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# Modeling the seasonal and interannual variability (2001-2010) of chlorophyll-a in the Iberian margin

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## Abstract

A modeling study of the seasonal and interannual variability of chlorophyll-a has been carried out for the period 2001-2010 along the Iberian shelf and adjacent ocean. A high resolution regional configuration of the three-dimensional Regional Ocean Modeling System (ROMS) has been used, coupled to a  $N_2PZD_2$ -type biogeochemical model. Chlorophyll-a concentration ([Chl]) model outputs were compared to regional objective analysis of remotely sensed [Chl] data for the same period. The spatio-temporal variability of modeled and satellite derived [Chl] was analyzed applying an individual Empirical Orthogonal Function (EOF) analysis to monthly time series. Three main modes of sea surface [Chl] variability explained more than 90% of modeled variability and more than 85% of remotely sensed variability. The first EOF accounted for the spring phytoplankton bloom (March-April). The second EOF was related to the spring-summer coastal upwelling season (April-September). The third EOF showed a recurrent [Chl] minimum in winter coinciding with the maximum vertical mixing (February) for the northern part of the region. The influence of the hydrographic conditions on

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[Chl] variability was explored through a cross-correlation analysis of the three EOFs and an assortment of physical descriptors given by the model: namely the mixing/stratification cycles and the occurrence of coastal upwelling.

*Keywords:* chlorophyll, upwelling, spring bloom, modeling, North East Atlantic, Iberian margin

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## 1. Introduction

The coastal ocean supports 80-90% of the global new production due to the enhanced land-ocean-atmosphere interaction that occur in these regions (Chen et al., 2003). Nutrient rich continental and atmospheric inputs, and the hydrodynamics resulting from the interaction of the coastal currents with bathymetry, coastal morphology and the atmospheric-ocean interaction strongly influence the primary production through a tight physical-biogeochemical coupling. The high spatio-temporal variability in some of these factors result in large chlorophyll-a variability (proxy of phytoplankton biomass and primary production) at event, seasonal and interannual time scales.

The seasonal pattern of chlorophyll in the Iberian margin (Fig. 1) is characterized by high concentrations from May to September, when northeasterly winds prevail along the N-S oriented Western Iberian coast. These winds induce the upwelling of cold and nutrient rich Eastern North Atlantic Central Water (ENACW) in intermittent pulses (Fraga, 1981; Peliz et al., 2002; Relvas et al., 2007). By the end of summer (September-October), there is a shift in the wind regime to downwelling favorable southwesterlies, along with the onset of the relatively warm and saline Iberian Poleward Current (IPC) over the slope (Haynes and Barton, 1990; Peliz et al., 2005; Relvas et al., 2007). This change of regime is usually associated to a phytoplankton autumn bloom in the coast (Castro et al., 1997; Álvarez-Salgado et al., 2003; Silva et al., 2009), followed by a decrease in chlorophyll concentration ([Chl]) in winter. Nonetheless, episodic upwelling events during winter can increase [Chl]. Furthermore, the presence of the Western Iberia Buoyant Plume (WIBP; Peliz et al., 2002), a low-salinity water lens originated by accumulated river runoff, supplies stratification conditions suitable for phytoplankton growth (Ribeiro et al., 2005). From interannual observations (1984-1992) in the N-NW Iberian shelf, Bode et al. (1996) obtained that average [Chl] during bloom stages (upwelling/spring/autumn blooms) were

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31 double of the concentrations in periods of thermal stratification (summer),  
32 which in turn were about twice the concentrations during winter.

33 This general seasonal variability presents, however, noticeable interannual  
34 differences in the timing (month) of maximum offshore and shelf primary  
35 production (Joint et al., 2002), in new production associated to upwelling  
36 (Álvarez-Salgado et al., 2002), or in the onset and cessation of the down-  
37 welling period (Álvarez-Salgado et al., 2003). It is generally accepted that the  
38 interannual and seasonal variability in physical forcings leads to these changes  
39 in biological production or, as described in Silva et al. (2009), to shifts in  
40 the phytoplankton community structure. Other sources of variability, in-  
41 dependent of physical forcing may occur as a result of complex, non-linear  
42 interactions in the ecosystem (Williams and Follows, 2003). Also, a positive  
43 interannual trend in primary production has been detected ( $13.71 \text{ mg C m}^{-2}$   
44  $\text{d}^{-1} \text{ yr}^{-1}$ ) (Bode et al., 2011). Understanding the physical-biogeochemical in-  
45 teractions that may underly the variability in [Chl] would help to elucidate  
46 the ecosystem variability, intimately related to the rich marine biodiversity  
47 and fishing resources of the region (Tenore et al., 1995; Figueiras et al., 2002;  
48 Santos et al., 2005).

49 Inherent difficulties exist to obtain high quality long-term observations  
50 of physical and particularly biogeochemical variables, specially for a large  
51 region like this. Thus, despite the efforts made to implement monitoring  
52 programmes (e.g. Otero et al., 2011), long-term time series are yet not avail-  
53 able. This makes difficult a robust statistic analysis to characterize the sea-  
54 sonal and interannual variability. The current knowledge is mostly based  
55 on observations unequally distributed in time and space. Ocean numerical  
56 models can help to overcome this gap, by complementing observations and al-  
57 lowing to explore the physical-biogeochemical interactions through coupled  
58 hydrodynamic-biogeochemical models (Machu et al., 2005; Gruber et al.,  
59 2006; Echevin et al., 2008). The Regional Ocean Modeling System (ROMS)  
60 has contributed to the understanding of the ocean dynamics and hydrogra-  
61 phy in Western Iberia (e.g. Peliz et al., 2007, 2009; Nolasco et al., 2013),  
62 including some ecosystem applications (Oliveira et al., 2009). In this study  
63 a high resolution regional configuration of ROMS coupled to a NPZD-type  
64 (Nutrients-Phytoplankton-Zooplankton-Detritus) biogeochemical model has  
65 been implemented to the Iberian margin for the decade 2001-2010. The aim  
66 was to reproduce the interannual variability in [Chl] over this period, study  
67 the main modes of [Chl] variability and correlate this variability with the  
68 hydrographic conditions. The studied period allows an analysis of the cur-

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69 rent variability, establishing a reference when studying changes in the future.  
10 The [Chl] and Sea Surface Temperature (SST) obtained from model results  
11 were evaluated comparing time series of modeled [Chl] and SST with satel-  
12 lite observations for the same period. In order to identify the main modes of  
13 [Chl] variability an Empirical Orthogonal Function (EOF) analysis of mod-  
14 eled and satellite [Chl] time series (domain averaged) was carried out. Then,  
15 we correlate the main modes of [Chl] variability with an assortment of phys-  
16 ical forcings using model data. The aim was to elucidate some mechanisms  
17 of physical-biogeochemical coupling that may underlay the ecosystem func-  
18 tioning and variability. The [Chl] variability in the water column was also  
19 explored, firstly comparing model outputs to weekly observations at a shelf  
20 station along one year (May 2001-April 2002), and then extending the [Chl]  
21 variability over the 10 years for that location using model results.  
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## 27 **2. Methods**

### 28 *2.1. Model setup*

#### 29 *2.1.1. Hydrodynamic model*

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32 The interannual simulation of the period 2001-2010 was run for a high  
33 resolution regional configuration of the Regional Ocean Modeling System  
34 (ROMS) (Shchepetkin and McWilliams, 2005; Haidvogel et al., 2008; Pen-  
35 ven et al., 2006) for the Iberian margin. ROMS is a three dimensional (3D)  
36 ocean circulation model with free-surface, vertical terrain-following coordi-  
37 nates (sigma-coordinates), and horizontal orthogonal curvilinear coordinates,  
38 designed to resolve regional problems, such as coastal areas and regional seas  
39 at the mesoscale. A two-domain approach was used, as shown in Figure 1: A  
40 large-scale or first domain (FD) was run independently (offline) in order to  
41 provide initial and boundary conditions to our second domain (SD). The FD  
42 included the northeast Atlantic region between 30° N - 48° N and 0.8° E - 32°  
43 W, and had 1/10° (~9 km) horizontal resolution and 30 vertical s-levels, in  
44 order to resolve the large-scale circulation features. The second domain (SD)  
45 included the western Iberia from the Gulf of Cádiz to Galicia (34.5° N - 45.5°  
46 N and 5.5° W - 12.5° W; ~1200 x 600 km) (Fig. 1). It had horizontal reso-  
47 lution of 1/27° (~3 km) and 60 vertical s-levels in order to properly resolve  
48 the Mediterranean undercurrent, whose circulation is known to influence the  
49 surface transport of chemical and biological properties (Serra et al., 2010).  
50 A more detailed description of this regional configuration of ROMS can be  
51 found in Nolasco et al. (2013).  
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105 A climatological run of the 5th year of the FD (Nolasco et al., 2013) was  
106 used as the initial state to run a realistic simulation of the hydrodynamic  
107 model for the period 2001-2010 for this outer domain. The surface forcing  
108 was extracted from NCEP 2 reanalysis for air-sea fluxes (2001-2010), pro-  
109 vided by the NOAA (<http://www.esrl.noaa.gov/psd/>) and QuikSCAT sur-  
110 face wind reanalysis (2001–2008) at  $0.5^\circ \times 0.5^\circ$  spatial resolution provided  
111 by CERSAT (<http://www.ifremer.fr/cersat>). For 2009 and 2010, ASCAT  
112 surface wind reanalysis ( $0.25^\circ \times 0.25^\circ$  spatial resolution) was used, also pro-  
113 vided by CERSAT, since QuikSCAT mission stopped towards the end of  
114 2009 (Fig. 2 upper panel). For the SD, the year 2001 was initialized from  
115 1st January using initial conditions from a previous climatological run of the  
116 coupled hydrodynamic-biogeochemical model (9th year) for this domain (Re-  
117 boreda et al., in revision). The physical boundary conditions were provided  
118 by the simulation of 2001–2010 for the FD, and the same surface forcing was  
119 applied. The exchange of Atlantic and Mediterranean waters at the Strait of  
120 Gibraltar was explicitly represented in the SD by the imposition of vertical  
121 profiles of temperature, salinity and zonal velocity at 5 grid points at the  
122 Strait, similarly to Peliz et al. (2007).

123 The freshwater continental runoff from the main rivers of the region  
124 was included with realistic discharge values for 2001-2010 provided by Insti-  
125 tuto Nacional da Água (INAG; <http://inag.pt>) when available (Fig. 2 lower  
126 panel). When no realistic discharges were available, climatological values  
127 were obtained from INAG for the Portuguese rivers, and from Río-Barja and  
128 Rodríguez-Lestegás (1992) for the Galician rivers.

### 129 *2.1.2. Biogeochemical model*

130 A biogeochemical model was run coupled to the hydrodynamic model to  
131 simulate the base trophic levels and biogeochemical components of the sys-  
132 tem. The  $N_2ChlPZD_2$  model consists of a nitrogen based model, computing  
133 7 state variables: two nutrient compartments, nitrate ( $NO_3$ ) and ammonium  
134 ( $NH_4$ ), phytoplankton (*Phyt*), zooplankton (*Zoo*), and two detritus com-  
135 partents, fast-sinking large detritus (*LDet*) and slow-sinking small detritus  
136 (*SDet*), all expressed in  $mmol\ N\ m^{-3}$  (Fig. 3). Additionally, chlorophyll-  
137 a ( $mg\ m^{-3}$ ) is derived from phytoplankton concentration using a variable  
138 chlorophyll:carbon ratio,  $\theta$  ( $mg\ chlorophyll\text{-}a\ (mg\ C)^{-1}$ ) and a constant C:N  
139 Redfield ratio of 6.625 ( $mmol\ C\ (mmol\ N)^{-1}$ ). The variable  $\theta$  describes the  
140 proportion of photosynthetically fixed carbon that is used for chlorophyll-a  
141 biosynthesis considering the model of Geider et al. (1997). Its implementa-

tion in the ROMS biogeochemical model is described in Gruber et al. (2006)  
(online additional material).

The 3D time evolution of any of the biogeochemical variables ( $B_i$ ) is calculated considering its diffusion, horizontal advection, vertical mixing and the biogeochemical processes that act as sink or source for the variable:

$$\frac{\partial B_i}{\partial t} = \nabla \cdot K \nabla B_i - u \cdot \nabla_h B_i - (w + w_{sink}) \frac{\partial B_i}{\partial z} + SMS(B_i) \quad (1)$$

where  $K$  is the eddy kinematic diffusivity tensor,  $u$  is the horizontal velocity,  $w$  and  $w_{sink}$  are the vertical velocity and the vertical sinking rate of the biogeochemical variable (all particulated variables, except zooplankton), respectively. The biogeochemical processes included in the source minus sink ( $SMS$ ) term are specific for each variable.

