

1 **Effect of the addition of whole grain wheat flour and of extrusion process parameters on**
2 **dietary fibre content, starch transformation and mechanical properties of a ready-to-eat**
3 **breakfast cereal**

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9
10 **Running title:** Whole grain wheat in the extrusion process

11 **Abstract**

12 This study evaluates the effect of the incorporation of whole grain wheat flour (WGWF)
13 and of extrusion process parameters on the nutritional and technological quality of breakfast
14 cereals. The corn flour based breakfast cereals were elaborated in a twin-screw extruder
15 following a rotatable central composite design with varied WGWF (0-100%), feed moisture
16 (14-24%) and zones 3 and 4 barrel temperature (76-143°C). Dietary fibre and resistant starch
17 were significantly increased with WGWF addition. Total and digestible starch showed a
18 decrease when WGWF increased. The RVA parameters were significantly affected by all the
19 extrusion conditions and WGWF content. The cell structure of the extrudates was dependent of
20 WGWF and moisture.

21
22 **Key words:** extrusion; whole grain; breakfast cereals; image analysis; response surface
23 methodology.

24
25 **Highlights:**

- 26 - The use of the whole grain wheat flour in ready-to-eat breakfast cereals is proposed;
27 - Whole grain wheat flour improves the nutritional quality of extruded cereals;

- 28 - Adjustments of the extrusion process and whole grain content to overcome the
29 technological (physical) limitations caused by the presence of fibre are suggested;
30 - An alternative methodology for structure analysis (not microscopy) is used with good
31 performance for expanded extruded cereals.

32

33 **1. Introduction**

34 Currently, ready-to-eat (RTE) breakfast cereals are becoming an important part of the diet
35 because they do not require any further preparation such as cooking. Besides this, they are
36 affordable, convenient and nutrient-dense and may assist households in achieving recommended
37 daily intakes of nutrients (Albertson et al., 2013). Wheat and maize are the most common raw
38 materials for producing breakfast cereals. They are primarily used as refined flours, which have
39 lost a great part of important nutrients like fibres, vitamins and minerals (Rosell, 2012).
40 However, to attend the health and nutrition policies and satisfy the demands of increasingly
41 health conscious consumers, many food processors are adding functional ingredients and
42 fortifying with micronutrients. Special consideration is being given to the increase of dietary
43 fibre content, mainly in grain-based products such as snacks and breakfast cereals (Peressini et
44 al., 2015, Holguín-Acuña et al., 2008, Brennan et al., 2008). In this context, the use of whole
45 grains in extruded products provides the answer to obtain healthier breakfast cereals. Whole
46 grains are known by consumers as a health-promoting ingredient, because they are rich in
47 fermentable carbohydrates such as dietary fibre, resistant starch and oligosaccharides. The
48 intake of whole grains has been related to physiological functions like lowering the risk of
49 cardiovascular diseases, diabetes and cancer; regulating digestion and also contributing to the
50 immune system and to body weight management (Ye et al., 2012, Jonnalagadda et al., 2011,
51 Marquart et al., 2007, Anderson et al., 2000, Anderson et al., 2009). The nutritional properties
52 of dietary fibre present in whole grains make it an ideal ingredient to improve the quality of
53 extruded products.

54 Extrusion cooking is a versatile, low cost and very efficient technology in food processing. It is
55 a high-temperature and short-time process in which moistened, expansive, starchy and/or

56 protein food materials are plasticized and cooked by a combination of moisture, pressure,
57 temperature and mechanical shear, resulting in molecular transformations and chemical
58 reactions. This technology is widely used by food industries in the production of ready-to-eat
59 breakfast cereals, baby foods, flat breads, snacks, meat analogues, and modified starches
60 (Moore, 1994, Moscicki and Zuilichem, 2011, Ryu and Ng, 2001, Castells et al., 2005, Havck
61 and Huber, 1989). The intense mechanical shear applied to the material is able to break the
62 covalent bonds in biopolymers, and the intense structural disruption and mixing facilitate the
63 modification of functional properties of food ingredients and/or their texturizing (Carvalho and
64 Mitchell, 2000, Asp and Bjorck, 1989). Nowadays, the positive and negative effects of the
65 extrusion process on macronutrient structures, that depend on extruder conditions (temperature,
66 feed moisture, screw speed and screw configuration) and raw-material characteristics
67 (composition and particle size), are known (Camire et al., 1990, Jing and Chi, 2013, Sarawong
68 et al., 2014, Slavin, 2003). The changes in chemical compounds include starch gelatinization,
69 protein denaturation, complex formation between amylose and lipids, and degradation reactions
70 of vitamins and pigments, depending on the type of raw material and extrusion cooking
71 variables (Brennan et al., 2011, Singh et al., 2007, Ryu and Ng, 2001). Most of the studies
72 carried out on the extrusion process involving wheat as a raw material have been focused on
73 white or refined wheat flour (Ding et al., 2006, Ryu and Ng, 2001). Despite wholegrain wheat
74 being regarded as a major ingredient to improve the nutritional properties of extruded products,
75 only a few scientific studies have been published in this field. Specifically, Robin et al. (2012)
76 studied the effect of whole wheat flour blended with refined wheat flour and Chassagne-Berces
77 et al. (2011) the effect of whole grain flour and wheat or oat bran blended with refined wheat
78 flour, corn and sugar. These authors evaluated the effect of fibre content and extrusion process
79 parameters (temperature, feed moisture and screw speed) on physical properties of the
80 extrudates. Ferreira et al. (2012) obtained corn-based expanded extruded snacks containing
81 wheat bran (0-24.6%) and assessed, among others, the internal structure, expansion index and
82 hardness of the products. The incorporation of whole grain flour or fibre rich ingredients in the
83 formulation of expanded extruded products has been associated with a decrease in expansion, a

84 change in the microstructure and a loss of the general technological quality. Thus, it is still a
85 challenge to advance in the knowledge of the extrusion process to obtain both good mechanical
86 aspects and desirable nutritional characteristics of extruded breakfast cereals.

87 It is known that corn flour produces the most desirable extruded products in terms of the
88 structural properties because of the unique characteristics associated to corn starch. Therefore,
89 the replacement of corn flour by whole grain wheat flour might be a good alternative to
90 overcome the drawbacks derived from whole grain. That replacement requires optimizing the
91 process variables to obtain both nutritional improvement and acceptable technological
92 characteristics of the final product. Response surface methodology (RSM) is recommended for
93 extrusion processing studies since it enables exploring the relationships between the responses
94 and the experimental levels of each factor and deducing the optimum conditions (Triveni et al.,
95 2001). The objective of this study was to study the effect of the incorporation of whole grain
96 wheat flour (WGWF) and extrusion process variables (feed moisture and temperature) on the
97 nutritional (dietary fibre, free sugars, digestible starch and resistant starch) and technological
98 (pasting properties, expansion and cell structure) characteristics of ready-to-eat breakfast cereals
99 based on corn flour, produced in a twin-screw extruder.

100 **2. Materials and methods**

101 **2.1. Materials**

102 Corn flour (CF) named Fecomix 425 M and whole grain wheat flour (WGWF) were
103 obtained from Milhão AlimentosTM (Inhumas-GO, Brazil) and AnacondaTM mill (São Paulo-SP,
104 Brazil), respectively. The CF was stored in plastic barrels and the WGWF was vacuum packed
105 in plastic bags and stored at -21 °C until use.

106 **2.2. Methods**

107 *2.2.1. Sample preparation and extrusion cooking*

108 The ready-to-eat breakfast cereals were elaborated in a co-rotating ZSK 30 twin-screw
109 extruder (Werner Pfleiderer Corporation, Ramsey, USA), following a 2³ central composite
110 rotatable design (CCRD), being independent variables: whole grain wheat flour (%), feed
111 moisture (%) and barrel temperature of zones 3 and 4 (°C). Results from preliminary trials were

112 used to select suitable extruder operating conditions and raw material levels. The outline of the
113 experimental design and its independent variables and variation levels are presented in Table 1.
114 Feed rate (13 kg/h), screw speed (325 rpm) and temperature of zones 1 and 2 (75 and 100 °C,
115 respectively) were fixed.

