1	Mini-Review for CEJB
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3	Pathogenic and mutualistic plant-bacteria interactions: ever increasing similarities.
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17 Running title: Common strategies in plant mutualism and pathogenesis

1 Abstract

2 Plant-interacting bacteria can establish either mutualistic or pathogenic interactions that cause 3 beneficial or detrimental effects respectively, to their hosts. In spite of the completely 4 different outcomes, accumulating evidence indicates that similar molecular bases underlie the 5 establishment of these two contrasting plant-bacteria associations. Recent findings observed 6 in the mutualistic nitrogen-fixing Rhizobium-legume symbiosis add new elements to the 7 increasing list of similarities. The role of typical plant resistance proteins in determining host 8 specificity in the Rhizobium-legume symbiosis that resemble the gene-for-gene resistance of 9 plant-pathogen interactions, and the production of antimicrobial peptides by certain legumes 10 to control rhizobial proliferation within nodules will be described. Amongst bacterial 11 strategies, cyclic diguanylate (c-di-GMP) appears to be a second messenger used by both 12 pathogenic and mutualistic bacteria to regulate key features for interaction with their plant 13 hosts.

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Keywords: *Rhizobium*; plant pathogenic bacteria; effectors; resistance proteins; antimicrobial
peptides; c-di-GMP.

1 **1. Introduction**

2 All plants can be abundantly colonized by microbes which can cause beneficial, neutral or 3 detrimental effects on the host during their attempts to obtain nutrients and a more protected 4 environment. Plant-microbe associations can vary from extracellular to intracellular 5 accommodation of the microbes, but in all cases the competence to colonize plant habitats is 6 important for the success of the interaction. Pathogenic bacteria establishing compatible 7 interactions with plants can cause variable damages that often affect plant growth and 8 reproduction. These bacteria enter plant tissues either by wounds or natural openings and 9 occupy the apoplast of plant tissues or the xylem where they multiply and spread, a process 10 that often involves the participation of hydrolytic enzymes and toxins. In contrast, the 11 outcome of plant infections caused by microorganisms such as soil bacteria collectively 12 known as rhizobia, is an overall benefit to both partners based on nutrient exchange. Rhizobia 13 are able to invade legume roots in nitrogen-limiting environments, leading to the formation of 14 a new organ, the root nodule, where differentiated forms of the bacteria reduce atmospheric 15 nitrogen into ammonia which can then be used by the plant. In return, bacteria receive carbon 16 sources from the plant in a protected niche. Compared to the establishment of plant-17 pathogenic bacteria interactions, the formation of nitrogen-fixing nodules is a more complex 18 process in which rhizobial infection needs to be co-ordinated with a root developmental 19 program [1].

Plants rely on innate immunity to restrict and repel microbial infections [2,3]. The first line of plant defence is triggered upon the recognition of general elicitors, known as microbeassociated (or pathogen-associated) molecular patterns (MAMPs/PAMPs), by host cell surface-localized pattern-recognition receptors (PRRs). Plants have evolved perception systems for different bacterial MAMPs such as flagellin, lipopolysaccharide, elongation factor Tu, cold shock protein or peptidoglycan, which trigger numerous responses leading to a basal

1 defence response known as PAMP-triggered immunity or PTI [4]. Successful pathogens are 2 able to suppress the basal defence or PTI and promote disease by synthesizing effector 3 proteins that are injected into the host cytoplasm through specialized secretion systems (like 4 type III and type IV secretion systems, T3SS and T4SS, respectively). In turn, resistant plants 5 can recognize the presence or the action of these effectors through additional receptors known 6 as resistance (R) proteins, mounting a second line of defence known as effector-triggered 7 immunity or ETI (historically known as gene-for-gene resistance) that would block further 8 attack. Although ETI shares significant overlap with PTI, the former is quantitatively stronger 9 and usually results in a hypersensitive cell death response (HR) at the infection site.

10 How plants can discriminate between beneficial or harmful microbes has been a long 11 raised question. It is now widely accepted that plant pathogenic and beneficial bacteria are all 12 perceived as intruders by their hosts, which thus mount defence responses to repel the attack 13 and prevent microbial progression. The success of the interaction will therefore depend on the 14 strategies and weapons used by the bacteria to successfully infect plant tissues, but also on 15 their ability to evade, block or overcome the plant defences [5,6]. The outcome of the plant-16 bacteria interaction, parasitism and plant damage or mutualism and plant benefit, will also 17 depend on the plant's and bacterial abilities to reconcile their respective responses to a 18 continuous and mutual give-and-take chemical signalling. Over the last ten years, evidence 19 has accumulated on the commonalities amongst beneficial and parasitic bacteria-plant 20 interactions. This review highlights some of the most recent findings that contribute to the 21 increasing list of similarities found in the establishment of such contrasting interactions.

