

1 **Assessing health and environmental impacts of solvents for producing** 2 **perovskite solar cells**

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12

13 **Abstract**

14 Halide perovskites are poised as a game-changing new semiconductor system with diverse applications in
15 optoelectronics. Industrial entities aim to commercialize perovskite technologies because of high performance,
16 but also because this type of semiconductor can be processed from solution, a feature enabling low cost and
17 fast production. Here we analyze the health and environmental impacts of eight solvents commonly used in
18 perovskite processing. We consider first and higher order ramifications of each solvent on an industrial scale
19 such as the solvent production, use/removal, emissions and potential end-of-life treatments. Further, we
20 consider the energy of evaporation for each solvent, air emission, condensation and subsequent incineration,
21 reuse or distillation for solvent recycling and apply a full end-of-life analysis. For human health impact, we use
22 the 'USEtox' method, but also consider toxicity data beyond carcinogenic classifications. We find that
23 dimethylsulfoxide (DMSO) has the lowest total impact being the most environmentally friendly and least
24 deleterious to human health of the solvents considered. The analysis of these solvents on human health and the
25 environment provides guidance for sustainable development of this new technology.

26

27 Introduction

28 In 2009, an unassuming finding was reported¹ that nanocrystals of methylammonium lead triiodide deposited
29 on titanium dioxide produced a photovoltaic (PV) device with sunlight to electricity conversion at a modest 3.8%
30 efficiency. This seminal work and subsequent reports^{2,3} have inspired a renaissance in hybrid organic/inorganic
31 crystalline material research, with halide perovskite semiconductors (simply referred to here as perovskites) as
32 one of the hottest topics in science. The PV efficiency now exceeds 25% for a single junction and over 29% as a
33 multijunction technology paired with Si⁴. Solution-processed perovskites are poised for wide commercialization
34 not just in PVs but in products like displays, solid state lighting, optical/radiation detection, etc.^{5,6}.

35 PVs are a large volume application for perovskites, with important implications on sustainability because, if
36 existing hurdles to commercialization are overcome, perovskite PVs could be produced rapidly, with high
37 efficiency at low cost to provide massive amounts of sustainable electricity⁷. Solution-based perovskite
38 production makes it inherently different than previous semiconductors and therefore careful consideration of
39 this aspect of manufacturing is warranted. Typically, polar aprotic solvents are used to dissolve precursor salts
40 which when mixed, cast and annealed, form the polycrystalline perovskite film. The solvent chemistry is
41 manipulated to yield good device performance, but for large-scale production, the environmental and human
42 health aspects of processing must also be evaluated. While a full life cycle analysis (LCA) has yet to be published,
43 it seems likely that, aside from the unique deposition of perovskites, the remainder of industrial manufacturing
44 would be analogous to that of existing/established thin film PV technologies.

45 While the fact that PV perovskites contain Pb spurs many discussions, solvent use at the industrial scale has not
46 been analyzed in depth (See Supplementary Note 1 for health and environmental concerns in other industries).
47 Some polar aprotic solvents used for perovskite film fabrication⁸⁻¹¹ may cause concern due to their toxicity⁸⁻¹¹. The
48 most commonly used solvent for perovskite layer deposition, N,N-dimethylformamide (DMF) is included in the
49 Candidate List of Substances of Very High Concern (SVHC), as part of the Regulation by the European Chemical
50 Agency (Registration, Evaluation, Authorization and Restriction of Chemicals, REACH). The authorization process
51 *“aims to ensure that SVHCs are progressively replaced by less dangerous substances or technologies where
52 technically and economically feasible alternatives are available”*.¹² Moreover, DMF, and comparable solvents
53 such as N,N-dimethylacetamide (DMAC), and N-Methyl-2-pyrrolidone (NMP) are recognized as toxic to the
54 human reproductive systems. Other similar solvents such as gamma-butyrolactone (GBL); 1,3-dimethyl-3,4,5,6-
55 tetrahydropyrimidin-2(1H)-one (DMPU) and tetrahydrofuran (THF) are labeled “dangerous”. For mass
56 production of perovskites, appropriate handling of solvents must be considered during the initial development
57 phase to mitigate environmental impacts while optimizing performance.

58 Material and energy flows and emissions can be quantified across a solvent’s value chain¹³. While the impacts of
59 solvent production are included in a few perovskite LCAs (DMF¹⁴⁻¹⁹, mixtures of DMF and DMSO^{20,21} (dimethyl
60 sulfoxide) and GBL^{21,22}) in-depth environmental impact (from cradle to grave analysis) of perovskite deposition is
61 lacking. For more in-depth descriptions of reported perovskite solvents, see **Error! Reference source not found.**
62 Solvent mixtures or solvents with additives offer additional control during deposition and some can be described
63 as “green solvents”. For example, mixtures of DMSO, GBL and DMF such as: GBL+alcohol+acid²³, DMSO+2-
64 butoxyethanol²⁴, DMSO+2-methylpyrazine²⁵, and DMF:DMSO+pyridine²⁶ have been used; but also other possible
65 formulations such as PolarClean (Methyl 5-dimethylamino-2-methyl-5-oxopentanoate)²⁷,
66 methylamine+acetonitrile²⁸ and 1,3-dimethylimidazolidin-2-one (DMI)²⁹ have been reported. The aim of those
67 studies was to demonstrate viable alternatives for DMF, which are conceivably less-hazardous, non-toxic and
68 have a smaller environmental footprint. A quantitative critical assessment of these replacement solvent systems
69 is still needed prior to large scale-industrial use.

70 Currently, the best available model for characterizing HHT of chemicals is USEtox³⁰. However, many
71 characterization factors are insufficient based on the latest data. Following the original USEtox substance

72 databases³¹, new chemical regulations have been enforced through REACH regulations. These require chemical
73 risk assessments for humans and the environment before chemicals are placed on the market. Consequently,
74 additional physicochemical property data and new toxicological endpoints are now available for thousands of
75 chemical substances³².

76 Here we study the HHT and other environmental impacts included for human health of eight polar aprotic
77 solvents used for perovskite deposition: DMF, DMSO, DMAC, NMP, DMI, GBL, THF and DMPU. Both, single-
78 solvent and co-solvent analyses are presented. The impacts of solvent production, removal and emissions are
79 considered. A comprehensive environmental comparison of solvents for perovskite layer deposition demands an
80 LCA methodology. Fate and exposure models are then applied to determine missing human health
81 characterization factors for several solvents and to update existing values with available toxicity data published
82 from the registration dossiers submitted to the European Chemical Agency (ECHA) under the REACH
83 regulation¹². USEtox³¹ is modified with newly available toxicity data for detrimental impacts on human health
84 beyond the binary “carcinogenic” classification. Then, post-processing solvent removal is modelled for different
85 scenarios. Heat or air flow require additional energy and are calculated. Some solvents do not have life-cycle
86 inventories for their production; however, as we show, it can be estimated from published literature. We model
87 the following potential scenarios: direct emission of solvent vapor to urban air, solvent condensation with
88 subsequent incineration, and solvent recovery (with and without further purification).

