

1 Effects of experimental tail shortening on the phenotypic condition of barn
2 swallows *Hirundo rustica*: implications for tail-length evolution

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20 **Abstract**

21

22 Some studies have suggested that tail streamers in the barn swallow (*Hirundo rustica*) may
23 have been elongated 10-12 mm by sexual selection, but according to other studies, the length
24 of these feathers is at the aerodynamic optimum or very close to it. To shed light on this issue,
25 outermost tail feathers were experimentally shortened in male and female barn swallows by 1,
26 11 or 21 mm. Changes in four physiological parameters commonly used to estimate
27 phenotypic condition in birds (weight, erythrocyte sedimentation rate, blood leukocyte
28 concentration and heterophil/lymphocyte ratio) were checked one month later. Health
29 improved (blood leukocyte concentration decreased) in the group of birds with tails shortened
30 by 11 mm (both males and females), but body condition deteriorated (weight decreased)
31 compared to the other two experimental groups. There was no significant effect of tail-length
32 manipulation on the other two physiological parameters. These contradictory results suggest
33 trade-offs between components of phenotypic condition. Possible negative relationships
34 between condition-related traits imply that using one or very few physiological parameters to
35 estimate phenotypic condition might not be appropriate. The most plausible explanation for
36 the turning point in phenotypic condition when streamers were shortened by 11 mm is that
37 these feathers are 7-15 mm longer than the aerodynamic optimum in both sexes. Therefore,
38 our results are consistent with the hypothesis that tail streamers have been elongated 10-12
39 mm by sexual selection. This conclusion disagrees with a previous study on the effect of
40 experimental tail shortening on haematocrit, but the complexity of interpreting changes in
41 haematocrit might account for this discrepancy.

42 **Introduction**

43

44 Sexual selection and other forms of natural selection very often have opposite effects on the
45 evolution of phenotypes. This happens because the trait expression that optimizes mating
46 success usually does not optimize other fitness components, e.g. survival (Darwin 1871,
47 Andersson 1994). However, in some cases, at least during certain period of time, both sexual
48 and natural non-sexual selection might produce the same kind of evolutionary change in a
49 trait. In these cases, it is difficult to elucidate what proportion of the evolutionary change is
50 due to each kind of selection, or even if both sexual and natural non-sexual selection or only
51 one of them is responsible for that change. A classic example illustrating this difficulty is the
52 evolution of neck length in the giraffe (*Giraffa camelopardalis*), that was traditionally
53 attributed to competition for food, but might be also explained by sexual selection,
54 specifically by competition among males for access to females (Simmons and Scheepers
55 1996). According to theoretical models, when a trait is displaced from the natural non-sexual
56 selection optimum by sexual selection, the expression of this trait inevitably entails costs,
57 generally viability costs (Fisher 1930, Zahavi 1975, Iwasa et al. 1991, Pomiankowski et al.
58 1991). Many studies in different taxa have indeed found that secondary sexual characters,
59 both ornaments and weapons, are costly to produce and/or maintain (e.g. Grether 1997,
60 Basolo and Alcaraz 2003, Allen and Levinton 2007; but see McCullough and Emlen 2013).
61 Therefore, looking for costs may provide useful information to determine whether sexual
62 selection has been really involved in the evolution of putative sexual traits.

63 A bird species in which sexual versus natural non-sexual selection effects have been
64 extensively studied during recent decades is the barn swallow (*Hirundo rustica*), a small
65 passerine with outermost tail feathers much longer than the rest of the tail and longer in males
66 than in females (Cramp 1988, Møller 1994). A number of studies have concluded that these

67 feathers have evolved through sexual selection because long feathers make the bearer a more
68 attractive sexual partner (Møller 1988, 1992), although they entail viability costs (Møller
69 1989, Møller and de Lope 1994). However, other studies have suggested that these feathers
70 might have evolved solely through natural non-sexual selection because they improve flight
71 performance, specifically in tight turns (Norberg 1994). This gave rise to an intense debate
72 with arguments for and against the two hypotheses and the different ways tails could be
73 manipulated to test them (Evans and Thomas 1997, Thomas and Rowe 1997, Evans 1998,
74 Møller et al. 1998, Barbosa and Møller 1999, Hedenström and Møller 1999), and the debate,
75 far from being over, has recently been revived (Bro-Jørgensen et al. 2007, Aparicio and
76 Møller 2012, Evans et al. 2012).

77 In two studies in Scotland (Buchanan and Evans 2000, Rowe et al. 2001) on the
78 function of the outermost barn swallow tail feathers, the apical part of the feathers (the
79 streamer) was shortened and its effect on flight parameters was checked. The rationale for
80 these experiments was that there is an aerodynamically optimal tail length and any further
81 lengthening caused by sexual selection would impair flight performance. Therefore, if tail
82 length is at an aerodynamic optimum, any experimental shortening would affect flight
83 performance negatively. On the contrary, if tail feathers have been elongated by sexual
84 selection beyond the aerodynamic optimum, moderate tail shortening (up to the length
85 elongated by sexual selection) would improve flight performance. It should be noted that
86 severe tail shortening (beyond the length elongated by sexual selection, if in fact this had an
87 effect on tail length) would always affect flight performance negatively. Both studies
88 concluded that only 10-12 mm of tail length may be attributed to sexual selection, and the
89 remaining length has evolved through natural non-sexual selection, not only in males, but also
90 in females. Thus both sexual and natural (non-sexual) selection would be responsible for the
91 long outermost tail feathers of male and female barn swallows.

