

1 **The effects of age and sex on mechanical ventilatory constraint and dyspnea during**
2 **exercise in healthy humans**

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19 Running Head: Age and sex differences in respiratory mechanics and dyspnea

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28 **Abstract**

29 We examined the effects of age, sex, and their interaction on mechanical ventilatory constraint
30 and dyspnea during exercise in 22 older (age=68±1y, n=12 women) and 22 younger (age=25±1y,
31 n=11 women) subjects. During submaximal exercise, older subjects had higher end-inspiratory
32 (EILV) and end-expiratory (EELV) lung volumes than younger subjects (both $p<0.05$). During
33 maximal exercise, older subjects had similar EILV ($p>0.05$), but higher EELV than younger
34 subjects ($p<0.05$). No sex-differences in EILV or EELV were observed. We observed that
35 women had a higher work of breathing (W_b) for a given minute ventilation ($\dot{V}_E \geq 65 \text{ l}\cdot\text{min}^{-1}$) than
36 men ($p<0.05$), and older subjects had a higher W_b for a given $\dot{V}_E \geq 60 \text{ l}\cdot\text{min}^{-1}$ ($p<0.05$). No sex- or
37 age-differences in W_b were present at any submaximal relative \dot{V}_E . At absolute exercise
38 intensities, older women experienced expiratory flow limitation (EFL) more frequently than
39 older men ($p<0.05$), and older subjects were more likely to experience EFL than younger
40 subjects ($p<0.05$). At relative exercise intensities, women and older individuals experienced EFL
41 more frequently than men and younger individuals, respectively (both $p<0.05$). There were
42 significant effects of age, sex, and their interaction on dyspnea intensity during exercise at
43 absolute, but not relative, intensities (all $p<0.05$). Across subjects, dyspnea at 80W was
44 significantly correlated with indices of mechanical ventilatory constraint (all $p<0.05$).
45 Collectively, our findings suggest age and sex have significant impacts on W_b , operating lung
46 volumes, EFL, and dyspnea during exercise. Moreover, it appears that mechanical ventilatory
47 constraint may partially explain sex-differences in exertional dyspnea in older individuals.

48

49 **Key Words:** aging, dyspnea, exercise, expiratory flow limitation, operating lung volumes,
50 respiratory mechanics, sex-differences, work of breathing.

51

52 **New & Noteworthy**

53 We found that age and sex have a significant effect on mechanical ventilatory constraint and the
54 perception of dyspnea during exercise. We also observed that the perception of exertional
55 dyspnea is associated with indices of mechanical ventilatory constraint. Collectively, our results
56 suggest that the combined influences of age and biological sex on mechanical ventilatory
57 constraint during exercise contributes, in part, to the increased perception of dyspnea during
58 exercise in older women.

59 **Introduction**

60 The normative aging of the respiratory system involves significant structural changes to
61 the lungs, airways, chest wall, and respiratory muscles (29), leading to a progressive decline in
62 pulmonary function (36). Consequently, when compared to individuals 20-30 years of age, those
63 above the age of 60 have a reduced ventilatory capacity, as reflected by the size and shape of
64 their maximum expiratory flow–volume curves (19). It follows that older individuals have a
65 reduced reserve for accommodating increases in ventilatory demand during dynamic exercise
66 (1). Moreover, the ventilatory response to exercise at a given absolute work rate is higher in
67 older individuals relative to their younger counterparts (47). Thus, in older individuals it is
68 possible that the ventilatory demand of exercise meets or even exceeds the maximum ventilatory
69 capacity of the respiratory system, resulting in mechanical ventilatory constraint. Several indices
70 can be used to determine the presence and magnitude of mechanical ventilatory constraint during
71 exercise such as quantifying the work of breathing (W_b), assessing changes in operating lung
72 volumes, and determining the presence of expiratory flow limitation (EFL) (2). Healthy aging of
73 the respiratory system is associated with a progressive increase in mechanical ventilatory
74 constraint to exercise hyperpnoea (12), as evidenced by a higher W_b for a given minute
75 ventilation (\dot{V}_E), an increase in end-expiratory lung volume (EELV), and a higher propensity
76 towards EFL (30, 31).

77 Along with age, biological sex is important when considering the mechanical ventilatory
78 response to exercise. When matched for height, women have smaller lungs and lower maximum
79 expiratory flows than men (11). Even when matched for lung size, women have smaller large
80 conducting airways than men - a concept known as dysanapsis (50). Given the aforementioned
81 sex-differences in lung size, airway size, and expiratory flow rates, healthy young women appear
82 to be predisposed to greater mechanical ventilatory constraint during exercise compared to men.
83 We recently demonstrated that during exercise, young women have a higher W_b for a given \dot{V}_E
84 (15, 27) and a higher oxygen-cost of breathing for a given \dot{V}_E than young men (16). It has also
85 been shown that there are sex-differences in the regulation of operating lung volumes, where

86 young women tend to breathe at a higher end-inspiratory lung volume (EILV) for a given
87 submaximal work rate and \dot{V}_E (10), and a higher EELV at maximal exercise than young men
88 (15). Furthermore, EFL appears to be more common in young endurance-trained women than in
89 their male counterparts (27).

90 There is growing evidence suggesting that the magnitude of exertional dyspnea increases
91 during the healthy aging process (28). For example, during cycle exercise, older men and women
92 report a higher intensity of dyspnea for a given absolute work rate than younger men and women
93 (39). Additionally, older women report a higher intensity of dyspnea during exercise at a
94 standardized oxygen uptake ($\dot{V}O_2$) than older men; this is thought to be related to the interaction
95 between the effects of age and sex on ventilatory constraint (46). Indeed, when the magnitude of
96 ventilatory constraint is experimentally increased during exercise, the perception of dyspnea is
97 increased concomitantly (18). Given the effects of age and sex on the mechanical ventilatory
98 response to exercise, it can be surmised that the sex-differences in exertional dyspnea noted in
99 older individuals may be explained, at least in part, by mechanical ventilatory constraint.

100 Several studies have investigated the effects of age (30, 31, 39), and sex (10, 15, 27) on
101 the mechanical ventilatory and perceptual responses to exercise. However, few studies have
102 assessed the combined and potentially interactive effects of age and sex on W_b , operating lung
103 volumes, and EFL during exercise, and how they relate to dyspnea. Accordingly, the primary
104 aim of the present study was to assess the effects of biological sex and age as well as their
105 interaction on the mechanical ventilatory and sensory responses to exercise in a group of healthy
106 younger and older, men and women at relative and absolute exercise intensities and ventilations.
107 A secondary aim was to determine if indices of mechanical ventilatory constraint are related to
108 dyspnea during exercise. Based on the above summary, we hypothesized that biological sex and
109 healthy aging would have a significant interactive effect on indices of mechanical ventilatory
110 constraint (W_b , operating lung volumes, and EFL) and dyspnea during exercise. We also
111 hypothesized that, across all subjects, indices of mechanical ventilatory constraint would be
112 significantly correlated with dyspnea intensity during exercise.

113

114 **Methods**

115 *Subjects.* After providing written informed consent, 22 older men and women (60-80 y, n=12
116 women) and 22 younger men and women (20-30 y, n=11 women) participated in the study. All
117 subjects had normal pulmonary function based on predicted values (5, 7, 11, 44). Additional
118 inclusion criteria were: a body mass index of 18-30 kg·m⁻², peak aerobic power ≥80% predicted,
119 and no evidence of respiratory disease. Subjects were excluded if they were current smokers or
120 had previously smoked >5 pack-years; had a history or current symptoms of cardiovascular,
121 metabolic or respiratory disease; were currently taking medication that would interfere with the
122 ventilatory response to exercise; or had any contraindications to exercise testing. Eight of
123 twenty-two older subjects (n=4 men, n=4 women) had previously smoked <5 pack/years, all of
124 whom had quit smoking >25 y prior to participation in the current study. All healthy younger
125 subjects had never smoked. Subjects were divided into 4 groups based on sex and age: younger
126 women (20-30 y), younger men (20-30 y), older women (60-80 y), older men (60-80 y). All
127 study procedures were approved by the University of British Columbia Providence Health Care
128 Research Ethics Board, which adheres to the *Declaration of Helsinki*.

129

130 *Experimental Overview.* Subjects completed two days of testing separated by a minimum of 48
131 h. On Day 1, anthropometric measurements were taken, followed by detailed pulmonary function
132 testing and a symptom-limited incremental cycle exercise test. The incremental exercise test
133 performed on Day 1 was intended to familiarize subjects with the exercise protocol. On Day 2,
134 subjects were instrumented with a balloon catheter (Guangzhou Yinghui Medical Equipment
135 Ltd, Guangzhou, China) that was passed through the naris following the application of a topical
136 anesthetic (Lidocan® endotracheal spray, Odan Laboratories, Montreal, QC, Canada) in order to
137 measure esophageal pressure. Following instrumentation, lung static recoil pressure at 100% of
138 total lung capacity ($P_{st\ 100\%TLC}$), lung static recoil pressure at 50% of vital capacity ($P_{st\ 50\%VC}$), and
139 static lung compliance were assessed. Subjects then performed a maximal incremental cycle

140 exercise test using the same protocol as on Day 1. During the incremental exercise test, EFL was
141 assessed using the negative expiratory pressure (NEP) technique (see *Expiratory Flow*
142 *Limitation*). On Day 2, subjects performed a series of forced vital capacity maneuvers at different
143 efforts before and after exercise in order to construct maximum expiratory flow-volume curves
144 by taking into account exercise-induced bronchodilation and thoracic gas compression (25). All
145 reported resting pulmonary function data, apart from static recoil and lung compliance, were
146 obtained on Day 1, whereas all reported exercise data were obtained on Day 2.

147

148 *Pulmonary Function Testing.* Spirometry, whole-body plethysmography, single breath diffusing
149 capacity for carbon monoxide, maximum voluntary ventilation, and maximum inspiratory and
150 expiratory pressures were assessed using a commercially available system (Vmax Encore 229,
151 V62J Autobox; CareFusion, Yorba Linda, CA) according to standard recommendations (21, 38,
152 42, 53). Pulmonary function measurements were expressed as absolute values and as percentages
153 of predicted (5, 7, 11, 44).

