

1 **A 700-year record of climate and environmental change from a high Andean lake:**  
2 **Laguna del Maule, central Chile (36°S)**

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38 **Abstract**

39 Central Chile is heavily exploited for mineral and water resources, with agriculture and  
40 large urban populations all creating intensive landscape use. Few records of past  
41 environmental and climate change are available that afford a broader context. To aid in this  
42 assessment, we present a 700 yr reconstruction from Laguna del Maule (LdM) in the high  
43 Andes of central Chile based on sedimentological, geochemical, diatom and pollen  
44 analyses. The age model is based on  $^{210}\text{Pb}/^{137}\text{Cs}$  and  $^{14}\text{C}$  dating tied into known volcanic  
45 eruptions. Sedimentology consists of organic-rich sediments and diatom oozes with several  
46 interspersed volcanic-rich facies and two tephra deposits. Sediment geochemistry exhibits  
47 increased productivity (high Br/Ti, biosilica) and more dominant oxic conditions (high  
48 Fe/Mn) from 1300–1400 AD and from 1650–1850 AD, likely during periods of relatively  
49 lower lake levels and better development of littoral environments. However, during this  
50 later period, high elevation vegetation was dominant, indicative of regional cooler/wetter  
51 conditions. In contrast, sediments deposited from 1850-1930 AD evidence decreased  
52 productivity and increased anoxic lake bottom conditions. The LIA in LdM is characterized  
53 by significant variations in lake dynamics and hydrology with cooler/wetter conditions  
54 (1570-1700 AD), major environmental changes in the 18<sup>th</sup> century and ending at ca 1850  
55 AD. LdM record documents the impact of the LIA in the southern hemisphere and stresses

56 the global nature of this climate period. Large changes in lake dynamics and diatoms  
57 assemblages during the 20<sup>th</sup> century could be related to anthropogenic impacts but recent  
58 changes in climate patterns cannot be excluded.

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## 62 **Keywords**

63 Diatoms, geochemistry, pollen, Little Ice Age, Chile, Late Holocene

64

## 65 **1. Introduction**

66 Anthropogenic activities have resulted in significant and profound impacts on the  
67 Earth's climate, ecosystems and biogeochemical cycles since late 18<sup>th</sup> century (e.g., IPCC  
68 AR4, 2007; AR5, 2014; Rodhe et al., 1995; Smol, 2008). In the light of increasing  
69 greenhouse gas emissions, global warming, human impact and land cover changes, Crutzen  
70 and Stoermer (2000) coined the term “Anthropocene” for this most recent period of the  
71 Earth's history. Yet, it is often in the context of long-term regional changes including  
72 natural drivers of variability that such impacts can truly be assessed.

73 Despite significant progress in late Quaternary research across Chile (i.e. Latorre et  
74 al., 2007), and recent interannual and multi-decadal reconstructions of temperature

75 variations for South America for the past millenium (Neukom et al., 2011); there is less  
76 information regarding past climate change and long-term dynamics of central Chile  
77 ecosystems. Tree-rings (Christie et al., 2010; Le Quesne et al., 2006) and lake records  
78 (Boes and Fagel, 2008; De Jong et al., 2013; Fagel et al., 2010; Jenny et al., 2002a, b, 2003;  
79 Urrutia et al. 2010; Von Gunten et al., 2009a,c; Moy et al., 2008 Chambers et al., 2014;  
80 Moreno et al., 2014) provided late Holocene reconstructions of climate and human impact.  
81 These records have demonstrated significant changes during the last millennium, some  
82 synchronous to the Little Ice Age (LIA, i.e., 1570-1900 AD, see Matthews and Briffa,  
83 2005) and the Medieval Climate Anomaly (MCA 500- 1350 AD, Graham et al., 2007)  
84 although the regional timing of these fluctuations often show discrepancies in duration and  
85 intensity. Available records demonstrate a significant human impact in mountain  
86 ecosystems over the past few centuries. Copper mining and associated land use have had a  
87 strong effect through airborne pollutants and eutrophication in central Chile Andean lakes  
88 (Von Gunten et al., 2009a). Other high elevation lakes in central Chile (i.e., lakes Chepical  
89 and Laja) also exhibit large environmental fluctuations in the 20<sup>th</sup> century, yet the impact of  
90 human activities in explaining such trends has not been evaluated.

91 To aid in this assessment, a 700 year high-resolution reconstruction of past climate  
92 and environmental variability was developed based on limnological variations of Laguna

93 del Maule (LdM), a volcanic lake situated in the high Andes of central Chile (Figure 1a, b).  
94 For this, a combination of sedimentological, geochemical and biological proxies was used  
95 together with an age model based on multiple dating methods. This multiproxy approach is  
96 well suited for addressing the challenges of reconstructing past environmental conditions  
97 from lake sequences in the high Andes, as various components of lake systems are  
98 mediated by non-climatic factors, such as geomorphology, volcanism, local hydrology, the  
99 magnitude of response to climatic forcing for any given lake system may be non-linear  
100 (Fritz, 2008). In this paper, the timing of major environmental changes at LdM is  
101 established along with how these relate to regional and global climate change (such as the  
102 onset and demise of the LIA) and human and climate synergies of 20<sup>th</sup> century changes in  
103 Andean high altitude lakes.

104 [insert Figure 1]

## 105 **2. Site description**

106 LdM (36°S-70°W, 2160 masl) is located in a large caldera in the western  
107 (windward) central Chilean Andes. The LdM volcanic field, located in the Andean  
108 Southern Volcanic Zone, extends over 500 km<sup>2</sup> and comprises more than 130 individual  
109 vents (Figure 1a, b). Past eruptive history (Hildreth et al., 2010) includes silicic eruptions,  
110 generating welded ignimbrites associated with caldera formation, small rhyolitic eruptions,

111 and a culminating ring of 36 post-glacial (< 25 ka) rhyodacite and rhyolite coulees and  
112 domes that encircle the lake (Feigl et al., 2014). Using satellite radar interferometry,  
113 Fournier et al. (2010) measured a deformation field rate of ~180 mm/year between 2007  
114 and 2008, which continues until the present.

115 LdM is located in the transition zone between the temperate, semi-arid, summer  
116 warm (Csb) and the dry-cool high-mountain (E) climate (Koppen-Geiger classification).  
117 Median annual temperatures recorded from 2007 to 2013 are: 8.4, 9.6, 10.3, 9.5, 8.1, 9.1  
118 and 9.1 °C respectively; whereas for 2014 data was available only until September 23 with  
119 7.6°C. The coldest temperature registered was -8 °C (August 2013). Precipitation stemming  
120 off cold fronts originates in the mid-latitudes and is most prevalent in autumn (May) to  
121 winter months (August) (Garreaud, 2009). Occasional summer storms can also occur when  
122 favorable conditions aloft allow the advection of moist air masses from the east (Viale and  
123 Garreaud, 2014). On interannual to interdecadal timescales, rainfall in subtropical central  
124 Chile has been shown to be sensitive to variations in the El Niño-Southern Oscillation  
125 (ENSO), the Southern Annular Mode (SAM) and the Pacific Decadal Oscillation (PDO)  
126 (Garreaud, 2009; Montecinos et al., 2011; Quintana and Aceituno, 2012). Recent decades  
127 of global warming (Trenberth and Fasullo, 2013) and projected future changes in the

128 subtropics worldwide (IPCC AR5, 2014) indicate that areas such as central Chile could  
129 become drier as winter droughts increase in frequency.

130 Modern vegetation surrounding LdM is dominated by sub-shrubs and cushion  
131 species and is part of high Andean Shrubland belt (between 2000-2500 masl) (García  
132 Berguecio, 2006; Luebert and Pliscoff, 2006; Teillier et al., 2011). At higher elevations,  
133 high Andean Steppe (>2500 masl) is dominated by herbs and cushion species characterized  
134 by scattered and/or scarce vegetation. Low Andean Shrublands occur at elevations between  
135 1500-2000 masl and Deciduous Forest is found at elevations lower than 1500 m (see Table  
136 2 in Supplemental Files).

137 LdM is a 54 km<sup>2</sup> lake with a maximum depth of 50 m, part of the Maule river  
138 watershed (21075 km<sup>2</sup>). The lake is irregularly shaped (Figure 1c) and was dammed  
139 (construction from 1946-1958, Figures 2, 3, 4, 5, 6, 7e-g). The dam is 40 m higher than the  
140 former lake level and the maximum potentially flooded area is 56 km<sup>2</sup> (Sandoval Jeria,  
141 2003). As a consequence, the lake volume increased from ~6 x 10<sup>8</sup> m<sup>3</sup> to more than 2 x 10<sup>9</sup>  
142 m<sup>3</sup> (Arias, 2011). Lake level fluctuations in recent decades have responded mostly to  
143 reservoir management for irrigation and hydroelectric needs. Indeed, the lake level has  
144 experienced a severe drop of 27 m in the last seven years (see Figures 1, 2, 3 in  
145 Supplemental Files). LdM is fed by winter snow accumulation (minimum of 0.01m in May,



146 2010 to a maximum of 3.8 m in September, 2014, see Figure 3 in Supplemental Files) and  
147 spring snowmelt. Although limnological data are scarce, depth, local climate and basin  
148 geomorphology all possibly indicate that the mixing pattern of LdM corresponds to a  
149 dimictic lake. The lake often freezes over in winter at the sectors of Las Nieblas, Potrerillo  
150 and La Colorada (Luis Torres, 2014, personal communication). Water pH ranged from 6.1  
151 to 8.4 (see Table 3 in Supplemental Files).

152

### 153 3. Material and methods

#### 154 3.1. Coring and sampling

155 A GARMIN GPSmap 178C Sounder was used to reconstruct the bathymetry  
156 (Figure 1c) applying a Universal Kriging interpolation method (Bivand et al., 2008).  
157 Seventeen short cores were retrieved along several transects in the LdM basin using a  
158 hammer-modified UWITEC gravity corer during three expeditions (2011, 2012 and 2013,  
159 Figure 1c, see Table 1 in Supplemental Files). Sediment cores were processed at the IPE-  
160 CSIC where they were opened, imaged, described and sampled. The presence of the  
161 Quizapú ash layer (1932 AD, T1, 15-17 cm depth, Figure 2a) was used as a stratigraphic  
162 marker to correlate across different cores. The core LEM11-1A (0.48 m in length),  
163 retrieved at the western sub-basin (43 m depth) was selected for detailed multiproxy

164 analyses. (Figure 1c, see Table 1 in Supplemental Files) Sedimentary facies were defined,  
165 studied and characterized based on criteria formulated by Schnurrenberger et al. (2003).  
166 After employing non-destructive logging techniques (XRF elemental analysis; see below)  
167 core LEM11-1A was sampled volumetrically in continuous 1 cm resolution intervals except  
168 for diatom samples which were taken at 3 cm resolution (1 cm thickness) and for pollen, at  
169 4 cm resolution (1 cm thickness).

170

171 [insert Figure 2]

### 172 3.2. Chronology

173 Dating LdM sequence was challenging because the scarcity of terrestrial plant  
174 remains and the occurrence of large  $^{14}\text{C}$  reservoir effect. Radiocarbon ages were obtained  
175 on five samples from three different cores (LEM11-1A, LEM11-3A and LEM13-3D)  
176 including macrophyte and terrestrial remains, wood and bulk sediment (see Table 1 below  
177 and Table 1 in Supplemental Files). Ages were determined by AMS  $^{14}\text{C}$  dating at the  
178 Poznan (Poland), at the DirectAMS (USA) Accelerator Mass Spectrometry Laboratories  
179 and at UC-Irvine (Keck Radiocarbon Facility). Water at 20 m depth and living littoral  
180 aquatic macrophytes were sampled to assess the modern  $^{14}\text{C}$  reservoir effect (Table 1).

181           One core, LEM12-3B (Table 1) was sampled in the field every 0.5 cm for  
182  $^{210}\text{Pb}/^{137}\text{Cs}$  (Figure 4a). The  $^{137}\text{Cs}/^{210}\text{Pb}$  activities were measured by gamma-ray  
183 spectrometry, using a high-resolution low-energy coaxial HPGe detector coupled to an  
184 amplifier at St. Croix Watershed Research Station Laboratory, Science Museum of  
185 Minnesota.  $^{210}\text{Pb}$  chronology was estimated by applying the constant rate of supply (CRS)  
186 model (Appleby, 2001). The core LEM12 -3B was correlated with adjacent LEM12-3A and  
187 with core LEM11-1A using TOC content and the T1 tephra layer horizon (Figure 4a).

188

### 189 3. 3. Geochemistry

190           An AVAATECH X-Ray Fluorescence II core scanner at the University of  
191 Barcelona was applied to core LEM11-1A at 4 mm measuring resolution using an Rh X-ray  
192 tube. Although the output includes a large number of elements (Figure 3b), Br, Al, Ti, Ca,  
193 Sr were selected to describe the changes in sediment composition. Twelve samples were  
194 analyzed using Inductively Coupled Plasma-Optical Emission Spectrometry, performed at  
195 the CEBAS-CSIC laboratory and validated by comparisons to semi quantitative XRF data  
196 (counts per second).

