

1 **Synthesis of prebiotic carbohydrates derived from cheese whey**  
2 **permeate by a combined process of isomerization and**  
3 **transgalactosylation**

4 **Running title:** Synthesis of prebiotic carbohydrates from cheese whey  
5 permeate

6

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26 **Abstract**

27 **BACKGROUND:** Lactose from cheese whey permeate (WP) was efficiently  
28 isomerized to lactulose using egg shell, a food-grade catalyst, and the subsequent  
29 transgalactosylation reaction of this mixture with  $\beta$ -galactosidase from *Bacillus*  
30 *circulans* gave rise to a wide array of prebiotic carbohydrates derived from lactose  
31 and lactulose.

32

33 **RESULTS:** Lactulose, which was obtained by the efficient isomerization of the WP  
34 (16% in weight, respect to the initial amount of lactose), showed a great resistance  
35 to the hydrolytic action of  $\beta$ -galactosidase from *B. circulans* that preferentially  
36 hydrolyzed lactose, acting as galactosyl donor and acceptor. Lactulose had  
37 capacity as acceptor leading to the formation of lactulose-derived oligosaccharides.  
38 The enzymatic synthesis was optimized by studying reaction conditions such as  
39 pH, temperature, time, enzyme, and carbohydrate concentration. The maximum  
40 formation of galactooligosaccharides, with degree of polymerization from 2 to 4,  
41 was achieved after 5 h of reaction at pH 6.5, 50 °C with 300 g kg<sup>-1</sup> of carbohydrates  
42 and 3 U mL<sup>-1</sup> of  $\beta$ -galactosidase.

43

44 **CONCLUSION:** These findings indicate that the transgalactosylation of  
45 isomerized WP with  $\beta$ -galactosidase from *B. circulans* could be a new and efficient  
46 method to obtain a mixture with a 50% of potentially prebiotic carbohydrates  
47 composed of lactulose, and galactooligosaccharides derived from lactose and  
48 lactulose.

49 **Keywords:** isomerization, lactulose, galactooligosaccharides, transgalactosylation,  
50 *Bacillus circulans*, whey permeate.

51

## INTRODUCTION

52

53 Cheese whey is the most abundant by-product of the dairy industry and its disposal in  
54 the environment causes important drawbacks because of its high biochemical oxygen  
55 demand. Consequently, it is normally spray dried and used as low-value products, such  
56 as feed for animals, or food supplement.<sup>1</sup> Alternatively, it is processed by ultrafiltration  
57 to yield whey protein concentrate and whey permeate (WP), the latter being an  
58 inexpensive by-product comprising mainly lactose and salts. Unlike whey proteins that  
59 find immediate food applications, the WP has so far been of little value probably due to  
60 its high salt content.<sup>2-3</sup> Therefore, its profitable use constitutes a relevant activity from  
61 the economic and environmental point of view.

62 The use of WP to produce lactose derivatives including lactulose, lactitol,  
63 lactobionic acid, tagatose and sialyllactose has long been of industrial interest.<sup>4-5</sup> In the  
64 last few years, an increasing interest in the consumption of prebiotic carbohydrates has  
65 been observed so that the production of new bioactive oligosaccharides is currently  
66 garnering much attention for their potential use as functional ingredients.<sup>6</sup> Today one of  
67 the most promising uses of WP is the synthesis of prebiotic galactooligosaccharides  
68 (GOS) from transgalactosylation of lactose catalyzed by  $\beta$ -galactosidases (EC 3.2.1.23)  
69 of microbial origin.<sup>7-10</sup> Among them,  $\beta$ -galactosidase from *Bacillus circulans* has shown  
70 to have the ability to produce GOS with a good yield from model systems consisting of  
71 lactose in buffered solutions.<sup>11-13</sup> However, scarce studies dealing with the production of  
72 GOS from cheese WP using  $\beta$ -galactosidase of *B. circulans* have been carried out.<sup>14</sup> In  
73 this sense, it is noteworthy to indicate that substantial differences, in terms of yield and  
74 oligosaccharide composition, between the production of GOS from model systems  
75 consisting of lactose in buffered solutions and from WP could be expected due to the  
76 influence of other permeate ingredients, such as mineral salts.<sup>15-18</sup> Furthermore,

77 considering the relationship between the structure and prebiotic activity of  
78 oligosaccharides,<sup>19-20</sup> the synthesis of new lactulose-derived oligosaccharides have  
79 recently been reported in order to find new prebiotics with improved or complementary  
80 properties.<sup>21-26</sup>

81 The aim of this work was to develop a new approach based on the combined  
82 process of isomerization of lactose present in cheese WP using a food-grade catalyst  
83 (egg shell) and the subsequent enzymatic transgalactosylation with  $\beta$ -galactosidase from  
84 *B. circulans* avoiding intermediate purification steps of lactulose, and contributing to  
85 the improvement of the production of a range of potential bioactive oligosaccharides. In  
86 consequence, data reported in this work could help to broaden the use of cheese WP for  
87 the efficient production of functional carbohydrates.

88

## 89 MATERIALS AND METHODS

### 90 Chemical and reagents

91 Reagents employed for chromatography analysis, including standards (glucose,  
92 galactose, fructose, lactose, lactulose, raffinose, stachyose, and  $\beta$ -phenyl-glucoside)  
93 were obtained from Sigma (St. Louis, USA). Acetonitrile (HPLC grade) was purchased  
94 from Lab-scan (Gliwice, Poland). All other chemicals were of analytical grade.  
95 Ultrapure water quality (18.2 M $\Omega$ cm), with 1–5 ppb TOC and <0.001 EU mL<sup>-1</sup> of  
96 pyrogen levels was produced in-house, using a laboratory water purification Milli-Q  
97 Synthesis A10 system (Millipore, Billerica, Massachusetts, USA) and was used  
98 throughout.

99  $\beta$ -galactosidase from *Bacillus circulans* (Neutral Lactase) was acquired from  
100 Biocon (Barcelona, Spain). Lactase activity was 3000 U mL<sup>-1</sup>, where 1 unit is the  
101 amount of enzyme required to hydrolyze 1  $\mu$ mol of lactose per minute at a working

102 temperature of 50 °C, and a lactose concentration of 300 g kg<sup>-1</sup> at pH 6.0 with 0.05 mol  
103 L<sup>-1</sup> of buffer phosphate.