The following set of  $SMS$  equations for each of the biogeochemical variables was used.

$$SMS(NO_3) = -\mu(PAR, T) \cdot \mu(NO_3) \cdot Phyt + t_{NH_4nitr} NH_4 \quad (2)$$

$$SMS(NH_4) = -\mu(PAR, T) \cdot \mu(NH_4) \cdot Phyt - t_{NH_4nitr} NH_4 + t_{Zmetab} Zoo + t_{SDremin} SDet + t_{LDremin} LDet \quad (3)$$

$$SMS(Phyt) = \mu(PAR, T) \cdot \mu(NO_3, NH_4) \cdot Phyt - m_{PD} Phyt - g_{max} Zoo \frac{Phyt}{K_P + Phyt} \quad (4)$$

$$SMS(Zoo) = \beta g_{max} Zoo \frac{Phyt}{K_P + Phyt} - m_{ZD} Zoo - t_{Zmetab} Zoo \quad (5)$$

$$SMS(SDet) = m_{PD} Phyt + m_{ZD} Zoo + (1 - \beta) g_{max} Zoo \frac{Phyt}{K_P + Phyt} - t_{SDremin} SDet - S_{agg} SDet \cdot (Phyt + SDet) \quad (6)$$

$$SMS(LDet) = -t_{LDremin} LDet + S_{agg} \cdot (Phyt + SDet)^2 \quad (7)$$

$$SMS(\theta) = \mu(PAR, T) \cdot \mu(NO_3, NH_4) \cdot \left( \frac{\mu(T) \cdot \mu(NO_3, NH_4) \cdot \theta_{max}}{\sqrt{\mu(T)^2 + (\alpha PAR \theta)^2}} - \theta \right) \quad (8)$$

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149 The biogeochemical processes and formulations included in the *SMS*  
150 equations are mostly the same described in Gruber et al. (2006), although  
151 some formulations are from Koné et al. (2005) (see Table 1). Model pa-  
152 rameters values for the sink/source terms selected to represent our region  
153 of study with this model are listed in Table 2. These parameters aimed at  
154 representing the eutrophic coastal ecosystem and the offshore spring bloom,  
155 both dominated by diatoms. This necessarily implied reducing the ability of  
156 the model to correctly represent the oligotrophic offshore environment, dom-  
157 inated by nanophytoplankton, as only one phytoplankton functional group  
158 was included.

159 The  $NO_3$ , *Phyt* (and chlorophyll-a), and *Zoo* for the model initial condi-  
160 tions (January 2001) were obtained from the 9th year of a climatological sim-  
161 ulation of a simpler *NChlPZD* biogeochemical model (Reboreda et al., in re-  
162 vision). Boundary conditions for  $NO_3$  and chlorophyll-a were taken from the  
163 climatological data sets of the World Ocean Atlas 2009 (Garcia et al., 2010)  
164 and SeaWiFS, respectively. For  $NO_3$ , seasonal (for depths down to 500 m)  
165 and annual (depths below 500 m) climatologies were used. For chlorophyll-  
166 a, the seasonal climatology of surface concentrations from SeaWiFs data  
167 was used. Seasonal vertical profiles were created from these surface con-  
168 centrations using the algorithm of Morel and Berthon (1989). Boundary  
169 values of *Phyt* and *Zoo* were derived from chlorophyll-a ( $Phyt = 0.5 \cdot Chl$ ;  
170  $Zoo = 0.2 \cdot Chl$ ), as in Gruber et al. (2006). Boundary conditions were sup-  
171 plied seasonally.  $NH_4$ , *SDet*, and *LDet* initial and boundary conditions were  
172 not available from climatological data sets, so they were introduced as con-  
173 stant analytical values:  $0.1 \text{ mmol N m}^{-3}$  ( $NH_4$ ) and  $0.02 \text{ mmol N m}^{-3}$  (both  
174 detritus sizes). Constant riverine inputs of  $NO_3$  and chlorophyll-a were used  
175 along the year, with the values indicated in Marta-Almeida et al. (2012).

## 176 2.2. Data series for model evaluation

177 Model sea surface temperature (SST) and [Chl] outputs were evaluated  
178 by comparison with satellite products for the period 2001-2010. Daily SST  
179 was compared with data retrieved from the Advanced Very High Resolution  
180 Radiometer (AVHRR) of the National Oceanic and Atmospheric Adminis-  
181 tration (NOAA). The data were extracted from the EUMETSAT Ocean &  
182 Sea Ice Satellite Application Facility (OSI-SAF) ([www.osi-saf.org](http://www.osi-saf.org)) and made  
183 available by CERSAT (IFREMER, France). The product has an approxi-  
184 mate horizontal resolution of 2 km. Daily surface [Chl] was compared with  
185 CERSAT-IFREMER ocean color derived (OC5 algorithm) [Chl] obtained

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10 186 from merging the following three sensors: Sea-viewing Wide Field of View  
11 187 Sensor (SeaWiFS) on the Orbview platform (January 01, 1998-December  
12 188 31, 2004), Moderate Resolution Imaging Spectroradiometer (MODIS) on the  
13 189 Aqua platform (October 01, 2002 to present), and the MEDium Resolution  
14 190 Imaging Spectrometer Instrument (MERIS) on the ENVISAT platform (Oc-  
15 191 tober 01, 2002–April 08, 2012) ([ftp://ftp.ifremer.fr/ifremer/cersat/products/  
16 192 gridded/ocean-color/atlantic/EUR-L4-CHL-ATL-v01/](ftp://ftp.ifremer.fr/ifremer/cersat/products/gridded/ocean-color/atlantic/EUR-L4-CHL-ATL-v01/)). The optimal inter-  
17 193 polation merging method, which provides cloudless daily fields of [Chl], was  
18 194 described and validated in Saulquin et al. (2011). The product is provided  
19 195 at 1.1 km horizontal resolution.

22 196 Model outputs were also compared with in situ observations obtained at  
23 197 a 1-year intensively sampled shelf station off the Galician coast (NW Iberian  
24 198 margin, Fig. 1). The station was weekly sampled between 15th May 2001  
25 199 and 24th April 2002 within the frame of the DYBAGA project. A detailed  
26 200 description of the hydrography of this site over that period can be found  
27 201 in Nieto-Cid et al. (2004), Álvarez-Salgado et al. (2006), and Herrera et al.  
28 202 (2008). The corresponding succession of microplankton has been described  
29 203 in Espinoza-González et al. (2012).

32 204 Additionally, a mixed layer depth (MLD) monthly climatology (2002-  
33 205 2010) constructed from ARGO floats profiles (Holte and Talley, 2009; Holte  
34 206 et al., 2010) was used to evaluate the MLD derived from model outputs.  
35 207 These authors calculated the MLD using a hybrid algorithm between the  
36 208 classical threshold method and the shape of the profile for either potential  
37 209 density, potential temperature, or salinity profiles. MLD calculated with the  
38 210 temperature algorithm were used to compare with our modeled MLD. Our  
39 211 method for calculating the MLD considered a 0.2 °C temperature threshold  
40 212 relative to a surface reference level of 10 m, in order to avoid the effect  
41 213 of surface diurnal heating (de Boyer Montégut et al., 2004), establishing a  
42 214 maximum MLD of 450 m. Given that some of the years in the Holte et al.  
43 215 (2010) dataset had few values within the area of the model domain, and/  
44 216 or they were unevenly distributed, we selected only the years having more  
45 217 than 200 MLD values and presenting an homogeneous distribution within  
46 218 the model domain (2005-2008). Then, an spatial MLD mean was calculated  
47 219 for each of these years.



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220 *2.3. Statistical analysis*

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221 *2.3.1. Model error statistics*

222 Model error relative to satellite observations of surface [Chl] were cal-  
223 culated applying four reliability indices commonly used for ocean-ecosystem  
224 models validation (Allen et al., 2007; Stow et al., 2009; Warner et al., 2005).  
225 They were calculated on a daily basis. The indices are briefly described next,  
226 with an explanation of the type of information they provide about the model  
227 performance:

**Bias** gives an indication of whether the model is systematically overestimat-  
ing or underestimating the observations. The closer the bias is to zero,  
the better the model.

$$Bias = \frac{\sum_{n=1}^n (M_n - D_n)}{\sum_{n=1}^n D_n} \quad (9)$$

228 where  $M$  is the model estimation,  $D$  the data, and  $n$  is the number of  
229 comparisons of total grid points.

**Rms** is the *root mean squared error* of  $n$  model-data comparisons (total grid  
points).

$$rms = \sqrt{\frac{\sum_{n=1}^n (M_n - D_n)^2}{n}} \quad (10)$$

230 The closer the  $rms$  to zero the better the fit between the model and  
231 observations.

**Skew** gives the degree of asymmetry of the error distribution.

$$Skew = \frac{N}{(N-1)(N-2)} \sum_{n=1}^n \left( \frac{(M_n - D_n) - (\overline{M_n - D_n})}{\sigma_D} \right)^3 \quad (11)$$

232 where  $N$  is the total number of model-data matches, and  $\sigma_D$  the stan-  
233 dard deviation of the data. Positive skewness indicates that model  
234 tends to make more overestimations, whereas negative skewness indi-  
235 cates that model tends to make more underestimations.

**Skill** is a measure of the quantitative agreement between the model and  
observations where  $M_n$  is compared with a time mean  $\overline{D}$ .

$$Skill = 1 - \frac{\sum |M_n - D_n|^2}{\sum (|M_n - \overline{D}| + |D_n - \overline{D}|)^2} \quad (12)$$

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236 Perfect agreement between model results and observations would yield  
10 a skill of one, and complete disagreement would correspond to zero  
11 model skill.  
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### 14 2.3.2. Empirical Orthogonal function analysis

15 The spatio-temporal variability of modeled and satellite derived [Chl] was  
16 analyzed applying an individual Empirical Orthogonal Function (EOF) anal-  
17 ysis to monthly time series. The EOF analysis consists of a representation of  
18 the data in terms of a reduced set of orthogonal functions or modes (Glover  
19 et al., 2011). The outputs consist of spatial fields and their associated rela-  
20 tive variance (eigenvalues) and temporal weightings (eigenvectors), allowing  
21 to study the temporal and spatial variability of data (Shutler et al., 2011).  
22 For that, we created an  $N \times M$  data matrix  $X$ , consisting of  $N$  time data and  
23  $M$  grid points, i.e., a 10 year record of monthly averages ( $N = 120$ ) of [Chl]  
24 on a grid of  $M = 320 \times 162$  points. This grid was smaller than the actual  
25 model grid ( $390 \times 189$  points) because half degree was removed from each  
26 boundary of the model domain to avoid using points near the boundaries,  
27 where climatological [Chl] values (SeaWiFS) were applied when running the  
28 model. The M grid of satellite data had the same number of points used  
29 for the model ( $M = 320 \times 162$ ), as an interpolation of the original grid to  
30 the model grid was performed and the same boundary points were removed.  
31 The EOF eigenvectors and eigenvalues were obtained via singular value de-  
32 composition (SVD) of  $X$  (Preisendorfer, 1988) as  $X = BL^{1/2}F^T$ , where  $L$  is  
33 the diagonal matrix of the eigenvalues giving information on the percentage  
34 variance explained in each EOF,  $F$  is the right matrix of eigenvectors (the  
35 spatial field), and  $B$  is the left matrix of eigenvectors which is used to obtain  
36 the temporal mode (temporal mode =  $B \times L$ ). Three EOFs were retained  
37 for analysis.  
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### 46 2.3.3. Cross-correlation analysis

47 The possible physical forcings underlying the three [Chl] EOFs with  
48 the largest eigenvalues were explored by performing an individual cross-  
49 correlation analysis between each of the modeled EOFs time series and the  
50 corresponding time series of the physical forcing to be tested. All correlations  
51 presented have a 95% confidence interval. The cross-correlation analysis was  
52 selected because it would allow to find not only the degree of correspondence  
53 between the two time series, but also the possible time lags between them.  
54 Thus, a significant correlation may imply a causality between the physical  
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10 272 forcing and the biological response, although bearing in mind that it does  
11 273 not proof it, as a co-causal relationship may also exist (Glover et al., 2011).

12 274 The spatial correlations between each of the three [Chl] temporal EOFs  
13 275 and the physical forcings (at each grid point) were also explored. This anal-  
14 276 ysis was carried out only for the physical forcings that showed the maximum  
15 277 correlation with each EOF in the cross-correlation analysis of the domain  
16 278 averaged time series (with the corresponding time lag).

### 19 279 **3. Results and discussion**

#### 20 280 *3.1. Model evaluation: temporal series of [Chl] and SST*

21 281 Sea surface [Chl] time series averaged over the study region showed a  
22 282 conspicuous peak detected every year, corresponding to the North Atlantic  
23 283 spring bloom (March-April) (Fig. 4a). The model reproduced the spring  
24 284 bloom captured by the satellite observations, although it occurred earlier  
25 285 and with [Chl] values generally higher than observed. This difference was  
26 286 highly variable from year to year (0.5-2 mg m<sup>-3</sup>). The best correspondence  
27 287 occurred for year 2009, when both peaks (modeled and observed) were nearly  
28 288 coincident. The model also satisfactorily reproduced the seasonal evolution  
29 289 of the domain averaged SST (Fig. 4c), showing the characteristic succession  
30 290 of winter minima and summer maxima, with similar values to observations.  
31 291 Over the shelf, the model was able to reproduce the high [Chl] variability with  
32 292 concentration values close to observations (Fig. 4b). From April to September  
33 293 recurrent [Chl] maxima over the shelf, associated to the upwelling of cold  
34 294 and nutrient rich subsurface waters, were captured by the model (Figs. 4b  
35 295 and d). Exceptionally, years 2009 and 2010 were not well reproduced by  
36 296 the model in terms of [Chl], with model values being systematically lower  
37 297 than observations. On the other hand, modeled SST during the upwelling  
38 298 season seemed to improve for these two years, as temperature minima were  
39 299 more close to satellite observation than in previous years, when SST reached  
40 300 noticeably lower minima in the model (Fig. 4d). We have evidences that  
41 301 these changes in 2009 and 2010 were related to the shift from QuikSCAT to  
42 302 ASCAT wind products for the surface model forcing. The use of ASCAT  
43 303 seemed to improve the model results related to the upwelling reducing its  
44 304 intensity, probably due to the higher spatial resolution of wind stress com-  
45 305 pared to QuikSCAT. The latter tends to overestimate alongshore winds due  
46 306 to its limitations representing the coastal wind drop-off (Albert et al., 2010).  
47 307 Accordingly, a possible reduction in the modeled upwelling intensity would

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308 lead to: (1) an increase in modeled SST and (2) a reduction of the modeled  
309 nitrate input and thus less [Chl] over the shelf.