197           Elemental geochemical analyses were performed on continuous 1 cm sample  
198 intervals for total carbon (TC), total inorganic carbon (TIC) and total sulfur (TS) measured

199 in a LECO SC 144 DR furnace and for total nitrogen (TN) in a VARIO MAX CN  
200 elemental analyzer. For TOC/TN<sub>atom</sub> standard procedures were followed (Meyer and  
201 Teranes, 2001).

202 Biosilica (BSi) analyses and measurements were performed according to Hansen  
203 and Grasshoff (1983) and Mortlock and Froelich (1989) using a continuous flow  
204 AutoAnalyser Technicon II. Standard procedures for precision of the biosilica  
205 quantification were done (Bernárdez et al. 2005).

206

#### 207 3.4. Diatoms

208 Approximately 0.15 g of dry sediment from each 13 samples, from core LEM11-  
209 1A, were processed following the Queen's University methods  
210 (<http://post.queensu.ca/~pearl/diatoms.htm>). Clastic sediments were removed using a  
211 Sodium Polytungstate treatment. Microspheres were added for calculating diatom  
212 concentration (Battarbee and Kneen, 1982) and permanent slides were prepared using  
213 Naphrax® (Battarbee et al., 2001). Diatoms were identified and quantified under a  
214 trinocular Carl Zeiss microscope, AxioLab A1 with an oil immersion objective (1000X).  
215 Quantitative analyses were done by calculating relative abundances by counting  
216 approximately 400 valves (up to 600 valves were counted in some levels down to 300 when

217 diatoms were scarce), in random fields per slide. Diatoms were classified to species or  
218 variety level (Rivera, 1970, 1974, et al., 1973; 1982; Round et al., 1990). Standard floras  
219 were used for references (Hustedt, 1961-1966; Krammer, 2000, 2002, 2003, 2009;  
220 Krammer and Lange-Bertalot, 1991, 1997; Lange-Bertalot, 1999, 2001; Levkov, 2009;  
221 Patrick and Reimer, 1966; 1975; Rumrich and Lange-Bertalot, 2000; Dunck Oliveira et al.,  
222 2012; Karthick, et al., 2012; Spaulding, et al., 1997; Van de Vijver, et al., 2010; Watanabe  
223 et al. 2012). Scanning electron microscopy (SEM LEO 1420VP in the SEM Laboratory of  
224 the Physics Department of the Pontificia Universidad Católica de Chile  
225 (<http://servicios.fis.puc.cl/sem>)) was employed to recognize valve ultrastructural features  
226 and diagnostic characteristics. Optical microscopy images (1000X) were taken using a  
227 digital SLR camera (Canon EOS Rebel) attached to microscope. The nomenclature status  
228 of species or variety was verified using the Catalogue of Diatom Names (California  
229 Academy of Sciences,  
230 <http://researcharchive.calacademy.org/research/diatoms/names/index.asp>). Diatoms were  
231 grouped according to life forms and ecological characteristics  
232 (<http://westerndiatoms.colorado.edu/>, and references therein: see *Aulacoseira alpigena*  
233 ecology in [http://westerndiatoms.colorado.edu/taxa/species/Aulacoseira alpigena](http://westerndiatoms.colorado.edu/taxa/species/Aulacoseira_alpigena)). Figure 5  
234 was plotted using the Tilia software (version 2.0.19).

235

### 236 3.5. Pollen

237 Eleven pollen samples (1cm<sup>3</sup> sediment volume) were obtained from core LEM11-  
238 1A, and processed following Faegri and Iversen (1989) methods to extract pollen grains.  
239 *Lycopodium* tablets were added for calculating pollen concentration (grains/cm<sup>3</sup>;  
240 Stockmarr, 1971) and accumulation rates (grains/cm<sup>2</sup>/ yr). Pollen grains were mounted on  
241 glass slides and identified and quantified under an Axiostar Carl Zeiss microscope (400x  
242 and 1000x) using published pollen atlas (Heusser, 1971; Markgraf and D'Antoni, 1978) and  
243 the reference pollen collection of the Paleoecology Laboratory at CEAZA. Pollen counts  
244 include 300 terrestrial pollen grains excluding paludal taxa. Relative abundances (%) are  
245 presented and discussed using selected taxa which were grouped according to their  
246 distribution within the vegetation belts described by Luebert and Pliscoff (2006). Pollen  
247 interpretations are based on the main vegetation belts present (composition and dominant  
248 species) and their elevation, according to the literature (Luebert and Pliscoff, 2006; Teillier  
249 et al., 2011) (see Table 2 in Supplemental Files). Data were analyzed and plotted using  
250 Tilia software (version 1.7.16)

251

### 252 3.6. Data Analyses

253        **Analyses** and charts were performed on the Open Sources software Quantum GIS  
254 | and in the R Programming Language (R Core Team, 2013). Statistical treatment of data  
255 | was carried out following **Riani** et al. (2009) and **Grimm** (1987).  
256

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## 257    **4. Results**

### 258    *4.1. Sedimentary facies*

259        The LdM short cores are composed of massive to banded, brown, diatom and  
260 organic-matter -rich silts (facies D) with interspersed volcanic-rich facies (T and V) (Figure  
261 2, **7g**). In the lacustrine silts, the organic content ranges between 1-8% TOC and BSi  
262 between 9-22% (Figure 3a). Mineral fraction is mostly composed of plagioclase (60-80%),  
263 quartz (<5%), cristobalite (10-20%) minerals and glass particles (up to 20%). According to  
264 texture and composition, three main lacustrine facies have been identified. Finer facies (D1  
265 and D2) are relatively less organic-rich (TOC: 1-2%; TS: 0.1-0.3%) and with a variable  
266 diatom content (BSi: 15-22%) (Figure 3a). D1 is finer, and with higher organic and diatom  
267 content than D2 (Figures 2, **3a**). Facies D3 are banded, coarser organic-rich silts (Figures 2,  
268 3a), with relatively higher organic matter content (TOC up to 3.5%; TS up to 0.8%) and  
269 more abundant terrestrial and littoral macrophyte remains. Layering in facies D3, defined  
270 by small changes in abundance of organic matter, coarser grain size; and the abundance of

271 macrophytes remains suggest a more littoral depositional setting for facies D3 compared to  
272 D1 and D2 (Figures 2, 3a). Macrophyte-rich facies are also more common in littoral cores  
273 (e.g. LEM 11-3A, 24 m water depth (Figure 2a)).

274 [insert Figure 3]

275 Two main sedimentological units are defined based on facies occurrence. Basal Unit 2 (50  
276 – 14 cm) is composed of facies D3, whereas the top Unit 1 (0-14 cm) is made of finer facies  
277 D1 and D2. Increasing TOC values (from 1.4% to 3.5%) define subunit 2B (50-30 cm),  
278 whereas relatively constant TOC values occur in 2A (30-15 cm). Low and relatively TOC  
279 and TS values characterized Unit 1 (Figure 3a).

280 Up to eight volcanic-rich layers occur as cm-thick, massive, grey and dark,  
281 brownish layers (Figures 2, 7g). They are composed mostly of volcanic glass, quartz and  
282 plagioclase, with elevated but variable amounts of diatoms and organic remains. Based on  
283 textural characteristics and composition, two main types of volcanic facies were identified:  
284 T and V (Figures 2, 7g). Facies V are dark brown, with gradational boundaries and include  
285 more biogenic components (organic remains, diatoms) than T, but are not present in all  
286 cores. Facies V are interpreted as reworked volcanoclastic deposits, associated to increased  
287 alluvial transport in the basin, of volcanic material from the watershed. Facies T (T1 and T2  
288 layers) have distinctive sharp basal and upper boundaries, internal texture, dominant



289 volcanic composition and have been identified in cores throughout the lake basin. They  
290 have been interpreted as ash fall deposits from recent volcanic activity. T1 is a distinctive  
291 2-2.5 cm thick tephra with sharp basal and upper boundaries, and composed of a black,  
292 coarser basal layer grading into finer grey layers, and it has been associated with the last  
293 eruption of the Quizapú volcano in 1932 (Hildreth and Drake 1992; Ruprecht et al., 2012)  
294 (Figure 2, 7g).

#### 295 4.2. Chronology and age model

296 We had to use more than one analytical method ( $^{14}\text{C}$ ,  $^{210}\text{Pb}/^{137}\text{Cs}$ , tephrochronology)  
297 and several cores to obtain enough material for dating (Figure 4, Table 1). Hence, the age  
298 model includes  $^{137}\text{Cs}/^{210}\text{Pb}$  dating from the core LEM12-3B, two AMS  $^{14}\text{C}$  dates from  
299 wood and terrestrial plant samples from cores LEM13-3D and LEM11-1A (Table 1, Figure  
300 4b). Three  $^{14}\text{C}$  dates (on bulk organics and plant macrofossils) were obtained from core  
301 LEM11-1A but only one (plant macrofossil) was used in our age model as the other two  
302 clearly had a large reservoir effect. A reservoir effect is common in Andean volcanic lakes  
303 and is likely caused by a large contribution of volcanic  $\text{CO}_2$  (Geyh et al., 1999; Valero-  
304 Garcés et al., 1999). We used two approaches to determine  
305 [insert Figure 4]

306 this variable  $^{14}\text{C}$  reservoir effect: i) dating the water dissolved inorganic carbon (DIC) at the  
307 mixolimnion (~20 m) and modern macrophytes (Table 1), and ii) by comparing the  
308 calibrated ages of  $^{14}\text{C}$  dates to samples ( $^{14}\text{C}$ -dated or otherwise) with no reservoir effect  
309 from the same stratigraphic interval (i.e. wood, the Quizapú volcano eruption of 1932 AD,  
310 see Table 1, Figure 4a). Fortunately, the correlation across all of our short cores was  
311 enabled by the similar TOC profiles and validated indirectly by the key presence of the  
312 Quizapú eruption ash layer (Figure 2a). The DIC- based reservoir effect was similar to  
313 living macrophyte (~ca. 2400 years) but considerably lower than the macrophyte-based  
314 estimate based on comparison of samples from the same stratigraphic level (~ca. 4700  
315 years). This variable range of the reservoir effect underlines the complexity of the carbon  
316 cycle in high-altitude volcanic lakes and also suggests that biological effects on the littoral  
317 realm may be significant.

318 We used a Bayesian age-depth model (Blaauw and Christen, 2011) to establish the  
319 deposition rates along the core; tephra layers have been removed for the calculation since  
320 they are assumed as instantaneous deposition. The final age-depth model uses Bayesian  
321 statistics and includes the  $^{210}\text{Pb}/^{137}\text{Cs}$  dates, Quizapu eruption (at 13 cm) and three AMS  
322 dates, two without reservoir effect (wood and terrestrial plant sample from LEM13-3D (15  
323 cm) and LEM11-1A (43 cm), respectively) and one with corrected values (macrophyte

324 macrofossil sample from LEM11-3A at 14 cm) (Figure 4b). The 1963 AD  $^{137}\text{Cs}$  peak  
325 centered at 6.5-7 cm (Figure 4a) fits the  $^{210}\text{Pb}$  chronology well, thus adding considerable  
326 confidence to the  $^{210}\text{Pb}$  dating. The Pb/Cs ages estimated a sedimentation rate mean of 1.2  
327 mm/yr for the upper Unit 1. The resulting model indicates that the core LEM11-1A spans  
328 the last 700 years (Figure 4b).

#### 329 4.3. Geochemistry

330 The first two components of a PCA of the XRF core scanner dataset explain ~ 80%  
331 of the variance (see Figure 5 and Table 4 in Supplemental files). The eigenvector associated  
332 with the higher eigenvalue (7.58) define two main groups of loadings: i) Rb (0.34), Zr  
333 (0.34), Zn (0.34), Ti (0.32), K (0.29), Y (0.29), Sr (0.30) and Ca (0.27) that is interpret as  
334 the volcanic input and, ii) Br (-0.30), S (-0.16), P(-0.19), Si(-0.05) and Fe (-0.04) which are  
335 related to the amount of organic matter in the sediment. The formation of iron sulfides  
336 when anoxic conditions are more dominant, explains the relationship between TOC and Fe.

337 In addition, a significant association occurs between TOC values and Br ( $R^2 = 0.79$ ). As  
338 shown for other lake sequences (Gilfedder et al., 2011), Br content is associated with the  
339 amount of organic matter in the sediment, in turn a function of productivity, allochthonous  
340 input and preservation. Since most organic matter in the LdM sequence is of lacustrine  
341 origin (macrophytes and algae), in this study, the ratio Br/Ti is used as an indicator of

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342 organic matter content and as a reflection of bioproductivity and preservation. TOC and  
343 BSi also show good correlation ( $R^2 = 0.48$ ,  $p < 0.001$ ).

