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3	Isolation of essential oil from different plants and herbs
4	by supercritical fluid extraction
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#### Abstract

Supercritical fluid extraction (SFE) is an innovative, clean and environmental friendly technology with particular interest for the extraction of essential oil from plants and herbs. Supercritical CO<sub>2</sub> is selective, there is no associated waste treatment of a toxic solvent, and extraction times are moderate. Further supercritical extracts were often recognized of superior quality when compared with those produced by hydro-distillation or liquid-solid extraction.

This review provides a comprehensive and updated discussion of the developments and applications of SFE in the isolation of essential oils from plant matrices. SFE is normally performed with pure  $CO_2$  or using a cosolvent; fractionation of the extract is commonly accomplished in order to isolate the volatile oil compounds from other co-extracted substances. In this review the effect of pressure, temperature and cosolvent on the extraction and fractionation procedure is discussed. Additionally, a comparison of the extraction yield and composition of the essential oil of several plants and herbs from Lamiaceae family, namely oregano, sage, thyme, rosemary, basil, marjoram and marigold, which were produced in our supercritical pilot-plant device, is presented and discussed.

Keywords: supercritical extraction; carbon dioxide; essential oil; Lamiaceae plants; bioactive ingredients.

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#### 60 **1. Introduction**

Essential oils extracted from a wide variety of plants and herbs have been traditionally employed in the manufacture of foodstuffs, cosmetics, cleaning products, fragrances, herbicides and insecticides. Further, several of these plants have been used in traditional medicine since ancient times as digestives, diuretics, expectorants, sedatives, etc., and are actually available in the market as infusions, tablets and/or extracts.

66 Essential oils are also popular nowadays due to aromatherapy, a branch of alternative 67 medicine that claims that essential oils and other aromatic compounds have curative effects. 68 Moreover, in the last decades, scientific studies have related many biological properties 69 (antioxidant, anti-inflammatory, antiviral, antibacterial, stimulators of central nervous system, 70 etc.) of several plants and herbs, to some of the compounds present in the essential oil of the 71 vegetal cells [1-5]. For example, valerenic acid, a sesquiterpenoid compound, and its 72 derivatives (acetoxyvalerenic acid, hydroxyvalerenic acid, valeranone, valerenal) of valerian 73 extract are recognized as relaxant and sedative; lavender extract is used as antiseptic and anti-74 inflammatory for skin care; menthol is derived from mint and is used in inhalers, pills or 75 ointments to treat nasal congestion; thymol, the major component of thyme essential oil is 76 known for its antimicrobial activity; limonene and eucalyptol appear to be specifically 77 involved in protecting the lung tissue. Therefore, essential oils have become a target for the 78 recovery of natural bioactive substances. For example, nearly 4000 articles in which 79 "essential oil" or "volatile oil" appears as keyword were published in the literature since year 80 2000 up today (http://www.scirus.com/); around 3000 also include the word "bioactive" or 81 "bioactivity" in the article text.

82 Essential oils are composed by lipophilic substances, containing the volatile aroma 83 components of the vegetal matter, which are also involved in the defense mechanisms of the 84 plants. The essential oil represent a small fraction of plant composition, and is comprised mainly by monoterpenes and sesquiterpenes, and their oxygenated derivatives such as 85 86 alcohols, aldehydes, ketones, acids, phenols, ethers, esters, etc. The amount of a particular 87 substance in the essential oil composition varies from really high proportions (e.g. around 80-88 90 % w/w of  $\delta$ -limonene is present in orange essential oil) to traces. Nevertheless, 89 components present in traces are also important, since all of them are responsible for the 90 characteristic natural odor and flavor. Thus, it is important that the extraction procedure 91 applied to recover essential oils from plant matrix can maintain the natural proportion of its 92 original components [6].

New effective technological approaches to extract and isolate these substances from raw 93 94 materials are gaining much attention in the research and development field. Traditional 95 approaches to recover essential oil from plant matrix include steam- and hydro-distillation, 96 and liquid-solvent extraction. One of the disadvantages of steam-distillation and hydro-97 distillation methods is related with the thermolability of the essential oil constituents, which 98 undergo chemical alteration due to the effect of the high temperatures applied (around the 99 normal boiling temperature of water). Therefore, the quality of the essential oil extracted is 100 extremely damaged [6].

101 On the other side, the lipophilic character of essential oils requires solvents such as paraffinic 102 fractions (pentane and hexane) to attain an adequate selectivity of the extraction. Further, 103 liquid solvents should have low boiling points, in order to be easily separated from the extract 104 and re-utilized. In this sense, the main drawback is the occurrence of organic toxic residues in 105 the extracted product.

106 Among innovative process technologies, supercritical fluid extraction (SFE) is indeed the 107 most widely studied application. In practice, SFE is performed generally using carbon 108 dioxide ( $CO_2$ ) for several practical reasons:  $CO_2$  has moderately low critical pressure (74 bar) 109 and temperature (32°C), is non-toxic, non-flammable, available in high purity at relatively low cost, and is easily removed from the extract. Supercritical CO<sub>2</sub> has a polarity similar to 110 111 liquid pentane and thus, is suitable for extraction of lipophilic compounds. Thus, taking into 112 account the lipophilic characteristic of plant essential oils, it is obvious that SFE using CO<sub>2</sub> 113 emerged as a suitable environmentally benign alternative to the manufacture of essential oil 114 products.

115 The commercial production of supercritical plant extracts has received increasing interest in recent decades and has brought a wide variety of products that are actually in the market. As 116 117 mentioned before, supercritical plant extracts are being intensively investigated as potential 118 sources of natural functional ingredients due to their favorable effects on diverse human 119 diseases, with the consequent application in the production of novel functional foods, 120 nutraceuticals and pharmacy products. The reader is referred to several recent works [7-10] in 121 which is reviewed the supercritical extraction and fractionation of different type of natural 122 matter to produce bioactive substances. The general agreement is that supercritical extracts 123 proved to be of superior quality, i.e. better functional activity, in comparison with extracts 124 produced by hydro-distillation or using liquid solvents [11-14]. For example, Vági et al. [11] 125 compared the extracts produced from the extraction of marjoram (Origanum maorana L.)

126 using supercritical CO<sub>2</sub> (50°C and 45 MPa) and ethanol Soxhlet extraction. Extraction yields were, respectively, 3.8 and 9.1%. Nevertheless, the supercritical extract comprised 21% of 127 128 essential oil, while the alcoholic extract contained only 9% of the volatile oil substances. 129 Furthermore, studies related with the antibacterial and antifungal properties of the extract 130 revealed better activity for the supercritical product. Another example of improved biological 131 activity exhibit by supercritical extracts was reported by Glisic et al. [14], demonstrating that 132 supercritical carrot essential oil was much more effective against *Bacillus cereus* than that 133 obtained by hydro-distillation.

134 Indeed, numerous variables have singular effect on the supercritical extraction and 135 fractionation process. Extraction conditions, such as pressure and temperature, type and 136 amount of cosolvent, extraction time, plant location and harvesting time, part of the plant 137 employed, pre-treatment, greatly affect not only yield but also the composition of the 138 extracted material.

139 Knowledge of the solubility of essential oil compounds in supercritical CO<sub>2</sub> is of course 140 necessary, in order to establish favorable extraction conditions. In this respect, several studies 141 have been reported [15-18]. Nevertheless, when the initial solute concentration in the plant is 142 low, as is the case of essential oils, mass transfer resistance can avoid that equilibrium 143 conditions are attained. Therefore, pretreatment of the plant become crucial to break cells, enhancing solvent contact, and facilitating the extraction. In fact, moderate pressures (9-12 144 MPa) and temperatures (35-50°C) are sufficient to solubilize the essential oil compounds [15-145 146 18]. Yet, in some cases, higher pressures are applied to contribute to the rupture of the 147 vegetal cells and the liberation of the essential oil. However, other substances such as 148 cuticular waxes are co-extracted and thus, on-line fractionation can be applied to attain the 149 separation of the essential oil from waxes and also other co-extracted substances.

150 In this review, on the basis of data reported in the literature and own experience, a detailed 151 and thorough analysis of the supercritical extraction and fractionation of plants and herbs to produce essential oils is presented. Furthermore, the supercritical CO<sub>2</sub> extraction of several 152 153 plants (oregano, sage, thyme, rosemary, basil, marjoram and marigold) from Lamiaceae 154 family was accomplished in our supercritical pilot-plant at 30 MPa and 40°C. High CO<sub>2</sub> 155 density was applied in order to ensure a complete extraction of the essential oil compounds. 156 Then, on-line fractionation in a cascade decompression system comprising two separators 157 was employed to isolate de essential oil fraction. Yield and essential oil composition was 158 determined and compared.

## 160 2. The essential oil of plants and herbs

161 Essential oils could be obtained from roots and rhizomes (such as ginger), leaves (mint, 162 oregano and eucalyptus), bark and branches (cinnamon, camphor), flowers (jasmine, rose, 163 violet and lavender) and fruits and seeds (orange, lemon, pepper, nutmeg). In general, 164 essential oil represents less than 5% of the vegetal dry matter. Although all parts of the plant 165 may contain essential oils; their composition may vary with the part of the plant employed as raw material. Other factors such as cultivation, soil and climatic conditions, harvesting time, 166 167 etc. can also determine the composition and quality of the essential oil [19, 20]. For example, 168 Celiktas et al. [21] studied different sources of variability in the supercritical extraction of 169 rosemary leaves, including location (different cities of Turkey) and harvesting time 170 (December, March, June and September). They demonstrated that even applying the same 171 raw material pre-treatment and the same process conditions, extracts obtained from leaves 172 collected in different locations and harvesting times have rather different composition. For 173 example, the concentration of carnosic acid, one of the most abundant antioxidant substances present in rosemary, varied from 0.5 to 11.6 % w/w in the extracts obtained from the different 174 175 samples of plant matrix. Furthermore, they observed that the plants harvested in September 176 had antioxidant capacities superior to those collected at other harvesting times. Of course, 177 geographical coordinates and local climate should be evaluated to consider this conclusion; for example, high temperatures occur in September (average values around 25-29°C) in the 178 179 Turkish locations. Accordingly, Hidalgo et al. [22] reported that for rosemary plants 180 harvested from Cordoba (Spain), the carnosic acid content increased gradually during the 181 spring and peaked in the summer months.

The main compounds of plant essential oils are terpenes, which are also called isoprenes 182 183 since derived from isoprene (2-methyl-1,3-butadiene, chemical formula  $C_5H_8$ ) (see Figure 1). 184 Main hydrocarbon terpenes present in plant essential oil are monoterpenes (C10), which may 185 constitute more than 80% of the essential oil, and sesquiterpenes (C15). They can present 186 acyclic structures, so as mono-, bi- or tricyclic structures (see Figure 2). Terpenoids are 187 derived from these hydrocarbons, for example by oxidation or just reorganization of the 188 hydrocarbon skeleton. Terpenoids present in essential oils comprise a wide variety of 189 chemical organic functions, such as alcohols, aldehydes, ketones, acids, phenols, ethers, 190 esters, etc.

191 The chemical structure of some popular essential oil compounds are depicted in Figure 2: 192 limonene, a cyclic hydrocarbon, and citral, an acyclic aldehyde, are main terpenes present in 193 citrus peel; menthol is a cyclic alcohol and the characteristic aroma compound of mint 194 (Mentha varieties); linalool is a acyclic alcohol that naturally occur in many flowers and spice 195 plants and has many commercial applications due to its pleasant fragrance; thymol and 196 carvacrol (positional isomers) are phenolic alcohols with strong antiseptic properties;  $\alpha$ -197 pinene, a bicyclic hydrocarbon, is found in the oils of many species of coniferous trees, 198 particularly the pine; sabinene, also a bicyclic hydrocarbon, is one of the chemical 199 compounds that contributes to the spiciness of black pepper and is a major constituent of 200 carrot seed oil; camphor is a bicyclic ketone present in abundance in camphor tree and in the 201 essential oil of several Lamiaceae plants, such as sage and rosemary; and valerenic acid is a 202 sesquiterpenoid constituent of the essential oil of the valerian (Valeriana officinalis) and is 203 thought to be at least partly responsible for the sedative effects of the plant.