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23 2. *Rhizobium*-legume symbiosis, a paradigm in plant-bacteria interactions

As previously mentioned, rhizobia are able to establish mutualistic nitrogen-fixing symbioses with legume plants. As a result, the bacteria put at the plant's disposal the activity of

1 nitrogenase, an exclusive prokaryotic enzyme that reduces molecular nitrogen (N_2) into 2 ammonia, to fulfil the host's nitrogen nutritional needs. In exchange, bacteria are provided 3 with an exclusive ecological niche (the nodules) where they can multiply at the expense of 4 plant carbohydrates. The formation of nitrogen-fixing nodules has been studied extensively, 5 but is yet a not fully understood process that requires the mutual secretion and correct 6 recognition of several signal molecules by both the plant and the bacteria [7,8]. The best 7 known strategy used by rhizobia to establish symbiosis with legume plants involves the 8 production of lipochitooligosaccharidic Nod factors (NFs) in response to specific flavonoids 9 excreted by the plant. NFs induce several responses in the plant which are essential for 10 rhizobial infection and nodule organogenesis such as curling of the root hairs and the 11 formation of nodule primordia after the activation of cortical cell division. Bacteria attached 12 to root hairs penetrate the root through a tubular structure called the infection thread, which 13 grows towards the root cortex where the nodule primordium is developing. When the thread 14 reaches the primordium, the bacteria are released into the plant cell cytoplasm where they 15 differentiate into their endosymbiotic forms, the bacteroids. Particularly intriguing is how the 16 plant is set to alter its physiology and root anatomy to gain access to a process, nitrogen 17 fixation, which will be donated by an intruder only after nodule development and bacterial 18 infection are correctly achieved. As outlined below, some of the signals and the associated 19 responses resemble, either structurally and/or functionally, many of those involved in 20 pathogenic interactions.

21 Rhizobial infection triggers in legumes several plant responses that resemble those 22 observed in plants challenged with pathogenic bacteria [6]. Cytological and biochemical 23 features of HR have been observed in the legume-rhizobia interaction associated to aborted 24 infection threads, which is interpreted as part of a mechanism called autoregulation of 25 nodulation that allows the plant to control nodule number [9]. Accumulation of salicylic acid

1 (SA), a phenolic compound that plays a key role in plant defence, has been observed in 2 legume plants after inoculation with incompatible rhizobia [10]. The production of the 3 specific NFs likely prevents accumulation of SA which otherwise would inhibit nodule 4 formation. Production of reactive oxygen species (ROS) upon plant perception of avirulent 5 pathogens is believed to have several roles including the killing of microbes, reinforcement of 6 cell walls or induction of defence gene expression, all directed towards confinement of the 7 infective microbes. ROS also accumulate during the Rhizobium-legume interaction but 8 depending on the intensity and localization of the oxidative burst could have a dual role: as 9 part of a typical defence reaction to limit bacterial entry and as compounds needed for 10 infection thread progression or even as signals for the expression of plant and/or bacterial 11 symbiotic genes (reviewed in [11]).

12 It seems clear that legumes and non-legumes have similar perception systems and 13 protective responses against the infection by microbes. Therefore, the establishment of any 14 kind of compatible plant-bacteria association requires the microorganisms to evade detection 15 or avoid host defenses. It is also exciting that both mutualistic and pathogenic bacteria seem 16 to use similar strategies and weapons to elude or modulate the plant's battery of resources 17 directed to arrest bacterial invasion [5,12]. Cell-cell communication through Quorum Sensing 18 (QS) mechanisms is essential to coordinate within a bacterial population the expression of 19 genes important for the colonization and infection of the host. Deficiencies in QS lead to the 20 reduction of virulence in phytopathogens and to altered nodulation and nitrogen fixation by 21 rhizobia [13,14]. QS is involved in the transition from a free-living to a plant-interacting 22 lifestyle, by turning off behaviours like motility and activating others such as the production 23 of surface polysaccharides (SPSs), biofilm formation or secretion of proteins needed for the 24 successful invasion of the host, both by mutualistic and pathogenic bacteria. Some of those 25 components, like type III and type IV protein secretion systems are needed for the injection of secreted proteins that interfere with plant physiology and metabolism to modulate host defences. Others, like SPSs can have multiple roles such as protecting the bacterial cell from antimicrobial compounds like ROSs released by the host or by participating in the suppression of host defence reactions. The importance of antioxidant systems, involving catalases and superoxide dismutases as virulence factors of some phytothogenic bacteria correlates with the important role of these detoxifying bacterial enzymes for the establishment of the *Rhizobium*-legume symbiosis [12].