92 The abovementioned studies in Scotland concentrated on flight performance during
93 particular manoeuvres, specifically when barn swallows entered or left the buildings housing
94 their nests (Buchanan and Evans 2000) or when negotiating a maze (Rowe et al. 2001). A
95 possible problem with this approach is that the aerodynamically optimal tail length varies
96 depending on the type of flight. For example, long tail streamers may have an aerodynamic
97 function in tight turns (Norberg 1994), but cause only drag in level flight (Thomas 1993,
98 Thomas and Balmford 1995). Therefore, assessment of the aerodynamically optimal tail
99 length would require estimating the optimal length for each type of flight and combining these
100 lengths in such a way that the relative importance of each type of flight is taken into account.
101 An alternative to this procedure might be the use of physiological parameters that integrate
102 costs and benefits of any type of flight. One example of such parameters is the haematocrit,
103 the proportion of blood occupied by red blood cells. Other factors remaining unchanged (e.g.
104 dehydration, altitude, nutrition or parasitism), an increase in haematocrit may be considered
105 an adaptive physiological response to high levels of oxygen demand (Birchard 1997, Fair
106 2007). The most important cause of increased oxygen demand is usually intense muscular
107 activity, and in birds, muscular activity involved in flight (Balasch et al. 1974, Viscor et al.
108 1985, Hõrak et al. 1998). This is particularly evident in species that feed on the wing and
109 migrate long distances, such as the barn swallow (Cramp 1988, Turner 2006).

110 A study in southern Spain (Cuervo and de Ayala 2005) on the function of barn
111 swallow tail streamers checked for changes in haematocrit as a consequence of experimental
112 tail shortening. These changes require some background information to be understood. Barn
113 swallows arrive at their breeding grounds in Europe after a long journey of several thousand
114 kilometres from their sub-Saharan winter quarters (Cramp 1988). Due to the intense effort
115 required for such a long flight, barn swallow haematocrit levels are very high when they
116 arrive at their breeding grounds, and gradually decrease during the breeding season (Saino et

117 al. 1997a). If tail length were experimentally manipulated at the beginning of the breeding
118 season, a stronger decrease in haematocrit would be expected in birds with tail length closer
119 to the aerodynamic optimum. This is because it would take less effort for birds with
120 aerodynamically optimal tails to fly, thus consuming less oxygen in muscular activity and
121 achieving the most efficient oxygen uptake with lower haematocrit levels (for the rationale of
122 this experiment see also Saino et al. 1997b). The results of the study carried out in southern
123 Spain (Cuervo and Ayala 2005) did not agree with those found in Scotland and were instead
124 consistent with the tail length of southern Spanish swallows already being at the aerodynamic
125 optimum or very close to it. Inter-population differences in tail length (the outermost tail
126 feathers are around 10 mm longer in Scotland than in southern Spain) might help explain the
127 different results found in these two populations (Cuervo and de Ayala 2005). One possible
128 problem using haematocrit to estimate flight effort is the assumption that other factors that
129 may be affecting haematocrit (e.g. dehydration or nutrition; Fair 2007) remain constant. This
130 assumption might not hold in this case (see an example for possible changes in nutrition
131 below), so the results should be interpreted with caution, and other physiological parameters
132 might be better suited than haematocrit for such a study.

133 It has been suggested that experimental tail shortening in the barn swallow affects
134 flight performance (Buchanan and Evans 2000, Rowe et al. 2001) and flight effort (Cuervo
135 and de Ayala 2005). However, tail length manipulation might also affect the phenotypic
136 condition of the birds. The two examples below illustrate how this could happen. First, barn
137 swallows show a preference for feeding on large insects, which are the most profitable prey,
138 but are also more difficult and energetically expensive to catch (Turner 1982). Therefore, any
139 deterioration in flight performance would decrease the amount of food caught or the
140 proportion of the most profitable prey in the diet (Møller et al. 1995). In turn, a reduction in
141 food quantity or quality might affect body condition negatively, with a decrease, for example,

142 in energy reserves. Second, tail length manipulation could affect phenotypic condition
143 through trade-offs between resources devoted to different physiological processes. If birds
144 had to make a stronger effort to fly due to tail manipulation, flight muscle activity would
145 consume valuable resources (e.g. energy) that would not then be available for other processes
146 such as immune response (Ots et al. 2001, Eraud et al. 2005). The immune system is crucial
147 to fight against pathogens and parasites (Roitt et al. 1998), and is thus decisive for individual
148 survival (Møller and Saino 2004).

149 In this study, we used data on phenotypic condition from a previous experiment
150 (Cuervo and de Ayala 2005) to re-examine the function of tail streamers in the barn swallow.
151 The experiment consisted of shortening the streamers by 1, 11 or 21 mm, and checking the
152 phenotypic condition of the birds immediately before and around one month after
153 manipulation. If streamers have been elongated 10-12 mm by sexual selection, as previously
154 suggested (Buchanan and Evans 2000, Rowe et al. 2001), we predicted that shortening tail
155 length by 11 mm would improve (or deteriorate less) phenotypic condition compared to the
156 other two treatments. The argument behind this prediction is that a tail shortened by 11 mm
157 would be closer to the aerodynamic optimum and would thus enhance the bird's flight
158 performance more than the other two treatments. As a result, barn swallows with tails
159 shortened by 11 mm would catch more or better food or use less energy to fly (see above),
160 with the consequent improvement in phenotypic condition. However, if streamer length was
161 at the aerodynamic optimum or very close to it, as suggested by other studies (Cuervo and de
162 Ayala 2005), we predicted that shortening tail length by 1 mm would deteriorate phenotypic
163 condition less than the other two treatments. The difference should be particularly pronounced
164 between birds with tail shortened by 1 and 21 mm, because these two treatments would cause
165 the smallest and largest displacements from the aerodynamically optimal tail length.