204 In general, terpenes and terpenoids are chemically instable (due to the C=C bonds) and thus 205 molecules present different chemical reorganizations (isomerization). Further, substances 206 comprising essential oils have similar boiling points and are difficult to isolate. The normal 207 boiling point of terpenes varies from 150°C to 185°C; while the normal boiling point of 208 oxygenated derivatives is in the range 200-230°C. Extraction and fractionation of these 209 substances should be carried out at moderate temperatures, in order to prevent thermal 210 decomposition. In fact, this is the main drawback of steam- and hydro-distillation. Besides 211 the breakdown of thermally labile components, Chyau et al. [23] observed incomplete 212 extraction of the essential oil compounds of G. tenuifolia and promotion of hydration 213 reactions when steam-distillation is employed. Furthermore, the removal of water from the 214 product is usually necessary after steam- or hydro-distillation.

In general, terpenes contribute less than terpenoids to the flavor and aroma of the oil. Additional, they are easily decomposed by light and heat, quickly oxidize and are insoluble in water. Thus, the removal of terpenes from essential oil leads to a final product more stable and soluble. In this respect, supercritical fluid fractionation in countercurrent packed columns was employed to accomplish the deterpenation of essential oils [24-26].

For example, Benvenuti et al. [25] studied the extraction of terpenes from lemon essential oil (terpenoids/terpene ratio = 0.08) using a semi-continuous single-stage device at 43°C and 8.0-8.5 MPa and developed a model (based in Peng-Robinson equation of state) to simulate the process. Then, the model was applied to study the steady state multistage countercurrent process and a terpenoids/terpene ratio around 0.33 (4-fold increase) was obtained in the raffinate. A similar result (5-fold increase of terpenoids in raffinate) was obtained by Espinosa et al. [26] in the simulation and optimization of orange peel oil deterpenation. The low terpenoids/terpene ratio of the original essential oil requires high solvent flow and high recycle flow rate in order to achieve moderate terpenoids concentration in the raffinates.

229 With respect to the solubility of essential oil compounds in supercritical CO<sub>2</sub>, it could be 230 stated in general that the solubility of hydrocarbon monoterpenes is higher than the solubility 231 of monoterpenoids. For example, the reported solubility of limonene at 9.6 MPa and 50°C is 232 2.9 % w/w; at the same pressure and temperature conditions the solubility of thymol and 233 camphor are, respectively, 0.9 and 1.6 % w/w [18]. Moreover, these values are considerably 234 higher than the solubility of other extractable compounds present in plants and herbs, such as 235 phenolic compounds, waxes, carotenoids and chlorophylls. As it is well-known phenolic 236 compounds present in plans constitute a special class of bioactive substances due to their 237 recognized antioxidant activity [27]. For example, Murga et al. [28, 29] reported that the 238 solubility of protocatechuic acid, methyl gallate and protocatechualdehyde (phenolic 239 compounds present in grapes) in pure supercritical CO<sub>2</sub> measured at different temperatures 240 (40-60°C) and pressures up to 50 MPa were lower than 0.02 % w/w. Furthermore, also low 241 solubilities were reported for carotenoids [30].

On the other side, the solubility of *n*-alkanes C24-C29 in supercritical  $CO_2$  is in the range of 0.1-1 %w/w at rather low pressures (8-25 MPa) [31]. These values are quite close to the solubility values referred above for several monoterpene compounds and thus, waxes are in general the main substances co-extracted with essential oils. Thus, fractionation schemes are target towards an efficient separation of essential oil constituents from high molecular weight hydrocarbons and waxy esters.

Figure 3 compares the solubility in supercritical CO<sub>2</sub> of several substances, representing 248 249 different family of compounds present in vegetal natural matter. Solubilities are represented 250 as a function of pressure, for temperatures in the range 35-50°C. Particularly, the figure 251 shows the solubility of main monoterpenes of grape essential oil, namely  $\alpha$ -pinene, limonene 252 and linalool; the solubility reported for some low molecular weight phenolic compounds (protocatechuic acid, methyl gallate and p-cumaric acid) also present in grapes; and the 253 254 solubility of  $\beta$ -carotene and *n*-C28, as representatives, respectively, of pigments and waxy 255 compounds. As can be observed in Figure 3, the solubility of main constituents of essential 256 oil (monoterpenes) of grapes is considerably higher than the solubility of the phenolic

compounds present in grapes. That is, low extraction pressures would extract grape essential oil but would not promote the extraction of its phenolic compounds. Further, pigments and chlorophylls also require high solvent pressures to be readily extracted. But waxes solubilities are quite close to monoterpene solubilities and thus, this type of compounds are readily coextracted when extraction pressure is somewhat increased.

Table 1 presents a list of several plants which have been subject of SFE to produce essential oils. Also given in the table are the main compounds identified in the references cited in the table. As can be observed, several plants from *Lamiaceae* family, namely oregano, thyme, sage, rosemary, mint, basil, marjoram, etc. were focus of intensive study.

266 Among Origanum genus, oregano (Origanum vulgare) is an herbaceous plant native of the 267 Mediterranean regions, used as a medicinal plant with healthy properties like its powerful 268 antibacterial and antifungal properties [32, 33]. It has been recognized that the responsible of 269 these activities in oregano is the essential oil, which contains thymol and carvacrol as the 270 primary components [34]. In these compounds, Puertas-Mejia et al. [35] also found some 271 antioxidant activity. Also marjoram (Origanum maorana) essential oil, which represent 272 around 0.7-3.0% of plant matrix, was recognized to have antibacterial and antifungal properties [36, 37]. Popularly, the plant was used as carminative, digestive, expectorant and 273 274 nasal decongestant. Main compounds identified in marjoram essential oil are cis-sabinene, 4-275 terpineol,  $\alpha$ -terpineol and  $\gamma$ -terpinene [11, 38-40].

Thymol and carvacrol isomers were also found in the essential oil of another *Lamiaceae* plant, namely Thymus. The variety most studied is, indeed, *Thymus vulgaris* [41, 42]. Yet, particularly attention is focused on *Thymus zygis*, a thyme variety widespread over Portugal and Spain, which extract has proved to be useful for food flavoring [43] and in the pharmaceutical [44, 45] and cosmetic industries [46].

281 Other Lamiaceae plants being intensively studied are the "Officinalis" ones (from Latin 282 meaning medicinal). Sage (Salvia officinalis) is a popular kitchen herb (preserves a variety of 283 foods such as meats and cheeses) and has been used in a variety of food preparations since 284 ancient times. Further, sage has a historical reputation for promotion of health and treatment 285 of diseases [47]. Modern day research has shown that sage essential oil can improve the 286 memory and has shown promise in the treatment of Alzheimer's disease [48]. Main 287 constituents of sage essential oil are camphor and eucalyptol (1,8 cineole). Depending on 288 harvesting, sage oil may contain high amounts of toxic substances, such us  $\alpha$ - and  $\beta$ -thujone 289 [49, 50], which content is regulated in food and drink products. In the past few decades

however, sage has been the subject of an intensive study due to its phenolic antioxidant components [51-53]. Although main studies related with rosemary (*Rosmarinus officinalis*) extracts are related with its high content of antioxidant substances (mainly carnosic acid, carnosol, and rosmarinic acid) [54-56], the essential oil of this plant contains high amounts of eucalyptol and camphor, and is also recognized as an effective anti-bactericide [56-58].

295 Basil (Ocimum basilicum L.) is an aromatic plant also belonging to the group of Lamiaceae 296 family. It has been used in traditional medicine as digestive, diuretic, against gastrointestinal 297 problems, intestinal parasites, headaches, and even as a mild sedative due to its activity as 298 depressant of the central nervous system. Basil essential oil has been recognized to have 299 antiseptic and analgesic activity and thus, it has been used to treat eczema, warts and 300 inflammation [59]. Main monoterpenes present in basil essential oil are linalool, 1,8-cineole 301 and  $\alpha$ -terpineol, and also sesquiterpenes such as  $\alpha$ -bergamotene, epi- $\alpha$ -cadinol y  $\alpha$ -cadinene 302 [60-65].

303 In the case of marigold (*Calendula officinalis L.*) the essential oil is mainly comprised in the 304 flower petals (0.1-0.4%). Traditionally it has been used externally to treat wounds or sores. 305 The essential oil contains monoterpenes, such as eugenol and  $\gamma$ -terpineno, and sesquiterpenes, 306 such as  $\gamma$ - and  $\delta$ -cadinene. Furthermore, marigold is highly regarded for the important content 307 of lutein [59].

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## 309 3. Supercritical fluid extraction (SFE) of essential oils

A basic extraction scheme for SFE of solid materials is shown in Figure 4. The equipment design implies a semi-continuous procedure. A continuous feeding and discharging of the solid to obtain the continuous process was studied and developed [66] but design and operation of this alternative is neither cheap nor simple and thus, in practice is not commonly employed.

The central piece in the SFE device of Figure 4 is the extraction vessel (EV) charged with the raw matter to be extracted. The raw matter (dried and grinded) is generally loaded in a basket, located inside the extractor, and allows a fast charge and discharge of the extraction vessel. The extraction vessel is commonly cylindrical; as a general rule the ratio between length and diameter is recommended to be 5-7.

From the bottom of the extraction vessel the supercritical solvent is continuously loaded; at the exit of the extractor the supercritical solvent with the solutes extracted flows through a depressurization valve (V) to a separator (S1) in which, due to the lower pressure, the extracts are separated from the gaseous solvent and collected. Some SFE devices contain two or more separators, as is the case of the scheme shown in Figure 4. In this case, it is possible to fractionate the extract in two or more fractions (on-line fractionation) by setting suitable temperatures and pressures in the separators.

327 In the last separator of the cascade decompression system the solvent reaches the pressure of 328 the recirculation system (generally around 4-6 MPa). Then, after passing through a filter (F), 329 the gaseous solvent is liquefied (HE1) and stored in a supplier tank (ST). When the solvent is 330 withdrawn from this tank is pumped (P1) and then heated (HE2) up to the desired extraction 331 pressure and temperature. Before pumping, precooling of the solvent is generally required 332 (HE3) in order to avoid pump cavitation. If a cosolvent is employed an additional pump is 333 necessary (P2). Usually, the cosolvent is mixed with the solvent previously to introduction to 334 HE2 as is depicted in Figure 4.

#### 335 **3.1 Effect of matrix pretreatment and packing**

336 The particular characteristics of the plant species is, indeed, a decisive factor in the 337 supercritical extraction kinetics. Recently, Fornari et al. [67] presented a comparison of the 338 kinetics of the supercritical CO<sub>2</sub> extraction of essential oil from leaves of different plant 339 matrix from Lamiaceae family. In their work, identical conditions of raw material 340 pretreatment, particle size, packing and extraction conditions (30 MPa, 40°C and no co-341 solvent) were maintained. Figure 5 show a comparison between the global yields obtained for 342 the different raw materials as a function of extraction time. As can be deduced from the 343 figure, sage (Salvia officinalis) and oregano (Origanum vulgare) were completely extracted 344 in less than 2 h, while rosemary (*Rosmarinus officinalis*) and thyme (*Thymus zygis*) were not 345 completely exhausted after 4.5 h of extraction. Moreover, very similar kinetic behavior 346 resulted for sage and oregano, so as for thyme and rosemary. Considering the first period of 347 extraction (1.5 h) it was estimated a removal velocity of around 0.004 g extract / g CO<sub>2</sub> in the 348 case of sage and oregano, and almost half of this value in the case of rosemary and thyme.

