- Production and degradation of fluorescent dissolved organic matter in surface waters of the
- eastern North Atlantic Ocean
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#### **Abstract**

 The distribution and fate of coloured dissolved organic matter (CDOM) in the epipelagic Eastern North Atlantic was investigated during a cruise in the summer 2009 by combining field observations and culture experiments. Dissolved organic carbon (DOC) and nitrogen (DON), the absorption spectra of CDOM and the fluorescence intensity of proteins (Ex/Em 280/320 nm; *F*(280/320)) and marine humic-like substances (*F*(320/410)) were measured in 26 the upper 200 m. DOC and DON showed higher concentrations in the top 20 m than below, and DOC increased southwards, while DON decreased. *F*(280/320) and *F*(320/410) showed maxima near the deep chlorophyll maximum (at about 50 m), suggesting that these fluorophores were linked to phytoplankton production and the metabolism of the associated microbial community. The coloured and fluorescent fractions of DOM showed low levels 31 south of the Azores Front, at about 35°N, likely due to the accumulated photobleaching of the waters transported eastwards by the Azores current into the study area (at 20ºW). Twelve culture experiments were also conducted with surface water (5 m) to assess the impact of microbial degradation processes on the bulk, coloured and fluorescent fractions 35 of DOM. After 72 hours of incubation in the darkness,  $14 \pm 9\%$  (average  $\pm$  SD) of the 36 initial DON was consumed at an average rate of  $0.24 \pm 0.14$  µmol  $I^{-1}$  d<sup>-1</sup> and the protein-37 like fluorescence decayed by 29  $\pm$  9% at a net rate of 0.06  $\pm$  0.03 QSU d<sup>-1</sup>. These rates were significantly lower south of the Azores front, suggesting that DOM in this region was of a more recalcitrant nature. Conversely, the marine humic-like fluorescence increased at a 40 net rate of  $0.013 \pm 0.003$  QSU d<sup>-1</sup>. The close linear relationship of DON uptake with 41  $F(280/320)$  consumption ( $\mathbb{R}^2 = 0.91$ ,  $p < 0.0001$ ,  $n = 12$ ) and  $F(320/410)$  production ( $\mathbb{R}^2 =$ 42  $0.52, p < 0.008$ ,  $n = 12$ ) that we found during these incubation experiments suggest that the protein-like fluorescence can be used as a proxy for the dynamics of the labile DON pool

- and that marine humic-like materials can be produced as a by-product of microbial DOM
- degradation.
- Keywords: Coloured dissolved organic matter, bioavailability, absorption and fluorescence
- spectroscopy, Eastern North Atlantic Ocean.

# **1. Introduction**



 product of the microbial degradation of biogenic organic matter (Nieto-Cid et al., 2006; Yamashita and Tanoue, 2008; Lønborg et al., 2010; Jørgensen et al., 2011, Kowalczuk et al., 2013). Andrew et al. (2013) has also suggested that chemical or microbial modification of terrestrial organic material could also be an alternative source of humic-like FDOM. Although numerous studies have used the fluorescence intensity of protein- and humic-like compounds to trace changes in the composition, production and degradation of DOM (e.g. Coble et al., 1990; Guillemette and Del Giorgio, 2012), quantitative relationships between 79 DOM and FDOM properties are still lacking. In this study we determined the distribution and fate of CDOM during a summer cruise in the Eastern North Atlantic (ENA) Ocean from 42º to 27ºN by combining field observations and culture experiments. This study is complementing the work by Lønborg and Álvarez-Salgado (2014), who studied the variability of DOM and CDOM in the dark 84 ENA Ocean and Benavides et al. (2013) who studied the role of  $N_2$  fixation and the uptake and regeneration of DON in the upper water column during the same cruise. In this paper we aimed at 1) describing the spatial variability of bulk, coloured and fluorescent DOM components in epipelagic waters (0–200 m); 2) determining the short-term changes in CDOM optical properties during seawater culture experiments; and 3) establishing quantitative relationships between changes in FDOM and DOM bioavailability in the epipelagic ENA Ocean.

- **2. Material and methods**
- *2.1. Field data*

 Surface water samples (0–200 m) were collected during the CAIBOX cruise on board the R/V *Sarmiento de Gamboa* from 25 July to 14 August 2009 (Fig. 1). Salinity,

95 temperature, chlorophyll  $a$  (Chl  $a$ ), and inorganic nutrient (Nitrate-NO<sub>3</sub><sup>-</sup>, Phosphate-96 HPO<sub>4</sub><sup>2-</sup> and Silicate- SiO<sub>4</sub>H<sub>4</sub>) profiles were obtained at 71 stations (white dots in Fig. 1). Salinity, temperature and fluorescence of Chl *a* (F-Chl *a*) were recorded with a CTD SeaBird 911 and a Sea-Tech fluorometer mounted on a General Oceanics rosette sampler equipped with 24 Niskin bottles of 12 litres. Bottle samples were typically collected at 3- 4 depths ranging between 5 and 200 m. The CTD salinities were calibrated with bottle samples analysed on board with a Guildline 8410-A Portasal. The F-Chl *a* records were calibrated by filtration of 250 ml of sample water through a Whatman GF/F filter, extraction in acetone (90% v/v), and fluorimetric determination with a Turner Designs 10000R fluorometer standardised with pure Chl *a* (Sigma) (Yentsch and Menzel, 1963). Water samples for the analysis of inorganic nutrients were collected in 50 ml acid washed polyethylene bottles and preserved in the dark at 4ºC until analysed on board within a few 107 hours.