166 Phenotypic condition may be defined as “the ability to acquire and allocate resources
167 efficiently and effectively to activities that enhance survival and reproduction (i.e. [...] evolutionary fitness)” (Thornhill and Gangestad 1999). Phenotypic condition cannot be
168 measured directly, only estimated through the assessment of phenotypic variables related to
169 fitness. In theory, the more fitness-related variables are measured, the better the estimate of
170 the phenotypic condition of an organism. As explained above, the aerodynamically optimal
171 tail length in barn swallows might differ from tail length that optimizes fitness, because tail
172 feathers may have been elongated by sexual selection to increase mating (and thus
173 reproductive) success (Møller 1988, 1989), even if this elongation impairs survival (Møller
174 and de Lope 1994). Consequently, birds with the aerodynamically optimal tail length might
175 not show greater reproductive success or increased fitness, but we assume they would show
176 improved survival. This is why the use of phenotypic condition in this study is restrictive and
177 refers exclusively to survival-related variables. Specifically, we studied phenotypic variables
178 providing information on body condition, health, and physiological stress.

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182 **Methods**

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184 **Field procedures**

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186 Detailed description of fieldwork methods and experimental tail-length manipulation may be
187 found elsewhere (Cuervo and de Ayala 2005), so only the most relevant information is given
188 here. The study was carried out in Almería, south-eastern Spain (36°45'-37°05'N, 2°09'-
189 2°31'W), during April-July (spring-summer, i.e., during mating and breeding) of 2002. Barn
190 swallows were caught using mist nets placed at dawn across windows and doors in the

191 farmhouses where they spent the night. At first capture, every individual was weighed (with a
192 Pesola spring balance to the nearest 0.25 g), ringed with metal and coloured plastic bands, and
193 sexed according to tail length (tail is usually longer in males) and presence of a brood patch
194 (only females incubate). The length of right and left outermost tail feathers was measured
195 with a ruler (to the nearest 0.5 mm), taking the mean of the two measurements as the tail
196 length. A blood sample was taken from the brachial vein in a 75- μ l capillary tube and one
197 drop was used to make a blood smear which was air dried and fixed in methanol. Then the
198 capillary tube with the remaining blood was sealed with wax, stored horizontally in ice in a
199 cooler and taken to the laboratory. As many birds as possible were recaptured 30-36 days
200 after first capture (hereafter second capture). But in this second capture, other birds were
201 captured for the first time, and then recaptured (second capture for these birds) around one
202 month later. Thus, some birds were captured for the first time early in the breeding season
203 while other birds were captured for the first time late in the breeding season. In the second
204 capture, every bird was weighed again and another blood sample was taken. All nests were
205 surveyed every second day to identify the parents attending the nest and to determine brood
206 size and dates of hatching and fledging.

207 When captured for the first time, barn swallows were randomly assigned to one of the
208 three experimental treatments. The first treatment consisted of shortening tail length by 11
209 mm in an attempt to make the tail length as close as possible to the aerodynamic optimum if
210 tail streamers have been elongated 10-12 mm by sexual selection (Buchanan and Evans 2000,
211 Rowe et al. 2001). A second experimental treatment consisted of shortening tail length by
212 only 1 mm to keep tail length very close to the original length, i.e., to the aerodynamic
213 optimum if tail streamers have not been elongated by sexual selection (Cuervo and de Ayala
214 2005), while still affecting the integrity of the feather, as in the previous treatment. The third
215 experimental treatment consisted of shortening tail length by 21 mm, so the difference in tail

216 length reduction between birds with tail shortened by 11 mm and the other two experimental
217 groups was the same. Manipulation in all treatments consisted of cutting the tip of the
218 outermost tail feathers (by 1, 11 or 21 mm) and trimming the streamer with scissors to imitate
219 its naturally rounded end. Very often, both birds in a pair were manipulated, either with the
220 same or different experimental treatments. All birds were freed immediately after
221 manipulation in the same place where they had been caught. No birds with broken, missing or
222 moulting tail feathers in either the first or second captures were included in the study.

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225 **Assessment of phenotypic condition**

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227 Phenotypic condition was assessed as temporal changes in four physiological (or physiology-
228 related) traits commonly used to estimate body condition, health or stress in birds: weight,
229 erythrocyte sedimentation rate (sedimentation rate hereafter), blood leukocyte concentration,
230 and heterophil/lymphocyte ratio (H/L). The first trait, weight, was used as an estimate of body
231 condition, i.e., of underlying energy reserves, because it has been shown that weight increases
232 adult survival in a number of bird species (e.g. Morrison et al. 2007, Benson and Bednarz
233 2010, Harding et al. 2011).