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9 3. Bacterial effectors and plant resistance proteins determine host 10 specificity in the *Rhizobium*-legume symbiosis.

11 The Rhizobium-legume symbiosis is highly specific: each rhizobial species can establish root 12 nodule symbiosis only with a limited number of plant legumes. For example the model bacterium Sinorhizobium meliloti can establish effective symbiosis only with Medicago, 13 14 Melilotus and Trigonella spp. This specificity is determined by both bacterial and plant 15 factors. The production of bacterial Nod factors in response to specific flavonoids secreted by 16 the plant, and the subsequent perception of the bacterial signal by the cognate plant receptor is 17 one of the earliest and key factors in determining the outcome of the Rhizobium-legume 18 interaction [1]. Several additional rhizobial genes have been involved in species-specific or genotype-specific nodulation. On the contrary, very little is known about plant factors 19 20 determining host specificity in the Rhizobium-legume symbiosis. Amongst rhizobial genes 21 that participate in host range determination are those coding for T3SS and T4SS and the 22 proteins secreted by these systems, present in some but not all rhizobia. T3SS have been 23 found in Bradyrhizobium japonicum, Rhizobium etli, Mesorhizobium loti MAFF303099, 24 Sinorhizobium sp. NGR234 and S. fredii, whereas a T4SS with a role in symbiosis has been identified only in *M. loti* R7A. Protein secretion by these systems is tightly regulated and as in 25

1 pathogenic bacteria, it is activated through a regulatory cascade responding to the presence of 2 the plant host. In rhizobia, protein secretion by these systems occurs during the development 3 of the infection thread and leads to positive, negative or neutral effects on the symbiosis 4 depending on the legume host [15-17]. One of the major roles of effectors secreted by 5 phytopathogens is to suppress plant innate immunity triggered by MAMPs by using different 6 strategies such as altering host protein turnover, RNA metabolism or inhibiting plant kinases 7 involved in plant defence signalling [18]. The exact role of rhizobial effectors during the 8 establishment of symbiosis with legumes is not yet clear. Some effectors like nodulation outer 9 proteins NopL and NopP seem to be specific to a few rhizobia. Interestingly, NopL and NopP 10 are phosphorylated by plant kinases and NopL probably interferes with plant defence 11 responses [19,20]. The majority of the rhizobial effectors studied so far are homologous to 12 proteins secreted by bacterial pathogens, suggesting that they might have similar functions 13 (for a review see [15]). From different studies it seems clear that detrimental effects on the 14 symbiosis caused by protein secretion through these specialized systems are often due to a 15 single rhizobial effector, whereas positive effects are normally caused by the action of several 16 effectors [15]. In the first case, it is very likely that the rhizobial effectors are recognized by 17 putative legume resistance proteins triggering defence reactions that block the infection 18 progress, a situation resembling that of avirulent pathogens and resistant plants. A recent 19 finding supports this hypothesis. Ineffective nodulation of soybean by specific rhizobial 20 strains was known for decades to rely on dominant genes, resembling the gene-for-gene 21 resistance of plant-pathogen interactions. The soybean R_{j2} gene was identified as responsible 22 for the ineffective nodulation phenotype shown by *B. japonicum* strains such as USDA122, 23 whereas the *Rfg1* was involved in preventing nodulation of American soybean cultivars by 24 certain S. fredii strains such as USDA257. In these interactions root hair curling and nodule 25 primordium formation take place but infection thread formation is blocked. Recently, it has

been shown that Rj2 and Rfg1 are allelic genes encoding a member of the Toll-interleukin receptor/nucleotide-binding site/leucine-rich repeat (TIR-NBS-LRR) class of plant resistance (R) proteins [21]. Interestingly, a T3SS mutant of *S. fredii* USDA257 gains the ability to nodulate soybean plants harbouring the Rfg1 gene. The putative effector recognized by this resistance protein is not known yet. In any case, it is tempting to speculate that like in plantpathogen interactions, rhizobial effectors can be recognized by legume resistance proteins blocking the infection process, most probably by triggering plant defence reactions.

