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# 1 Laboratory Experiments on Convective Entrainment using a 2 Saline Water Tank

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6 **Abstract** Entrainment fluxes in a shear-free convective boundary layer have been measured  
7 with a saline water tank set-up. The experiments were targeted towards measuring the en-  
8 trainment behaviour for medium to high Richardson numbers and use a two-layer design, i.e.  
9 two stacked non-stratified (neutral) layers with different densities. With laser induced fluo-  
10 rescence (LIF), the entrainment flux of a fluorescent dye is measured for bulk Richardson  
11 numbers in the range 30-260. It is proposed that a carefully chosen combination of top-down  
12 and bottom-up processes improves the accuracy of LIF-based entrainment observations. The  
13 observed entrainment fluxes are about an order of magnitude lower than reported for ther-  
14 mal water tanks: the derived buoyancy entrainment ratio,  $A$ , is found to be  $A \approx 0.02$ , which  
15 is to be compared with  $A \approx 0.25$  for a thermal convection tank (Deardorff et al., J. Fluid  
16 Mechanics, 1980, Vol.100, 41–64). An extensive discussion is devoted to the influence of  
17 the Reynolds and Prandtl numbers in laboratory experiments on entrainment.

18 **Keywords** Convective boundary layer · Entrainment · Laboratory experiments

## 19 1 Introduction

20 For weather, climate and air quality purposes it is of key importance to quantify the deepening  
21 rate of a turbulent atmospheric boundary layer into the overlying free troposphere. The  
22 turbulence can originate from a variety of sources, most notably shear and buoyancy. His-  
23 torically, laboratory experiments targeted to mimic such situations in a downscaled setting,  
24 have played an important role in understanding and quantifying the process of deepening  
25 mixed layers, in particular the process of entrainment. Two classical examples are the water

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26 tank experiments of Kato and Phillips (1969) (deepening by shear), and Willis and Deardorff  
 27 (1974), Deardorff et al. (1980) (deepening by surface buoyancy).

28 The advantage of studying entrainment characteristics in the laboratory, rather than out-  
 29 doors, is that 1) one has control over the initial and boundary conditions, the homogeneity  
 30 of the surface and the external forcings; 2) the experiments (if conducted well) are repro-  
 31 ducible; 3) one can perform parameter studies keeping everything else constant. These as-  
 32 pects, after all, form the cornerstone of scientific research and are not easily realized in  
 33 atmospheric measurement studies. The major downside of laboratory experiments is their  
 34 limited scale, or more precise, the fact that the attainable Reynolds and Péclet numbers are  
 35 orders of magnitude lower than in the geophysical case (the Péclet number is the ratio be-  
 36 tween the convective contribution and the diffusive contribution to the flux). We come back  
 37 to this important aspect in Sect. 2 and in the Discussion (Sect. 5). This issue notwithstand-  
 38 ing, in particular the thermal convection tank results on penetrative entrainment by Deardorff  
 39 et al. (1980) have had a big impact in boundary-layer meteorology. As the non-dimensional  
 40 entrainment rate was found to follow an inverse Richardson law, viz.  $ARi^{-1}$  with  $A \approx 0.25$ ,  
 41 the result appeared to comply with the early views on convective entrainment (Ball 1960;  
 42 Tennekes 1973) and with atmospheric observations (e.g. Stull 1976, and references therein).  
 43 This explains why laboratory experiments have formed an important benchmark case for  
 44 large-eddy simulation (LES) studies (e.g. Nieuwstadt et al. 1993; Sullivan et al. 1998; Fe-  
 45 dorovich et al. 2004).

46 Still there are a few unresolved issues on convective entrainment, in particular the be-  
 47 haviour for large Richardson numbers. Experiments by Turner (1968) showed that, apart  
 48 from a  $Ri^{-1}$  law, also a  $Ri^{-3/2}$  law can be observed, which would be even more likely for  
 49 high Péclet numbers such as in the atmosphere. In this context it is interesting that Deardorff  
 50 et al. (1980) presented their results in a subtle way: "It [the  $Ri^{-1}$  law] appears to represent  
 51 our data slightly better than the  $Ri^{-3/2}$  law". On the other hand, experiments on convective en-  
 52 trainment by Kantha (1980) in a different set-up revealed a 'regime change' at a Richardson  
 53 number of order  $10^2$ .

54 Our study is therefore aimed at exploring the entrainment characteristics for relatively  
 55 high Richardson numbers. To this end we use a saline water tank and conduct experiments in  
 56 a so-called two-layer arrangement – two stacked non-stratified layers with different densities  
 57 (Kantha et al. 1977; Kantha 1980). Such an arrangement enables one to create a well-defined  
 58 buoyancy jump (Richardson number), and to choose settings such that the Richardson num-  
 59 ber remains constant during an experiment. There are several advantages of a saline water  
 60 tank over a thermal tank (Hibberd and Sawford 1994a,b): there is, for example, no temper-  
 61 ature leakage at the boundaries due to conduction or radiation, and the diffusivity of salt is  
 62 more than two orders smaller than the diffusivity of heat, which enables one to retain station-  
 63 ary density jumps (see also Sect. 2). A drawback is that the surface buoyancy flux is realized  
 64 through applying a surface mass flux; as a result, the mixed layer deepens not only due to  
 65 entrainment but also due to the added mass. On the other hand the advantage of a surface  
 66 mass flux is that one can readily conduct 'bottom-up' diffusion experiments (Wyngaard and  
 67 Brost 1984) by adding a dye to the surface inflow – a feature that will be exploited herein  
 68 together with 'top-down' diffusion.

## 69 2 Governing equations

70 In order to be able to readily compare convection in the saline tank with its geophysical  
 71 counterpart, as well as with convection in a thermal tank, it is most convenient to formulate

72 the framework in terms of the buoyancy  $b = -g(\rho - \rho_0)/\rho_0$ , where  $\rho$  denotes the density,  
 73  $\rho_0$  is a reference density and  $g$  is the acceleration due to gravity. Within the Boussinesq  
 74 approximation and in the absence of the Coriolis force, the governing equations can be  
 75 written as

$$\frac{\partial u_i}{\partial t} = -u_j \frac{\partial u_i}{\partial x_j} - \frac{1}{\rho_0} \frac{\partial p}{\partial x_i} + \nu \frac{\partial^2 u_i}{\partial x_j^2} + b \delta_{i3}, \quad (1)$$

76

$$\frac{\partial u_i}{\partial x_i} = 0, \quad (2)$$

77

$$\frac{\partial b}{\partial t} = -u_j \frac{\partial b}{\partial x_j} + D \frac{\partial^2 b}{\partial x_j^2}, \quad (3)$$

78 where  $u_i$   $\{i = 1, 2, 3\}$  denotes the velocity in the  $x, y, z$  directions, respectively, with the  $z$ -  
 79 direction pointing upwards,  $p$  denotes the pressure,  $\delta$  is the Kronecker symbol,  $\nu$  is the  
 80 kinematic viscosity of the carrier fluid (water/air) in  $\text{m}^2 \text{s}^{-1}$  and  $D$  is the molecular diffu-  
 81 sivity of the quantity related to buoyancy (i.e. temperature/salinity) in  $\text{m}^2 \text{s}^{-1}$ . In the case of  
 82 convection in the atmospheric boundary layer,  $b$  is usually expressed in terms of the virtual  
 83 potential temperature  $\theta_v$  through  $b = g(\theta_v - \theta_{v0})/\theta_{v0}$  (e.g. Stull 1988). In a thermal con-  
 84 vection tank one has  $b = g\alpha(T - T_0)$ , with  $T$  the temperature and  $\alpha$  the thermal expansion  
 85 coefficient of water. In a saline convection tank, on the other hand, density differences arise  
 86 from the salinity fraction  $S$ , i.e.  $\rho(S) = \rho_0(1 + S)$ , with  $\rho_0$  is the density of pure water. The  
 87 buoyancy is thus related to salinity via

$$b = -gS. \quad (4)$$

88 In the shear-free convective boundary layer, turbulence is driven by a surface buoyancy flux  
 89  $B$ . The other relevant aspect defining the case is the initial condition. Instead of the com-  
 90 monly applied linear stratification (constant density gradient), we consider here a so-called  
 91 *two-layer set-up* (Kantha 1980; Deardorff et al. 1980), i.e. two stacked neutrally stratified  
 92 layers separated by an initial buoyancy jump  $\Delta b$  at initial height  $h(0) = h_0$ , see Fig. 1. Due  
 93 to entrainment the mixed-layer height  $h$  will increase

$$w_e = \frac{dh}{dt},$$

94 where  $w_e$  is the entrainment rate. The key question is how  $w_e$  can be expressed in terms of  
 95 the strength of the buoyancy jump (inversion), and the surface buoyancy flux. Equations 1-3  
 96 show that the full problem is characterized by 1) the boundary condition  $B$ ; 2) the initial  
 97 conditions  $h_0$ ,  $\Delta b$ ; and 3) the fluid properties  $\nu, D$ . In addition the tank geometry (height-  
 98 width) may play a role.