234 Sedimentation rate was used as an index of health status. This parameter reflects the
235 amount of protein in the blood, including fibrinogen and immunoglobulin, which are
236 produced as a response to inflammatory and infectious diseases. Many authors consider high
237 sedimentation rate an indicator of poor health status (e.g. Masello and Quillfeldt 2004,
238 Garamszegi et al. 2005, Hoi et al. 2012). When capillary tubes with barn swallow blood were
239 taken to the laboratory, they were stored vertically at 4°C for four hours. After that, the length
240 of the cellular and plasma portions of the tube were measured with a digital calliper (to the

241 nearest 0.01 mm) and the ratio of plasma to total blood was used as our preliminary
242 sedimentation rate estimate. Then capillary tubes were centrifuged at 7700 r.p.m. for 10
243 minutes and haematocrit was calculated (see Cuervo and de Ayala 2005). Sedimentation rate
244 is known to depend on haematocrit, and this was indeed the case in our sample (linear
245 regression; first captures: $F_{1,54} = 34.51$, $p < 0.001$, slope (SE) = -0.981 (0.167); second
246 captures: $F_{1,54} = 17.21$, $p < 0.001$, slope (SE) = -0.784 (0.189)). Moreover, the relationship
247 between the two variables was similar in both captures (ANCOVA including first and second
248 captures; interaction capture x haematocrit: $F_{1,108} = 0.60$, $p = 0.44$; Linear Mixed Model
249 including also the individual as a random factor; interaction capture x haematocrit: $F_{1,53} =$
250 0.22, $p = 0.64$). Consequently, sedimentation rate was regressed on haematocrit combining
251 first and second captures (i.e., every bird was counted twice), and residuals from this
252 regression were used as estimates of sedimentation rate in subsequent analyses.

253 The last two physiological traits were calculated from blood smears, which were
254 stained by the May-Grunwald-Giemsa method in the lab and scanned at 630x magnification
255 following standard routines. Leukocytes (classified as lymphocytes, monocytes, eosinophils,
256 heterophils, and basophils) and erythrocytes were counted in a number of microscopic fields
257 to a total of 100 leukocytes per sample. The third parameter, blood leukocyte concentration,
258 was used as another index of health status, because the number of leukocytes in the blood
259 increases with infectious diseases (Saino et al. 1998, Szép and Møller 1999, Apanius et al.
260 2000). Leukocyte concentration was assessed as the number of leukocytes per 100
261 erythrocytes (i.e., 10^4 divided by erythrocyte count) multiplied by haematocrit. This is a
262 relative concentration and has no units. Finally, H/L is widely considered a reliable indicator
263 of physiological stress in birds (Maxwell and Robertson 1998, Moreno et al. 2002), and stress
264 in turn is also widely accepted as a factor affecting survival (Blas et al. 2007, MacDougall-

265 Shackleton et al. 2009). H/L was calculated as simply the relative heterophil count divided by
266 the relative lymphocyte count in each sample.

267 Variation in phenotypic condition parameters between first and second captures
268 (parameter in second capture minus parameter in first capture) was divided by the number of
269 days elapsed between the two captures (30-36 days) to find the change in phenotypic
270 condition parameters per day for every individual.

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273 **Statistical methods**

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275 A total of 56 barn swallows were included in the study: 21 with tail shortened by 1 mm (8
276 males, 13 females), 19 with tail shortened by 11 mm (10 males, 9 females), and 16 with tail
277 shortened by 21 mm (9 males, 7 females). Individuals assigned to the three experimental
278 groups did not differ significantly in morphological traits or in reproductive parameters, with
279 the exception of tail length after manipulation (Cuervo and de Ayala 2005). At first capture,
280 the three experimental groups did not differ significantly in either haematocrit (Cuervo and de
281 Ayala 2005) or in the four traits used to assess phenotypic condition (one-way ANOVAs, $F_{2,53}$
282 ≤ 1.52 , $p \geq 0.23$).

283 To examine any effect of experimental treatment on phenotypic condition, we used
284 daily change in a phenotypic condition trait as the dependent variable and experimental
285 treatment and five other variables (two continuous and three categorical) that might influence
286 phenotypic condition as independent variables. The continuous variables were original tail
287 length and parental effort. The parental effort index was calculated as brood size multiplied by
288 the percentage of days with nestlings or fledglings in the period between the two blood
289 samplings (on average adults continue feeding their offspring for six days after fledgling;

290 review in Turner 2006, p. 145), and multiplied by the mean daily relative feeding rate during
291 the period attending nestlings or fledglings, considering that one-day-old broods received one
292 feeding visit per day, six-day-old broods received three feeding visits per day and ten-day-old
293 or older broods received five feeding visits per day. These feeding rates were based on real
294 figures six, 17 and 29 feeding visits per hour, respectively (Turner 2006, p. 140), and relative
295 feeding rates at other ages were calculated by interpolation. The categorical variables were
296 sex, mate's experimental treatment (tail unmanipulated or shortened by 1, 11 or 21 mm) and
297 date of capture (whether captured for the first time early or late in the breeding season). With
298 all these variables and the two-way interactions between categorical variables we performed
299 backward stepwise General Linear Models, eliminating all variables and interactions
300 associated with p-values ≥ 0.10 .

301 Length of original outermost tail feathers and H/L were \log_{10} -transformed and the
302 index of parental effort was squared-root transformed to obtain normal distributions, but all
303 other variables followed a normal distribution without transformation (Kolmogorov-Smirnov
304 test, $p > 0.20$). All statistical tests were two-tailed, and the level of significance was set at
305 0.05.

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307

308 **Results**

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310 The only variable significantly related to weight change in barn swallows was experimental
311 treatment (Table 1), mainly because weight decreased in barn swallows with tails shortened
312 by 11 mm but did not change significantly in the other two experimental groups (Fig. 1a).

313 Weight change in the experimental group with tails shortened by 21 mm was intermediate

314 between the other two groups (Fig. 1a). Barn swallows with tails shortened by 11 mm did not

315 show the most improvement (or the least deterioration) in body condition, as predicted if
316 streamers have been elongated 10-12 mm by sexual selection, but quite the opposite.