344 The Fe/Mn ratio is interpreted as indicator of changes in anoxic bottom conditions  
345 (Davison, 1993; Tipping et al. 1981; Wersin et al., 1991). Pronounced Mn enrichment at  
346 the top 5 cm (facies D1) and in some levels in facies D3 (35-40 cm depth) are suggestive of  
347 diagenetic Mn precipitation (Froelich et al., 1979; Kasten et al., 2003) (Figures 3b, 7f)  
348 during relatively more frequent anoxic conditions. TOC, TS and Br/Ti ratio values are  
349 higher in Unit 2 than Unit 1 reflecting an enhanced primary production and incomplete  
350 decomposition of organic matter at the lake bottom due to more frequent anoxic conditions  
351 (lower Fe/Mn ratios).

352

#### 353 4.4. Diatoms and Biogenic Silica

354 233 different diatom taxa were identified from the analyze of thirteen samples,  
355 although only ten species reached a 2% in at least one level (Figure 5). Most taxa are  
356 planktonic (75.4%) and only 18.5% are benthic. The LdM diatom flora is dominated by two  
357 planktonic species *Discostella stelligera* (mean: 55.1%) and *Aulacoseira alpigena* (mean:  
358 18.6%) as well as by a group of small fragilarioid benthic taxa (mean: 15.6%) consisting of  
359 *Staurosira construens* (dominant), *Staurosirella pinnata*, *Staurosira brevistriata*,

360 *Fragilaria pseudoconstruens*, *Pseudostaurosira brevistriata*, *Staurosira construens* var.  
361 *binodis*, *Staurosirella dubia*, *Fragilaria martyi*, *Fragilaria leptostauron* var *leptostauron*,  
362 and *Fragilaria* (possibly a new species). Epiphytic taxa reach a mean relative abundance of  
363 0.5%. Five additional taxa exhibit relative abundances of at least 3% in at least one  
364 sample, i.e., *Aulacoseira distans*, *Cyclotella meneghiniana*, *A. lirata*, epiphytic  
365 *Rhoicosphenia curvata* and *Cocconeis placentula* as well as benthic *Nitzschia amphibia*  
366 (Figures 3a, 5).

367 [insert Figure 5]

368 Results are summarized into groups of taxa according to their life forms (benthic,  
369 epiphytic, planktonic, tychoplanktonic). The mean percentage ratio of planktonic to non-  
370 planktonic (P/NP) taxa fluctuates ~ 4.7 with the lowest values at the base (61.7% of  
371 planktonic taxa, ratio 1.8) and two peaks with higher values at 44-45 cm (83.3% of  
372 planktonic taxa; mainly *Cyclotella* taxa, ratio: 11.8) and 16-17 cm (87.7% of planktonic  
373 taxa, mainly *Cyclotella* and *Aulacoseira* taxa, P/NP ratio: 11.3, Figure 3a, 5). Total diatom  
374 concentration (TDC) shows little variation (mean ~10E<sup>6</sup> valves g<sup>-1</sup>) with only two peaks at  
375 the base ~50 and at 17 cm (Figure 3a). BSi values show a similar pattern with higher values  
376 in Unit 2 and lower values in Unit 1. Three main zones are identified based on diatom  
377 assemblages.

378 Basal ZoneD3 (45-50 cm): the more abundant diatoms are *Discostella stelligera*  
379 (54%) and *Staurosira construens* (24.1%) (Figures 5, 3a). Planktonic diatoms show their  
380 lowest value (61.7%) (Figure 3a).

381 ZoneD2 (17-45 cm) shows the highest abundance of *Discostella stelligera* (59.5-  
382 78.1%), followed by *Staurosira construens* (4.9-14.8%) and *Staurosirella pinnata* (10.4%)  
383 (Figure 5). The upper part of ZoneD2 show decreasing values of *Discostella stelligera*  
384 (66.7%) and *Staurosira construens* (16%) (Figure 5).

385 Diatom assemblages in ZoneD1 (0-17 cm) are characterized by a co-dominance of  
386 *Discostella stelligera* (29.5-57 %), *Aulacoseira alpigena* (13.3-35.7%), and *A. distans*  
387 (32.5%) (Figure 5).

388

#### 389 4.5. Pollen

390 47 pollen taxa were identified, 25 reached at least 2% in at least one level. Pollen %  
391 (Figure 6) are dominated by Poaceae throughout the record but three distinct zones are  
392 definable based on associated pollen taxa.

393 ZoneLEM-1 (29-48 cm depth) is dominated by Poaceae (60-51%) accompanied by  
394 mostly high Andean Low Shrubland taxa such as *Ephedra* (8.7-5.5%).

395           ZoneLEM-2 (18-30 cm depth) shows the highest percentages of Poaceae (61-56%)  
396 associated with increases in High Andean Steppe taxa and a decrease of pollen types  
397 associated with Low Andean Shrubland.

398           ZoneLEM-3 (0-18 cm) shows the most prominent change over the entire record.  
399 Poaceae % drop to 29 whereas Low Andean Shrubland and Forest taxa % increase. Exotic  
400 taxa (an indicator of human perturbations) appear and paludal taxa increase at the top.

401           [insert Figure 6]

402

## 403 **5. Discussion**

### 404 *5.1. LdM basin processes since 1300 AD*

405 *5.1.1. Sediment delivery.* Similar K, Ca and Ti profiles likely reflect the same depositional  
406 processes **governing the distribution of these elements in lacustrine facies**, i.e., mainly  
407 minerogenic sediment input from the surrounding watershed. Fe, Rb, Sr and Zr all follow  
408 the same overall pattern of K, Ti and Ca in Unit 1 (Figure 3b). Due to the relatively  
409 immobile characteristics of Ti, this element has been used as an indicator for clastic input  
410 (Demory et al., 2005; Haberzettl et al., 2005, 2007; Haug et al., 2003). Ca follows a similar  
411 pattern to Ti due to the presence of Ca-bearing volcanic rocks in the catchment  
412 (plagioclase), although elevated values of Al and Si (a mixed signal of allochthonous clastic

413 input and autochthonous production of biogenic silica) show a high-frequency pattern in  
414 facies D1 and D2. Al values are lower in Unit 2 whereas Ti/Si fluctuates, with relatively  
415 high values suggesting a higher diatom component (indicated by TDC and BSi values,  
416 Figure 3a). Fluctuations in sediment delivery to the lake are associated with run-off  
417 variability which in turn is related to variations in the amount and seasonality of  
418 precipitation (summer vs. winter) and the duration of winter snowfall and, thus are reflected  
419 in the high-frequency Ti fluctuations throughout Units 1 and 2 (Figure 3b). Periods of  
420 prolonged snow cover in the catchment area reduce the annual duration of fluvial activity  
421 and potentially diminish the lake clastic input. In addition, longer periods with lake water  
422 stratification under snow winter accumulation and prolonged anoxic conditions at the  
423 sediment/water interface, lower organic matter oxidation. This leads to higher organic  
424 matter preservation and increased TOC values. Extended snow cover, however, can also  
425 extend the time of snow accumulation in the catchment, leading to greater runoff during  
426 snowmelt. This would result in an enhanced minerogenic flux to the lake sediments. The  
427 duration of the periods of snow accumulation is likely controlled by the timing of snow  
428 accumulation and melting and by winter minimum temperatures; which controls the  
429 thickness of the snow layer (Livingstone, 2005). Although some minor fluctuations



430 occurred within Unit 2, the most significant change in LdM sequence is the relatively  
431 higher values of minerogenic input in Unit 1 compared to Unit 2.

432

433 *5.1.2 Diatoms.* Subfossil lake diatoms records are scarce for central Chile, the multiproxy  
434 studies from Laguna Aculeo are an exception (Jenny et al., 2002a, b) as well as a recent  
435 record from Lago Laja (Urrutia et al., 2010). Although the resolution of diatoms in LdM  
436 record is lower than geochemical proxies, it captures the centennial-scale variability and  
437 characterize the main changes within the different units.

438 High percentages of benthic fragilarioid taxa have been linked to cold conditions  
439 (Fey et al., 2009; Stoermer, 1993 and references therein) in many tempered lakes (Rühland  
440 et al., 2008); in LdM, a high altitude Andean lake, this relation is also seen (Figure 5, 3a).  
441 The presence of small fragilarioid (4.1-30.4%) taxa throughout the entire record, might also  
442 be indicating shallow waters in coastal areas, of circumneutral to alkaline and oligotrophic  
443 to mesotrophic (Douglas and Smol, 2010) deeper lakes (Fernández et al., 2013) (Figure 3a,  
444 5). *Staurosira construens* and *Staurosirella pinnata* are the most abundant benthic diatoms.

445 *Discostella. stelligera* has been observed to bloom in lakes during the 20<sup>th</sup> century  
446 in relation to physical properties (ie thermal stratification (Harris et al., 2006), warmer  
447 conditions (Hyatt et al., 2011; Rühland and Smol, 2005; Rühland et al., 2003, 2010). The

448 abundance of *D. stelligera* in LdM after ~1900 AD (post LIA), decreases to almost half  
449 (ZoneD1). Concordantly, LdM record shows large diatom assemblage shifts over the past  
450 100 years, similar to those recorded in many lakes of the northern Hemisphere (Hyatt et al.,  
451 2011; Rühland et al., 2003, 2010); although species involved are different. The shift in  
452 abundance between *Discostella* and *Aulacoseira* is highly characteristic of the upper 15 cm  
453 of LdM core, and is opposite to patterns described for Arctic lakes during the past centuries  
454 (Rühland et al., 2008). These last century changes are also prevalent in other diatom  
455 records from central Chile. At Lago Laja (37.3°S) this shift is observed between *D.*  
456 *stelligera*, *D. aff. Glomerata* and *Aulacoseira distans* which has been interpreted as a  
457 combination of increases in nutrient concentrations coupled with large drops in lake level  
458 during recent decades (Urrutia et al., 2010). At Laguna Aculeo (33.8°S) this shift involves  
459 maximum abundance of *Melosira pseudogranulata* and *Aulacoseira granulata* and  
460 minimum of *Cyclotella operculata*, which has been interpreted as increased eutrophication  
461 (Cabrera and Montecino, 1982; Jenny et al., 2002a, b). Furthermore, the co-dominant  
462 species in LdM record (1900 to 2011 AD) is *Aulacoseira alpigena* (3-35.7%), and although  
463 its ecology is not well known, has been considered as a low-nutrient indicator. This species  
464 has also been found at other high Andean lakes such as Laguna Negra and Laguna el Ocho,  
465 (Alvial et al., 2008) as well as in southern Patagonia (Fernández et al., 2012). Frequent re-

466 suspension (enhanced mixing, Ruhland et al., 2008; Köster and Pienitz, 2006; Kilham et al.,  
467 1996) and consequently high turbidity and low light also seem to favor this species.

468       The late 19<sup>th</sup> century is a period of significant climate changes at a regional scale  
469 (end of the LIA, Jenny et al., 2000b) and it could have been a dominant forcing in LdM  
470 environmental change. For example, an increase in the intensity of local winds could have  
471 contributed to create turbulence in the water column, allowing *Aulacoseira* taxa (heavy  
472 silicified) to remain in the photic zone. An increased turbidity may have reduced the photic  
473 zone, leading to conditions under which better flotation and higher surface to volume  
474 exposure afforded *Aulacoseira* a greater competitive advantage (Vilaclara et al., 2010). The  
475 location of the coring site, which is relatively close to the lake margin, could also have  
476 favored more turbid conditions due to enhanced sediment delivery or re-mobilization.  
477 Although the rapid increase in *Aulacoseira* taxa occurred ~50 years prior to dam  
478 construction, early anthropogenic impacts (fishing and other early recreational uses) cannot  
479 be ruled out as an explanation for this change in diatom assemblages. In addition, damming  
480 since 1946 AD and water level management policies can affect lake hydrological  
481 properties, possibly increasing turbidity and decreasing light availability, with subsequent  
482 changes to water column mixing and stability (Ruhland et al. 2010; Saros et al. 2014). The  
483 presence of *A. distans*, a typical wetland species could also be due to recent conductivity

484 changes in the lake (Camburn and Charles, 2000). In summary, the rapid changes in diatom  
485 assemblages at the top of the core are likely responding to a combination of all these  
486 factors.

487 At the bottom of the core at (ZoneD3) planktonic diatoms were at their lowest  
488 abundance which is indicative of lower water levels.

489 Increases in epiphytic taxa (Figure 5, 3a, ZoneD1) possibly point to stronger wind  
490 and wave transport of epiphytic diatoms from littoral macrophyte habitats towards the  
491 coring site where they were re-deposited together with planktonic diatoms.

492 The presence of *Cyclotella meneghiniana* (ZonesD1, 2, 3) is typical of halobous to  
493 oligohalobous, alkalibiont, littoral environments (Gutiérrez Téllez, 1996). The appearance  
494 of *Nitzschia amphibia* (ZoneD1), although in very low abundances, could be response to  
495 more eu- to hyper-eutrophic environments (Bennion, 1994; Chávez-Lara et al., 2012;  
496 Hassan, 2013; Whitmore, 1989).