89 Results

90 For each solvent, we consider the environmental impacts arising from industrial production, use, end-of-life
91 (EOL) costs, safety, and health hazard characteristics³³. Figure 1 shows the LCA of perovskite PV manufacturing
92 using solvents. Solvent transport to the perovskite factory, the removal from the thin film during drying, solvent
93 emission, treatment for energy recovery and solvent recovery are illustrated. Four scenarios are considered for
94 solvent EOL. In scenario 1, solvents are emitted directly to the environment, common at lab and pre-industrial
95 scale. In scenario 2, the solvents are condensed and incinerated (with energy recovery), with only a small
96 fraction being directly emitted. Incineration without energy recovery is also included in the Supplementary Note
97 8. In scenario 3, the condensed solvent (with high purity) is assumed to be reused. Scenario 4 is similar to 3
98 except the condensed solvent is distilled and the fraction not distilled is incinerated (with energy recovery). All
99 scenarios share the solvent production and the solvent removal steps. The modeling for solvent production,
100 removal, condensation, incineration and distillation are detailed in the Methods section.

101 Scalable production of perovskites benefits from low capital and operational expenditure including energy
102 consumption, materials costs, post-treating of production waste and toxic side products¹¹. For solvent choice,
103 additional costs beyond acquisition should be considered, namely the energetic cost of removal and treatment
104 as well as possible reagents for the treatment at EOL. Further, it is important to quantify the amount of solvent
105 needed. In scalable deposition processes such as blade coating, the amount of solvent, calculated using in-house
106 expertise, is 2.5 mL·m⁻² for the deposition of a perovskite layer of 500 nm. One expects similar ink transfer
107 efficiencies with spray coating and roll-to-roll processes. This equates to 3500 liters of solvent needed for a 1
108 GW factory assuming module efficiencies of 15% and a high module yield⁵.

109 Solvents selection and toxicological classification

110 Obviously, there are strict requirements for a solvent to properly coordinate ions of perovskites. Hamill et al.³⁴
111 demonstrated Gutmann’s donor number³⁵ (DN) as a parameter for the coordinating ability of a solvent with
112 Pb²⁺. Low DN solvents interact weakly with Pb²⁺, instead favoring complexation between Pb²⁺ and iodide. High
113 DN solvents coordinate more strongly with the Pb²⁺ center, which in turn inhibits iodide coordination and stalls

114 perovskite crystallization. Varying the concentration of high-DN additives in precursor solutions tunes the
 115 strength of Pb–solvent interactions, allowing finer control over crystallization and the resulting morphology of
 116 perovskite active layers^{11,36–38}.

117 Aprotic solvents with DN between 18 and 33 defined by Hamill³⁴ included in Table 1 are the subject of this
 118 assessment. DMF, DMSO, GBL, NMP, DMAC and DMPU are used extensively in literature (expressed by
 119 “Common”). THF and DMI are used less frequently (see Table 1).

120 *Table 1. Solvents selected ordered by donor number. ECHA classification & labelling¹². C= carcinogen; R= toxic to reproduction. The sub
 121 index p means potential and the sub index r means recognized.*

Name	Acronym	CAS	Labeling & property of concern	DN ³⁵	References
γ-butyrolactone	GBL	96-48-0	Danger	18	Common
Tetrahydrofuran	THF	109-99-9	Danger, C _p	20	^{39–41}
N,N-dimethylformamide	DMF	68-12-2	Candidate list SVHC, R _r	26.6	Common
N-methyl-2-pyrrolidone	NMP	872-50-4	Danger, R _r	27.3	Common
Dimethylacetamide	DMAC	127-19-5	Candidate list SVHC R _r	27.8	Common
1,3-dimethylimidazolidin-2-one	DMI	80-73-9	Danger	29	^{29,42,43}
Dimethyl sulfoxide	DMSO	67-68-5	No hazards	29.8	Common
1,3-dimethyl-3,4,5,6-tetrahydropyrimidin-2(1H)-one	DMPU	7226-23-5	Danger R _p	33	Common

122 The European Chemical Agency classifies DMF and DMAC as SVHCs and toxic to reproduction **Error! Reference**
 123 **source not found**. These include endocrine disruptors and substances that are carcinogenic, toxic to
 124 reproduction or very persistent.

125 The remainder of solvents are labelled “danger”, except DMSO which is not classified (although “not classified”
 126 in the hazard statement does not mean nonhazardous, see Supplementary Note 1). NMP is classified as toxic to
 127 reproductive systems and DMPU is potentially toxic. THF is potentially carcinogenic, however, in the
 128 toxicological information from registered substances, it is concluded¹²: “THF should not be rated for
 129 carcinogenicity. However, there is a harmonized classification for this substance as a Category 2 carcinogen
 130 under the EU CLP classification system (EC No 1272/2008)”. Supplementary Table 3 includes the hazard
 131 statement for each solvent along with properties such as boiling point, vapor pressure and lower explosive limit
 132 that influence potential environmental impacts.

133 Manufacturing, removal and EOL also have environmental effects for each solvent. For example, DMSO, the
 134 least hazardous as indicated in Table 1, has a higher boiling temperature and lower vapor pressure than DMF
 135 and THF, therefore, higher energy consumption is required for the removal of DMSO resulting in additional
 136 environmental impacts. A critical assessment of the *total potential effects* will better guide solvent tradeoffs.

137 Human health toxicity

138 USEtox provides a good starting point to estimate HHT with regards to environmental impact, but as we show,
 139 can be expanded and improved by breaking down the potential causes beyond the simple carcinogenic
 140 classification. We use updated toxicity data, as explained in the Methods section *Determination of new human*
 141 *health toxicity characterization factors*. For urban air emission, Table 2 recompiles the initial characterization
 142 factors for HHT impact category with USEtox 2.11, measured as number of cases of any identified condition per
 143 kg of solvent emitted. Toxicity data are in Supplementary Tables 4-11.

144
145

Table 2. Human health characterization factors expressed as cases per kg of substance emitted directly to urban air. Values for initial USEtox³¹ and estimations with the modified method, aggregated by carcinogens and disaggregated by cause.

Emission to urban air	DMF	DMSO	DMAC	NMP	DMI	GBL	THF	DMPU
Initial USEtox carcinogens	0	0	0	9.64E-09	n/a	0	6.77E-08	n/a
Initial USEtox non-carcinogens	2.91E-06	n/a	0	n/a	n/a	0	n/a	n/a
Estimated carcinogens	0	0	0	0	0	0	0	0
Estimated non-carcinogens	2.61E-06	4.89E-08	4.61E-07	2.22E-07	5.91E-07	6.78E-07	7.60E-08	3.23E-06
Liver	1.70E-06		1.31E-07					
Spleen								1.49E-06
Benign neoplasm						2.96E-09		
Systemic toxicity		1.15E-08	1.34E-07	3.54E-08		6.41E-08	3.13E-08	
Chronic nephropathy				6.63E-09				
Digestive-Anemia		5.41E-10						
Upper respiratory irritation		2.97E-08		5.27E-08				
Maternal disorders, Infertility	4.53E-07			5.36E-09	2.95E-07			1.49E-06
Fetotoxicity (growth reduction)		7.22E-09			2.95E-07	6.11E-07	4.47E-08	2.49E-07
Fetotoxicity (skeletal variations)	4.53E-07		1.97E-07	1.22E-07				

146

147 While DMF is the only solvent considered by USEtox 2.11 to be carcinogenic, general toxicity and other sources
 148 of HHT-relevant data exist for all of the solvents studied. NMP and THF are arguably carcinogenic according to
 149 USEtox, however the measured carcinogen characterization factor as assessed with the new model is zero. The
 150 potential carcinogenic effects are discussed for DMF, GBL and THF in the REACH¹² registration dossiers and it is
 151 concluded that no carcinogenic risk exists for humans.

