



CANU-ReID: A Conditional Adversarial Network for Unsupervised person Re-IDentification

Guillaume Delorme¹, Yihong Xu¹, Stéphane Lathuilière², Radu Horaud¹, Xavier Alameda-Pineda¹

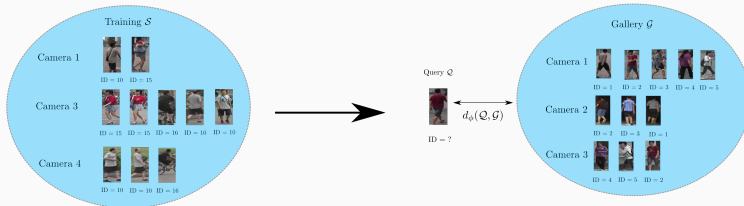
¹Inria, LJK, Univ. Grenoble Alpes, France ² LTCI, Télécom Paris, IP Paris, France

12/01/2021, ICPR 2020, Milano, Italy

- 1 Unsupervised Person Re-Identification
- 2 Related Work
 - Clustering and Finetuning
 - Domain Adaptation and Negative transfer
- 3 Conditional Camera Adversarial Learning
- 4 Experimental Evaluation
- 5 Conclusion

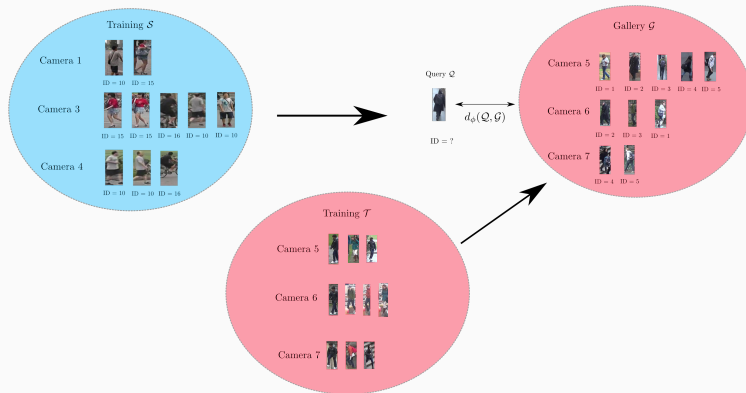
Unsupervised Person Re-Identification

Unsupervised Person Re-Identification



- supervised Re-ID: large annotated datasets \rightarrow Unsupervised Person Re-ID.
- labeled source \mathcal{S} , unlabeled target \mathcal{T} : optimizes re-ID performance on \mathcal{T} .

Unsupervised Person Re-Identification



- supervised Re-ID: large annotated datasets \rightarrow Unsupervised Person Re-ID.
- labeled source \mathcal{S} , unlabeled target \mathcal{T} : optimizes re-ID performance on \mathcal{T} .

Related Work

We need to review the following topics:

- Clustering and Finetuning
- Adversarial Domain Adaptation
- Negative Transfer

Clustering and Finetuning

Recent works in Unsupervised Person Re-ID are based on the *Clustering* and *Finetuning* framework (SSG¹, MMT²):

Source Pretraining ϕ pretrained with ID loss $\mathcal{L}_{\text{ID}}^{\mathcal{S}}(\phi)$ on source \mathcal{S} .

1 - Clustering step ϕ frozen, run clustering on \mathcal{T} $\phi(\mathbf{x}_n^{\mathcal{T}}) \rightarrow$ pseudo-ID labels $\tilde{\mathbf{p}}_n^{\mathcal{T}}$.

2 - Finetuning step ϕ finetuned using $\tilde{\mathbf{p}}_n^{\mathcal{T}}$ with $\mathcal{L}_{\text{PS-ID}}(\phi)$.

3 - Return to 1 until convergence.

¹Yang Fu et al. “Self-similarity grouping: A simple unsupervised cross domain adaptation approach for person re-identification”. In: *IEEE ICCV*. 2019.