497 In the northern hemisphere, large 20<sup>th</sup> century changes in diatom assemblages are  
498 related to climate-induced changes in the thermal regime, resulting in enhanced periods of  
499 stratification and increasing productivity associated with extended growing seasons and  
500 warmer conditions (Interlandi and Kilham, 2003; Rühland and Smol, 2005; Saros and  
501 Anderson, 2014; et al., 2012, 2013). In the LdM record, the large change in diatom

502 assemblages in the upper zone is coincident with the end of the LIA (after 1850 AD) and  
503 occurs after a period of higher productivity (indicated by BSi, TDC and geochemical  
504 indicators) (Figure 3, 7e). The recent trends indicative of decreased productivity over the  
505 last decades at LdM could be associated with warming or other anthropogenic impacts and  
506 limnological perturbations. Warming temperatures and relatively higher levels after the  
507 dam construction in the 1950s would have increased the stability of the water column and  
508 might be contributing in reduced upwelling of deep-water nutrients to surface waters,  
509 thereby significantly decreasing overall lake production; although this does not explain the  
510 abundance of *Aulacoseira*. To the contrary, these taxa would decrease in abundance if the  
511 lake became more stratified after ~1900 AD. At Laguna Chica de San Pedro, Urrutia et al,  
512 (2000) provide evidence that macrophyte beds might be storing nutrients that then become  
513 unavailable for the phytoplankton. In LdM LEM11-1A record, macrophyte remains have  
514 been seen to be more abundant in facies D3 (Figure 3a), before the past century, but not in  
515 more recent facies. The recent expansion of littoral macrophyte beds, however, suggested  
516 by the increased abundance of the epiphytic diatom *Rhoicosphenia curvata* (Figure 5) could  
517 also influence biogeochemical processes, but their impact on the diatom community will  
518 need to be assessed by more research. Additionally, macrophyte-rich facies are highly  
519 abundant throughout the more littoral core LEM 11-3A (Figure 2a).

520

521 *5.1.3. Organic Bioproductivity.* The TOC/TN<sub>atom</sub> fluctuates around a mean of 7 (Figure 3a)  
522 and suggests a predominantly algal origin of the organic matter (between 4 - 10, Meyers  
523 and Teranes, 2001). The TOC/TN<sub>atom</sub> variability reflects different amounts of macrophyte  
524 debris admixed with algal organic matter, (Figure 3a). As TOC, TS, TDC and BSi display a  
525 rather similar pattern at LdM (Figure 3a), they are likely related to the same controlling  
526 factors. These are primary productivity, dilution by minerogenic input and organic matter  
527 preservation (Meyers, 2003). Silica sources are diatoms, phytoliths, chrysophytes and  
528 sponge spicules and minerogenic materials. Elevated Ti/Si ratios could possibly reflect  
529 higher input of Si from biosilica, an interpretation which is further supported by the TDC  
530 and BSi record (Figure 3a). Hence, for the LdM record the Ti/Si ratio appears to be a  
531 reliable proxy for diatom productivity as it is independent of dilution effects.

532 Changes in productivity are also controlled either by a varying influx of nutrients  
533 through fluvial and/or aeolian input or the duration of open water which enables  
534 photosynthesis and so controls the length of the growing season for algae and macrophytes.

535 Ti/Si, Br/Ti and BSi profiles and PC1 (Figure 3b) show coherent patterns over the  
536 last 700 years interpreted as productivity (both algae and macrophyte) indicators. The  
537 record presents two century-scale peaks from 1300-1400 AD and 1650-1850 AD (Figure

538 **7e)** Low values occur from 1400 -1650 AD as well as during most of the late 19<sup>th</sup> and 20<sup>th</sup>  
539 centuries. A small increase in the last decades is marked by increases in TOC, TS, BSi,  
540 Ti/Si and Br/Ti (Figures 3a, b). Endogenic carbonates are absent, although there is a level  
541 with relatively high TIC (up to 1%) at between 19- 20 cm (~1800 to 1850 AD) (Figure 3b)  
542 that suggests a short period of carbonate formation in the lake, possibly associated with  
543 increased organic productivity.

544 [insert Figure 7]

545 *5.1.4. Vegetation changes.* The highest percentages of Poaceae and High Andean Steppe  
546 taxa **are suggestive** of a displacement towards lower elevations of the high altitude  
547 vegetation belts during zone LEM-2, compared to zone LEM-1. **Although these results**  
548 **suggest** a shift towards more humid and/or cooler environmental conditions, **we should be**  
549 **cautious because of the low resolution of this sampling interval and the lack of local pollen**  
550 **rain transects.** Low pollen accumulation rates of shrubland taxa suggest decreased pollen  
551 deposition, possibly associated with scarce plant cover (more typical of high altitude  
552 vegetation assemblages). **Moreover** low pollen accumulation rates are also recorded before  
553 and after LEM-2 but within the zones the values are minimal (see Figure 4 in Supplemental  
554 Files). Pollen assemblages in zone LEM-3 show an increase of taxa more typical of  
555 vegetation belts from lower elevations (Low Andean Shrublands and Forest taxa)

556 suggesting of a precipitation decrease and/or temperature increase. Furthermore, the  
557 increase of both exotic and paludal taxa at the top of the sequence indicates anthropogenic  
558 perturbation and seasonal variation of water level in the lake related to dam activities.

559 Existing records from Lago Laja (Torres et al., 2008) and Laguna de Matanzas  
560 (Villa-Martínez, 2002) indicate overall drier conditions before 650 AD and from 1560 -  
561 1890 AD. This contrasts with the relatively colder and moisture conditions interpreted from  
562 the pollen assemblages in the LdM record (Pollen Zone LEM-2).

## 563 5.2. *The Little Ice Age and recent global changes in the high Andes of central Chile*

564 The LdM record provides a high resolution reconstruction of past environmental and  
565 climate variations during the last 700 years in the high Andes of central Chile and can lead  
566 to further assessment of the regional importance of the LIA, as well as major environmental  
567 changes that occurred during the 20<sup>th</sup> century. Two periods of paleoenvironmental change  
568 can be inferred across all of proxies in the LdM record. Diatom assemblages,  
569 sedimentological properties and geochemical indicators (TOC, TS, Br/Ti) show a change at  
570 ca ~ 1300 AD, when lower organic bioproductivity and more frequent anoxic conditions  
571 occurred. The second but more significant limnological change occurred at the late 19<sup>th</sup>  
572 century, when a large drop in productivity (Figure 7e) and the rapid increase of *Aulacoseira*  
573 (Figure 5) mark the end of the LIA. Changes in the diatom assemblages could possible be



574 due to early anthropogenic impacts or climate factors as increased winds, that would create  
575 the turbulence needed for *Aulacoseira* to remain in the photic zone and dominate the  
576 phytoplankton (clearly competing with *Cyclotella* taxa, typical of thermally stratified  
577 environments).

578

579 The large environmental changes seen in the LdM record are for the most part  
580 coeval with other regional records in central Chile and predate the onset of colder  
581 temperatures in the northern hemisphere during the LIA (Matthews and Briffa, 2005).  
582 Regional records from Central Chile (De Jong et al., 2013; Von Gunten et al., 2009a;  
583 Urrutia et al., 2010) show that climate was characterized by relatively colder conditions,  
584 particularly cooler summers and relatively wetter conditions since 1350 AD, although  
585 summer temperatures during the 18<sup>th</sup> century were significantly higher than the previous  
586 interval (De Jong et al., 2013; Figure 7c). A reflectance record from Laguna Aculeo  
587 indicates summer temperatures even higher than those at present from 1100 – 1350 AD  
588 (Von Gunten et al., 2009a), at the end of the MCA (sensu Graham et al., 2007) and prior to  
589 the onset of the LIA. This was followed by a decrease in summer temperatures of ~1°C  
590 from 1350 – 1700 AD before the onset of the LIA (Von Gunten et al., 2009a). Pollen  
591 records from Lago Laja and Laguna de Matanzas, as well as evidence from glacial

592 geomorphology and dendrochronology, also suggest cooler and wetter conditions between  
593 1350 – 1700 AD and at ~1800 AD (Araneda et al., 2009; Espizua, 2005; Espizua y Pitte,  
594 2009; Jenny et al., 2002a; Le Quesne et al., 2009; Neukom et al., 2010, 2011; Urrutia et al.,  
595 2010; Villa-Martínez, 2004; Von Gunten et al., 2009b). Further evidence for a cold episode  
596 during the LIA comes from a record of glacial advance between 1550 – 1720 AD from the  
597 same region as the LdM (Espizua 2005; Espizua y Pitte 2009). The LIA thus stands out as a  
598 time of significant and complex hydrological, environmental and climate change in central  
599 Chile.

600 In contrast, dendrochronological records taken along the western Andean slope  
601 between 32-38 °S show that the last 100 yrs are also some of the driest of the last few  
602 centuries (Christie et al., 2010; Le Quesne et al., 2006, 2009). Geochemical proxies at LdM  
603 indicate that a large decrease in productivity occurred towards the end of the 19<sup>th</sup> century,  
604 although **dominant** anoxic conditions appeared to have remained at the lake bottom. **Pollen**  
605 **assemblages (Low Andean Shrublands and Forest taxa) suggest a precipitation decrease**  
606 **and/or temperature increase in the 20<sup>th</sup> century. An increase also in exotic and paludal taxa**  
607 **may indicate seasonal variations of the water level in the lake related to dam management.**  
608

609 Over the last decades, the LdM record evidences a dominance of *Aulacoseira* in the  
610 diatom communities, a possible increase in the development of littoral macrophyte  
611 meadows and a slight recovery of bioproductivity (relatively higher TOC, Br/Ti, BSi,  
612 Ti/Si). The increase in the trophic state of the lake could be related to an increase in actual  
613 nutrient loading as a consequence of sport fishing and outdoor human activities. The  
614 development of macrophyte meadows suggested by the recent appearance of the epiphytic  
615 diatom *Rhoicosphenia curvata* (Figure 5) is coherent with an increase in the extent of  
616 shallow lake environments as shown in other lake systems (Balls et al., 1989). This could  
617 be related to the extensive flooding of shallow areas after the dam construction in the 1950s  
618 and the water management regime for irrigation and hydropower generation. Lower values  
619 of TDC for recent decades (Figure 3a), however, suggest that the planktonic (mainly  
620 *Cyclotella* and *Aulacoseira* taxa) productivity has decreased although littoral productivity  
621 (macrophytes) may have increased (Figure 7e). Macrophytes could act sequestering  
622 nutrients, with consequent lowering of phytoplankton productivity, but this hypothesis  
623 requires further confirmation; pointing to a complex pattern of productivity changes in  
624 LdM.

625 Another factor to consider is the higher temperatures recorded for the recent decades  
626 of global warming in the high Andes of continental Chile (Falvey and Garreaud, 2009). In

627 central and northern Chile (17°–37°S) *in situ* temperature observations confirm the strong  
628 contrast between cooling off the coast (0.2 °C/decade) and warming in the central valley  
629 and western Andes (+0.25°C/decade), only 100 – 200 km further inland (and 500 – 2500 m  
630 higher in elevation). The warming rate along the western slope of the Andes is similar to  
631 that observed on the eastern (continental) slopes.

632 Warmer temperatures in the high Andes are related to a shorter duration of the  
633 snowpack of the LdM in recent decades, and are conducive to an early melting of the snow  
634 cover, increased runoff and meltwater discharge into the lake during spring and early  
635 summer, and more turbulent conditions in coastal areas. This, between other factors, could  
636 be evidenced by the presence of *Aulacoseira* taxa, although it could also be indicating  
637 windier conditions and/or higher water levels (Fernández et al., 2012).

638

639 What drives the centennial scale environmental changes visible in the Laguna del  
640 Maule record? Although many features of the LdM record are in accordance with global  
641 variations in climate (i.e. colder climates at the onset of LIA, recent 20<sup>th</sup> century warming)  
642 others appear to be clearly regional and not global in extent (onset of colder, anoxic  
643 conditions and decreased productivity during the early 15<sup>th</sup> century, and increased  
644 temperatures, oxic conditions and productivity during the 18<sup>th</sup> century). In Patagonia,

645 changes in the location and intensity of the Westerlies have been considered as the main  
646 forcing for dry/wet phases during the LIA (Moy et al., 2008; Moreno et al., 2014)  
647 associated to reduced Hadley circulation during period of reduced solar activity (Chambers  
648 et al., 2014). In LdM region, moisture changes during the last centuries should be related to  
649 winter precipitation variability, mostly controlled by the intensity and location of the South  
650 Pacific High and changes in ENSO dynamics (Garreaud, 2009). The possible link with  
651 solar irradiance and ENSO dynamics of the internal LIA variability shown in LdM record  
652 needs to be explore with high resolution records from the Andes and other areas where  
653 human impact was minimal until the mid-20<sup>th</sup> century.