# 108 The squared Brunt-Väisälä frequency  $(N^2)$  is commonly used to quantify the 109 stratification of the water column. Following Millard et al., (1990),  $N^2$  can be calculated as:

110 
$$
N^{2} = -\frac{g}{\rho} \cdot \frac{\partial \rho}{\partial z} = -g \cdot \frac{\partial \ln(\rho)}{\partial z}
$$
 (1)

111 Where g is the gravity acceleration constant (9.8 m s<sup>-2</sup>), z is the water depth, and  $\rho$  is the 112 water density at depth z. Integration of Eq. 1 between two depth levels (1 and 2),

113 
$$
\overline{N}^2 = -g \cdot \ln(\rho_2/\rho_1)/(z_2 - z_1)
$$
, provides a measure of the average stability of the water  
114 column between  $z_1$  and  $z_2$ . Here we will report values of  $\overline{N}$ , i.e., the square root of  $\overline{N}^2$ , in  
115 min<sup>-1</sup>. The higher the  $\overline{N}$ , the larger the stratification.

 Profiles of dissolved organic carbon (DOC) and nitrogen (DON), absorption spectra of coloured DOM (CDOM) and fluorescence intensities of protein- and humic-like substances were obtained at 16 stations (black dots in Fig. 1).

#### *2.2. Incubation experiments*

 Additional water was collected at 5 m at the first 12 of the 16 stations where DOM variables were measured (framed stations in Fig. 1). This water was used to conduct incubation experiments to measure changes in bulk concentrations and optical properties of DOM over a period of 72 hours. Filtration of the water started within 20 min of collection; one part was filtered through a dual-stage (0.8 µm and 0.2 µm) filter cartridge (Pall- Acropak supor Membrane) which had been pre-washed with 10 l of Milli-Q water; the second part was filtered through pre-combusted (450°C for 4 h) Whatman GF/C filters to establish a microbial inoculum. After filtration, the water was transferred into a 20 l carboy and the microbial inoculum was added to the 0.2 µm filtrate corresponding to 10% of the total volume. Thereafter, the water was transferred into 20 glass bottles of 500 ml (headspace ~100 ml), with four replicate bottles being sacrificed for analyses at times 0, 12, 131 24, 36 and 72 hours. The incubators were kept in the dark at 15<sup>o</sup>C, this temperature was chosen as it represents the yearly average water temperature in the top 200 m in our study area. Unfiltered water from these bottles was used at time 0 and 72 hours to follow changes in bacterial production (BP). Samples for the analysis of dissolved inorganic nitrogen 135 (NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-+</sup>NO<sub>2</sub><sup>-</sup>) and phosphate (HPO<sub>4</sub><sup>2-</sup>), DOC, total dissolved nitrogen (TDN) and CDOM absorption were collected in four replicates at 0 and 72 hours. DOM fluorescence (FDOM) was measured at all time points. The samples for the dissolved phase were collected after filtration through 0.2 µm filters (Pall Supor membrane Disc) in an acid-

139 cleaned glass filtration system under low  $N_2$  flow pressure. Water samples for inorganic

140 nutrients  $(NH_4^+, NO_3^-+NO_2^-$  and  $HPO_4^{2-})$  were collected in 50 ml acid washed (HCl)

polyethylene bottles and kept frozen (-20°C) until measured in the base laboratory. All

glasswares used were first acid-washed in 10% HCl and thereafter rinsed with Milli-Q and

sample water prior to use.

#### *2.3. Sample measurements*

145 BP was determined by  $[{}^{3}H]$ -leucine incorporation as outlined in Yokokawa et al. (2012). Briefly, duplicate subsamples (1.5 ml) were dispensed into screw capped 2.0 ml centrifuge 147 tubes and 5 nM (final concentration) of  $[^{3}H]$ -leucine was added and incubated at 15°C in the dark for 1 to 4 h. One trichloroacetic acid (TCA)-killed blank was used per sample. The incubation was terminated by adding TCA (final concentration 5%), and the samples were 150 centrifuged at  $18,000 \times g$  for 10 min, followed by a TCA rinse (5%) and an ethanol rinse (80%). Thereafter, 1.5 ml of scintillation cocktail (Ultima Gold) was added to the samples and after 12-18 hours, the disintegrations per minute (DPM) were measured using a spectral liquid scintillation counter (Perkin Elmer, Tri-Carb 3100TR). Quenching was corrected using an external standard channel ratio and the DPM of the TCA-killed blank were subtracted from the average DPM of the samples. The leucine incorporation rates were 156 expressed in pmol  $l^{-1} d^{-1}$ . 157 Inorganic nutrients  $(NH_4^+, NO_3^- + NO_2^-, HPO_4^{2-}$  and  $SiO_4H_4)$  were determined using standard segmented flow analysis (SFA) (Hansen and Koroleff, 1999). The precisions were  $\pm 0.05$  μmol  $l^{-1}$  for NH<sub>4</sub><sup>+</sup> and SiO<sub>4</sub>H<sub>4</sub>,  $\pm 0.1$  μmol  $l^{-1}$  for NO<sub>3</sub><sup>-</sup> + NO<sub>2</sub><sup>-</sup> and  $\pm 0.02$  μmol  $l^{-1}$ 

160 for  $HPO<sub>4</sub><sup>2–</sup>$ .