²Yixiao Ge, Dapeng Chen, and Hongsheng Li. “Mutual Mean-Teaching: Pseudo Label Refinery for Unsupervised Domain Adaptation on Person Re-identification”. In: *ICLR* (2020).

Clustering and Finetuning

Recent works in Unsupervised Person Re-ID are based on the *Clustering* and *Finetuning* framework (SSG¹, MMT²):

Source Pretraining ϕ pretrained with ID loss $\mathcal{L}_{\text{ID}}^{\mathcal{S}}(\phi)$ on source \mathcal{S} .

1 - **Clustering step** ϕ frozen, run clustering on \mathcal{T} $\phi(\mathbf{x}_n^{\mathcal{T}}) \rightarrow$ pseudo-ID labels $\tilde{\mathbf{p}}_n^{\mathcal{T}}$.

2 - **Finetuning step** ϕ finetuned using $\tilde{\mathbf{p}}_n^{\mathcal{T}}$ with $\mathcal{L}_{\text{PS-ID}}(\phi)$.

3 - **Return to 1** until convergence.

¹Yang Fu et al. "Self-similarity grouping: A simple unsupervised cross domain adaptation approach for person re-identification". In: *IEEE ICCV*. 2019.

²Yixiao Ge, Dapeng Chen, and Hongsheng Li. "Mutual Mean-Teaching: Pseudo Label Refinery for Unsupervised Domain Adaptation on Person Re-identification". In: *ICLR* (2020).

Clustering and Finetuning

Recent works in Unsupervised Person Re-ID are based on the *Clustering* and *Finetuning* framework (SSG¹, MMT²):

Source Pretraining ϕ pretrained with ID loss $\mathcal{L}_{\text{ID}}^{\mathcal{S}}(\phi)$ on source \mathcal{S} .

1 - Clustering step ϕ frozen, run clustering on \mathcal{T} $\phi(\mathbf{x}_n^{\mathcal{T}}) \rightarrow$ pseudo-ID labels $\tilde{\mathbf{p}}_n^{\mathcal{T}}$.

2 - Finetuning step ϕ finetuned using $\tilde{\mathbf{p}}_n^{\mathcal{T}}$ with $\mathcal{L}_{\text{PS-ID}}(\phi)$.

3 - Return to 1 until convergence.

¹Yang Fu et al. "Self-similarity grouping: A simple unsupervised cross domain adaptation approach for person re-identification". In: *IEEE ICCV*. 2019.

²Yixiao Ge, Dapeng Chen, and Hongsheng Li. "Mutual Mean-Teaching: Pseudo Label Refinery for Unsupervised Domain Adaptation on Person Re-identification". In: *ICLR* (2020).

Clustering and Finetuning

Recent works in Unsupervised Person Re-ID are based on the *Clustering* and *Finetuning* framework (SSG¹, MMT²):

Source Pretraining ϕ pretrained with ID loss $\mathcal{L}_{\text{ID}}^{\mathcal{S}}(\phi)$ on source \mathcal{S} .

1 - Clustering step ϕ frozen, run clustering on \mathcal{T} $\phi(\mathbf{x}_n^{\mathcal{T}}) \rightarrow$ pseudo-ID labels $\tilde{\mathbf{p}}_n^{\mathcal{T}}$.

2 - Finetuning step ϕ finetuned using $\tilde{\mathbf{p}}_n^{\mathcal{T}}$ with $\mathcal{L}_{\text{PS-ID}}(\phi)$.

3 - Return to 1 until convergence.

¹Yang Fu et al. "Self-similarity grouping: A simple unsupervised cross domain adaptation approach for person re-identification". In: *IEEE ICCV*. 2019.

²Yixiao Ge, Dapeng Chen, and Hongsheng Li. "Mutual Mean-Teaching: Pseudo Label Refinery for Unsupervised Domain Adaptation on Person Re-identification". In: *ICLR* (2020).