99 Combining these parameters one can define the convective velocity scale (Deardorff  
 100 1970)

$$w_* = (Bh)^{1/3} \quad (5)$$

101 and the large eddy turnover time  $t_* = h/w_*$ . Rescaling the equations using  $w_*$  for velocity,  
 102  $t_*$  for time,  $h$  for length,  $\rho_0 w_*^2$  for pressure, and  $b_* = B/w_*$  for buoyancy, one obtains

$$\frac{\partial u_i}{\partial t} = -u_j \frac{\partial u_i}{\partial x_j} - \frac{\partial p}{\partial x_i} + \frac{1}{Re} \frac{\partial^2 u_i}{\partial x_j^2} + \delta_{i3} b, \quad (6)$$

103

$$\frac{\partial b}{\partial t} = -u_j \frac{\partial b}{\partial x_j} + \frac{1}{Pe} \frac{\partial^2 b}{\partial x_j^2}. \quad (7)$$

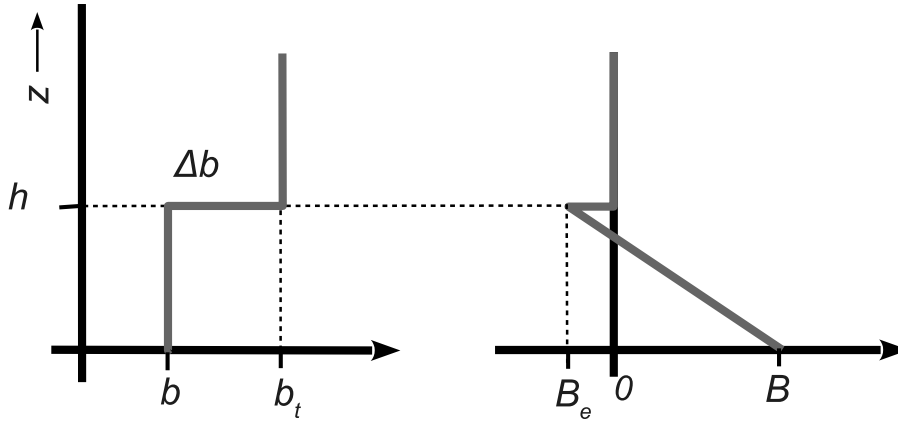


Fig. 1 Zero-order representation of the profiles of buoyancy and the buoyancy flux.

104 The initial condition, characterized by the buoyancy jump  $\Delta b$ , gives, after rescaling by  $b_*$ ,  
 105 the bulk Richardson number  $Ri = \Delta b/b_* = \Delta b h/w_*^2$ . If one includes the tank geometry, one  
 106 can conclude that in essence the problem is characterized by four dimensionless numbers,  
 107 viz. the Reynolds number, the Péclet number, the Richardson number, and the tank aspect  
 108 ratio

$$Re = \frac{w_* h}{\nu}, \quad Pe = \frac{w_* h}{D}, \quad Ri = \frac{\Delta b h}{w_*^2}, \quad \Gamma = \frac{h}{L}, \quad (8)$$

109 where  $L$  is the lateral size. The non-dimensional entrainment rate  $E = w_e/w_*$  is some func-  
 110 tion of these dimensionless numbers

$$\frac{w_e}{w_*} = E(Re, Pe, Ri, \Gamma). \quad (9)$$

111 Instead of the  $\{Re, Pe\}$  combination, one could also use  $\{Re, Pr\}$  to define the situation,  
 112 where  $Pr = \nu/D$  is the Prandtl number. Actually, in the case of salinity, it would be more  
 113 appropriate to refer to  $\nu/D$  as the Schmidt number, but following e.g. Wolanski and Brush  
 114 (1975) or Sayler and Breidenthal (1998) hereafter we refer to  $\nu/D$  as the Prandtl number  
 115 both for thermal and saline situations.

116 In laboratory experiments aimed to mimic geophysical phenomena it is usually pre-  
 117 sumed that the Reynolds number and the Péclet number are *large enough* not to have an  
 118 important effect on the results (e.g. Townsend 1980; Wyngaard 2010). Molecular properties  
 119 of the fluid and geometric details of the set-up then play a minor role. In Sect. 5 we come  
 120 back to the important issue of Reynolds-number independence, because it is not easy to  
 121 know *a priori* how large the Reynolds number should be to reach this regime. If the regime  
 122 is reached, the non-dimensional entrainment rate is only dependent on the Richardson num-  
 123 ber, generally assumed via a power-law relation

$$E(Ri) = a Ri^{-b} \quad (10)$$

124 with  $a$  and  $b$  constants. As mentioned in the Introduction, the accepted values for atmo-  
 125 spheric convection are  $a = 0.2 \dots 0.25$  and  $b = 1$ . The  $-1$  Richardson-number law is con-  
 126 sistent with the common assumption in mixed-layer models (Tennekes 1973) that the en-  
 127 trainment buoyancy flux  $B_e$  is a fixed fraction  $A$  of the surface buoyancy flux  $B$  (Ball 1960).

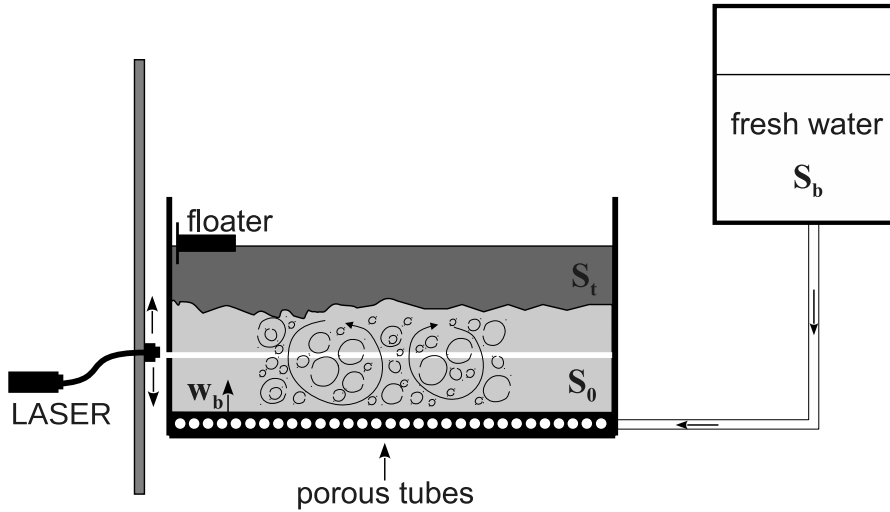


Fig. 2 Sketch of the saline convection tank set-up.

128 Indeed, invoking a zero-order model (Lilly 1968) for the entrainment flux  $B_e = -w_e \Delta b$ , using Eq. 10 with the Richardson number defined by Eq. 8, and Eq. 5, gives  $A = -B_e/B = a$ ; see also the sketch in Fig. 1.

131 In this study we focus on determining  $A$  rather than  $w_e$  because we are particularly  
132 interested in the behaviour at high Richardson numbers. The entrainment rate  $w_e$  might  
133 become very small for high  $Ri$  but, for a  $Ri^{-1}$  law, the value of  $A$  should be independent  
134 of the Richardson number. We emphasize here that, if the entrainment rates in the saline  
135 convection tank set-up display an entrainment law with an exponent  $b$  different from 1, then  
136 the measured values of  $A$  will show a Richardson dependence

$$A(Ri) = -B_e/B = E(Ri) Ri = a Ri^{1-b}. \quad (11)$$

137 For example, a  $Ri^{-3/2}$  law would lead to  $A$  vanishing according to  $\sim 1/\sqrt{Ri}$ . In addition, if  
138 the present set-up introduces a Reynolds/Péclet number dependence in the entrainment rate  
139 Eq. 9, then this will also show up in the flux ratio, i.e.  $A = A(Re, Pe, Ri, \Gamma)$ .

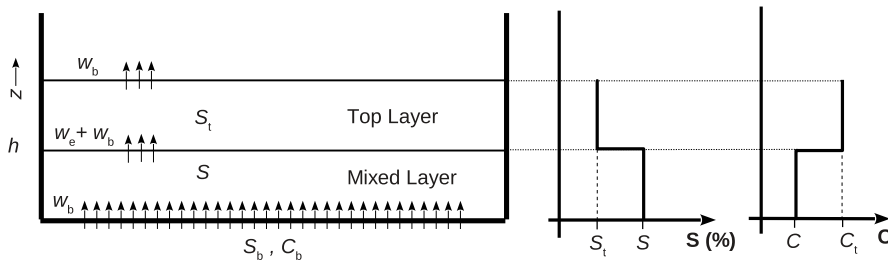
### 140 3 Experimental set-up and measurement methodology

#### 141 3.1 Description of the saline tank set-up

142 The saline convection tank set-up that we used is been sketched in Fig. 2. It consists of a  
143  $1\text{m} \times 1\text{m}$  glass container with height 0.5m, a scanning rail, a 2.5W Argon laser connected  
144 via an optical fibre to the scanning rail, a digital 10-bit camera located in front of the tank,  
145 an elevated water reservoir, and at the bottom of the convection tank a tray consisting of 49  
146 parallel porous tubes (Gardena, external diameter 17 mm). The porous tubes were covered  
147 with a carefully levelled bed ( $\approx 10$  mm) of small aquarium quartz pebbles (small reddish  
148 stones a few mm in size) to even the surface. Another important experimental issue concerns  
149 the spatial homogeneity of the surface fluxes. Tests of the homogeneity of the surface fluxes  
150 are described later in this section.

Quantity	Symbol	Typical value	Unit
mixed-layer height (initial)	$h_0$	0.1	m
bottom inflow	$w_b$	$2 \cdot 10^{-5}$	$\text{m s}^{-1}$
salinity inflow	$S_b$	0.0	%
salinity mixed layer (initial)	$S_0$	0.5	%
salinity top layer	$S_t$	0.0 — 0.4	%
dye concentration inflow	$C_b$	0.0	$\mu\text{g l}^{-1}$
dye concentration mixed layer (initial)	$C_0$	10	$\mu\text{g l}^{-1}$
dye concentration top layer	$C_t$	50 — 200	$\mu\text{g l}^{-1}$
convective velocity	$w_*$	$5 \cdot 10^{-3}$	$\text{m s}^{-1}$
eddy turnover time	$t_*$	20	s
inversion strength (initial)	$Ri$	50 — 250	-
viscosity	$\nu$	$1 \cdot 10^{-6}$	$\text{m}^2 \text{s}^{-1}$
saline diffusivity	$D$	$1 \cdot 10^{-9}$	$\text{m}^2 \text{s}^{-1}$

**Table 1** Typical values of parameters used in the set-up.



**Fig. 3** Schematic view of the two layers separated by a buoyancy jump (left) and corresponding salinity and dye concentration profiles (right). The top water level increases by the inflow rate  $w_b$  whereas the mixed layer also grows by entrainment, i.e. at a rate  $w_e + w_b$ .