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9 4. Plant antimicrobial peptides in pathogenic and mutualistic interactions

10 Part of the plant immune system relies on the production of antimicrobial peptides (AMPs) 11 like defensins, thionins and lipid transfer proteins [22]. AMPs are ribosomally synthesized 12 antibiotics produced by nearly all organisms, from bacteria to plants and animals. AMPs 13 include all peptides that can kill microbes but not those that exhibit an obvious hidrolytic 14 activity, such as lysozymes, chitinases, glucanases, etc. Certain AMPs exhibit a narrow 15 spectrum, while others are active against a broad-spectrum of microbes like Gram-negative 16 and Gram-positive bacteria and fungi. The peptides can be membrane-disruptive resulting in 17 cell lysis, or may also be actively taken up by transporters to reach their intracellular targets 18 [23,24]. They can bind DNA, RNA and proteins and inhibit cell wall, DNA, RNA or protein 19 synthesis [25-27]. Most plant AMPs are characterized by typical arrangements of cysteine 20 residues and belong to a large group of small Cysteine-Rich Peptides (CRPs) [28]. This 21 abundance of AMP-like genes suggests that plants have a broad repertoire of AMPs to fight 22 pathogens, but also the capacity to evolve towards new AMPs with novel specificities.

Very recently, legume AMPs have been revealed to be essential for the *Rhizobium*legume symbiosis. Inside the symbiotic nodule cells, the rhizobia become capable of reducing atmospheric nitrogen to ammonium only after differentiation into their endosymbiotic forms,

1 the bacteroids. These are differentiated bacteria with altered physiology and metabolism. In 2 legumes forming the so-called indeterminate nodules, like the model plant Medicago 3 truncatula, bacteroids are very different from free-living soil Rhizobium bacteria, with larger 4 sizes, elongated or branched morphologies and with amplified genome content and increased 5 membrane permeability. These bacteroids are incapable of cell division and thus are 6 irreversibly differentiated, non-cultivable bacteria [29]. This terminal differentiation of 7 bacteroids is not observed in all legumes and therefore is not essential per se for symbiotic 8 nitrogen fixation, but it could improve the symbiotic efficiency of the bacteroids [30]. It has 9 been recently shown that *M. truncatula* controls rhizobial bacteroid differentiation through the 10 production of nodule-specific AMPs of the NCR (Nodule-specific Cysteine-Rich peptides) 11 family [31-33]. These NCR peptides are targeted to the bacteria and enter the bacterial 12 membrane and cytosol. A rhizobial protein BacA, also present in an endosymbiotic pathogen 13 such as Brucella, might be required for uptake of these peptides [23]. Thus, it seems that 14 legumes such as *M. truncatula* have been able to evolve AMPs effectors of the innate immune 15 system to manipulate their endosymbionts in order to maximize their own profits. This 16 represents an extraordinary and clear example of how a typical plant defence response, 17 production of antimicrobial peptides, has been adapted to control the proliferation of the 18 invading microbe but also to obtain a benefit from the intruder.

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20 **5. c-di-GMP in bacteria interacting with plants**

Different bacterial signal transduction systems link the sensing of specific environmental cues to appropriate changes in bacterial physiology and gene expression. These systems play relevant roles during the infection of the plant host as the bacteria will encounter a continuously changing environment to which they have to adapt quickly. In one or more of these signal transduction mechanisms, perception of a primary signal alters the level of a second intracellular signal also known as a second messenger. The cyclic di-GMP (also called cyclic diguanylate, 3',5'-cyclic diguanylic acid or c-di-GMP) was discovered by Benziman and colleagues as an allosteric modulator that activated the membrane-bound cellulose synthase in *Gluconacetobacter xylinus* [34]. Twenty years after its discovery, c-di-GMP is considered a ubiquitous second messenger that controls key processes in most bacteria.