317 The final model explaining changes in blood leukocyte concentration included
318 experimental treatment, sex, date of capture (early or late in the season) and the index of
319 parental effort, although the last variable did not explain a significant amount of the variance
320 (Table 1). Change in leukocyte concentration differed among the three experimental groups,
321 mainly because leukocyte concentration decreased (i.e., health improved) in barn swallows
322 with tail shortened by 11 mm, but did not change significantly in the other two groups (Fig.
323 1b). In this case, barn swallows with tail shortened by 11 mm showed the most improvement
324 in health, as predicted if streamers have been elongated 10-12 mm by sexual selection.
325 Leukocyte concentration was also significantly related to sex and date of capture (Table 1),
326 decreasing in males but not in females, and in birds captured late in the season, but increasing
327 in birds captured early (least-square means (SE) of daily variation in leukocyte concentration;
328 males, -0.124 (0.049); females, 0.023 (0.048); early in the season, 0.056 (0.031); late in the
329 season, -0.158 (0.072)).

330 Neither the experimental treatment nor the other variables that might influence
331 phenotypic condition explained a significant amount of variance in change in sedimentation
332 rate or H/L (Table 1). Sedimentation rate increased in birds with tails shortened by 21 mm,
333 but did not change significantly in the other two groups (Fig. 1c). H/L, however, did not
334 change significantly in any of the experimental groups (Fig. 1d).

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336

337 **Discussion**

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339 As explained in the Introduction, if streamers have been elongated 10-12 mm by sexual
340 selection (Buchanan and Evans 2000, Rowe et al. 2001), we predicted an improvement in
341 phenotypic condition in the group of birds with tails shortened by 11 mm over birds with tail
342 shortened by 1 or 21 mm. This was indeed the case according to changes in blood leukocyte
343 concentration, an index of health status (Fig. 1b). However, changes in weight suggest the
344 opposite, as body condition deteriorated in birds with tails shortened by 11 mm compared to
345 the other two experimental groups, or at least to birds with tail shortened by 1 mm (Fig. 1a).
346 On the other hand, if streamer length was at the aerodynamic optimum or very close to it
347 (Cuervo and de Ayala 2005), we predicted the least deterioration in phenotypic condition in
348 birds with tails shortened by 1 mm compared to the other two experimental groups, but
349 mainly to birds with tail shortened by 21 mm. However, tail shortening by 1 and 21 mm had a
350 similar effect on phenotypic condition (Fig. 1). Experimental tail length manipulation did not
351 significantly affect sedimentation rate (another index of health status) or H/L (an index of
352 physiological stress) (Table 1). It should be noted that changes in phenotypic condition were
353 checked only once, around one month after tail length manipulation, so longer-term effects
354 could not be assessed in this study and, therefore, cannot be ruled out. At first sight, some
355 results are consistent with tail streamers being 10-12 mm longer than the aerodynamic
356 optimum while others contradict it, so this study would not allow us to reach any conclusion.
357 A closer examination, however, may reveal a possible explanation for these findings and shed
358 some light on tail-length evolution (see below).

359 One of the most interesting results of this study was that health of birds with tails
360 shortened by 11 mm improved, but body condition deteriorated, as a consequence of the
361 experimental treatment. One possible explanation for this apparently paradoxical result is the
362 existence of trade-offs between different components of phenotypic condition. It is often
363 intuitively assumed that all estimates of phenotypic condition should be positively related to

364 one another, but this would occur only in ideal circumstances. For example, different traits
365 may reflect completely different aspects of phenotypic condition that do not need to be related
366 at all. Moreover, organisms in natural conditions usually have access to limited resources, and
367 devoting these resources to one function may be detrimental to others, giving rise to trade-
368 offs. For example, trade-offs between nestling growth rate and immune response (Soler et al.
369 2003, Tschirren and Richner 2006) or between immune system components (Johnsen and Zuk
370 1999, Buchanan et al. 2003, Arriero 2009) have been suggested. In any case, the relationships
371 between different estimates of phenotypic condition are often complex and condition or
372 environment-dependent (Johnsen and Zuk 1999, Alonso-Alvarez and Tella 2001, Arriero
373 2009). It should be noted that a negative correlation between two phenotypic traits does not
374 necessarily imply a trade-off, but when both traits are positively related to fitness, a trade-off
375 is the most plausible explanation (see review in Agrawal et al. 2010).

376 If trade-offs between different condition-related systems within an organism are
377 possible, negative relationships between different estimates of phenotypic condition should
378 also be possible (see references above). In this case, certain traits used to estimate phenotypic
379 condition might be negatively and not positively related to condition. Therefore, unless we
380 know which traits and in what circumstances may have their relationship with overall
381 phenotypic condition reversed, any assessment of condition based on one or very few traits
382 might be uninformative or even misleading. Depending on the particular traits studied, one
383 result, the opposite, or even both (contradictory results from different traits, as is the case in
384 our study) might be found. As explained in the Introduction, we studied physiological traits
385 presumably related to the probability of survival, a fitness component that was expected to
386 deteriorate when tail length was displaced from the aerodynamic optimum. However, owing
387 to possible trade-offs between different components of survival, a more appropriate approach
388 would have been to study survival directly, for example, by checking which birds returned to

389 the breeding colonies the following year (see Møller 1989, Møller and de Lope 1994).