151 All entrainment experiments have been conducted within the context of a so-called two-  
 152 layer set-up (e.g. Kantha et al. 1977; Kantha 1980) such as schematically depicted in Fig.  
 153 3. This initial state was created by first filling the tank through the porous tubes with well-  
 154 mixed water with salinity  $S_0$  up to a height  $h_0 \approx 0.1\text{m}$ . Next a layer with lower salinity  $S_t$  was  
 155 added on top of the mixed layer resulting in a buoyancy jump of  $\Delta b = g[S_0 - S_t]$ . Placing  
 156 this layer on top was done by a careful (slow) filling procedure that consisted of dripping  
 157 water on top of four floating devices (not depicted in the sketch) that were submerged just  
 158 below the water surface. Due to the design of these floaters, only a flow in a lateral direction  
 159 occurs. In this way very sharp buoyancy jumps could be created, which by virtue of the  
 160 small diffusivity of salinity ( $D \approx 10^{-9}\text{m}^2 \text{s}^{-1}$ ) remained sharp until the experiment was  
 161 started. For example, the time for the diffusion region to become  $\approx 5\%$  of the initial mixed-  
 162 layer height,  $\delta = 0.05h_0$ , can be estimated by  $\delta^2/D \approx 7\text{hr}$ , i.e. much longer than the typical  
 163 duration of an experiment (15min). This highlights one of the advantages of the saline set-up  
 164 over a thermal tank set-up for which the mentioned time scale amounts to only 3min.

165 After the initial two-layer profile had been created, convection was initiated by supply-  
 166 ing water with low salinity  $S_b$  from the elevated large reservoir to the porous tubes; the  
 167 pressure difference due to the elevation is large enough to overcome the hydrodynamic re-  
 168 sistance of the porous tubes and gives rise to a homogenous inflow velocity  $w_b$  of about  
 169  $2 \times 10^{-5}\text{m s}^{-1}$ . As will be detailed later, this inflow velocity is more than two orders of  
 170 magnitude smaller than the convective velocity  $w_*$  and therefore has a negligible effect on

171 the flow in terms of momentum. But the inflow of low density fluid has a strong effect in  
172 terms of buoyancy, the resulting surface buoyancy flux being

$$B = gw_b[S(t) - S_b] \quad (12)$$

173 where  $S(t)$  denotes the salinity in the mixed layer. Initially  $S(t = 0) = S_0$ , but during the  
174 course of the experiment  $S$  is diluted due to both the surface and the entrainment salinity  
175 flux, which entails that the surface buoyancy flux  $B$  decreases during the experiment. This  
176 effect will be accounted for in the analysis of the results. It is also important to note that the  
177 mixed-layer height  $h(t)$  increases not only due to entrainment  $w_e$  but also due to the surface  
178 mass flux  $w_b$ ,

$$\frac{d}{dt}h = w_e + w_b. \quad (13)$$

179 So it is essential to very accurately measure  $w_b$  in the experiments because it directly controls  
180 both the mixed-layer height and the surface buoyancy flux. We return to this particular issue  
181 in the next section.

182 Table 1 gives an idea of the typical values we used in the experiments. Using Eq. 12 and  
183 Eq. 5 one finds a surface buoyancy flux of  $B \approx 10^{-6} \text{ m}^2 \text{ s}^{-3}$  and a convective velocity scale  
184 of  $w_* \approx 5 \times 10^{-3} \text{ m s}^{-1}$ , respectively, which indicates that the inflow velocity  $w_b$  is indeed  
185 much smaller than the convective velocity:  $w_*/w_b \approx 250$ . The Reynolds number and Péclet  
186 number based on  $w_*$  (see Eq. 8) are  $Re = 500$  and  $Pe = 5 \times 10^5$ , respectively. The Reynolds  
187 number is thus of comparable order as in the thermal convection tank of Deardorff et al.  
188 (1980); the Péclet number is however two orders of magnitude larger in the saline set-up  
189 due to the much lower diffusivity of salt compared to heat. We address these issues in more  
190 detail in the Discussion. At this stage we merely point out that the Reynolds number does  
191 not change much during an experiment. Indeed, whereas the increasing mixed-layer depth  
192 tends to increase the Reynolds number during the experiments, this effect is largely offset by  
193 the decreasing surface buoyancy flux resulting from the gradually decreasing mixed-layer  
194 salinity concentration. The net effect is only a slight increase of the Reynolds number during  
195 the course of the experiments.

196 The inversion strength is controlled by the salinity  $S_t$  in the upper layer for which we  
197 take values ranging between zero and 0.4%. The corresponding Richardson numbers follow  
198 from Eq. 8 and can be written as

$$Ri = \frac{\Delta b}{b_*} = \frac{w_*}{w_b} \frac{S - S_t}{S - S_b}. \quad (14)$$

199 Since  $S$  decreases during an experiment, so the Richardson number changes. The interesting  
200 exception is when  $S_t = S_b$  for which the Richardson number is nearly constant (because  $w_*$   
201 is nearly constant).

### 202 3.2 Measuring entrainment with LIF

203 In order to find the entrainment rate  $w_e$  at given Richardson numbers, it would seem natu-  
204 ral from Eq. 13 to try and directly measure  $dh/dt$  and subsequently subtract the measured  
205 value of  $w_b$ . But such a method fails for moderate to strong inversions because  $w_e$  is itself  
206 very small and is to be diagnosed from the difference between two relatively large terms, in-  
207 evitably leading to inaccuracies. For this reason we focus herein on measuring entrainment  
208 fluxes rather than entrainment velocities. In the simplest form one can think of adding dye

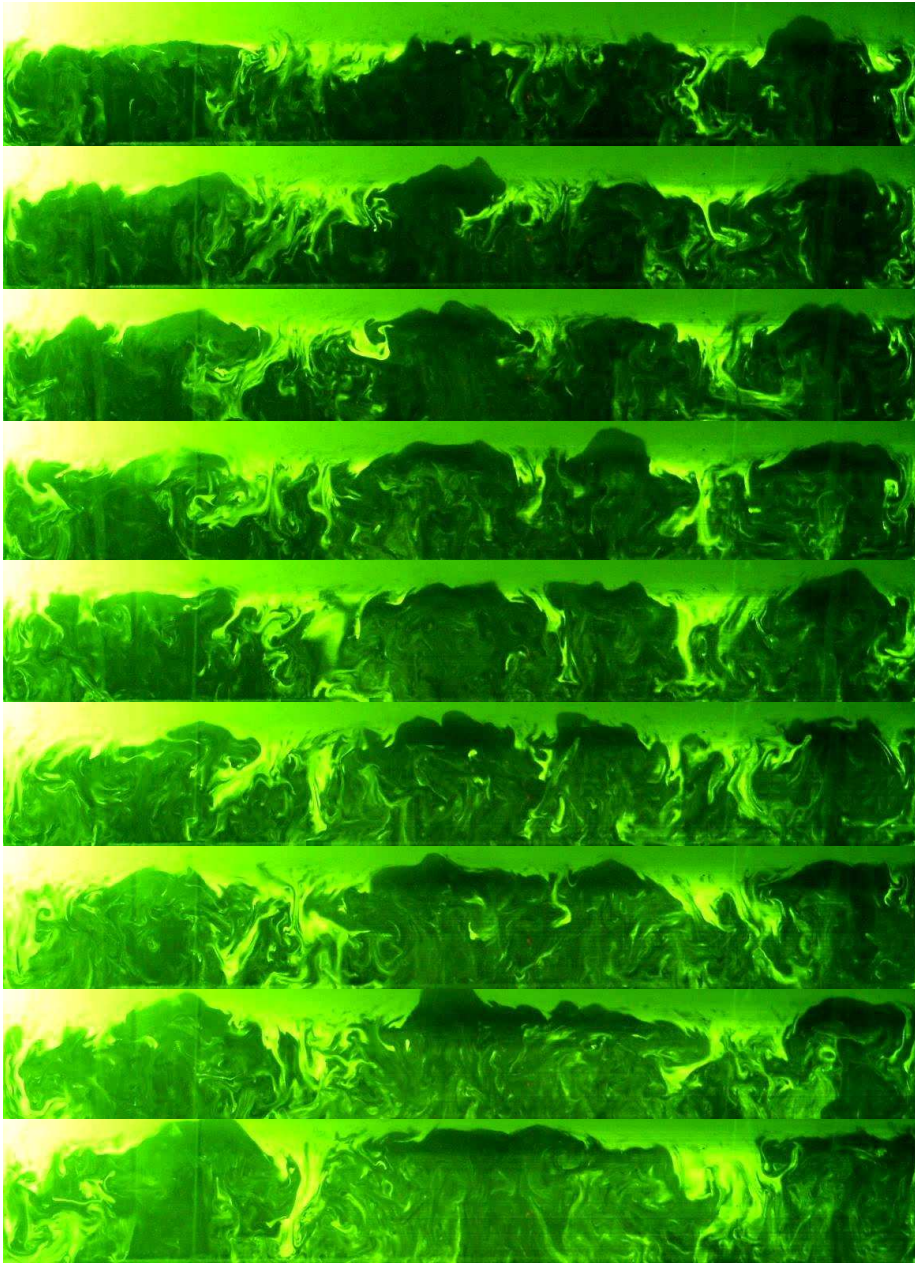
209 only to the top layer and diagnosing entrainment from the increasing dye concentration in  
210 the mixed layer. An example is given in Fig. 4. These images were not used in the analysis  
211 of the results for reasons that will be detailed in the next section, but they nicely show a  
212 number of features. One can, for example, clearly make out the cusp-shaped structures of  
213 top-layer dye being entrained into the mixed layer; one also sees (in darker shades) the buoy-  
214 ant plumes that impinge on the inversion. Furthermore one can notice the gradual deepening  
215 of the mixed layer and that the mixed layer is getting 'greener' due to the entrainment of the  
216 dye from the overlying layer. Such a setting was termed 'top-down diffusion' by Wyngaard  
217 and Brost (1984); see also van Dop et al. (2005); Jonker et al. (1999). The advantage of such  
218 a setting is that when the entrainment velocity  $w_e$  is very low, one can always 'boost' the  
219 entrainment flux of the dye,  $-w_e(C_t - C)$ , by using larger dye concentrations  $C_t$  in the top  
220 layer. In this way one can adjust the entrainment flux of the dye such that it can be measured  
221 with desired accuracy. We use planar laser induced fluorescence (Ferrier et al. 1993; Snyder  
222 et al. 2002; van Dop et al. 2005) for measuring the dye concentration in the mixed layer,  
223 i.e. by using a fluorescent dye (disodium fluorescein  $C_{20}H_{10}O_5Na_2$ ) that is illuminated by a  
224 laser sheet.