Clustering and Finetuning

Recent works in Unsupervised Person Re-ID are based on the *Clustering* and *Finetuning* framework (SSG¹, MMT²):

Source Pretraining ϕ pretrained with ID loss $\mathcal{L}_{\text{ID}}^{\mathcal{S}}(\phi)$ on source \mathcal{S} .

1 - Clustering step ϕ frozen, run clustering on \mathcal{T} $\phi(\mathbf{x}_n^{\mathcal{T}}) \rightarrow$ pseudo-ID labels $\tilde{\mathbf{p}}_n^{\mathcal{T}}$.

2 - Finetuning step ϕ finetuned using $\tilde{\mathbf{p}}_n^{\mathcal{T}}$ with $\mathcal{L}_{\text{PS-ID}}(\phi)$.

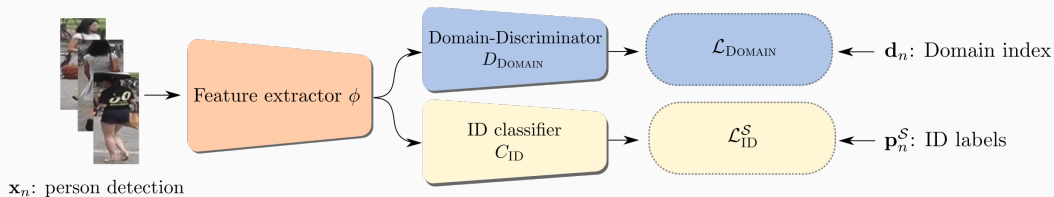
3 - Return to 1 until convergence.

¹Yang Fu et al. "Self-similarity grouping: A simple unsupervised cross domain adaptation approach for person re-identification". In: *IEEE ICCV*. 2019.

²Yixiao Ge, Dapeng Chen, and Hongsheng Li. "Mutual Mean-Teaching: Pseudo Label Refinery for Unsupervised Domain Adaptation on Person Re-identification". In: *ICLR* (2020).

Adversarial Domain Adaptation

Adversarial Domain adaptation strategies³ train a discriminator distinguishing target & source domain.



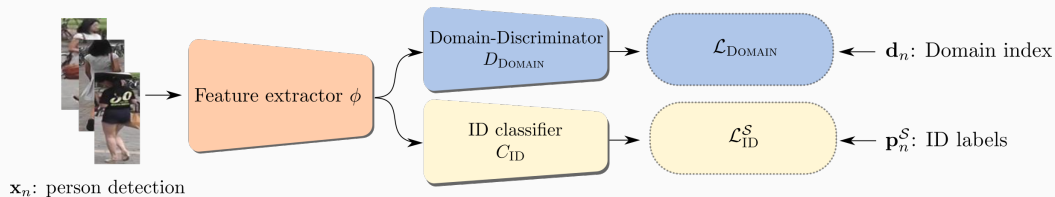
It is trained using the loss

$$\min_{\phi, C_{\text{ID}}} \max_{D_{\text{DOMAIN}}} \mathcal{L}_{\text{ID}}^S(\phi, C_{\text{ID}}) - \mu \mathcal{L}_{\text{DOMAIN}}(D_{\text{DOMAIN}}) \quad (1)$$

³Yaroslav Ganin et al. "Domain-adversarial training of neural networks". In: *JMLR* (2016).

Adversarial Domain Adaptation

Adversarial Domain adaptation strategies³ train a discriminator distinguishing target & source domain.



It is trained using the loss

$$\min_{\phi, C_{\text{ID}}} \max_{D_{\text{DOMAIN}}} \mathcal{L}_{\text{ID}}^S(\phi, C_{\text{ID}}) - \mu \mathcal{L}_{\text{DOMAIN}}(D_{\text{DOMAIN}}) \quad (1)$$

³Yaroslav Ganin et al. "Domain-adversarial training of neural networks". In: *JMLR* (2016).

Domain Generalization⁴ generalized this strategy to any number of domains.

Adversarial framework → *Negative Transfer*: discriminator learns **ID-related** instead of **domain-related** features.

Happens when **prior label distributions** are different accross domains.