116 Before extrusion, each sample set composed by corn and/or whole grain wheat flour
117 was weighed to give a batch of 2.5 kg and mixed with distilled water, according to the
118 experimental design, using a planetary mixer (Hypo, HB 12). The blended flour was tempered
119 overnight at room temperature to ensure a uniform hydration level of the feeding material. The
120 barrel diameter D was 30 mm, and barrel length L 872 mm (L/D= 29.07). A circular die was
121 used at the end of the extruder, with diameter of 3.0 mm, and a knife with average speed of 110
122 rpm. The following screw configuration, composed of conveying and mixing elements, was
123 used: 2 elements 60/30; 2 elements 42/21; 1 element 28/14; 1 element kneading block 90/5/28;
124 1 element 21/21; 1 element 28/14; 4 elements 20/10; 1 element kneading block; 1 element
125 21/21; 1 element 28/14; 5 elements 20/10; 1 element 28/14; 1 element 14/14; 1 element
126 kneading block 45/5/14; 6 elements 20/10; 1 element kneading 45/5/20; 3 elements 20/10; 1
127 element 10/10; 2 elements 20/10. The extruded cereals were immediately dried in a rotary dryer
128 at 125 °C, for 2 seconds (twice). Drying of extruded products was completed in a forced air
129 oven at 70 °C until moisture content of 3-4 % was reached. Afterwards, products were stored in
130 metalized bags, with light and moisture protection until further analysis.

131 *2.2.2. Particle size distribution of flours and proximate composition of flour and extruded* 132 *products*

133 The particle size distribution of each flour was determined using a Produtest vibrator
134 and sieves of 20, 35, 60, 80 and 100 mesh opening sizes according to AOAC method n° 965.22.
135 Sample amount of 100 g, vibration time of 20 minutes and speed 3 were set (AOAC, 2000).

136 Flour composition was determined following AACC (2012) Official Methods: water
137 content (method n° 44-15.02), ash content (method n° 08-01.01), fat content (method n° 30-
138 25.01), and protein content (method n° 46-13.01) with a conversion factor of 5.7 for WGWF
139 and of 6.25 for corn flour. Carbohydrate content was obtained by difference.

140 For the estimation of dietary fibre, samples were finely powdered to pass through a
141 sieve of 250 μm . Total dietary fibre (TDF), insoluble dietary fibre (IDF) and soluble dietary
142 fibre (SDF) contents were determined following AACC method n^o 32-07.01 (AACC, 2012).
143 Results were expressed in percentage in dry basis. Free sugars determination was carried out
144 following the method reported by Dura et al. (2014). Briefly, raw material and breakfast cereals
145 samples (0.10 ± 0.01 g) were suspended in 2 mL of ethanol (80%) and incubated at 85°C in
146 water bath for five minutes and then centrifuged ($2000\times g$, 10 minutes, at room temperature).
147 This was performed twice. Supernatants were combined to measure free sugars released. A
148 glucose oxidase-peroxidase kit was used to quantify glucose and the absorbance was measured
149 in an Epoch microplate reader (Biotek Epoch, Izasa, Barcelona, Spain) at 510 nm. Three
150 replicates were assessed for each raw material or experimental point.

151 Starch hydrolysis was measured using AACC method n^o 32-40-01 (AACC, 2012),
152 modified by Gularte and Rosell (2011). The pellet after free sugar extraction was incubated with
153 porcine pancreatic α -amylase (Type VI-B, ≥ 10 units/mg solid; Sigma Chemical Co., St. Louis,
154 MO, USA) in a shaking water bath at 37 °C for 16 h. Ethanol was added to stop the enzymatic
155 reaction and the suspension was centrifuged ($2000\times g$ for 5 minutes). To quantify digestible
156 starch, the supernatant (100 μL) was diluted with 850 μL sodium acetate pH 4.5, incubated
157 (50°C for 30 minutes) with 50 μL amyloglucosidase (3.480 U/mL) (Sigma Chemical Co., St.
158 Louis, MO, USA) and released glucose was assessed as described above. Resistant starch after
159 16 h hydrolysis remained in the pellet, which was solubilized with 2 mL of 2 M KOH using a
160 Polytron Ultra-Turrax homogenizer IKA-T18 (IKA Works, Wilmington, NC, USA) during 1
161 minute at speed 3. The homogenate was diluted with 8 mL 1.2 M sodium acetate pH 3.8 and
162 incubated with 100 μL amyloglucosidase (3480 U/mL) at 50 °C for 30 min in a shaking water
163 bath and then centrifuged ($2000\times g$ for 10 minutes). The glucose content of the digestible and
164 resistant starch was measured using a glucose oxidase-peroxidase kit as described for free
165 sugars. Total starch was the sum of the digestible starch and the resistant starch in mg/100 mg
166 of the sample in dry basis.

167 *2.2.3. Pasting properties*

168 The pasting properties of the extruded cereals were measured using a Rapid Visco
169 Analyser 4500 (RVA 4500, Perten Instruments, Australia), adjusted with set up *Extrusion 1* no-
170 alcohol. An amount of 5 g ground sample, previously corrected for moisture (14% basis), was
171 dispersed in 25 mL distilled water to a total weight of 30 g. The profiles were continually
172 recorded using the Thermocline for Windows (TCW) software, version 3. Three runs were
173 carried out for each sample considered. For the raw materials, WGWF and CF, *Standard 1* setup
174 was used. Pasting parameters evaluated included: peak time (minutes) – time at which peak
175 viscosity occurred; peak viscosity (cP) – maximum viscosity after the heating portion of the
176 test; trough viscosity (cP) – lowest viscosity after the peak viscosity just before it begins to
177 increase again; final viscosity (cP) – viscosity at the end of the test, and setback (cP) – final
178 viscosity minus trough viscosity (Adedokun and Itiola, 2010), which were determined from the
179 recorded curves.

180 2.2.4. Cross section image analysis of extruded products

181 Ten spheres of each sample were selected and cut in the middle with a Stanley knife.
182 Images of the cross section of the breakfast cereals were captured with 600 dpi in a bed scanner
183 equipped with the software HP PrecisoScan Pro version 3.1 (HP Scanjet 4400C, Hewlett-
184 Packard, USA), using a black background paper. The default settings for brightness (midtones
185 2.2) and contrast (highlights 240, midtones 2.2 and shadows 5) of the scanner software were
186 used for acquiring the images. Images were saved in jpeg format and analyzed by the Image J
187 software (National Institutes of Health, Bethesda, MD, USA). Measurements of scanned images
188 were obtained in pixels and converted into mm (232 pixels along a straight line were equivalent
189 to 1 mm) by using known length values. Data of cereal area (mm^2), perimeter (mm) and
190 circularity (0-1) measurements were obtained after drawing the contour of the circular section of
191 the cereal. Longitudinal and transverse diameters were marked in the figure using the straight
192 tool to get the measures (mm) and the mean calculated. For the cell analysis, images were set to
193 8-bit format, the contrast was adjusted to 172, the IJ_Iso_Data algorithm was chosen, cell size
194 range delimited as 0.10 - ∞ (analyze particles tool) and “Overlay masks” selected. The data used
195 for each image were: particles number, mean area (mm^2), mean perimeter (mm) and mean

196 circularity (0-1) of the particles, and the mean values were calculated for the statistical analysis.
197 Circularity value equal to 1.0 indicates a perfect circle.

198 2.2.4. Statistical analysis

199 The experimental data were evaluated using the response surface methodology (RSM)
200 to investigate the effect of the extrusion process (temperature and feed moisture) and the flour
201 characteristics (whole grain wheat flour replacement) on response variables. A total number of
202 18 runs were defined, including four central points. The range for the independent variables
203 considered in this study and their respective coded levels are given in Table 1. A second-order
204 polynomial regression model was established to fit the experimental data ($P < 0.1$) for each
205 response variable, as shown in the following equation:

$$206 \quad y_i = b_0 + \sum_{i=1}^3 b_i x_i + \sum_{i=1}^3 \sum_{j=1}^3 b_{ij} x_i x_j,$$

207 where, y_i is the response variable; b_0 , b_i and b_{ij} are the regression coefficients for constant,
208 linear, quadratic, and interaction regression terms, respectively; x_i and x_j are the coded values
209 of the independent variables. The results of the experimental design were analyzed using
210 Statistica 7 software (Statsoft, Tulsa, OK, USA). The response surface plots were generated as a
211 function of two variables, while keeping the third variable constant at the central value with
212 basis in re-parameterized regression models (Tables 3, 4 and 5).

213 3. Results and discussion

214 Table 2 shows the proximate composition of both flours, confirming that WGWF
215 contained higher amount of proteins, fat, ash and total dietary fibre, mainly of insoluble nature,
216 than corn flour. In opposition, corn flour contained higher amount of resistant starch than
217 WGWF. Regarding the particle size (Table 2), as was expected, CF showed a narrow particle
218 size distribution, concentrated between 250 μm and 500 μm , whereas WGWF showed a bell-
219 shaped particle size distribution.