654

## 655 **6. Conclusions**

656 The history of Laguna del Maule over the past 700 years has been reconstructed  
657 based on sedimentological, geochemical and biological indicators. Geochemical proxies  
658 (Fe/Mn, S/Ti) show more frequent oxic bottom conditions prior to 1400 AD followed by a  
659 general trend to increased anoxic conditions, punctuated by a phase of higher oxygenation  
660 between 1650 – 1850 AD. In LdM record, periods of increased productivity indicated by  
661 Br/Ti and Ti/Si (1300 – 1400 AD and 1650 – 1850 AD) correspond with phases of  
662 decreased anoxia, likely associated to lower lake levels and occurred during periods of

663 climate transition to and from colder states that signified prominent changes in summer  
664 temperature throughout central Chile and southern South America. Past variations in lake  
665 limnology and hydrology coincide in part with the Little Ice Age (with cooler/wetter  
666 conditions from 1570 to 1700 AD and a final phase ending ca. 1850 AD) but also indicate  
667 major environmental change in the 18<sup>th</sup> century, seen in other records across central Chile  
668 and northern Patagonia. Both, anthropogenic and climate factors are likely responsible for  
669 recent changes in LdM basin. Introduction of new species due mainly to fishing activities,  
670 increased turbulence of the water column and changes in the thermal stratification caused  
671 by the damming and warmer conditions, could all contribute to the changes seen in diatom  
672 assemblages in the 20<sup>th</sup> century. These recent changes have no previous analog in the past  
673 700 years and indicate a possible environmental shift in the lake towards an unprecedented  
674 state.

675

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1113

#### 1114 **Figure captions**

1115

1116 **Figure 1.** (a) Location of Laguna del Maule (LdM) in central Chile and other sites  
1117 mentioned in the text. (b) Maule River hydrographic watershed and location of Quizapu

1118 volcano. (c) LdM bathymetric map showing core location (2011, 2012 field campaigns)

1119 dam location and watershed topography.

1120

1121 **Figure 2.** (a) Short sediment core transect in LdM: LEM11-2A, LEM11-1A, LEM11-3A,

1122 LEM 12-3A, LEM12-3B, LEM12-4A. Sedimentary facies, TOC, radiocarbon dates and

1123 correlation using elemental composition profiles and T1 tephra. The inset shows the

1124 bathymetric map shows the location of cores used in this manuscript and the dam location.

1125 (b) Lithological description of core LEM11-1A.

1126

1127 **Figure 3.** (a) Sedimentological, compositional geochemical, diatom data, facies and

1128 stratigraphic units for core LEM11-1A. (b) Core LEM11-1A XRF data (counts per second).

1129 PC1 and a CONISS analysis (plotted to the right) (see text for explanation). Dam

1130 construction (1946-1958 AD) is also indicated.

1131 TS: total sulfur

1132 TOC: total organic carbon

1133 TIC: total inorganic carbon

1134 TOC/TN<sub>atom</sub>: total organic carbon/total nitrogen ratio

1135 BSi: Biosilica



1136 TDC: total diatom concentration

1137 Plank/non plank: planktonic/non-planktonic ratio

1138 P: % of planktonic diatoms

1139 B: % of benthic diatoms

1140 Sf: % of small fragilarioid

1141 XRF: X-ray fluorescence

1142 PCI: score plot of the first component (70% of total variance) for PCA analysis of XRF

1143 data

1144

1145 **Figure 4.** LdM age model with dates from cores LEM11-1A, LEM12-3A and LEM12-3B.

1146 (a) Correlation between sediment cores LEM12-3A and LEM12-3B using TOC profiles

1147 and the Quizapú ash fall tephra. Right inset:  $^{137}\text{Cs}$ - $^{210}\text{Pb}$  dating using the CRS model

1148 (Appleby and Oldfield, 1978) (core LEM12-3B,  $^{137}\text{Cs}$  peak at 7 cm). Stars indicate the

1149 location of radiocarbon dates. (b) A Bayesian age-depth model (Blaauw and Christen,

1150 2011) based on three AMS  $^{14}\text{C}$  from cores LEM11-1A (terrestrial plants), LEM11-3A

1151 (aquatic macrophytes, with a calculated reservoir effect of 4700 yrs) and LEM13-3D (wood

1152 sample),  $^{137}\text{Cs}$ - $^{210}\text{Pb}$  dating from core LEM12-3B and the Quizapú ash layer (1932). See

1153 text for details.

1154

1155 **Figure 5.** Diatom record from LdM. Relative abundances (> 1%) of diatom species present  
1156 in core LEM11-1A. CONISS analysis facies and stratigraphic units.

1157 PLNK: planktonic taxa

1158 TYCH: tychoplanktonic taxa

1159 BNTH: benthic taxa

1160 EPPH: epiphytic taxa

1161

1162 **Figure 6.** Pollen record from LdM. Relative abundances (%) of major pollen taxa present  
1163 in core LEM11-1A. CONISS analysis, facies and stratigraphic units.

1164

1165 **Figure 7.** A comparison of the LdM record with global, regional and local records: (a)  
1166 reconstruction of Total Solar Irradiance (TSI) (after Delaygue and Bard, 2010) and volcanic  
1167 aerosols (AOD) based on Antarctic sulphate records (Crowley et al., 2008); (b)  
1168 reconstruction of South America temperature anomalies from the PAGES 2K initiative  
1169 (after Ahmed et al., 2013); (c) a temperature reconstruction from the Andes of central Chile  
1170 (L. Chepical 32°S, 3000 m, after De Jong et al., 2013); (d) a temperature reconstruction  
1171 from the central valley of Chile (L. Aculeo, 34°S, 350 m, after Von Gunten et al., 2009);

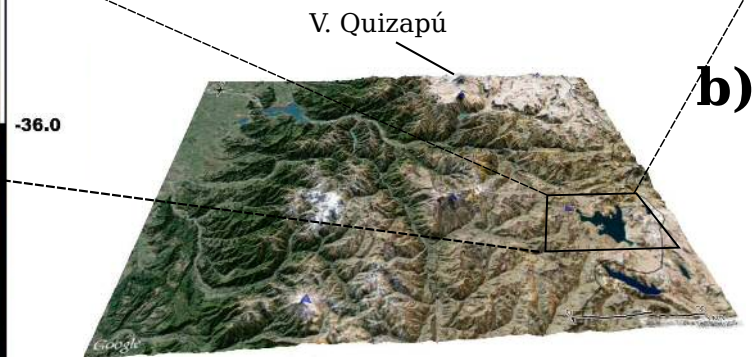
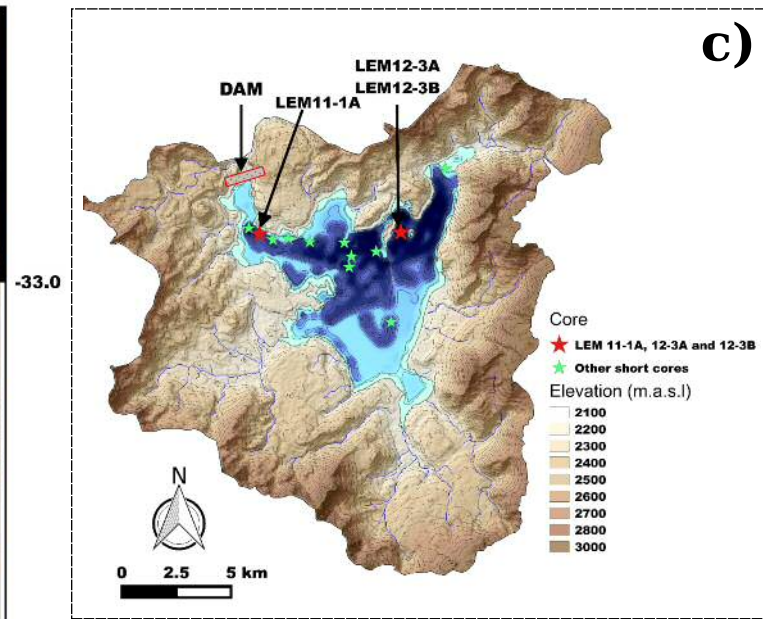
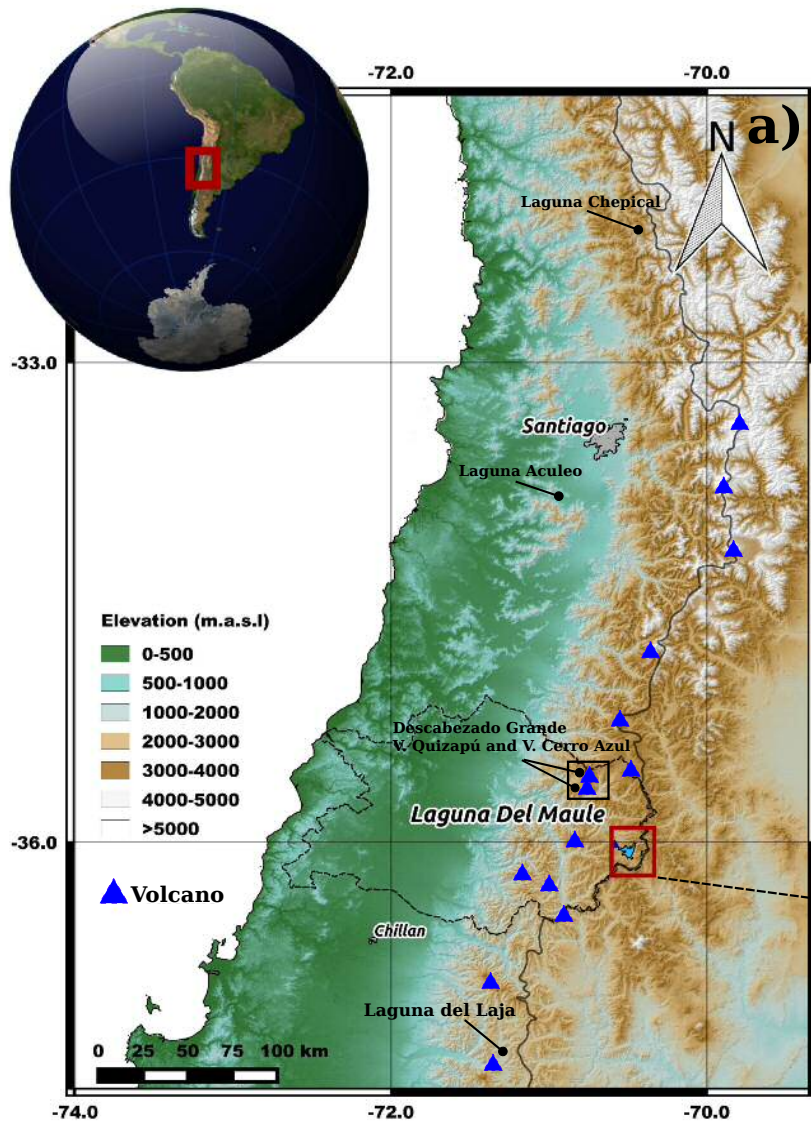
1172 (e) the Laguna del Maule record including proxies for productivity (Br/Ti and Ti/Si); (f)  
 1173 redox conditions (Fe/Mn) and organic versus clastic influence (PC1, from XRF data related  
 1174 to the organic matter and volcanic input in the sediment (see Supplementary Files Fig 5 and  
 1175 Table 4); (g) thickness of volcanic facies of LdM.  
 1176 LIA: Little Ice Age (after Matthews and Briffa, 2005)  
 1177 PAGES: Past Global Changes  
 1178 DAM: time since dam construction.