6 c-di-GMP is synthesized from two molecules of GTP by the action of diguanylate 7 cyclases (DGC) and is hydrolyzed to 5'-phosphoguanylyl-(3'-5')-guanosine (pGpG) and/or 8 GMP by specific phosphodiesterases (PDE). The pGpG is subsequently hydrolyzed into two 9 molecules of GMP. DGC activity is associated with the GGDEF domains and specific activity 10 of c-di-GMP-PDE is associated with EAL or HD-GYP domains [35]. Cyclic diguanylate has 11 been reported to stimulate the biosynthesis of adhesins and components of the biofilm 12 exopolysaccharide matrix and to inhibit various forms of motility [36]. In addition, c-di-GMP 13 controls the long-term survival and responses to environmental stresses [37], the production of antibiotics [38], regulates the proteolysis and cell cycle progression [39], the virulence of 14 15 animal and plant pathogens [40] and other cellular functions. It is now universally accepted 16 that c-di-GMP contributes to the decision to transit between the motile planktonic and the 17 sessile biofilm lifestyles. To benefit from the advantages that the plant niche provides, 18 phytopathogenic and symbiotic bacteria should modify their lifestyles from a free-living to 19 another in close interaction with their hosts. This transition requires rapid and finely-tuned 20 adaptive responses in which c-di-GMP likely plays a crucial role. Accordingly, whole-21 genome sequencing has revealed an abundance of c-di-GMP interacting domains containing 22 proteins across the majority of plant symbiotic and pathogenic bacterial species. However, 23 little is yet known about the role of c-di-GMP in plant-interacting bacteria. So far only four 24 proteins (RpfG, XcCLP, EcpB, EcpC) were experimentally demonstrated to be c-di-GMP 25 signalling components in phytopathogens. RpfG and XcCLP of Xanthomonas campestris, a

1 HD-GYP domain containing protein and a c-di-GMP receptor respectively, link cell-cell 2 signalling to virulence gene expression [41]. In Dickeya dadantii, two c-di-GMP 3 phosphodiesterases, EcpB and EcpC, were shown to regulate multiple cellular behaviours and 4 virulence by controlling the expression of the T3SS [42]. Recent experiments in 5 Pectobacterium atrosepticum SCRI1043 have shown a crucial role for c-di-GMP in the 6 regulation of biofilm formation and the secretion of an important adhesion factor for binding 7 to different plants (Pérez-Mendoza et al., unpublished). Similar proteinaceous adhesion 8 factors regulated by c-di-GMP have also been described as crucial biofilm determinants in 9 rhizospheric bacteria belonging to the Pseudomonadaceae family [43]. In rhizobia, functions 10 of c-di-GMP are almost unknown although genomes of these bacteria encode dozens of 11 putative c-di-GMP metabolizing enzymes [44;45]. So far, cellulose synthesis in R. 12 *leguminosarum* is the only example of a function controlled by a c-di-GMP associated protein 13 [46]. Also, a recent report showed that predicted GGDEF and EAL proteins in S. meliloti are 14 involved in the control of motility, growth and exopolysaccharide accumulation [47]. 15 However, the implication of c-di-GMP turnover has to be experimentally demonstrated in this 16 latter case. In our laboratory, preliminary results have shown that intracellular c-di-GMP 17 levels control cellular behaviours related with motility and biofilm formation in different 18 symbiotic (e.g. S. meliloti) and phytopathogenic bacteria (e.g. Pseudomonas syringae) (D. 19 Pérez-Mendoza, H. Prada et al., unpublished). Beyond the clear need for a more complete 20 understanding of the molecular signalling by this second messenger, the c-di-GMP field is 21 growing at an amazing rate. The few systems reported up to now in beneficial and 22 phytopathogenic bacteria are probably just the tip of the c-di-GMP iceberg in plant-interacting 23 bacteria.

1 **Concluding remarks**

2 The list of components and strategies used by plants to recognize and respond to bacterial 3 intruders, regardless of being beneficial or pathogenic, keeps growing. The primary goal of 4 these plant strategies is to repel the attack and prevent microbial progression even if the 5 invading bacteria have the potential to provide nutrients to the plant. The recent discovery of 6 the existence in legumes of typical plant resistance proteins which are responsible for 7 preventing nodulation by some rhizobia is an additional proof of that hypothesis. Therefore, 8 like pathogens, rhizobia need to evade the plant innate immunity to be able to establish 9 nitrogen fixing symbiosis. Interestingly, some components and responses of plant innate 10 immunity have been adapted in the *Rhizobium*-legume symbiosis for the plant host benefit. 11 The production of specific antimicrobial peptides by some legumes induces the terminal 12 differentiation of endosymbiotic rhizobia which seems to perform better with the 13 corresponding benefit to plant growth. Likewise, the number of common components used by 14 phytopathogenic bacteria and rhizobia is increasing: c-di-GMP is appearing as a second 15 messenger used by plant-interacting bacteria to control behaviours and factors required for the 16 colonization of the host. All these new discoveries within the field of plant-bacteria 17 interactions open the possibility of finding new strategies to fight against plant pathogenic 18 bacteria while improving the nitrogen-fixation efficiency of specific Rhizobium-legume 19 symbiosis.

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