With respect to the fractionation of the extracted material, a depressurization cascade system comprised of two separators (similar to that depicted in Figure 4) was employed, and it was observed that the performance is quite different considering the diverse plants studied. In the case of oregano, the amount of material recovered in the second separator (S2) is almost half the amount recovered in the first one (S1). Just the opposite behavior is detected for sage and 354 thyme, while in the case of rosemary extraction similar amounts of extract were recovered in 355 both S1 and S2. This distinct fractionation behavior observed should be attributed to the 356 different substances co-extracted with the essential oil compounds (extraction and 357 fractionation conditions were kept exactly the same), since the isoprenoid type compounds 358 were selectively recovered in S2 separator for the four plant materials studied [67]. GC-MS 359 analysis of the essential oil compounds present in S1 and S2 samples resulted that ca. 91, 78, 360 93 and 86% of the volatile oil compounds identified, respectively, in oregano, sage, thyme 361 and rosemary were recovered in S2 separator. A comparison of the content of some common 362 volatile oil compounds identified in oregano, sage and thyme was also given by Fornari et al. 363 [67] and is resumed in Table 2. The oregano/thyme and sage/thyme ratios given in Table 2 364 indicate that the content of 1,8 cineole and camphor in sage was at least 8 times higher than 365 in thyme. Further, oregano and thyme contain similar amounts of linalool, and around 15 366 times higher than sage. Sabinene,  $\alpha$ -terpineol, carvacrol and carvophyllene were significantly more abundant in oregano than in thyme or sage extracts [67]. 367

368 Also the part of the plant employed as raw material is an important factor to be considered, 369 since may greatly affect the composition of the extracted essential oil. For example, Bakó et 370 al. [68] investigate the carotenoid composition of the steams, leaves, petals and pollens of 371 Calendula officinalis L. and concluded that in the petals and pollens, the main carotenoids were flavoxanthin and auroxanthin while the stem and leaves mostly contained lutein and β-372 373 carotene. Moreover, with respect to essential oil composition, minor qualitative and major 374 quantitative variations were determined with respect to the substances present in the different 375 parts of the plant. For example, Chalchat et al. [69] examined the chemical composition of the essential oil produced by hydro-distillation of flowers, leaves and stems from basil 376 377 (Ocimum basilicum L.). They conclude that the essential oil obtained from flowers and leaves 378 contained more than 50-60% of estragole and around 15-20% of limonene, while only 16% 379 of estragole and 2.4% of limonene were present in the essential oil extracted from stems. 380 Furthermore, dillapiole was the main substance identified in stems ( $\approx 50\%$ ) and very low 381 amounts of this compound were found in flowers and leaves.

382 Despite the lipophilic character of essential oil compounds, the water present in the vegetable 383 matrix may interfere in the solute- $CO_2$  interaction (particularly in the case of terpenoids 384 which are most polar than terpenoids) and produce a decrease of extraction yield. For this 385 reason, drying of the raw material is recommended. 386 Generally, the vegetable matrix should not have water content higher than 12%; the presence 387 of water can cause other undesirable effects such as formation of ice in pipelines due to the 388 rapid depressurization provoked to precipitate the solutes, hydrolysis of compounds, etc. In 389 turn, it is obvious that drying may influence the content of volatile oil compounds. Oca et al. 390 [70] studied the influence of different drying processes on the essential oil composition of 391 rosemary supercritical extracts. Three different methods of drying were investigated: freeze-392 drying, oven-drying and vacuum rotary evaporation. They conclude that the highest quantity 393 of rosemary essential oil was achieved when freeze-drying was utilized, due to the low 394 temperatures applied and thus, less aroma compounds were lost. Although rotary evaporation 395 was carried out at lower temperature (35°C) than oven-drying (45°C), the absence of light in 396 the second method produced less damage in the composition of rosemary essential oil.

397 Beyond the specific characteristics of the plant variety and the part of the plant employed for 398 extraction, cell disruption is a crucial factor in solvent extraction processes and thus, in SFE. 399 Essential oil compounds are found in intracellular spaces, more than on the surface of the 400 vegetal cell. Thus, in order to attain an adequate contact with the solvent, a pretreatment to produce cell disruption (comminuting, grinding) is critical. Then, the efficiency of the 401 402 extraction process is improved by a decreasing of mass transfer resistance. Indeed, particle 403 size greatly affects process duration and both variables are interconnected with CO<sub>2</sub> flow rate. 404 The selection of these parameters has the target of producing the exhaustion of the desired 405 compounds in the shorter time.

406 Particle size plays an important role in SFE processes; if internal mass transfer resistances 407 could be reduced, the extraction is controlled by equilibrium conditions and thus, short 408 extraction times are required. For example, Aleksovsk and Sovová [49] proved that in the 409 SFE of sage leaves ground in small particles, the essential oil was easily accessible to the 410 supercritical CO<sub>2</sub> solvent at moderate conditions (9-13 MPa and 25-50°C) and the extraction was controlled by phase equilibrium. The same readily SFE of sage was observed by Fornari 411 412 et al. [67] while a delayed kinetic (controlled by mass diffusion) was deduced for thyme and 413 rosemary supercritical extraction [67, 71] although the same grinding method, particle size 414 and packing procedure was applied for the three plants.

415 Decreasing particle size improves SFE rate and yield. For example, Damjanovic et al. [72] 416 reported that a decrease of fennel particles from 0.93 to 1.48 mm produced a significant 417 increase in the essential oil yield (from 2.15% to 4.2%). Moreover, very small particles could 418 result in low bed porosity (tight packing) and problems of channeling can arise inside the

419 extraction bed. Also, during grinding, the loss of volatile compounds could be produced. In420 this respect, several authors have studied the effect of cooling during grinding [73, 74].

421 Almost 99% of input energy in grinding is dissipated as heat, rising the temperature of the 422 ground product. In spice grinding temperature rises to the extent of 42 - 93°C [75] and this 423 causes the loss of volatile oil and flavor constituents. The temperature rise of the vegetal 424 matter can be minimized to some extent by circulating cold air or water around the grinder. 425 But this technique is generally not enough to significantly reduce the temperature rise of the 426 solid matrix. The loss of volatiles can be significant reduced by the cryogenic grinding 427 technique, using liquid nitrogen or liquid carbon dioxide that provides the refrigeration (by 428 absorbing heat generation during grinding) needed to pre-cool the spices and maintain the 429 desired low temperature. Meghwal and Goswami [73] present a comprehensive study of 430 black pepper grinding. They compare the grinding using a rotor mill at room temperature 431 without any refrigeration and cryogenic grinding using liquid nitrogen. They proved that the 432 volatile oil content in powder obtained after the cryogenic grinding was higher (ca. 1.98 to 433 2.15 ml / 100 g of powder) than that obtained from ambient grinding (0.87 to 0.96 ml / 100 g 434 of powder). Further, the authors also demonstrated cryogenic grinding improved the 435 whiteness and yellowness indices of the product obtained, whereas ambient grinding 436 produces ash colored powder with high whiteness and low yellowness indices.

### 437 **3.2 Effect of extraction conditions**

The most relevant process parameter in SFE from plant matrix is the extraction pressure, which can be used to tune the selectivity of the supercritical solvent. With respect to extraction temperature, in the case of thermolabile compounds such as those comprising essential oils, values should be set in the range 35-50°C; e.g., in the vicinity of the critical point and as low as possible to avoid degradation.

443 Essential oils can be readily extracted using supercritical CO<sub>2</sub> at moderate pressures and 444 temperatures. That is, from an equilibrium point of view rather low pressures are required to 445 extract essential oils from plant matrix (9-12 MPa) (see Figure 3). Yet, higher pressures are 446 also applied in order to take advance of the compression effect on the vegetal cell, what 447 enhances mass transfer and liberation of the oil from the cell. High pressures produce the co-448 extraction of substances other than essential oil. The general rule is: the higher is the 449 pressure, the larger is the solvent power and the smaller is the extraction selectivity. Thus, 450 when high pressures are applied, on-line fractionation scheme with at least two separators is 451 required to isolate the essential oil from the other co-extracted substances. For example, moderate conditions (solvent densities between 300 and 500 kg/m<sup>3</sup>) were found to be 452 sufficient for an efficient extraction of essential oil from oregano leaves [76]. Although 453 454 higher pressures increase the rate of extraction and yield, also significant amounts of waxes 455 were co-extracted and, consequently, the essential oil content in the extract decreased [67]. In the case of marigold extraction, when high pressures are applied (50 MPa and 50°C) main 456 457 compounds extracted are triterpenoid esters [77], while lower pressures (20 MPa and 40°C) 458 produce extracts rich in aliphatic hydrocarbons, acetyl eugenol and guaiol [78].

459 Supercritical  $CO_2$  is a good solvent for lipophilic (non-polar) compounds, whereas, it has a 460 low affinity with polar compounds. Thus, a cosolvent can be added to CO<sub>2</sub> to increase its solvent power towards polar molecules. Since essential oils are comprised by lipophilic 461 462 compounds, the addition of a cosolvent to attain a suitable recovery of essential oils is not necessary. This is an important advantage of SFE essential oil production, since subsequent 463 464 processing for solvent elimination (and recuperation for recycling) is not required. Moreover, 465 several studies are reported in which ethanol and other low molecular weight alcohols are 466 employed in the SFE of plants and herbs. But in these cases, antioxidant compounds were 467 generally the target. For instance, Leal et al. [79] studied the SFE of basil using water at 468 different concentrations (1, 10 and 20 %) as cosolvent of CO<sub>2</sub>. They conclude that the 469 extraction yield increases as the percentage of cosolvent increases, but also a reduction of the 470 content of terpene compounds while an increase of phenolic acids content is observed in the 471 extracted product. Menaker et al. [63] and Hamburger et al. [80] also observed an increase in 472 the extraction yield when ethanol is employed as co-solvent in the SFE of basil, but a 473 substantial decrease of the essential oil components when the amount of co-solvent and CO<sub>2</sub> 474 density increases, while the extract is enriched in flavonoid-type compounds.

475 Table 3 show the effect of ethanol as cosolvent in the supercritical extraction of rosemary leaves. Although different extraction pressures were employed (data obtained in our SFE 476 477 pilot-plant) is evident that the amount of essential oil extracted, which is represented in the 478 table by the main constituents of rosemary essential oil, is not significantly increased when 479 ethanol is employed as cosolvent, while ca. 4 and 6 fold increase in the extraction of, 480 respectively, carnosic acid and carnosol is observed. That is, the major effect of employing 481 ethanol as cosolvent in the CO<sub>2</sub> SFE of rosemary is observed on the recovery of its phenolic 482 antioxidant compounds but not in the extraction of essential oil substances.

### 484 **3.3 Fractionation alternatives**

Another technological alternative that can be very useful to improve the selectivity of SFE to produce essential oils is fractionation of the extract, what means the separation of the solutes extracted from the plant matrix in two or more fractions. This strategy can be used when it is produced the extraction of several compound families from the same matrix, and they show different solubilities in supercritical  $CO_2$  (see Figure 3). Fractionation techniques take advantage of the fact that the supercritical solvent power can be sensitively varied with pressure and temperature.

Two different fractionation techniques are possible: an extraction accomplished by successive
steps (multi-step fractionation) and fractionation of the extract in a cascade decompression
system (on-line fractionation).

In the case of multi-step fractionation, the conditions applied in the extraction vessel are varied step by step, increasing  $CO_2$  density in order to obtain the fractional extraction of the soluble compounds contained in the organic matrix. Thus, the most soluble solutes are recovered in the first fraction, while substances with decreasing solubility in the supercritical solvent are extracted in the successive steps. Essential oils generally constitute the first fraction of a multi-step fractionation scheme due to their good solubility in supercritical  $CO_2$ .

501 For example, multi-step fractionation arrangement may consist in performing a first extraction step at low CO<sub>2</sub> density ( $\approx 300 \text{ kg/m}^3$ ) followed by a second extraction step at high 502 CO<sub>2</sub> density ( $\approx$  900 kg/m<sup>3</sup>). Then, the most soluble compounds are extracted during the first 503 504 step (for example, essential oils) and the less soluble in the second one (e.g. antioxidants). 505 Fractionation of rosemary extract was first reported by Oca et al. [70]: two successive 506 extraction steps resulted in a low-antioxidant but essential oil rich fraction in the first step (10 507 MPa and 40°C, CO<sub>2</sub> density = 630 kg/m<sup>3</sup>) and a high-antioxidant fraction in the second step 508 (40 MPa and 60°C, CO<sub>2</sub> density = 891 kg/m<sup>3</sup>).