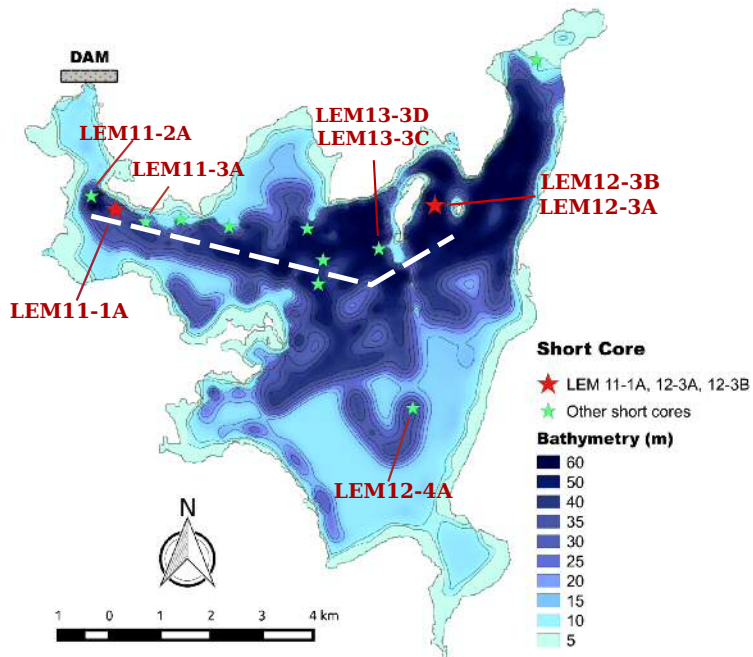
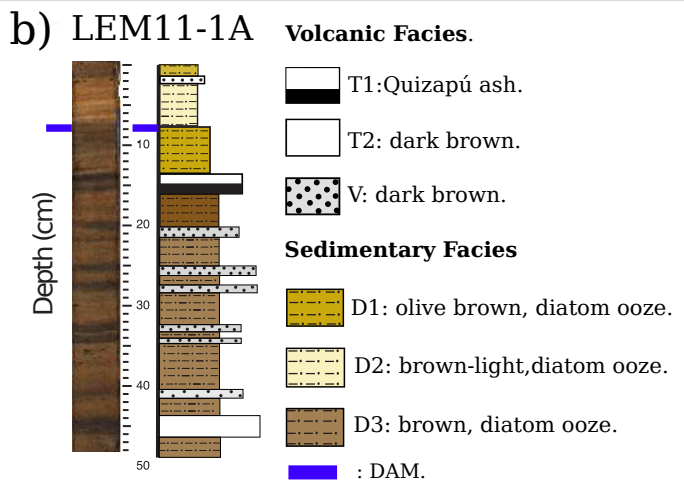
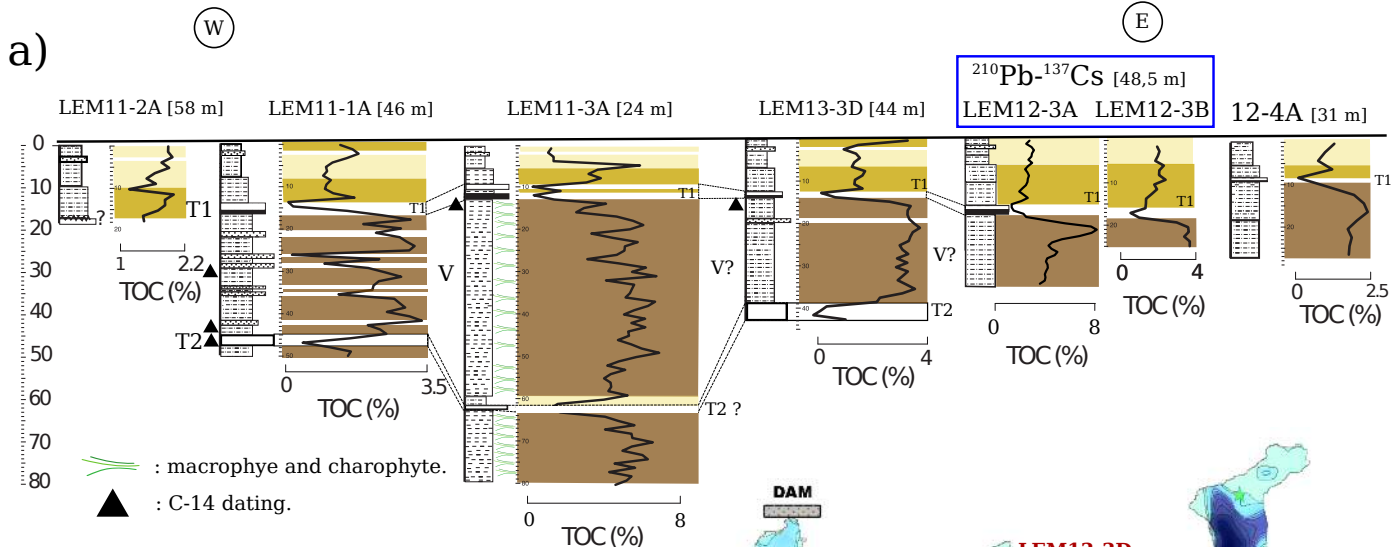
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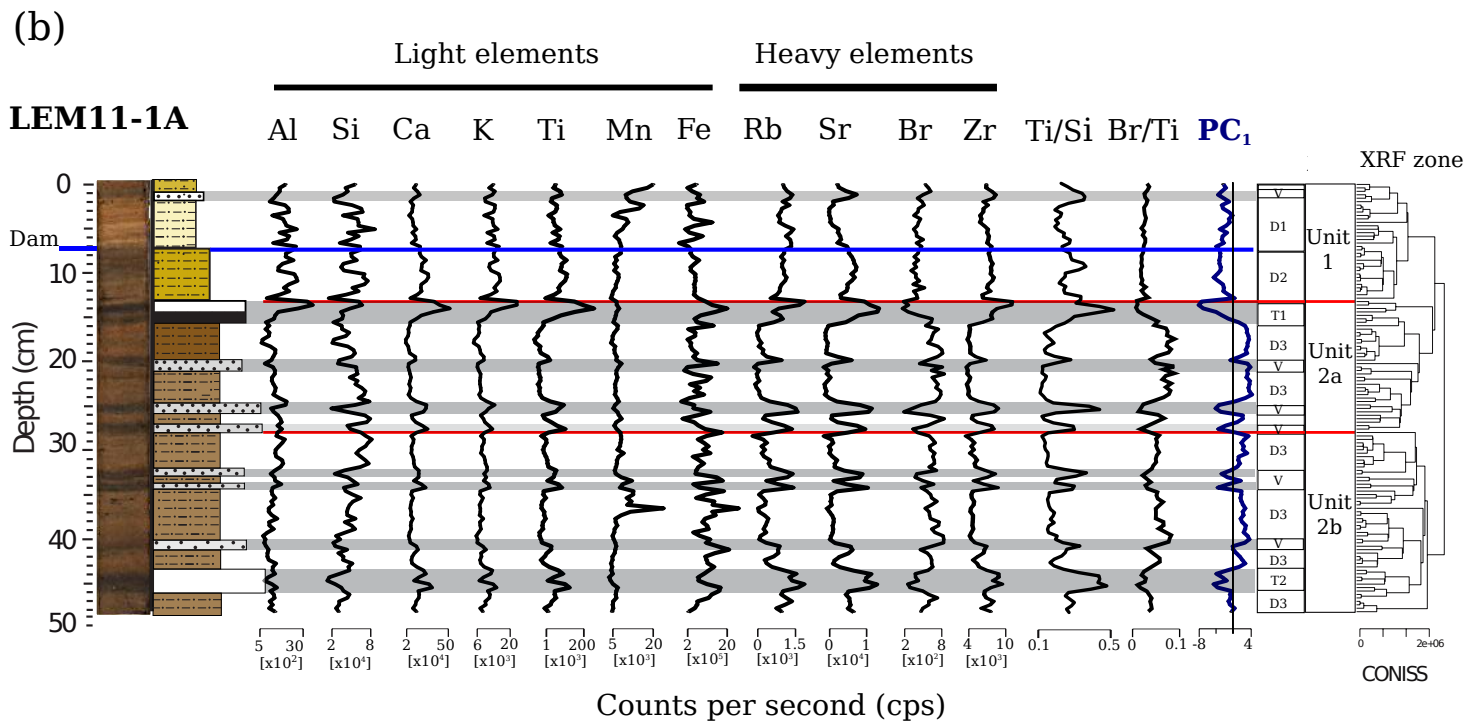
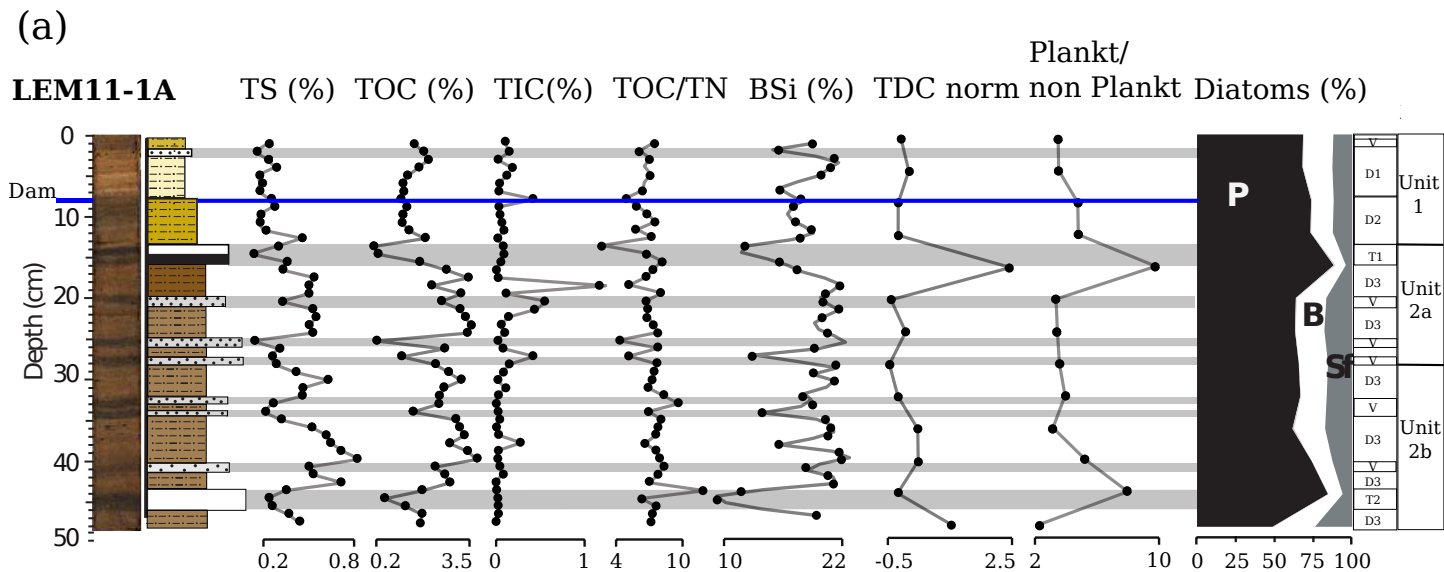
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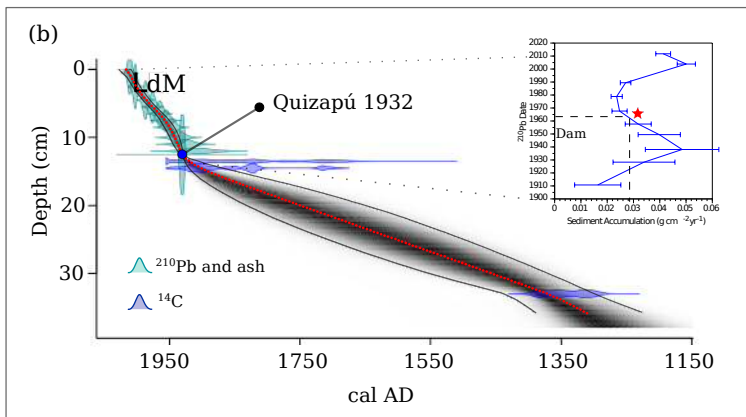
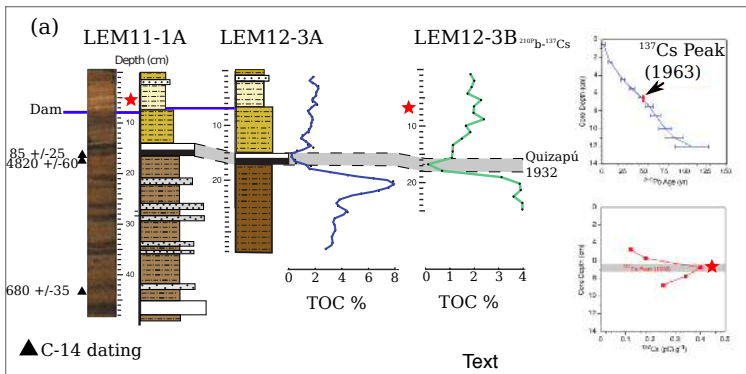
Lab. Code	CORE	Depth (cm)	Sample type	<sup>14</sup> C yr BP	Error (δ)	Cal yr BC/AD (Median)	Error BC/AD (lower)	Error BC/AD (upper)
Poz-59915	LEM11-3A	14	Macrophyte macrofossil	4820	60	-3559	-3662	-3374
Poz-57545	LEM13-3D	15	Wood	85	25	1891	1704	1945
UCIAMS 133686	LEM11-1A	30	Bulk sediment	4760	15	-3506	-3632	-3376
UCIAMS 133687	LEM11-1A	43	Terrestrial plant macros	680	35	1351	1291	1395
D-AMS 001135	LEM11-1A	47	Bulk sediment	4367	25	-2937	-3021	-2889
Poz-57281	LEM 13-20m	*	DIC water	2370	30	-397	-515	-257
Poz-60705	LEM 13SD	*	Modern macrophytes	2380	30	-403	-537	-265