154

155 *Exercise Protocol.* Exercise testing was conducted on an electronically braked cycle ergometer
156 (Ergoselect 200P; Ergoline, Bitz, Germany). Each test began with a 6 min rest period followed
157 by 1 min of unloaded pedaling then 20 W step-wise increases in workload (starting at 20 W)
158 every 2 min until volitional exhaustion. The exercise protocol was selected in order to allow for
159 comparisons between groups at discrete work rates. Peak work rate was defined as the highest
160 work rate sustained for at least 30 s.

161

162 *Flow, Volume and Pressure.* During the incremental cycle exercise test on Day 2, subjects
163 breathed through a low resistance ($0.3\text{--}0.7\text{ cmH}_2\text{O}\cdot\text{l}^{-1}\cdot\text{s}^{-1}$ at $0.5\text{--}8\text{ l}\cdot\text{s}^{-1}$) circuit with minimal dead-
164 space (130 ml). Bi-directional flow was measured using a heated, calibrated pneumotachograph
165 (model 3813, Hans Rudolph, Kansas City, MO, USA). Volume was obtained by numerical
166 integration of the flow signal. Mouth pressure was sampled through a port in the mouthpiece

177 while esophageal pressure was measured using an esophageal balloon catheter. Placement of the
178 catheter was performed as previously described (55), with 0.5 ml of air placed into the
179 esophageal balloon. Validity of the esophageal balloon pressure was verified by performing an
180 occlusion test, as previously described (4). Mouth pressure and esophageal pressure were
181 measured using independent, calibrated differential pressure transducers (DP15-34, Validyne
182 Engineering, Northridge, CA, USA). Flow, volume, and pressures were composite averaged by
183 selecting breaths within a 30 s epoch during rest and at the end of each exercise stage.

174

175 *Cardiorespiratory Responses.* Standard cardiorespiratory measures were recorded on a breath-
176 by-breath basis and averaged over 30 s periods at rest and during exercise. In the younger
177 subjects, heart rate was measured using a heart rate monitor (Polar T34; Polar Electro, Kempele,
178 Finland). In the older subjects, heart rate and electrocardiogram changes were monitored
179 continuously using a 12-lead electrocardiogram (Cardiosoft Diagnostics System v6.71, GE
180 Healthcare, Canada). Arterial oxygen saturation was measured in all subjects using a finger-pulse
181 oximeter (Radical-7, Massimo Corporation, Irvine, CA, USA). Inspiratory capacity maneuvers
182 were performed at rest and at the end each exercise stage. End-inspiratory lung volume (EILV)
183 and EELV were derived from the inspiratory capacity maneuvers (24). Theoretical maximum
184 ventilation (\dot{V}_{ECAP}) was calculated at rest and for each exercise stage based on the maximum
185 expiratory airflow throughout a composite averaged tidal breath at a given lung volume as
186 previously described (33). Fractional utilization of available ventilatory capacity ($\dot{V}_{\text{E}}/\dot{V}_{\text{ECAP}}$) was
187 determined as the quotient of \dot{V}_{E} and \dot{V}_{ECAP} .

188

189 *Work of Breathing.* W_{b} was determined by integrating the area within a composite averaged tidal
190 esophageal pressure–volume loop (17). For each subject, W_{b} data were plotted as a function of
191 absolute \dot{V}_{E} . To compare the effects of age, sex, and their interaction on W_{b} for a given absolute
192 \dot{V}_{E} , curves were fit to each individual subject's data according to the following equation (27):

193
$$W_{\text{b}} = a\dot{V}_{\text{E}}^3 + b\dot{V}_{\text{E}}^2 \quad (\text{eq. 1})$$

194 where, for a given absolute \dot{V}_E , $a\dot{V}_E^3$ represents the resistive component of W_b and $b\dot{V}_E^2$
195 represents the viscoelastic component of W_b . To determine the total W_b for each subject at
196 discrete levels of absolute \dot{V}_E , each subject's W_b equation (eq. 1) was solved for successive
197 independent variables in $5 \text{ l}\cdot\text{min}^{-1}$ increments up to each subject's maximal \dot{V}_E . The total W_b
198 values for each subject were then normalized to their respective maximal \dot{V}_E in 5% increments
199 up to 100%.

200

201 *Expiratory Flow Limitation.* At rest and for each stage of exercise on Day 2, EFL was
202 determined using the NEP technique (27, 37). Briefly, the NEP technique involves the generation
203 of a negative pressure (between -5 to -10 cmH₂O) at the mouth during the expired portion of a
204 breath. Negative pressure was achieved using an electronically controlled Venturi device (207A,
205 Raytech Instruments, Vancouver, BC, Canada) attached to the distal portion of the
206 pneumotachograph. A control flow-volume loop was created by composite averaging the 3 tidal
207 breaths immediately prior to each NEP breath to represent spontaneous patterns of flow and
208 volume at a given stage during the exercise test (37). Expiratory flow limitation was considered
209 present when the NEP breath overlapped with the expired portion of the control breath.

210

211 *Perceptual Responses.* At rest and during the last 30 s of each 2 min exercise stage, subjects
212 rated the intensity of “breathing discomfort” (dyspnea) and “leg discomfort” using the modified
213 category-ratio 0–10 Borg scale (6). Dyspnea was defined as “the sensation of labored or difficult
214 breathing” and leg discomfort was defined as the “sensation of leg muscle fatigue”. The
215 endpoints of the scale were anchored such that 0 represented “no breathing/leg discomfort” and
216 10 represented “the most severe breathing/leg discomfort ever experienced or imagined”.

217

218 *Data Processing.* All data (see *Flow, Pressure and Volume*, and *Cardiorespiratory Responses*)
219 were collected using a 16-channel analogue-to-digital data acquisition system (PowerLab/ 16/35,

220 ADInstruments, Colorado Springs, CO, USA), sampled at 2000 Hz, and recorded using
221 LabChart 7.3.7 software.

222
223 *Statistical Analysis.* Descriptive characteristics, pulmonary function data, and maximal exercise
224 data were compared using a 2x2 analysis of variance for age and sex between the four groups. In
225 the case of a significant interaction between age and sex, four pairwise comparisons were
226 performed with Bonferroni corrections where appropriate. To determine the effect of age and sex
227 as well as their interaction on W_b for a given absolute relative \dot{V}_E , W_b was compared at discrete
228 levels of absolute \dot{V}_E (in 5 l·min⁻¹ increments) or relative \dot{V}_E (in 5% increments) using a mixed
229 model analysis of variance. In the case of a significant two-way interaction between \dot{V}_E and age,
230 \dot{V}_E and sex, or a significant three-way interaction between \dot{V}_E , age and sex, pairwise comparisons
231 were performed with Bonferroni corrections where appropriate. We performed a mixed-model
232 repeated-measures analysis using generalized estimating equations to evaluate the main effects
233 of age and sex as well as their interaction between groups and work rate (absolute and relative)
234 on EFL at rest and during exercise. Cardiorespiratory and perceptual variables were compared at
235 rest and at absolute submaximal work rates up to the highest equivalent work rate achieved by all
236 subjects and at relative work rates in 20% increments from rest to peak exercise using a mixed
237 model analysis of variance. In the case of a significant two-way interaction between work rate
238 and age, work rate and sex, or a significant three-way interaction between work rate, age and sex,
239 Bonferroni-adjusted post-hoc comparisons were conducted where appropriate. Pearson's product
240 moment correlation analysis was used to determine the relationship between dyspnea and
241 possible physiological contributors. For all analyses, the level of statistical significance was set
242 at $p < 0.05$. All data are presented as means \pm SE.

243

244 **Results**

245 *Subjects.* Subject characteristics and pulmonary function data are shown in Table 1. Resting
246 pulmonary function was within the normal predicted range for all groups. As expected, there

247 were significant age-related differences in maximum expiratory flows, lung volumes (with the
248 exception of total lung capacity, $p=0.97$), diffusing capacity, and respiratory muscle strength (all
249 $p<0.05$). Furthermore, when expressed in absolute terms, the majority of pulmonary function
250 measures were greater in men than women (all $p<0.05$), with the exception of the ratio of forced
251 expired volume in 1 s to forced vital capacity ($p=0.81$), forced expired flow between 25% and
252 75% of forced vital capacity (FEF_{25-75%}) ($p=0.23$), and residual volume ($p=0.14$). There were no
253 significant interaction effects between age and sex, indicating that the age-related decrement in
254 pulmonary function was similar in both sexes. Regardless of sex, older subjects had lower P_{st}
255 $_{100\%TLC}$ ($p<0.001$) and P_{st} $_{50\%VC}$ (Table 1) ($p<0.001$). Moreover, there was a significant linear
256 correlation between FEF_{50%} and P_{st} $_{50\%VC}$ ($r=0.54$, $p<0.05$).

257 Peak exercise data are shown in Table 2. At peak exercise, there was a significant effect
258 of age and sex on absolute $\dot{V}O_2$, work rate, \dot{V}_E , the ventilatory equivalent for carbon dioxide,
259 $\dot{V}_{E_{CAP}}$, and W_b (all $p<0.05$). When $\dot{V}O_2$ at peak exercise was expressed as a percent of predicted
260 values, there was no significant effect of age or sex, indicating that subjects had statistically
261 similar levels of relative fitness. Independent of sex, there was a significant effect of age on heart
262 rate, breathing frequency, the ventilatory equivalent for oxygen, the ventilatory equivalent for
263 carbon dioxide, and EELV (all $p<0.05$). Independent of age, there was a significant effect of sex
264 on tidal volume ($p<0.05$). There were no significant interaction effects between age and sex at
265 peak exercise. On average, subjects in each group achieved respiratory exchange ratios >1.10
266 and near maximum heart rates based on predicted normal values, indicating that maximal effort
267 was exerted across groups. There were no significant differences in the $\dot{V}O_2$ -work rate slopes
268 between groups on the basis of age or sex (Table 2; both $p>0.05$).

269
270 *Summary of Primary Results.* Table 3 summarizes the primary results of the study, which are
271 further described below and illustrated in Figures 1-8. Variables relating to the ventilatory
272 response to exercise, indices of mechanical ventilatory constraint, and the perception of dyspnea
273 where compared at absolute submaximal work rates and at relative work rates on the basis of

274 sex, age, and their interaction. The main effects that reached statistical significance and, where
275 appropriate, which interaction effects were statistically significant are highlighted.