225 We explored a variety of techniques to create a planar laser sheet, such as cylindrical  
226 lenses, rotating polygon mirrors, parabolic mirrors, in an effort to create a homogeneous  
227 light distribution over the vertical extent of the tank (0.5 m). The best performance in this  
228 respect was obtained by directing the laser beam through an optic fibre, the end of which  
229 was mounted on a scanning rail that moved up and down in a programmable fashion. The  
230 produced narrow vertical laser sheet excited the fluorescent dye in the tank and the resulting  
231 fluorescence image was captured by the digital camera located perpendicular to the sheet at  
232 about 2 m distance. An optical filter in front of the camera was used to select the wavelengths  
233 corresponding to fluorescence and filter out the wavelengths emitted by the Argon laser,  
234 which might reach the camera through scattering.

235 The camera was triggered by the position of the fibre on the transport rail, which enabled  
236 us to obtain two types of images: (i) an image of the mixed layer only (type I), and (ii) an  
237 image depicting the top layer and the mixed layer together (type II). The advantage of mak-  
238 ing two separate images is that the measurement of the mixed-layer concentrations in type I  
239 images is not disturbed by the high concentrations in the upper layer with high fluorescent  
240 intensities, because the camera shutter has already been closed by the time the beam illu-  
241 minates the top layer. This aspect was found to be very important: in some experiments the  
242 top-layer dye concentrations were huge (as compared to the mixed-layer concentrations),  
243 so when illuminated by the laser, fluorescent light emitted from the top layer can scatter in  
244 the mixed layer; on the camera images it then appears as if the source is in the mixed layer,  
245 which leads to an overestimation of the mixed-layer dye concentration. So, by closing the  
246 camera shutter before the laser reached the top layer, this cross-talk problem was evaded in  
247 image type I.

248 Image type II, on the other hand, provided useful qualitative information on the structure  
249 of the inversion region and the interplay with the convective dynamics. Apart from these  
250 qualitative insights, image type II provided important quantitative information on the inflow  
251 velocity  $w_b$  because it allowed one to track the evolution of the height of the total water  
252 column in time. To this end a floating device was placed on top of the water level (see Fig.  
253 2), which is basically a simple piece of foam attached to a small vertical plate that blocks the  
254 laser beam when it passes the floater, thus producing a clear demarcation of the water level  
255 in the camera image. When the water level rises due to the inflow  $w_b$ , the floater will rise at  
256 the same speed. From the series of images obtained during the experiment the location of





**Fig. 4** Example of PLIF images of a top-down scalar at different instances ( $t = 14t_*$  to  $t = 18t_*$ ). As explained in the main text, because of the zero initial mixed-layer concentration ( $C_0 = 0$ ), these images are well suited for a qualitative (i.e. visual) analysis but not for a quantitative analysis of entrainment.

257 the laser-blocking floater is easily extracted, which yielded very accurate measurements of  
 258  $w_b$ .

259 To determine the actual dye concentrations from the digital camera images, a few cor-  
 260 rections are required, such as deformation of the image and 'pixel vignetting' (Ferrier et al.  
 261 1993; Snyder et al. 2002). By photographing a grid consisting of 50 mm squares, located  
 262 in the tank at the position where the laser sheet normally would be, we concluded that the  
 263 deformation of the image was very minor and needed no correction. Vignetting (reduction  
 264 of the brightness in the image periphery compared to the image centre), however, was found  
 265 to be non-negligible and was subsequently corrected for. The resulting camera intensities  
 266  $I(x, z)$  are related to the local dye concentration  $c(x, z)$  by the Lambert-Beer equation that  
 267 accounts for the attenuation of the laser beam intensity along its path

$$I(x, z) = \beta Q_0 c(x, z) \exp \left\{ - \int_0^x \varepsilon c(\xi, z) d\xi - \eta x \right\}. \quad (15)$$

268 Here  $c(x, z)$  is the fluorescein concentration at position  $(x, z)$ ,  $\varepsilon$  is the extinction coefficient  
 269 of fluorescein,  $\eta$  is the extinction coefficient of (non-purified) water,  $Q_0$  is the laser intensity  
 270 at entrance and  $\beta$  is a proportionality constant that, apart from camera related parameters,  
 271 includes the fluorescence efficiency of fluorescein (Ferrier et al. 1993). Unfortunately it is a  
 272 non-trivial matter to solve the inversion problem expressed by Eq. 15, which is required for  
 273 determining the concentration values  $c(x, z)$  from the measured pixel intensities  $I(x, z)$ . But  
 274 this issue is not critical because we design the experiment in such a way that there is always  
 275 a mean dye concentration  $C$  in the mixed layer that is much larger than the fluctuations  
 276  $|c'(x, z)| \ll C$ . Equation 15 then simplifies to

$$I(x, z) = \beta Q_0 C \exp \{ -(\varepsilon C + \eta)x \}. \quad (16)$$

277 Fitting a straight line to the logarithm of  $I(x, z)$  provides information on  $C$ , both through the  
 278 slope and the abscissa resulting from the fit procedure. The values for the extinction param-  
 279 eters  $\varepsilon$  and  $\eta$  were determined in a calibration process entailed filling the tank consecutively  
 280 with 30 known concentrations of fluorescein and recording the corresponding intensities.

281 Because the laser intensity may vary from experiment to experiment, it was found use-  
 282 ful to take several images before initiating the convection. Because we always start with a  
 283 precisely known dye concentration in the mixed layer we can diagnose in each experiment  
 284 the proportionality factor  $\beta Q_0$  in Eq. 16. In principle this information is not needed because  
 285 the slope of the abovementioned linear fit only requires the value of  $\varepsilon$  to give  $C$ . However  
 286 we still calculate  $C$  in both ways (from the slope and the abscissa) because it provides a  
 287 consistency check.

288 During the experiments images (type I and II) were taken every 6s, while all experiments  
 289 lasted longer than 1000s, i.e. at least  $50t_*$ . Another important experimental issue concerns  
 290 the spatial homogeneity of the surface fluxes. As mentioned, the porous tubes are covered  
 291 with small pebbles, but the finite size of the porous tubes (17 mm diameter) as well as their  
 292 orientation in one direction might in principle create a spatial pattern. To test whether the  
 293 surface fluxes were sufficiently homogeneous, we did a number of experiments in which  
 294 the dye was added to the reservoir tank rather than to the top layer – a so-called 'bottom-  
 295 up diffusion' set-up. In these experiments the scanning rail was directed in the horizontal,  
 296 creating horizontal laser sheets while the camera was located above the tank. The observed  
 297 spatial distributions did not reveal structures with a preferred orientation in one direction or  
 298 the other. From looking at the images, for example, uninformed observers were unable to  
 299 determine the orientation of the tubes.

### 300 3.3 A mixed-layer model for the saline tank set-up

301 In order to better understand the results of the saline tank set-up, it proves very useful to con-  
 302 sider a simplified model of the saline convection along the lines of the well-known mixed-  
 303 layer model (Tennekes 1973; Vilà-Guerau de Arellano et al. 2004; van Driel and Jonker  
 304 2011) for the atmospheric convective boundary layer. This model needs to be slightly re-  
 305 vised for the saline tank due to the mass-flow at the surface that influences the mixed-layer  
 306 height (Eq. 13) and due to the fact that the surface buoyancy flux (Eq. 12) is not constant.  
 307 But in all other respects we proceed along the same lines as in the standard mixed-layer  
 308 model. We also derive prognostic equations for a passive scalar (van Dop et al. 2005), which  
 309 enables us to compare the model results for the concentrations to the dye concentrations  
 310 observed in the tank experiments.