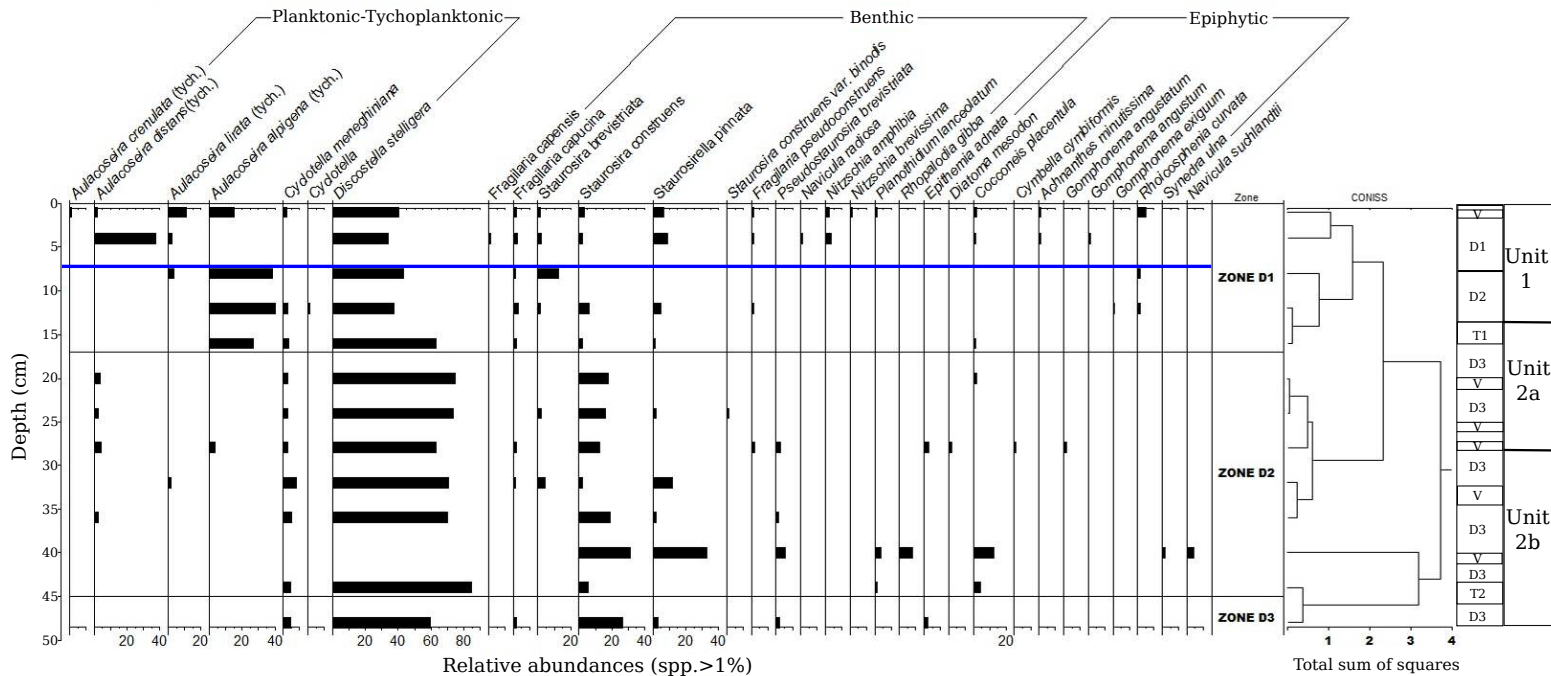




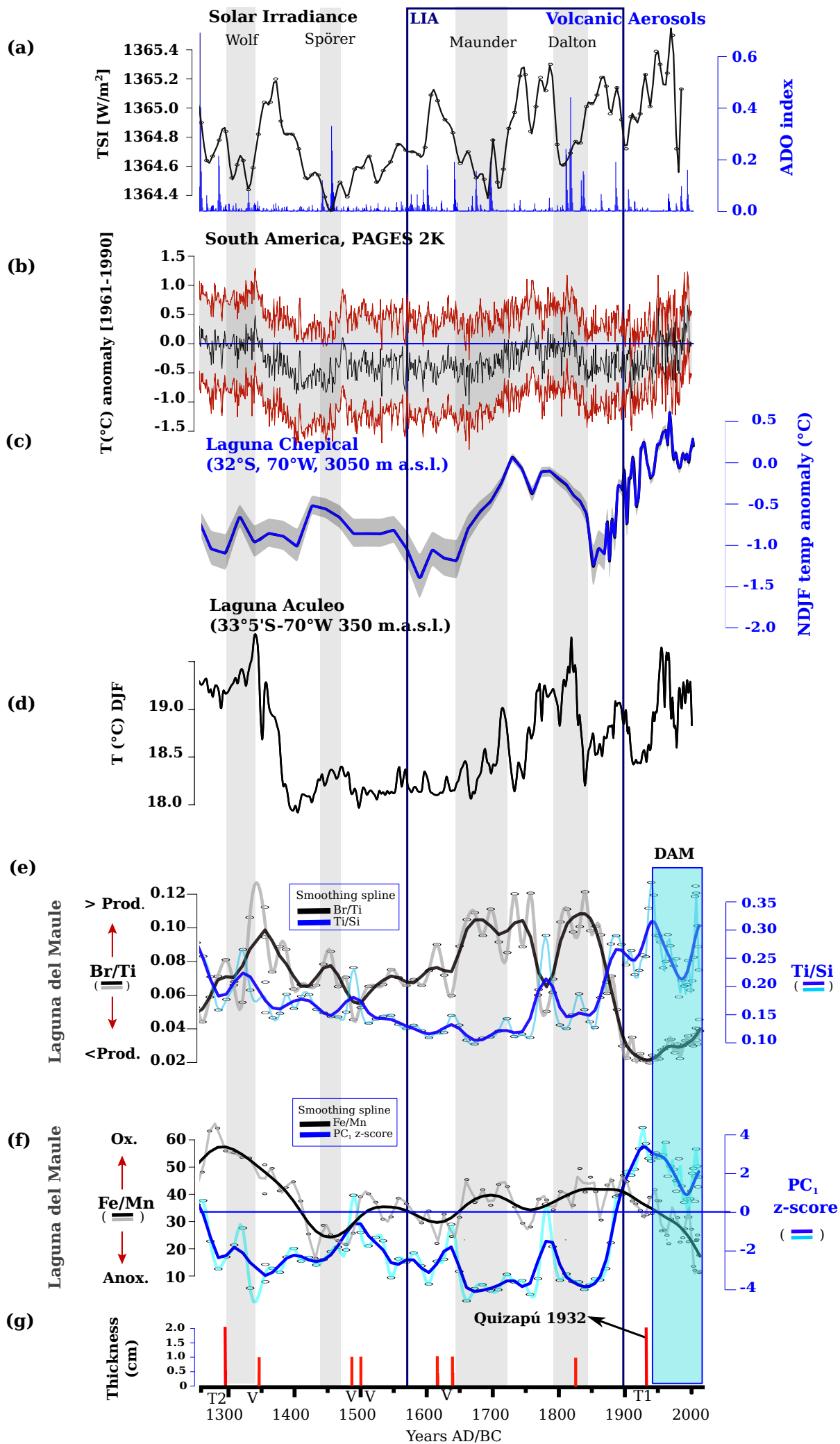




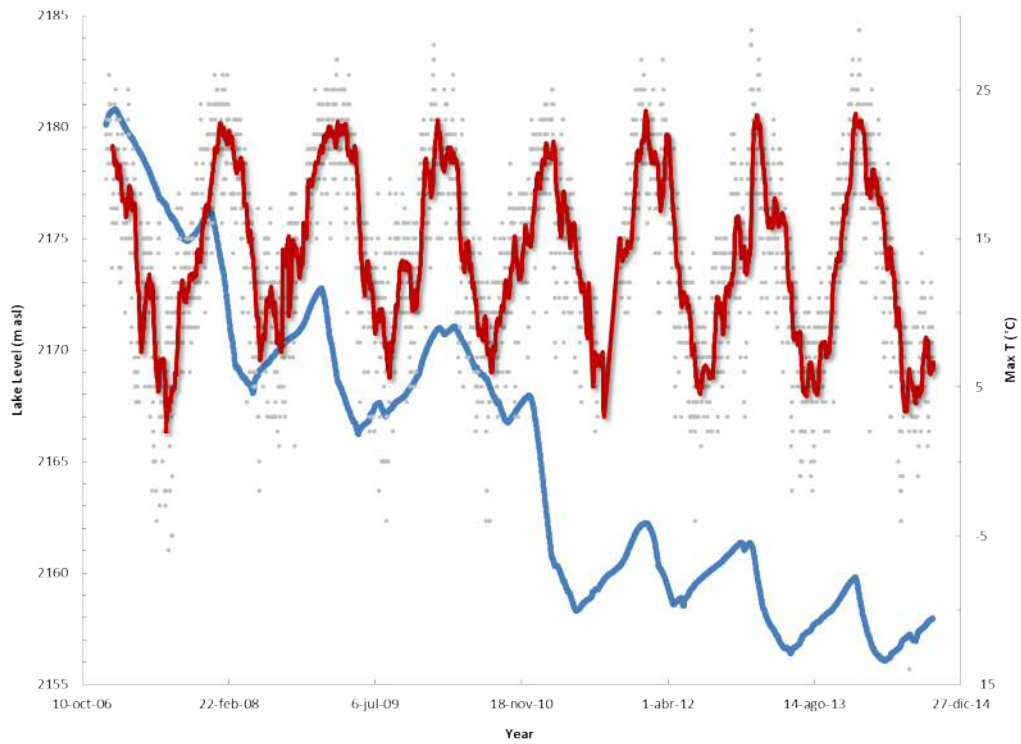
# Diatoms of Laguna del Maule (LEM11-1A)