220 The combination of both flours was proposed for increasing the fibre content of the
221 breakfast cereals taking the benefit of the technological functionality provided by corn flour. An
222 experimental design was carried out to determine the impact of the extrusion variables (feed

223 moisture and temperature) and of the blend of flours on nutritional (dietary fibre, free sugars,
224 digestible starch and resistant starch) and technological (pasting properties, expansion and cell
225 structure) properties of the extruded products.

226

227 *3.1. Dietary fibre (insoluble, soluble and total)*

228 The incorporation of WGWF had a significant positive effect on the insoluble (IDF),
229 soluble (SDF) and total dietary fibre (TDF) contents of the breakfast cereals (Table 3, Figure 1).
230 Therefore, the fibre enriching effect of WGWF was confirmed. As expected, the effect of
231 WGWF was more accentuated on IDF than on SDF. It is known that whole grain wheat flour
232 contains 13.4 - 14.9% total dietary fibre, of which 11.5 - 12.7 % is insoluble and 1.1 - 2.2 % is
233 soluble (Slavin et al., 1999, Picolli da Silva and de Lourdes Santorio Ciocca, 2005). Among the
234 other variables studied, feed moisture had significant ($P < 0.10$) negative linear and quadratic
235 effects on IDF (Table 3, Figure 1A), indicating that IDF decreased when increasing feed
236 moisture. The model regression coefficients (Table 3) indicated a linear significant effect of
237 temperature on SDF and TDF (Table 3, Figure 1B). It is likely that an increase in temperature
238 led to a redistribution of a portion of the insoluble fibre fraction to the soluble fibre fraction,
239 attributed to a release of hemicellulose during processing in the extruded samples (Camire et al.,
240 1990). Also, temperature might induce the breakage of molecular bonds, releasing soluble fibre
241 that may be naturally bonded to starch or other compounds (Camire et al., 1990).

242 The insoluble (IDF), soluble (SDF) and total (TDF) fibre content in the defined runs
243 varied between 1.92 and 9.38%, 1.14 and 3.03%, and 3.95 and 12.25%, respectively. The total
244 (100%) replacement of corn flour by WGWF achieved maximum fibre content (insoluble,
245 soluble and total). Brazilian legislation (ANVISA, 2012) establishes that a food sample must
246 have 2.5 g dietary fibre per serving to be considered a “good” source of fibre or 5 g per serving
247 to be “high” in fibre. Considering that the serving for breakfast cereals is 30 g (ANVISA, 2003,
248 FDA, 2012), samples ranged from 1.19 – 3.68 g dietary fibre per serving, so samples that are
249 “good” fibre sources can be selected from the experimental design.

250 *3.2. Free sugar, digestible, resistant and total starch*

251 The amount of free sugars in the extruded cereals was significantly ($P<0.10$) negatively
252 affected by WGWF and positively by feed moisture. There was no free sugar content difference
253 between corn flour and WGWF, whereby the WGWF negative effect on free sugars in the
254 extruded cereals could only be attributed to changes induced by the extrusion process. The feed
255 moisture had significant positive linear and quadratic effects due to its decisive role in
256 hydrolytic reactions (Figure 2A). Digestible and total starch was significantly affected by the
257 incorporation of WGWF (Figures 2B and 2C) and their impact was negatively linear and
258 positively quadratic. In general, maximum and minimum digestible starch content was reached
259 with WGWF 0% and 100%, respectively. Considering that the WGWF had lower digestible
260 starch (Table 2), the progressive incorporation of this flour in the extruded products led to a
261 significant decrease in digestibility. Total starch in the extruded cereals had values comprised
262 between 57.27 and 83.76 mg/100 mg of sample, thus starch was the major compound of the
263 extruded cereals. Temperature did not significantly affect the digestible starch content, which
264 was plausible taking into account that the range of extrusion settings for temperature (74.6-
265 146.4°C) always exceeded the gelatinization temperature of wheat and corn starches.

266 Extrusion cooking is somewhat unique because gelatinization occurs at much lower
267 moisture levels (12–22%) than is necessary in other forms of food processes (Qu and Wang,
268 1994). However, no significant differences were found on the starch responses due to water
269 supply for extrusion feeding.

270 Compared to raw flours (Table 2), all extruded samples had lower resistant starch
271 content after extrusion cooking (results not shown), which indicated loss of RS under extrusion
272 process conditions. Similar observations were reported by Faraj et al. (2004) with extrusion of
273 pearled barley flour. According to the analysis of variance (ANOVA), the significant
274 coefficients ($P<0.10$) for the resistant starch response were temperature and the interaction
275 between moisture and temperature. Therefore, the association of heat and moisture could
276 modulate the amount of RS in the resulting extruded cereals (Sajilata et al., 2006). Nevertheless,
277 there is certain controversy in the scientific literature regarding the impact of extrusion on the
278 formation of resistant starch, namely RS3 that represents the starch fraction, mainly retrograded

279 amylose, formed during cooling of gelatinized starch (Sajilata et al., 2006). In fact, type 3
280 resistant starch content in waxy and regular barley flours generally decreased with extrusion
281 cooking (Faraj et al., 2004). Conversely, a study with pastry wheat flour reported that the
282 resistant starch content increased after extrusion compared to non-extruded flour (Kim et al.,
283 2006). The RS content in the extrudates (1.78-4.97 g/100 g) was within the range found in
284 processed cereal products. A database of resistant starch content in commercial cereal-based
285 products shows values in a range of 0.5-1.5 g/100 g for whole wheat bread, 0-6.3 g/100 g for
286 ready-to-eat breakfast cereals, being 0.7 g/100 g in bran flakes cereals and 1 g/100 g in whole
287 wheat flakes (Murphy et al., 2008).

288 *Pasting Properties*

289 The assessment of pasting properties in the extruded products was selected to obtain
290 information of the impact of extrusion settings and flour blends (Table 4) on starch
291 technological properties. The peak viscosity for the raw materials was 2659 cP and 1263 cP, for
292 WGWF and corn flour, respectively. These results are in agreement with the results found in the
293 literature for corn starch and whole wheat flour. Sandhu and Singh (2007) found that peak
294 viscosities (PV) ranged from 804 cP to 1252 cP for different corn varieties (African Tall, Ageti,
295 Early Composite, Girja, Nayjot, Parbhat, Partab, Pb Sathi and Vijay). These values are lower
296 than the peak viscosities reported for whole wheat flour (1891 and 2683 cP) (Oro et al., 2013).
297 Wheat and corn starches present granules with particular morphological structures and
298 crystalline order that display particular pasting and functional properties (Singh et al., 2003).
299 Also, the fibre present in WGWF could alter its viscosity profile. Accordingly, the extruded
300 cereals with highest WGWF percentages and total and insoluble fibre contents showed the
301 highest peak viscosities.

302 Changes in flour blends greatly affected the viscosity profiles (results not shown) of the
303 extrudates. For instance, peak (maximum) viscosities ranged from 372 cP to 2170 cP. The
304 analysis of variance (ANOVA) of the experimental results for pasting properties is shown in
305 Table 4. The extruded cereals showed lower values for the pasting parameters than the native
306 flours. Even considering that the general conditions of analysis were not exactly the same for

307 extrudates and raw flours, as described in section 2.2.3, the values reflect that, during the
308 extrusion process, the heat-moisture and mechanical energy applied led to starch gelatinization,
309 observed mainly by the lower peak time, final viscosity and set back. Native starches are more
310 susceptible to changes in viscosity during the heating and cooling cycle than pre-gelatinized
311 flours (Adedokun and Itiola, 2010). WGWF, moisture and temperature and the interactions
312 among them produced significant effects on the pasting properties, as can be seen in Figure 3.

313 With the exception of final viscosity, WGWF showed a significant linear effect on
314 pasting properties that was positive on peak viscosity and trough and negative on peak time and
315 setback (Table 4, Figures 3A and 3F). Feed moisture content had a significantly positive linear
316 effect on pasting properties, with the exception of peak viscosity (Table 4, Figure 3A). In
317 addition, extrusion temperature significantly affected pasting properties, excluding setback; the
318 effect was positive (linear and quadratic) for peak viscosity, but negative (linear) for the other
319 parameters (Table 4, Figures 3A-F). Interaction effects were obtained for WGWF and
320 temperature, showing an antagonistic effect on peak viscosity and a synergistic effect on peak
321 time (Figures 3A and B). Temperature and feed moisture had a significant antagonistic effect on
322 pasting parameters, excepting peak viscosity (Figures 3D, E and F). It was expected that the
323 replacement of corn flour by WGWF reduced paste viscosity, because of the reduction in starch
324 content available to swell (Symons and Brennan, 2004). Nevertheless, the occurrence of some
325 interaction between fibres and starches or the viscosity provided by fibres could have led to
326 alterations in the viscosity. The minimum viscosity reached at 95°C (trough) was dependent on
327 the three variables, the effects being positive for WGWF and moisture, whereas temperature
328 affected it negatively, besides its antagonistic effect with feed moisture (Table 4). During the
329 holding period at 95°C, samples are subjected to constant high temperature (95°C) and
330 mechanical shear stress (160 rpm), which, when working with native raw materials, further
331 disrupts the starch granules (Fu et al., 2008, Rojas et al., 1999). The paste formed by ground
332 extrudates under RVA conditions probably presented weaker bonds between polysaccharides
333 starch derivatives and fibre components because of starch disruption resulting from its
334 transformations in the extrusion process. This fragile structure leads to the drop in viscosity

335 during the holding time. In addition, the opposite effect of temperature and feed moisture agrees
336 with the higher temperature required for amylopectin melting when not sufficient water is
337 available for starch gelatinization (Barcenas et al., 2003).