310 Table 3 presents statistics that quantify the model ability to reproduce the  
311 observed (satellite derived) [Chl] interannual variability for the period 2001-  
312 2010. All the indices showed a considerable variability among years, which  
313 indicated that model-satellite differences were not constant. Still, general  
314 trends for model-satellite comparisons could be distinguished. Regardless  
315 of the mentioned overestimation in modeled [Chl] during the spring bloom  
316 (Fig. 4a), the model bias and skew indicated that the model tended to slightly  
317 underestimate surface [Chl] for most part of the year (negative values in  
318 Table 3). Underestimation was particularly detected in the years 2009 and  
319 2010, coinciding with the shifts already discussed for the shelf. However, it  
320 should be noted that the years 2009 and 2010 still had a good skill, because of  
321 the good model-satellite match on the spring bloom. On the other hand, the  
322 year 2005 stand out for the highest positive bias, skew and rms, as expected  
323 for the strong spring bloom simulated (Fig. 4a). Model skill pointed to 2009,  
324 2004, and 2003 as the years of best model-satellite correspondence, whereas  
325 2006, 2007, and 2005 as years of worst correspondence.

326 It should be considered that some uncertainties are also associated to the  
327 satellite observations (Gregg and Casey, 2007). These can be originated by  
328 errors in the algorithm estimations of [Chl], as the overestimations reported  
329 in the coastal zone when using SeaWiFS data (Le Fouest et al., 2006). In  
330 the shelf region of the Iberian Peninsula, [Chl] overestimations have also  
331 been reported when using MERIS and MODIS data (case 1 waters) (Oliveira  
332 et al., 2007). On the other hand, underestimations were detected during the  
333 validation of the method used for merging SeaWiFS/MODIS/MERIS data  
334 (dataset used here) with in situ data in the west French coast (Saulquin et al.,  
335 2011).

### 336 3.2. Main modes of [Chl] variability: EOF analysis of model and satellite 337 observations

338 An EOF analysis was carried out to split the modeled and remotely sensed  
339 [Chl] variability into statistical modes that would give an initial idea of the  
340 processes that contribute for that variability. Also, comparing the EOF anal-  
341 ysis of model outputs and observations would let us evaluate to what extent  
342 was the model able to reproduce the observed variability. Three [Chl] EOFs  
343 were retained for analysis, which explained more than 90% of modeled vari-  
344 ability and more than 85% of remotely sensed variability. For each EOF, the

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10 345 spatial variability (spatial field) and its associated time series of amplitude  
11 346 (temporal mode) are presented (Figs. 5,6,7). The contribution of one EOF  
12 347 at any time in a particular point is obtained by multiplying the value at that  
13 348 location times the value of the temporal coefficient at a given time.

14 349 The first mode of the EOF analysis of [Chl] explained 69.25% of [Chl] vari-  
15 350 ability in the model and 46.32% of variability in the remotely sensed [Chl]  
16 351 (Fig. 5). The latter percentage was coincident with the first EOF mode found  
17 352 by Miles and He (2010) (46.35%) when analyzing satellite (MODIS) [Chl]  
18 353 data for the South Atlantic Bight over 2003-2008. The temporal evolution  
19 354 showed that this mode captures the seasonality of the spring bloom (March-  
20 355 April) in both model results and observations, which followed the seasonal  
21 356 solar heating cycle of the water column, reflected in the MLD seasonality  
22 357 (Fig. 8). The maximum model MLD generally occurred in February (win-  
23 358 ter mixing), which was confirmed by the MLD obtained from ARGO floats,  
24 359 and followed by the spring thermal stratification in March-April (Fig. 8),  
25 360 coinciding with the increase in [Chl] detected in the temporal mode of the  
26 361 EOF 1 (Fig. 5). The comparison of the MLD derived from model results and  
27 362 ARGO profiles showed that the mean winter mixing over the domain tended  
28 363 to be deeper in the observations than in the model, in particular for years  
29 364 of deepest MLD (Fig. 8). The EOF 1 (Fig. 5) confirmed the trend for the  
30 365 anticipation ( $\sim 1$  month) of the spring bloom in the model mentioned in sec-  
31 366 tion 3.1. The same anticipation was generally detected on the spring shoaling  
32 367 of the MLD in the model, with MLD values in March usually shallower in  
33 368 the model than observed. This happened because the shoaling started from a  
34 369 shallower winter mixing (Fig. 8). Thus, the shallower MLD in March was the  
35 370 possible reason for the bloom anticipation. The spatial field of model EOF  
36 371 1 indicated that this variability affected mainly the offshore region, with a  
37 372 noticeable latitudinal gradient from higher [Chl] in the north to lower values  
38 373 in the south during the bloom. This latitudinal gradient was also evident  
39 374 in the satellite EOF 1, although the latter showed also a clear zonal compo-  
40 375 nent, with increasing [Chl] towards the shelf, which was absent in the model  
41 376 EOF 1 (Fig. 5). Considering this discrepancy between the model and the  
42 377 satellite spatial field, it should be considered that the timing of the bloom  
43 378 (March-April) is coincident with the maximum river outflow in the region.  
44 379 Thus, high concentration of suspended matter from river outflow may inter-  
45 380 fere with remotely sensed [Chl], resulting in an overestimation. On the other  
46 381 hand, the lack of seasonal varying nitrate concentration from continental  
47 382 inputs in the model may be a limitation over the shelf, leading to a misrep-

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10 383 representation of nutrient inputs. The temporal mode of the satellite EOF 1  
11 384 also revealed a smaller peak which occurred between August and November,  
12 385 i.e., late summer or autumn. The peak was not present in the temporal  
13 386 mode of the model EOF (Fig. 5). The timing of the signal would suggest  
14 387 the increase in [Chl] associated with the autumn bloom (Castro et al., 1997;  
15 388 Álvarez-Salgado et al., 2003; Silva et al., 2009). However, this peak in the  
16 389 satellite temporal mode had frequently a negative or nearly zero value, which  
17 390 multiplied by the positive value of the spatial field would actually indicate a  
18 391 negligible effect increasing [Chl]. The difference in the percentages explained  
19 392 by the model and by the satellite observations in EOF 1 indicated that the  
20 393 seasonal variability associated to the spring bloom dominated the variability  
21 394 in model outputs, whereas, still being the most important, it was lower in  
22 395 satellite data.

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26 396 The second EOF explained 14.45% of modeled vs. 26.54% of observed  
27 397 [Chl] variability (Fig. 6). The temporal and spatial fields together indicated  
28 398 that this variability accounted for the increase in [Chl] during the spring-  
29 399 summer upwelling season (April-September) over the shelf. The increase in  
30 400 [Chl] is driven by the upwelling of subsurface cold and nutrient rich ENACW  
31 401 under prevailing northerly winds along the Iberian margin (Fig 2, upper  
32 402 panel). The [Chl] was higher in the model than in observations, and affected  
33 403 a larger shelf area, extending to the southwestern shelf (Fig. 6). The tempo-  
34 404 ral mode showed a variable intensity of the spring-summer upwelling signal  
35 405 from year to year, as expected from the known variable intensity and persist-  
36 406 ence of the northerly winds (e.g. Álvarez-Salgado et al., 2002). For example,  
37 407 years of strong and persistent northerly winds, such as 2001, 2002, and 2006  
38 408 (Fig 2) were also years with a noticeable [Chl] signal of the temporal EOF  
39 409 2 (Fig. 6). Years of more variable winds, such as 2003 and 2004 (Fig 2)  
40 410 presented an intermittent [Chl] signal of the temporal EOF 2 over the up-  
41 411 welling season (Fig. 6). Sánchez et al. (2007) showed that this strengthening  
42 412 and weakening of upwelling-favorable northerlies had a significant relation-  
43 413 ship with the North Atlantic Oscillation (NAO) phases (interplay between the  
44 414 Azores High-Iceland Low). The spatial field of EOF 2 also revealed a slight  
45 415 decrease of the offshore [Chl] in summer, reflecting the nutrient depletion due  
46 416 to thermal stratification.

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50 417 The third EOF mode explained 9.67% of modeled [Chl] variability and  
51 418 13.42% of the observed variability (Fig. 7). The temporal and spatial anal-  
52 419 ysis pointed out to a decrease (increase) in [Chl] in winter (spring) in the  
53 420 northern offshore region of the domain, both in model outputs and observa-

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10 421 tions. A similar pattern also affected the shelf, in particular the innermost  
11 422 part. There was an opposite pattern in the offshore region south of  $\sim 43^\circ$   
12 423 N, i.e., a progressive increase in [Chl] in winter and a subsequent decrease  
13 424 after February-March. This variability suggested it might be associated to  
14 425 the cycle of winter vertical mixing, which is maximum in February for the  
15 426 Iberian region as already mentioned (Fig. 8). It would tend to decrease  
16 427 [Chl] north of  $\sim 43^\circ$  N by a dilution/light limitation effect also known as a  
17 428 *phyto-convection* mechanism, proposed for several oceanic regions and also  
18 429 described for the NW Iberia oceanic region in Perez et al. (2005). The late  
19 430 winter (February-March) MLD in the Iberian margin reaches 150 m south  
20 431 of  $43^\circ$  N, and more than 300 m to the north (Arhan et al., 1994; Álvarez-  
21 432 Salgado et al., 2003), which is coincident with the latitudinal limit found in  
22 433 the spatial field of EOF 3. South of that latitude, the deepening of the MLD  
23 434 throughout winter seemed to favor [Chl] increase, providing new nutrients  
24 435 to the surface after the summer depletion. These opposite mechanisms were  
25 436 also proposed by Follows and Dutkiewicz (2001) to explain the bloom evo-  
26 437 lution in the subtropical and subpolar North Atlantic. The negative peak  
27 438 of this winter signal in the model EOF 3 (February) tended to precede in 1  
28 439 month the peak on the satellite EOF 3 (March) (Fig. 7, upper panel). Thus,  
29 440 in the model, the winter [Chl] minimum in the North (relative maximum in  
30 441 the South) tended to be coincident with the time of deepest MLD, whereas  
31 442 it was 1 month delayed in observations. It is likely that, as discussed for  
32 443 EOF 1, a shallower modeled than observed MLD in March caused an early  
33 444 increase in [Chl] in the North, and an early decrease in the South. After  
34 445 this, a positive peak in the model EOF 3 appeared in spring (April), gener-  
35 446 ally also preceding in 1 month the corresponding peak of the satellite EOF  
36 447 (May). Again an opposite spatial pattern occurred, here corresponding to  
37 448 a [Chl] increase in the northern part of the region, and to a [Chl] decrease  
38 449 south of  $\sim 43^\circ$  N. The temporal and spatial sequence described suggested that  
39 450 the spring signal captured in EOF 3 corresponded to the second stage of the  
40 451 spring phytoplankton bloom detected in EOF 1. In this stage there was a  
41 452 ‘displacement’ of the bloom from South to North, with [Chl] progressively  
42 453 decreasing south of  $\sim 43^\circ$  N and progressively increasing to the north of that  
43 454 latitude. This spatio-temporal sequence of the bloom is in agreement with  
44 455 that described by Follows and Dutkiewicz (2001) for the 1998 bloom period  
45 456 over the North Atlantic, and with references therein. It is generally consid-  
46 457 ered a consequence of the ‘critical layer’ mechanism described by Sverdrup  
47 458 (1953) for a light limited water column, i.e., the bloom occurs progressively

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459 later in higher latitudes as the insolation increases and the water column  
460 stratifies (Follows and Dutkiewicz, 2001). The described differences between  
461 the time evolution of [Chl] in the northernmost part of the region and the  
462 rest of the region supported the idea that the ocean off West Iberia could  
463 be divided in two distinct biogeographic provinces following the classifica-  
464 tion of Longhurst (1998): most of the region would present characteristics  
465 of the Eastern part of North Atlantic Subtropical Gyre (NASTE), whereas  
466 the region to the north of  $\sim 43^\circ$  N would present characteristics of the North  
467 Atlantic Drift Province (NADP). Lévy et al. (2005) also described different  
468 production regimes in an oceanic region between  $16\text{-}22^\circ$  W along West Iberia,  
469 characterized by a changing effect of the winter MLD in [Chl] from North to  
470 South.