104

#### 105 **Egg shell powder**

106 White egg shells were washed with tap water to remove all adhering albumen, dried at  
107 105 °C for 24 h and ground in a ball mill (Mixer Mill MM 200, Retsch GmbH & Co.  
108 KG, Haan, Germany) at 800 rpm (13.3 Hz), for 15 min. Resulting egg shell powder had  
109 a particle size of approximately 5 µm, and was stored in glass vials in a dry place at  
110 room temperature prior to be used.

111

#### 112 **Physical-chemical characterization of cheese whey permeate**

113 An industrial bovine cheese WP powder with a lactose content of 810 g kg<sup>-1</sup> was kindly  
114 supplied by the dairy industry Reny Picot (Navia, Spain). The pH of reconstituted WP  
115 was measured using a pH meter (MP 230, Mettler-Toledo, Barcelona, Spain) at a  
116 concentration of 300 g kg<sup>-1</sup>.

117

#### 118 **Isomerization reaction**

119 The isomerization reaction was performed as previously reported by Montilla *et al.*<sup>27</sup>  
120 with some modifications. A permeate powder solution at a concentration of 300 g kg<sup>-1</sup>  
121 lactose was prepared with Milli-Q water. Sample was stirred at 750 rpm, 60 °C for 30  
122 min, and then, it was cooled down at room temperature and the pH adjusted to 6.8 by  
123 adding 2 mol L<sup>-1</sup> NaOH. Afterwards, 100 g of this sample was placed in a 250 mL  
124 round-bottom flask provided with an additional necked sampling inlet and 3 g of egg  
125 shell powder was added. The flask was immersed in a glycerol bath at 120 °C, stirred at  
126 300 rpm and refluxed at 98 °C for 180 min. Boiling start (5 min) was considered as zero

127 time of reaction. Samples (30 mL) were taken at 0, 60, 90, 120, 150 and 180 min.  
128 Reaction was stopped by cooling down with an ice-water bath. Egg shell was removed  
129 by centrifugation at 5000g and 20 °C for 10 min. Supernatant was collected, lyophilized,  
130 and stored at -18 °C until further analysis. Isomerization reaction was carried out in  
131 duplicate and analyses were performed twice for each isomerization treatment.

132

### 133 **Oligosaccharide synthesis**

134 Enzymatic synthesis of oligosaccharides from isomerized whey permeate (IWP) using  
135  $\beta$ -galactosidase from *B. circulans* was carried out under different reaction conditions  
136 such as pH (5.5, 6.5, and 7.4), temperature (40, 50, and 60 °C), enzyme concentration  
137 (1.5, 3, and 6 U mL<sup>-1</sup>), carbohydrate concentration (100, 300, and 500 g kg<sup>-1</sup>  
138 reconstituted in milli-Q water), and time (1, 3, 5, 8 and 24 h). Reactions were performed  
139 at a final volume of 1.5 mL in microtubes incubated in an orbital shaker at 300 rpm.  
140 Aliquots (250  $\mu$ L) were withdrawn from the reaction mixture at the different times and  
141 immediately immersed in boiling water for 5 min to inactivate the enzyme. Samples  
142 were stored at -18 °C for subsequent analysis. Besides, another assay using WP or  
143 lactulose (300 g kg<sup>-1</sup>) as substrate, at 50 °C, pH 6.5 and enzyme concentration 3 U mL<sup>-1</sup>  
144 was carried out. Enzymatic reactions were made in duplicate and analyses were  
145 performed twice for each enzymatic treatment.

146

### 147 **Chromatographic determination of carbohydrates**

#### 148 *GC analysis*

#### 149 Sample preparation

150 200  $\mu$ L of sample was made up to 2 mL with water in a volumetric flask and was  
151 filtered using a 0.45  $\mu$ m syringe filter (Symta, Madrid, Spain). 0.2 mg phenyl- $\beta$ -D-

152 glucoside was added to 100  $\mu$ L of filtrate as internal standard and the mixture was dried  
153 at 38–40  $^{\circ}$ C in a rotary evaporator. These samples were analyzed by two different GC  
154 systems as described below.

155

156 Gas chromatography with FID detection (GC-FID)

157 The dried mixtures were treated with 100  $\mu$ l of N-trimethylsilylimidazole to silylate the  
158 carbohydrates; the reaction was completed in 30 min at 70  $^{\circ}$ C. Silylated carbohydrates  
159 were extracted with 0.3 mL of hexane and 0.3 mL of water. Volume of 1  $\mu$ l of the  
160 organic phase containing silyl derivatives were injected into the column.

161 The trimethylsilyl ethers of carbohydrates were analyzed as has been previously  
162 described using an Agilent Technologies 7890A gas chromatograph equipped with a  
163 commercial fused silica capillary column SPB–17, bonded, crosslinked phase (50%  
164 diphenyl/50% dimethylsiloxane; 30 m  $\times$  0.32 mm inside diameter  $\times$  0.5  $\mu$ m film)  
165 (Supelco, North Harrison Road, Bellefonte, PA, USA).<sup>28</sup> Separation was performed at  
166 235  $^{\circ}$ C for 9 min, followed by an increase of up to 280  $^{\circ}$ C at a rate of 15  $^{\circ}$ C min<sup>-1</sup> and  
167 keeping this temperature for 30 min. Injector and detector temperatures were 280  $^{\circ}$ C.  
168 Injections were carried out in split mode (1:30), using 1 mL min<sup>-1</sup> of nitrogen as carrier  
169 gas. Data acquisition and integration were performed using Agilent Chem-Station Rev.  
170 B.03.01 software (Wilmington, DE).

171 To study the response factor relative to the internal standard, solutions  
172 containing glucose, galactose, lactose and lactulose were prepared over the expected  
173 concentration range in samples. The identities of carbohydrates were confirmed by  
174 comparison with relative retention times of standard samples. The amount of remaining  
175 lactose, lactulose, glucose and galactose in the isomerization and transgalactosylation  
176 mixtures were expressed as g kg<sup>-1</sup>.