338 *3.3. Image analysis*

339 The incorporation of whole grain flours or fibre rich ingredients in the formulation of
340 expanded extruded products has been associated with a decrease in the expansion of the
341 extruded cereals (Yanniotis et al., 2007, Chanvrier et al., 2013). Up to know, changes in the
342 structure of the extruded products have been studied by 3D image analysis carried out with X-
343 ray tomography (Chanvrier et al., 2013, Jing and Chi, 2013, Alam et al., 2013) or by scanning
344 electron microscopy (Dansby and Bovell-Benjamin, 2003, Singh et al., 2009, Ferreira et al.,
345 2012). Those analyses give information about the internal cell morphology of the food at a
346 microscopic level. Nevertheless, up to the authors' knowledge, the macroscopic structure of the
347 cross section of extruded products has not been a point of attention, even when these
348 measurements would really assess the impact of extrusion on product expansion. Because of
349 this, the ImageJ® software was applied to quantify the sectional dimensions of the extruded
350 cereals and internal structure of the scanned images.

351 The cross-sectional scanned images of the extruded cereals are shown in Figure 4. It
352 was readily evident that the number of cells and their distribution was significantly different
353 within the sample runs (Figure 4). Image analysis was carried out to determine diameter, area,
354 perimeter and circularity of the extruded products; these parameters were selected because they
355 reflect the expansion process after extrusion (Table 5). In general, structure was mainly
356 influenced by WGWF and feed moisture, whereas temperature had a minor effect and only
357 when interacted with WGWF or feed moisture (Table 5). The surface plots for diameter and
358 perimeter showed a similar tendency (Figures 5A and 5B). WGWF and feed moisture exerted
359 linear and quadratic effects on diameter and perimeter of the extruded products. Their effect was
360 negative on these parameters, except for the quadratic effect of WGWF that was positive
361 (Figure 5A and 5B). High WGWF contents resulted in more compacted products, with lower
362 diameter, perimeter and area. The same trend was observed on the cell area, although feed

363 moisture did not promote a quadratic effect on this parameter. WGWF, moisture and
364 temperature had no significant effect on extrudate circularity, with the exception of the
365 interaction of WGWF and temperature. The effect of WGWF could be primarily related to the
366 dietary fibre content, likely due to the dilution of starch and to the rupture of the structure. The
367 mechanistic steps of expansion include starch transformation, nucleation of bubbles, growth of
368 bubbles and bubble collapse (Moraru and Kokini, 2003). The incorporation of wheat bran
369 (mostly insoluble fibre) during extrusion reduces the starch content of the matrix that
370 compromises the further expansion process, which agrees with previous findings (Brennan et
371 al., 2008, Robin et al., 2011b, Robin et al., 2011a). Additionally to the effect of dispersed bran
372 particles, competition for water between the fibres, present in bran, and starch may also affect
373 the mechanical properties of the composite matrix. Decreased feed moisture leads to an increase
374 in extrudate sectional area. During extrusion, the extent of starch gelatinization depends on the
375 water available for starch in the extruder (Moraru and Kokini, 2003), which was modified in the
376 presence of fibres.

377 Starchy extruded cereal products are usually porous and aerated due to the expansion
378 process that occurs at the end of the die. The incorporation of WGWF also had a significant
379 negative quadratic effect on the number of air cells within the cross section, which is related to
380 cell density (Table 5), likely due to the collapse of the bubbles induced by fibres. The cell area
381 was dependent on WGWF and feed moisture, being in agreement with the other analysed
382 parameters that define the expansion process (diameter, perimeter and section area). The area of
383 the void spaces or cells was significantly linearly reduced by WGWF and feed moisture and
384 WGWF also induced a positive quadratic effect (Figure 5D). Also, WGWF and feed moisture
385 showed a significant synergistic effect on the cell area, and an antagonistic effect was observed
386 between feed moisture and temperature (Figure 5E). The results repeated the findings of other
387 authors, which observed decreased sectional expansion, mean cell size and cell density in fibre-
388 enriched extruded products (Chanvrier et al., 2013, Yanniotis et al., 2007, Robin et al., 2011b).
389 Micro-computed X-ray tomography has shown that insoluble fibre induces both more
390 compacted extruded products (with smaller product diameter) and decreased porosity structures

391 (linked to smaller cell size). It has been proposed that the burst of the air bubbles during
392 expansion at the interface between starch and fibres decreases the driving pressure for cell
393 growth that limits the expansion of fibre-containing extruded products (Chanvrier et al., 2013,
394 Chanvrier et al., 2007, Robin et al., 2011b, Yanniotis et al., 2007). The rupture of bubbles can
395 be explained by the low chemical compatibility between the insoluble fibre particles and the
396 continuous starch phase (Guy, 1988).

397 The extruded products with lower diameter, area and perimeter and lower cell area
398 negatively influenced by fibre, corresponded to higher peak viscosity and trough in the pasting
399 profile analysis. If the peak viscosity was higher it was probably because of fibre presence,
400 because of the incompatibility between starch and fibre and the difficulty to form a continuous
401 and viscoelastic phase. It is known that the expansion measurements, given by the image
402 analysis, are governed by the physicochemical properties of the plasticized starch matrix,
403 mainly by starch gelatinization and extrusion process severity showed in part by the pasting
404 properties.

405 Even though a negative effect of fibres was observed for most of the image
406 measurements, in the range of extrusion conditions studied, it was possible to obtain products
407 containing WGWF with adequate technological features. Additionally, it is believed that the
408 extrusion process led to total starch gelatinization (proved by microscopic analysis, not shown,
409 where the images showed blocks of continuous phase with dispersed fibre particles).

410 **4. Conclusion**

411 The combination of wholemeal wheat flour with corn flour was a good alternative for
412 increasing the fibre content of extruded products. Nevertheless, the incorporation of wholemeal
413 flour in the extruded structure modified the functionality of the blend; therefore an optimization
414 of the extrusion settings is needed. Overall, results obtained from the experimental design for
415 obtaining extruded products with high fibre content, lower digestible starch content and
416 intermediate expansion indicated that WGWF higher than 50% (central point), feed moisture in
417 the central point region (17-21%) and temperature around 110°C would be advisable.

418 **5. Acknowledgements**

419 The authors acknowledge the financial support of São Paulo Research Foundation –
420 FAPESP (Brazil, Project 2012/23281-2), Coordination for the Improvement of Higher
421 Education Personnel – CAPES (Brazil, author Ludmilla Carvalho Oliveira’s scholarship),
422 Spanish National Research Council (CSIC) and the Generalitat Valenciana (Spain, Project
423 Prometeo 2012/064). They thank the University of Campinas (UNICAMP) and the Spanish
424 Scientific Research Council (CSIC) for allowing carrying out the study. The authors are also
425 grateful to Milhão Alimentos™ (Goiás, Brazil) for supplying the corn flour.

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593 **FIGURE CAPTIONS**

594 **Figure 1.** Response surface plots for insoluble fibre (A); soluble fibre (B) and total dietary fibre
595 (C) as a function of WGWF, feed moisture and temperature.

596 **Figure 2.** Response surface plots for free sugars (A), digestible starch (B), resistant starch (C)
597 and total starch (D) as a function of WGWF, feed moisture and temperature.

598 **Figure 3.** Response surface plots for pasting properties of expanded extruded cereals as a
599 function of WGWF, feed moisture and temperature.

600 **Figure 4.** Cross-sectional scanned images of extruded cereals. The numbers 1-18 correspond to
601 the runs of the Central Composite Rotatable Design (CCRD).

602 **Figure 5.** Response surface plots for image analysis parameters as a function of WGWF, feed
603 moisture and temperature.

604

605 **Table 1.** Ranges of the independent variables and their corresponding real and coded levels.