⁴Ya Li et al. "Deep Domain Generalization via Conditional Invariant Adversarial Networks". In: *ECCV*. 2018.

Domain Generalization⁴ generalized this strategy to any number of domains.

Adversarial framework → *Negative Transfer*: discriminator learns **ID-related** instead of **domain-related features**.

Happens when **prior label distributions** are different accross domains.

⁴Ya Li et al. "Deep Domain Generalization via Conditional Invariant Adversarial Networks". In: *ECCV*. 2018.

Domain Generalization⁴ generalized this strategy to any number of domains.

Adversarial framework → *Negative Transfer*: discriminator learns **ID-related** instead of **domain-related features**.

Happens when **prior label distributions** are different accross domains.

⁴Ya Li et al. “Deep Domain Generalization via Conditional Invariant Adversarial Networks”. In: *ECCV*. 2018.

From this analysis derive the following strategies:

- **Camera adversarial-guided clustering:** in *Clustering* step, **viewpoint/camera variability** drives pseudo-label errors, and propose an adversarial strategy to reduce it.
- **Conditioned adversarial networks:** different ID prior distributions on different cameras lead to **negative transfer**.

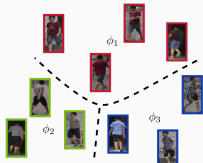
From this analysis derive the following strategies:

- **Camera adversarial-guided clustering:** in *Clustering* step, **viewpoint/camera variability** drives pseudo-label errors, and propose an adversarial strategy to reduce it.
- **Conditioned adversarial networks:** different ID prior distributions on different cameras lead to **negative transfer**.

Conditional Camera Adversarial Learning

Camera adversarial training pipeline

1- Clustering of target's embedding vectors $\phi(\mathbf{x}_n)$



Computes embedding centroids ϕ_p ,
and pseudo-label ID $\hat{\mathbf{p}}_n$

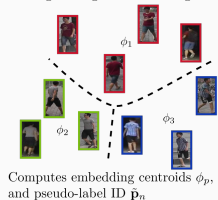
From Adversarial Domain Adaptation

$$\min_{\phi, C_{\text{PS-ID}}} \max_{D_{\text{CAM}}} \mathcal{L}_{\text{PS-ID}}^{\mathcal{T}}(\phi, C_{\text{PS-ID}}) - \mu \mathcal{L}_{\text{CAM}}^{\mathcal{T}}(\phi, D_{\text{CAM}}), \quad (2)$$

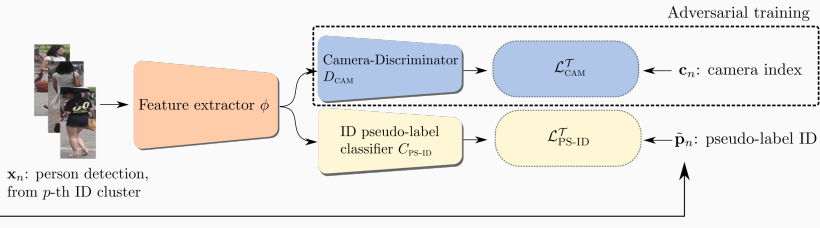
$$\mathcal{L}_{\text{CAM}}^{\mathcal{T}}(\phi, D_{\text{CAM}}) = -\mathbb{E}_{(\mathbf{x}, \mathbf{c}) \sim \mathcal{T}} \{\log \langle D_{\text{CAM}}(\phi(\mathbf{x})), \mathbf{c} \rangle\} \quad (3)$$

Camera adversarial training pipeline

1- Clustering of target's embedding vectors $\phi(\mathbf{x}_n)$



2-Conditional Adversarial Training stage



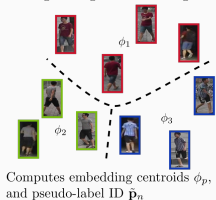
From Adversarial Domain Adaptation

$$\min_{\phi, C_{\text{PS-ID}}} \max_{D_{\text{CAM}}} \mathcal{L}_{\text{PS-ID}}^T(\phi, C_{\text{PS-ID}}) - \mu \mathcal{L}_{\text{CAM}}^T(\phi, D_{\text{CAM}}), \quad (2)$$