177 Gas chromatography-mass spectrometry (GC-MS)  
178 Selected samples of isomerized and/or transgalactosylated permeate were also analyzed  
179 by GC-MS. An Agilent Technologies 7890A gas chromatograph coupled to a 5975C  
180 MSD quadrupole mass detector (Agilent Technologies, Wilmington, DE, USA) was  
181 employed. The trimethylsilyl oxime, prepared as described by Cardelle-Cobas *et al.*,<sup>29</sup>  
182 were separated using an HP-5 MS fused-silica capillary column (30m × 0.25 mm  
183 internal diameter × 0.25 μm film thickness) coated with 5% phenylmethylsilicone (J&W  
184 Scientific, CA, USA). The helium flow rate was 1 mL min<sup>-1</sup>. The initial oven  
185 temperature was 180 °C and increased to 315 °C at a heating rate of 3 °C min<sup>-1</sup> and held  
186 for 20 min. The injector temperature was 280 °C. Injections were made in the split mode  
187 (1:40). The mass spectrometer was operated in EI mode at 70 eV. Mass spectra were  
188 acquired using Agilent ChemStation MSD software (Wilmington, DE, USA).

189 Identification of trimethylsilyloximes derivatives of carbohydrates was carried  
190 out by comparison of their relative retention times and mass spectra with those of  
191 standard compounds previously derivatized.

192

#### 193 *Liquid chromatography with refraction index detector (HPLC-RID)*

194 Samples of isomerized and transgalactosylated permeate were diluted with  
195 acetonitrile:water (50:50%, v:v), filtered using a 0.45 μm syringe filter (Symta), and  
196 analyzed on an Agilent Technologies 1260 series HPLC system (Boeblingen,  
197 Germany). The separation of carbohydrates was carried out with a Kromasil<sup>®</sup> column  
198 (100-NH<sub>2</sub>; Akzo Nobel, Brewster, NY) (250 mm x 4.6 mm, 5 μm particle size) (using  
199 acetonitrile:water (75:25, v:v) as the mobile phase and eluted in isocratic mode at a flow  
200 rate of 1.0 mL min<sup>-1</sup> for 50 min. Injection volume was 50 μL (~800 μg of total



201 carbohydrates). Data acquisition and processing were performed using the Agilent  
202 ChemStation software (Agilent Technologies, Germany).

203 Carbohydrates in the reaction mixtures were initially identified by comparing the  
204 retention times ( $t_R$ ) with those of standard sugars. Quantitative analysis was performed  
205 by the external standard method, using calibration curves in the range 0.01-10 mg for  
206 glucose (quantification of monosaccharides), lactose (disaccharides), raffinose  
207 (trisaccharides) and stachyose (tetrasaccharides). All analyses were performed in  
208 duplicate, obtaining relative standard deviation RSD values below 10% in all cases.  
209 Amount of different carbohydrates present in the reaction mixtures were expressed as  
210 weight percentage of the total carbohydrate content.

211

212

## RESULTS AND DISCUSSION

213

### **Isomerization of whey permeate using egg shell as catalyst**

214

In order to carry out the isomerization reaction, an industrial cheese WP was used and

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egg shell was chosen as catalyst instead of chemical reagents such as borates, sodium

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aluminate or hydroxides, due to its multiple advantages, i.e., lower quantity of required

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catalyst, easy removal of the egg shell by centrifugation or filtration as compared to

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homogeneous catalysts, lower formation of products derived from side-reactions, and

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relatively good yields of isomeric disaccharides. For that purpose, 3 g of egg shell were

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added to 100 g of reconstituted cheese WP (equivalent to 30 g of lactose) and the

221

mixture was kept under reflux following the previous studies reported by Montilla *et*

222

*al.*<sup>27</sup>. According to GC-FID analyses, an optimal production of lactulose was reached

223

within 150 min of reaction. The carbohydrate composition of reaction mixture after

224

isomerization was: galactose 7.0 g kg<sup>-1</sup>, glucose 1.0 g kg<sup>-1</sup>, *epi*-lactose 1.1 g kg<sup>-1</sup>,

225

lactulose 48.2 g kg<sup>-1</sup> and lactose 209.1 g kg<sup>-1</sup>, thus, a 16.1% of lactulose with respect to

226 the initial amount of lactose was obtained under the assayed conditions. Similar yield  
227 (18% of lactulose with respect to the initial amount of lactose) was obtained by Montilla  
228 *et al.*<sup>27</sup> using milk permeate concentrated.

229

230 **Transgalactosylation of isomerized cheese whey permeate using  $\beta$ -galactosidase**  
231 **from *Bacillus circulans***

232 The effect of pH, temperature and enzyme concentration on formation of GOS was  
233 studied for initial carbohydrate concentration of 300 g kg<sup>-1</sup>. The effect of substrate  
234 concentration, from 100 to 500 g kg<sup>-1</sup>, was also assayed.

235

236 *Effect of pH*

237 Three values within the optimum pH range given by the manufacturer (i.e. pH 7.4, the  
238 value of permeate after the isomerization reaction, 6.5 and 5.5) were assayed at 50 °C  
239 with 3 U mL<sup>-1</sup> of  $\beta$ -galactosidase. Formation of GOS from IWP was monitored by  
240 HPLC-RID as it is shown in Fig. 1. As expected, the order of elution was according to  
241 the degree of polymerization of carbohydrates. Thus, monosaccharides eluted at 7-10  
242 min, disaccharides at 11-20 min, trisaccharides around 22-33 min and tetrasaccharides  
243 above 33 min. Additionally, it cannot be ruled out the presence of pentasaccharides in  
244 minor amounts since the  $\beta$ -galactosidase from *B. circulans* has shown the capacity of  
245 producing pentasaccharides.<sup>30</sup> Glucose (Glc, peak 1), galactose (Gal, peak 2), lactulose  
246 (Lu, peak 3) and lactose (Lac, peak 5), were identified by comparison of their retention  
247 times from those of commercial standards;  $\beta$ -D-Galp-(1 $\rightarrow$ 6)-Glu (allolactose), was  
248 identified by comparison with the standard previously isolated in our laboratory.<sup>31</sup>  
249 Formed disaccharides could tentatively be assigned to galactosyl-disaccharides with  
250 links  $\beta$ -(1 $\rightarrow$ 2),  $\beta$ -(1 $\rightarrow$ 3), according to previous studies on transgalactosylation of

251 lactose by  $\beta$ -galactosidase from *B. circulans*.<sup>12</sup> While the principal trisaccharide,  $\beta$ -D-  
252 Galp-(1 $\rightarrow$ 4)-Lac (peak 6), was identified by comparison with the standard previously  
253 synthesized in our laboratory.<sup>31</sup>