161 Samples (10 ml) for DOC and TDN analysis were collected in pre-combusted (450°C for 162 12 h) glass ampoules and preserved by adding 50 μl of 25 %  $H_3PO_4$ . DOC and TDN samples were analysed using a Shimadzu total organic carbon analyser (platinum catalyst) connected to an Antek TN measuring unit. Concentrations were determined by subtracting a Milli-Q blank and dividing by the slope of a daily 4 points standard curve made from potassium hydrogen phthalate and glycine. To avoid the small error associated with day-to- day instrument variability, all samples from a given experiment were analysed on a single day. Using the deep ocean reference samples (Batch 9–2009, Florida Strait at 700 m) we 169 obtained a concentration of  $45.0 \pm 1.4 \mu M$  for DOC and  $33.4 \pm 0.6 \mu M$  for TDN (average  $\pm$ 170 SD,  $n = 6$ ). The nominal values provided by the reference laboratory (Hansell laboratory) are 41–44 and 32.25–33.75 µM, respectively. DON concentrations were calculated as the difference between TDN and DIN (DON = TDN – DIN) with the standard error (SE) 173 calculated as the sum of the contributions:  $SE_{DOM}^2 = SE_{TDN}^2 + SE_{NH4}^2 + SE_{NO3+NO2}^2$ . The DOM consumed over the 72 hours incubation is defined here as the bioavailable pool (BDOM), and the remaining as the resistant pool (RDOM). The DOM utilization rate was 176 calculated by dividing BDOM by the incubation time (BDOM/ $\Delta t$ ). The CDOM absorption spectra were measured on a Perkin Elmer Lambda 950 spectrophotometer equipped with 10 cm quartz cells using Milli-Q water as a blank. Spectral scans were collected between 250 and 750 nm. The absorption coefficient at any

180 wavelength,  $a_{\text{CDOM}}(\lambda)$  (m<sup>-1</sup>), was calculated as:

$$
a_{\text{CDOM}}(\lambda) = 23.03 \times [Abs(\lambda) - Abs(600 - 750)] \tag{2}
$$

181 Where Abs( $\lambda$ ) is the absorbance at wavelength  $\lambda$ , and Abs(600–750) is the average

absorbance between 600 and 750 nm, which corrects for the residual scattering by fine size

 particle fractions, micro-air bubbles or colloidal material present in the sample, or refractive 184 index differences between the sample and the reference  $(m^{-1})$ , the factor 23.03 converts from decadic to natural logarithms and furthermore considers the cell path-length. The 186 estimated detection limit of this spectrophotometer is 0.001 absorbance units or  $0.02\text{m}^{-1}$ . CDOM fluorescence was measured using a Perkin Elmer LS 55 luminescence spectrometer working with a xenon discharge lamp, equivalent to 20 kW for 8 µs duration, and a 1-cm quartz fluorescence cell. The slit width was 10.0 nm for the excitation and emission wavelengths and an integration time 60 seconds was used. Measurements were performed at a constant temperature of 20°C and Milli-Q water was used as a blank. The excitation/emission (Ex/Em) point measurements were performed at the traditional humic- like peaks A (average Ex/Em, 250/435 nm; termed *F*(250/435)), C (terrestrial humic-like substances, average Ex/Em wavelengths of 340/440 nm; termed *F*(340/440)), M (marine humic-like substances, average Ex/Em, 320/410 nm; termed *F*(320/410)) and the protein peak T (protein-like substances, average Ex/Em, 280/320 nm; termed *F*(280/320)) as proposed by Coble (1996). Fluorescence measurements were expressed in quinine sulphate 198 units (QSU), i.e., in µg equivalents of QS  $I^{-1}$ , by calibrating at Ex/Em 350/450 nm against a quinine sulphate dihydrate (QS) standard dissolved in 0.05 M sulphuric acid. The limit of 200 detection limit, calculated as  $3 \times$  the standard deviation of the blank, was 0.03 QSU for *F*(250/435), 0.05 QSU for *F*(340/440) and 0.02 QSU for *F*(320/410) and *F*(280/320). Whereas *F*(250/435) and *F*(340/440) did not change significantly during the course of the experiments (see results section), *F*(280/320) decayed and *F*(320/410) built-up according to a first-order kinetics (Fig. 2). The *F*(280/320) consumed over the 72 hours incubation was here defined as the bioavailable pool (B*F*(280/320)), and the remaining as the resistant fraction (R*F*(280/320)). The *F*(280/320) utilization rate was calculated by dividing