### 471 3.3. Cross-correlation analysis

472 The correlograms of the cross-correlation analyses between the temporal  
473 modes of each of the three [Chl] EOFs described and several hydrographic  
474 descriptors (model data for both time series) are presented in Figs. 9, 10 and  
475 11. In order to complement the physical descriptors of hydrography, and try  
476 to relate them with the nutrients availability, for each of the three EOFs a  
477 cross-correlation with the monthly surface  $NO_3$  was also performed.

478 A strong positive correlation was found between the *spring EOF* and  $NO_3$   
479 at time lag 1 month (Fig. 9). A similar correlation was detected between this  
480 EOF and the monthly MLD, indicating that the spring bloom in the model  
481 tended to occur one month after the maximum MLD (Fig. 8). However, as  
482 previously discussed, in the model the spring bloom occurred 1 month earlier  
483 than observed, so we expect the real lag between the maximum MLD and the  
484 bloom to be more approximate to 2 months. Note that a positive correlation  
485 was also found, at 2 months and 0 time lag, between the *spring EOF* and  
486 both the MLD and  $NO_3$ . The correlations found suggested a relationship  
487 between the winter mixing and the intensity of the subsequent spring bloom,  
488 as also proposed by Waniek (2003) from model results. This idea was well  
489 exemplified for the years 2005, 2006, and 2009 when maxima in MLD were  
490 reached (Fig. 8), coinciding with the years of model [Chl] maxima in the  
491 spring bloom (Fig. 4a). However, for 2005 and 2006 the bloom observed  
492 from satellite data was not as intense as in the model, in spite of the deeper  
493 MLD observed from ARGO floats. We think this is related to the referred  
494 anticipation of the March stratification in the model. It is hypothesized that  
495 the better model-satellite [Chl] correspondence of 2009 was related to an



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496 earlier stratification than usual after the winter mixing (based on ARGO  
497 profiles, not shown).

498 Figure 12 (a) showed the quite homogeneous distribution of this 1 month-  
499 lag correlation of the *spring EOF* and the MLD, although with the northern  
500 region presenting the highest correlations. The low correlation observed in  
501 the shelf region is not significant, because there was a considerable reduction  
502 of the data points with MLD values over the shelf. This was a consequence  
503 of the imposition of a minimum of 10 m in the calculations of the MLD (see  
504 section 2.2), which removed a considerable number of points from the shelf  
505 limiting the point to point matches of the spatial comparison. To overcome  
506 this, a spatial mean of the monthly MLD was calculated for an area in the  
507 shelf (box I; Fig. 1) and a cross-correlation analysis with the *spring EOF*  
508 was performed. We obtained a correlation of 0.9 at time lag 1 month, very  
509 similar to that obtained for the domain averaged MLD (Fig. 9). This result  
510 corroborates that the correlation occurred also for the shelf region.

511 Figure 10 shows the correlogram between the temporal mode of the *up-*  
512 *wellling EOF* of [Chl] and several hydrographic descriptors. A maximum neg-  
513 ative correlation (more than -0.6) was found with the SST over the shelf at 0  
514 time lag (after subtracting the seasonal signal of the SST which accounted for  
515 more than 90% of the variability; Cordeiro Pires et al. in preparation). This  
516 correlation supported the idea that the second source of variability of [Chl]  
517 in the Iberian margin was related with the periodic upwelling of cold and  
518 nutrient rich ENACW along the Iberian shelf. Note also the positive correla-  
519 tion with  $NO_3$  at 0 lag. The spatial distribution of this correlation (Fig. 12  
520 b) clearly supported the same conclusion. The correlation with the monthly  
521 meridional component of the wind (negative sign) over the shelf was similar to  
522 that of the SST. This was expected given that the spring-summer upwelling  
523 period in western Iberia is known to be driven by prevailing northerly winds  
524 (Wooster et al., 1976; Fraga, 1981), increasing [Chl] over the shelf. Still, the  
525 correlation coefficient was not as high as it would be expected, attributable  
526 to the fact that most of the wind variability is concentrated in periods of  
527  $< 30$  days, with the monthly cycle retaining a low intensity signal (Álvarez-  
528 Salgado et al., 2003).

529 The correlogram between the *winter EOF* and the monthly MLD re-  
530 vealed a negative correlation of -0.6 at time lag 0 (Fig. 11), indicating a  
531 co-occurrence of the winter deepening (spring shoaling) of the MLD and  
532 a decrease (increase) in [Chl], for the northern part of the domain. The  
533 opposite was true for the rest of the region, i.e., a co-occurrence of the win-

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10 534 ter deepening (spring shoaling) of the MLD and an increase (decrease) in  
11 535 [Chl], since as described in the previous section the EOF 3 captured opposite  
12 536 patterns of [Chl] to the north and south of  $\sim 43^\circ$  N. A similar negative cor-  
13 537 relation was found with  $NO_3$  at 0 time lag, indicating the coincidence of the  
14 538 increasing  $NO_3$  concentration and the decreasing [Chl] with the winter MLD  
15 539 deepening (opposite south of  $43^\circ$  N). The 0 time lag correlation between the  
16 540 *winter EOF* and the MLD was higher in some parts of the northern half of  
17 541 the domain (Fig. 12 c). As explained for the *spring EOF* a low correlation  
18 542 was found over the shelf, but it is not representative. Again, a spatial mean  
19 543 of the monthly MLD for the shelf box I (Fig. 1) was calculated and a cross-  
20 544 correlation analysis with the *winter EOF* performed. We found a correlation  
21 545 coefficient of -0.6 at time lag 0, the same correlation found for the domain  
22 546 averaged monthly MLD (Fig. 11).

### 27 547 3.4. [Chl] variability in the water column

28 548 The 3D model results allowed to study the biological variability in the  
29 549 water column of the Iberian margin. We focus on the shelf, where short-  
30 550 term highly variable hydrographic conditions (e.g. upwelling, downwelling,  
31 551 continental runoff) overlap the seasonal atmospheric/oceanographic changes,  
32 552 and seem to influence the short-term changes in [Chl] (as seen in Fig. 4 b,d).  
33 553 In particular, a location in the NW Iberian shelf was selected for comparisons  
34 554 with 1-year observations from a sampling station (section 2.2; see position  
35 555 in Fig. 1). This allowed for model evaluation in the water column, and  
36 556 subsequently describe the 10-years interannual [Chl] variability from model  
37 557 results at that location.

#### 42 558 3.4.1. Comparison of ROMS outputs with 1-year in situ observations in the 43 559 NW Iberian shelf

44 560 The ability of this ROMS configuration to reproduce the thermohaline  
45 561 properties at this location for the same observational dataset was already  
46 562 discussed, and found satisfactory, in Reboreda et al. (in revision). There-  
47 563 fore, for simplification, we omit here salinity comparisons and refer just to  
48 564 temperature for describing the hydrographic evolution.

49 565 Figure 13 presents the observed (a, b, c, d) and modeled (e, f, g, h)  
50 566 water column evolution of temperature, [Chl],  $NO_3$  and  $NH_4$  at a location  
51 567 in the NW Iberian shelf (Fig. 1) between May 2001-April 2002. The seasonal  
52 568 and short-term variability of hydrographic conditions, as represented by the

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9 569 temperature variability, was well captured by the model (Fig. 13 a, e), which  
10 570 showed temperature values very similar to observations.

11 571 The model was able to reproduce the recurrent upwelling episodes of the  
12 572 spring-summer period (May-September 2001), breaking the thermal stratifi-  
13 573 cation and bringing cold and nitrate rich subsurface ENACW to the surface  
14 574 (Fig. 13 a, e). The higher temporal resolution of the model (daily) allowed  
15 575 a clearer separation of these episodes. The model reproduced the increase  
16 576 in [Chl] immediately after these episodes and the subsequent decrease with  
17 577 the relaxation of the upwelling conditions, even though the [Chl] was higher  
18 578 in model results during most episodes (Fig. 13 b, f). The latter could be,  
19 579 in part, a consequence of the referred higher temporal resolution of model  
20 580 results, given the rapid changes that usually occur in [Chl] in this periods, as  
21 581 shown by the daily surface [Chl] in satellite time series (Fig. 4 b). Still, some  
22 582 higher [Chl] in the model could be attributable to the higher  $NH_4$  simulated  
23 583 by the model (Fig. 13 d, h). It should be noted that the model lacked a  
24 584 dissolved organic nitrogen (DON) compartment, so the modeled  $NH_4$  dis-  
25 585 tribution was probably representing in part the DON distribution. On the  
26 586 other hand, the high  $NO_3$  observed in the subsurface cold ENACW was well  
27 587 reproduced by the model (Fig. 13 c, g). At the end of September a strong  
28 588 downwelling event occurred, that was also appropriately reproduced by the  
29 589 model, as a consequence of the seasonal shift in the wind direction to south-  
30 590 westerlies, which brought warm ( $>17^\circ\text{C}$ ) and nitrate poor ( $<1\text{ mmol N m}^{-3}$ )  
31 591 surface offshore water into the shelf (Fig. 13 a, c, e, g). It was associated to  
32 592 a pronounced decrease in [Chl] as shown by observations and model results,  
33 593 which presented similar concentration values (Fig. 13 b, f) and an increase in  
34 594  $NH_4$  in the water column (Fig. 13 d, h), presumably due to downward advec-  
35 595 tion of organic matter and its subsequent mineralization. The out-of-season  
36 596 strong upwelling event of November 2001, which introduced cold and highly  
37 597 nitrate rich subsurface ENACW into the sea surface, causing an unusual  
38 598 strong phytoplankton bloom for this time of the year, was also reproduced  
39 599 by the model. However, the [Chl] maxima was delayed relative to observa-  
40 600 tions (Fig. 13 a, b, c, e, f, g). After this event, the wind regime returned to  
41 601 the typical southwesterlies of this time of the year, coinciding with a warm-  
42 602 ing of the water column due to the onset of the IPC over the Iberian slope,  
43 603 conveying warm and saline ENACW of subtropical origin (ENACWt). The  
44 604 dominant downwelling/IPC situation prevailed until February, characterized  
45 605 by low [Chl] ( $\sim 0.5\text{ mg m}^{-3}$ ) in both observations and model results (Fig. 13  
46 606 b, f). During late February-March 2002 the model reproduced the winter

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607 mixing of the water column. Then, a first phytoplankton bloom occurred in  
608 the still homogeneous water column, before the spring thermal stratification,  
609 also reproduced by the model, although with lower [Chl] and a delayed max-  
610 imum. It has been argued that these kind of spring blooms in the absence  
611 of stratification are a consequence of deep penetration of light in relatively  
612 clear late-winter waters (Townsend et al., 1992). In late March, the model  
613 reproduced the haline stratification caused by a river plume (not shown),  
614 which seemed to coincide with a surface intensification of the bloom (Fig. 13  
615 b, f). Finally, the thermal spring stratification developed in April, under  
616 upwelling favorable conditions, giving rise to a new phytoplankton bloom  
617 which seemed to be somehow weaker in the model.

618 *3.4.2. Interannual variability : ROMS simulation of 10-years biogeochemical*  
619 *evolution in the NW Iberian shelf*

620 ROMS outputs were used to reconstruct the water column [Chl] vari-  
621 ability, together with other biogeochemical variables and thermohaline prop-  
622 erties, at the shelf location just described (Fig. 1) for the 10 years period  
623 (2001-2010) (Fig. 14). Note that the detail of the variability is coarser here  
624 than for the description of 2001-2002, as a 30-day running mean was ap-  
625 plied in order to smooth the small scale variability for a much longer period  
626 and to make it easier to interpret. As expected, the spring-summer [Chl]  
627 (phytoplankton) blooms, driven by the upwelling pulses of cold and nitrate  
628 rich ENACW, were the main source of [Chl] variability throughout the years.  
629 Interannual differences in the upwelling intensity and persistence could be in-  
630 ferred from the temperature and [Chl] distribution, showing years of clearly  
631 separated upwelling pulses, as 2005, and years of more persistent stratifica-  
632 tion, as 2003, when surface [Chl] was lower than usual (Fig. 14 a, b). Modeled  
633 [Chl] was also lower than usual in 2009 and 2010, but this has already been  
634 interpreted as a possible adjustment to the shift in the surface model forcing  
635 (section 3.1). Out-of-season strong upwelling events, such as that of Novem-  
636 ber 2001, that can be captured with a 30-day running mean, did not seem  
637 to be frequent. The autumn shift to prevailing downwelling conditions was  
638 clearly detectable every year from the surface warming and the decrease in  
639 [Chl] (Fig. 14 a, b). This shift could be more abrupt, as in 2002 and 2006, or  
640 it could be more gradual, as in 2005. From that time to the beginning of the  
641 next year (autumn-winter) the presence of the IPC over the slope was also  
642 reflected in the thermohaline properties of the shelf waters (more saline and  
643 warm; Fig. 14 b, c). This period was also characterized by a gradual increase

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644 in [Chl] until a phytoplankton bloom occurred, either coinciding with the  
645 maximum of vertical homogenization of the winter mixing (sharp tempera-  
646 ture decrease), as in 2001 and 2003, or with the spring stratification, as in  
647 2005 and 2006.