390 Unfortunately, direct survival information was not available.

391 Although survival was not studied directly, and despite the possible trade-off between
392 the immune function and body condition, our study can still provide information on tail length
393 evolution. Interestingly enough, our results suggest a turning point for some parameters used
394 to estimate phenotypic condition when the tail was shortened by 11 mm (Fig. 1). This means
395 that shortening tails more (21 mm) or less (1 mm) had similar effects on flight performance,
396 but both effects differed (they might be either beneficial or detrimental) from the effect of
397 shortening the tail by 11 mm. The most plausible explanation for this turning point is that
398 overall phenotypic condition improved in the group of birds with tails shortened by 11 mm
399 more than in the other two groups, as shown by the decrease in blood leukocyte concentration
400 (Fig. 1b). The decrease in weight when the tail was shortened by 11 mm (Fig. 1a) might be
401 the consequence of a trade-off between immune function and body condition. This
402 explanation implies that tail length was closer to the aerodynamic optimum when the tail was
403 shortened by 11 mm than in the other two treatments and, consequently, streamers would
404 have been elongated approximately between 7 and 15 mm by sexual selection. This
405 conclusion is consistent with the hypothesis that tail streamers in the barn swallow have been
406 elongated 10-12 mm by sexual selection (Buchanan and Evans 2000, Rowe et al. 2001), but
407 not with the hypothesis that tail length is at the aerodynamic optimum or very close to it
408 (Cuervo and de Ayala 2005).

409 An alternative explanation for the turning point is that overall phenotypic condition
410 deteriorated in the group of birds with tail shortened by 11 mm more than in the other two
411 groups, as shown by the decrease in weight (Fig. 1a). The decrease in blood leukocyte
412 concentration when the tail was shortened by 11 mm (Fig. 1b) might be the consequence of a
413 trade-off (see above). However, we do not think this explanation is probable, because we

414 cannot think of any scenario in which tail shortening by 11 mm could be more detrimental to
415 flight performance than the other two experimental treatments. First, if shortening tails by 11
416 mm made tail length closer to the aerodynamic optimum than the other two treatments,
417 phenotypic condition in this group of birds would improve rather than deteriorate. Second, if
418 shortening tails by 21 mm made tail length closer to the aerodynamic optimum than the other
419 two treatments, an improvement in the phenotypic condition of birds with tails shortened by 1
420 mm over birds with tails shortened by 11 mm would not be expected. And third, if shortening
421 tails by 1 mm made tail length closer to the aerodynamic optimum than the other two
422 treatments, an improvement in the phenotypic condition of birds with tails shortened by 21
423 mm over birds with tails shortened by 11 mm would not be expected either.

424 The decrease in blood leukocyte concentration in the group of birds with tails
425 shortened by 11 mm was interpreted as an improvement in health, because the number of
426 leukocytes generally increases with infectious diseases (Saino et al. 1998, Szép and Møller
427 1999, Apanius et al. 2000). Nevertheless, it may be argued that birds in very poor health
428 might be unable to produce leukocytes fast enough to compensate for their use in fighting
429 infection, thus resulting in a decrease in blood leukocyte concentration. In fact, low numbers
430 of leukocytes are often found in birds with severe infections (Roskopf et al. 1981, Bienzle et
431 al. 1997, Schoemaker et al. 2000). In these circumstances, a decrease in leukocyte
432 concentration would be associated with deterioration in health instead of improvement.
433 However, in our study, this explanation does not seem probable, because the group of birds
434 with tails shortened by 11 mm were not in particularly poor health. All birds apparently bred
435 normally and reproductive performance did not differ significantly among the experimental
436 groups (Cuervo and de Ayala 2005). Moreover, severe deterioration in health should also
437 have affected other parameters indicating health status, such as sedimentation rate, but this
438 variable was not significantly affected by the experimental treatments (Table 1).

439 Experimental tail length manipulation had an effect on phenotypic condition, but
440 interestingly, this effect was similar in males and females, as suggested by the non-significant
441 interactions between treatment and sex (Table 1). This result agrees with previous studies that
442 found no sexual differences in the effect of tail-length manipulation on flight performance
443 (Buchanan and Evans 2000, Rowe et al. 2001). Similar results in males and females suggest
444 that outermost tail feathers are longer than the aerodynamic optimum not only in male but
445 also in female barn swallows, and this might be explained by at least two non-exclusive
446 mechanisms. First, tail length would be under sexual selection in males because of female
447 preference to mate with long-tailed males (Møller 1988), and a strong genetic correlation
448 between the sexes for tail length (Møller 1993) would cause tail lengthening also in females.
449 Second, tail length would be under direct sexual selection in females because long tails would
450 provide direct benefits to females in terms of mate acquisition, as is the case with female
451 feather ornaments in other bird species (e.g. Jones and Hunter 1993). Current evidence,
452 however, does not support a mating function for tail feathers in female barn swallows (Cuervo
453 et al. 1996). Sexual dimorphism in tail length with longer tails in males than in females
454 (Cramp 1988, Møller 1994) has often been explained as a consequence of sexual selection
455 (e.g. Hedenström and Møller 1999). However, similar effects of experimental tail length
456 manipulation on males and females might indicate that sexual dimorphism is caused by
457 natural rather than sexual selection. As suggested by Rowe et al. (2001), the risk of streamer
458 breakage during incubation might be higher in females than in males (only females incubate
459 in the European subspecies), and thus shorter streamers in females would decrease that risk.