276
277 *Ventilatory Response to Exercise.* Ventilatory responses to exercise are shown in Figure 1. For a
278 given submaximal absolute work rate ≥ 40 W, older subjects had a higher absolute \dot{V}_E than
279 younger subjects, regardless of sex (all $p < 0.05$). Men had a higher absolute \dot{V}_E than women
280 during exercise at a relative exercise intensity $\geq 40\%$ of peak work rate, regardless of age (all
281 $p < 0.05$). There was no significant interaction effect between age and sex on absolute \dot{V}_E at rest
282 or during exercise at any absolute or relative work rate (both $p > 0.05$).

283 Fractional utilization of $\dot{V}_{E_{CAP}}$ at rest and during exercise are shown in Figure 2. Older
284 subjects had a significantly higher $\dot{V}_E/\dot{V}_{E_{CAP}}$ at rest and throughout submaximal exercise at an
285 absolute work rate (all $p < 0.05$). The effect of age on $\dot{V}_E/\dot{V}_{E_{CAP}}$ was still evident when
286 comparisons were made at relative exercise intensities (all $p < 0.05$). There was no effect of sex
287 on $\dot{V}_E/\dot{V}_{E_{CAP}}$ at rest or during exercise ($p > 0.05$), and there was no significant interaction effect
288 between age and sex on $\dot{V}_E/\dot{V}_{E_{CAP}}$ ($p > 0.05$).

289 Operating lung volumes at rest and during exercise are shown in Figure 4. During
290 exercise at a given submaximal absolute work rate, there were no significant differences in
291 EELV or EILV on the basis of sex (both $p > 0.05$), however, EELV and EILV were both higher in
292 older than in younger subjects (all $p < 0.05$). The effect of age on relative EELV and EILV were
293 also present at rest and throughout submaximal exercise when comparisons were made at relative
294 exercise intensities (all $p < 0.05$). Moreover, at peak exercise, older subjects had a higher EELV
295 but a similar EILV than younger subjects ($p < 0.05$). There was no significant interaction effect
296 between age and sex on EELV or EILV (both $p > 0.05$).

297
298 *Work of Breathing.* Individual subject values for the W_b are plotted as a function of absolute \dot{V}_E
299 in Figure 4 (panels A and B), and as a function of relative \dot{V}_E in Figure 5 (panels A and B). Each
300 subject's W_b - \dot{V}_E curve was fit to *eq. 1*, and without exception there was excellent fit (mean r^2 :

301 0.99±0.01). Then, by pooling each individual's constant a and constant b from eq. 1, a mean
302 curve was constructed for each group (Figure 4, panel C; Figure 5, Panel C). There were
303 significant main effects of \dot{V}_E , sex, and age on W_b (all $p<0.001$). There was a significant
304 interaction between \dot{V}_E and sex, as well as \dot{V}_E and age (both $p<0.001$), but no significant
305 interaction effect between \dot{V}_E , sex, and age ($p>0.05$). W_b was significantly higher in women at
306 and above a \dot{V}_E of $65 \text{ l}\cdot\text{min}^{-1}$ ($p<0.001$), and significantly higher in older subjects at and above a
307 \dot{V}_E of $60 \text{ l}\cdot\text{min}^{-1}$ ($p<0.001$). When W_b was compared at relative fractions of peak exercise \dot{V}_E ,
308 there was no significant effect of age, sex, or their interaction at any fraction of maximal \dot{V}_E
309 below peak exercise (Figure 5, Panel C; both $p>0.05$). However, at peak exercise men had a
310 significantly higher W_b than women, and older subjects had a significantly lower W_b than
311 younger subjects (both $p<0.05$, Table 2).

312
313 *Expiratory Flow Limitation.* Examples of flow-volume loops in four subjects (one representative
314 sample from each group) used to determine the presence of EFL using the NEP technique are
315 shown in Figure 6. Successful NEP maneuvers were obtained at rest and at each exercise stage in
316 all but two subjects ($n=1$ older woman, and $n=1$ younger woman) whose data were excluded
317 from the analysis since the application of the NEP caused a sustained decrease in expiratory flow
318 relative to the control breath. The frequency of EFL in each group at rest and throughout exercise
319 is shown in Figure 7. No subjects had EFL at rest, but as exercise intensity increased the fraction
320 of subjects who had EFL increased progressively. Based on our model, there was a significant
321 main effect of age, as well as a significant interaction effect between age and sex on EFL during
322 exercise when comparisons were made at absolute work rates (both $p<0.05$). When the analysis
323 was repeated at relative exercise intensities, there were significant main effects of age and sex
324 (both $p<0.05$); however, there was no significant interaction effect between age and sex
325 ($p=0.39$).

326

327 *Dyspnea.* Figure 8 shows dyspnea intensity ratings in all groups at rest and during exercise.
328 There were significant effects of age and sex, as well as their interaction on dyspnea during
329 exercise at absolute exercise intensities (all $p < 0.05$). Specifically, women reported higher levels
330 of dyspnea than men at 80 W regardless of age ($p < 0.05$), and older subjects reported higher
331 levels of dyspnea at 60W and 80 W, regardless of age ($p < 0.05$). At an absolute exercise intensity
332 of 80 W, the difference in dyspnea between younger men and younger women were subtle, albeit
333 significant (0.1 ± 0.1 versus 0.7 ± 0.2 , $p < 0.001$). By contrast, older women reported significantly
334 higher dyspnea at 80 W than older men by 1.3 Borg units (0.6 ± 0.2 versus 1.9 ± 0.4 , $p < 0.001$).
335 When dyspnea was compared between groups at relative exercise intensities, there was no
336 significant effect of age, sex, or their interaction (all $p > 0.05$).

337 We also found that dyspnea/ \dot{V}_E slopes showed a significant effect of age (0.093 vs. 0.065
338 Borg units $\cdot l^{-1} \cdot \text{min}^{-1}$, $p < 0.05$) and sex (0.092 ± 0.006 vs. 0.064 ± 0.010 Borg units $\cdot l^{-1} \cdot \text{min}^{-1}$,
339 $p < 0.05$), but not their interaction ($p = 0.52$). Finally, correlates of dyspnea intensity at a
340 standardized absolute work rate of 80 W are shown in Table 4. The four strongest correlates of
341 dyspnea intensity at 80 W were W_b , $\dot{V}_E/\dot{V}_{E\text{CAP}}$, breathing frequency, and \dot{V}_E .

342

343 **Discussion**

344 *Major Findings.* We assessed the effects of age and sex on the mechanical ventilatory and
345 perceptual responses to exercise in healthy younger and older, men and women. Our major
346 findings are five-fold. First, women have a higher W_b for a given absolute $\dot{V}_E \geq 65 l \cdot \text{min}^{-1}$ during
347 exercise compared to men, regardless of age. However, W_b is similar between the sexes for a
348 given relative \dot{V}_E during submaximal exercise. Second, older subjects breathe at higher lung
349 volumes during exercise at a given submaximal absolute or relative exercise intensity, but do not
350 differ on the basis sex. Third, we observed a significant interaction effect between age and sex on
351 the likelihood of developing EFL during exercise at an absolute work rate. We found that older
352 subjects are more likely to develop EFL than younger subjects, and that older women have a
353 higher propensity towards EFL than older men. During exercise at a given relative work rate,

354 older subjects and women are more likely to experience EFL than younger subjects and men,
355 respectively. Fourth, age and sex exert an interactive effect on the perception of dyspnea during
356 exercise at a given absolute work rate $\geq 80W$. Older women, and to a lesser extent younger
357 women, report higher levels of dyspnea than older men and younger men, respectively.
358 However, the effects of age and sex on the perception of dyspnea are absent at relative exercise
359 intensities. Finally, dyspnea during submaximal exercise is associated with indices of mechanical
360 ventilatory constraint. Collectively, our findings suggest that age and sex only interactively affect
361 the propensity towards EFL and the perception of dyspnea during exercise when comparisons
362 between groups are made at absolute exercise intensities.

363
364 *Maximum Ventilatory Capacity and Ventilatory Response to Exercise.* The primary age-related
365 change to the respiratory system that contributes to decreasing pulmonary function is thought to
366 be the progressive reduction in elastic recoil pressure of the lung (20). As the elastic recoil
367 pressure of the lung decreases, so too does the ability to generate expired flow, thereby reducing
368 maximum ventilatory capacity (54). As expected, older subjects had a significantly lower P_{st}
369 $_{100\%TLC}$ and P_{st} $_{50\%VC}$ than younger subjects (Table 1). It follows that despite having similar total
370 lung capacities, older subjects had a reduced capacity to generate expiratory flow, as evidenced
371 by significantly lower mid-expiratory flows than younger subjects (Table 1). Accordingly, we
372 observed a significant linear correlation between P_{st} $_{50\%TLC}$ and $FEF_{50\%}$. However, it should be
373 noted that we did not detect statistically significant differences in P_{st} $_{50\%VC}$, P_{st} $_{100\%TLC}$, $FEF_{50\%}$ or
374 $FEF_{25-75\%}$ on the basis of sex, indicating that the effect of age on static recoil and expiratory
375 flows was similar between men and women. While the effect of aging on the static recoil
376 pressure of the lung is well characterized (52), evidence of sex-differences in static recoil
377 pressure of the lung remains equivocal (9, 20). Overall, the age-related decline in ventilatory
378 capacity observed in our study resulted in a reduction in the available reserve for accommodating
379 increases in ventilatory demand, as evidenced by a significantly lower absolute $\dot{V}_{E_{CAP}}$ at rest and
380 throughout exercise in the older relative to the younger subjects (data not shown). Moreover, due

381 to their relatively smaller lungs, women had a lower absolute \dot{V}_{ECAP} than men at rest and
382 throughout exercise, and this relationship was unaffected by age (data not shown).

383 During exercise, older subjects had a higher \dot{V}_{E} for a given absolute work rate above 20
384 W than younger subjects (Figure 1, Panels C and D), a finding that is in agreement with previous
385 work (47). Given the age-related decline in \dot{V}_{ECAP} , the higher ventilatory response to exercise in
386 older individuals increases the likelihood of reaching the mechanical limits of the respiratory
387 system at a given absolute work rate. Indeed, older subjects utilized a greater fraction of their
388 available ventilatory capacity ($\dot{V}_{\text{E}}/\dot{V}_{\text{ECAP}}$) at rest and during submaximal exercise at an absolute
389 work rate than younger subjects (Figure 2). When comparisons were made at relative exercise
390 intensities, older subjects still had a higher $\dot{V}_{\text{E}}/\dot{V}_{\text{ECAP}}$ than younger subjects during submaximal
391 exercise (Figure 2, panels A & B).