509 Multi-step fractionation was also employed by the authors (data non published) to produce 510 the complete exhaustion of rosemary essential oil using pure  $CO_2$  in a first step, and a 511 fraction with high antioxidants content using  $CO_2$  and ethanol as co-solvent in the second 512 step. But in this case, high  $CO_2$  density was applied first (30 MPa and 40°C,  $CO_2$  density = 513 911 kg/m<sup>3</sup>) in order to produce the complete deodorization of plant matrix. Despite the fact 514 that some antioxidants were also co-extracted in this step, the high pressures applied ensured 515 the complete exhaustion of essential oil substances from plant matrix. Then, a step using ethanol cosolvent was applied at lower CO<sub>2</sub> densities (15 MPa and 40°C, CO<sub>2</sub> density = 781 kg/m<sup>3</sup>). This second step produced an extract (5% yield) containing 33 %w/w of antioxidants (carnosic acid plus carnosol) and less than 2.5 %w/w of volatile oil compounds.

519 On-line fractionation is another fractionation alternative which allows operation of the 520 extraction vessel at the same conditions during the whole extraction time, while several 521 separators in series (normally, no more than two or three separators) are set at different 522 temperatures and decreasing pressures. The cascade depressurization is achieved by means of 523 back pressure regulators valves (see the scheme depicted in Figure 4). The scope of this 524 operation is to induce the selective precipitation of different compound families as a function 525 of their different saturation conditions in the supercritical solvent. This procedure has been 526 applied with success in the SFE of essential oils as it was well established by Reverchon and 527 coworkers in the 1990s [50, 81-83].

528 A different on-line fractionation alternative to improve the isolation of antioxidant 529 compounds from rosemary has been recently presented by the authors [55]. The experimental 530 device employed in the study is similar to the one schematized in Figure 4, comprising two 531 separators (S1 and S2) in a cascade decompression system. The SFE temperature and 532 pressure were kept constant (30 MPa and 40°C) but the depressurization procedure adopted 533 to fractionate the material extracted was varied with respect to time. At the beginning (first 534 period) on-line fractionation of the extract was accomplished; due to the lower solubility of 535 the antioxidant compounds in comparison to the essential oil substances it is apparent that the 536 antioxidants would precipitate in S1, while the essential oil would mainly be recovered in S2. 537 Nevertheless, when the amount of volatile oil remained in the plant matrix is significantly 538 reduced, no further fractionation is necessary. Then, during the rest of the extraction (second 539 period) S1 pressure is lowered down to CO<sub>2</sub> recirculation pressure and all the substances 540 extracted were precipitated in S1, and mixed with the material that had been recovered in this 541 separator during the first period of extraction. The authors varied the extend of the first 542 extraction period and determine the optimum in order to maximize antioxidant content and 543 yield in the product collected in S1. In this way, a fraction was produced with a 2-fold 544 increase of antioxidants in comparison with a scheme with no fractionation, and with a yield 545 almost five times higher than that obtained when on-line fractionation is accomplished during 546 the whole extraction time. With respect to rosemary volatile oil a 2.5-4.5 fold increase was 547 observed for several substances (1,8 cineol, camphor, borneol, linalool, terpineol, verbenone

548 and  $\beta$ -caryophyllene) in the sample collected in S2 with respect to the antioxidant fraction 549 collected in S1 [55].

550

#### 551 **3.4 Ultrasound assisted SFE**

552 Since high pressures are used in SFE, mechanical stirring is difficult to be accomplished. 553 Thus, application of ultrasound assisting the extraction may produce important benefits to 554 improve mass transfer processes.

The use of ultrasound to enhance extraction yield has started in the 1950s with laboratory scale equipment. Traditional solvent extraction assisted by ultrasound has been widely used for the extraction of food ingredients such as lipids, proteins, essential oils, flavonoids, carotenoids and polysaccharides. Compared with traditional solvent extraction methods, ultrasound can improve extraction rate and yield and allow reduction of extraction temperature [84].

561 The enhancement produced by the application of ultrasonic energy in the extraction of plants 562 and herbs was recognized in several works [85, 86]. Ultrasound causes several physical 563 effects such as turbulence, particle agglomeration and cell disruption. These effects arise 564 principally from the phenomenon known as cavitation, i.e. the formation, growth and violent 565 collapse of microbubbles due to pressure fluctuations. Cavitation in conventional solvent extraction is well established. However, in the case of pressurized solvents, the intensity 566 567 required producing cavitation increases and thus it is expected that the effect of ultrasound 568 application to high pressure processes is much limited [87].

Riera et al. [88] study the effect of ultrasound assisting the supercritical extraction of almond oil. Trials were carried out at various pressures, temperatures, times and CO<sub>2</sub> flow rates. At pressures around 20 MPa the improvement in the yield was low ( $\approx$  15%) probably because the solubility of almond oil in supercritical CO<sub>2</sub> is rather low. However, at higher extraction pressures larger improvements between extraction curves with and without ultrasounds where achieved (around 40-90%).

575 Balachandran et al. [89] studied the influence of ultrasound on the extraction of soluble 576 essences from a typical herb (ginger) using supercritical  $CO_2$ . A power ultrasonic transducer 577 with an operating frequency of 20 kHz was connected to an extraction vessel and the 578 extraction of gingerols (the pungent compounds of ginger) from freeze-dried ginger particles was monitored. In the presence of ultrasound, both extraction rate and yield increased. The recovery of gingerols was significantly increased up to 30%, in comparison with the extraction without sonication. This higher extraction rate observed was attributed to disruption of the cell structures and an increase in the accessibility of the solvent to the internal particle structure, which enhances the intra-particle diffusivity. While cavitation would readily account for such enhancement in ambient processes, the absence of phase boundaries should exclude such phenomena at supercritical conditions.

586

# 587 **4. Supercritical chromatography fractionation of essential oils**

588 Supercritical fluid chromatography (SFC) is also a novel procedure employed in the food and 589 nutraceutical field to separate bioactive substances. SFC embraces many of the features of 590 liquid and gas chromatography, and occupies an intermediate position between the two 591 techniques. Because solubility and diffusion can be optimized by controlling both pressure 592 and temperature, chromatography using a supercritical fluid as the mobile phase can achieve 593 better and more rapid separations than liquid chromatography.

Natural products have also been subjected to application of SFC. First studies in this field were the separation of tocopherols from wheat germ [90] and the isolation of caffeine from coffee and tea [91]. More recent works are related with the fractionation of lipid-type substances and carotenoids. As examples, the reader is referred to the work of Sugihara et al. [92], in which SFE and SFC are combined for the fractionation of squalene and phytosterols contained in the rice bran oil deodorization distillates, and the work of Bamba et al. [93] in which an efficient separation of structural isomers of carotenoids was attained.

With respect to essential oils, Yamauchi et al. [94] reported the SFC fractionation of lemon peel oil in different compounds such as hydrocarbons, alcohols, aldehydes or esters. Desmortreux et al. [95] studied the isolation of coumarins from lemon peel oil and Ramirez et al. [96, 97] reported the isolation of carnosic acid from rosemary extract both in analytical and semi-preparative scale.

Recently, the authors [98] studied the fractionation of thyme (*Thymus vulgaris L.*) essential oil using semi-preparative SFC. The essential oil was produced by supercriticl extraction at 15 MPa and 40°C (no co-solvent). In the SFC system a silica- packed column (5  $\mu$ m particle diameter) placed in an oven was employed, and was coupled to a UV/Vis detector. The SFC system comprises six collector vessels in which the sample can be fractionated, with a 611 controlled flow of solvent (also ethanol) to ensure completely recovery of injected material.
612 Figure 6 shows a scheme of the supercritical SFC device employed. Different conditions
613 were explored, including the use of ethanol as cosolvent, to produce a fraction enriched in
614 thymol, the most aboundant antimicrobial substance present in thyme essential oil.

615 Figure 7 shows the SFC chromatogram obtained at 50°C, 15 MPa and using 3 % ethanol 616 cosolvent. Chromatogram A on Figure 7 corresponds to the injection of 5 mg/ml concentrate 617 of supercritical thyme extract and chromatogram B corresponds to injections carried out at 20 618 mg/ml. In both cases, a distinct peak at similar elution time of thymol (2.8 min) can be 619 observed in the figure. Figure 7 also shows the intervals of time selected to fractionate the 620 thyme extract sample; three different fractions (F1, F2 and F3) were collected. As a result, 621 around a 2 fold increase of thymol was obtained in F2 fraction (from 29 % to 52 % w/w) with 622 a thymol recovery higher than 97%.

623

#### **5.** Comparison of the SFE extraction of essential oil from different plant matrix

625 Supercritical CO<sub>2</sub> extraction of several plants from Lamiaceae family were extracted and 626 fractionated in a supercritical pilot-plant comprising an extraction cell of 21 of capacity. The 627 SFE system (Thar Technology, Pittsburgh, PA, USA, model SF2000) is similar to that 628 schematized in Figure 4. Plant matrix consisted in dried leaves of oregano (Origanum 629 vulgare), thyme (Thymus vulgaris), sage (Salvia officinalis), rosemary (Rosmarinus 630 officinalis), basil (Ocimum basilicum) and marjoram (Origanum majorana), while dried 631 petals were employed in the case of marigold (Calendula officinalis) extraction. All plant 632 matrixes were ground in a cooled mill and were sieving to 200-600 µm of particle size.

The extraction cell was loaded with 0.50-0.55 kg of vegetal matter. The extractor pressure was 30 MPa and temperature of the extraction cell and separators was maintained at 40°C.  $CO_2$  flow rate was 60 g/min and extraction was carried out for 5 h. Fractionation of the extracted material was accomplished by setting the pressure of the first separator (S1) to 10 MPa, while the second separator (S2) was maintained at the recirculation system pressure (5 MPa). The same extraction conditions were applied for all plant varieties. A comparison of the extraction yield, fractionation behavior and essential oil composition was established.

The essential oil compounds of samples were determined by GC-MS-FID using 7890A
System (Agilent Technologies, U.S.A.), as described previously [67]. The essential oil
substances were identified by comparison with mass spectra from library Wiley 229.

643 Table 4 shows the extraction yield (mass extracted / mass loaded in the extraction cell x 100) 644 obtained in the separators S1 and S2 for all plant matrix processed. The lower overall 645 extraction yields were achieved for basil, thyme and marjoram ( $\approx 2\%$ ) while higher yields 646 were obtained for the rest of plants. Oregano is the only raw material for which extraction 647 yield was significantly higher in S1 than in S2. As mentioned before, this behavior in oregano 648 supercritical extraction was previously explained by the high amounts of waxes co-extracted 649 when high extraction pressures were employed [76]. For the rest of plant matrix, similar 650 extraction yields were achieved both in S1 and S2 (rosemary and marigold) or S2 yields were 651 higher than S1 yields (sage, thyme, basil and marjoram).

Table 5 present the essential oil composition of the different fractions collected (S1 and S2 samples) in terms of the percentage of total area identified in the GC-MS analysis. Figures 8 and 9 show, respectively, the chromatogram obtained for basil and marigold extracts.

Total chromatographic area quantified in the GC analysis allowed an estimation of the percentage of essential oil compounds recovered in S2 fractions, with respect to the total essential oil recovered in S1 and S2 fractions. As can be observed in Table 4, almost all essential oil substances were recovered in S2 fraction (> 70%) for all plant matrixes studied. That is, on-line fractionation was a suitable technique to achieve the isolation of the plant essential oil in the second separator.

661 Furthermore, it can be stated in general that although the amounts of essential oil compounds 662 recovered in S1 were rather lower than those recovered in S2, the essential oil compositions 663 (% area of identified compounds) of both fractions were quite similar (see Table 5). That is, 664 differences between both fractions were more quantitative than qualitative. Some exceptions 665 were the larger % area of linalool observed in basil S2 fraction with respect to basil S1 sample, the high % area of a non-identified compound (NI in Table 5) present in thyme S1 666 extract, and the larger concentrations of 1,8 cineole observed in sage and rosemary S1 667 668 samples in comparison with the corresponding S2 samples.