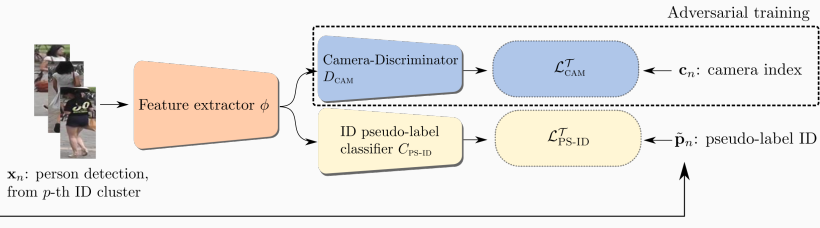
$$\mathcal{L}_{\text{CAM}}^T(\phi, D_{\text{CAM}}) = -\mathbb{E}_{(\mathbf{x}, \mathbf{c}) \sim \mathcal{T}} \{\log \langle D_{\text{CAM}}(\phi(\mathbf{x})), \mathbf{c} \rangle\} \quad (3)$$

Camera adversarial training pipeline

1- Clustering of target's embedding vectors $\phi(\mathbf{x}_n)$



2-Conditional Adversarial Training stage



From Adversarial Domain Adaptation

$$\min_{\phi, C_{PS-ID}} \max_{D_{CAM}} \mathcal{L}_{PS-ID}^T(\phi, C_{PS-ID}) - \mu \mathcal{L}_{CAM}^T(\phi, D_{CAM}), \quad (2)$$

$$\mathcal{L}_{CAM}^T(\phi, D_{CAM}) = -\mathbb{E}_{(\mathbf{x}, \mathbf{c}) \sim \mathcal{T}} \{\log \langle D_{CAM}(\phi(\mathbf{x})), \mathbf{c} \rangle\} \quad (3)$$

Handling negative transfer

IDs are **unevenly distributed** across cameras \rightarrow negative transfer:

- Can be solved by **adding the pseudo-ID label** information to the **discriminator input**.
- The **number of ID clusters** is big.
- Clustering algorithm **does not preserve** number of IDs and ordering.
- We use **centroids** ϕ_p provided by the clustering.

Handling negative transfer

IDs are **unevenly distributed** across cameras \rightarrow negative transfer:

- Can be solved by **adding the pseudo-ID label** information to the **discriminator input**.
- The **number of ID clusters** is big.
- Clustering algorithm **does not preserve** number of IDs and ordering.
- We use **centroids** ϕ_p provided by the clustering.

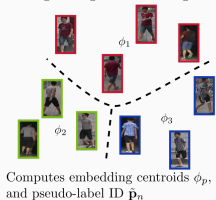
Handling negative transfer

IDs are **unevenly distributed** across cameras \rightarrow negative transfer:

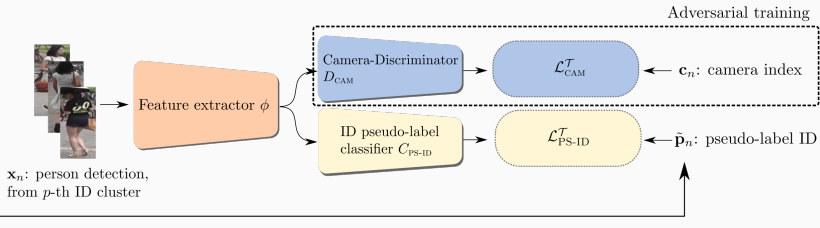
- Can be solved by **adding the pseudo-ID label** information to the **discriminator input**.
- The **number of ID clusters** is big.
- Clustering algorithm **does not preserve** number of IDs and ordering.
- We use **centroids** ϕ_p provided by the clustering.