392
393 *Operating Lung Volumes.* During incremental exercise, younger subjects reduced EELV below
394 functional residual capacity and increased EILV up to approximately 90% of total lung capacity
395 (Figure 4, panel D). In older subjects, the age-related reduction in vital capacity and expiratory
396 flows results in operating lung volumes that are shifted to higher fractions of total lung capacity
397 (46). Compared to younger subjects, we found that older subjects had a higher EELV throughout
398 exercise by $4.9 \pm 1.5\%$ of TLC, and a higher EILV by $4.7 \pm 1.7\%$ of TLC during submaximal
399 exercise (Figure 3, panel A and B). These age-related increases in EELV and EIL likely alter the
400 length tension relationship of the respiratory muscles, and encroach on inspiratory reserve
401 volume. Furthermore, older subjects decreased EELV during exercise remained below resting
402 EELV. Like their younger counterparts, most older subjects reduced EELV during exercise until
403 they approach EFL, at which point EELV may begin to increase back towards resting EELV in
404 order to avoid excessive mechanical constraint (30). In some cases, EELV continues to increase
405 to the extent where it exceeds resting EELV, a phenomenon known as dynamic hyperinflation
406 (3). We observed that 7 of 22 (n=3 men, n=4 women) older subjects but none of the younger
407 subjects showed evidence of dynamic hyperinflation at maximal exercise, which we defined as

408 an increase in EELV >0.15 l above resting EELV. Although EILV was higher in the older
409 subjects than the younger subjects during submaximal exercise, EILV was similar between age
410 groups at maximal exercise. The fact that regardless of age, the highest EILV reached during
411 exercise was approximately 90% of total lung capacity is likely due to the sigmoidal shape of the
412 pressure-volume relationship of the respiratory system, whereby any further increase in EILV
413 would substantially increase W_b . Overall, it appears that older individuals regulate their
414 operating lung volumes during exercise in a similar manner to younger individuals, but at a
415 higher fraction of total lung capacity. However, the increase in EILV is constrained due to the
416 age-related reduction in inspiratory reserve volume.

417 It can be argued that because women have smaller lungs and lower maximum expired
418 flows than men, that they have a tendency to breathe at a higher EELV and EILV during
419 exercise. The effect of sex on operating lung volumes has been assessed in several studies, but
420 the results are conflicting (10, 12, 13, 15, 26, 49). In the current study, we did not observe a
421 systematic effect of sex on operating lung volumes when EELV and EILV were expressed as a
422 fraction of total lung capacity. While it is tempting to hypothesize that women are more likely to
423 increase EELV and/or EILV during exercise to avoid EFL, we believe that this is an
424 oversimplification. Although EFL has been shown to increase operating lung volumes under
425 experimental conditions (48), the fact that an individual exhibits EFL does not guarantee that
426 operating lung volumes will increase. For example, it is possible that in the presence of EFL,
427 some individuals preserve relatively low lung volumes to avoid breathing on the flat portion of
428 the pressure-volume relationship of the respiratory system.

429
430 *Work of Breathing.* The mechanical and metabolic cost of maintaining adequate alveolar
431 ventilation during exercise can be substantial and increase exponentially as a function of \dot{V}_E (16).
432 Since healthy aging causes a decrease in the compliance of the chest wall (43), and a reduction in
433 airway diameter (45), it would be expected that W_b for a given \dot{V}_E would be higher in older
434 relative to younger individuals. We demonstrated that for a given absolute $\dot{V}_E \geq 60$ l·min⁻¹, older

435 subjects have a significantly higher W_b than younger subjects (Figure 4). The relationship
436 between W_b and \dot{V}_E during exercise has previously been assessed in highly trained older men
437 (31), and highly trained younger men (32). However, only one study has investigated the effect
438 of age on W_b during exercise within the same study (8). They found that older individuals had a
439 higher W_b than younger individuals during exercise at an absolute $\dot{V}O_2$ of $1.5 \text{ l}\cdot\text{min}^{-1}$ as well as
440 at 40% and 60% of cardiac reserve. However, they did not normalize W_b for \dot{V}_E , and only
441 included male participants. Thus, our study is the first to show that W_b is higher for a given \dot{V}_E in
442 older men and women by directly comparing them to younger men and women.

443 We have previously shown that for a given \dot{V}_E above $\sim 55\text{-}65 \text{ l}\cdot\text{min}^{-1}$, W_b (15, 27) and
444 respiratory muscle $\dot{V}O_2$ (16) are higher in young women relative to young men. In the present
445 study, we also demonstrate that for a given $\dot{V}_E \geq 65 \text{ l}\cdot\text{min}^{-1}$, women have a significantly higher
446 W_b than men (Figure 5). Importantly, the effect of sex on W_b appears to be independent of the
447 effect of age. This finding is in keeping with previous work showing that older women have a
448 higher $\dot{V}O_2$ of the respiratory muscles during exercise than older men (51).

449 When we compared W_b as a function of relative \dot{V}_E , there was no significant effect of
450 age, sex, or their interaction on W_b at any submaximal fraction of peak \dot{V}_E (Figure 5). However,
451 it is important to contextualize this relative comparison. If one considers that activities of daily
452 living are performed at similar rates of relative oxygen consumption (i.e. metabolic equivalents),
453 and that age and sex both affect maximal relative $\dot{V}O_2$ (34), it can be surmised that women and
454 older individuals would have to dedicate a higher fraction of whole body $\dot{V}O_2$ to their respiratory
455 muscles in order to accomplish a given task than men and younger individuals, respectively.

456
457 *Expiratory Flow Limitation.* It is well known that older individuals are predisposed to EFL
458 during exercise due to their reduced ventilatory capacity and increased ventilatory response to
459 exercise (12, 29). In the present study, we found that healthy aging had a significant effect on
460 EFL during exercise at absolute work rates (Figure 7, panel A). We also observed that older
461 women have a higher propensity towards developing EFL than older men, but that this apparent

462 sex-difference was not present in the younger subjects. We attribute our findings to the
463 interactive influences of healthy aging and biological sex on the structure and function of the
464 respiratory system. When ventilatory demand approaches maximum ventilatory capacity, small
465 sex-differences in airway anatomy may play a crucial role in determining the extent of
466 mechanical ventilatory limitation. A corollary to this finding can be drawn from previous work
467 in young endurance-trained athletes, where the maximum capacity of the respiratory system is
468 high, but so too is the ventilatory demand associated with the intensity of exercise they are
469 capable of achieving (27). In the context of high ventilatory capacity and high ventilatory
470 demand, the relatively small sex-differences in the structure of the respiratory system become
471 important and likely predispose women to EFL. We have shown that young endurance-trained
472 women have a higher propensity towards EFL at maximal exercise than young endurance-trained
473 men (27). While we found a main effect of sex on EFL in the present study, the differences
474 between men and women were only apparent in the older relative to the younger group (Figure
475 7), a finding that is in keeping with our previous work in younger recreationally active subjects
476 (15). Based on previous studies (27, 40), it can be argued that young women may be more
477 susceptible to EFL during exercise than young men. However, the factors that determine EFL are
478 complex and multifactorial (14). While differences in lung and airway anatomy play an
479 important contributory role, other factors may supersede these relatively small differences. By
480 contrast, given the reduced ventilatory capacity and increased ventilatory response to exercise,
481 sex-differences in respiratory system anatomy are likely an important determinant of EFL in
482 older individuals.

483 We also observed main effects of age and sex on EFL when comparisons were made at
484 relative exercise intensities (Figure 7, Panel B). However, there was no significant interaction
485 effect between age and sex on EFL at relative exercise intensities. The fact that we observed a
486 greater fraction of older subjects who were flow limited at relative exercise intensities than
487 younger subjects likely reflects the age-associated increase in \dot{V}_E/\dot{V}_{ECAP} during exercise (Figure
488 2, Panels C and D). By contrast, our observation of a greater fraction of women who experienced

489 EFL at relative exercise intensities than men is perplexing. One would expect that after
490 normalizing for exercise intensity that the effect of sex on EFL would no longer be present. A
491 possible explanation relates to sex-differences in airways size and the associated effects on the
492 capacity to generate expired flow (41). However, we did not observe an effect of sex on
493 $\dot{V}_E/\dot{V}_{E_{CAP}}$ in women relative to men. Alternatively, since younger women and younger men have
494 a qualitatively similar frequency of EFL during exercise at a given relative intensity, it is
495 possible that the main effect of sex on EFL at relative exercise intensities was driven by the older
496 women. However, given our relatively small sample size, we hesitate to draw definitive
497 conclusions concerning this point. Future studies involving large pools of subjects are required to
498 determine whether women are indeed more likely to experience EFL than men at a given relative
499 exercise intensity.

500

501 *Dyspnea.* We found that there were significant main effects of age and sex, as well as their
502 interaction on dyspnea at absolute work rates during exercise. Older subjects reported higher
503 dyspnea during submaximal exercise than their younger counterparts (Figure 8), and women
504 reported significantly higher dyspnea during submaximal exercise than men. The difference in
505 dyspnea between men and women at 80 W was more pronounced in the older subjects. Ofir *et al.*
506 {Ofir:2008dy} found that older women reported a higher intensity of dyspnea at a standardized
507 $\dot{V}O_2$ of 20 ml·kg⁻¹·min⁻¹ than older men by approximately 1 Borg unit. We observed a
508 remarkably similar finding with older women reporting dyspnea ratings that were 1.3 Borg units
509 higher (1.9±0.4 vs. 0.6±0.2) than older men at 80 W, which corresponded to a relative $\dot{V}O_2$ of
510 20.5±1.0 and 21.3±0.9 ml·kg⁻¹·min⁻¹ in older men and older women, respectively. Although the
511 perception of dyspnea in older subjects was significantly higher for any given absolute work rate
512 above 40 W than in younger subjects, the degree of dyspnea in older subjects was relatively
513 modest throughout exercise and only reached an average of 5.0±0.5 Borg units at maximal
514 exercise. The relatively low dyspnea ratings observed in the healthy older subjects in our study is
515 in close agreement with previous investigations using incremental cycle exercise (23, 46), and

516 further supports the notion that the respiratory system is unlikely to be the primary locus of
517 exercise limitation in healthy older individuals. In the younger subjects, women also reported a
518 significantly higher intensity of dyspnea than men at 80 W; however, the difference was only to
519 the order of 0.6 Borg units (0.7 ± 0.2 vs. 0.1 ± 0.1). At maximal exercise, dyspnea ratings were
520 slightly but significantly lower in the older subjects than in the younger subjects, which we
521 attribute to differences in absolute \dot{V}_E (Table 2). The effects of age and sex on the perception of
522 dyspnea during exercise were not present when comparisons were made at relative exercise
523 intensities, a finding that is in keeping with previous work (35).