254 Fig. 2 shows the time-course of  $\beta$ -galactosidase-catalyzed reaction at pH 5.5, 6.5  
255 and 7.4. The lactose concentration quickly decreased from the start of the reaction to 24  
256 h (Fig. 2a), being this decrease more slowed down at pH 7.4. Hydrolysis of lactose was  
257 very efficient (from 79% at the initial time to 17% after 24 h at pH values 6.5 and 5.5)  
258 and gave rise to the formation of glucose and a smaller quantity of galactose, regardless  
259 the studied pH value (Fig. 2b), which is indicative of the transfer of galactose to form  
260 GOS. Although the lactose hydrolysis rate was similar at pH 5.5 and 6.5, the amount of  
261 free galactose at pH 6.5 was lower and, consequently, the GOS formation (tri- and  
262 tetrasaccharides) was higher and faster than at pH 5.5 (Fig. 2c). Moreover, during the  
263 first five hours of reaction, the trisaccharides were the most abundant carbohydrates  
264 formed, followed by the disaccharides and the tetrasaccharides, respectively.  
265 Nevertheless, after 24 h of reaction, the disaccharides were the predominant saccharides  
266 formed, presumably due to the partial degradation of the tri- and tetrasaccharides, as  
267 well as to the continuous synthesis of disaccharides (Fig. 2c). Thus, the maximum  
268 formation of GOS, which led to a 40% of total sugars (w:w), was achieved after 5 h of  
269 reaction at pH 6.5, whilst it was needed 8 h of reaction to the maximum formation of  
270 GOS, i.e. 38% of total sugars (w:w), at pH 5.5 (Fig. 2a). Other studies on  
271 transgalactosylation of whey permeate solutions by  $\beta$ -galactosidase from *B. circulans*  
272 reported yields ranging from 12 to 31%.<sup>15, 32</sup>. Cheng *et al.*<sup>3</sup> using similar reaction  
273 conditions to the reported in this work, but with lactose solutions, obtained 34% of GOS  
274 yield. Other studies carried out with lactose solution and  $\beta$ -galactosidase from *B.*

275 *circulans*, but different reaction conditions, obtained considerable lower yields ranging  
276 from 6 to 26%,<sup>9,11,12</sup>

277 Moreover, lactulose concentration moderately decreased only during the first 3 h  
278 of reaction, (from 18% at 0 h to 10-11% at 3 h), and then remained fairly constant (10%  
279 at 24h), indicating that  $\beta$ -galactosidase from *B. circulans*, in the presence of both  
280 disaccharides, is prone to hydrolyze lactose instead of lactulose; the GC analyses of  
281 these samples confirmed the scarce presence of fructose (<0.5% at 8 h). Thereby, the  
282 slight decrease of lactulose could be mainly attributed to the formation of lactulose  
283 derived oligosaccharides. This fact was corroborated by comparing the GC-MS profiles  
284 of GOS obtained from WP and IWP treated with  $\beta$ -galactosidase of *B. circulans*, where  
285 an additional trisaccharide probably corresponding to a galactosyl-lactulose derivate  
286 was detected in the latter. This trisaccharide was also detected following  
287 transgalactosylation of purified lactulose with  $\beta$ -galactosidase of *B. circulans*  
288 (chromatogram not shown), and was identified by comparison with the standard  $\beta$ -D-  
289 Galp-(1 $\rightarrow$ 4)-Lu also previously synthesized in our laboratory;<sup>31</sup> this compound also  
290 appeared in Fig.1 labeled as peak 7 and coeluted with  $\beta$ -D-Galp-(1 $\rightarrow$ 4)-Lac. The MS  
291 spectrum of the main lactulose-derived trisaccharide was characterized by the following  
292  $m/z$  ions in decreasing order of abundance: 204, 73, 361, 217, 205, 147, 191, 103, 129,  
293 169, 321, 319, 271, 305, and 448. Whilst, the  $m/z$  ions from lactose-derived  
294 trisaccharide ( $\beta$ -D-Galp-(1 $\rightarrow$ 4)-Lac) were: 204, 361, 73, 217, 205, 147, 191, 129, 103,  
295 169, 271, 319, 451, 331, and 305. This means that ions  $m/z$  321 and 448 were  
296 characteristic for lactulose-derived trisaccharide, while ions  $m/z$  451 and 331 were for  $\beta$ -  
297 D-Galp-(1 $\rightarrow$ 4)-Lac.

298

299

300 *Effect of temperature*

301 In addition to 50 °C, reactions at 40 and 60 °C, pH 6.5 with 3 U mL<sup>-1</sup> of β-galactosidase  
302 were carried out. Lactose hydrolysis was accelerated at 50 and 60 °C in comparison to  
303 40 °C (Fig. 3a), which is in concordance with the higher levels of glucose detected  
304 throughout the reaction at 50 and 60 °C (Fig. 3b). Nevertheless, the levels of galactose  
305 were higher at 40 °C than at 50 and 60 °C (Fig. 3b), which is in good agreement with the  
306 fact that the formation of total GOS was higher and faster (maximum formation at 5 h)  
307 at 50 °C (39.5 ± 1.5%) and 60 °C (37.5 ± 2.0%) than at 40 °C (35.6 ± 2.0%) where the  
308 maximum levels of GOS were obtained after 8 h of reaction (Fig. 3a). In consequence,  
309 the commercial enzyme used in these assays seems to be more thermo-resistant than  
310 that used by Boon *et al.*<sup>30</sup>, who observed an inactivation on lactose hydrolysis after 90  
311 min at 60 °C.

312 In addition, the different degrees of polymerization of GOS were also studied as  
313 it is shown in Fig. 3c. Similar amounts of disaccharides were formed at the end of the  
314 reaction carried out at 50 and 60 °C (24-25% of total sugars, w:w respectively), whilst  
315 19% of disaccharides were found at 40 °C. The maximum levels of trisaccharides were  
316 reached after 3 h of reaction at the three assayed temperatures, and then, a gradual  
317 decrease with time was observed. A maximum of 21-22% of trisaccharides were  
318 quantified at 40° and 50 °C, whilst only 15% were found at 60 °C. Similar quantities of  
319 tetrasaccharides were obtained for the three temperatures (3-4% of total sugars),  
320 although the maximum levels were achieved faster when the reaction was carried at 50  
321 °C and 60 °C (3 h) than at 40 °C (5 h).