Camera adversarial training pipeline

1- Clustering of target's embedding vectors $\phi(\mathbf{x}_n)$



2-Conditional Adversarial Training stage

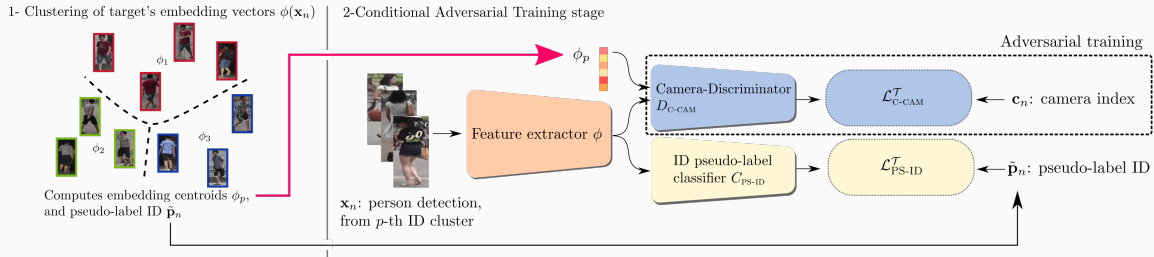


From Adversarial Domain Adaptation

$$\min_{\phi, C_{PS-ID}} \max_{D_{CAM}} \mathcal{L}_{PS-ID}^T(\phi, C_{PS-ID}) - \mu \mathcal{L}_{CAM}^T(\phi, D_{CAM}), \quad (4)$$

$$\mathcal{L}_{CAM}^T(\phi, D_{CAM}) = -\mathbb{E}_{(\mathbf{x}, \mathbf{c}) \sim \mathcal{T}} \{\log \langle D_{CAM}(\phi(\mathbf{x})), \mathbf{c} \rangle\} \quad (5)$$

Conditional camera adversarial training pipeline



Tackling Negative Transfer

$$\min_{\phi, C_{PS-ID}} \max_{D_{C-CAM}} \mathcal{L}_{PS-ID}^T(\phi, C_{PS-ID}) - \mu \mathcal{L}_{C-CAM}^T(\phi, D_{C-CAM}), \quad (6)$$

$$\mathcal{L}_{C-CAM}^T(\phi, D_{C-CAM}) = -\mathbb{E}_{(\mathbf{x}, \mathbf{p}, \mathbf{c}) \sim \mathcal{T}} \left\{ \log \left\langle D_{C-CAM}(\phi(\mathbf{x}), \phi_{\mathbf{p}}), \mathbf{c} \right\rangle \right\} \quad (7)$$

Advantages:

- Can be **plugged into any** clustering and finetuning strategy: CANU-MMT, CANU-SSG
- Explicitly **reduce errors** in pseudo-ID labels,
- Make embedding space **invariant to camera information**, → better re-ID performance.

Advantages:

- Can be **plugged into any** clustering and finetuning strategy: CANU-MMT, CANU-SSG
- Explicitly **reduce errors** in pseudo-ID labels,
- Make embedding space **invariant to camera information**, → better re-ID performance.

Advantages:

- Can be **plugged into any** clustering and finetuning strategy: CANU-MMT, CANU-SSG
- Explicitly **reduce errors** in pseudo-ID labels,
- Make embedding space **invariant to camera information**, → better re-ID performance.

Experimental Evaluation

- CANU-SSG and CANU-MMT are evaluated.
- The clustering algorithm used is DBSCAN⁵.
- The strategies are evaluated using Market-1501 (Mkt) [12], DukeMTMC-reID (Duke) [9] and MSMT17 (MSMT) [10] datasets with standard Re-ID metrics (R1 and mAP).

⁵Martin Ester et al. "A density-based algorithm for discovering clusters in large spatial databases with noise." In: *Kdd*. 1996.

Experimental Setup

- CANU-SSG and CANU-MMT are evaluated.
- The clustering algorithm used is DBSCAN⁵.
- The strategies are evaluated using Market-1501 (Mkt) [12], DukeMTMC-reID (Duke) [9] and MSMT17 (MSMT) [10] datasets with standard Re-ID metrics (R1 and mAP).