524 In light of the observed differences in the mechanical ventilatory response to exercise
525 described herein, it stands to reason that respiratory mechanics may explain, at least in part, the
526 age- and sex-differences in the perception of respiratory sensation observed at absolute exercise
527 intensities. Across all subjects, W_b , breathing frequency, \dot{V}_E/\dot{V}_{ECAP} and \dot{V}_E were the strongest
528 correlates of dyspnea at 80 W, each explaining >50% of the variance in dyspnea (Table 4). We
529 speculate that at 80 W, those with the highest indices of mechanical constraint (W_b and
530 \dot{V}_E/\dot{V}_{ECAP}) have the highest sensations of dyspnea. In addition, those who had the highest \dot{V}_E
531 response and dead-space ventilation (due to the high breathing frequency) also had higher
532 sensations of dyspnea. We emphasize that we are cognizant of the limits of correlative evidence
533 and of the multifactorial causes of dyspnea. In the absence of experimental manipulations, we
534 hesitate to overstate the link between mechanical ventilatory constraint and dyspnea, nor argue
535 the primacy of mechanical ventilatory constraint over other factors that cause dyspnea within the
536 context of the present study. Instead, our findings present a hypothesis that awaits experimental
537 testing.

538
539 *Perspectives on Absolute and Relative Comparisons.* A consistent problem when conducting
540 studies to investigate age- or sex-differences in the pulmonary physiology of exercise concerns
541 how to most appropriately compare groups. The principal issue revolves around whether to make
542 comparisons in absolute or relative terms. On one hand, making comparisons in absolute terms

543 allows for the assessment of the effects of sex and age, but ignores the potential confounding
544 effects of body size and functional capacity. On the other hand, making comparisons in relative
545 terms accounts for differences in body size and functional capacity, but potentially obscures
546 important sex-differences and overlooks the physical and metabolic requirements of a given task.
547 In the present study, we made comparisons at both absolute and relative work rates or
548 ventilations since both are required in order to truly determine the influences of age and sex.
549 However, our approach results in a large number of permutations and contributes to interpretive
550 complexities. Thus, we offer the following perspectives when interpreting our findings. First, we
551 emphasize that the results of absolute and relative comparisons each have inherent caveats, and
552 one should not be favored at the expense the other. Second, in some instances we found some
553 differences between groups when comparisons were made in absolute terms that were absent in
554 relative terms. It follows that the generalizability of our findings will depend on context. For
555 example, if one considers our findings relating to the effects of age and sex on the perception of
556 dyspnea, the confounding influence exercise intensity is of critical importance.

557

558 *Limitations.* While our study reveals novel findings regarding the mechanical ventilatory and
559 perceptual responses to exercise in older and younger men and women, two important limitations
560 must be considered. First, our measure of W_b (integrated esophageal pressure-volume loops)
561 does not take into account other components of ventilatory work, such as chest-wall distortion
562 and abdominal stabilization (22). Given the age-related changes to the mechanics of the
563 respiratory system, it is possible that age-related differences in chest wall distortion exist, and
564 could impact total ventilatory work. However, without measures of respiratory system
565 kinematics or estimates of respiratory muscle $\dot{V}O_2$, this limitation cannot be overcome. Second,
566 EFL can be assessed using a variety of different methods. Second, we chose to use the NEP
567 technique given its numerous advantages (37). However, it should be noted that the NEP
568 technique provides an assessment of EFL at a single point in time rather than a continuous
569 measure, or one that is averaged over a longer period of time. It follows that our measures of the

570 frequency of EFL do not represent the entirety of each exercise stage or the dynamic nature of
571 EFL during exercise (3). However, given that the technique was applied consistently within each
572 subject and each group, it is unlikely that this limitation affected the overall results of our study.

573
574 *Conclusions.* We found that during exercise, age and sex have significant impacts on W_b ,
575 operating lung volumes, and EFL. Our results suggest that superimposing the normal age-related
576 changes in respiratory structure and function on innate sex-differences in airway anatomy
577 appears to have a significant effect on the mechanical ventilatory responses to exercise in older
578 individuals. Our data also suggest that age and sex affect the perception of dyspnea for a given
579 absolute, but not relative, exercise intensity, and that the magnitude of mechanical ventilatory
580 constraint seems to play an important contributory role. However, experimental manipulations of
581 respiratory mechanics are required in order to directly test this hypothesis. Overall, our study
582 provides new insight into the complexities and interactive effects of biological sex and
583 chronological age on the integrative response to exercise in healthy adults.

584

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587

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596

597 **Author contributions**

598 YMS, PBD, GEF, LMR, JDR, JAG, and AWS designed the study. YMS, PBD, AHR, MRS, and
599 SMS enrolled subjects and conducted data collection. YMS and PBD analyzed the data. All
600 authors had complete access to all the study data, contributed to drafting and critically revising
601 the manuscript. All authors approved the final version of the manuscript, and take responsibility
602 for the integrity of the data and the accuracy of the data analysis.

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751 **Figure Legends**

752

753 **Figure 1.** Ventilatory response to incremental cycle exercise at absolute and relative work rates
754 in older men and women (Panels A, C) as well as younger men and women (Panels B, D). In
755 panels C and D, the highest equivalent work rate achieved by all subjects was 80 W. Dashed
756 lines within each group connect the 80 W data point to the peak exercise data point. All data are
757 presented as mean±SE. \dot{V}_E , minute ventilation. * $p<0.05$, main effect of age, comparisons made
758 between all older and all younger subjects, regardless of sex. † $p<0.05$, comparisons made
759 between all men and all women, regardless of age. No significant interaction effect was
760 observed.

761

762 **Figure 2.** Fractional utilization of ventilatory capacity during incremental cycle exercise at
763 absolute and relative work rates in older men and women (Panels A and C) as well as younger
764 men and women (Panels B and D). In panels C and D, the highest equivalent work rate achieved
765 by all subjects was 80 W. Dashed lines within each group connect the 80 W data point to the
766 peak exercise data point. All data are presented as mean±SE. $\dot{V}_E/\dot{V}_{E\text{CAP}}$, fractional utilization of
767 ventilatory capacity. * $p<0.05$, main effect of age, comparisons made between all older and all
768 younger subjects, regardless of sex. † $p<0.05$, comparisons made between all men and all
769 women, regardless of age. No significant interaction effect was observed.

770

771 **Figure 3.** Operating lung volumes during exercise incremental cycle exercise at absolute and
772 relative work rates in older men and women (Panels A and C) as well as younger men and
773 women (Panels B and D). In panels C and D, the highest equivalent work rate achieved by all
774 subjects was 80 W. Dashed lines within each group connect the 80 W data point to the peak
775 exercise data point. All data are presented as mean±SE. EELV, end-expiratory lung volume;
776 EILV, end-inspiratory lung volume. * $p<0.05$, main effect of age, comparisons made between all
777 older and all younger subjects, regardless of sex. † $p<0.05$, comparisons made between all men
778 and all women, regardless of age. No significant interaction effect was observed.

779

780 **Figure 4.** The relationship between work of breathing and absolute minute ventilation during
781 incremental cycle exercise. Individual curves of the work of breathing versus absolute minute
782 ventilation in older men and women (panel A) and younger men and women (panel B). Mean
783 curves relating work of breathing to minute ventilation in all groups are shown in panel C. All
784 mean curves are based on mean values of constants a and b from *eq. 1*, and each curve has been
785 extrapolated to the average peak minute ventilation within each group. Data for older men are
786 displayed as thick grey lines, while data for older women are displayed as thick black lines. Data
787 for younger men are displayed as thin grey lines, while data for older women are displayed as
788 thin black lines. W_b , work of breathing; \dot{V}_E , minute ventilation. * $p<0.05$, main effect of age,
789 comparisons made between all older and all younger subjects, regardless of sex. † $p<0.05$,
790 comparisons made between all men and all women, regardless of age. No significant interaction
791 effect was observed.

792

793

794 **Figure 5.** The relationship between work of breathing and relative minute ventilation during
795 incremental cycle exercise. Individual curves of the work of breathing versus minute ventilation
796 in older men and women (panel A) and younger men and women (panel B). Mean curves

797 relating work of breathing to relative minute ventilation in all groups are shown in panel C. All
798 mean curves are based on mean values of constants a and b from *eq. 1*. Data for older men are
799 displayed as thick grey lines, while data for older women are displayed as thick black lines. Data
800 for younger men are displayed as thin grey lines, while data for older women are displayed as
801 thin black lines. W_b , work of breathing; \dot{V}_E , minute ventilation. * $p < 0.05$, main effect of age,
802 comparisons made between all older and all younger subjects, regardless of sex. † $p < 0.05$,
803 comparisons made between all men and all women, regardless of age. No significant interaction
804 effect was observed.

805

806 **Figure 6.** Tidal flow–volume loops at a fixed work rate of 100 W in 4 individual subjects closely
807 matched for height: an older man (panel A), an older woman (panel B), a younger man (panel C),
808 and a younger woman (panel D). Thin black lines represent the control breath and thick black
809 lines represent the negative expiratory pressure breath. All data are raw traces.

810

811 **Figure 7.** Frequency of EFL at rest and during exercise at absolute (Panel A) and relative (Panel
812 B) work rates. Older men are shown in filled grey bars, older women in filled black bars,
813 younger men open black bars, and younger women open grey bars. On panel A, the highest
814 equivalent work rate achieved by all subjects was 80 W.

815

816 **Figure 8.** Dyspnea intensity responses to incremental cycle exercise at absolute and relative
817 work rates in older men and women (panel A and C) as well as younger men and women (panel
818 B and D). In panels C and D, the highest equivalent work rate achieved by all subjects was 80 W.
819 Dashed lines within each group connect the 80 W data point to the peak exercise data point. All
820 data are presented as mean \pm SE. * $p < 0.05$, main effect of age, comparisons made between all
821 older and all younger subjects, regardless of sex. † $p < 0.05$, comparisons made between all men
822 and all women, regardless of age. ‡ $p < 0.05$, interaction effect between age and sex, men vs.
823 women within each age group.