648 Trends in [Chl] and temperature, for the upper 10 m of the water col-  
649 umn and the 10 m above the bottom, were studied at this shelf location for  
650 the period 2001-2010. Linear trends were calculated considering the annual  
651 anomalies and also the anomalies of the summer upwelling period (April-  
652 September) and the winter downwelling period (October-March) separately.  
653 The slope of these regression analyses are presented in Table 4. There was  
654 a significant positive trend in temperature, considering the annual anomala-  
655 lies, both in the upper water column and in the bottom, however the trend  
656 was not significant when considering the upwelling/downwelling periods sep-  
657 arately, except the bottom temperature for the upwelling period. A slightly  
658 negative trend was found in the upper water column [Chl], but it was not  
659 significant. Note that the significant trends found for temperature should  
660 be taken with caution, because of the short period tested (10 years) and the  
661 change in the surface forcing used for model simulations of the last two years,  
662 with the implications already discussed.

#### 663 4. Summary and conclusions

664 The capability of the ROMS configuration, coupled to a  $N_2PZD_2$ -type  
665 biogeochemical model, to reproduce the [Chl] variability in the study region  
666 has been satisfactorily tested. The model was able to reproduce the observed  
667 (satellite derived) seasonal variability on [Chl] at the sea surface in the Iberian  
668 margin for the decade 2001-2010. It was also able to reproduce the observed  
669 vertical short-term variability of [Chl],  $NO_3$  and thermohaline properties on  
670 the shelf along 1-year cycle. It thus provides a useful tool, presenting po-  
671 tentialities for further research and as an operational product for the marine  
672 community. The model presented however some limitations that should be  
673 taken into account for future applications. Namely, the anticipation of the  
674 spring phytoplankton bloom in about 1 month, higher [Chl] than observed  
675 during the bloom, and slightly lower concentrations than observed along the  
676 rest of the year. However, the statistical analysis of these differences showed  
677 that they were quite variable from year to year over the study period. This  
678 highlighted the influence of the surface (atmospheric) forcing on the results of  
679 the biogeochemical model. On the other hand, the use of climatological [Chl]

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9 680 at the lateral boundaries, with only seasonal variability (4 values/year), is  
10 681 certainly a limitation of the model when running an interannual simulation.  
11 682 More efforts to satisfactorily implement a biogeochemical model in the outer  
12 683 domain (FD) are needed, so that it could give higher resolution information  
13 684 for the biogeochemical variables to the SD. The use of constant nitrate con-  
14 685 centration values for the rivers does not properly represent the nutrient input  
15 686 to the shelf from continental runoff. Model results would benefit from the  
16 687 availability of more realistic continental nitrate inputs.

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19 688 Three main modes of sea surface [Chl] variability were found for the west-  
20 689 ern Iberia oceanic and shelf regions, both from EOF analysis of model results  
21 690 and satellite observations, which represented the seasonal variability in the  
22 691 region (monthly time scale). The first one, which we named the *spring EOF*  
23 692 because of the evident spring (March-April) signal in the temporal mode,  
24 693 explained 69.25% of [Chl] variability in the model and 46.32% of variabil-  
25 694 ity in the remotely sensed [Chl]. The second source of variability (EOF 2)  
26 695 explained 14.45% of modeled vs. 26.54% of observed [Chl] variability, and  
27 696 was found to be related to the spring-summer increase in [Chl] over the shelf  
28 697 during the upwelling season (*upwelling EOF*). The EOF 3 explained 9.67%  
29 698 of modeled [Chl] variability and 13.42% of the observed variability, and we  
30 699 named it the *winter EOF* because the strongest signal in the temporal mode  
31 700 was a minimum in winter (February), although it also presented a positive  
32 701 signal in spring (March-April). The cross-correlation analyses showed that  
33 702 the MLD had a strong positive correlation with the *spring EOF* at time lag  
34 703 1 month, and a negative correlation with the *winter EOF* at 0 time lag. This  
35 704 revealed a possible double (and opposite) effect of the MLD on the seasonal  
36 705 evolution of [Chl] in the western Iberia. On one hand the deepening of the  
37 706 MLD during the winter mixing seemed to be related with the intensity of  
38 707 [Chl] increase (spring bloom) in the subsequent months, particularly in the  
39 708 northern part of the region, where the winter MLD gets deeper (up to 300  
40 709 m). On the other hand, there seemed to be a synchronization of the winter  
41 710 MLD deepening (spring shoaling) and [Chl] decrease (increase) north of  $\sim 43^\circ$   
42 711 N. South of that latitude the deepening of winter MLD coincided with a [Chl]  
43 712 increase, reaching a maximum in late winter/early spring (February-March),  
44 713 after which the [Chl] decreased as the MLD started shoaling (March-April)  
45 714 and the surface nutrients were used. At the same time, the bloom in the  
46 715 North was progressively intensified as the deep winter MLD disappeared by  
47 716 the spring stratification, giving the impression of a South-to-North displace-  
48 717 ment of the bloom. Thus, the proposed opposite influence of the MLD on

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718 [Chl] would present both a time and a spatial dependence, supporting the  
719 existence of two production regimes off western Iberia, to the north and south  
720 of  $\sim 43^\circ$  N, with differing time evolution of [Chl]. This would help to explain  
721 some apparently contradictory observations of the literature Peliz and Fi-  
722 uza (1999), giving a more complete picture of the seasonal evolution of [Chl]  
723 over the region. The commonly accepted idea that offshore [Chl] throughout  
724 winter decreases, just increasing in spring, should be reconsidered.

725 The summer upwelling production regime characterized the Iberian shelf,  
726 which for the 10 years time-span analyzed was the second source of [Chl]  
727 variability (when considering the entire domain, i.e., the shelf and offshore  
728 region). The *upwelling EOF* of [Chl] was negatively correlated with the  
729 meridional wind and SST (and positively correlated with  $NO_3$ ) over the  
730 shelf. This result is in agreement with the analysis of Álvarez-Salgado et al.  
731 (2002) for the period 1982-1999, showing that 83% of the variability of new  
732 production in the northwestern Iberian shelf was explained by the offshore  
733 Ekman transport (i.e., it was related to the upwelling).

734 In accordance with the results for the surface, the water column [Chl]  
735 variability over the shelf for the study period was mainly influenced by the  
736 interannual intensity and persistence of the upwelling. The autumn shift to  
737 prevailing downwelling conditions tended to decrease [Chl] over the shelf. The  
738 timing for this transition was quite variable from year to year. The spring  
739 phytoplankton blooms reproduced by the model occurred both in conditions  
740 of vertical homogeneity (2001, 2003) or coinciding with the spring stratifica-  
741 tion (2005, 2006). Thus, as expected, the short-term variability in the shelf  
742 seemed to play a more relevant role, which needs to be further explored in  
743 future studies, taking advantage of the modeling possibilities demonstrated  
744 here.

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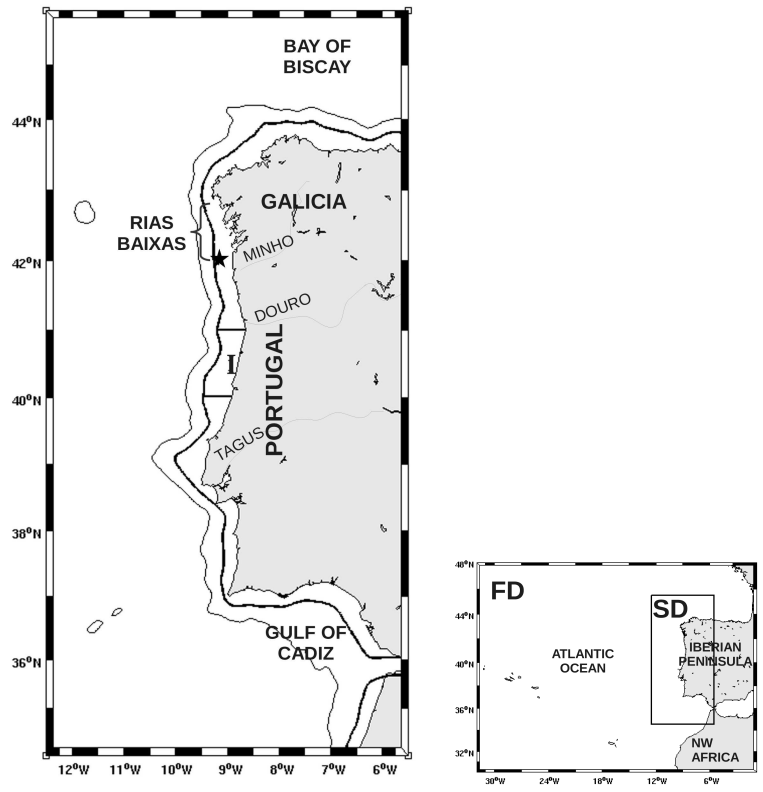


Figure 1: Region of study and nested model domains. Target domain (left), with indication of the parent domain used to provide lateral boundaries (right). FD stands for First Domain and SD stands for Second Domain. Model isobaths of 200 m (black line) and 1000 m (gray line) are depicted (real depth smoothed). Box I indicates the shelf region used for time series comparisons in section 3.1. The star shows the location of the shelf station used to compare water column observations with model outputs.



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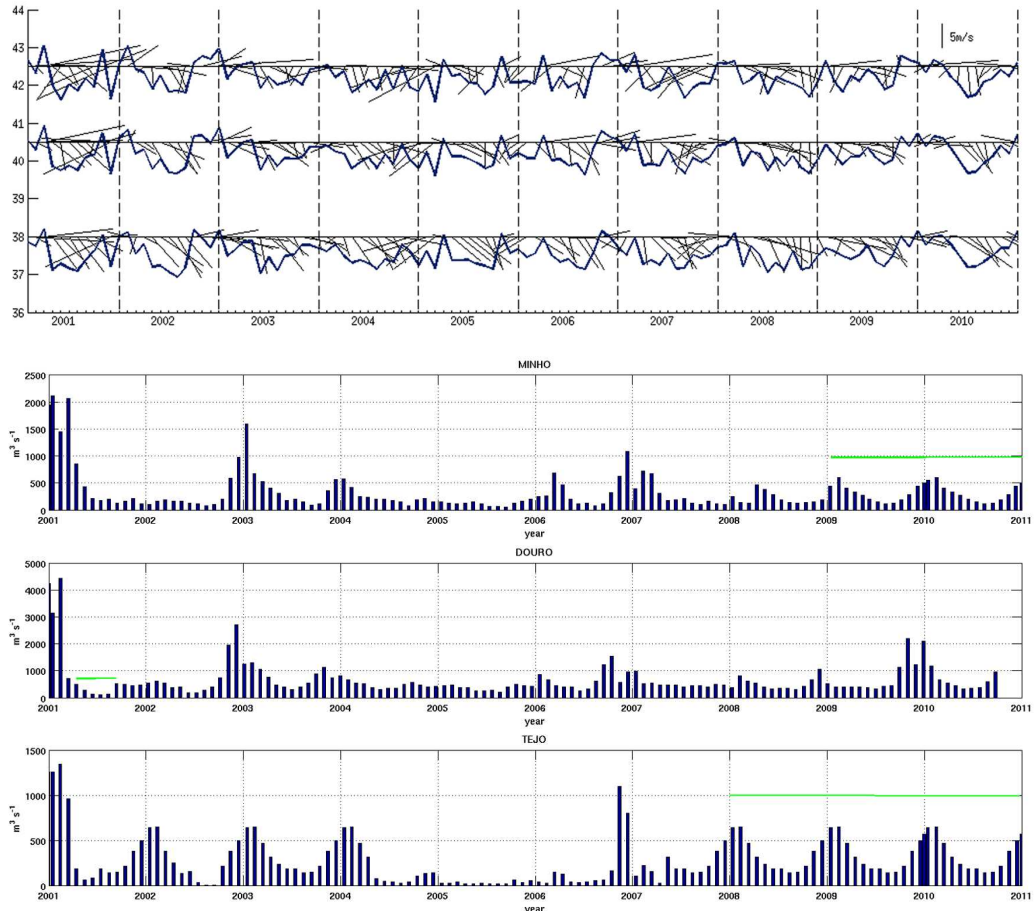


Figure 2: Local forcings in the Iberian shelf (2001-2010). Upper panel: Monthly time series of QuikSCAT (2001-2008) and ASCAT (2009-2010) wind velocity and direction (black sticks) and meridional component (solid line) at three locations along the Iberian shelf ( $9.5^{\circ}$  W):  $38^{\circ}$  N,  $40.5^{\circ}$  N and  $42.5^{\circ}$  N; Lower panel: Monthly continental runoff from the main rivers: Douro, Minho, and Tagus ( $\text{m}^3 \text{s}^{-1}$ ; note different scales). Green lines over the bars indicate climatological values, otherwise values are averaged from real daily discharges.