311 Within the mixed-layer assumptions the concentration of salinity in the mixed layer  
 312 evolves according to the surface flux  $\phi_b(t) = -w_b[S(t) - S_b]$  and an entrainment flux  $\phi_e(t) =$   
 313  $-w_e[S_t - S(t)]$

$$\frac{d}{dt}S = \frac{\phi_b - \phi_e}{h} = \frac{-w_b[S(t) - S_b] + w_e[S_t - S(t)]}{h(t)}, \quad (17)$$

314 while a similar equation holds for the passive scalar concentration

$$\frac{d}{dt}C = \frac{-w_b[C(t) - C_b] + w_e[C_t - C(t)]}{h(t)}, \quad (18)$$

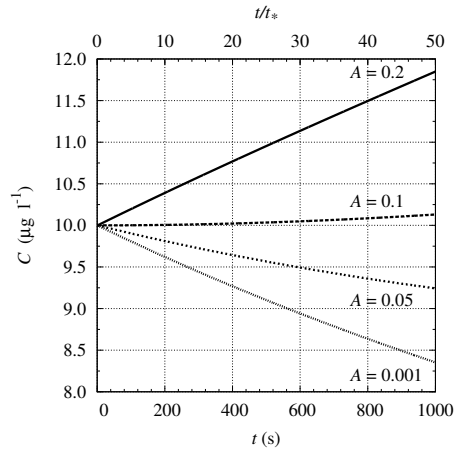
315 with the mixed-layer height developing according to Eq. 13. The model is closed as de-  
 316 scribed in Sect. 2, i.e. by relating the entrainment rate  $w_e$  via a zero-order model to the  
 317 entrainment flux by  $w_e = -B_e/\Delta b$ , while on the other hand expressing the entrainment flux  
 318 as a (not necessarily constant) fraction of the surface buoyancy flux  $B_e = -AB$ , leading to

$$w_e(t) = w_b A \frac{S(t) - S_b}{S(t) - S_t}. \quad (19)$$

319 Upon providing initial conditions  $h(0) = h_0$ ,  $S(0) = S_0$ ,  $C(0) = C_0$  and boundary conditions  
 320  $S_b$ ,  $S_t$ ,  $C_b$ ,  $C_t$ ,  $w_b$ , the equations can be numerically integrated once a value for  $A$  has been  
 321 prescribed. It seems as if we have adopted a  $Ri^{-1}$  closure, however, as discussed in Sect.  
 322 2,  $A$  may contain dependencies on  $Ri$ ,  $Re$  and  $Pe$ . In our treatment elaborated in the next  
 323 section we modify  $A$  in order to seek the best correspondence with the experiments, i.e.  $A$   
 324 can be considered as a fit parameter. Should a  $Ri^{-3/2}$  law better represent the entrainment  
 325 results of the saline tank set-up, then this will become apparent through the resulting best  
 326 fitting values of  $A$ , which in this example would then display a dependence  $A \sim Ri^{-1/2}$ .

327 The mixed-layer model, Eqs. 17–19, can be used to optimally design the entrainment  
 328 experiments. Since the most accurate measurements can be made when the dye concentra-  
 329 tion in the mixed layer remains roughly constant (that is, within calibration range) during  
 330 an experiment, we aim to create a situation in which entrainment of higher dye concentra-  
 331 tions is balanced by dilution from the surface flux. Equation 18 provides the experimental  
 332 settings such that  $C$  remains (reasonably) constant during the experiment. One notes from  
 333 Eq. 18 that it is not possible to keep  $C$  exactly constant, because  $S$  changes in time; however,  
 334 approximating  $S \approx S_0$  one can find settings such that  $C$  is stationary during the initial period.  
 335 Elaborating the entrainment closure (Eq. 19), and taking  $C = C_0$ ,  $C_b = 0$ , one finds that the  
 336 top-layer concentration  $C_t$  should then be chosen as

$$C_t = C_0 \left[ 1 + \frac{S_0 - S_t}{S_0 - S_b} \frac{1}{\bar{A}} \right]. \quad (20)$$

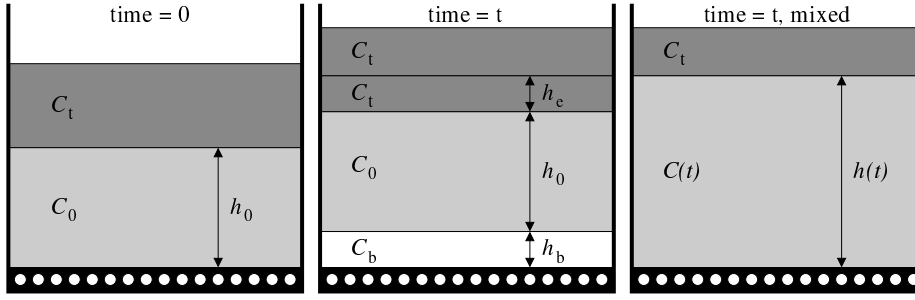


**Fig. 5** Evolution of the mixed-layer concentration  $C$  as predicted by the mixed-layer model for four different entrainment scenarios. The settings were chosen such that an entrainment ratio of  $A = 0.1$  causes the mixed-layer concentration  $C$  to remain nearly constant during the experiment. The entrainment flux then balances the surface flux. More entrainment ( $A = 0.2$ ) causes  $C$  to increase, whereas less entrainment ( $A < 0.1$ ) entails that  $C$  decrease.

337 Note that  $C_t$  in Eq. 20 is based on a *presumed* value of the entrainment ratio, denoted by  $\hat{A}$ .  
 338 If during the experiment  $C(t)$  is found to increase, one can surmise that the entrainment flux  
 339 was larger than expected, i.e. that the assumed value of the ratio  $\hat{A}$  was too low. On the other  
 340 hand, if  $C(t)$  is found to decrease, the presumed value  $\hat{A}$  was too large. In either case one can  
 341 redo the experiment with initial/boundary conditions based on a refined hypothesis for the  
 342 entrainment ratio, until a satisfactory stationary state has been reached. To exemplify this  
 343 idea, we show the results of the mixed-layer equations Eqs. 17–19 for the typical settings  
 344 given in Table 1. Additionally we took  $S_t = 0.2\%$ , which corresponds to an initial  $Ri = 150$ .  
 345 Using a *presumed* value of  $\hat{A} = 0.1$ , we find  $C_t = 70\mu\text{g l}^{-1}$ . Next we integrate the mixed-  
 346 layer equations for four different 'entrainment scenarios' viz.  $A = 0.001, 0.05, 0.1$  and  $A =$   
 347  $0.2$ . Figure 5 shows what would happen with the mixed-layer concentration  $C(t)$  for the four  
 348 scenarios. When  $A = 0.1$ , i.e. equal to the presumed value  $\hat{A}$ , the dye concentration remains  
 349 reasonably constant – as designed. But if the entrainment is characterized by  $A = 0.2$  (twice  
 350 the 'anticipated' value  $\hat{A}$ ), the concentration increases significantly during the experiment.  
 351 When  $A$  is lower than the anticipated value, concentrations show a marked decrease. In  
 352 both situations the deviations from the expected equilibrium concentrations are significant  
 353 enough to be captured by the experimental technique. And, as mentioned, based on the  
 354 results one can adapt the hypothesis for  $\hat{A}$ , and conduct a new experiment with modified top  
 355 concentration  $C_t$ .

### 356 3.4 Extracting additional information

357 It is also possible to diagnose additional information from the concentration measurements,  
 358 such as the mixed-layer salinity and the evolution of the Richardson and Reynolds number.  
 359 To this end we follow a bulk mixing approach that can be best explained using Fig. 6.  
 360 Starting with a concentration  $C_0$ , which is well mixed over a depth  $h_0$  (step 1 in Fig. 6), at  
 361 time  $t$  the mixed-layer height will have increased due to the mass inflow  $h_b = \int_0^t w_b dt$  and



**Fig. 6** Evolution of the mixed-layer height  $h(t)$  and mixed-layer concentration  $C(t)$  as a result of bottom-up (surface inflow) and top-down (entrainment) processes.

362 due to entrainment  $h_e = \int_0^t w_e dt$ , i.e.

$$h(t) = h_0 + h_b(t) + h_e(t). \quad (21)$$

363 The inflow is associated with a concentration  $C_b$ , whereas entrainment infuses concentra-  
 364 tions  $C_t$  (step 2 in Fig. 6); the turbulent mixing of these contributions results in a new  
 365 mixed-layer concentration (step 3 in Fig. 6)

$$C(t) = \frac{C_0 h_0 + C_b h_b(t) + C_t h_e(t)}{h_0 + h_b(t) + h_e(t)}. \quad (22)$$

366 In the experiment  $C_0$ ,  $C_t$  and  $h_0$  are known, and both  $C(t)$  and  $h_b(t)$  are carefully measured  
 367 as outlined in Sect. 3.2; it is therefore possible to derive  $h_e(t)$  from Eq. 22,

$$h_e(t) = \frac{[C(t) - C_b]h_b(t) + [C(t) - C_0]h_0}{C_t - C(t)}. \quad (23)$$

368 Once  $h_e(t)$  has been determined one can also derive the salt concentration in the mixed layer  
 369 by an equation equivalent to Eq. 22,