322 Although similar levels of total GOS were obtained at 50 °C and 60 °C (Fig. 3a),  
323 the temperature selected for the following analyses was 50 °C because higher amounts  
324 of tri- and tetrasaccharides were obtained. Mozaffar *et al.*<sup>11</sup> reported an optimum

325 temperature of 60 °C for two isoforms of  $\beta$ -galactosidase from *B. circulans*, although  
326 these authors provided data of total GOS and no differentiation of degree of  
327 polymerization was carried out.

328

#### 329 *Effect of enzyme and substrate concentration*

330 To determine the effect of the enzyme concentration on GOS production, in addition to  
331 3 U mL<sup>-1</sup> of  $\beta$ -galactosidase, 1.5 or 6 U mL<sup>-1</sup> were also assayed at 50 °C, pH 6.5 and  
332 300 g kg<sup>-1</sup> of carbohydrates. Fig. 4a illustrates the remaining lactose content during the  
333 time course of reaction. Results showed that the lowest assayed concentration of  
334 enzyme (1.5 U mL<sup>-1</sup>) led to the lowest hydrolysis of lactose (27% of remaining lactose  
335 after 24 h of reaction) and the subsequent lowest formation of monosaccharides (Fig.  
336 4b). However, no differences on the lactose hydrolysis rate were found between 3 and 6  
337 U mL<sup>-1</sup> of enzyme. Fig. 4c shows di, tri and tetrasaccharides yields throughout the  
338 enzymatic reaction. Although the highest amount of formed trisaccharides was similar  
339 for all enzyme concentration assayed (20-21%), the lowest trisaccharides formation rate  
340 was observed for 1.5 U mL<sup>-1</sup> enzyme concentration. Moreover, the hydrolysis rate of  
341 oligosaccharides increased with the enzyme concentration assayed. Formation of  
342 disaccharides constantly increased with the reaction time for the three enzyme  
343 concentrations assayed. The highest disaccharide content (27%) was found after 24 h  
344 when the synthesis was performed with 6 U mL<sup>-1</sup> of enzyme. Generally, in enzyme-  
345 catalyzed reactions, the reaction rate is directly proportional to the enzyme  
346 concentration until a certain amount which loses that proportionality. The same effect  
347 was obtained by Das *et al.*<sup>14</sup> who reported that beyond the dose of 0.5% of a  $\beta$ -  
348 galactosidase from *B. circulans*, no further effect on GOS yield was observed. The same  
349 effect was observed by other authors for different enzymes and substrated<sup>33,34</sup> In our

350 assays, since the yields differences between the reactions with 3 and 6 U mL<sup>-1</sup> of  
351 enzyme were negligible, the minor amount of enzyme was chosen to reduce the cost of  
352 operation.

353 The last factor studied was the initial concentration of substrate; reactions with  
354 100, 300 and 500 g kg<sup>-1</sup> of carbohydrates at 50 °C, pH 6.5, with 3 U mL<sup>-1</sup> of enzyme  
355 were carried out. At the lowest substrate concentration, the reaction was too fast, and  
356 after 5 h of reaction the remaining lactose was 14%. In this condition, the highest  
357 amount of trisaccharides formed was 14% after 1 h of reaction, to be then quickly  
358 hydrolyzed. Nevertheless, when the highest concentration of carbohydrates (500 g kg<sup>-1</sup>)  
359 was used, the lactose hardly was hydrolyzed after 24 h of reaction. In assays performed  
360 with 6 U mL<sup>-1</sup> and 500 g kg<sup>-1</sup> of enzyme and carbohydrate concentration, respectively,  
361 no improvement in GOS yields were obtained as compared to those reported by using  
362 300 g kg<sup>-1</sup> of starting carbohydrate (data not shown).

363

## 364 **CONCLUSIONS**

365 To summarize and according to the obtained results, the maximum formation of GOS  
366 was achieved after 5 h of reaction carried out at pH 6.5 and 50 °C with 300 g kg<sup>-1</sup> of  
367 carbohydrates and 3 U mL<sup>-1</sup> of β-galactosidase, giving rise to 24% monosaccharides,  
368 25% lactose, 11% lactulose, and 40% GOS with DP 2-4 (and whose distribution was  
369 16% formed disaccharides, 21% trisaccharides and 3% tetrasaccharides). These results  
370 highlight the formation of oligosaccharides with a different structure and, thus, with  
371 potentially different prebiotic properties.

372 Several papers have demonstrated that glycosidic linkages, monosaccharide  
373 composition and degree of polymerization of GOS contribute toward the selectivity of  
374 fermentation by beneficial bacteria.<sup>19-20</sup> In this context, the production of a mixture of

375 prebiotics with a wide diversity of structural features might provide a value-added  
376 functional ingredient since it could broaden its positive effects on the modulation of gut  
377 microbiota. Likewise, the presence of lactulose, in addition to GOS, could provide an  
378 additional value to the final product since lactulose has shown to exert a series of  
379 biological activities, such as prebiotic action<sup>35</sup>, improvement of the intestinal transit  
380 time<sup>36</sup>, as well as other beneficial physiological actions, such as the treatment of chronic  
381 constipation, hepatic encephalopathy, or inflammatory bowel disease.<sup>37</sup>

382 In conclusion, our results could contribute to the diversification of synthesized  
383 oligosaccharides, indicating that a novel approach, based on the combined process of  
384 isomerization of lactose from cheese WP using a food-grade catalyst (egg shell) and,  
385 subsequent, enzymatic transgalactosylation with  $\beta$ -galactosidase from *B. circulans*, was  
386 useful to produce a mixture composed of a 50% of potentially prebiotic carbohydrates  
387 formed by lactulose, and GOS derived from lactose and lactulose. Both type of GOS  
388 have proven to be an excellent alternative to monosaccharides to support growth of  
389 probiotic and improve their survival through the gastrointestinal tract.<sup>26</sup>

390

## 391 **ACKNOWLEDGEMENTS**

392 This work has been financed by projects AGL2011-27884 and Consolider Ingenio 2010  
393 FUN-C-FOOD CSD2007-00063 both from Ministerio de Ciencia e Innovación and  
394 project POII10-0178-4685 from Junta de Comunidades de Castilla-La Mancha and  
395 European regional development fund (ERDF). M. Corzo-Martínez thanks Danone  
396 Institute for a grant. P. Copovi thanks CSIC for a JAE-Tec contract. We thank Ricardo  
397 González from Reny Picot for kindly providing us with cheese whey permeates.