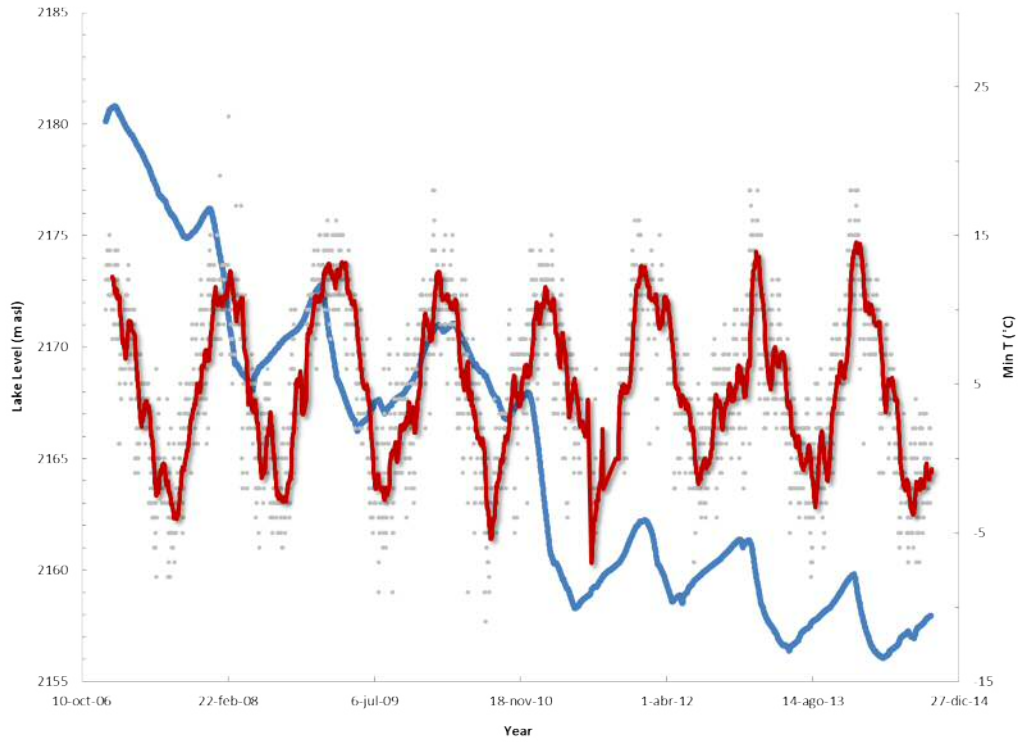




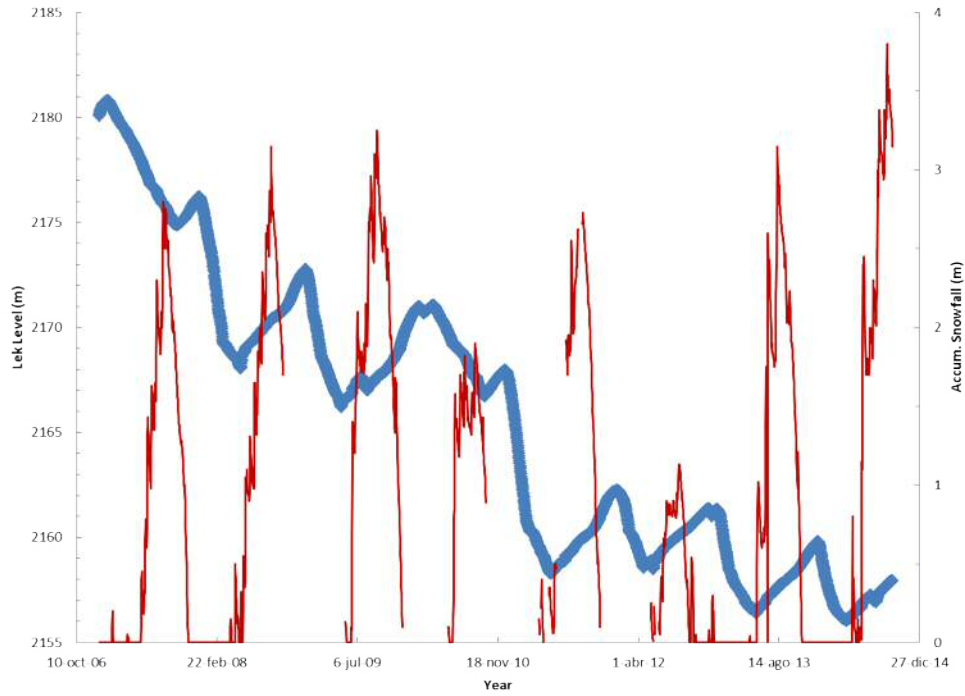
## Supplemental Files



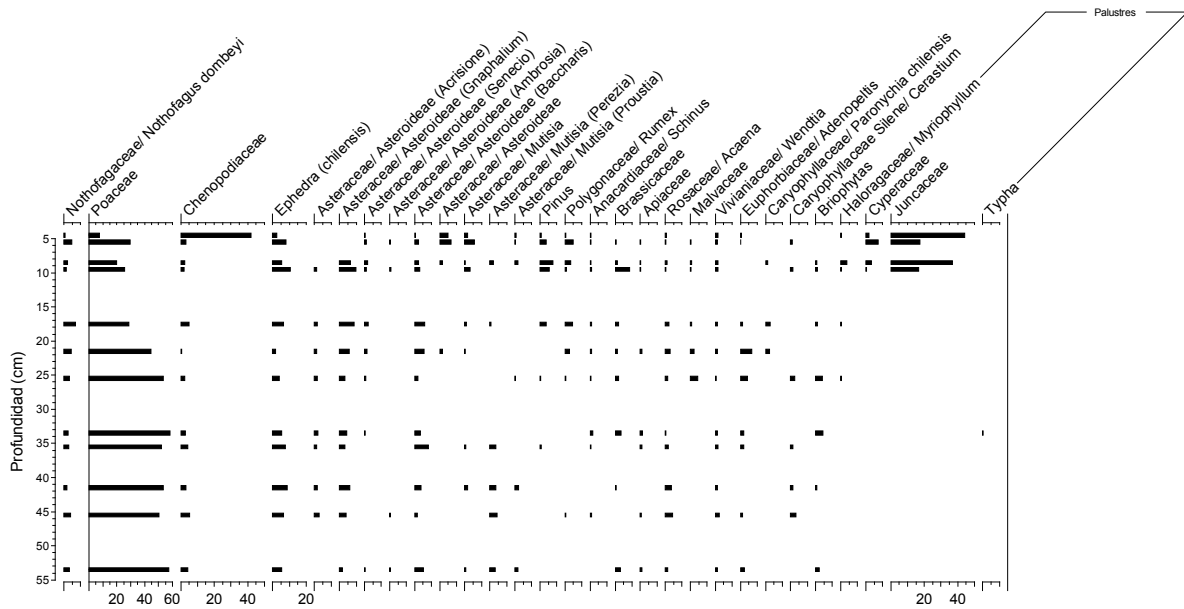
**Figure 1.** Lake levels (masl, in blue) and maximum daily temperatures ( $^{\circ}\text{C}$ , gray dots with 23 yr moving average in red), for the past decade in LdM.



**Figure 2.** Lake levels (masl, in blue) and minimum daily temperatures (°C, gray dots with 23 yr moving average in red), for the past decade in LdM.



**Figure 3.** Lake levels (masl, in blue) and precipitations as accumulated snow (m, in red), for the past decade in LDM.







**Table 1. Identification, latitude and longitude dates and analyses developed on the short cores reported here at Laguna del Maule.**

ID Section Core	ID abbreviated	Latitude (UTM)	Longitude (UTM)	Length (cm)	Depth (m)	Analyses developed
LEMA-LEM11-1A-1G-1	LEM11-1A	6010779	360347	48	46	Sedimentological description, geochemical and biologic proxies, comparison of TOC, radiocarbon dates, correlation of T1 tephra
LEMA-LEM11-2A-1G-1	LEM11-2A	6011009	359828	22	58	Sedimentological description, comparison of TOC, correlation of T1 tephra
LEMA-LEM11-3A-1G-1	LEM11-3A	6010520	360903	79	24	Sedimentological description, comparison of TOC, correlation of T1 tephra, radiocarbon date
MAUEN-LEM12-1A-1G-1	LEM12-1A	6013809	368543	30	4.3	Sedimentological description, comparison of TOC, correlation of T1 tephra
MAUEN-LEM12-3A-1G-1	LEM12-3A	6010934	366586	21	48,5	Sedimentological description, comparison of TOC, correlation of T1 tephra, correlation with LEM12-3B
MAUEN-LEM12-3B-1G-1	LEM12-3B	6010934	366586	29	48.5	Sedimentological description, comparison of TOC, correlation of T1 tephra, $^{210}\text{Pb}/^{137}\text{Cs}$ dating
MAUEN-LEM12-4A-1G-1	LEM 12-4A	6006964	366212	25	31	Sedimentological description, comparison of TOC, correlation of T1 tephra
MAUEN-LEM13-3C-1G-1	LEM13-3C	6010066	365499	52	44	Sedimentological description, comparison of TOC
MAUEN-LEM13-3D-1G-1	LEM 13-3D	6010065	365498	49.7	44.5	Sedimentological description, comparison of TOC

<b>Table 2. Zones or vegetation belts present in the study area</b>	
Vegetation belts	
Dominant species	Type
<b>High Andean Shrubland belt</b>	
<i>Berberis empetrifolia</i>	shrubs
<i>Laretia acaulis</i>	shrubs
<i>Oxalis compacta</i>	Herbs and forbs
<i>Poa holciformis</i>	Herbs and forbs
<i>Bromus setifolius</i>	Herbs and forbs
<i>Hordeum comosum</i>	Herbs and forbs
<i>Acaena pinnatifida</i>	Other associated species -
<i>Anarthrophyllum gayanum</i>	“
<i>Astragalus vesiculosus,</i>	“
<i>Azorella madreporica,</i>	“
<i>Azorella montana</i>	“
<i>Doniophyton weddellii</i>	“
<i>Glandularia microphylla</i>	“
<i>Junellia uniflora</i>	“
<i>Malesherbia mendocina,</i>	“
<i>Mutisia sinuata</i>	“
<i>Oreopoulus gracilis</i>	“
<i>Oxalis hypsophila</i>	“
<i>Perezia carthamoides</i>	“
<i>Phacelia secunda</i>	“
<i>Phleum alpinum</i>	“
<i>Senecio clarioneifolius</i>	“
<i>Senecio gilliesii</i>	“
<i>Senecio multicaulis</i>	“
<i>Tropaeolum polyphyllum</i>	“
<b>High Andean Steppe ( herbs and cushion species)</b>	
<i>Oxalis holoserica</i>	Herbs and forbs
<i>Oxalis adenophylla</i>	Herbs and forbs
<i>Discaria nana</i>	Herbs and forbs
<i>Plantago pauciflora</i>	Herbs and forbs
<i>Nassauvia lagascae</i>	Herbs and forbs
<i>Nassauvia revoluta</i>	Herbs and forbs
<i>Nassauvia pygmea</i>	Herbs and forbs
<i>Pozoa coriacea</i>	Herbs and forbs
<i>Schizanthus grahamii</i>	Herbs and forbs
<i>Viola sempervivum</i>	Herbs and forbs
<i>Pernettya pumila</i>	Herbs and forbs
<b>Low Andean Shrubland</b>	
<i>Chuquiraga oppositifolia</i>	Shrubs
<i>Discaria articulata</i>	Shrubs
<i>Baccharis neaei</i>	Shrubs
<i>Mulinum spinosum</i>	Shrubs
<i>Ephedra chilensis</i>	Shrubs
<i>Guindilla trinervis</i>	“
<i>Euphorbia collina</i>	“
<i>Schizanthus grahamii</i>	Herbs and forbs
<i>Oxalis polyantha</i>	Herbs and forbs
<i>Alstroemeria</i>	Herbs and forbs
<i>Loasa volubilis</i>	Herbs and forbs
<i>Monteopsis sericea</i>	Herbs and forbs
<i>Quinchamalium chilense</i>	Herbs and forbs
<b>Deciduous Forest</b>	
<i>Nothofagus obliqua</i>	Trees

**Table 3.** *in situ* pH measurements of Laguna del Maule

	Site	Date	Hour	Coordinates	Reference	Zone	pH	H (altura)	Sigla	Photo	Sample collected
1	LdM	11-03-2013	16:54	36 0,965'S	Torre	DIATO COSTA	7,7		LEM13 1D	si	plankton
				70 33,448W+-3							benthos
											epilithon
											macrophytes
2	LdM	11-03-2013	18:38	36 01778'S	Bandera		7,3		LEM13 2D	si	
				70 55890'W							
				19H 0359527							
				6012955							
3	LdM	13-03-2013			Playa fondo Laguna	DIATO COSTA	8,4		LEM13 3D		plankton
4	LdM	13-03-2013		36 03,922'S	Agua		5,9	2199	LEM13 T1		plankton
				070 27594'W							benthos
											epilithon
											macrophytes
5	LdM	13-03-2013			Sondeo				LEM13 2C2U		
6	LdM	13-03-2013			Sondeo				LEM13 1B1U		
7	LdM	13-03-2013			Sondeo				LEM13 1A1U		
8	LdM	14-03-2013		36 02,256'S	Punto 10		7,0		LEM13 4D		plankton
				70 32,205'W							benthos
											epilithon
											macrophytes
9	LdM	14-03-2013							LEM13 5D		plankton

10	LdM	14-03-2013		19H X 0363114			6,1?			benthos
				19H Y 6012325						epilition
										macrophytes
11	LdM	14-03-2013			Punto 07				LEM13	diatomite
11'	LdM	14-03-2013			Punto 19				LEM13	diatomite
12	LdM	14-03-2013							LEM13	diatomite (more pure)
13	LdM	14-03-2013		19H X 0363459			7,0		LEM13 6D	plankton
				19H Y 6012468 +- 3m						benthos
										macrophytes
14	LdM	14-03-2013			Punto 11 Fuente1		7,3		LEM13	plankton
					Fuente1				LEM13	diatomite
15	LdM	16-03-2013							LEM13 3A 1U	plankton
16	LdM	16-03-2013							LEM13 3C 1G	plankton
17	LdM	16-03-2013		36 04272 S			7,0	2160	LEM13 7D	plankton (Seismic)
				070 49214 W						

**Table 4. PCA of the XRF core scanner dataset of Laguna del Maule**

Importance of components:				
	PC1	PC2	PC3	PC4
Standard deviation	2.7548	1.6476	1.2285	1.06145
Proportion of Variance	0.5865	0.2098	0.1166	0.08707
Eigenvalues				
	7.588693	2.71474	1.50915	1.126668
Loadings				
	PC1	PC2	PC3	PC4
Al	0.18398866	0.434006776	-0.1194926	0.155656107
Si	-0.04907239	0.5837604	-0.35387965	0.130364395
P	-0.1941357	0.388037119	-0.2448284	-0.422405911
S	-0.15913256	-0.355333745	-0.70666338	0.213273172

Cl	0.08892799	0.029529607	0.09990946	0.002818363
K	0.29039685	0.197245308	-0.11481629	0.040437733
Ca	0.27450379	-0.018331928	-0.25857923	-0.00306934
Ti	0.32166295	0.05823895	-0.11383619	-0.011057962
Mn	0.02502962	0.192962668	0.16644929	-0.310581042
Fe	-0.0447788	-0.183636531	-0.30387861	-0.732535662
Zn	0.34193216	0.059765794	0.04072104	-0.056149233
As	-0.07335565	-0.042048649	0.194832	-0.19470845
Br	-0.29908615	-0.002415527	-0.10958238	0.22809969
Rb	0.34847836	-0.101527864	-0.04108382	-0.009365548
Sr	0.29891437	-0.225234127	-0.15497895	-0.047629005
Y	0.29410333	-0.004089262	-0.01983204	-0.064697486
Zr	0.3435168	-0.114732783	-0.02041543	0.019610792