According to the results given in Table 5, some common substances such as linalool, sabinene, terpineol and caryophyllene were found in all samples in different concentrations. High concentrations of sabinene were found only in oregano and marjoram, linalool in marigold and basil, and caryophyllene in rosemary. Hydrocarbon monoterpenes (pinene, camphene, cymene, and limonene) were found in low % area in oregano, thyme, sage and rosemary. Further, in the case of marigold, marjoram and basil these substances were not detected. As expected, thyme and oregano extracts were the ones with the larger concentrations of thymol and carvacrol. Also, high amounts of 1,8 cineole, borneol and
camphor were found in rosemary and sage. The content of borneol and camphor were,
respectively, 3 and 5 times higher in rosemary, while the content of 1,8 cineole was around
2.5 times higher in sage.

680

# 681 Conclusion

Essential oils of plants and herbs are important natural sources of bioactive substances and 682 683 SFE is an innovative, clean and efficient technology to produce them. The lipophilic 684 character of the substances comprising essential oils guarantees high solubility in CO<sub>2</sub> at 685 moderate temperatures and pressures. Further, the use of polar cosolvents is not necessary 686 and the subsequent processing for solvent elimination is not required. The low processing 687 temperatures result in non-damaged products, with superior quality and better biological 688 functionality. Higher extraction pressures produce the co-extraction of substances with lower solubilities and fractionation alternatives allow the recovery of different products with 689 690 different composition and biological properties. More recent studies revealed the ultrasound 691 assisted supercritical extraction may increase both extraction rate and yield.

These favorable features in the production of supercritical essential oils from plants gained commercial application in the recent decades and a wide variety of products are available in the market at present. Moreover, the increasing scientific evidence which links essential oil components with favorable effects on human diseases, permit to predict an increase of the application of supercritical fluid technology to extract and isolate these substances from plant matrix, with the consequent application in the production of functional foods, nutraceuticals and pharmacy products.

699

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Raw material	Botanical name	Main constituents of essential oil	References
Anise verbena	Lippia alba	carvone, limonene, elemol, γ-muurolene, guiaol, bulnesol	[99, 100]
Aniseed	Pimipinella anisum	anethole, γ-himachalene, p-anisaldehyde, methylchavicol, cis-pseudoisoeugenyl 2- methylbutyrate, trans-pseudoisoeugenyl 2- methylbutyrate	[101]
Artemisa	Artemisia sieberi	camphene, 1,8 cineol, γ-terpinene, chrysanthenone, camphor, cis- chrysanthenone	[102]
Basil leaves	Ocimum basilicum	linalool, methyl-eugenol, 1,8 cineole, α- bergamotene, α-cadinene	[63]
Cashew	Anacardium occidentale	cardanol, cardol, dimethylanacardate	[103]
Chamomile	Chamomilla recutita	matricine, chamazulene, bisabolol	[104]
Clove	Eugenia caryophyllata Thunb	eugenol, caryophyllene, eugenol acetate linalool, $\gamma$ terpinene, camphor, geranyl	[105, 106]
Coriander	Coriandrum sativum	acetate, α pinene, geraniol, limonene	[107]
Eucalyptus	Eucalyptus camaldulensis Dehnh.	1,8 cineole, a-pinene, β-pinene, terpinen-4- ol, allo-alomandrene, globulol	[108]
Fennel	Foeniculum vulgare Mill.	trans-anetole, methyl chavicol, fenchone	[72]
Hyssop	Hyssopus officinallis	sabibebem iso-pinocamphene, pinocamphene	[109]
Laurel leaves	Laurus nobilis	1,8 cineole, linalool, α-terpinylacetate, methyleugenol	[110]
Lavender	Lavandula angustifolia	linalool, camphor, borneol, terpinen-4-ol, linalyl acetate, oxygenated monoterpenes, oxygenated sesquiterpenes	[111]
Macela	Achyrocline alata, A. satureioides	trans-caryophyllene, α-humulene	[112]
Myrtus	Myrtus communis	$\alpha$ -pinene, Limonene, 1,8 cineole	[113]
Marigold	Calendula officinalis	acetyl eugenol, guaiol	[114]
Marjoram	Origanum majorana	4-terpineol, ρ-cymene, carvacrol, sabinene hydrate	[38]
Mint	Mentha spicata insularis	L-menthone, isomenthone, menthol, cis-b- terpineole, menthylacetate, trans β- caryophyllene, germacrene-D	[115]
Oregano	Origanum vulgare	carvacrol, tymol, sabinene hydrate, p-cypeme, linalool	[77, 106]
Pennyroyal	Mentha pulegium	menthone, pulegone, limonene.	[116]
Pepper black	Piper nigrum	3-γ-carene, limonene, β-caryophilene, sabinene	[117]
Rosmarinus	Rosemary officianlis	camphor, 1,8 cineole, borneol, linalool	[12, 55]
Sage	Salvia officinalis	1,8-cineole, camphor, $\beta$ -thujone	[118]
	Salvia mirzayanii	linalyl acetate, 1,8 cineol, linalool, 8- acetoxy linalool	[119]
Star anise	Illicium anisatum	trans-anethole , limonene, chavicol , anisaldehyde	[120]
Thyme	Thymus vulgaris	thymol, carvacrol, camphor, linalool	[98]
	Thymus Zygis	thymol, carvacrol, linalool, borneol	[121]
Valerian	Valeriana officinalis	bornyl acetate, cis-α-copaene-8-ol, valerianol	[122]

**Table 1.** SFE of different plants and herbs to produce essential oils.

- **Table 2.** Comparison of the content of some common volatile oil compounds identified in oregano,
- sage and thyme extracts produced with pure  $CO_2$  at 30 MPa and 40°C [67].

Compound <i>i</i>	ratio between the compound <i>i</i> in the c			
	oregano/thyme	sage/thyme		
1,8 Cineole	-	8.42		
Sabinene hydrate	203.3	0.79		
Linalool	0.91	0.07		
Camphor	-	8.47		
Borneol	-	0.43		
α-terpineol	20.31	0.84		
Linalyl acetate	-	-		
Thymol	1.63	-		
Carvacrol	7.58	-		
E-caryophyllene	6.98	0.53		

	912	Table 3. Effect of	of cosolvent in the	supercritical of	extraction of	rosemary leaves.
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	Extraction A	Extraction B	B / A
	30 MPa, 40°C,	15 MPa, 40°C and	
	no cosolvent	5% ethanol	
	g compound /	g leaves x 100	
,8 Cineole	0.386	0.444	1.15
amphor	0.132	0.227	1.72
orneol	0.049	0.070	1.43
ornyl Acetate	0.011	0.018	1.61
Carnosic acid	0.492	1.863	3.78
arnosol	0.047	0.277	5.83

- **Table 4.** Supercritical extraction (30 MPa, 40°C, no cosolvent) and fractionation (S1: 10
- 917 MPa, S2: 5 MPa) of different plants from Lamiaceae family: extraction yield (mass extract /
- 918 mass plant matrix x 100) and percentage of essential oil recovered in S2 separator (total GC
- 919 area in S2 / total GC area in S1 + S2 x 100).

plant matrix	extractio	n yield	% essential oil in S2				
	<b>S</b> 1	S2					
oregano	3.18	1.59	88.4				
sage	1.39	3.23	77.4				
thyme	0.91	1.70	71.6				
rosemary	1.77	1.75	71.2				
basil	0.21	1.75	97.7				
marjoram	0.30	1.73	77.9				
marigold	2.35	2.20	100.0				

Tr	Compuesto	Ma	rigold	Mar	joran	Ba	asil	Ore	gano	Th	yme	Sa	ige	Rose	emary
		<b>S</b> 1	S2	<b>S</b> 1	S2	<b>S</b> 1	S2	<b>S</b> 1	S2	<b>S</b> 1	S2	<b>S</b> 1	S2	<b>S</b> 1	S2
6.28	α-Pinene	-	-	-	-	-	-	-	-	-	-	-	-	0.58	0.24
6.85	Camphene	-	-	-	-	-	-	-	-	-	-	0.06	-	0.26	0.14
8.3	1-octen-3-ol	-	-	-	-	-	-	-	0.06	0.23	0.03	-	-	0.04	0.11
8.85	β-Pinene	-	-	-	-	-	-	-	0.15	-	-	0.10	0.05	0.11	0.08
9.48	α-Phellandrene	-	-	-	-	-	-	-	-	-	-	-	-	-	0.05
10.54	M-Cymene	-	-	-	-	-	-	1.00	0.91	0.13	0.05	0.05	0.03	0.75	0.48
10.75	Limonene	-	-	-	-	-	-	-	0.25	-	-	0.25	0.13	0.37	0.28
10.88	1,8 Cineole	-	1.84	-	-	0.24	5.75	-	0.09	0.58	0.05	11.66	4.51	54.51	38.30
12.89	Sabinene hydrate trans	-	1.35	6.91	7.41	0.11	0.68	2.19	3.00	0.91	0.14	0.91	0.85	-	-
14.67	Sabinene hydrate cis	-	4.32	36.40	37.00	0.33	0.71	38.25	36.32	0.51	0.13	0.43	0.48	-	0.06
14.91	Linalool	-	10.73	2.76	2.49	4.78	27.81	1.95	1.74	3.25	0.54	1.34	1.47	1.06	1.24
17.25	Camphor	-	0.59	-	-	-	0.66	0.28	0.15	1.21	0.14	48.17	39.29	21.23	18.0
18.5	Borneol	-	-	-	-	0.77	0.44	0.61	0.25	3.26	0.96	9.10	12.78	4.86	10.0
19.29	1-terpinene-4-ol	-	5.17	13.33	12.81	0.57	1.62	2.16	4.66	0.64	0.14	0.73	0.95	1.21	1.71
19.85	P- Cymen-8-ol	-	-	-	-	-	-	-	-	0.16	-	0.11	0.24	0.11	0.19
20.1	a-Terpineol	-	4.42	8.86	8.10	2.98	3.03	2.32	2.61	0.43	-	1.45	2.44	5.40	9.85
21.12	Verbenone	-	-	0.93	0.89	-	0.06	-	0.17	-	-	-	0.20	-	-
23.84	Terpinene-4-acetate	-	-	15.85	16.20	-	-	0.83	1.32	-	-	-	-	-	-
25.6	Bornyl acetate	-	-	-	-	0.20	0.02	-	0.20	-	-	3.87	4.26	0.08	0.73
26.2	Myrtenyl acetate	-	-	-	-	-	-	-	-	-	-	6.57	7.94	-	-
26.31	thymol	-	-	-	-	-	-	35.73	30.27	73.58	69.62	-	-	-	0.12
26.46	Carvacrol	-	-	1.99	1.74	-	-	11.77	12.51	5.12	5.19	-	-	-	0.24
29.7	$\alpha$ -Terpineol acetate	-	-	-	-	-	-	-	-	-	-	4.45	5.89	-	-
30.3	Eugenol	-	12.11	0.99	0.88	41.28	24.76	-	-	-	-	-	-	-	0.33
31.12	Ylangene	-	-	-	-	-	-	-	-	-	-	-	-	-	0.19
31.4	Copaene	-	-	-	-	-	-	-	-	-	-	0.40	0.57	0.49	0.82
32.05	Acid Cinamic methyl ester	-	7.80	-	0.59	20.70	11.36	-	-	-	-	-	-	-	-
34.5	Caryophyllene	-	1.31	5.13	4.99	0.52	0.80	1.61	2.48	2.73	0.61	3.22	4.75	6.81	10.5
36.1	α-Bergamatone	-	6.63	1.24	1.10	9.38	12.27	-	-	-	-	-	-	-	0.03
36.83	NI	-	-	-	-	-	-	0.35	0.24	2.94	20.63	-	-	-	-
37.2	α-Caryophyllene	-	-	-	-	0.51	0.73	-	0.19	-	-	2.22	3.29	0.71	1.40
42.5	γ-cadinene	-	21.37	-	-	12.05	7.34	-	0.46	0.56	-	0.48	0.90		1.29
43.5	δ-Cadinene	-	22.36	-	-	-	-	-	0.14	0.58	0.33	0.88	2.19	1.18	2.53
48.12	Spathulenol	-	-	5.62	5.80	5.58	1.98	0.94	1.29	0.32	-	2.05	4.11	-	-
48.48	Caryophyllene Oxide	-	-	-	-	-	-	-	0.51	2.86	1.43	1.52	2.70	0.25	1.02

**Table 5.** Essential oil composition (% area of GC-MS analysis) of the S1 and S2 fractions obtained in the SFE (30 MPa and 40°C) of different plants from *Lamiaceae* family. NI: non-identified compound.