460 Experimental tail length manipulation in barn swallows led us to different conclusions
461 with regard to the portion of the streamer that has been elongated by sexual selection,
462 depending on whether phenotypic condition (this study) or flight effort (Cuervo and de Ayala
463 2005) was considered. Variation in phenotypic condition, mainly in health status estimated as

464 blood leukocyte concentration, suggested that streamers have been elongated around 7-15 mm
465 by sexual selection (see above). However, variation in flight effort, estimated as changes in
466 haematocrit, suggested that streamers have not been elongated (or very little) by sexual
467 selection (Cuervo and de Ayala 2005). One possible explanation for this discrepancy is the
468 potential complexity of interpreting changes in haematocrit. First, haematocrit might be
469 affected not only by changes in flight effort (specifically in oxygen demand), as previously
470 assumed (Cuervo and de Ayala 2005), but also by changes in phenotypic condition. Whether
471 haematocrit is a good estimate of condition in birds is under debate (e.g. Dawson and
472 Bortolotti 1997), but malnutrition and disease often affect haematocrit negatively (e.g.
473 Hurtrez-Boussès et al. 1997, Hoi-Leitner et al. 2001). If haematocrit reflects both flight effort
474 and condition, changes in haematocrit will depend on the relative strength of each effect.
475 Second, different treatments might affect flight effort and condition in different ways,
476 depending on the behavioural response to each experimental treatment. At least in theory,
477 manipulated birds might keep flight effort constant, with small or no change in haematocrit,
478 but change quantity or quality of food. Or, on the contrary, manipulated birds might keep
479 quantity and quality of food constant, but change flight effort, thus resulting in a strong
480 change in haematocrit. Obviously, any intermediate strategy changing both flight effort and
481 food caught is also possible. But most importantly, the behavioural response might differ
482 among experimental treatments. Third, there might be a trade-off between different
483 components of phenotypic condition (see references above) giving rise to negative
484 relationships between haematocrit and physiological traits commonly used to estimate
485 condition. And fourth, haematocrit in birds is affected by a large number of factors (review in
486 Fair et al. 2007), and not only by phenotypic condition and oxygen demand.

487 To summarize, experimental tail shortening in barn swallows caused changes in
488 weight and blood leukocyte concentration that suggest the existence of trade-offs between

489 different components of phenotypic condition. These trade-offs advise against the use of one
490 or very few physiological parameters to estimate phenotypic condition. The turning point for
491 phenotypic condition when the tail was shortened by 11 mm suggests that tail streamers in
492 both male and female barn swallows have been elongated 7-15 mm by sexual selection. This
493 finding is consistent with previous studies suggesting that tail streamers have been elongated
494 10-12 mm by sexual selection.

495

496

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498

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508

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685

686 Table 1. Final models after backward stepwise General Linear Models explaining daily
687 variation in four phenotypic condition parameters. In the case of sedimentation rate and H/L,
688 none of the independent variables was retained in final models, but the effect of experimental
689 treatment is shown for information. For a list of all independent variables considered, see
690 section Statistical methods. H/L = heterophil/lymphocyte ratio, IPE = index of parental effort.
691 Final models had the statistics: daily variation in weight, multiple $r^2 = 0.126$; daily variation
692 in sedimentation rate, multiple $r^2 = 0.053$; daily variation in leukocyte concentration, $F_{5,50} =$
693 6.72 , multiple $r^2 = 0.402$, $p < 0.0001$; daily variation in H/L, multiple $r^2 = 0.005$.
694

Dependent variable	Independent variable	Sum of squares	df	F	p
Weight	Treatment	0.0157	2	3.83	0.028
	Error	0.1089	53		
Sedimentation rate	Treatment	0.0564	2	1.47	0.24
	Error	1.0141	53		
Leukocytes	Treatment	0.6351	2	7.02	0.0021
	Sex	0.2870	1	6.34	0.015
	Date of capture	0.3394	1	7.50	0.0085
	IPE	0.1609	1	3.56	0.065
	Error	2.2623	50		
H/L	Treatment	37×10^{-6}	2	0.12	0.89
	Error	0.0081	53		