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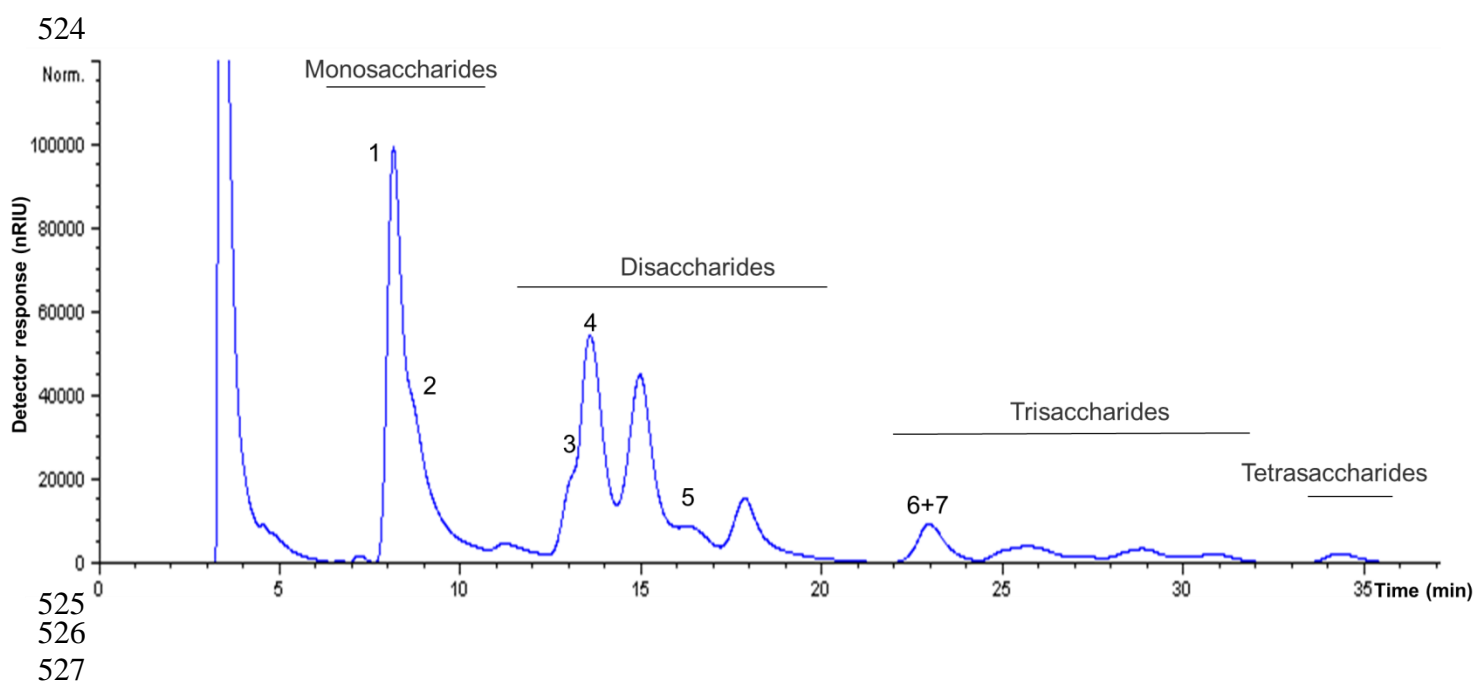
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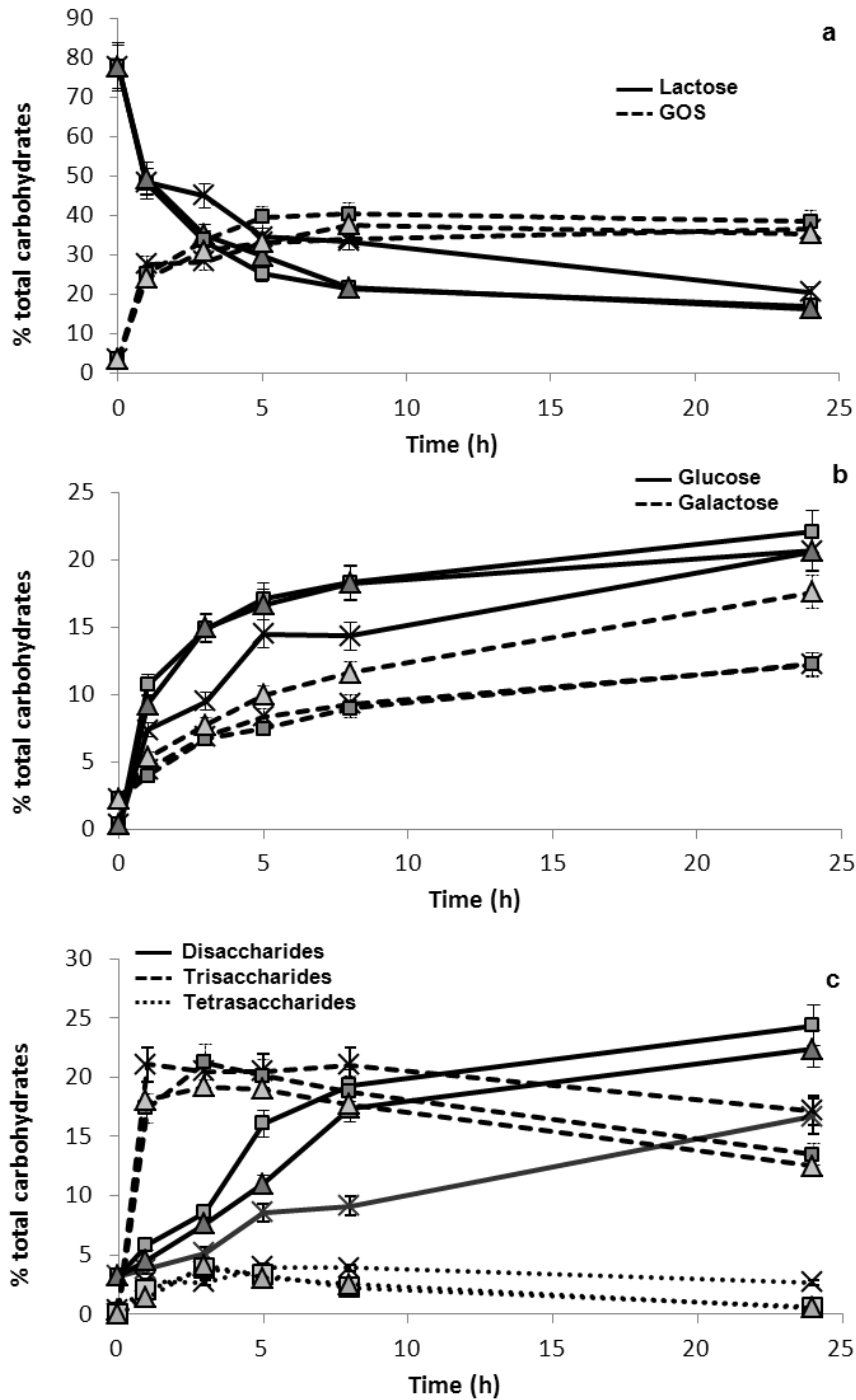
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518 **Figure 1.** HPLC-RID profile of carbohydrate mixture obtained by transgalactosylation  
519 reaction of isomerized cheese whey permeate at pH 6.5, 50 °C for 5 h with  $\beta$ -  
520 galactosidase from *B. circulans* (3 U ml<sup>-1</sup>) and initial carbohydrate concentration of  
521 300 mg mL<sup>-1</sup>. Identified peaks: glucose (Glc) (1); galactose (Gal) (2); lactulose  
522 (Lu) (3); lactose (Lac) (4),  $\beta$ -D-Galp-(1 $\rightarrow$ 6)-D-Glc (allolactose) (5);  $\beta$ -D-Galp-  
523 (1 $\rightarrow$ 4)-Lac (6);  $\beta$ -D-Galp-(1 $\rightarrow$ 4)-Lu (7).