152 To assess the global impact of those solvents on human populations, such characterization metrics should be
 153 considered in addition to direct damage to human health, impacts on the environment such as climate change,
 154 ecosystem quality and resource scarcity, etc. Such a metric which encompasses direct effects via HHT and
 155 higher-order environmental effects impacting human health, is the disability-adjusted life year (DALY). DALYs are
 156 defined as a measure of disease burden composed of the sum of the years of life lost due to premature
 157 mortality in the population, and the years lost due to disability for people living with a health condition or its
 158 consequences.

159 We further modify USEtox by converting all data into DALYs for each of the causes identified, see Supplementary
 160 Table 13. USEtox gives the same weighting factor for all non-carcinogenic causes. However, the consequences
 161 for an upper respiratory irritation or a skeletal malformation of a fetus, for example, are significantly different.
 162 These weighting factors are applied to the characterization factors in Table 2 and the results are shown in **Error!**
 163 **Reference source not found.**a. DMF has the highest DALYs, followed by DMAC. Note DMF and DMAC are SVHC,
 164 Table 1. The main consequence is fetotoxicity; namely DMF, DMAC and NMP are recognized as toxic for
 165 reproduction. Other causes are almost negligible with the exception of impacting the liver for DMF and the
 166 spleen for DMPU.

167 Human health characterization factors extracted from USEtox 2.11 shown in **Error! Reference source not**
 168 **found.**b and Table 2 use the new toxicological data for additional estimated values. All solvents show increased
 169 characterization factors except for DMF and THF, though DMF remains the most damaging in this impact
 170 category. The modified method highlights the cases of fetotoxicity with respect to other non-carcinogenic
 171 causes.

172 Solvent removal

173 While solution processability of perovskites is touted as low cost, leveraging low capital expenditure production
 174 equipment compared to established semiconductor processing, solution-based fabrication requires additional
 175 disposal considerations. The most obvious consideration is the removal of solvent as the perovskite film dries.
 176 This step is critical for forming high performance solar cells. For high production rates, the solvent must be
 177 forced to dry quickly. We calculated the required drying energy using the substrate temperature and air velocity
 178 as inputs. This energy consumption adds to the LCA. We consider the heat for liquid to gas phase transitions and
 179 added air flow using either laminar or turbulent convective mass transfer, see Methods section.

180 Using convective mass transfer (Table 3 and Supplementary Tables 15 and 16), the temperatures selected
 181 achieve an evaporation time of less than 2 seconds for a surface with a characteristic length of 1 m and web
 182 width of 1 m for 500 nm film thickness. Note that in the turbulent drying scenario, no heating is required for
 183 DMF and DMAC, and only the kinetic energy of the air is included, which significantly reduces the total energy
 184 consumption. The scenario of turbulent convective mass transfer has the lowest values. However, turbulent
 185 flow is not sufficiently assessed⁴⁴. The exact processing conditions would likely be between these values and will
 186 need further optimization taking into consideration the achievable module performance.

187 *Table 3. Evaporation rate and energy required for drying time below 2 seconds per m². Two scenarios: a) laminar flow b) turbulent flow.*
 188 *Common considerations: thin film of 1x1 m² and thickness of 500 nm, 2.5 mL·m⁻² of solvent, solvent concentration = 30% of lower*
 189 *explosive limit, room temperature of 25 °C with 10°C increments for temperature.*

Solvent	Laminar convective mass transfer			Turbulent convective mass transfer		
	Temp (°C)	Evaporation rate(g/(s.m ²))	Energy (kJ/kg)	Temp (°C)	Evaporation rate(g/(s.m ²))	Energy (kJ/kg)
DMF	90	1.51	5247.8	50	1.93	35.5
DMSO	120	1.71	6123.4	80	2.10	1633.1
DMAC	90	1.19	5389.0	50	1.28	36.4
NMP	120	1.28	9537.3	80	1.47	2543.8
DMI	150	1.61	11003.5	100	1.47	3969.0
GBL	140	1.73	12322.0	90	1.61	3774.3
THF	25	2.58	0.8	25	2.35	43.9
DMPU	170	1.43	9348.9	110	1.30	3523.8

190 THF is capable of evaporating at room temperature in both regimes. Kinetic energy is practically negligible at the
 191 air velocities considered. Temperature is the most significant factor in the energy requirements of each solvent,
 192 but vapor pressure, specific heat, density and lower explosive limit also contribute.

193 Life cycle assessment

194 The environmental impacts affecting human health during the life cycle of the solvents used in perovskite film
 195 manufacturing, including production, removal and EOL for the four scenarios considered are plotted in **Error!**
 196 **Reference source not found..** In panel (a), the impacts are broken down by category. Three categories are
 197 highlighted: Toxicity, global warming and fine particulate matter. The remaining categories have been grouped
 198 as “others” (the impacts of human health from stratospheric ozone depletion, ionizing radiation and ozone
 199 formation, see Supplementary Table 17 for midpoint environmental impacts). The HHT for solvent emission is
 200 estimated with a new method, described in the *Human health toxicity* section. In panel (b), the impacts are
 201 broken down by life cycle phases.

202 Focusing on scenario 1, which does not include EOL treatment, DMF presents the highest impact during
203 emission (**Error! Reference source not found.b**), however, when the entire life cycle is considered, others
204 including NMP have much greater impact due to high energy consumption during production. Energy
205 consumption is directly related to the increased impact of global warming (Supplementary Figure 1) and the
206 impact of particulate matter. At the opposite end, DMSO has the lowest impact followed by DMPU and DMAC.

207 Incineration following condensation without energy recovery is more harmful to the environment than direct
208 emission into the atmosphere and should always be avoided (Supplementary Note 8). Adopting an EOL
209 treatment (scenarios 2-4) reduces the environmental impact and the HHT with two exceptions (see also
210 Supplementary Figure 2). The energy consumption by a condenser for THF is excessive, see **Error! Reference
211 source not found.b**, therefore other alternatives should be analyzed like using a membrane as a pre-
212 concentration step for THF recovery⁴⁵.

213 The second exception is the incineration of DMSO (**Error! Reference source not found.b**). The reagents needed
214 to neutralize sulfur increase toxicity to human health (**Error! Reference source not found.a**). Other alternatives
215 should be analyzed if DMSO recovery is not possible. Wastewater treatment containing DMSO is difficult
216 because aerobic biological processes cannot effectively decompose DMSO, and anaerobic biological processes
217 produce noxious compounds (dimethyl and hydrogen sulfides). However, DMSO is efficiently decomposed into
218 methanesulfonic acid using UV/H₂O₂ process or ozone based oxidation processes⁴⁶.

219 Controlled emissions are critical for DMF, DMAC and DMPU for significant reductions of HHT (**Error! Reference
220 source not found.a** and Supplementary Figure 2). Further analysis beyond hazard statement of Table 1 and
221 Supplementary Table 3, shows the impacts of DMSO, DMPU, DMAC and DMF are lower than that of DMI, GBL,
222 NMP and THF. Note, GBL and DMI are solvents with the highest impact, however some references^{23,29} consider
223 them as non-hazardous potential alternatives to other solvents, which create less environmental impact.