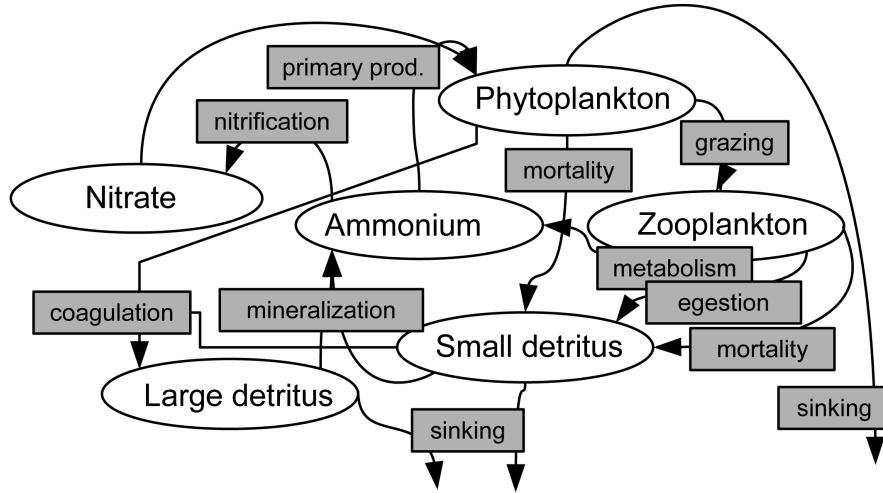


Figure 3: Diagram of the  $N_2PZD_2$  model. Model state variables ( $NO_3$ ,  $NH_4$ ,  $Phyt$ ,  $Zoo$ ,  $SDet$  and  $LDet$ ) are represented in terms of nitrogen concentration.

	Description	Reference
$T$	Temperature	
$PAR$	Photosynthetically Available Radiation	Koné et al. (2005)
$\mu(PAR, T)$	light dependent, temperature limeted growth rate	Gruber et al. (2006)
$\mu(NO_3, NH_4)$	nutrient limitation factor	Gruber et al. (2006)
$t_{NH_4nitr}$	ammonification	Gruber et al. (2006)
$t_{Zmetab}$	zooplankton excretion of ammonium	Gruber et al. (2006)
$t_{SDremin}$	mineralization of slow sinking detritus	Gruber et al. (2006)
$t_{LDremin}$	mineralization of fast sinking detritus	Gruber et al. (2006)
$m_{PD}$	phytoplankton mortality	Gruber et al. (2006)
$g_{max} Zoo \frac{Phyt}{K_p + Phyt}$	zooplankton grazing on phytoplankton	Gruber et al. (2006)
$m_{ZD} Zoo$	zooplankton mortality	Koné et al. (2005)
$(1 - \beta) g_{max} Zoo \frac{Phyt}{K_p + Phyt}$	egestion of fecal pellets	Koné et al. (2005)
$Sagg \cdot (Phyt + SDet)^2$	particle coagulation	Gruber et al. (2006)

Table 1: Description and references for the terms of the biogeochemical SMS equations listed in section 2.1.2

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Parameter		Value	Unit
$K_w$	Light attenuation in seawater	0.04	$m^{-1}$
$K_{chla}$	Light attenuation by chlorophyll	0.024	$(m^2 mg Chla)^{-1}$
$\alpha$	Initial slope of the P-I curve	1	$mg C (mg ChlaW m^{-2} d)^{-1}$
$\theta_{max}$	Maximum cellular chlorophyll:C ratio	0.03	$mg Chla(mg C)^{-1}$
$K_{NO_3}$	Half-saturation for phytoplankton $NO_3$ uptake	0.9	$mmol N m^{-3}$
$K_{NH_4}$	Half-saturation for phyoplankton $NH_4$ uptake	0.5	$mmol N m^{-3}$
$K_p$	Zooplankton half-saturation constant for ingestion	1	$mmol N m^{-3}$
$g_{max}$	Maximum zooplankton growth rate	0.6	$d^{-1}$
$\beta$	Zooplankton assimilation coefficient	0.75	n.d.
$m_{pD}$	Phytoplankton mortality rate to small detritus	0.072	$d^{-1}$
$m_{zD}$	Zooplankton mortality rate to small detritus	0.025	$d^{-1}$
$t_{zmetab}$	Zooplankton specific excretion rate	0.1	$d^{-1}$
$t_{SDremin}$	Small detritus mineralization to $NH_4$ rate	0.03	$d^{-1}$
$t_{LDremin}$	Large detritus mineralization to $NH_4$ rate	0.01	$d^{-1}$
$t_{NH_4nitr}$	$NH_4$ nitrification rate	0.05	$d^{-1}$
$S_{agg}$	Specific aggregation rate (Phyt + SDet)	0.005	$(mmol N d)^{-1}$
$w_p$	Sinking velocity for phytoplankton	0.5	$m d^{-1}$
$w_{LD}$	Sinking velocity for large detritus	10	$m d^{-1}$
$w_{SD}$	Sinking velocity for small detritus	1	$m d^{-1}$

Table 2: Parameter values of the  $N_2ChlPZD_2$  model.

	<b>bias</b>	<b>rms</b>	<b>skew</b>	<b>skill</b>
<b>2001</b>	-0.277	0.183	-0.046	0.716
<b>2002</b>	-0.08	0.207	0.031	0.64
<b>2003</b>	-0.191	0.15	-0.013	0.786
<b>2004</b>	0.003	0.164	0.039	0.805
<b>2005</b>	0.057	0.35	0.101	0.617
<b>2006</b>	-0.026	0.283	0.085	0.532
<b>2007</b>	-0.226	0.182	-0.035	0.574
<b>2008</b>	-0.143	0.203	-0.037	0.639
<b>2009</b>	-0.335	0.239	-0.061	0.853
<b>2010</b>	-0.365	0.231	-0.055	0.637

Table 3: Error statistics of model-satellite comparisons for domain averaged daily [Chl] time series.

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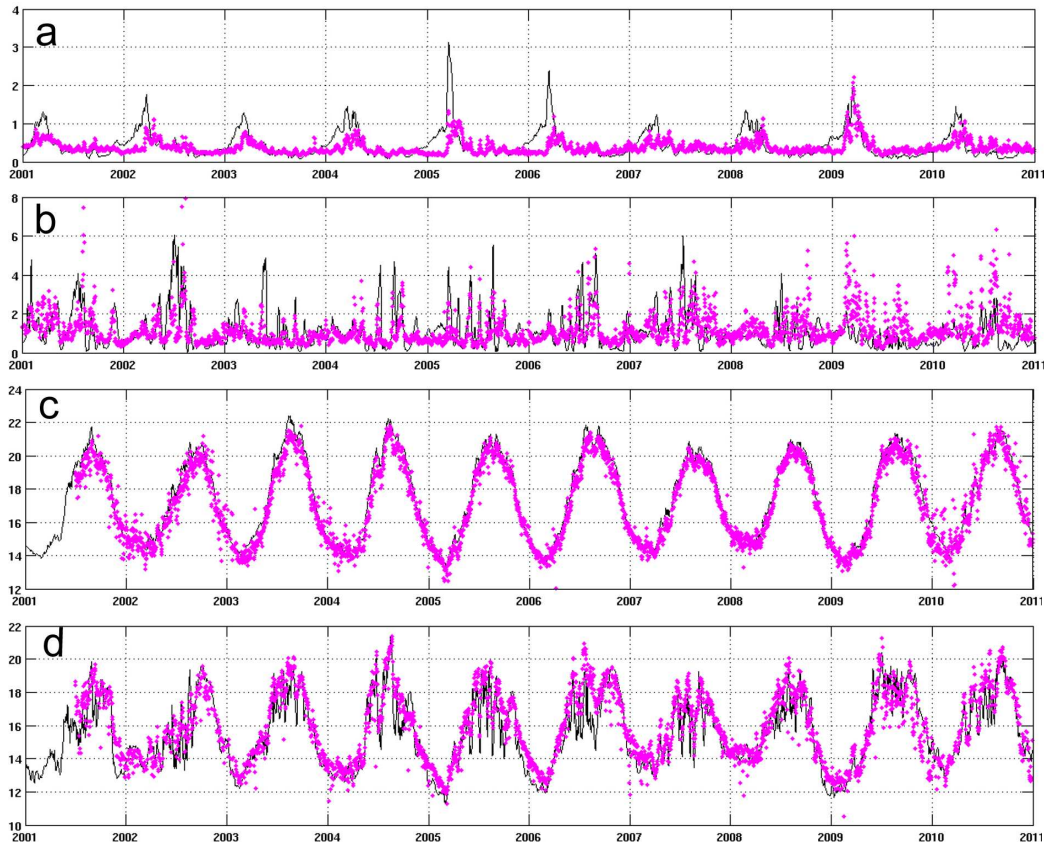


Figure 4: Time series of daily surface [Chl] ( $\text{mg m}^{-3}$ ) and SST from model outputs (solid line) and satellite observations (dots): a) domain averaged [Chl]; b) central shelf (box I Fig.1) averaged [Chl]; c) domain averaged SST; d) central shelf (box I Fig.1) averaged SST.

	[Chl] ( $\text{mg m}^{-3} \text{ year}^{-1}$ )		Temperature ( $^{\circ}\text{C year}^{-1}$ )	
	Upper 10 m	Bottom 10 m	Upper 10 m	Bottom 10 m
<b>Upwelling season</b>	-0.07	0.001	0.14	0.1*
<b>Winter season</b>	-0.02	0.0002	0.1	0.04
<b>Annual</b>	-0.04	0.001	0.09*	0.08*

Table 4: Trends in [Chl] and temperature for the upper 10 m of the water column and the 10 m above the bottom at the shelf station in Fig 1. Analysis based on annual, upwelling season (April-September), and downwelling season (October-March) anomalies fitting to a straight line. \* $p < 0.05$

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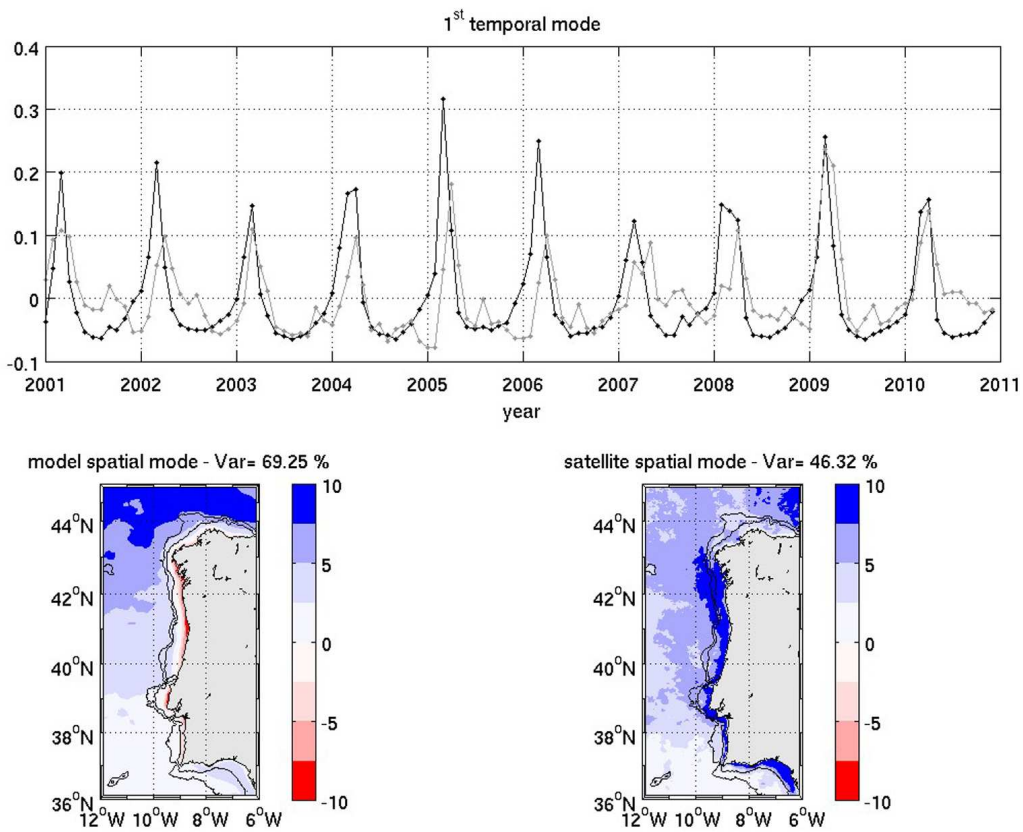


Figure 5: First mode of temporal (upper panel) and spatial (lower panel) variability from the EOF analysis of domain averaged surface [Chl] (monthly means): comparison between model outputs (black line in temporal mode, left panel in spatial field) and satellite observations (gray line in temporal mode, right panel in spatial field). Points in the time series represent months from January to December. Same temporal and spatial sign (+/-) means increase in [Chl] (decrease when sign is opposite).