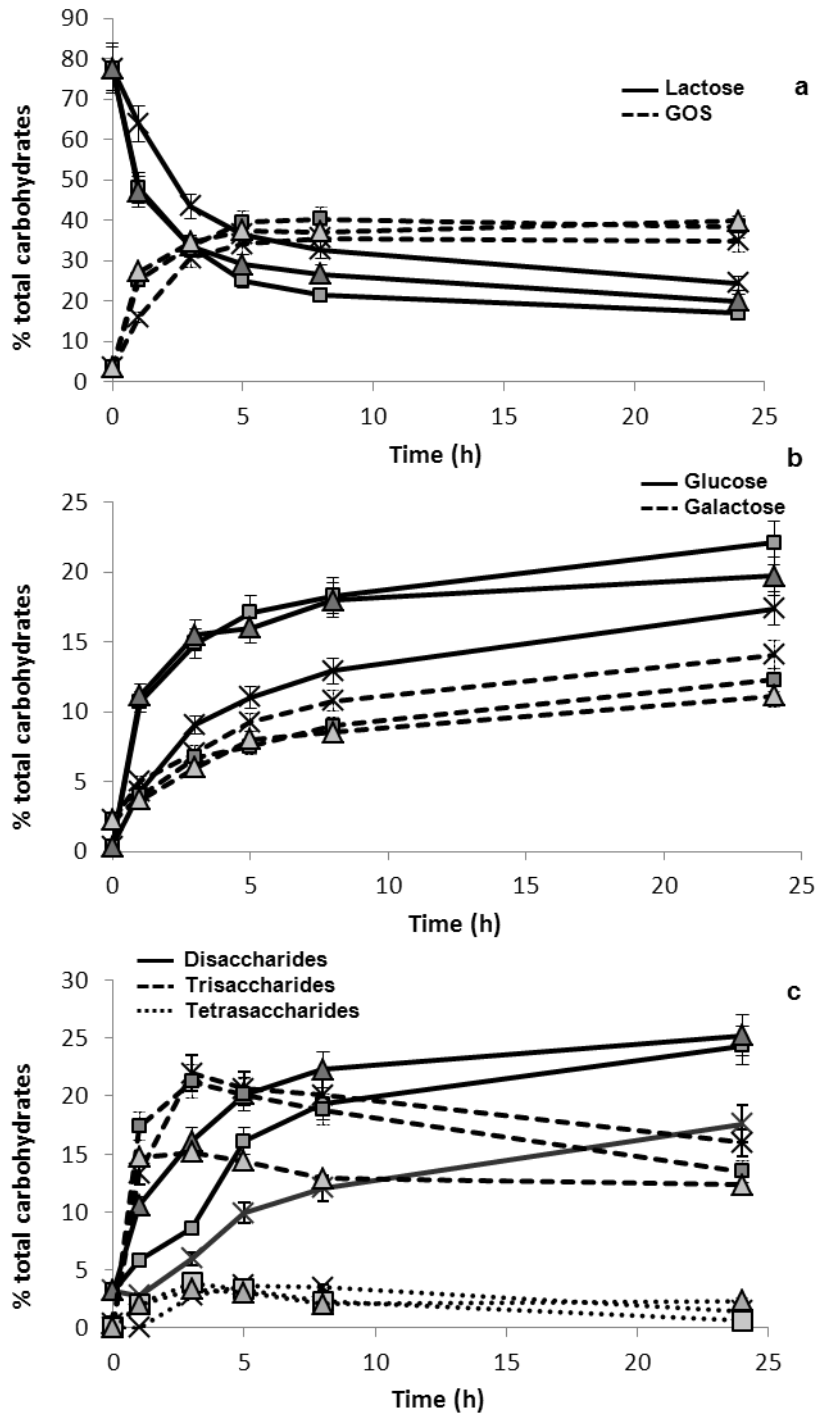


528 **Figure 2.** Effect of pH on hydrolysis of isomerized cheese whey permeate (300 mg mL<sup>-1</sup>  
 529 <sup>1</sup> of carbohydrates) and oligosaccharide production during the enzymatic treatment with  
 530 β-galactosidase from *Bacillus circulans* (3 U mL<sup>-1</sup>) at 50°C and pH (X) 7.4; (■) 6.5; (▲)  
 531 5.5. Vertical bars represent standard deviations (n = 4).