- B*F*(280/320) by the incubation time (B*F*(280/320)/Δt). The built-up of *F*(320/410) over the incubation period is defined as the produced pool (P*F*(320/410)), and the remaining at the
- end of the incubation as the resistant fraction (R*F*(320/410)).
- Single linear regression analyses were performed to obtain the best-fitting coefficients
- between pairs of variables obtained with regression model II as described in Sokal and
- Rohlf (1995). Prior to regression, normality was checked and the confidence level was set
- at 95%, with all statistical analysis conducted in Statistica 6.0. The coefficient of variation
- 214 (C.V.) was calculated as the (Standard deviation/Mean)  $\times$  100.
- 

#### **3. Results**

- *3.1. Hydrographic and chemical characteristics of the surface Eastern North Atlantic*
- *(ENA) ocean*

 Salinity varied between 35.3 and 37.2, increasing westwards (from the coast to the open ocean) and southwards (from the temperate to the subtropical ENA) with the presence of a 221 sharp salinity gradient at about 35°N (see the meridional evolution of the depth of the 36.2 222 isohaline; Fig. 3a). The temperature varied between  $12.5$  and  $24.9°C$ , increasing westwards and southward with an abrupt gradient again at 35ºN (see the meridional evolution of the depth of the 16.2ºC isotherm; Fig. 3b). A marked seasonal thermocline was detected between 50 and 70 m, which deepened southwards. These sharp salinity and temperature 226 gradients at about 35°N identify the position of the Azores front (Fig 3a and b). At the stations close to the Canary Islands, the influence of the coastal upwelling of NW Africa could be identified with more saline and colder water reaching shallower parts of the water column (Fig. 3a & b).

 The profiles of the Brunt-Väisälä frequency ( N ) showed a marked stability maximum, coinciding with the seasonal thermocline, throughout the cruise track (Fig. 3c). The profiles 232 south of 35°N showed slight increases of  $\overline{N}$  between 50–100 m suggesting a higher degree of stratification in this depth range (Fig. 3c). The Chl *a* profiles were characterised by 234 generally low values which varied between 0.10 and 1.69 mg  $m^{-3}$ , with higher 235 concentrations north of  $35°N$  (Fig. 3d). The high stability of the water column at around 50 m favoured the development of a marked deep chlorophyll maxima (DCM) to the north of 35ºN, which weakened dramatically and deepened down to approx. 100 m south of that position (Fig. 3d). The DCM became shallower close to the Canary Islands in response to coastal upwelling. Inorganic nutrient concentrations were generally around the detection limit in the upper

241 50 m (Fig. 3e & f). In parallel to the meridional change of water temperature below the 242 seasonal thermocline, subsurface nutrient levels were higher north of  $35°N$ , while they were 243 around the detection limit down to 200 m south of that latitude. The influence of the NW 244 African upwelling area could be detected at the southern stations with nutrients  $(> 3 \mu M)$  for 245 NO<sub>3</sub><sup>-</sup> and > 0.15 µM for HPO<sub>4</sub><sup>2-</sup>) reaching shallower parts of the water column (Fig. 3e & 246 f).

247 Higher levels of DOC and DON were generally observed in the surface 50 m with 248 average  $\pm$  SD concentrations of 66  $\pm$  7 µmol l<sup>-1</sup> of C and 6.3  $\pm$  0.9 µmol l<sup>-1</sup> of N, and 249 decreasing towards average values of  $54 \pm 3$  µmol l<sup>-1</sup> of C and  $5.6 \pm 0.4$  µmol l<sup>-1</sup> of N at 250 200 m (Fig. 4a & b). DOC concentrations increased southwards while DON decreased, 251 resulting in an increasing average C/N ratio of DOM from 10 to 12 in the surface 50 m 252 (Fig. 4a, b & c). The upwelling of NW Africa was detectable at the southernmost stations

 with more DOC-depleted deep water reaching the surface, while no clear impact was found 254 for DON (Fig. 4a, b & c). The average  $\pm$  SD C/N molar ratio of the upper 50 m,  $11 \pm 2$ , was 255 not significantly different form the C/N molar ratio at 200 m,  $10 \pm 2$ . The CDOM absorption and fluorescence indices used in this work varied similarly with 257 position and depth (Fig. 4d-g). Absorption coefficients at 254 nm  $(a_{CDOM}(254))$  and 340 nm ( $a_{CDOM}(340)$ ) and fluorescence intensities of protein-like ( $F(280/320)$ ) and marine humic- like (*F*(320/410)) substances were generally higher near the coast than in the open ocean and decreased southwards along 20ºW (Fig. 4d-g). The CDOM absorption and fluorescence levels were also generally higher at the southernmost stations due to the impact of the upwelling system of NW Africa, resulting in more CDOM-rich deep waters reaching the surface (Fig. 4 d-g). Vertical profiles were characterised by a subsurface maximum around the depth of the N maximum and the DCM, being shallower for the shorter,  $a_{\text{CDOM}}(254)$  and  $F(280/320)$ , 266 than for the longer wavelength,  $a_{CDOM}(340)$  and  $F(320/410)$ , indices. Whereas  $a_{CDOM}(254)$ 267 varied within a relatively narrow range between 0.98 and 1.75  $m^{-1}$  with a coefficient of 268 variation (C.V.) of 16.1% (Fig. 4d), the variability of  $a_{CDOM}(340)$  was much larger: from 269 0.08 to 0.35 m<sup>-1</sup>, with a C.V. of 40.3% (Fig. 4e). The protein-like fluorescence (*F*(280/320)) varied between 0.43 and 1.98 QSU with a C.V. of 37.8% (Fig. 4f). The fluorescence intensity of the humic-like substances *F*(250/435) varied between 0.32 and 1.23 QSU with a C.V. of 32.4% (data not shown), the terrestrial humic-like substances (*F*(340/440)) between 0.09 and 0.72 QSU with a C.V. of 43.0% (data not shown) and the marine humic-like compounds (*F*(320/410)) between 0.10 and 0.87 QSU with a C.V. of 43.7% (Fig. 4f). The three humic-like fluorophores showed similar spatial patterns