Independent variables	Code	Levels				
		- α	-1	0	1	+ α
Whole grain wheat flour (%)	x_1	0	20.24	50.00	79.76	100.00
Feed moisture (%)	x_2	13.96	16.00	19.00	22.00	24.04
Temperature (°C)	x_3	76.40	90.00	110.00	130.00	143.60

606 $\alpha=2n^{1/4}$; n= number of independents variables; $\alpha=1.68$.

607

608 **Table 2.** Characteristics of the flours used as raw materials.

		Whole grain wheat flour	Corn flour
Proximate composition (%)			
	Moisture	10.82	11.4
	Protein	12.7	5.6
	Fat	1.72	1.22
	Ash	1.6	0.28
Carbohydrates (mg/100 mg)			
	Free sugars	0.06	0.07
	Digestible starch	23.41	54.05
	Resistant starch	6.82	10.59
	Total starch	30.23	64.64
Dietary fibres (%)			
	Soluble fibre	2.36	0.80
	Insoluble fibre	10.46	2.09
	Total dietary fibre	12.82	2.89
Particle size distribution (%)			
	>840 μ m	0.60	0.13
	840 μ m-500 μ m	6.28	3.32
	500 μ m-250 μ m	43.12	72.54
	250 μ m-177 μ m	26.58	19.68
	177 μ m-149 μ m	16.94	1.78
	<149 μ m	6.13	2.28

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612 **Table 3.** Estimated regression coefficients of second-order polynomial models for dietary fibre
 613 and starch fractions of extruded breakfast cereals.

Parameter	Insoluble fibre (%)	Soluble fibre (%)	Total fibre (%)	Free sugars (mg/100mg)	Digestible starch (mg/100mg)	Resistant starch (mg/100mg)	Total starch (mg/100mg)
Constant	5.70	1.99	7.61	0.04	57.66	2.17	59.83
WGWF (x_1)	2.22*	0.44*	2.67*	-0.02*	-4.52*	-0.32	-4.84*
WGWF (x_1x_1)	-	0.08	-	-	4.28*	0.21	4.48*
Moisture (x_2)	-0.13	0.11	-	0.04*	-1.15	0.26	-0.89
Moisture (x_2x_2)	-0.33*	0.24	-	0.02*	1.95	-0.21	1.74
Temperature (x_3)	-	0.31*	0.23*	-	-0.74	0.58*	-0.16
Temperature (x_3x_3)	-	-0.10	-	-	1.16	0.54*	1.70
WGWF x Moisture (x_1x_2)	-	-0.11	-	-	-0.77	-0.18	-0.94
WGWF x Temperature (x_1x_3)	-	0.02	-	-	2.02	-0.15	1.87
Moisture x Temperature (x_2x_3)	-	0.13	-	-	-0.72	0.49*	-0.23
R ² (%)	98.38	71.24	97.97	93.89	82.98	80.48	84.18

614 * Statistically significant at $P < 0.10$.

615

616 **Table 4.** Estimated regression coefficients of the second-order polynomial models for pasting
 617 properties of extruded breakfast cereals.

Parameter	Peak viscosity (cP)	Peak time (min)	Trough (cP)	Final Viscosity (cP)	Setback (cP)
Constant	860.373	3.62	308.31	775.63	467.32
WGWF (x_1)	114.35*	-0.41*	75.38*	-	-43.41*
WGWF (x_1x_1)	-	-	-	-	-
Moisture (x_2)	-	0.40*	41.06*	144.52*	103.51*
Moisture (x_2x_2)	-	-	-	-	-
Temperature (x_3)	473.30*	-0.70*	-45.29*	-78.63*	-
Temperature (x_3x_3)	179.406*	-	-	-	-
WGWF x Moisture (x_1x_2)	-	-	-	-	-
WGWF x Temperature (x_1x_3)	-200.15*	0.26*	-	-	-
Moisture x Temperature (x_2x_3)	-	-0.21*	-50.23*	-139.33*	-89.10*
R ² (%)	94.29	97.45	74.62	76.51	74.69

618 * Statistically significant at $P < 0.10$.

619

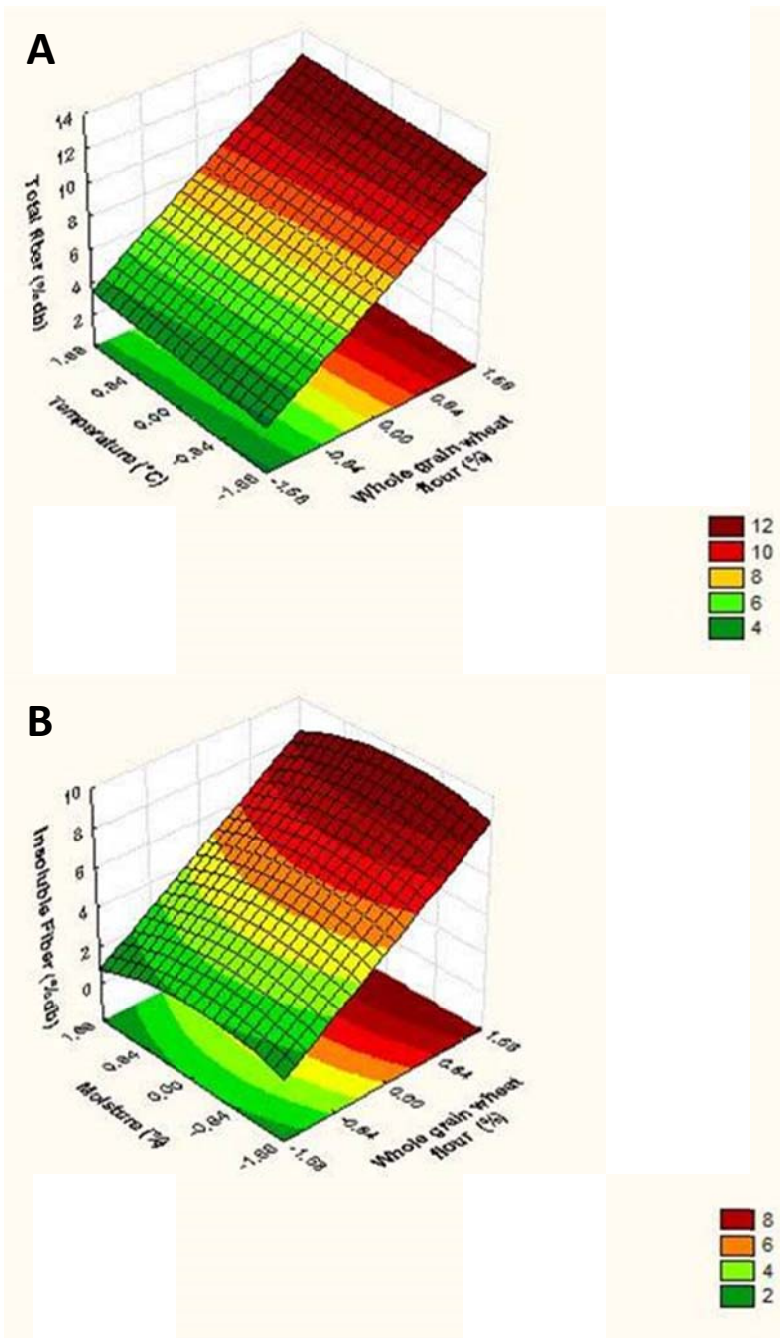
620 **Table 5.** Estimated regression coefficients of second-order polynomial models for cell structure
 621 image analysis data of extruded breakfast cereals.

Parameter	Diameter (mm)	Area (mm ²)	Perimeter (mm)	Extrudate circularity	Cell number	Cell area (mm ²)	Cell circularity
Constant	8.87	57.15	30.89	0.81	12.21	1.38	0.31
WGWF (x_1)	-0.62*	-10.64*	-2.26*	0.01	0.61	-0.40*	0.01
WGWF (x_1x_1)	0.41*	7.91*	1.39*	0.01	-0.72*	0.24*	-0.01
Moisture (x_2)	-0.84*	-11.46*	-2.85*	-0.01	-0.56	-0.18*	-0.01
Moisture (x_2x_2)	-0.44*	-	-1.41*	-0.01	0.40	-	0.02
Temperature (x_3)	-	-	-	0.01	0.57	-	-0.02
Temperature (x_3x_3)	-	-	-	0.00	-0.28	-	0.01
WGWF x Moisture (x_1x_2)	-	-	-	-0.01	-0.02	0.23*	0.01
WGWF x Temperature (x_1x_3)	-	-	-	0.02*	0.09	-	-0.02
Moisture x Temperature (x_2x_3)	-	-	-	-0.01	0.57	-0.23*	0.01
R ² (%)	81.84	71.64	81.17	72.99	70.03	78.57	50.44

* Statistically significant at $P < 0.10$.