#### **Figure caption**

**Figure 1.** Isoprene (C<sub>5</sub>H<sub>8</sub>) chemical structure.

**Figure 2.** Chemical structure of some popular constituents of essential oil of plants and herbs: (a) limonene; (b) citral; (c) menthol; (d) linalool; (e) carvacrol; (f)  $\alpha$ -pinene; (g) sabinene; (h) camphor; (i) valerenic acid.

**Figure 3.** Solubility in supercritical CO<sub>2</sub> of several constituents of plant matter. Essential oil compounds: (\*) limonene, (-)  $\alpha$ -pinene and ( $\diamond$ ) linalool [18]; phenolic compounds: ( $\bigcirc$ ) protocatehuic acid [28], ( $\triangle$ ) methyl gallate [28] and ( $\Box$ ) *p*-cumaric acid [29]; pigments: ( $\blacksquare$ )  $\beta$ -carotene [18]; waxes: ( $\blacktriangle$ ) *n*-C<sub>28</sub>H<sub>58</sub> [31]. Temperature range: 35-50°C.

**Figure 4.** Typical SFE scheme for the extraction of plant matrix. P1:  $CO_2$  pump; P2: cosolvent pump; HE1, HE2, HE3: heat exchangers; EV: extraction vessel; S1, S2: separator cells; V, V1, V2: back pressure regulator valves; ST:  $CO_2$  storage tank; F: filter.

**Figure 5.** Supercritical CO<sub>2</sub> extraction (30 MPa and 40°C) of oregano ( $\Box$ ), sage ( $\blacksquare$ ), thyme ( $\triangle$ ) and rosemary ( $\blacktriangle$ ).

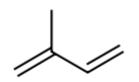
Figure 6. Scheme of a Supercritical Fluid Chromatography system.

**Figure 7.** SFC chromatogram of thyme supercritical extract produced by SFE at 15 MPa, 50°C and 3% ethanol co-solvent). (A) Injections carried out at 5 mg/ml; (B) Injections carried out at 20 mg/ml. F1, F2 and F3 indicate the intervals of time employed to collect the different fractions in the SFC semi-preparative system.

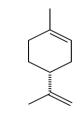
**Figure 8.** Chromatograms obtained by GC-MS analysis of basil supercritical extract produced by SFE at 30 MPa and 40°C: (a) S1 fraction; (b) S2 fraction.

**Figure 9.** Chromatograms obtained by GC-MS analysis of marigold supercritical extract produced by SFE at 30 MPa and 40°C (S2 fraction).

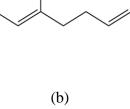
Figure 1.



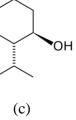


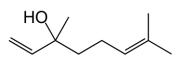


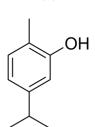


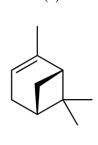


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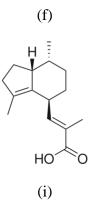
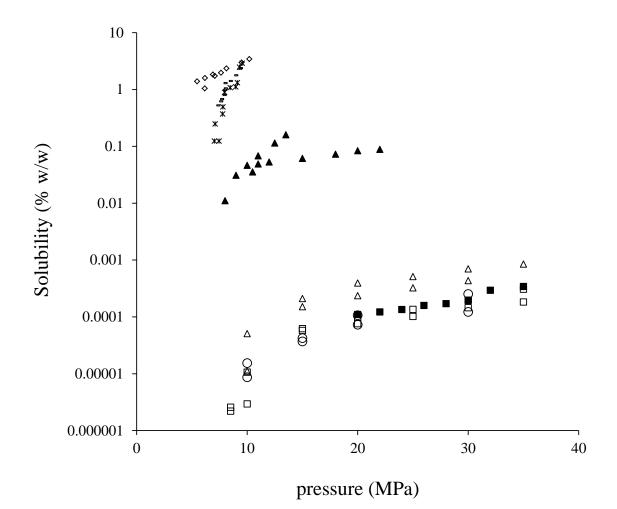




Figure 3.



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Figure 4.

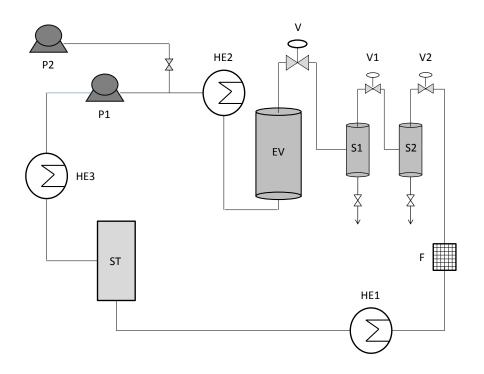
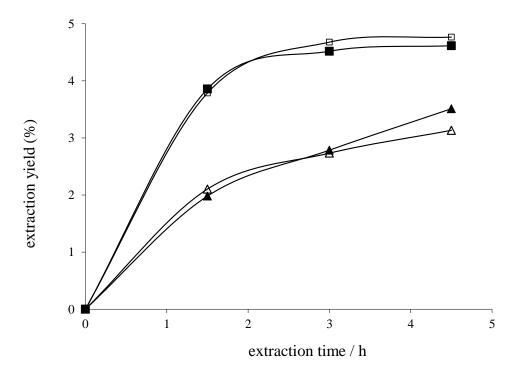


Figure 5.



## Figure 6.

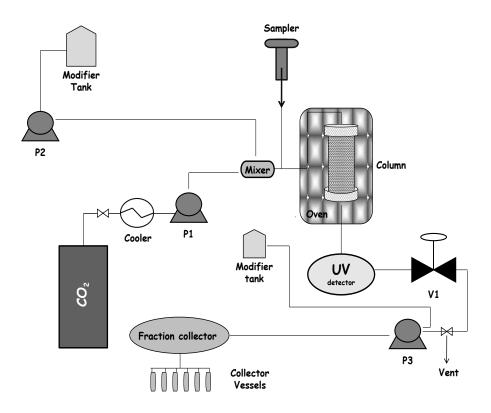


Figure 7.

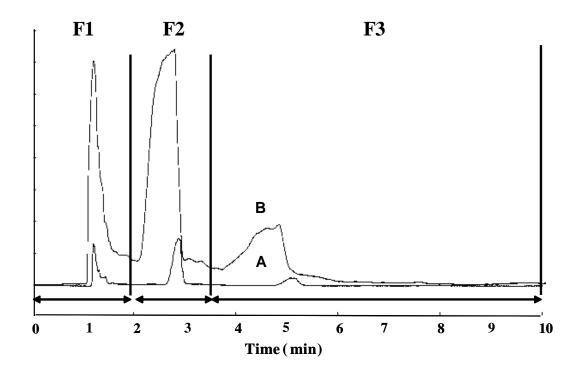
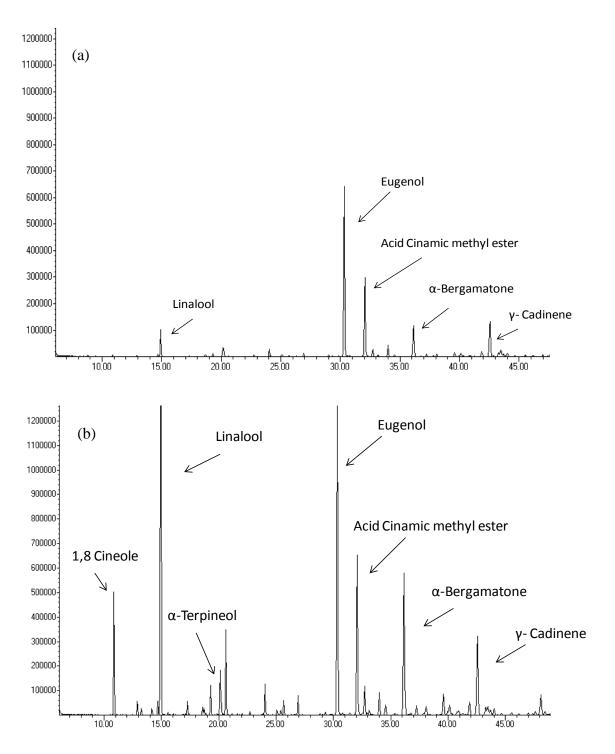
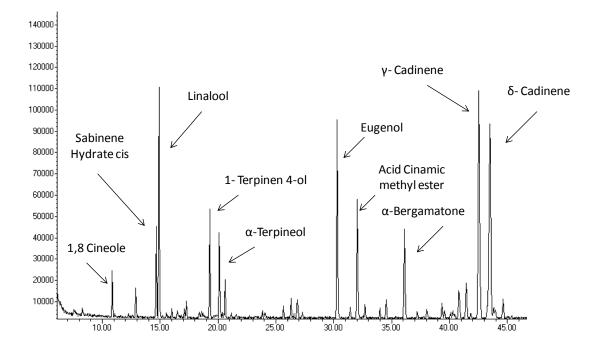


Figure 8.







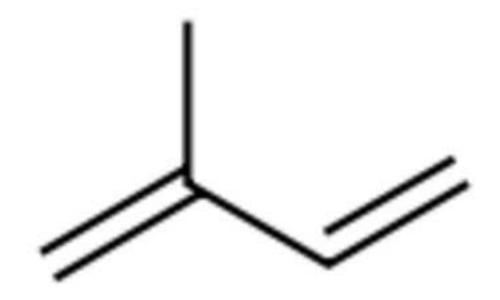


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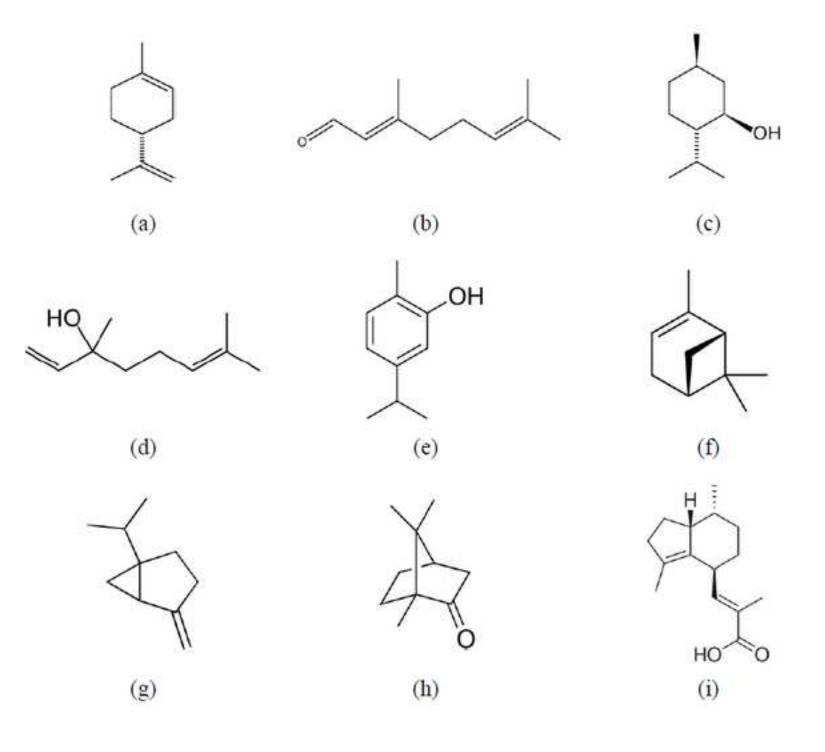