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(F(250/435) \text{ vs. } F(340/440), \text{ R}^2 = 0.97, \text{ n} = 62, p < 0.0001; F(250/435) \text{ vs. } F(320/410), \text{ R}^2
$$

277 = 0.97, n = 62,  $p < 0.0001$ ;  $F(340/440)$  vs.  $F(320/410)$ ,  $R^2 = 0.98$ , n = 62,  $p < 0.0001$ ),

- 278 suggesting that the processes controlling their fluorescence intensities impact them in
- 279 similar ways.

#### 280 *3.2. Incubation studies conducted in the surface Eastern North Atlantic Ocean*

281 The incubation experiments were conducted at twelve stations (framed stn numbers, Fig. 282 1). Chl *a* concentrations at these sites ranged between 0.11 and 0.19 mg m<sup>-3</sup>, initial nutrient 283 concentrations were below the detection limit for  $NH_4^+$  and ranged from  $> 0.1$  to 0.6 µmol 284 N l<sup>-1</sup> for NO<sub>3</sub><sup>-</sup>+ NO<sub>2</sub><sup>-</sup>, and from 0.01 to 0.06 µmol P l<sup>-1</sup> for HPO<sub>4</sub><sup>2-</sup> (Table 1). Initial DOC 285 concentrations varied between 71 and 83 µmol C  $I^{-1}$ . After 72h of incubation, the 286 differences between the initial and final DOC values were  $<$  3 µmol C l<sup>-1</sup> (data not shown). 287 These changes were not significant considering that the standard error of the determination 288 of DOC was about 1 µmol C  $I^{-1}$ . Initial DON (DON<sub>0</sub>) concentrations varied between 4.6 289 and 5.4 µmol N  $1^{-1}$ , of which  $14 \pm 9\%$  (average  $\pm$  SD) was consumed over the 72 hours of 290 incubation (Table 2). The degradation rate of DON, BDON/ $\Delta t$ , varied between 0.09  $\pm$  0.06 291 and  $0.48 \pm 0.07$  µmol N  $I^{-1}d^{-1}$  (Table 2). Both the DON<sub>0</sub> and BDON showed generally 292 lower concentrations south of the Azores front region (Fig. 4). 293 Initial bacterial production (BP) rates ranged from  $31 \pm 14$  to  $130 \pm 46$  pmol  $1^{-1} d^{-1}$ , 294 decreasing by  $35 \pm 25\%$  (average  $\pm$  SD) after 72 hours, following the decrease in DON 295 (Table 2 and 3). These BP rates cannot be compared to field measurements because they 296 came from a dilution incubation experiment (more DOM available per bacterial cell) where 297 grazers previously had been eliminated.



319 R*F*(280/320),  $a_{CDOM}(254)$ , *F*(250/435) and *F*(340/440) (Eq. 11–14 in Table 4).

# **4. Discussion**

![](_page_15_Picture_186.jpeg)

 increased while DON decreased southwards, which means that the C/N ratio of DOM is 346 higher in the subptropical  $(-12)$  than in the subpolar ENA  $(-10)$ , coinciding with the lower Chl *a* and higher temperatures and salinities in the Azores front (Fig. 3 & 4). This is consistent with the accumulation of N-poor DOM in subtropical gyres previously described by Hansell et al. (2009). An intrusion of DOM-rich surface water with a high C/N molar 350 ratio of ~12 down to 100 m was found between  $35°$  and  $29°N$  (Fig. 4a, b & c), coinciding with the deepening of the seasonal thermocline (Fig. 3c) characteristic of the subtropical gyre (Doval et al. 2001).

 The lowest CDOM absorption values were measured south of the Azores front area and in surface waters, while higher values were associated with the DCM. A similar surface distribution and levels has previously been found in both the Atlantic and Pacific Oceans and is linked to the larger impact of CDOM photobleaching in the surface waters and south of the Azores front, and a higher production of CDOM in the DCM area (e.g. Yamashita 358 and Tanoue, 2004; Nelson et al., 2007; Swan et al., 2009).  $a_{CDOM}(254)$ , a proxy for the abundance of conjugated carbon double bonds (Lakowicz, 2006), showed a lower 360 variability than  $a_{CDOM}(340)$  due to photo-bleaching caused by UV-B (280–315 nm) and UV-A (315–400 nm) radiation, suggesting that photo-degradation of aromatic and/or highly complex DOM took place leading to a potential shift of the CDOM absorption towards shorter wavelengths (Blough and Del Vecchio, 2002; Tedetti and Sempéré, 2006; Fichot and Benner, 2011; Helms et al., 2013). In agreement with previous open ocean studies, we also found that the CDOM absorption and DOC concentration did not significantly correlate, suggesting that the processes controlling the distributions of these pools are not directly connected, contrary to coastal waters where a close relationship is typically found

 mainly due to the large input of coloured terrestrial DOM (Swan et al. 2009; Mendoza and Zika 2014).