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627 Figure 1.



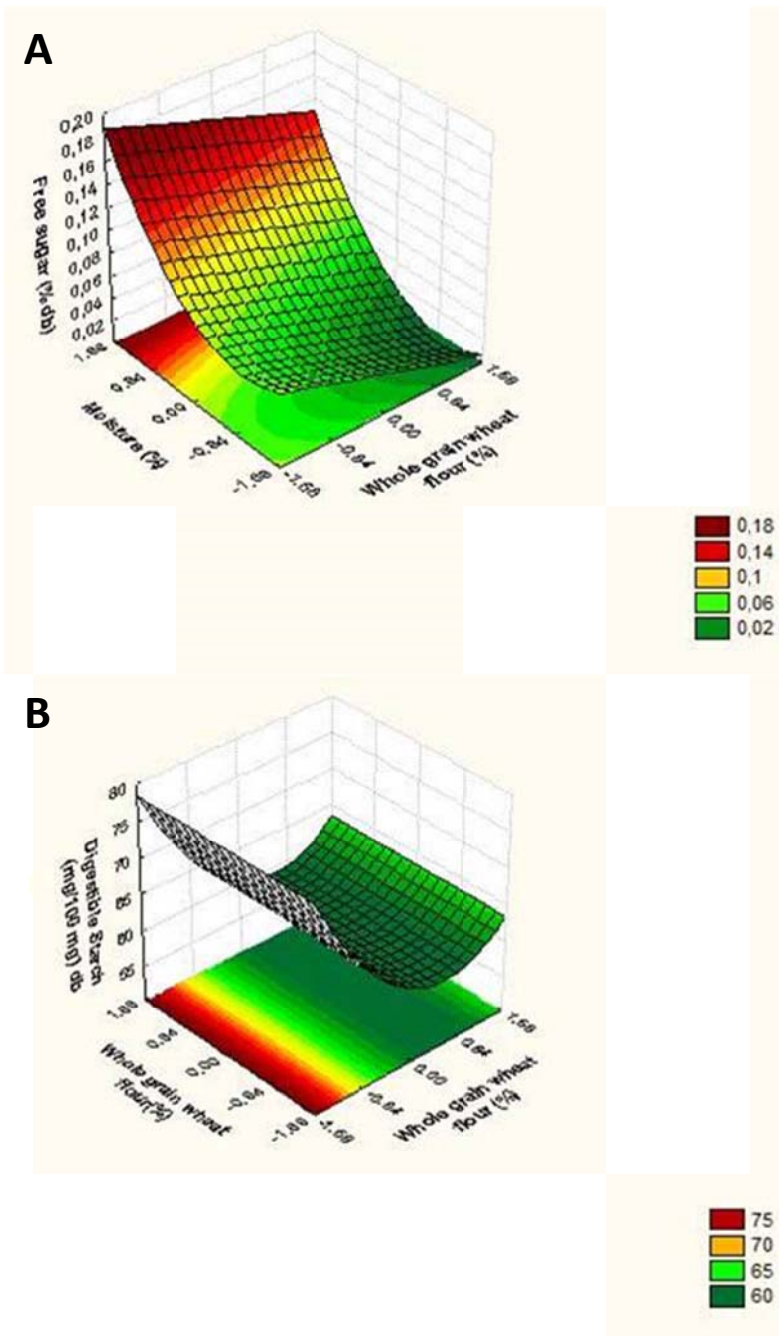
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632 Figure 2.



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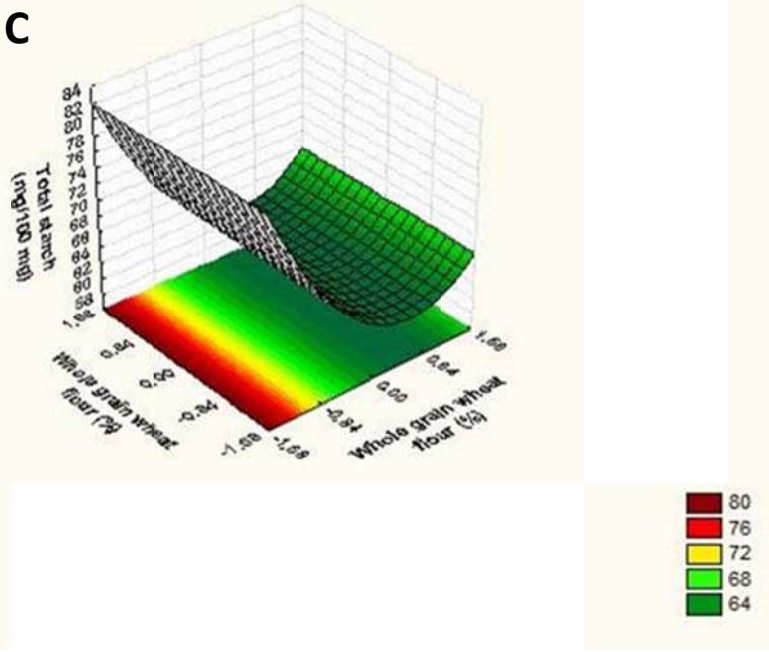
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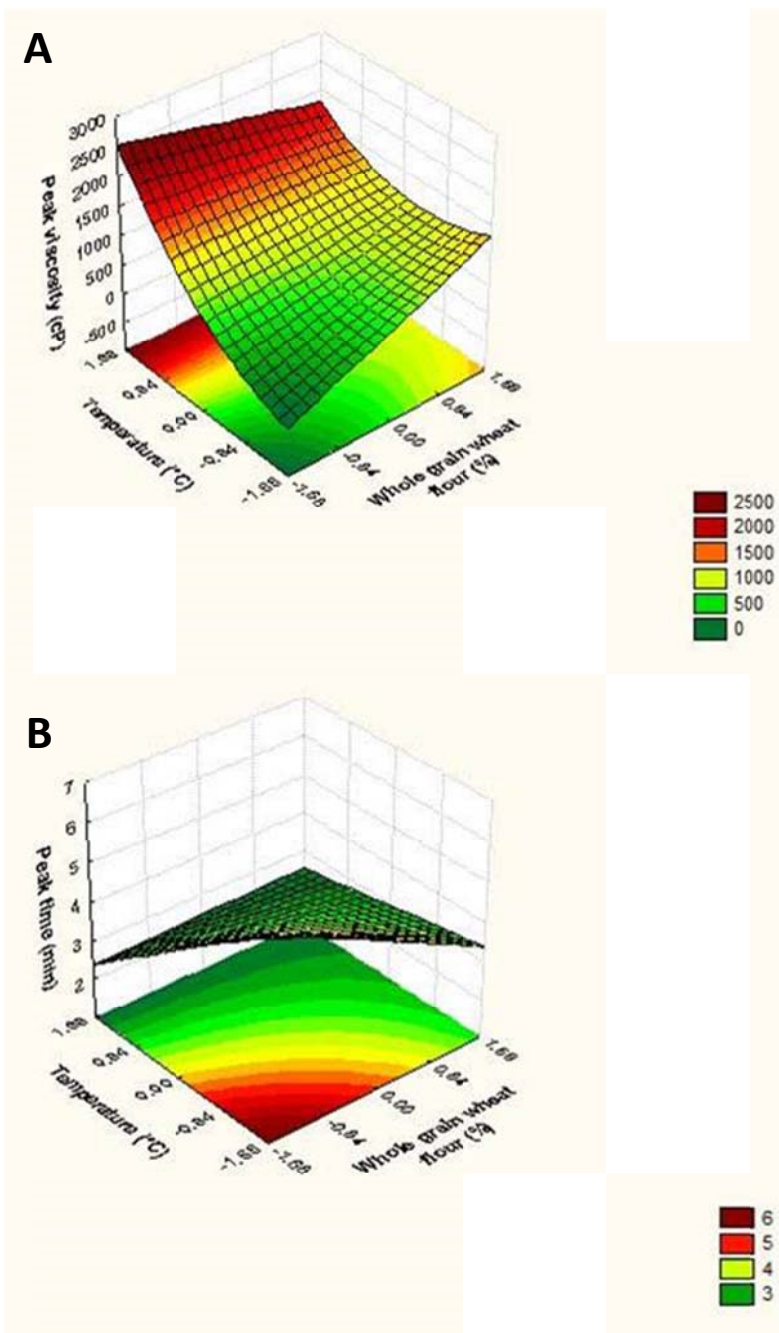
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644 Figure 3.