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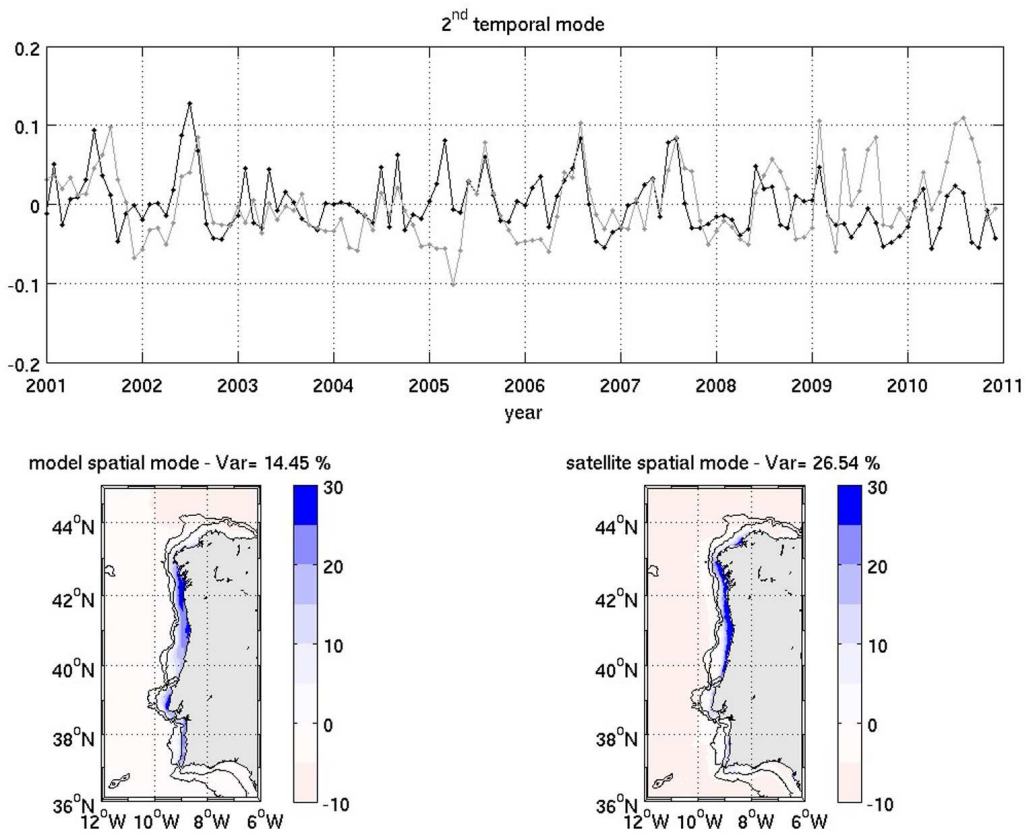


Figure 6: As for Fig. 5 but for the second mode of the EOF analysis.

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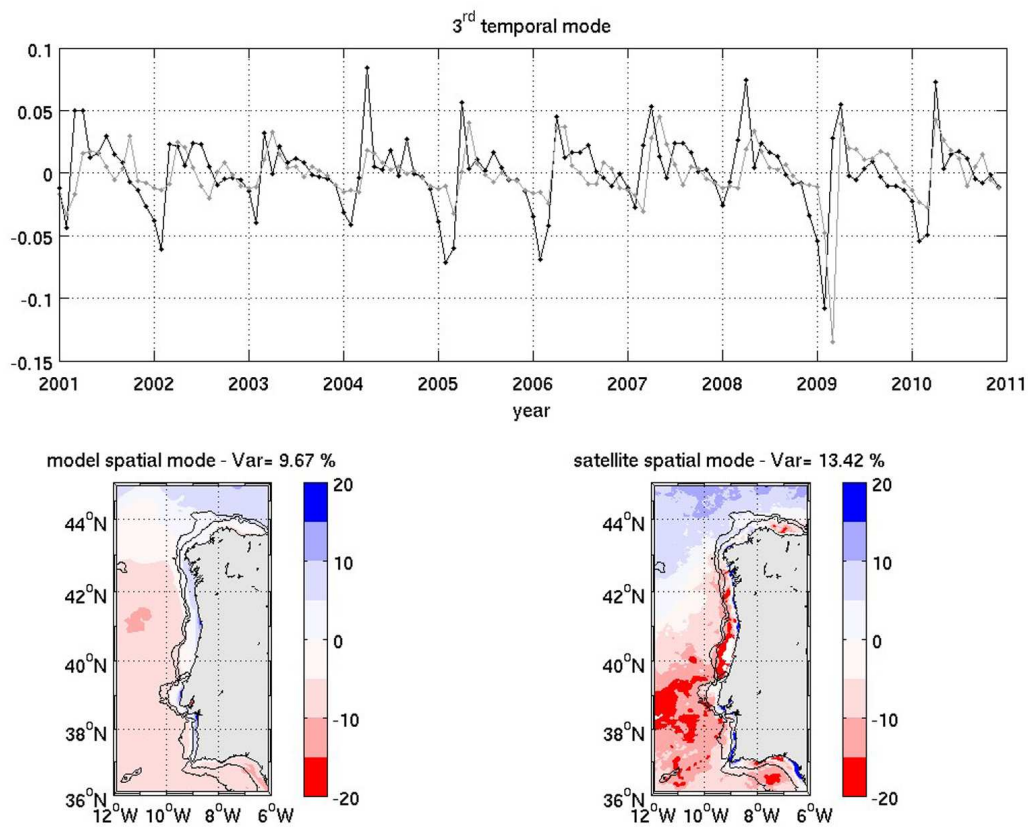


Figure 7: As for Fig. 5 but for the third mode of the EOF analysis.

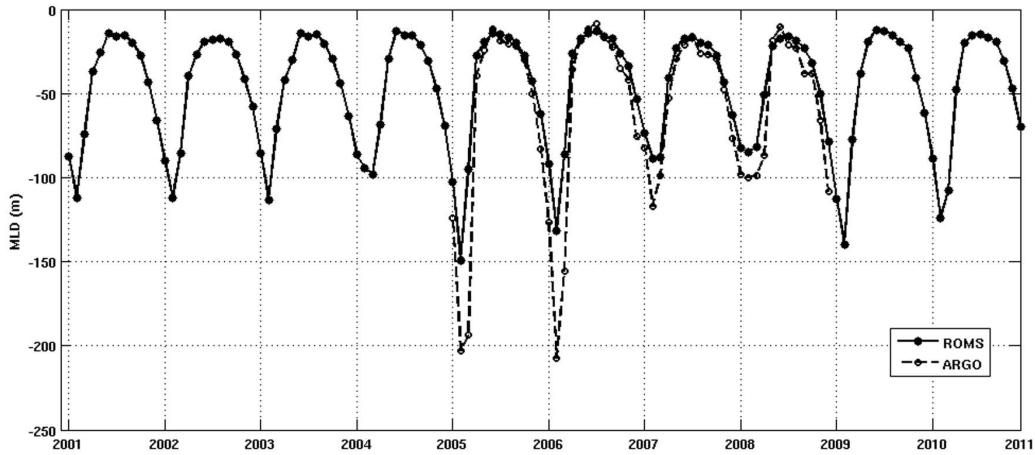


Figure 8: Monthly time series of ROMS domain averaged mixed layer depth (m) for the period 2001-2010 (solid line) and average mixed layer depth obtained from Argo floats data available for the same area ( $n > 200$  for every year) for the period 2005-2008 (dashed line) (Holte et al., 2010).

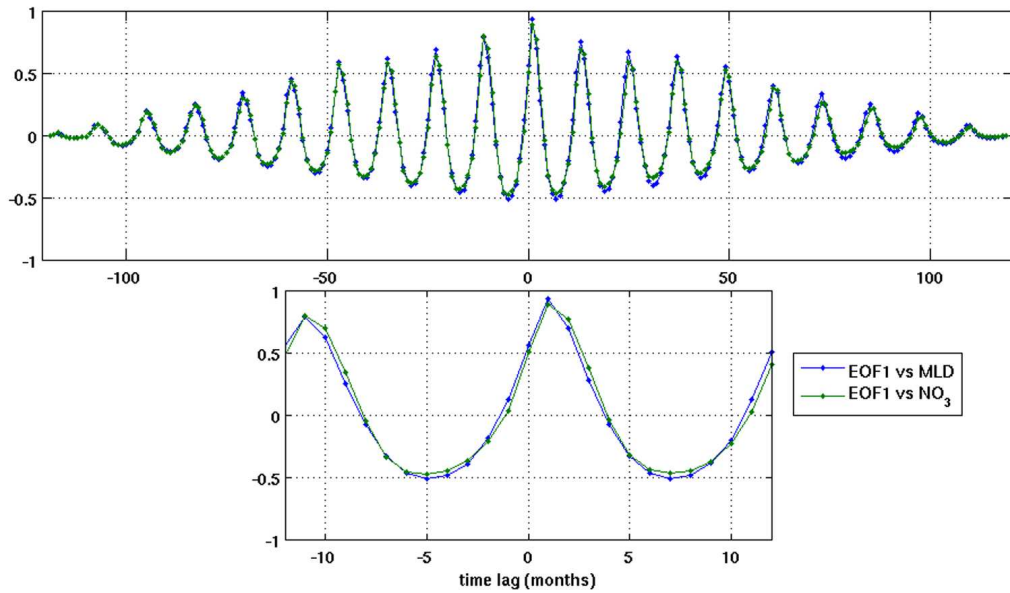


Figure 9: Cross-correlation between the *spring EOF* time series and the monthly mixed layer depth and the monthly  $NO_3$ . Upper panel: cross-correlation for the 10 years time series. Lower panel: zoom showing cross-correlation out to 12 months.



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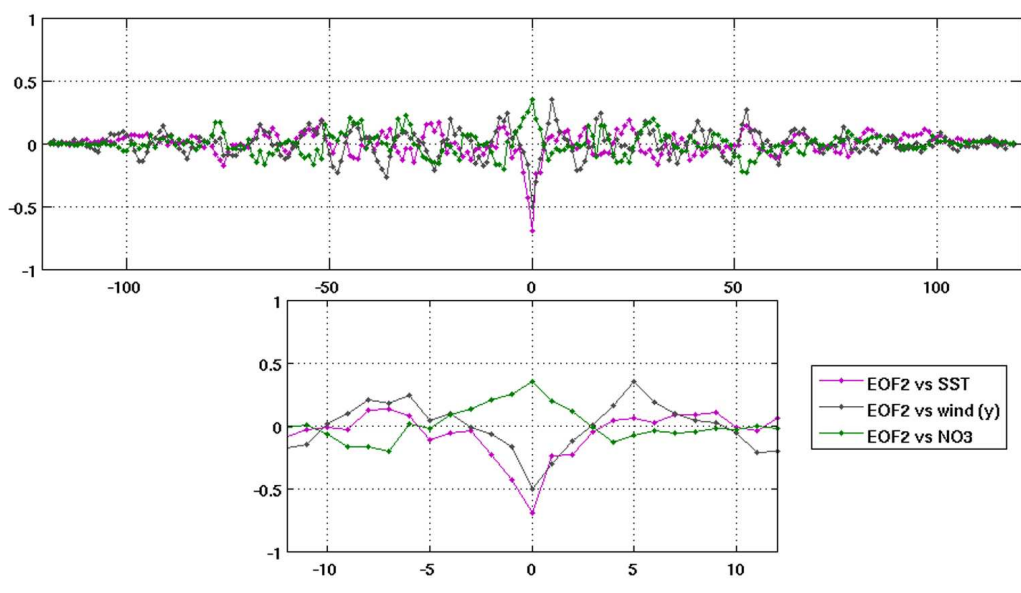


Figure 10: Cross-correlation between the *upwelling EOF* time series and: (1) the monthly SST at the shelf (40.5° N 9.5° W), after subtracting the seasonal cycle; (2) the monthly meridional component of the wind at the shelf (40.5° N 9.5° W); and (3) the monthly  $NO_3$  after subtracting the seasonal cycle. Upper panel: cross-correlation for the 10 years time series. Lower panel: zoom showing cross-correlation out to 12 months.

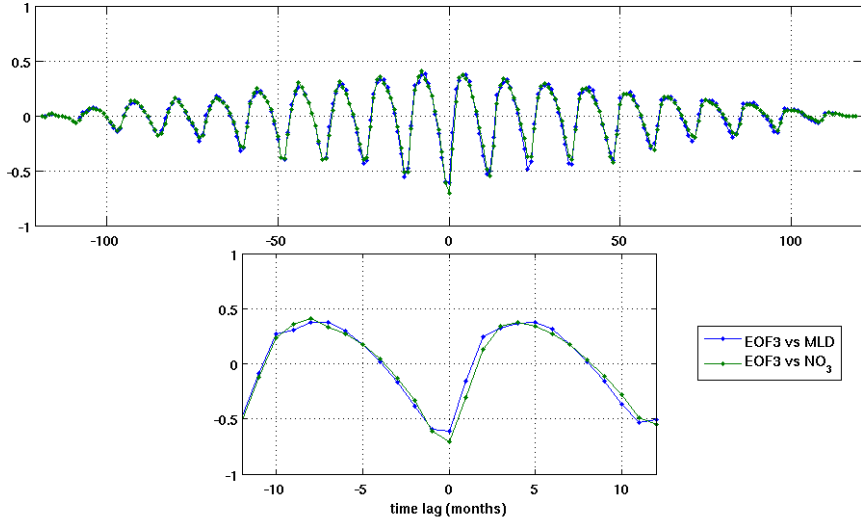


Figure 11: Cross-correlation between the *winter EOF* time series and: (1) the monthly mixed layer depth; (2) the monthly river Douro outflow; and the monthly  $NO_3$ . Upper panel: cross-correlation for the 10 years time series. Lower panel: zoom showing cross-correlation out to 12 months.