	Older (n=22)		Younger (n=22)		
	Men (n=10)	Women (n=12)	Men (n=11)	Women (n=11)	
Age, y	70±2	66±2	26±1	24±1	*
Height, cm	173±2	163±2	176±2	166±2	†
Body Mass, kg	76±4	65±3	73±3	58±2	†
BMI, kg m ⁻²	25±1	25±1	23±1	21±1	*
FVC, l	4.30±0.12	3.46±0.20	5.46±0.22	4.26±0.18	*†
FVC, % predicted	102±2	108±3	101±3	104±3	
FEV ₁ , l	3.06±0.13	2.49±0.16	4.27±0.19	3.55±0.13	*†
FEV ₁ , % predicted	102±4	104±4	99±3	106±2	
FEV ₁ /FVC	71±2	71±2	79±2	82±2	*
FEV ₁ /FVC, % predicted	99±3	96±2	94±2	95±1	
PEF, l·sec ⁻¹	8.63±0.42	6.82±0.38	10.79±0.39	7.69±0.15	*†
FEF ₂₅₋₇₅ , l·sec ⁻¹	2.10±0.29	1.90±0.12	4.18±0.18	3.67±0.22	*
FEF ₂₅₋₇₅ , % predicted	82±11	77±4	91±3	97±6	
TLC, l	6.84±0.31	5.57±0.26	6.89±0.23	5.42±0.20	†
TLC, % predicted	102±3	108±3	102±3	103±2	
VC, l	4.45±0.14	3.57±0.18	5.56±0.21	4.32±0.17	*†
VC, % predicted	101±3	109±3	103±4	102±2	
IC, l	3.03±0.26	2.39±0.21	3.48±0.17	2.77±0.15	*†
IC, % predicted	100±8	100±9	99±5	115±5	
FRC, l	3.90±0.24	3.18±0.16	3.41±0.17	2.64±0.12	*†
FRC, % predicted	97±4.25	108±4	104±6	92±4	
RV, l	2.39±0.24	1.96±0.11	1.33±0.12	1.07±0.07	*
RV, % predicted	95±8	100±5	90±11	81±5	
DL _{co} , ml·min ⁻¹ ·mmHg ⁻¹	27±2	23±1	33±2	25±1	*†
DL _{co} , % predicted	107±6	105±4	111±4	105±4	
MIP, cmH ₂ O	-103±8	-76±6	-138±10	-106±7	*†
MIP, % predicted	98±8	108±6	107±5	115±6	
MEP, cmH ₂ O	151±16	109±8	178±11	142±9	*†
MEP, % predicted	78±8	81±6	74±5	90±6	
Cl, l, l·cmH ₂ O ⁻¹	0.29±0.01	0.28±0.03	0.31±0.02	0.26±0.02	
P _{st} 100%TLC, cmH ₂ O ⁻¹	19±2	20±2	28±1	31±1	*
P _{st} 50%VC, cmH ₂ O ⁻¹	4.8±0.4	5.5±0.6	6.4±0.5	6.5±0.2	*

826 Abbreviations: BMI, body mass index; FVC, forced vital capacity; FEV₁, forced expired volume
827 in 1 s; PEF, peak expiratory flow; FEF₂₅₋₇₅, forced expired flow between 25 and 75% of FVC;
828 TLC, total lung capacity; VC, vital capacity; IC, inspiratory capacity; FRC, functional residual
829 capacity; RV, residual volume; DL_{co}, diffusion capacity of the lung for carbon monoxide; MIP,
830 maximal inspiratory pressure; MEP, maximal expiratory pressure; Cl, lung compliance; P_{st}
831 100%TLC, static recoil pressure of the lungs at 100% of TLC; P_{st} 50%VC, static recoil pressure of the
832 lungs at 50% of vital capacity. All data are presented as mean±SE.* *p*<0.05, older vs. younger
833 subjects. † *p*<0.05, men vs. women.

834 **Table 2.** Peak exercise data.

	Older (n=22)		Younger (n=22)		
	Men (n=10)	Women (n=12)	Men (n=11)	Women (n=11)	
$\dot{V}O_2$, l·min ⁻¹	2.63±0.20	1.89±0.12	3.91±0.29	3.01±0.15	*†
$\dot{V}O_2$, ml·kg ⁻¹ ·min ⁻¹	34.7±2.0	30.6±2.6	53.4±3.1	51.7±2.8	*†
$\dot{V}O_2$, % predicted	119±5	122±6	123±6	140±7	
$\dot{V}CO_2$, l·min ⁻¹	2.92±0.20	2.23±0.14	4.33±0.27	3.34±0.17	*†
RER	1.12±0.20	1.18±0.02	1.12±0.03	1.11±0.02	
HR, beats·min ⁻¹	150±5	153±5	186±3	185±3	*
HR, % predicted	100±2.9	98±3.2	96±1.6	95±1.8	
S _p O ₂ , %	98±1	98±1	97±1	98±1	
V _T , l	2.84±0.18	1.95±0.14	2.96±0.17	2.19±0.08	†
F _b , breaths·min ⁻¹	39.5±4.1	45.7±3.2	51.5±2.5	55.1±3.0	*
\dot{V}_E , l·min ⁻¹	108±8	85±4	151±10	119±5	*†
$\dot{V}_E/\dot{V}O_2$	42.4±3.4	46.3±2.8	39.7±2.2	39.8±1.2	*
$\dot{V}_E/\dot{V}CO_2$	37.6±2.4	39.1±2.1	35.4±1.7	35.9±1.1	*†
P _{ET} CO ₂ , mmHg	30.8±0.9	32.2±1.4	33.3±3.8	31.6±1.4	
Work rate, W	172±10	140±11	269±21	222±12	*†
$\dot{V}O_2$:Work rate slope	11.7±0.7	10.8±0.50	11.5±0.3	11.2±0.3	
EELV, % TLC	56±3	56±2	50±2	51±2	*
EILV, % TLC	91±1	92±1	91±2	91±1	
W _b , J·min ⁻¹	257±24	236±28	335±52	307±39	*†
Resistive W _b , J·min ⁻¹	76±19	101±20	61±16	140±33	†
Viscoelastic W _b , J·min ⁻¹	181±32	135±23	274±42	166±22	*
\dot{V}_{ECAP} , l·min ⁻¹	151.1±13.2	118.4±8.2	220.4±9.1	181.1±11.8	*†
\dot{V}_E/\dot{V}_{ECAP} , %	73.2±4.3	75.1±4.9	69.6±4.4	67.5±3.7	*
Dyspnea, Borg scale	4.6±0.7	5.3±0.6	6.0±0.8	6.5±0.9	*
Leg Discomfort, Borg scale	6.2±0.9	6.3±0.8	9.2±0.8	8.8±0.5	*

835 Abbreviations: $\dot{V}O_2$, oxygen uptake; $\dot{V}CO_2$; carbon dioxide output; RER; respiratory exchange
836 ratio; HR, heart rate; S_pO₂, oxygen saturation by pulse oximetry; V_T, tidal volume; F_b, breathing
837 frequency; \dot{V}_E , minute ventilation; $\dot{V}_E/\dot{V}O_2$, ventilatory equivalent for oxygen; $\dot{V}_E/\dot{V}CO_2$,
838 ventilatory equivalent for carbon dioxide; P_{ET}CO₂, end-tidal carbon dioxide; EELV, end-
839 expiratory lung volume; EILV, end-inspiratory lung volume; W_b, work of breathing; \dot{V}_{ECAP} ,
840 ventilatory capacity. All data are presented as mean±SE. * *p*<0.05, older vs. younger subjects. †
841 *p*<0.05, men vs. women.

842 **Table 3.** Summary of main effects and interaction effects on primary outcome variables during
 843 exercise at absolute and relative work rates.