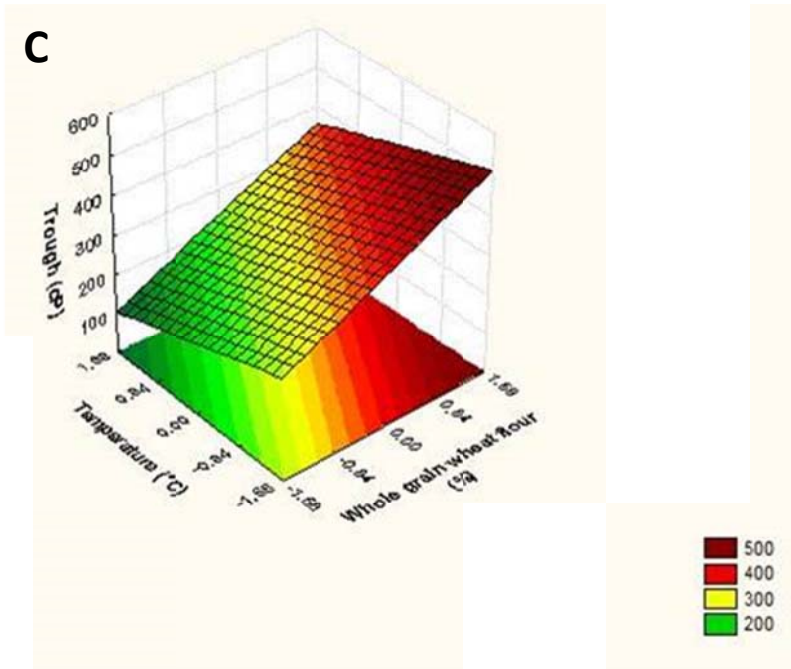


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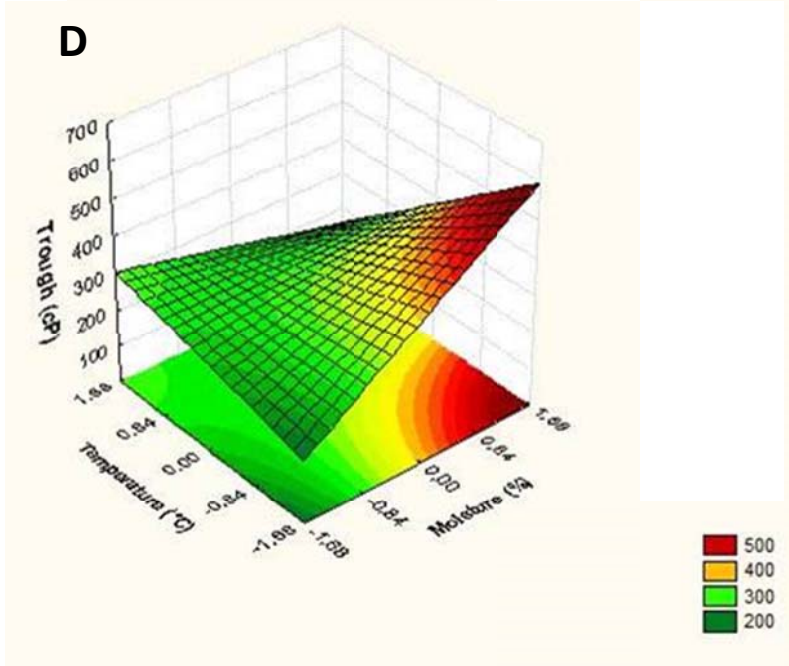
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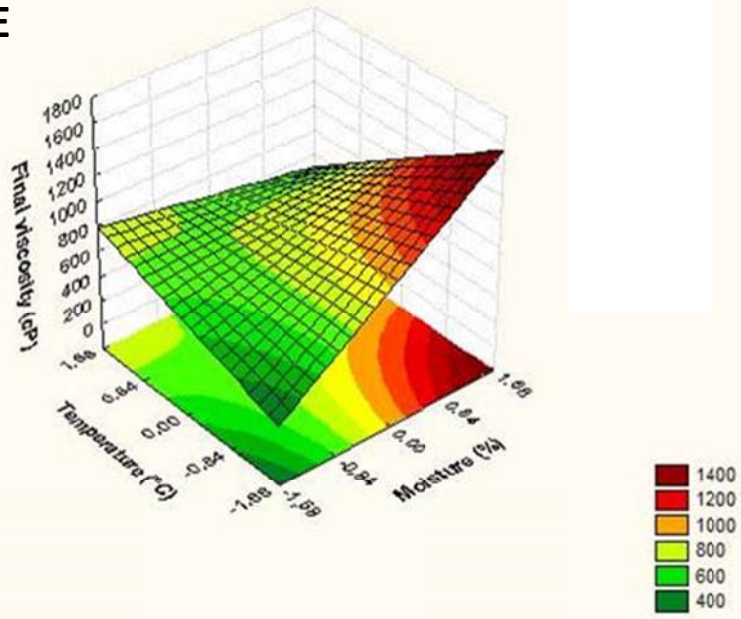


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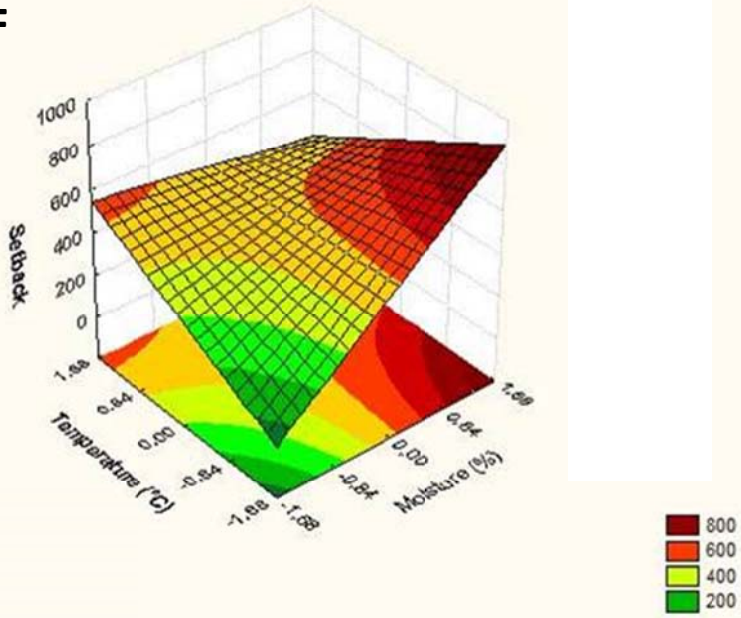
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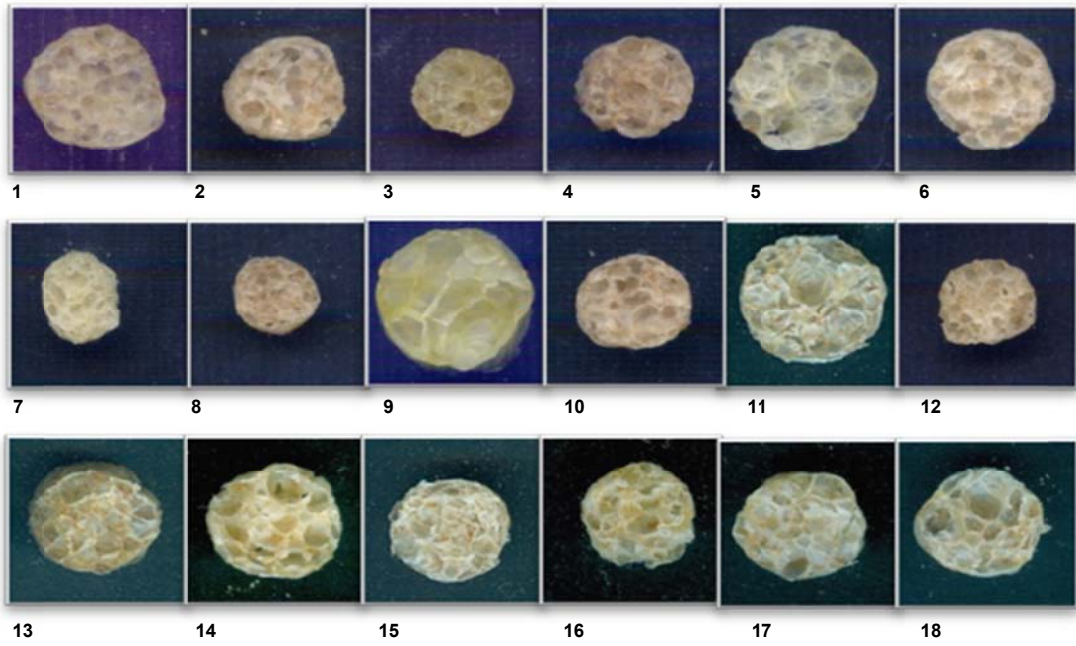
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655 Figure 4.