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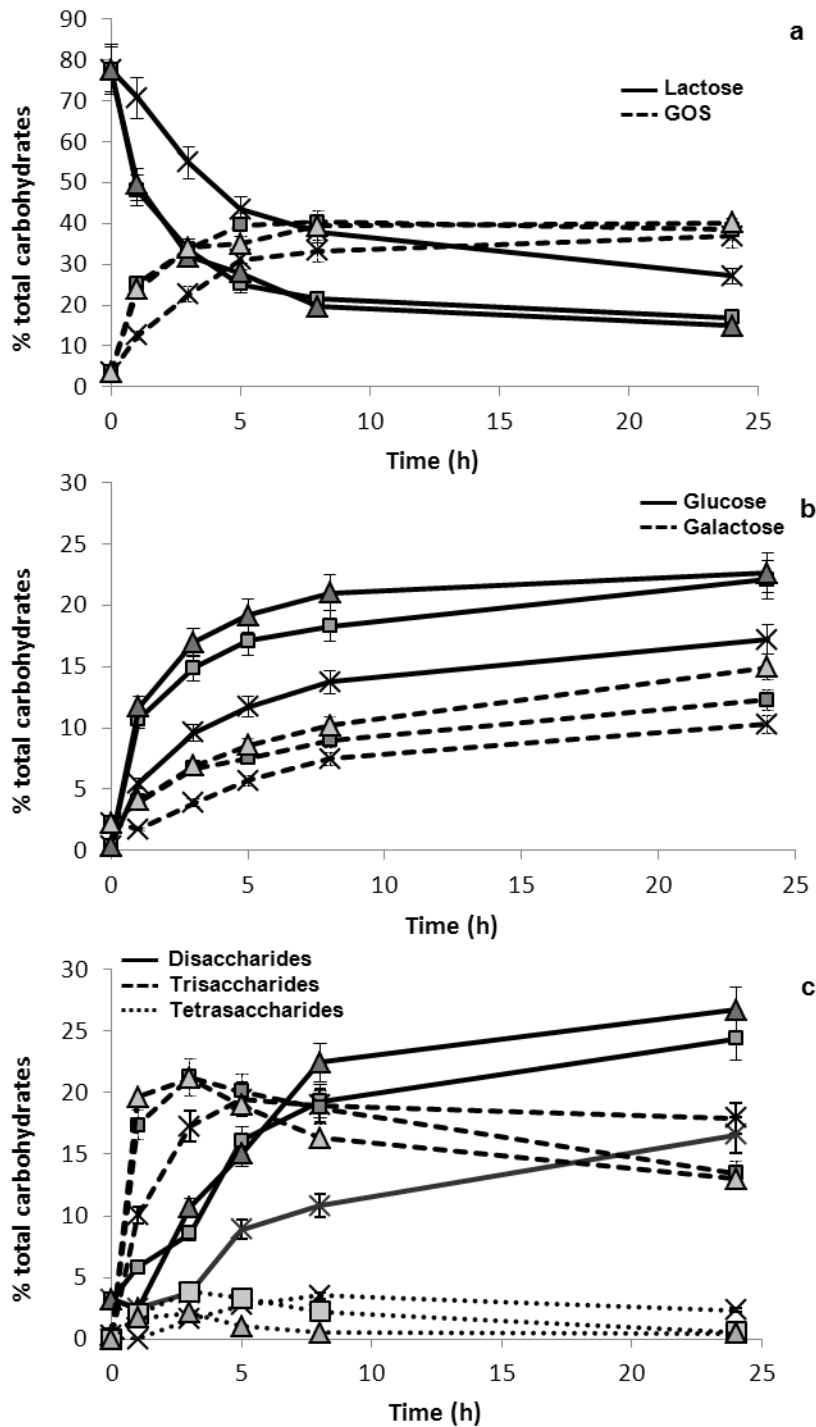
534 **Figure 3.** Effect of temperature on hydrolysis of isomerized cheese whey permeate (300  
 535 mg mL<sup>-1</sup> of carbohydrates) and oligosaccharide production during the enzymatic  
 536 treatment with  $\beta$ -galactosidase from *Bacillus circulans* (3 U mL<sup>-1</sup>) at pH 6.5 and (X) 40  
 537 °C; (■) 50 °C; (▲) 60 °C. Vertical bars represent standard deviations ( $n = 4$ ).



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 539



540 **Figure 4.** Effect of enzyme concentration on hydrolysis of isomerized cheese whey  
 541 permeate (300 mg mL<sup>-1</sup> of carbohydrates) and oligosaccharide production during the  
 542 enzymatic treatment with  $\beta$  galactosidase from *Bacillus circulans* (X) 1.5 U mL<sup>-1</sup>; (■) 3  
 543 U mL<sup>-1</sup>; (▲) 6 U mL<sup>-1</sup> at 50°C pH 6.5. Vertical bars represent standard deviations (*n* =  
 544 4).



545

**Table 1.** Content of galactose, glucose, *epi*-lactose, lactulose and lactose (mean  $\pm$  standard deviation,  $n=4$ ) produced during heating at reflux of permeate powder solutions at a concentration of 300 g kg<sup>-1</sup>, pH 6.8, and 30 g kg<sup>-1</sup> of egg shell powder.

Time (min)	Galactose g kg <sup>-1</sup>	Glucose g kg <sup>-1</sup>	Epi-lactose g kg <sup>-1</sup>	Lactulose g kg <sup>-1</sup>	Lactose g kg <sup>-1</sup>
0	0.8 $\pm$ 0.2	1.2 $\pm$ 0.2	n.d.*	n.d.	293.5 $\pm$ 5.1
60	3.0 $\pm$ 0.2	1.1 $\pm$ 0.1	0.4 $\pm$ 0.1	22.4 $\pm$ 0.1	261.1 $\pm$ 4.6
90	4.5 $\pm$ 0.5	1.0 $\pm$ 0.1	0.8 $\pm$ 0.1	32.0 $\pm$ 1.4	238.8 $\pm$ 8.0
120	6.9 $\pm$ 0.3	1.2 $\pm$ 0.1	1.0 $\pm$ 0.1	38.3 $\pm$ 0.1	232.5 $\pm$ 5.8
150	7.0 $\pm$ 0.2	1.0 $\pm$ 0.0	1.1 $\pm$ 0.0	48.2 $\pm$ 0.3	209.1 $\pm$ 1.9
180	10.2 $\pm$ 0.2	1.0 $\pm$ 0.0	1.4 $\pm$ 0.0	43.9 $\pm$ 1.8	200.6 $\pm$ 3.8

\*n.d. No detected

546