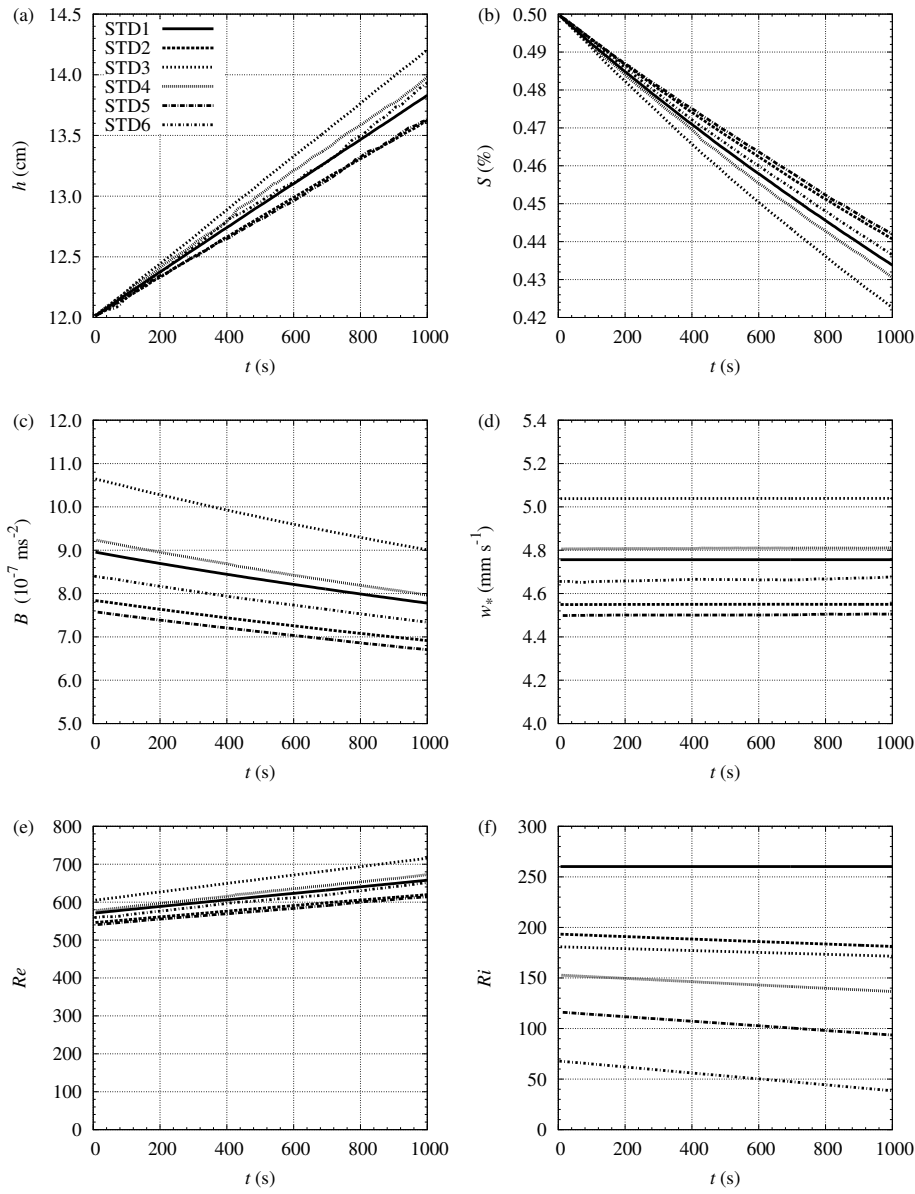
$$S(t) = \frac{S_0 h_0 + S_b h_b(t) + S_t h_e(t)}{h_0 + h_b(t) + h_e(t)}. \quad (24)$$

370 It is therefore possible to calculate the evolution of the Richardson number (Eq. 14) during  
 371 the experiment, as well as the evolution of the surface buoyancy flux (Eq. 12), the convective  
 372 velocity scale  $w_*$  (Eq. 5), and the Reynolds number (Eq. 8).

373 Last but not least we can derive the entrainment ratio from its definition  $A = -B_e/B$ ,  
 374 in which  $B_e$  can be obtained from  $db/dt = (B - B_e)/h$ . Expressed in terms of salinity this  
 375 amounts to determining

$$A = - \left[ 1 + \frac{h(t)}{w_b(S - S_b)} \frac{dS}{dt} \right] \quad (25)$$

376 for which we need Eq. 24, Eq. 21 and Eq. 23, respectively. We tested this method on the time  
 377 series of  $C(t)$  generated by the mixed-layer model (see, for example, Fig. 5) for different  
 378 entrainment scenarios, and found excellent agreement between the diagnosed value of the  
 379 entrainment ratio and the value of  $A$  that was used to generate the data. When applied to the  
 380 real measurements, Eq. 25 produces significant scatter in the instantaneous values, which  
 381 is why we report the average values of an experiment together with error bars to give an  
 382 indication of the variability.



**Fig. 7** Evolution of the derived quantities in experiments STD1-6. See Table 2 for the particular settings in each experiment. (a) mixed-layer depth  $h(t)$ ; (b) mixed-layer salinity  $S(t)$ ; (c) surface buoyancy flux  $B(t)$ ; (d) convective velocity scale  $w_*(t)$ ; (e) Reynolds number; (f) Richardson number.

Exp.	$S_0$ (%)	$S_t$ (%)	$S_b$ (%)	$C_t$ ( $\mu\text{g l}^{-1}$ )	$w_b$ ( $\text{m s}^{-1}$ )	$h_0$ (m)	$\langle Ri \rangle$	$\langle Re \rangle$
STD1	0.50	0.00	0.00	210	$1.83 \cdot 10^{-5}$	0.12	260	619
STD2	0.50	0.16	0.00	140	$1.60 \cdot 10^{-5}$	0.12	187	583
STD3	0.50	0.11	0.00	164	$2.17 \cdot 10^{-5}$	0.12	176	660
STD4	0.50	0.20	0.00	70	$1.89 \cdot 10^{-5}$	0.12	145	625
STD5	0.50	0.30	0.00	85	$1.55 \cdot 10^{-5}$	0.12	105	578
STD6	0.50	0.38	0.00	50	$1.71 \cdot 10^{-5}$	0.12	53	605
LRE1	0.50	0.40	0.40	95	$1.89 \cdot 10^{-5}$	0.03	94	71
LRE2	0.50	0.34	0.40	85	$2.00 \cdot 10^{-5}$	0.03	160	73
LRE3	0.50	0.34	0.40	85	$1.96 \cdot 10^{-5}$	0.03	161	72
MRE1	0.11	0.00	0.00	200	$1.91 \cdot 10^{-5}$	0.12	152	379
HRE1	4.00	2.80	0.00	60	$1.89 \cdot 10^{-5}$	0.12	124	1261
HRE2	4.00	3.50	0.00	50	$1.89 \cdot 10^{-5}$	0.12	49	1200

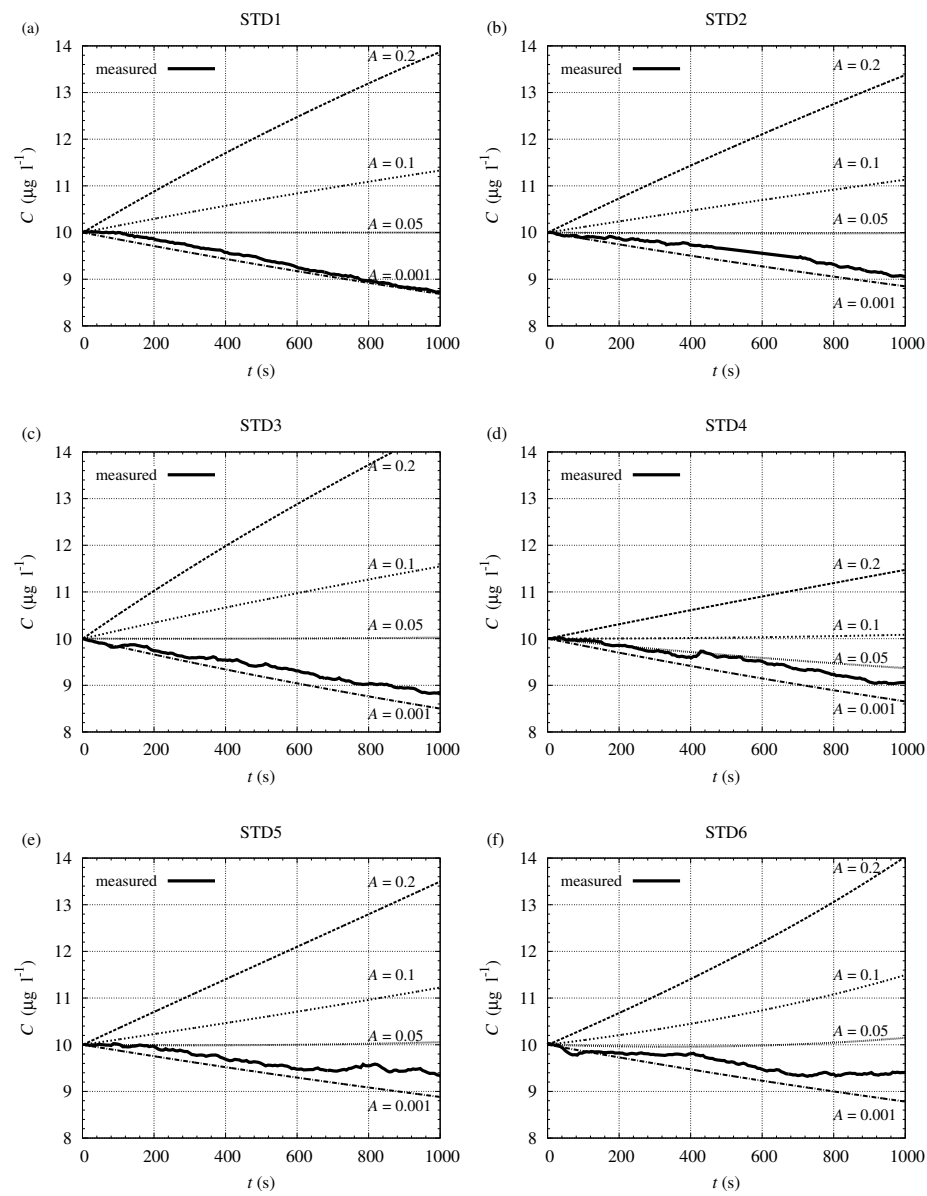
**Table 2** An overview of the settings in the various experiments. In all experiments the initial fluorescein concentrations in the mixed layer is  $C(0) = C_0 = 10 \mu\text{g l}^{-1}$  and in the bottom layer  $C_b = 0$ . The indicated Richardson and Reynolds numbers are average values; their evolution during the experiments is shown in Fig. 7.