224 Scenario 3 (direct solvent recovery using a condenser) is an ideal option but must be tested to determine if the
225 purity of the recovered solvent is sufficient. Some solvents are much more prone to deprotonation and
226 production of side complexes that would require a more complex purification process. If not adequate, scenario
227 4 would be more realistic. Regardless of whether distillation is required, solvent recovery (scenarios 3 and 4) is
228 more environmentally friendly than scenario 2 and should be explored by researchers. Other alternatives such
229 as direct distillation without condensing and less energy intensive separation routes should also be researched.
230 Note in **Error! Reference source not found.b** the solvent recovered is subtracted from the solvent production
231 and the energy recovered is expressed with negative values to indicate the beneficial effect.

232 Discussion

233 To put this study in context, we compare the solution-based perovskite production with deposition of other PV
234 absorbers, specifically amorphous silicon in heterojunction cells (SHJ) with an efficiency of 20% and CdTe with an
235 efficiency of 15% for a 1 GW scale plant (see the detailed assessment in the Supplementary Note 9). The
236 perovskite solvent chosen here is DMF, the most problematic of the solvents studied from an HHT perspective.
237 With no EOL, *i.e.* directly emitted to the atmosphere, the HHT and environmental impacts for perovskite
238 production are at least 30x lower, which are all small in comparison to analogous electronic technologies, *e.g.*
239 the production of 30,000 17" LCD displays⁴⁷. Thus, in the case of DMSO, the results are even more favorable for
240 the environment. The total solvent quantity is marginal even at the industrial scale thus we conclude that a
241 significant impact on human health due to solvent use is not likely. However, future legislation could limit the
242 large-scale industrial use of DMF or other relevant solvents, which is why we encourage further development of
243 greener processes

244 This methodology, focusing on the utilization of solvent for the fabrication of PV devices, highlights the
245 comparatively lower human environmental impacts of DMSO in this context, which is in good agreement with
246 recommendations in other industries (see Supplementary Table 1); however DMSO does present some
247 challenges (see Supplementary Note 1). In addition, we demonstrate a general methodology for analyzing the
248 impact of a combination of solvents which can inform new solvent strategies aimed at reducing their
249 environmental impact; a vital step towards industrializing perovskite PVs.

250 This work should be considered a roadmap for researchers to further develop less hazardous solvents. The
251 rationale for using solvent combinations is to finely tune the interactions between the solvate and to optimize
252 the nucleation and crystallization dynamics. Recently, strategies to tune these dynamics have been proposed,
253 for example, mixing PbS nanocrystals into the solution in DMSO.⁴⁸ This strategy provides vital insights into how
254 additives can improve DMSO for high-performance devices and informs potential new approaches to
255 manufacturing using DMSO as the main solvent (also see Supplementary Table 23). Also noteworthy are the
256 promising results of DMSO/2-butoxyethanol²⁴ and acetonitrile/methylamine⁴⁹ in roll-to-roll processes (see
257 Supplementary Table 24).

258 Analysis of solvent mixtures is considered here for DMF / DMSO combinations (See Supplementary Note 11).
259 Additional inputs include density, excess molar enthalpy and excess molar heat capacity of the mixture. Higher
260 DMSO concentration (Supplementary Figure 4) and adopting an EOL treatment (scenarios 2-4) reduces the
261 human health and environmental impacts, except for incineration when DMSO volume fraction exceeds 0.5.

262 In summary, we have assessed the human health and environmental impacts for the manufacturing, removal
263 and EOL of solvents for perovskite deposition. USEtox, the best current characterization model, is modified by
264 breaking down the potential health impacts beyond the generic carcinogen classification, updated with the
265 latest available toxicity data. We convert the relevant metrics to DALYs and find that DMF is the most
266 consequential, followed by DMAC. While more work remains to further improve the HHT characterization
267 factors with the modified method presented here, we find that DMSO has the lowest human health and
268 environmental impacts in each of the scenarios analyzed: direct emission, incineration with energy recovery,
269 solvent recovery without and with distillation. For all solvents considered, solvent recovery is more
270 environmentally friendly than incineration even with energy recovery regardless of whether distillation is
271 required. We conclude that work targeting the transition to industrial scale production should consider the
272 impact of solvents which might affect human health and the environment. Our analysis suggests DMSO as the
273 main solvent offers the lowest negative footprint on an industrial scale but any viable solvent must still enable
274 critical performance metrics such as power conversion efficiency and module stability. This analysis provides
275 guidance for solvent choice for perovskite PV and an approach to compare the human health and environmental
276 impacts of other nascent and established “green” energy technologies.

277 METHODS

278 Human health environmental impacts

279 The environmental impact categories considered here and included in the human health area of protection are
280 toxicity from USEtox^{31,50,51} or from the improved methodology developed in this paper. The common unit for
281 reporting and comparison of these categories is DALYs. Other impact categories: global warming, toxicity,
282 stratospheric ozone depletion, ionizing radiation, ozone formation and fine particulate matter formation, are
283 obtained from ReCiPE 2016 v1.1 with the Endpoint method and the Hierarchist version⁵². Environmental
284 impacts, calculated with ReCiPE Midpoint method, are also included. At the midpoint level, impact categories
285 are not grouped in areas of protection.

286 Determination of new human health toxicity characterization factors

287 From OECD QSAR Toolbox 4.3⁵³, data is extracted that incorporates various modules and databases of the EPI
288 Suite™ developed by US EPA⁵⁴ to assist in the risk assessment of chemicals⁵⁵, and introduced in USEtox for
289 human exposure and toxicity model³¹ which was implemented in Microsoft® Excel⁵¹. The following
290 characteristics were implemented: molecular weight, dissociation constant for organic acids or bases, octanol-
291 water partitioning coefficient, organic carbon-water partitioning coefficient, Henry constant, vapor pressure,
292 water solubility, degradation rates in air, water, soil and sediment, and bioaccumulation factors for fish.

293 Toxicity data is extracted from published data of the registration dossiers submitted to European Chemical
294 Agency (ECHA) under REACH Regulation¹². Previously, REACH was used as a potential data source for
295 ecotoxicity^{32,56–59}.

296 Registers of toxicity data include: the route (oral or inhalation), the test animal (humans, rats, mouse, rabbits,
297 etc.), dose exposure time (chronic for more than 210 days, subacute for 2-4 weeks and subchronic), descriptor
298 (The No-Observed-Adverse-Effect Level, NOAEL/NOAEC or the Lowest-Observed-Adverse-Effect Level,
299 LOAEL/LOAEC), the value of the descriptor with the appropriate corrections if the exposure is different than 24
300 h·day⁻¹ and 7 days·week⁻¹ (the units are mg·kg⁻¹ bodyweight·day⁻¹ for oral ingestion and mg·m⁻³ for inhalation),
301 disease or organ affected and descriptions of the observed effects. Toxicity data for the solvents are in
302 Supplementary Note 4.