 The vertical distribution of FDOM followed the pattern previously reported for open ocean systems. Generally, FDOM was low in surface waters where sunlight penetrates and photolysis of the coloured DOM compounds takes place, and increasing with depth due to the decreasing impact of photodegradation and increasing impact of microbial processes resulting in a subsurface FDOM maxima (Jørgensen et al., 2011; Stedmon and Álvarez- Salgado 2011; Kowalczuk et al. 2013). The *F*(320/410) and *F*(280/320) levels were generally higher north of the Azores front. These high levels coincided with higher Chl *a* levels, suggesting a link between *F*(320/410) and *F*(280/320) and plankton productivity (Fig. 3d; Fig. 4e & f) as also suggested previously (e.g. Yamashita and Tanoue, 2004; Lønborg and Álvarez-Salgado, 2014). Both the absorption and fluorescence of CDOM 380 showed low levels in the warm waters between  $35°$  and  $29°N$ . The CDOM levels in this area are comparable with previous measurements in the most oligotrophic areas of the ocean and the pattern found is most likely linked to the low productivity of waters carried by Azores Current and following higher penetration of the ultraviolet irradiation leading to an extensive photobleaching during its transport from the origin area near the Grand Banks area towards our study area (Moran et al., 2000; Yamashita and Tanoue 2009; Jørgensen et al., 2011). Differences in the initial DOC and DON concentration and CDOM absorption and fluorescence levels suggested changes in the initial chemical composition of the DOM used

for the incubation experiments (Table 1 and 2). Since DOC concentrations did not change

significantly over the 72 hours incubation period, we will not discuss these results in more

391 detail. Concerning DON, the consumption of  $14 \pm 9\%$  (average  $\pm$  SD) of the initial

 concentration over the 72 hours of incubation (Table 2) is comparable to estimates previously reported for coastal marine systems (Lønborg and Álvarez-Salgado, 2012). However, Letscher et al. (2013) found that open ocean DON is rather resistant to microbial degradation in surface waters, while it is degraded in the upper mesopelagic zone. The reason for our slightly higher DOM bioavailability in surface waters compared to Letscher et al. (2013), might likely reflect differences in the (1) initial bacterial community composition (Friedline et al., 2012), (2) nutrient conditions (Lønborg and Álvarez-Salgado, 2012), (3) variation in DOM chemical composition (Flerus et al., 2012) and/or (4) changes in the impact and magnitude of photochemical processes prior to incubation (Mopper and Kieber, 2002). 402 The fact that the  $a_{CDOM}(254)$ ,  $F(250/435)$  and  $F(340/440)$  did not change significantly during the course of the incubations, suggests that these components are of a recalcitrant nature (Yamashita et al., 2008). Conversely, the *F*(280/320) pool has previously been

suggested as a suitable indicator for the dynamics of total hydrolyzable amino acids

(THAA) and it could potentially be used to trace the dynamics of the labile DOM pool (e.g.

407 Yamashita and Tanoue, 2003). The  $F(280/320)$  showed an average decrease of  $29 \pm 9\%$ 

408 (Table 2), which is similar to values ( $28 \pm 7\%$ ) recently reported for the coastal upwelling

system of the Ría de Vigo (Lønborg et al., 2010). The *F*(280/320) consumption followed a

410 first order kinetics, at an average decay rate of  $9 \pm 3$  % d<sup>-1</sup> (Fig. 2a), which means that

these protein-like materials were a limiting factor for bacterial growth and they represented

412 a very labile pool which is used on daily scales (Fig. 2a). This decay rate  $(9 \pm 3 \% \text{ d}^{-1})$  is

413 approximately 1/3 of the rates reported  $(28 \pm 13 \% \text{ d}^{-1})$  by Lønborg et al. (2010) for the Ría

de Vigo, but as this study was conducted in an oligotrophic system with a lower biological

production than the Ría de Vigo, a slower decay rate is expected.

 The relationship between both the initial and the bioavailable *F*(280/320) with BDON, suggests that the protein-like fluorescence could be used to trace the bioavailable DOM components in this open ocean system (Eq. 1 in Table 4; Fig. 5a), but it should be kept in mind that these relationships are unique for this study area and cannot be directly applied to 420 other parts of the oceans. On average, we found that the  $RF(280/320)$  represented  $72 \pm 9\%$  of the initial *F*(280/320). We hypothesise that such a large R*F*(280/320) fraction could be due to: i) the fluorescence at *F*(280/320) is due to both labile dissolved free aromatic amino acids and simple peptides as well as amino acid moieties bounded to more complex and recalcitrant structures which are not utilised after 72 h of incubation; and/or ii) co-limitation by inorganic nutrients during the incubation time. In this sense, it should be noted that we 426 have incubated surface ocean waters with average  $\pm$  SD initial concentrations of inorganic 427 introgen and phosphorus of just  $0.13 \pm 0.17$  and  $0.03 \pm 0.02$  µmol  $I^{-1}$ , respectively, without any addition of nutrients or organic matter.