695

696

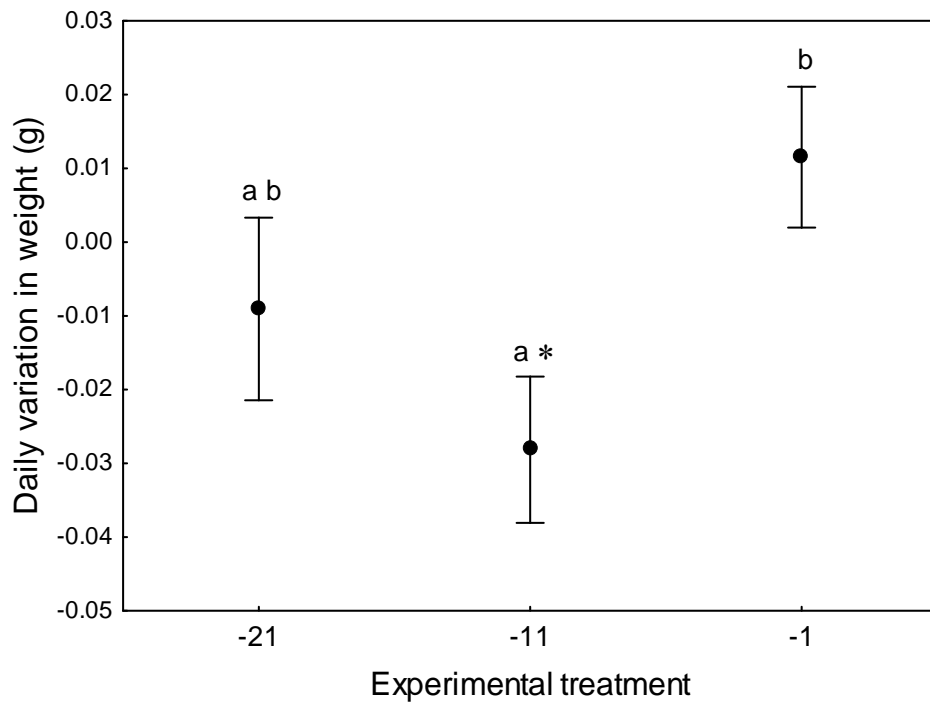
697 Figure legend

698

699 Figure 1. Means \pm SE for daily variation in (a) weight, (b) relative blood leukocyte
700 concentration (least square means from a General Linear Model including treatment, sex, date
701 of capture and parental effort index), (c) sedimentation rate, and (d) heterophil/lymphocyte
702 ratio (H/L) in barn swallows with tail shortened by 1, 11 or 21 mm. Although experimental
703 treatment did not significantly affect daily variation in sedimentation rate or H/L, these
704 parameters are also shown for illustration purposes. Different letters denote statistically
705 significant differences between experimental groups in Tukey post-hoc tests. An asterisk (*)
706 denotes a significant change (daily variation different from zero in a one-sample t-test) in that
707 particular phenotypic parameter and experimental group.

Figure 1

(a)



(b)

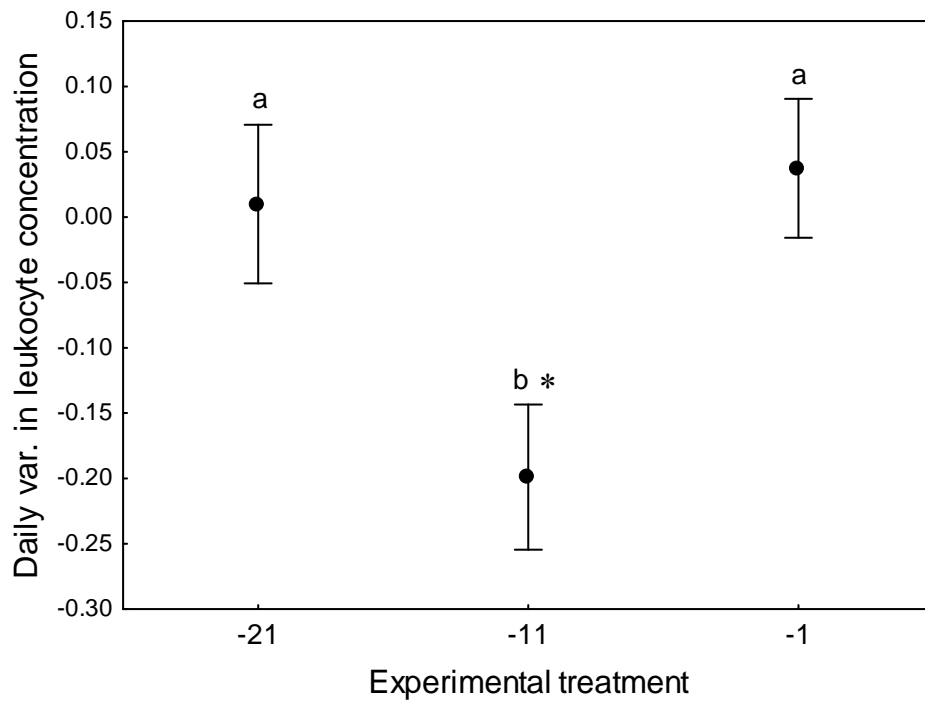
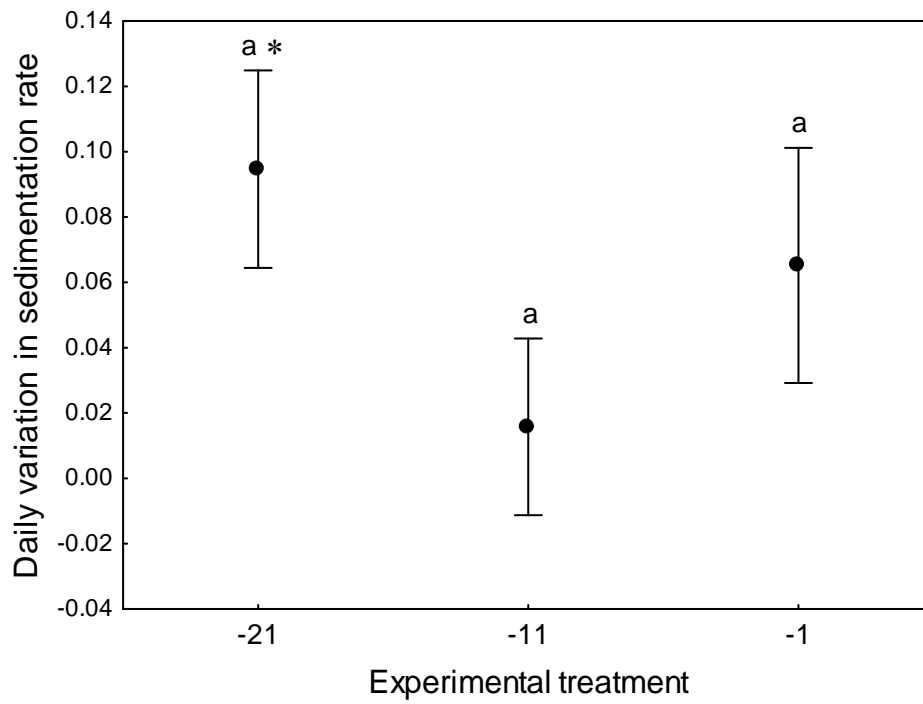


Figure 1 (continuation)

(c)



(d)