303 Toxicity data is transformed to the dose required to achieve 50% of the desired response in 50% of the
304 population, ED₅₀, during the lifetime. It is assumed that the average bodyweight (bw) is 70 kg, the average
305 inhalation rate (inh) is 13 m³ day⁻¹ and the average lifetime is 70 years. The equation to obtain ED₅₀ for oral
306 ingestion and inhalation are, respectively:

$$ED_{o,50} \left(\frac{kg}{person\ lifetime} \right) = D_o \cdot \frac{CD \cdot CF}{Aft_o} \cdot bw \cdot lifetime \cdot 365 \cdot 10^{-6} \quad (1)$$

$$ED_{i,50} \left(\frac{kg}{person\ lifetime} \right) = D_i \cdot \frac{CD \cdot CF}{Aft_i} \cdot inh \cdot lifetime \cdot 365 \cdot 10^{-6} \quad (2)$$

307 where, Aft_o is the extrapolation factor for interspecies differences (1.1 for pigs, 1.5 for dogs, 1.9 for monkeys or
308 cats, 2.4 for rabbits, 2.6 for hens, 2.9 for minks, 3.1 for guinea pigs, 4.1 for rats, 4.9 for hamsters, 5.5 for gerbils
309 and 7.3 for mice). For inhalation, Aft_i equals 1; CD is a factor to convert subacute and subchronic exposures to
310 chronic exposures (0.5 for subchronic and 0.2 for subacute) and CF is a factor to convert NOAEL/NOAEC and
311 LOAEL/LOAEC to ED₅₀ (9 and 2.25, respectively). Results are in Supplementary Table 12.

312 Each one of the identified diseases are associated with one or several codified causes of the Global Burden
313 Disease⁶⁰ (GBD 2017). The DALYs per case for each symptom are obtained by dividing global DALYs by the
314 number of incidences in 2017, see Supplementary Table 13.

315 Solvent removal

316 Common considerations to estimate the energy during the solvent removal process are: initial temperature is 25
317 °C, T_o , pressure is 1 atm, the volume of air is established to achieve a solvent volume concentration less than
318 30% of the lower explosive limit, (LEL) per industry standards^{61,62}.

319 Molecular weights and LEL are obtained from PubChem. Heat capacities and vaporization enthalpies are
320 obtained from NIST Chemistry WebBook⁶³. Heat capacities of DMI and DMPU are estimated. LEL is the minimum
321 concentration of flammable vapor in air that will propagate a flame if ignited. Experimentally determined values
322 for these parameters are not readily available for many chemicals. LEL was estimated based on the 50% of the
323 stoichiometric concentration of combustion⁶⁴.

324 The method by Joback et al. was used to predict the ideal gas heat capacities of solvents without empirical
325 values⁶⁵, DMI and DMPU. The estimation of liquid heat capacities are based on ideal gas heat capacities^{66,67},
326 calculated as a function of temperature, based on the summation of functional groups present in the molecular
327 structure of the component, and with the estimation of critical properties of the solvents⁶⁸. Heat capacities are
328 in the Supplementary Table 3.

329 Human health impacts due to energy consumption for solvent vaporization are assumed to be electricity for
330 kinetic energy (Electricity, medium voltage, US) and gas for heating (Heat, from steam, in chemical industry,
331 RoW). Energy losses for kinetic energy and heating are estimated for inefficiencies with an extra 30%⁶⁹.

332 The solvent can be removed from the perovskite layer through a forced flow of air. This scenario is typically
333 followed in roll-to-roll processes. The transfer mass of the solvent is modelled with equation (3):

$$N_s = k_c \cdot (c_{s1} - c_{s2}) \quad (3)$$

334 where N_s is the molar flux of the solvent and k_c is the mass transfer coefficient expressed, in this case, in units of
335 molar concentration. The concentrations of the solvent in the perovskite and in the air are c_{s1} and c_{s2} ,
336 respectively. We assume the air is initially free of solvent (c_{s2} is zero). The concentration c_{s1} is obtained from the
337 vapor pressure of the solvent, P_s :

$$c_{s1} = \frac{P_s}{R \cdot T} \quad (4)$$

338 Mass transfer coefficients depend on the relevant physical properties of the air, the geometry and the approach
339 velocity of the air over the perovskite layer. The mass transfer coefficients can be obtained with the
340 dimensionless Sherwood number, Sh :

$$Sh = \frac{k_c \cdot L}{D_{SA}} \quad (5)$$

341 where L is the characteristic length scale and D_{SA} is the diffusion coefficient, in this case, of the solvent in the air.
342 The Sherwood number depends on two dimensionless constants. One is the Reynolds number, Re , and the other
343 is the Schmidt number, Sc :

$$Re = \frac{L \cdot u}{\nu} \quad Sc = \frac{\nu}{D_{SA}} \quad (6)$$

344 Where ν and u are the viscosity and the velocity of the air, respectively. The expressions for a flat plate are
 345 obtained from the solutions of the boundary layer equations. For a laminar flow, the average Sherwood number
 346 is:

$$Sh = 0.664 \cdot Re^{\frac{1}{2}} \cdot Sc^{\frac{1}{3}} \quad Re < 3 \cdot 10^5 \text{ \& } 0.6 \leq Sc \leq 50 \quad (7)$$

347 and for a turbulent flow:

$$Sh = \left(0.037 \cdot Re^{\frac{4}{5}} - 871\right) \cdot Sc^{\frac{1}{3}} \quad 3 \cdot 10^5 \leq Re \leq 10^8 \text{ \& } 0.6 \leq Sc \leq 50 \quad (8)$$

348 Laminar flow is used in several pre-industrial plants. However, turbulent flow is not sufficiently assessed⁴⁴. In the
 349 first approach, the vaporization rate, N_A , is obtained at room temperature ($T_o = 25^\circ\text{C}$). If the evaporation time of
 350 a surface of characteristic length of 1 m and width web of 1 m with 500 nm of thickness is greater than 2
 351 seconds, the temperature, T , is increased to 40°C and above with increments of 10°C until the evaporation time
 352 falls below 2 seconds.

353 Energy consumption is determined by the air kinetic energy, E_c , and the heat for air and for the solvent, Q_A and
 354 Q_S , respectively:

$$E = E_c + Q_A + Q_S = \frac{1}{2} \cdot m_A \cdot u^2 + m_A \cdot cp_A \cdot (T - T_o) + m_S \cdot cp_S \cdot (T - T_o) \quad (9)$$

355 The air mass, m_A , is established to achieve a solvent volume concentration below 30% of the LEL. The coefficient
 356 of diffusion, vapor pressure, viscosity, density and specific heat (cp) are temperature dependent. Air specific
 357 heat is obtained for each temperature, however, specific heats for solvents are considered constant. Solvent
 358 diffusivities in air are obtained at 25°C ^{70,71}. If the value is not experimentally available, it is predicted with a
 359 QSPR model⁷² for GBL, THF, NMP, DMAC and DMSO. Values are in the Supplementary Table 3. Diffusivities for
 360 other temperatures, $D_{AB,T}$, are obtained with the expression⁷⁰:

$$D_{SA,T} = \frac{D_{SA,T}}{\left(\frac{298}{T}\right)^2} \quad (10)$$

361 Vapor pressures of the solvents at different temperature are obtained with the Clausius Clapeyron equation:

$$P_T = P_o \cdot e^{\frac{\Delta H_{vap}}{R} \cdot \left(\frac{1}{T_o} - \frac{1}{T}\right)} \quad (11)$$

362 Liquid densities of solvents at different temperatures are estimated with the volumetric temperature
 363 coefficient, β , obtained for DMF⁷³.

$$\rho_T = \frac{\rho_o}{1 + \beta \cdot (T - T_o)} \quad (12)$$

364 Condensation of solvent

365 A condenser connected to the evaporation hood is considered in scenarios 2-4 to avoid the air emission of the
366 solvent. The condensed solvent is either further treated by incineration (scenario 2) or recovered (scenarios 3-
367 4). Condensation is a separation technique in which one or more volatile components of a vapor mixture are
368 separated from the remaining vapors through saturation followed by a phase change. In a condenser, the phase
369 change from gas to liquid is achieved by lowering the temperature at a constant pressure. The US EPA
370 methodology⁷⁴ is followed to estimate the energy consumption, assuming a removal efficiency of 90%.