⁵Martin Ester et al. "A density-based algorithm for discovering clusters in large spatial databases with noise." In: *Kdd*. 1996.

Experimental Setup

- CANU-SSG and CANU-MMT are evaluated.
- The clustering algorithm used is DBSCAN⁵.
- The strategies are evaluated using Market-1501 (Mkt) [12], DukeMTMC-reID (Duke) [9] and MSMT17 (MSMT) [10] datasets with standard Re-ID metrics (R1 and mAP).

⁵Martin Ester et al. "A density-based algorithm for discovering clusters in large spatial databases with noise." In: *Kdd*. 1996.

Comparison with State of the Art

Table 1: CANU on the Mkt ► Duke and Duke ► Mkt settings.

Method	Mkt ► Duke		Duke ► Mkt	
	R1	mAP	R1	mAP
PUL [3]	30.0	16.4	45.5	20.5
SPGAN [1]	41.1	22.3	51.5	22.8
Co-teaching [7]	77.6	61.7	87.8	71.7
SSG [4]	73.0	53.4	80.0	58.3
CANU -SSG (ours)	76.1 (+3.1)	57.0 (+3.6)	83.3 (+3.3)	61.9 (+3.6)
MMT [6]	80.2	67.2	91.7	79.3
CANU -MMT (ours)	83.3 (+3.1)	70.3 (+3.1)	94.2 (+2.5)	83.0 (+3.7)

Comparison with State of the Art

Table 2: CANU on the Mkt ► MSMT and Duke ► MSMT settings.

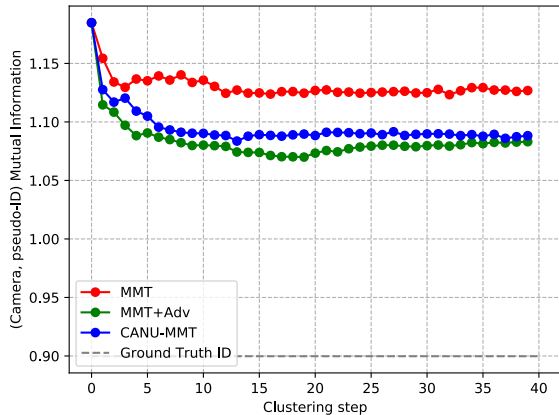
Method	Mkt ► MSMT		Duke ► MSMT	
	R1	mAP	R1	mAP
PTGAN [11]	10.2	2.9	11.8	3.3
ENC [13]	25.3	8.5	30.2	10.2
SSG [4]	31.6	13.2	32.2	13.3
CANU -SSG (ours)	45.5 (+13.9)	19.1 (+5.9)	43.3 (+11.1)	17.9 (+4.6)
MMT [6]	51.6	26.6	59.0	32.0
CANU -MMT (ours)	61.7 (+10.1)	34.6 (+8.0)	66.9 (+7.9)	38.3 (+6.3)

Camera adversarial vs Conditional camera adversarial

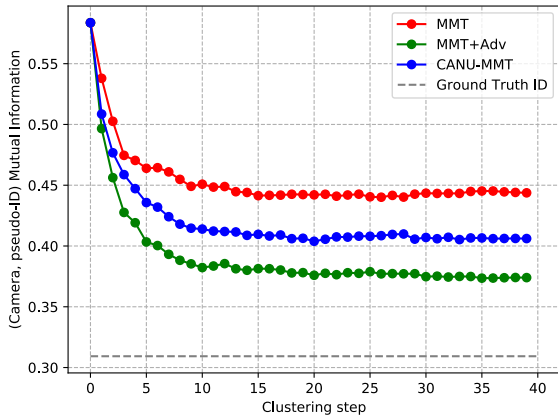
Table 3: Impact of the conditional strategy on baselines. When the mAP values are equal, we highlight the one corresponding to higher R1.