 The marine humic-like fluorescence has previously been suggested as a suitable tracer for recalcitrant DOM, but it has also been shown to be produced as a result of microbial respiration processes (Yamashita and Tanoue, 2004; Castro et al., 2006; Yamashita and Tanoue, 2008; Jørgensen et al, 2011) or the microbial and/or chemical modification of terrestrial humic materials (Andrew et al., 2013). In our incubation experiments with surface waters from the ENA, *F*(320/410) production followed a first order kinetics, with an average  $\pm$  SD increase of 0.04  $\pm$  0.01 QSU produced at a built-up rate of 7  $\pm$  2 % d<sup>-1</sup> (Table 2; Fig. 2b), which is comparable to previous estimates (Lønborg et al., 2010). The linear relationships between B*F*(280/320) and BDON with P*F*(320/410) (Eq. 2 and 9 of Table 4; Fig. 5b) also suggests that the bacterial utilization of labile amino acids and DOM is related to the release of refractory humic substances and/or microbially transformed

![](_page_20_Picture_162.jpeg)

#### **5. Conclusions**

 In this study we combined field and laboratory studies to 1) demonstrate that the coloured and bioavailable fractions of DOM have low levels in the Azores Front area, which is likely due to the extensive photobleaching and low productivity of these waters; 2) show the first quantitative relationships between CDOM fluorescence and DON bioavailability for open ocean surface waters, suggesting that the protein-like fluorescence can be used to trace the bioavailable fraction of DON; and 3) demonstrate that the humic- like fluorophores are produced as a by-product of bacterial metabolism and that they can therefore be used as a proxy for organic matter degradation processes in open ocean systems.

### **Acknowledgement**

We like to thank the captain and crew of R/V Sarmiento de Gamboa and the technicians of

the CSIC *Unidad de Tecnologia Marina* (UTM) for their help during the CAIBOX cruise.

The collaboration of the chief scientist, M. Gilcoto, and the scientific party on board is also

- acknowledged. V. Vieitez analysed the inorganic nutrient and M.J. Pazó the DOC/TDN
- samples. This study was funded by the Spanish Ministry of Science and Innovation, grant
- CTM2007–66408–C02–01/MAR. C.L. was funded by a Postdoctoral fellowship from the
- Carlsberg Foundation. GJH was supported by an Austrian Science Fund project (P23234-
- B11).

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![](_page_27_Picture_106.jpeg)

## **Figure legends**

Fig. 1. Map showing the cruise track on board R/V *Sarmiento de Gamboa* over the period

25 July to 14 August 2009. The white dots (○) show the 71 hydrographic stations

- occupied and the black dots (●) the 16 stations where dissolved organic carbon (DOC)
- and nitrogen (DON), coloured dissolved organic matter (CDOM) absorption and
- fluorescence measurements were performed. The framed stations are those where water
- for the incubation experiments was collected.
- Fig. 2. Time course of the ratio between the average time point concentration and initial
- concentration of a) protein-like (*F*(280/320)) and b) marine humic-like fluorescence

630  $(F(320/410))$ . The dashed lines and error bars represent  $\pm$  the standard errors.

Fig. 3. Contour plots of a) salinity, b) temperature, c) Brunt-Väisälä frequency, d)

632 chlorophyll a (Chl *a*), e) nitrate (NO<sub>3</sub><sup>-</sup>) and f) phosphate (HPO<sub>4</sub><sup>2-</sup>) plotted as a function

of depth in meters (y-axis) along the distance of the cruise track starting at stn 1 (x-axis).

The solid lines represented in the section plots a) and b) show the 36.2 isohaline and the

16.2ºC isotherm respectively. Black dots in e) and f) represent sampling points and the

- vertical dotted lines mark changes of direction of the cruise track. Images created using
- Ocean Data View (Schlitzer, 2012).

Fig. 4. Contour plots of a) dissolved organic carbon (DOC) and b) nitrogen (DON), c) ratio

of DOC to DON (DOC/DON), d) coloured dissolved organic matter (CDOM) absorption

640 coefficient at 254 nm  $(a_{\text{CDOM}}(254))$ , and e) at 340 nm  $(a_{\text{CDOM}}(340))$ , f) fluorescence of

- protein-like (*F*(280/320)) and g) marine humic-like (*F*(320/410)) substances plotted as a
- function of depth in meters (y-axis) along the distance of the cruise track starting at stn 1

 (x-axis). Black dots represent sampling points and the dotted lines mark changes of direction of the cruise track. Plotting done with Ocean Data View (Schlitzer, 2012). Fig. 5. Plots of the linear relationship between a) bioavailable protein-like fluorescence (B*F*(280/320)) and dissolved organic nitrogen (BDON) and b) the produced marine humic-like fluorescence (P*F*(320/410)) and BDON. Solid lines represent the 648 corresponding regression and the error bars the standard errors.  $R^2$  = coefficient of 649 determination,  $p = level of significance$ .