371 This methodology is complemented with the values of the critical temperatures⁷⁵ and the coefficient of
372 performance (COP), as a function of condensation temperature (T_{cd}) of the VOC⁴⁵, limited to a maximum value of
373 3:

$$COP = \min(f(X), 3) \quad (13)$$
$$f(X) = 4 \cdot 10^{-6} \cdot \left(\frac{T_{cd} - 10}{308.15 - T_{cd}}\right)^3 - 0.0025 \cdot \left(\frac{T_{cd} - 10}{308.15 - T_{cd}}\right)^2 + 0.7057 \cdot \left(\frac{T_{cd} - 10}{308.15 - T_{cd}}\right) - 0.4691$$

374 Incineration

375 Hazardous waste incinerations for scenario 2 and for the waste fraction of distillation in scenario 4 are estimated
376 using the model by Doka⁷⁶. Each solvent waste is defined in terms of content of chemical elements (C, H, O, S, N
377 etc.). The environmental benefits of energy recovery (electricity and heat), known as energy credits, are
378 separate from the impacts of incineration. The solid residues generated in incineration are landfilled in a
379 residual material landfill. Life cycle inventories are introduced in SimaPro 9.0⁷⁷ and the human health
380 environmental impacts are assessed.

381 Distillation

382 Scenario 4 is designed assuming the purity of solvent condensed is not sufficient to be reused directly and
383 distillation is necessary. Average statistical data⁷⁸ are taken into account, due to great uncertainty about
384 potential solvent mixtures and impurities in the perovskite layer. Data for electricity and nitrogen are the same
385 for all solvents, 0.12 MJ and 1.88 g respectively, per kg of solvent. The fraction of solvent recovered is 0.71 and
386 the remainder is incinerated accounting for energy recovery.

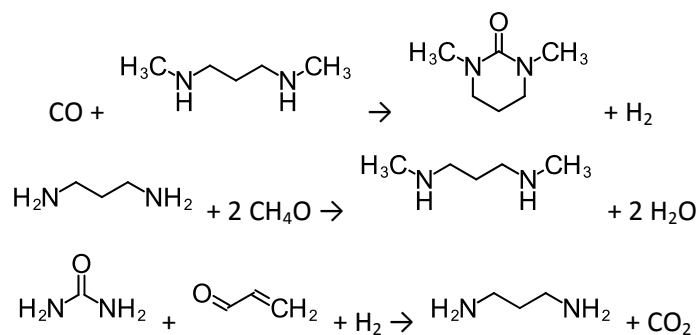
387 Thermal energy is the necessary energy to heat the solvent, Q_S , and the nitrogen, $Q_{N,}$ from the initial
388 temperature to the boiling point, T_{bp} , and the heat of vaporization of the solvent, $Q_{S,vap,}$ all multiplied by
389 coefficient of 4 to reach the average statistical data⁷⁸:

$$H = (Q_N + Q_S + Q_{S,vap}) \cdot coef \quad (14)$$
$$= (m_N \cdot cp_N \cdot (T - T_o) + m_S \cdot cp_S \cdot (T - T_o) + m_S \cdot \Delta H_{S,vap}) \cdot coef$$

390 Heat capacities, boiling temperatures and vaporization enthalpies are obtained from NIST Chemistry WebBook⁶³.

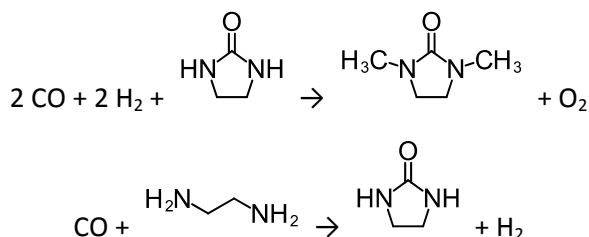
391 Solvents production

392 Life cycle inventories for solvent production are obtained from Ecoinvent 3.5⁴⁷, with the exception of DMPU and
393 DMI which are obtained from the reactions Scheme 1 and Scheme 2, respectively (listed below).



394 *Scheme 1. Synthesis of 1,3-dimethyl-3,4,5,6-tetrahydropyrimidin-2(1H)-one (DMPU).*

395 In the first reaction of Scheme 1, carbon monoxide and N,N'-dimethyl-1,3-propanediamine react to produce 1,3-
 396 dimethyl-3,4,5,6-tetrahydropyrimidin-2(1H)-one. Reagents are maintained at 20 °C for 3 h⁷⁹. In the second
 397 reaction, 1,3-diaminopropane and 2 moles of methanol react to produce N,N'-dimethyl-1,3-propanediamine.
 398 Reagents are heated to 300 °C⁸⁰. In the last reaction, urea, acrolein and hydrogen react to produce 1,3-
 399 diaminopropane. Reagents are heated to 150 °C⁸¹.



400 *Scheme 2. Synthesis of 1,3-dimethylimidazolidin-2-one (DMI).*

401 Carbon monoxide, hydrogen and imidazolidone react to produce 1,3-dimethylimidazolidin-2-one in the first
 402 reaction of Scheme 2. Reagents are heated to 145 °C for 4 h⁸². In the second reaction, carbon monoxide and
 403 ethylenediamine produce imidazolidone and the reagents are maintained at 20 °C for 4 h⁸³.

404 In addition to the inputs from the synthesis reactions, average transport for chemicals from a factory is
 405 considered⁴⁷.

406 Data Availability

407 The datasets generated during and/or analyzed during the current study are available from the first author on
 408 reasonable request.