## 383 4 Results

384 Figure 7 shows the evolution of a number of key quantities during experiments STD1–  
385 STD6, i.e. the evolution of the mixed-layer depth  $h(t)$ , the salinity concentration  $S(t)$  in  
386 the mixed layer, the surface buoyancy flux  $B(t)$ , the convective velocity scale  $w_*(t)$ , the  
387 Reynolds number and the Richardson number. The figure shows that the mixed-layer salinity  
388 decreases, and therefore also the surface buoyancy flux; the convective velocity scale  $w_*$   
389 remains constant because the product of  $h$  and  $B$  is constant. The Reynolds number,  $Re =$   
390  $w_* h(t) / \nu$ , therefore increases somewhat during an experiment. In experiments STD1–6 we  
391 chose to use the same value for the initial mixed-layer salinity,  $S_0 = 0.5\%$ , in order to set the  
392 Reynolds numbers at roughly the same value. The Richardson number was then controlled  
393 by the choice of the top-layer salinity  $S_t$ . Richardson numbers up to 260 were studied, and  
394 several experiments (STD1, LRE1, MRE1) were designed such that the Richardson number  
395 does not alter during an experiment; this was done by choosing  $S_t = S_b$ , see Eq. 14. In  
396 the other experiments  $S_t \neq S_b$ , entailing that the Richardson number changes during the  
397 experiment.

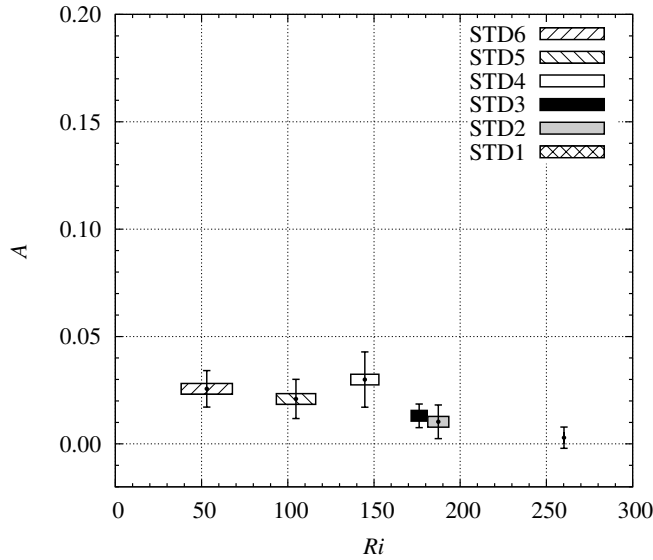
398 In order to measure entrainment fluxes, an appreciable number of experiments was car-  
399 ried out following the principles outlined in Sect. 3.3, that is, the top layer was coloured  
400 with dye concentration  $C_t$  based on a presumed entrainment ratio  $\hat{A}$  as described by Eq.  
401 20. If during the experiment the *real* entrainment ratio  $A$  were equal to  $\hat{A}$ , the mixed-layer  
402 concentrations  $C$  would be virtually constant because dilution by the surface flux is then  
403 just compensated by the entrainment flux. We started out by choosing  $\hat{A} = 0.2$ , close to the  
404 accepted value for atmospheric free convection. But invariably we were confronted with de-  
405 creasing fluorescein concentrations in the mixed layer, indicating that the actual entrainment  
406 flux in the saline tank was significantly lower than anticipated. A new series of experiments  
407 based on  $\hat{A} = 0.1$  yielded comparable results (see, for example, experiment STD4). Finally  
408 we based the top-layer dye concentration in all experiments (except STD4) on  $\hat{A} = 0.05$ .

409 Figure 8 shows the measured fluorescein concentrations; as one can observe, in all ex-  
410 periments the mixed-layer concentrations  $C$  *decrease*. The inevitable conclusion is therefore  
411 that in all experiments the entrainment ratio was *lower* than  $A = 0.05$ . As an aid to the eye we  
412 have also indicated in the figure the predictions by the mixed-layer model (Eqs. 17–19) for



**Fig. 8** Evolution of the measured fluorescein concentration in the mixed layer ( $C$ ) indicated by the solid line. See Table 2 for the particular settings in each experiment. Dotted lines show the evolution as predicted by the mixed-layer model (Eqs. 17–19) for four different entrainment scenarios:  $\hat{A} = 0.2, 0.1, 0.05$  and  $0.001$ .





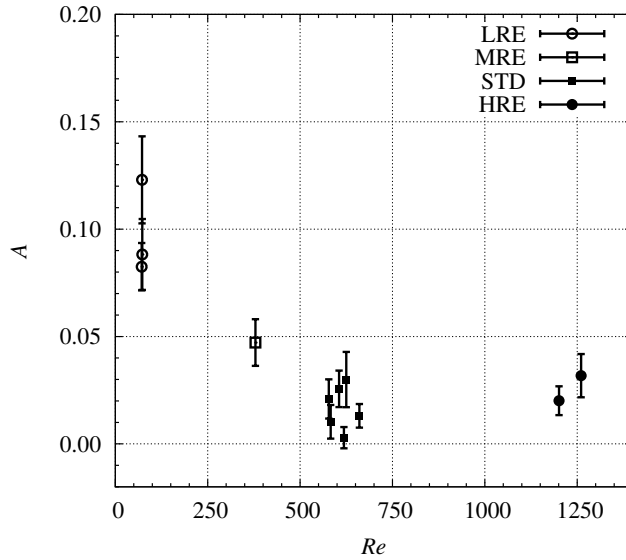
**Fig. 9** Entrainment ratio  $A$  as a function of the Richardson number. The vertical bars indicate the error in the mean. The horizontal size of the box indicates the range in which the Richardson number varied during the experiment (see Table 2).

413 four different entrainment scenarios  $A = 0.2, 0.1, 0.05$  and  $0.001$  (virtually a non-entraining  
 414 situation). From the evolution of  $C(t)$  with respect to the cones delineated by the mixed-  
 415 layer predictions, one can conclude that an entrainment ratio close to  $A = 0.02$  would be  
 416 most appropriate to represent the experimental results. That is more than ten times lower  
 417 than  $A = 0.25$ , the entrainment ratio reported for a thermal convection tank set-ups, (Dear-  
 418 dorff et al. 1980; Fernando and Little 1990).

419 From these data we have calculated the average value of the entrainment ratio by means  
 420 of Eq. 25 and plotted the result as a function of the average Richardson number in Fig.  
 421 9. Horizontal bars indicate the Richardson number range, whereas vertical bars indicate  
 422 the error in the mean as determined from the series of instantaneous values. Clearly there  
 423 is appreciable scatter, but the mean values display a clear signal and appear to be well in  
 424 agreement with the early conclusion drawn from Fig. 8 that  $A \approx 0.02$ . Figure 9 might suggest  
 425 that the flux ratio even decreases for larger Richardson numbers. Given the appreciable  
 426 scatter it is unclear whether this points to a  $E \sim Ri^{-3/2}$  entrainment law (Turner 1968), or  
 427 whether the data hint at a 'regime change' (near  $Ri \sim 150$ ) as purported by Kantha (1980).  
 428 But this issue seems of lesser importance as compared to the result that  $A$  has so low a value  
 429 in the entire Richardson number range – the entrainment fluxes are so small that it seems  
 430 hardly relevant that they become even lower for larger Richardson numbers.

431 Because of the large discrepancy between the accepted value of  $A$  and the saline con-  
 432 vection tank results presented here, a number of additional tests was carried out to check the  
 433 validity of our measurement method. Since our data are primarily based on concentration  
 434 measurements, we performed the following tests (the list is not exhaustive):

435 1) Stability of the fluorescent dye. This was tested by setting-up and conducting an exper-  
 436 iment in the normal fashion, but without initiating convection ( $w_b = 0$ ). In the absence of



**Fig. 10** Entrainment ratio  $A$  as a function of the (average) Reynolds number (Richardson numbers vary between experiments, see Table 2). Vertical bars indicate the error in the mean.

437 surface inflow and dynamics, the mixed-layer concentration must remain constant since, as  
 438 discussed in Sect. 3.1, molecular diffusion is very small in a saline tank set-up. Whether  
 439 also the *measured* concentrations remained constant was tested because the fluorescence  
 440 efficacy of the dye might autonomously decay (due to aging, or overexposure by the laser  
 441 sheet). However, the concentrations measurements were found to be satisfactorily constant  
 442 within the duration of an experiment.

443 2) Study of a non-entraining case. In this test the top layer was not added, but convection  
 444 was initiated in the usual way resulting in a well-mixed turbulent layer, which grows due  
 445 to the surface mass inflow but not due to entrainment. The measured mixed-layer concen-  
 446 trations were found to decrease as expected on the basis of the surface dilution (which is  
 447 known because  $w_b$  is measured). Also the reversed situation was tested where  $C_b > C_0$ , i.e.  
 448 a 'bottom-up' case. Concentrations increased according to the expectations.

449 3) Direct determination of the entrainment velocity. The images captured during the exper-  
 450 iments were reanalyzed to determine the evolution of the mixed-layer height  $h$  directly by  
 451 locating the concentration jump in the images (type II). The entrainment rate was subse-  
 452 quently derived from  $dh/dt - w_b$ . As argued in Sect. 3.2 such a method is prone to inaccu-  
 453 racies, but we employed it nonetheless to look for potential large inconsistencies with the  
 454 concentration method. However, no such inconsistencies were detected.

455 These tests further strengthened the conclusion that the entrainment flux in our saline  
 456 convection tank set-up was about an order of magnitude lower than expected for penetrative  
 457 convection.

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## 458 5 Discussion and conclusion

459 Because the saline convection tank was built so as to serve as a physical model for the atmo-  
460 spheric convective boundary layer, it is important how to interpret the present entrainment  
461 results. Not only is there a discrepancy with previous convection tank experiments (Dear-  
462 dorff et al. 1980; Fernando and Little 1990) but also with recent atmospheric observations  
463 which put  $A$  in the range 0.1—0.4 (Betts and Ball 1994; Angevine et al. 1998) and some-  
464 times even higher (Hägeli et al. 2000). Three items are important in this discussion: 1) the  
465 two-layer set-up; 2) the mixed-layer approach to analyze and interpret the data, and 3) the  
466 potential influence of molecular properties of the working fluid, i.e. viscosity and diffusivity,  
467 or in terms of dimensionless numbers, the influence of the Reynolds and the Péclet number.