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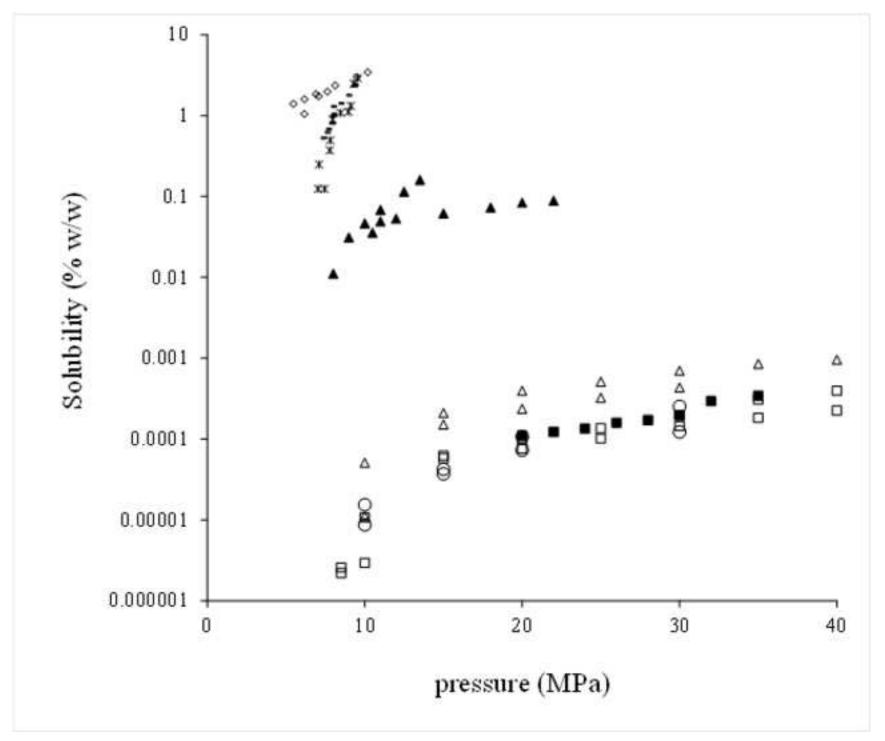
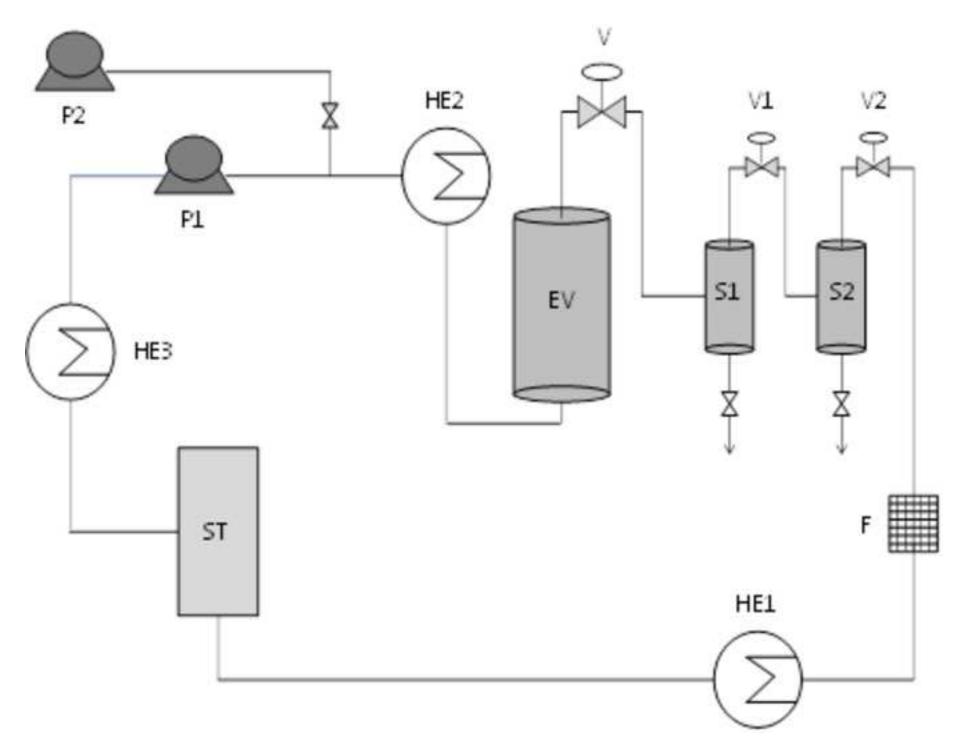
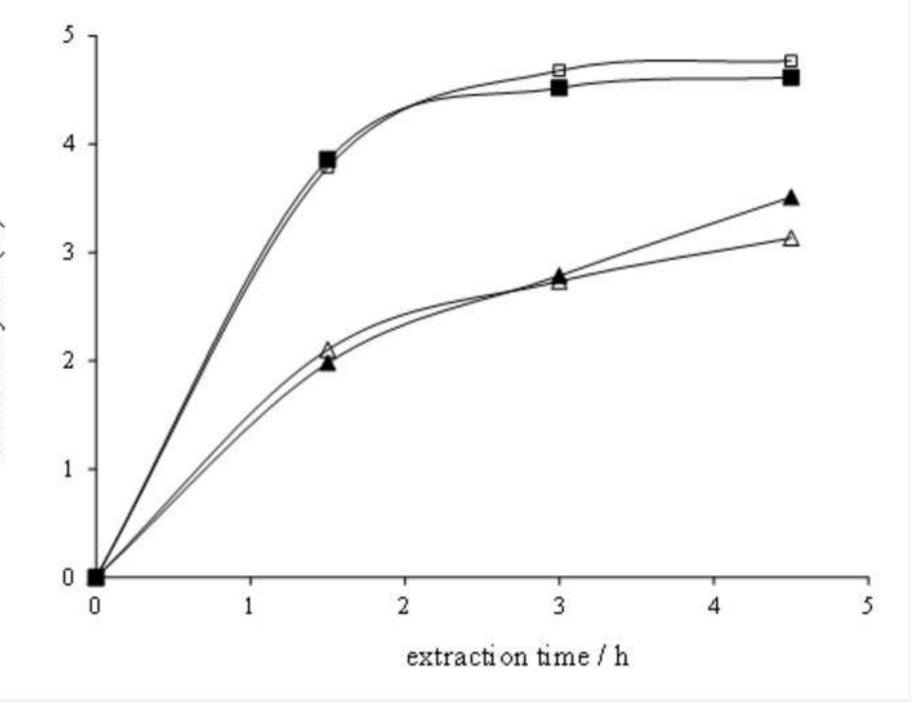
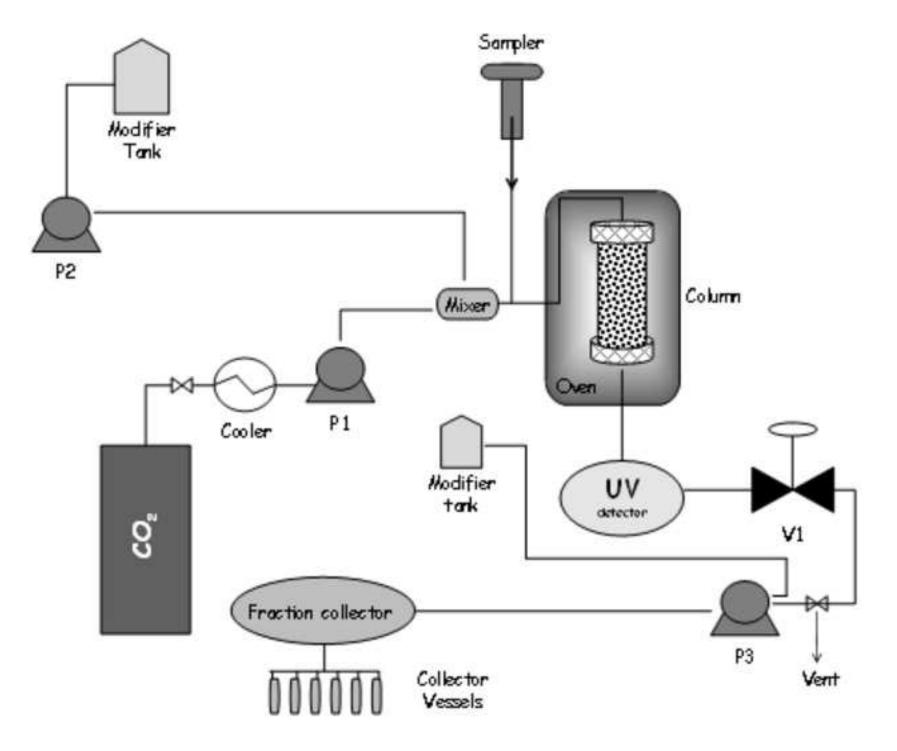


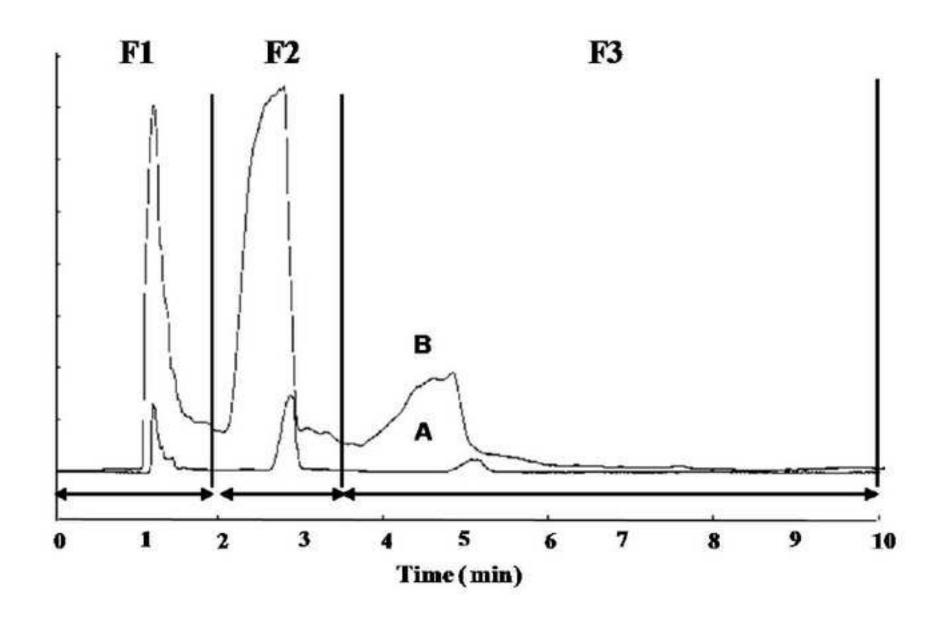
Figure 4 Click here to download high resolution image