409

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593

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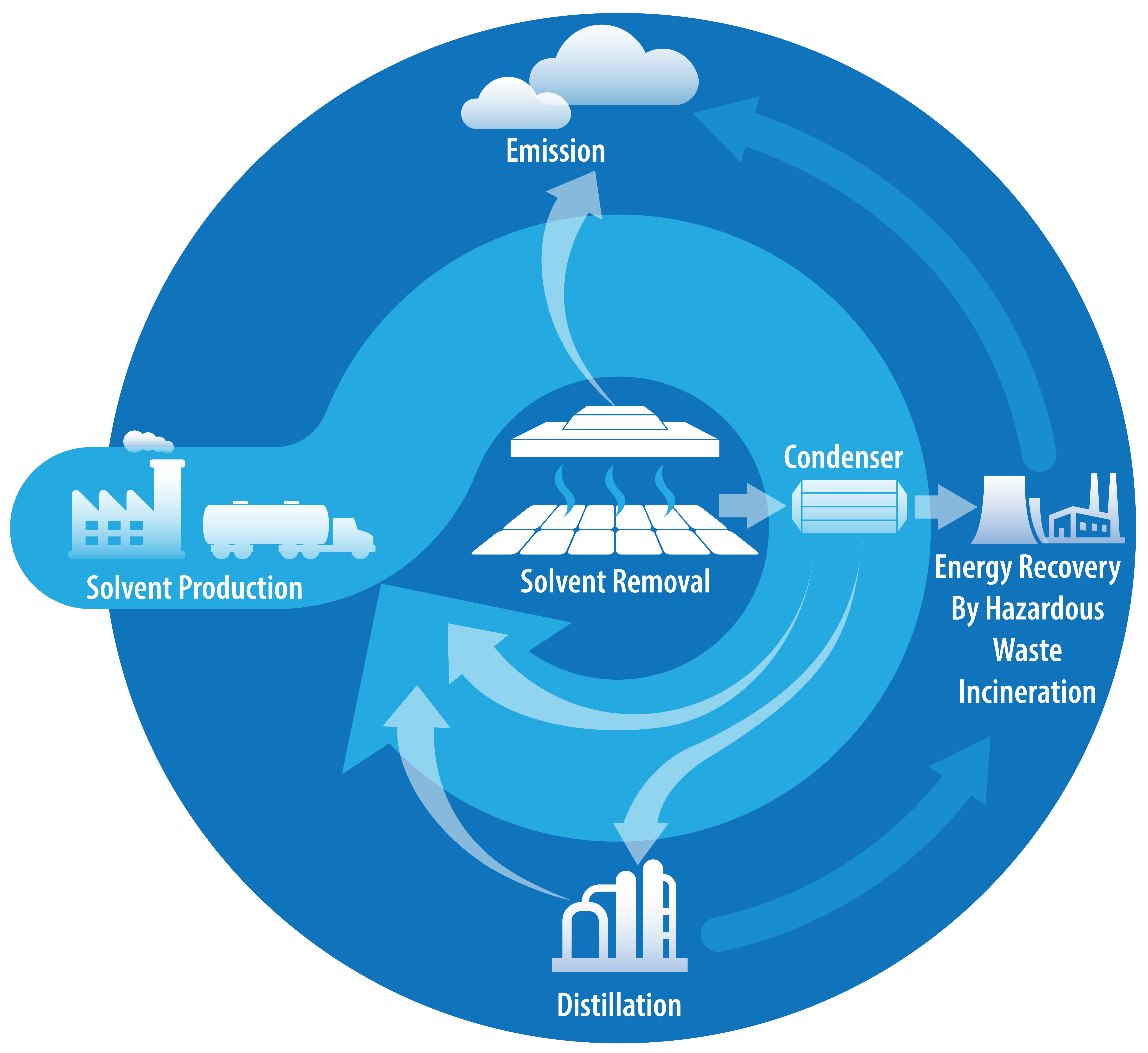
606

607 **Author contributions.** R.V, J.J.B and J.M.L designed the study. R.V. and J.M.L. wrote the manuscript. R.V.
608 performed the analysis. J.A.A.B modelled DMPU and DMI reaction synthesis. J.L.G.M assembled LCI. D.T.M.

609 reviewed solvent removal. J.M.L, D.T.M and T.H.S. determined solvent selection. I.M.-S. and S.N.H. assisted in
610 the work analysis.

611

612 **Competing financial interests.** The authors declare no competing financial interests.