### 468 5.1 Two-layer set-up

469 The two-layer set-up, in particular the neutral top layer, differs from a linearly stably strat-  
470 ified upper layer in that a neutral top layer does not sustain buoyancy waves. But it is not  
471 directly clear why the absence of waves would *reduce* entrainment; the argument (e.g. Stull  
472 1976; Fedorovich and Mironov 1995) that part of the kinetic energy might be transported  
473 through the interface to be transferred to wave energy in the upper layer, works in the oppo-  
474 site direction, i.e. absence of waves in the two-layer set-up leaves more energy for entrain-  
475 ment. Of course also in the two-layer set-up a stable interfacial layer is formed resulting  
476 from the system dynamics itself, i.e. the interplay of convection-diffusion, creating some  
477 inversion thickness. Indeed, in animations of the camera images travelling waves along the  
478 interface were frequently observed, in particular for the higher Richardson numbers. But  
479 these waves were not found to break and appeared unable to ‘corrupt’ the interface and  
480 initiate appreciable entrainment.

### 481 5.2 Mixed-layer analysis

482 In the current set-up entrainment information was retrieved by diagnosing the evolution  
483 of the mean dye concentration in the mixing layer. To this end a mixed-layer model was  
484 invoked that was adapted to the saline tank setting. The results could therefore be affected  
485 if the mixed-layer approach is invalid or introduces a significant bias. In fact, the images  
486 presented in Fig. 4 might question the validity of the mixed-layer view. We emphasize,  
487 however, that these type of images, although visually appealing, were not used in the analysis  
488 of entrainment because a variety of optical effects hamper a quantitative interpretation of the  
489 image. For reasons detailed in Sect. 3.2, it was better to raise the mean concentration in the  
490 mixed layer such that entrainment is balanced by surface dilution. The resulting, uniformly  
491 green, images corroborate the validity of the mixed-layer view. In addition it is important  
492 to note that, as mentioned in point 3 of the previous section, we also directly measured the  
493 mixed-layer depth. The results turned out to be consistent with each other in the sense that  
494 both methods show a very slowly deepening boundary layer. This implies that if the mixed-  
495 layer approach was inappropriate while in reality the layer was deepening much faster, then  
496 this would have shown up in the direct measurements of the layer depth.

### 497 5.3 Reynolds number dependence

498 The next important issue is the influence of (geometrical) details and molecular fluid prop-  
 499 erties. As mentioned earlier, the general idea is that these details should not matter when the  
 500 Reynolds number is large enough. Problem is that it is unclear *a priori* what 'large' means  
 501 for a certain flow configuration. For the present set-up both the geometry and Reynolds  
 502 number range were deliberately chosen to be comparable to the set-up of Deardorff et al.  
 503 (1980), which after all is used as a benchmark in a large number of studies. In order to study  
 504 the influence of the Reynolds number we conducted additional experiments with Reynolds  
 505 numbers ten times smaller (LRE), two times smaller (MRE), and two times larger (HRE)  
 506 than the STD experiments. Due to practical limitations it is not easy to change the Reynolds  
 507 number drastically. For instance, the high Reynolds number cases were realized by increas-  
 508 ing the mixed-layer salinity  $S_0$ , whereas the low Reynolds number cases were realized by  
 509 lowering  $h_0$  and reducing the salinity contrast  $S_0 - S_b$ ; see Table 2. The results for the en-  
 510 trainment ratio  $A$  as a function of  $Re$  are plotted in Fig. 10 for all experiments regardless  
 511 of the Richardson number. Interestingly, and perhaps unexpectedly, one observes that in the  
 512 figure that  $A$  *decreases* for increasing  $Re$  and then levels off. It is hard to speculate what  
 513 would happen for much larger Reynolds numbers, but as regards to Fig. 10 we cannot ob-  
 514 serve a 'worrying' increasing trend in  $A$ . Of course the Reynolds numbers are much smaller  
 515 than is associated with atmospheric convection,  $Re \approx 10^8$ , based on  $h = 10^3$  m,  $w_* = 1$  m s<sup>-1</sup>,  
 516  $\nu \approx 10^{-5}$  m<sup>2</sup> s<sup>-1</sup>. But it is important to realize that if the Reynolds numbers in this study  
 517 are deemed not large enough, then the same conclusion must drawn for the thermal tank  
 518 set-up of Deardorff et al. (1980), which takes away an important assumption that  $A = 0.25$   
 519 for free convection. In addition, if one considers the Péclet number, one has  $Pe \approx 10^8$  for  
 520 the atmospheric situation,  $Pe \approx 10^6$  for the saline tank set-up and  $Pe \approx 10^4$  for a typical  
 521 thermal tank set-up. So from the perspective of the Péclet number the saline tank is closer to  
 522 atmospheric convection than a thermal tank. Turner (1968) suspected that the Péclet number  
 523 could be too low in a thermal set-up; see also the discussion on thermal convection tanks  
 524 in Turner (1973). However, Fernando and Little (1990) conducted a comprehensive study  
 525 on the impact of the Péclet number in a thermal set-up but could not detect any significant  
 526 influence and confirmed the results of Deardorff et al. (1980).

### 527 5.4 Prandtl number dependence

528 It is very disturbing that different – carefully executed – laboratory studies of the same  
 529 geophysical phenomenon give such different results. This holds in particular for experiments  
 530 on entrainment, see the review on laboratory experiments by Fernando (1991). But at least  
 531 one clear pattern seems to emerge, namely that laboratory experiments with low diffusivity  
 532 fluids (i.e. with a high Prandtl number) tend to entrain much less. If one looks closely at the  
 533 buoyancy flux profiles in the saline tank experiments of van Dop et al. (2005) (their figure  
 534 7), one notices quite small entrainment fluxes as well. The discrepancy between thermal  
 535 and saline arrangements was already observed by Turner (1968) for entrainment induced  
 536 by decaying forced convection (oscillating grid turbulence). Wolanski and Brush (1975)  
 537 extended Turner's study in a similar set-up but with different solvents (salt, sugar, clay, etc)  
 538 and found a strong dependence of the Prandtl number (actually Schmidt number) on the  
 539 entrainment rates.

540 In this context it is also important to consider the entrainment experiments of Sayler and  
 541 Breidenthal (1998). Their experimental setting was different to the extent that the case of ra-

diatively driven entrainment was studied mimicking stratocumulus clouds, yet the observed entrainment rates displayed a clear dependence on whether the stratification was applied by heat,  $w_e/w_* = 0.25Ri^{-1}$ , or by dextrose,  $w_e/w_* = 0.08Ri^{-1}$ . So the prefactor, i.e. the entrainment flux ratio  $A$ , revealed a strong Prandtl number dependence. Interpretation of this result is complicated by the fact that the turbulence in both cases was generated by thermal effects, while the stratification was applied by either heat or by salinity, so the effects of the Prandtl/Schmidt number are convoluted in this setting.

In the same vein we could summarize for free penetrative convection: heat  $w_e/w_* \approx 0.25Ri^{-1}$  (Deardorff et al. 1980; Fernando and Little 1990), salt:  $w_e/w_* \approx 0.02Ri^{-1}$  (this study and van Dop et al. 2005). But such a state of affairs is entirely unsatisfactorily when it comes to the generalization to atmospheric cases, and, as such, it fails to sufficiently constrain model predictions. An excellent example in this regard is the LES intercomparison study targeted at the so-called 'smoke case' (Bretherton et al. 1999): noting in the experiments of Sayler and Breidenthal (1998) that  $A$  increased by a factor 6 when the Prandtl number decreased from 1000 to 7, an *ad hoc* power law dependence  $A \sim Pr^{-\gamma}$  was invoked, which was subsequently extrapolated to the atmospheric case of  $Pr = 0.7$ ; this then yielded  $A = 0.4$  – a value much closer to the prevailing LES results. If, purely for the sake of the argument, we apply the same procedure for the dry CBL based on  $A = 0.25$  for  $Pr = 7$  and  $A = 0.02$  for  $Pr = 10^3$ , we would obtain  $A = 0.8$  for air. This value is clearly unacceptably high and underlines the problem of ambiguous data from laboratory experiments.

## 5.5 Outlook

Since the Prandtl number is the ratio between two molecular properties of the fluid, and since Reynolds number similarity requires results to become independent of molecular properties, it seems fair to conclude that laboratory experiments on (convective) entrainment are still very much hampered by the low magnitude of the Reynolds number. There seem to be two viable options to better understanding the reason for the different entrainment results and resolve the impasse. First, one could make use of the newly available supercomputing resources and conduct direct numerical simulations (DNS) of the cases. Clearly, the atmospheric Reynolds number is out of reach for DNS, but present resources allow for significantly larger Reynolds numbers than the original laboratory experiments (e.g. Mellado 2012; Jonker et al. 2013).

Second, one could attempt to reach much larger Reynolds numbers in the laboratory. This can be done by using a different fluid, such as cryogenic helium gas, employed for high Rayleigh number experiments (e.g. Niemela et al. 2000). Or one could try to significantly upscale the water tank set-up. For a saline set-up, such an endeavour is challenging but not inconceivable. Apart from increasing  $h$ , the surface buoyancy flux  $B$  can be increased by increasing  $w_b$ . Limiting the inflow velocity to  $w_b = w_*/100$  in order not to disturb the flow, one finds via Eq. 5 and Eq. 12 that  $w_* \sim h^{1/2}$  and hence  $Re \sim h^{3/2}$ . Together with some room for increasing the mixed-layer salinity, it turns out that a swimming pool size set-up allows for a Reynolds number hundred times larger than that achieved in the present study. Such an experiment would be very worthwhile as it will shed light on the Reynolds number influence on convective entrainment of a high Prandtl number fluid. This is an outstanding question, not only from a basic fluid mechanics perspective, but also with respect to the oceanic mixed layer where salinity directly influences buoyancy.

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