006]. This opens the way for the optimization of the treatment on a patient-specific basis.

476

The major limitations in the use of this type of model are associated with

the correct definition of the geometry and the input parameters, very important 477 to obtain good results. In the cases discussed here, the FEM mesh was gener-478 ated based on a geometry obtained from CT scans, so the model for the human 479 body is based on a real geometry. One of the greatest difficulties of the numer-480 ical model is the determination of the correct parameters to guarantee that the 481 analysis corresponds to the real case, as small differences in some of the param-482 eters can result in substantial differences in temperature, as demonstrated by 483 the sensitivity analysis in [Silva, Laszczyk, Wrobel, Ribeiro, and Nowak, 2016]. 484 A sensitivity analysis performed for a neonate model [Silva, Laszczyk, Wrobel, 485 Ribeiro, and Nowak, 2016] shows the importance of correctly determining input 486 parameters as external temperature and heat transfer coefficient. For the correct 487 determination of parameters, at this stage of the research literature values were 488 used, adapted from other numerical simulations [Vallez, Plourde, and Abraham, 489 2016, Fiala, 1998] and from an experimental database source [Hasgall, Gennaro, 490 Baumgartner, Neufeld, Gosselin, Payne, Klingenbock, and Kuster, 2015]. 491

Calibration of the model using real hypothermia cases could be used as a starting point to test cost-effective methods for brain/body cooling. Experiments for determination of the convective heat transfer coefficient should be conducted to establish a standard database for bioheat transfer in the human body. After validation, tests of cost-effective methods could be used as a standard protocol in clinics and hospitals for public health care, reducing neurological damages after cerebral traumas.

Although the benefits of hypothermia for post-traumatic brain injuries in 499 adults is widely known, the uncertainties about effectiveness and robust evi-500 dence of reducing brain temperature during clinical trials makes it difficult to 501 define a cooling method to be used for each case of trauma. Whole body cooling 502 is a promising method that still needs further research and more robust evidence 503 of temperature reduction. Studies should describe clear baseline temperatures, 504 duration of cooling, temperatures achieved and temperature changes with cool-505 ing, along with side effects of each method. In this way, joint research between 506 engineers and clinicians could fill some empty spaces and collaborate to reduce 507

⁵⁰⁸ post-traumatic neurological damage in patients suffering brain traumas.

Although the development of this three-dimensional finite element model was conducted with the objective of investigating hypothermia treatments, it can also be adapted to predict body temperature changes during exercises and different heat exposures. The numerical tool presented here can be improved and many additional time or temperature-dependent parameters can be added to simulate transient body temperature on different applications.

515

Acknowledgements: We thank the Carlos Chagas Filho Research Foundation of the
State of Rio de Janeiro (FAPERJ) and the Coordination for the Improvement of Higher
Education Personnel (CAPES), both in Brazil, for the financial support.

519

520 Conflicts of Interest: None

521 Funding: Carlos Chagas Filho Research Foundation of the State of Rio de

522 Janeiro (FAPERJ) and the Coordination for the Improvement of Higher Ed-

⁵²³ ucation Personnel (CAPES)

524 Ethical Approval: Not required

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