Emission

Condenser

Energy Recovery
By Hazardous
Waste
Incineration

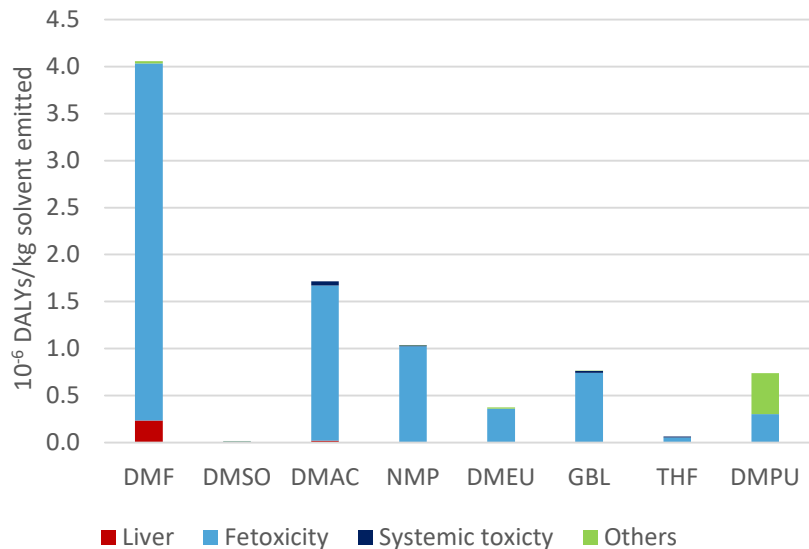
Solvent Production

Solvent Removal

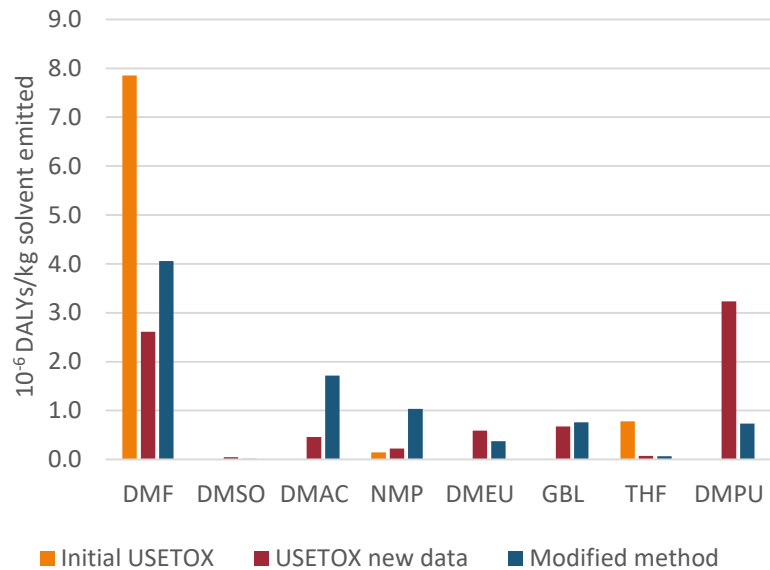
Distillation

a

Human Health Toxicity

**b**

Human Health Toxicity



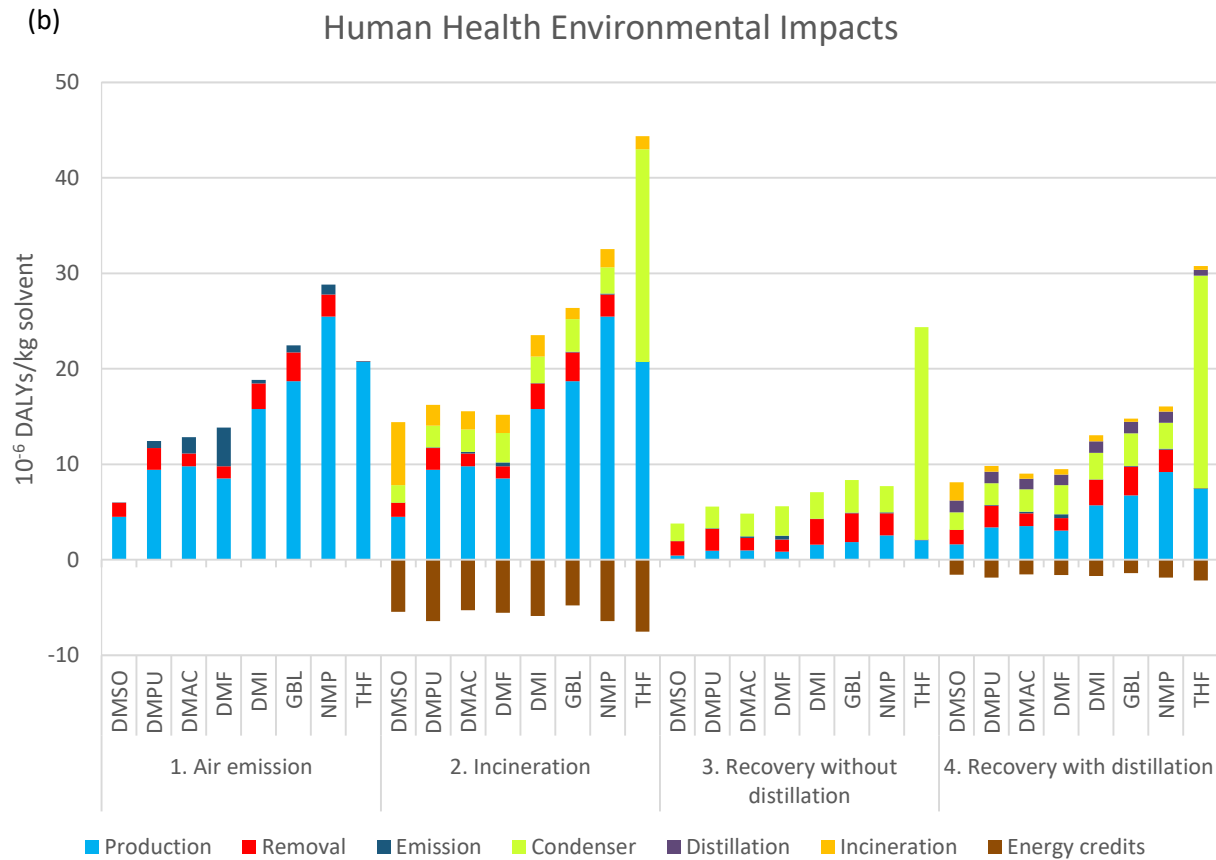
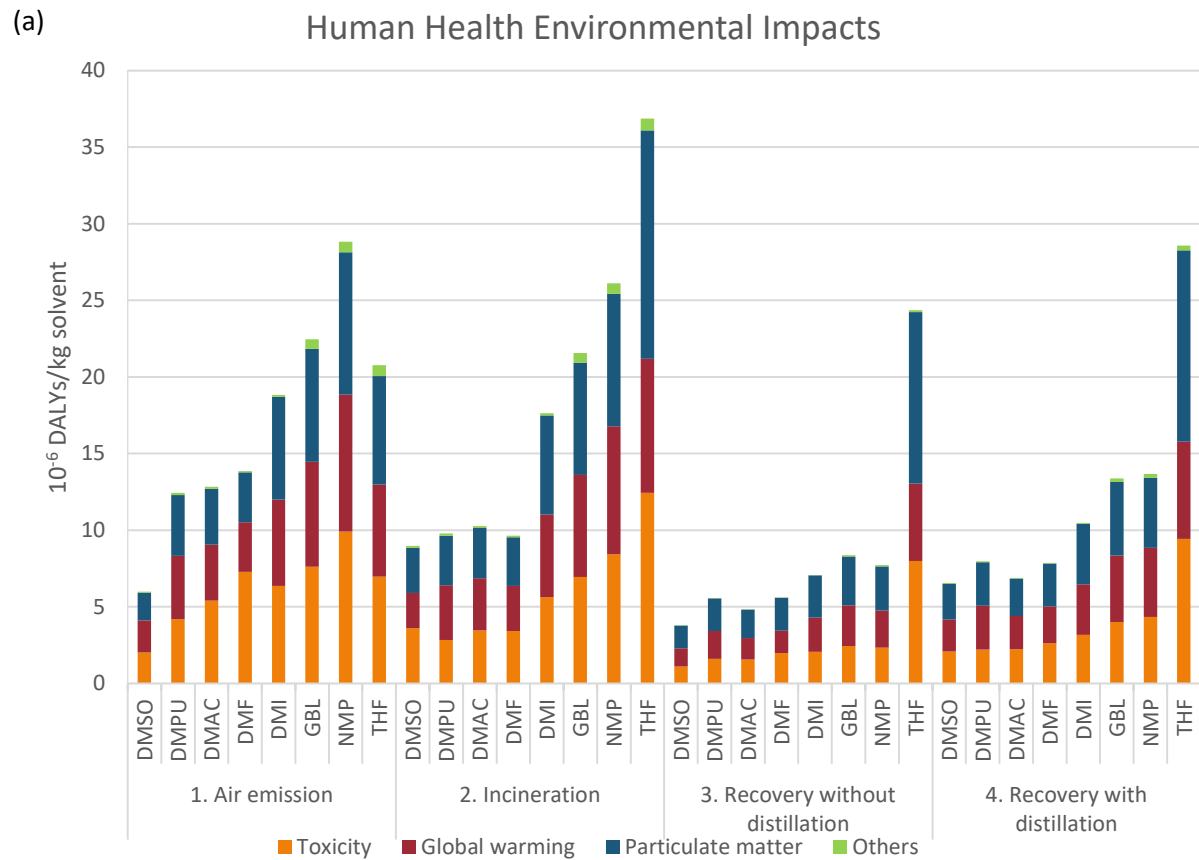


Figure captions:

Figure 1

Figure 1. LCA system boundary schematic showing possible pathways for production of perovskite photovoltaics. The solvents are produced and transported to a factory where perovskites are manufactured. The solvent must then either be emitted to the environment, collected using a condenser for recycling or incineration. If incinerated, additional energy could be recovered. If poised for reuse, additional purification could be required.

Figure 2

*Figure 2. Human health characterization factors expressed in DALYs per kg of substance emitted for the scenario of emission to urban air. **a**, Breakdown by causes with the modified method; **b**, Comparison of the values of USEtox 2.11, the values of USEtox with the new toxicity data and the estimated values with the modified method.*

Figure 3

*Figure 3. Life cycle assessment of 8 aprotic solvents for perovskite film manufacturing with 4 potential scenarios for end-of-life: 1. Air emission of the solvent removed from the thin film; 2. Incineration of condensed solvent with energy production during incineration; 3. Recovery of the condensed solvent without further treatment; 4. Distillation for solvent recovery and unrecovered fraction incinerated. **a**, Breakdown in environmental impact categories; **b**, Breakdown in life cycle phases. Negative values indicate energy credits.*