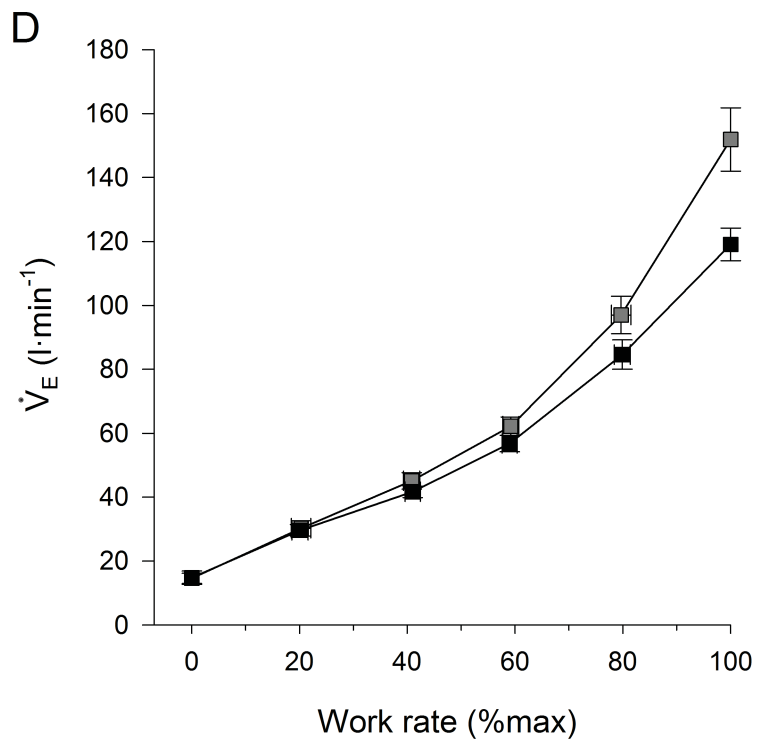
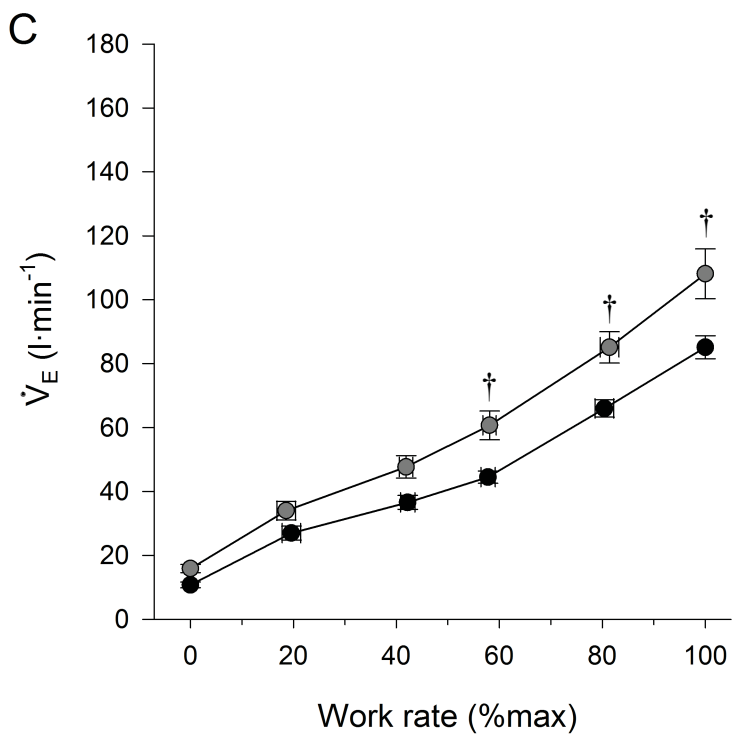
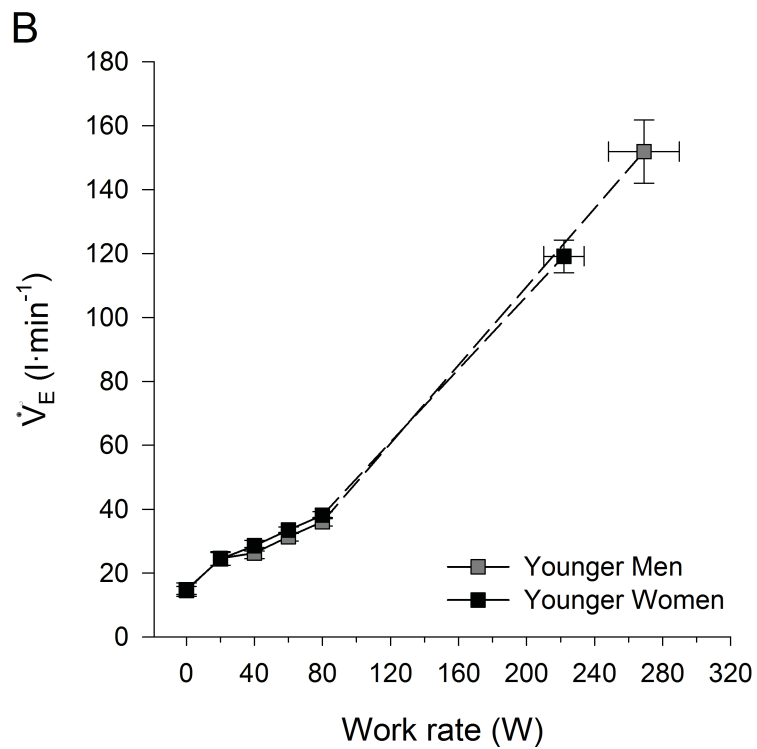
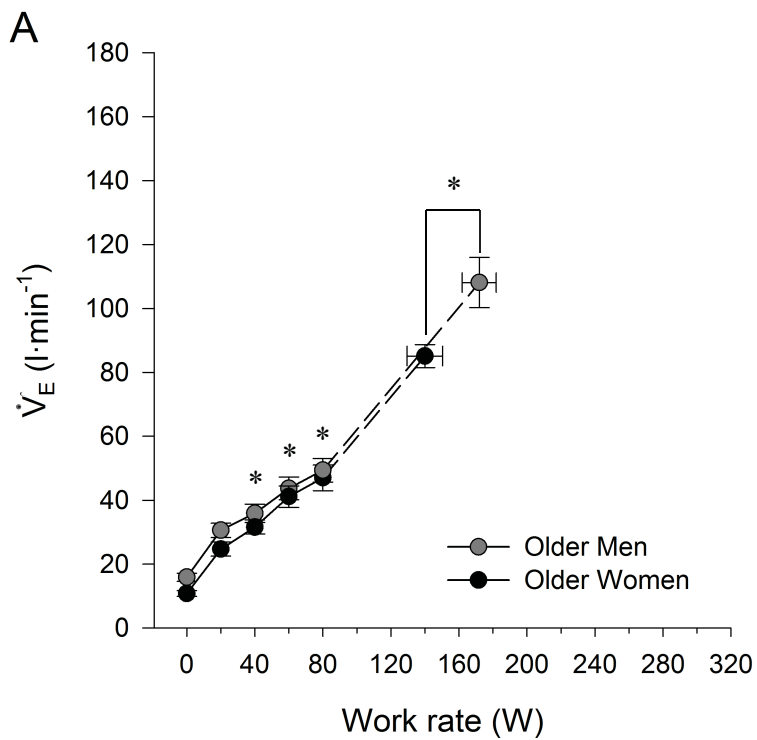
	Absolute Work Rates			Relative Work Rates		
	Age (<i>p</i>)	Sex (<i>p</i>)	Interaction (<i>p</i>)	Age (<i>p</i>)	Sex (<i>p</i>)	Interaction (<i>p</i>)
<i>Ventilatory Response</i>						
\dot{V}_E , l·min ⁻¹	<i>p</i> <0.05	n.s.	-	n.s.	<i>p</i> <0.05	-
\dot{V}_E/\dot{V}_{ECAP} , %	<i>p</i> <0.05	n.s.	-	<i>p</i> <0.05	n.s.	-
<i>Indices of Mechanical Ventilatory Constraint</i>						
EELV, % TLC	<i>p</i> <0.05	n.s.	-	<i>p</i> <0.05	n.s.	-
EILV, % TLC	<i>p</i> <0.05	n.s.	-	<i>p</i> <0.05	n.s.	-
W_b , J·min ⁻¹	<i>p</i> <0.05	<i>p</i> <0.05	n.s.	n.s.	n.s.	-
EFL	<i>p</i> <0.05	-	<i>p</i> <0.05	<i>p</i> <0.05	<i>p</i> <0.05	n.s.
<i>Perceptual Responses</i>						
Dyspnea, Borg Scale	<i>p</i> <0.05	<i>p</i> <0.05	<i>p</i> <0.05	n.s.	n.s.	-

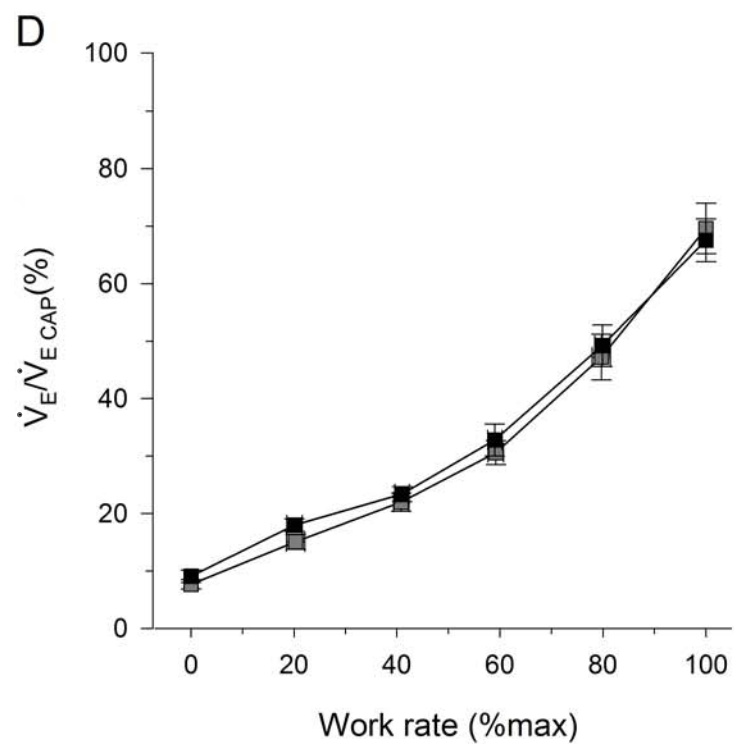
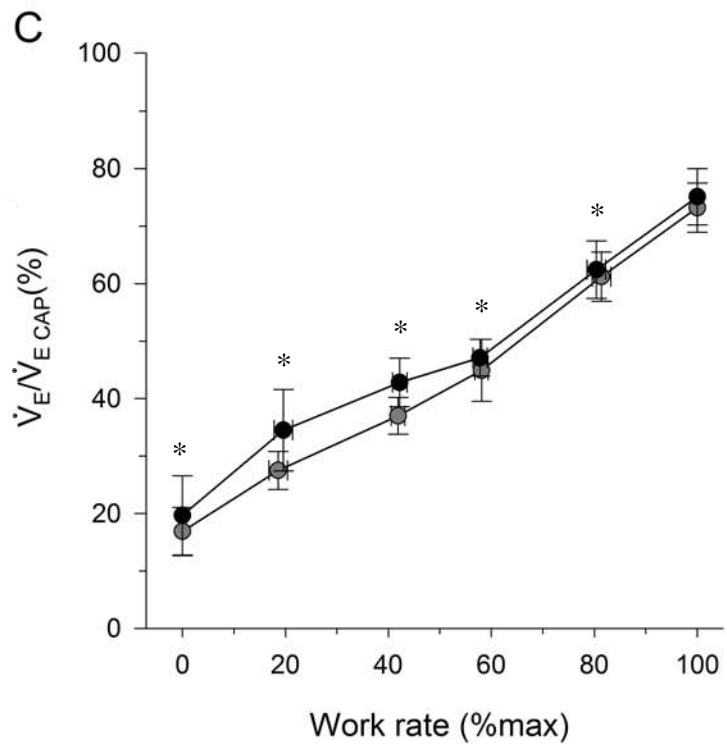
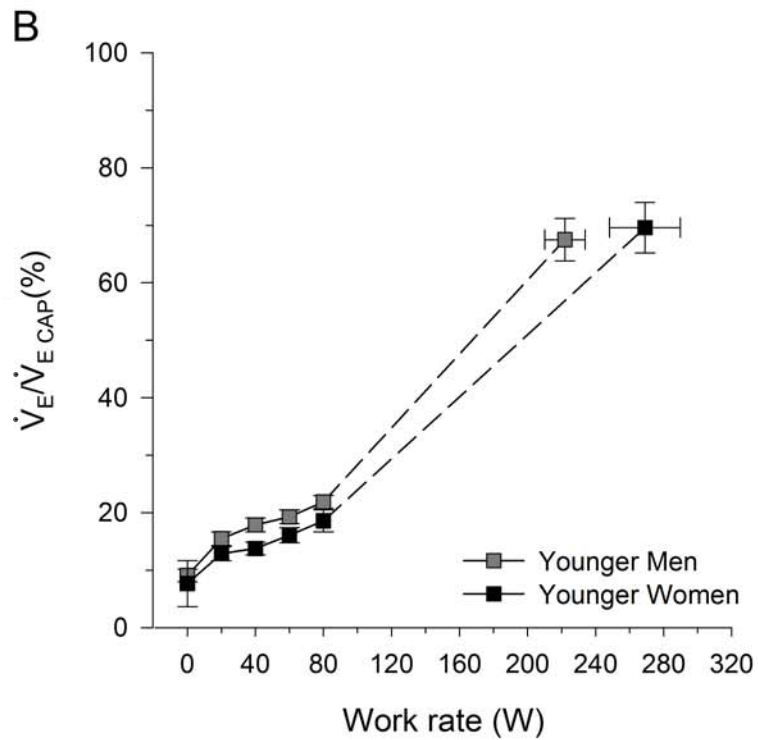
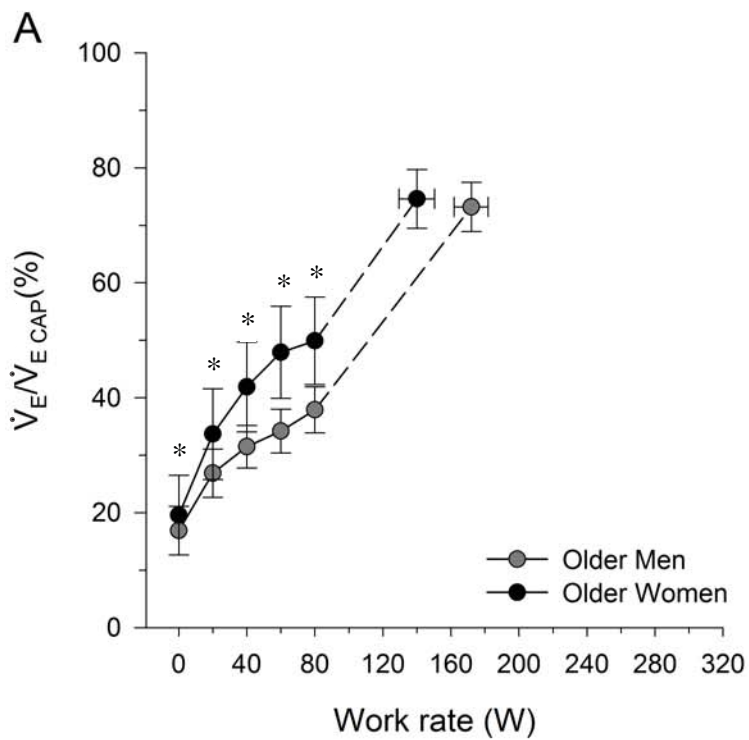
844 Abbreviations: V_T , tidal volume; F_b , breathing frequency; \dot{V}_E , minute ventilation; \dot{V}_E/\dot{V}_{CO_2} ,
 845 ventilatory equivalent for carbon dioxide; \dot{V}_{ECAP} , ventilatory capacity; \dot{V}_E/\dot{V}_{ECAP} , fractional
 846 utilization of ventilatory capacity; EELV, end-expiratory lung volume; EILV, end-inspiratory
 847 lung volume; W_b , work of breathing; EFL, expiratory flow limitation.