Method	Mkt ► Duke		Duke ► Mkt	
	R1	mAP	R1	mAP
SSG [4]	73.0	53.4	80.0	58.3
SSG+Adv.	75.4	56.4	83.8	62.7
CANU-SSG	76.1	57.0	83.3	61.9
MMT [6]	80.2	67.2	91.7	79.3
MMT+Adv.	82.6	70.3	93.6	82.2
CANU-MMT	83.3	70.3	94.2	83.0

Camera & Pseudo-ID dependency analysis



(a) Mkt ► Duke



(b) Duke ► Mkt

Figure 1: Mutual information between pseudo labels and camera index evolution for the MMT baseline. Ground-truth ID comparison is displayed in dashed lines for both datasets.

Conclusion

Merge **finetuning and clustering** with a **camera-based adversarial** strategy, which can be plugged into **any unsupervised approach**.

Solve the **negative transfer** problem with a conditioned approach.

Demonstrate its performance on **two state of the art methods**.

Merge **finetuning and clustering** with a **camera-based adversarial** strategy, which can be plugged into **any unsupervised approach**.

Solve the **negative transfer** problem with a conditioned approach.

Demonstrate its performance on **two state of the art methods**.

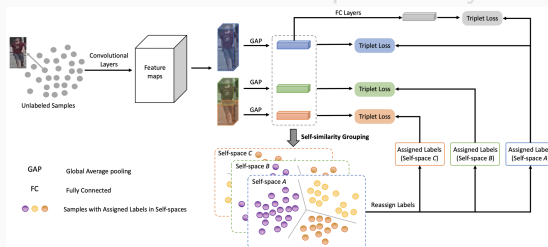
Merge **finetuning and clustering** with a **camera-based adversarial** strategy, which can be plugged into **any unsupervised approach**.

Solve the **negative transfer** problem with a conditioned approach.

Demonstrate its performance on **two state of the art methods**.

Clustering and Finetuning - examples

- **Self-similarity grouping (SSG)**⁶ clusters on 3 visual subdomains (full body, upper/lower body), and rely on self-consistency to reduce clustering mistakes.
- **Mutual mean-teaching (MMT)**⁷ uses teacher-student models, trained with hard pseudo-ID based loss and soft losses supervised by each other's predictions.

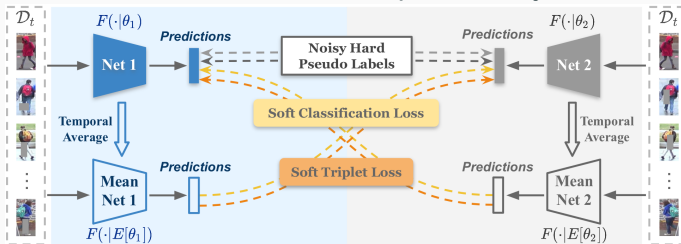


⁶Yang Fu et al. "Self-similarity grouping: A simple unsupervised cross domain adaptation approach for person re-identification". In: *IEEE ICCV*. 2019.

⁷Yixiao Ge, Dapeng Chen, and Hongsheng Li. "Mutual Mean-Teaching: Pseudo Label Refinery for Unsupervised Domain Adaptation on Person Re-identification". In: *ICLR* (2020)

Clustering and Finetuning - examples

- **Self-similarity grouping (SSG)**⁶ clusters on 3 visual subdomains (full body, upper/lower body), and rely on self-consistency to reduce clustering mistakes.
- **Mutual mean-teaching (MMT)**⁷ uses teacher-student models, trained with hard pseudo-ID based loss and soft losses supervised by each other's predictions.



⁶Yang Fu et al. "Self-similarity grouping: A simple unsupervised cross domain adaptation approach for person re-identification". In: *IEEE ICCV*. 2019.

⁷Yixiao Ge, Dapeng Chen, and Hongsheng Li. "Mutual Mean-Teaching: Pseudo Label Refinery for Unsupervised Domain Adaptation on Person Re-identification". In: *ICLR* (2020).