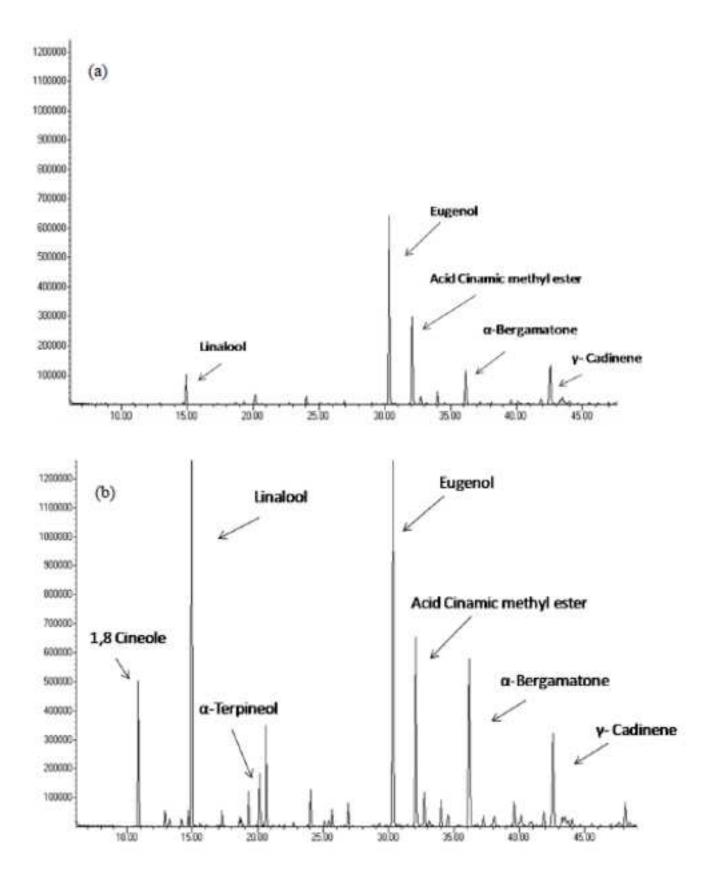


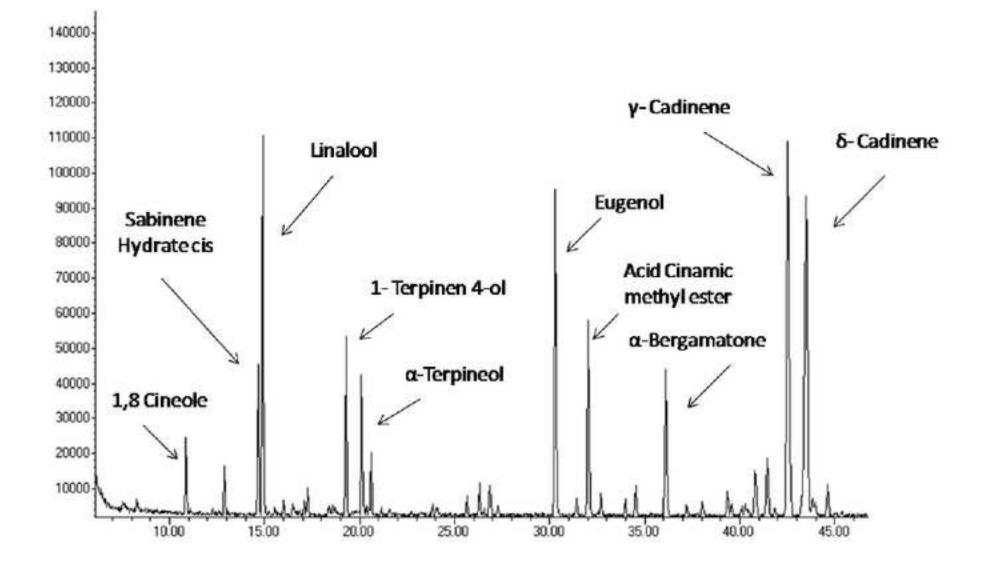


extraction yield (%)









Raw material	Botanical name	Main constituents of essential oil	Reference
Anise verbena	Lippia alba	carvone, limonene, elemol, γ-muurolene, guiaol, bulnesol	[99, 100]
Aniseed	Pimipinella anisum	anethole, γ-himachalene, p-anisaldehyde, methylchavicol, cis-pseudoisoeugenyl 2- methylbutyrate, trans-pseudoisoeugenyl 2- methylbutyrate	[101]
Artemisa	Artemisia sieberi	camphene, 1,8 cineol, γ-terpinene, chrysanthenone, camphor, cis- chrysanthenone	[102]
Basil leaves	Ocimum basilicum	linalool, methyl-eugenol, 1,8 cineole, α- bergamotene, α-cadinene [63]	
Cashew	Anacardium occidentale	cardanol, cardol, dimethylanacardate	[103]
Chamomile	Chamomilla recutita	matricine, chamazulene, bisabolol	[104]
Clove	Eugenia caryophyllata Thunb	eugenol, caryophyllene, eugenol acetate	[105, 106
Coriander	Coriandrum sativum	linalool, γ terpinene, camphor, geranyl acetate, α pinene, geraniol, limonene	[107]
Eucalyptus	Eucalyptus camaldulensis Dehnh.	1,8 cineole, a-pinene, β-pinene, terpinen-4- ol, allo-alomandrene, globulol	[108]
Fennel	Foeniculum vulgare Mill.	trans-anetole, methyl chavicol, fenchone	[72]
Hyssop	Hyssopus officinallis	sabibebem iso-pinocamphene, pinocamphene	[109]
Laurel leaves	Laurus nobilis	1,8 cineole, linalool, α-terpinylacetate, methyleugenol	[110]
Lavender	Lavandula angustifolia	linalool, camphor, borneol, terpinen-4-ol, linalyl acetate, oxygenated monoterpenes, oxygenated sesquiterpenes	[111]
Macela	Achyrocline alata, A. satureioides	trans-caryophyllene, $\alpha$ -humulene	[112]
Myrtus	Myrtus communis	$\alpha$ -pinene, Limonene, 1,8 cineole	[113]
Marigold	Calendula officinalis	acetyl eugenol, guaiol	[114]
Marjoram	Origanum majorana	4-terpineol, ρ-cymene, carvacrol, sabinene hydrate	[38]
Mint	Mentha spicata insularis	L-menthone, isomenthone, menthol, cis-b- terpineole, menthylacetate, trans β- caryophyllene, germacrene-D	[115]
Oregano	Origanum vulgare	carvacrol, tymol, sabinene hydrate, p-cypeme, linalool	[77, 106]
Pennyroyal	Mentha pulegium	menthone, pulegone, limonene. [1	
Pepper black	Piper nigrum	3-γ-carene, limonene, β-caryophilene, sabinene	[117]
Rosmarinus	Rosemary officianlis	camphor, 1,8 cineole, borneol, linalool	[12, 55]
Sage	Salvia officinalis	1,8-cineole, camphor, β-thujone	[118]
	Salvia mirzayanii	linalyl acetate, 1,8 cineol, linalool, 8- acetoxy linalool	[119]
Star anise	Illicium anisatum	trans-anethole , limonene, chavicol , anisaldehyde	[120]
Thyme	Thymus vulgaris	thymol, carvacrol, camphor, linalool	[98]
Valerian	Thymus Zygis Valeriana officinalis	thymol, carvacrol, linalool, borneol bornyl acetate, cis- $\alpha$ -copaene-8-ol,	[121] [122]
v alti läll	vaieriana officinaus	valerianol	[122]

**Table 1.** SFE of different plants and herbs to produce essential oils.

Compound <i>i</i>	ratio between the content of compound <i>i</i> in the different matrixes	
	oregano/thyme	sage/thyme
1,8 Cineole	-	8.42
Sabinene hydrate	203.3	0.79
Linalool	0.91	0.07
Camphor	-	8.47
Borneol	-	0.43
α-terpineol	20.31	0.84
Linalyl acetate	-	-
Thymol	1.63	-
Carvacrol	7.58	-
E-caryophyllene	6.98	0.53

**Table 2.** Comparison of the content of some common volatile oil compounds identified in oregano, sage and thyme extracts produced with pure  $CO_2$  at 30 MPa and 40°C [67].

	Extraction A 30 MPa, 40°C, no cosolvent	Extraction B 15 MPa, 40°C and 5% ethanol	B / A
	g compound /	g leaves x 100	
1,8 Cineole	0.386	0.444	1.15
Camphor	0.132	0.227	1.72
Borneol	0.049	0.070	1.43
Bornyl Acetate	0.011	0.018	1.61
Carnosic acid	0.492	1.863	3.78
Carnosol	0.047	0.277	5.83

**Table 3.** Effect of cosolvent in the supercritical extraction of rosemary leaves.

**Table 4.** Supercritical extraction (30 MPa, 40°C, no cosolvent) and fractionation (S1: 10 MPa, S2: 5 MPa) of different plants from *Lamiaceae* family: extraction yield (mass extract / mass plant matrix x 100) and percentage of essential oil recovered in S2 separator (total GC area in S2 / total GC area in S1 + S2 x 100).

plant matrix	extraction yield		% essential oil in S2
	<b>S</b> 1	S2	
oregano	3.18	1.59	88.4
sage	1.39	3.23	77.4
thyme	0.91	1.70	71.6
rosemary	1.77	1.75	71.2
basil	0.21	1.75	97.7
marjoram	0.30	1.73	77.9
marigold	2.35	2.20	100.0

Table 5

Lamiaceae family. NI: non-identified compound. Basil Tr Compuesto Marjoran Oregano Thyme Sage Rosemary Marigold **S**1 **S**2 **S**2 S2 **S**1 **S**2 **S**2 **S**1 **S**2 **S**1 **S**1 **S**1 **S**2 **S**1 6.28 α-Pinene 0.58 0.24 \_ -\_ \_ \_ \_ -\_ \_ \_ -6.85 Camphene 0.06 0.14 0.26 -----8.3 1-octen-3-ol 0.06 0.23 0.03 0.04 0.11 --8.85 β-Pinene 0.10 0.15 --0.05 0.11 0.08 -\_ -9.48 0.05 α-Phellandrene -\_ ------1.00 0.91 10.54 M-Cymene 0.13 0.05 0.05 0.03 0.75 0.48 ----10.75 Limonene 0.25 0.25 0.13 0.37 0.28 -------10.88 1.8 Cineole 1.84 0.24 5.75 0.09 0.58 0.05 11.66 4.51 54.51 38.30 ----12.89 Sabinene hydrate trans 1.35 6.91 7.41 0.11 0.68 2.19 3.00 0.91 0.14 0.91 0.85 ---37.00 0.06 14.67 Sabinene hydrate cis 4.32 36.40 0.33 0.71 38.25 36.32 0.51 0.13 0.43 0.48 --Linalool 14.91 10.73 2.76 2.49 4.78 27.81 1.95 1.74 3.25 0.54 1.34 1.47 1.06 1.24 -1.21 17.25 Camphor 0.59 0.28 0.14 48.17 39.29 21.23 18.07 --0.66 0.15 --18.5 Borneol 0.77 0.44 0.61 0.25 3.26 0.96 9.10 12.78 4.86 10.00 ---19.29 1-terpinene-4-ol 0.64 5.17 13.33 12.81 0.57 1.62 2.16 4.66 0.14 0.73 0.95 1.21 1.71 -19.85 P- Cymen-8-ol 0.16 0.24 0.11 0.19 -0.11 -------α-Terpineol 20.1 4.42 8.86 8.10 2.98 3.03 2.32 9.85 2.61 0.43 1.45 2.44 5.40 -21.12 Verbenone 0.93 0.89 0.06 -0.17 -0.20 -------23.84 Terpinene-4-acetate 0.83 1.32 \_ 15.85 16.20 --\_ \_ -\_ --25.6 Bornvl acetate --0.20 0.02 -0.20 \_ \_ 3.87 4.26 0.08 0.73 \_ 7.94 26.2 Myrtenyl acetate ----\_ \_ 6.57 ---\_ 30.27 26.31 thymol 35.73 73.58 69.62 0.12 ---\_ ---26.46 Carvacrol 1.99 1.74 11.77 12.51 5.12 5.19 0.24 \_ -----29.7 α-Terpineol acetate 4.45 5.89 -----\_ \_ --\_ -30.3 Eugenol 12.11 0.99 0.88 41.28 24.76 0.33 --\_ -----31.12 Ylangene 0.19 ------\_ \_ ---Copaene 0.40 0.57 0.49 0.82 31.4 ----------32.05 Acid Cinamic methyl ester 7.80 0.59 20.70 11.36 --\_ \_ \_ --\_ -34.5 Caryophyllene 4.99 0.52 2.48 2.73 3.22 4.75 10.51 1.31 5.13 0.80 1.61 0.61 6.81 -36.1  $\alpha$ -Bergamatone 6.63 1.24 1.10 9.38 12.27 0.03 --\_ -----36.83 0.24 2.94 NI ---0.35 20.63 ---\_ ---2.22 1.40 37.2  $\alpha$ -Caryophyllene 0.51 0.73 0.19 3.29 0.71 -------42.5 0.48 0.90 1.29 γ-cadinene 21.37 12.05 7.34 0.46 0.56 ----43.5 δ-Cadinene 22.36 0.58 0.33 0.88 2.19 2.53 -----0.14 1.18 \_ 48.12 Spathulenol 5.62 5.80 5.58 1.98 0.94 1.29 0.32 -2.05 4.11 ---48.48 Caryophyllene Oxide 0.51 2.86 1.43 1.52 2.70 0.25 1.02 -------

**Table 5.** Essential oil composition (% area of GC-MS analysis) of the S1 and S2 fractions obtained in the SFE (30 MPa and 40°C) of different plants from *Lamiaceae* family. NI: non-identified compound.