848 **Table 4.** Correlates of dyspnea at a standardized absolute work rate during exercise.

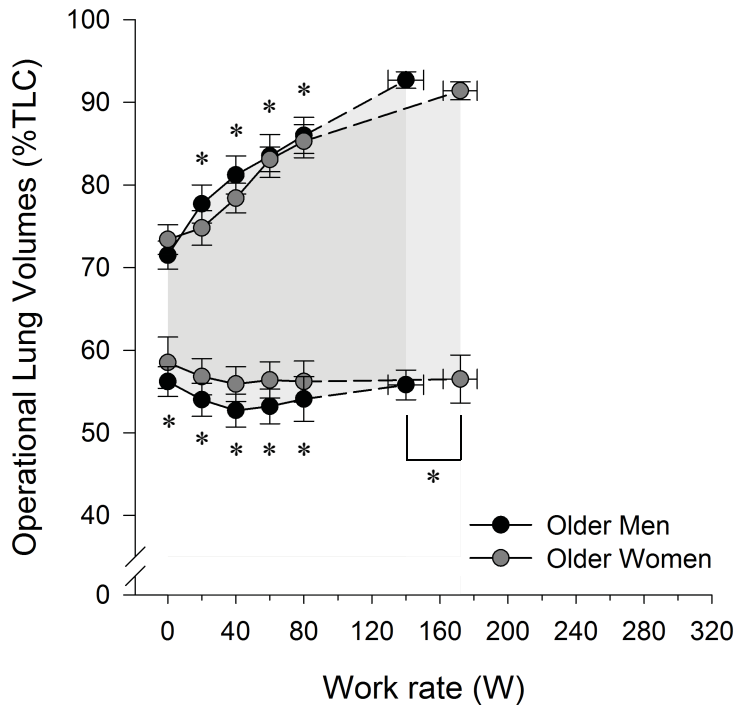
Variable	r^2	p -value
\dot{V}_E , l·min ⁻¹	0.54*	<0.001
\dot{V}_E/\dot{V}_{ECAP} , %	0.56*	<0.001
V_T , l	-0.43	0.004
V_T , %IC	0.14	0.371
V_T , %VC	0.05	0.760
F_B , breaths·min ⁻¹	0.69*	<0.001
S_pO_2 , %	-0.34*	0.024
$P_{ET}CO_2$, mmHg	-0.32*	<0.001
EELV, %TLC	0.33*	0.032
IRV, %TLC	-0.23*	0.014
IC, l	-0.47*	<0.001
IC, %predicted	-0.01	0.792
ΔIC (exercise – rest), l	-0.03	0.315
Total W_b , J·min ⁻¹	0.76*	<0.001

849 Abbreviations: \dot{V}_E , expired minute ventilation; \dot{V}_E/\dot{V}_{ECAP} , fractional utilization of ventilatory
850 capacity; V_T , tidal volume; IC, inspiratory capacity; VC, vital capacity; F_b , breathing frequency;
851 S_pO_2 , arterial oxygen saturation by pulse oximetry; $P_{ET}CO_2$, end-tidal partial pressure of carbon
852 dioxide; EELV, end-expiratory lung volume; TLC, total lung capacity; IRV, inspiratory reserve
853 volume; W_b , work of breathing. * $p < 0.05$.

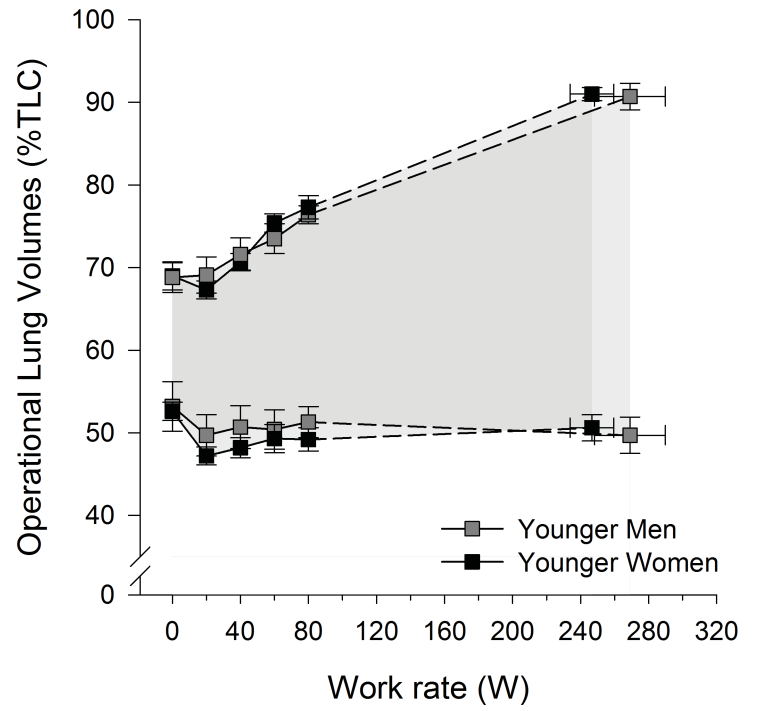




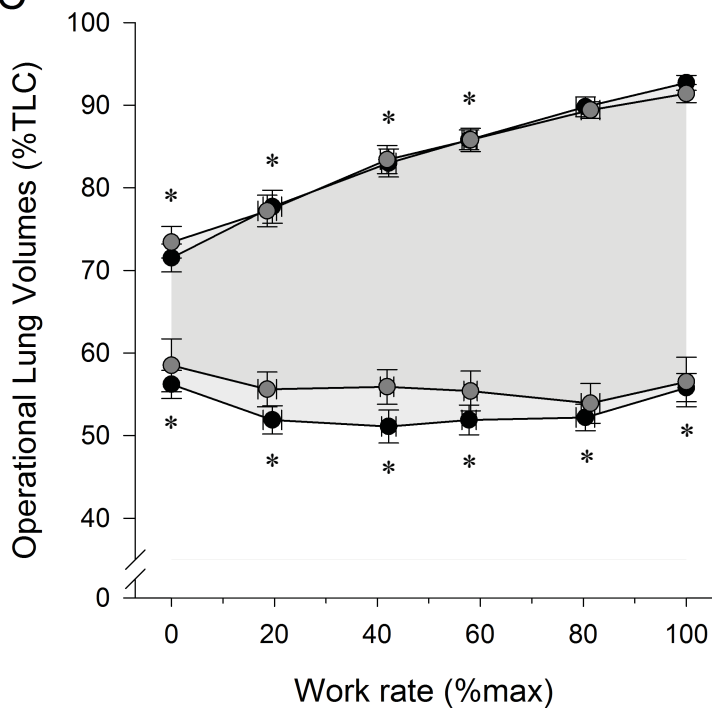
A



B



C



D