652 **Table 1.** Biological, chemical and physical properties of the surface (5 m) water samples used for the incubation studies at the

653 – time of collection. Salinity, temperature (Temp.), chlorophyll *a* (Chl. *a*), nitrate + nitrite (NO<sub>3</sub><sup>-</sup>+NO<sub>2</sub><sup>-</sup>) and phosphate (HPO<sub>4</sub><sup>2-</sup>),

654 CDOM absorption coefficient at 254 ( $a_{CDOM}(254)$ ) and 340 nm ( $a_{CDOM}(340)$ ) and the initial fluorescence intensities of the humic-

655 like fluorophores (*F*(250/435)) and (*F*(340/440). Standard errors are shown for values which were measured in 4 replicates.

	Salinity	Temp.	Chl. $a$	$NO_3 + NO_2$	HPO <sub>4</sub> <sup>2–</sup>	$a_{\text{CDOM}}(254)$	$a_{CDOM}(340)$	F(250/435)	F(340/440)
Date		$(C^{\circ}C)$	$(mg m-3)$	(umol $l^{-1}$ )	(µmol $l^{-1}$ )	$(m^{-1})$	$(m^{-1})$	(QSU)	(QSU)
26/07/2009	35.7	18.6	0.17	0.6	0.06	$1.52 \pm 0.04$	$0.16 \pm 0.01$	$0.83 \pm 0.01$	$0.41 \pm 0.01$
27/07/2009	35.9	19.7	0.14	0.1	0.02	$1.44 \pm 0.03$	$0.12 \pm 0.01$	$0.55 \pm 0.03$	$0.24 \pm 0.01$
28/07/2009	36.0	19.8	0.14	0.0	0.01	$1.42 \pm 0.02$	$0.13 \pm 0.01$	$0.48 \pm 0.02$	$0.18 \pm 0.01$
29/07/2009	35.9	16.6	0.16	0.1	0.03	$1.33 \pm 0.01$	$0.10 \pm 0.01$	$0.45 \pm 0.01$	$0.17 \pm 0.03$
31/07/2009	35.9	18.9	0.17	0.0	0.03	$1.53 \pm 0.02$	$0.15 \pm 0.01$	$0.60 \pm 0.03$	$0.25 \pm 0.01$
1/08/2009	35.9	19.1	0.19	0.2	0.05	$1.51 \pm 0.03$	$0.14 \pm 0.01$	$0.84 \pm 0.12$	$0.37 \pm 0.05$
3/08/2009	36.3	21.9	0.12	0.1	0.00	$1.39 \pm 0.02$	$0.12 \pm 0.01$	$0.43 \pm 0.04$	$0.19 \pm 0.01$
4/08/2009	36.6	23.2	0.12	0.0	0.02	$1.22 \pm 0.03$	$0.06 \pm 0.01$	$0.28 \pm 0.03$	$0.09 \pm 0.01$
5/08/2009	36.6	23.8	0.11	0.1	0.02	$1.26 \pm 0.04$	$0.08 \pm 0.01$	$0.39 \pm 0.01$	$0.13 \pm 0.05$
7/08/2009	37.0	24.0	0.11	0.1	0.02	$1.25 \pm 0.03$	$0.07 \pm 0.01$	$0.39 \pm 0.03$	$0.17 \pm 0.01$
8/08/2009	37.1	24.0	0.12	0.0	0.03	$1.32 \pm 0.03$	$0.09 \pm 0.01$	$0.48 \pm 0.02$	$0.14 \pm 0.01$
9/08/2009	37.1	23.8	0.12	0.2	0.04	$1.36 \pm 0.01$	$0.10 \pm 0.01$	$0.28 \pm 0.06$	$0.09 \pm 0.02$

![](_page_32_Picture_397.jpeg)

![](_page_32_Picture_398.jpeg)

![](_page_33_Picture_190.jpeg)

 **Table 3.** Leucine incorporation rates of the bacterial community at times 0 (BP (0)) and 72 665 hours (BP (72)) of incubation. Values are averages of 2 replicates  $\pm$  standard error, n.d. = not determined.

![](_page_34_Picture_145.jpeg)

670 (BDON), absorption coefficient of CDOM at 254 nm  $(a_{CDOM}(254))$ , initial  $(F(280/320)(0),$  *F*(320/410)(0), *F*(250/435)(0) and *F*(340/440) (0)), bioavailable (B*F*(280/320)), produced (P*F*(320/410)) and recalcitrant (R*F*(280/320) and R*F*(320/410)) protein- and humic-like fluorescence, bioavailable protein-like (B*F*(280/320) and produced humic-like fluorescence (P*F*(320/410)), and the degradation rate of BDON (BDON/∆t). Slope, intercept, and 675 standard error (SE) are values found by Model II regression.  $R^2$  = coefficient of

**Table 4.** Significant linear regressions between bioavailable dissolved organic nitrogen

![](_page_35_Picture_356.jpeg)

676 determination,  $p = level$  of significance, n.s. - not significant.

![](_page_36_Figure_0.jpeg)

679

![](_page_36_Figure_2.jpeg)

![](_page_37_Figure_0.jpeg)

683 Lønborg et al., Fig. 2

![](_page_38_Figure_0.jpeg)

![](_page_38_Figure_1.jpeg)

![](_page_39_Figure_0.jpeg)

Lønborg et al., Fig. 4.

![](_page_40_Figure_0.jpeg)

![](_page_40_Figure_1.jpeg)

689 Lønborg et al., Fig. 5.