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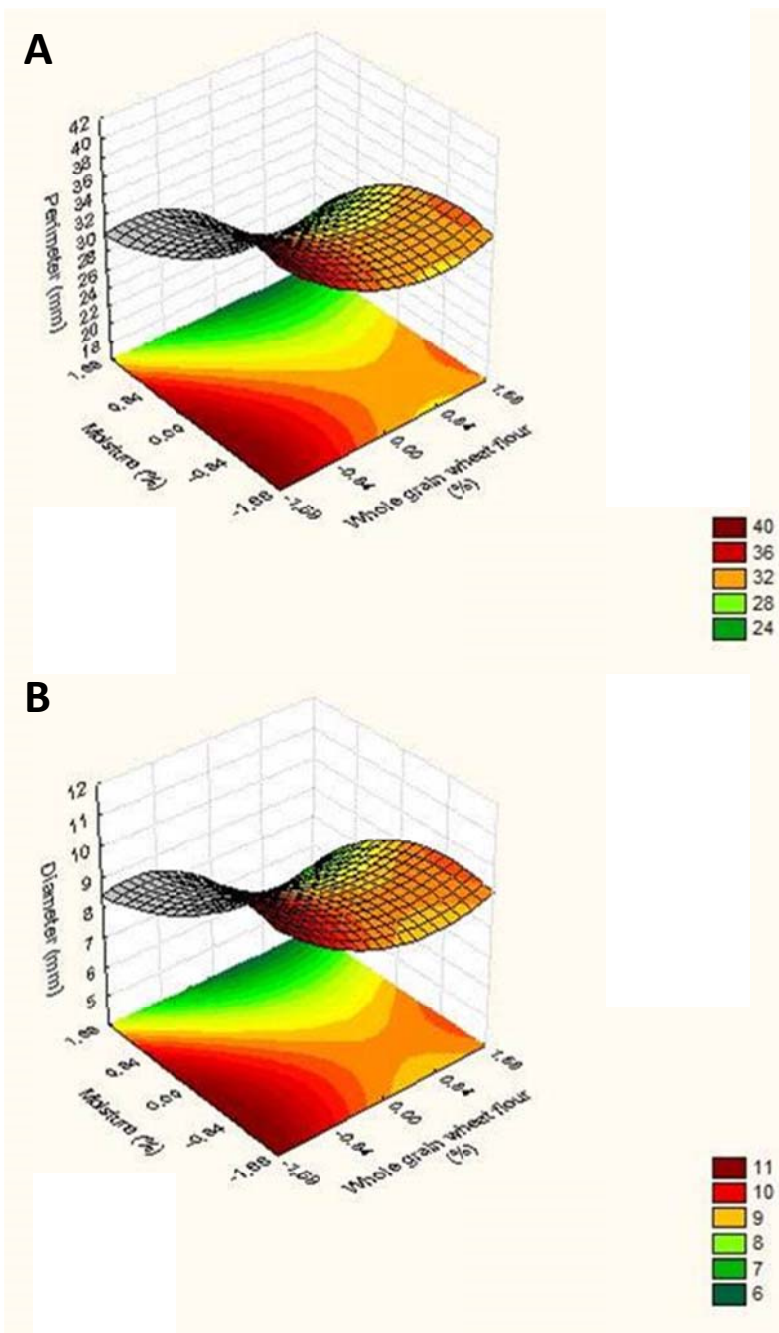
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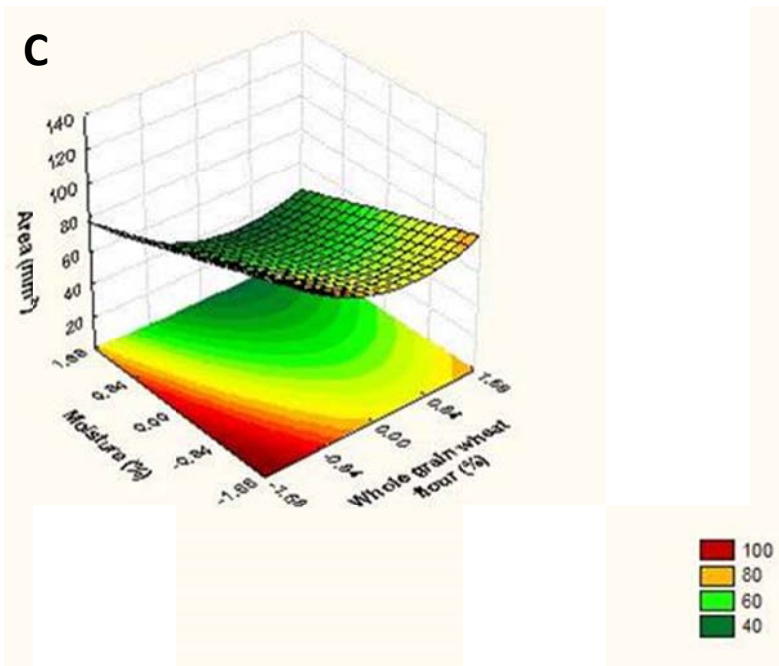
661 Figure 5.



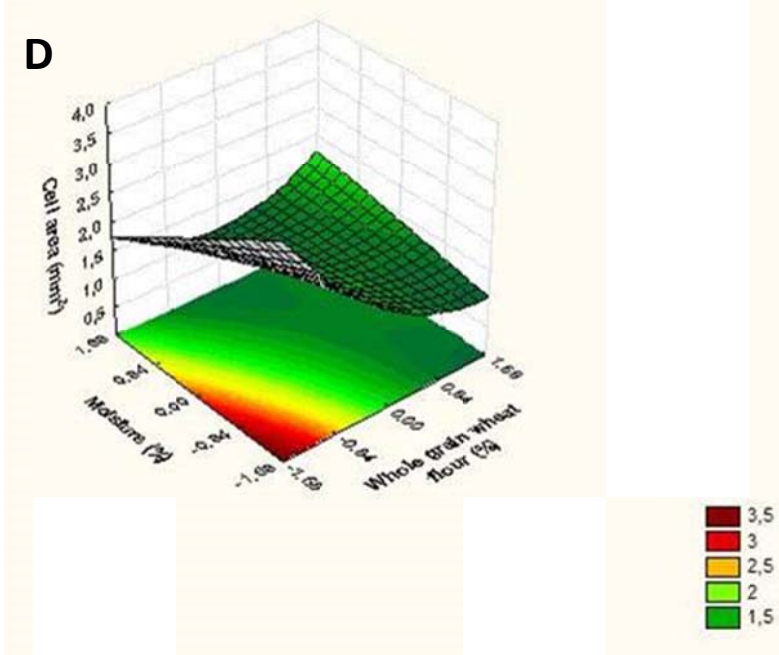
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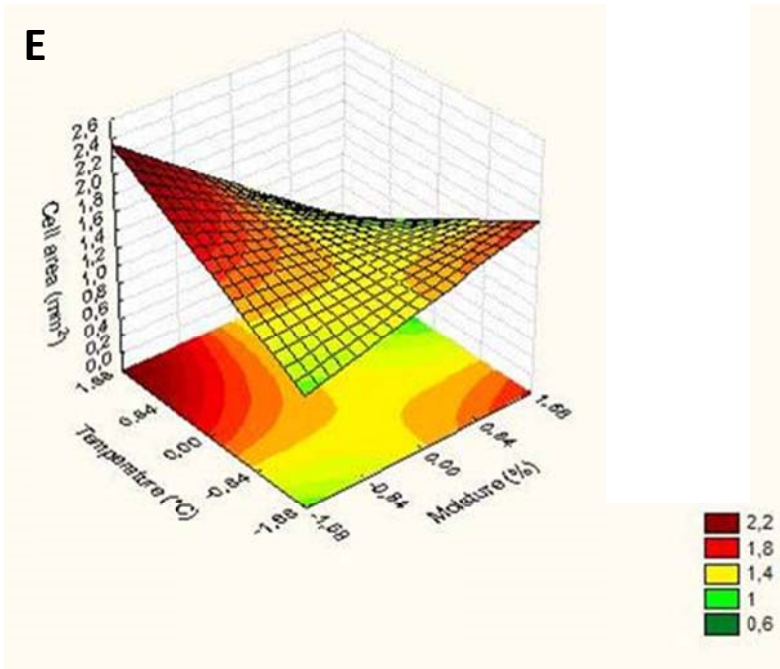
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