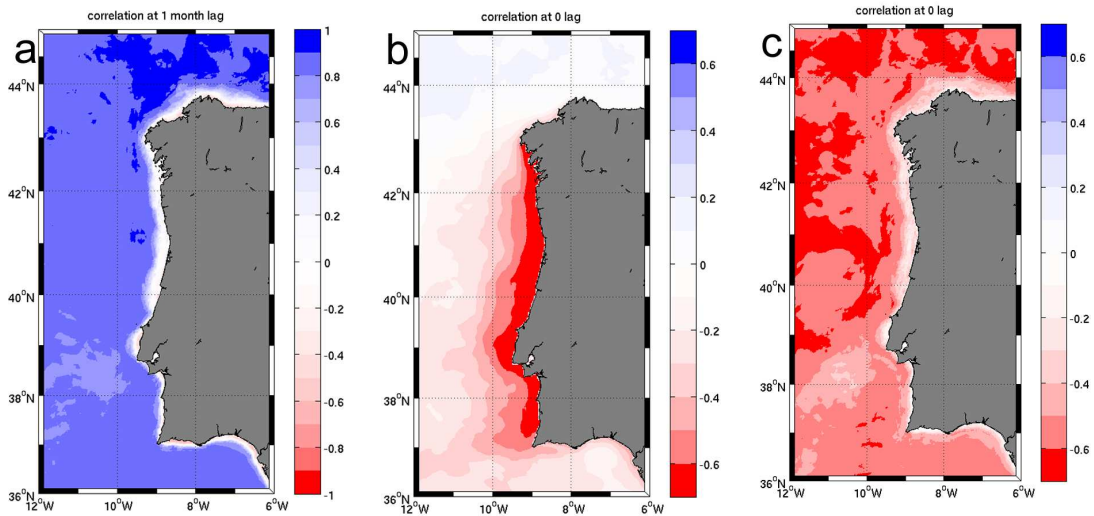


Figure 12: Map of correlation coefficients between: (a) *spring EOF* and MLD at 1 month lag; (b) *upwelling EOF* and SST at the shelf ( $40.5^\circ N$   $9.5^\circ W$ ), after subtracting the seasonal variation, at 0 lag; (c) *winter EOF* and MLD at 0 lag.

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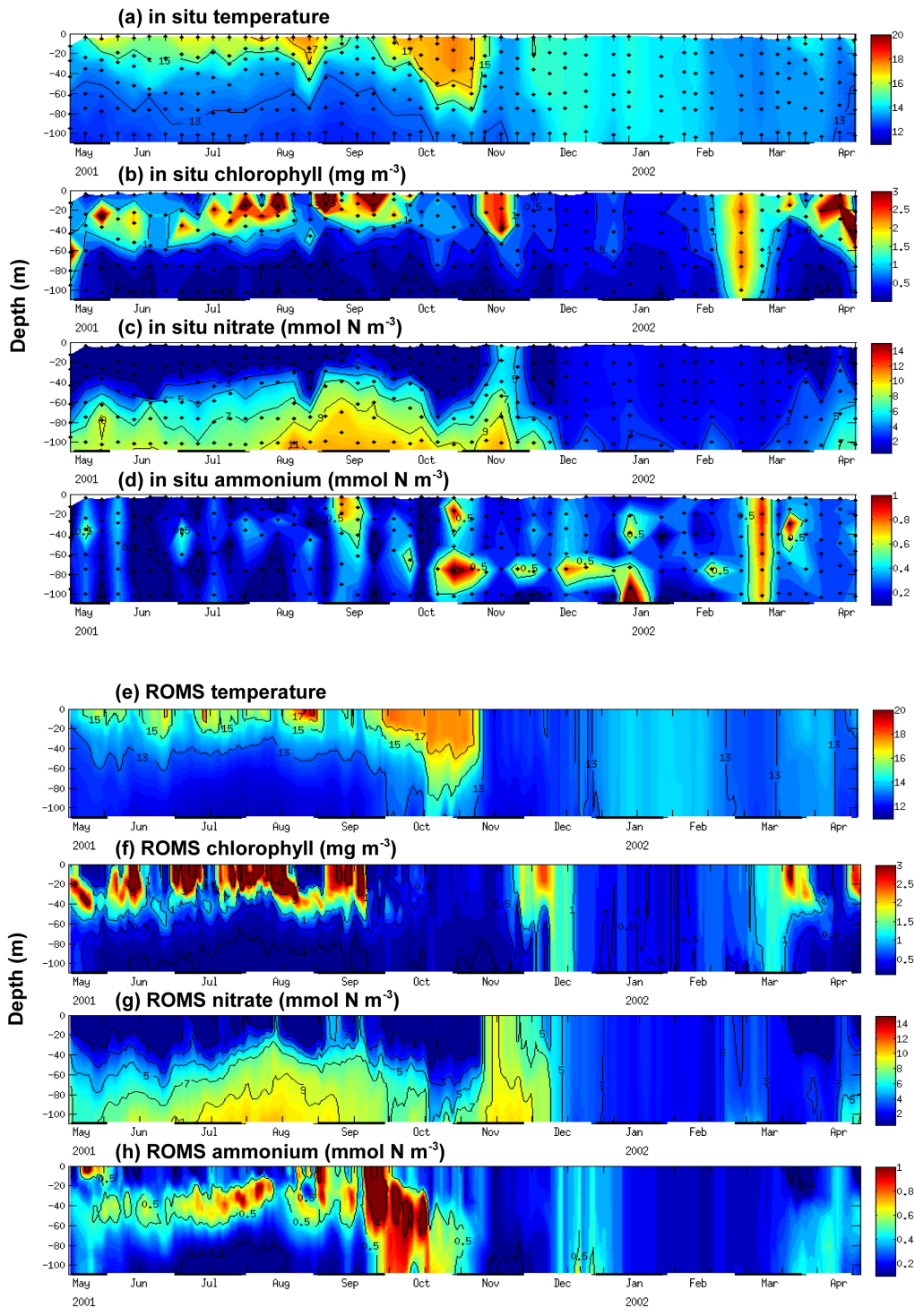


Figure 13: Hydrographic/biogeochemical data observed at a sampled station in NW Iberia (Fig. 1) between May 2001-April 2002 (a, b, c, d) compared with model outputs for the same period (e, f, g, h).

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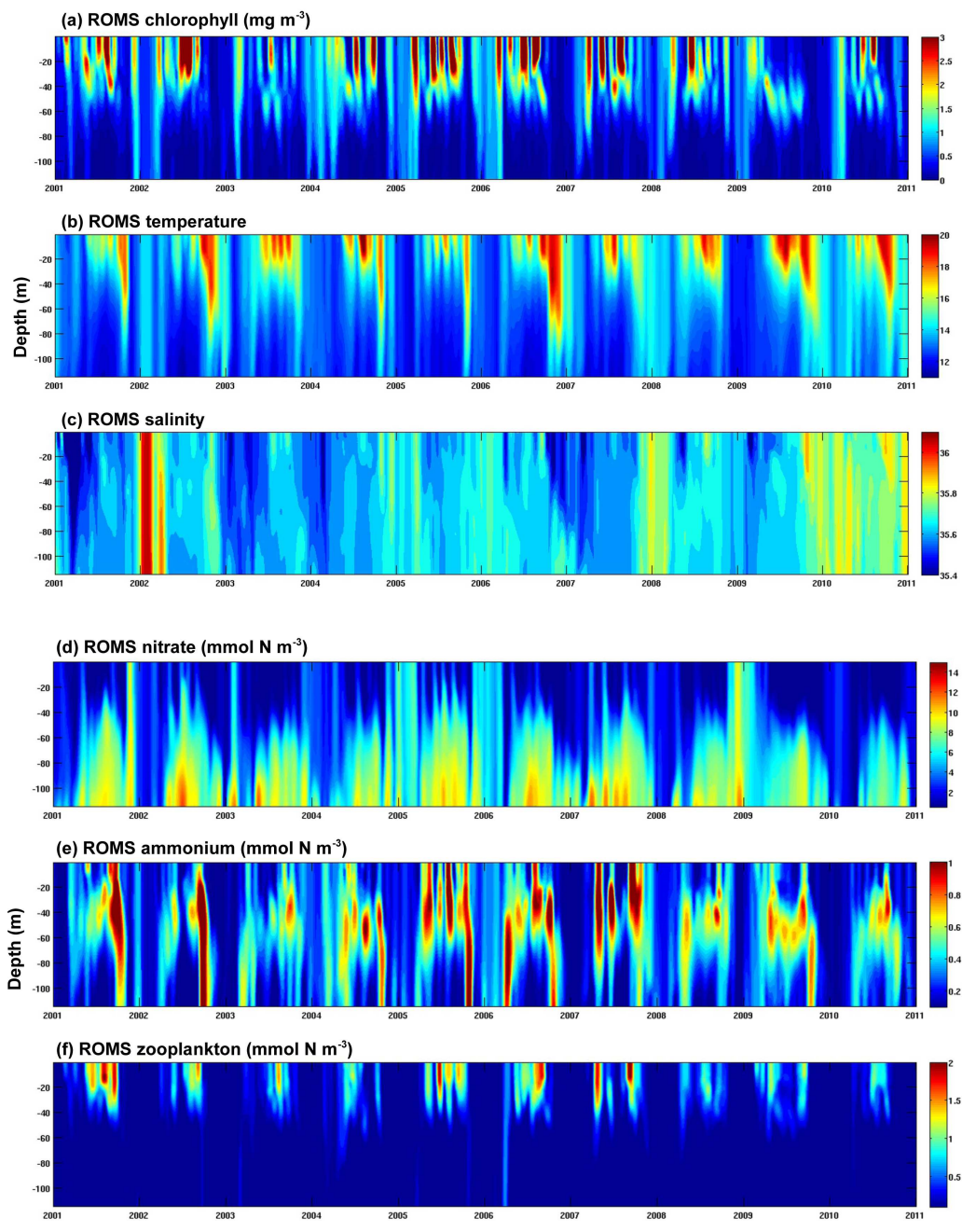


Figure 14: Interannual (2001-2010) model results (30-days running mean) for hydrography (temperature and salinity) and biogeochemistry (chlorophyll, zooplankton, nitrate and ammonium) at the same location of the DYBAGA station (Fig. 1).

Figure3a

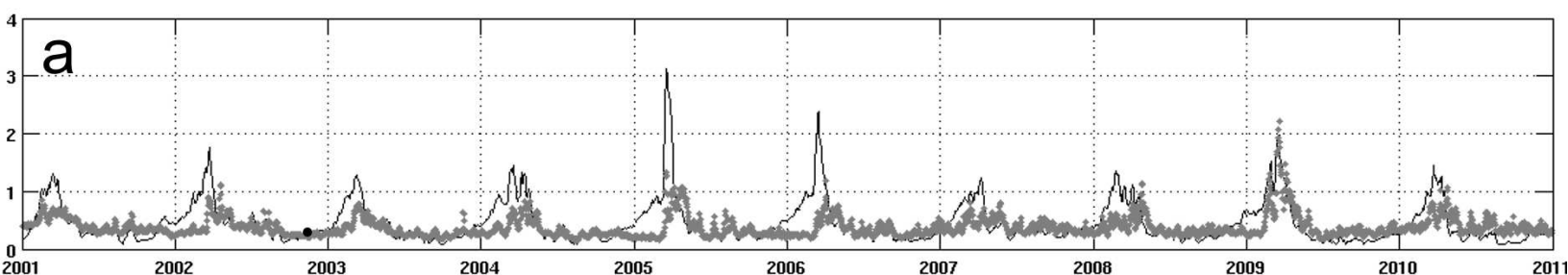


Figure3b

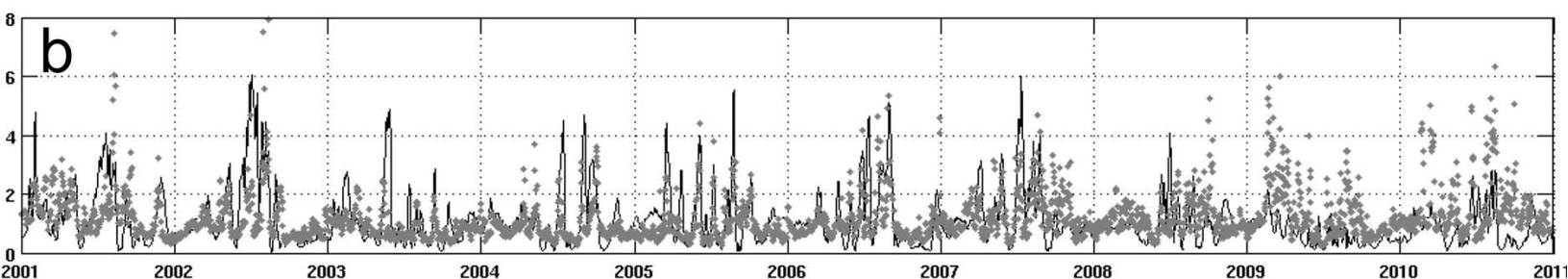


Figure3c

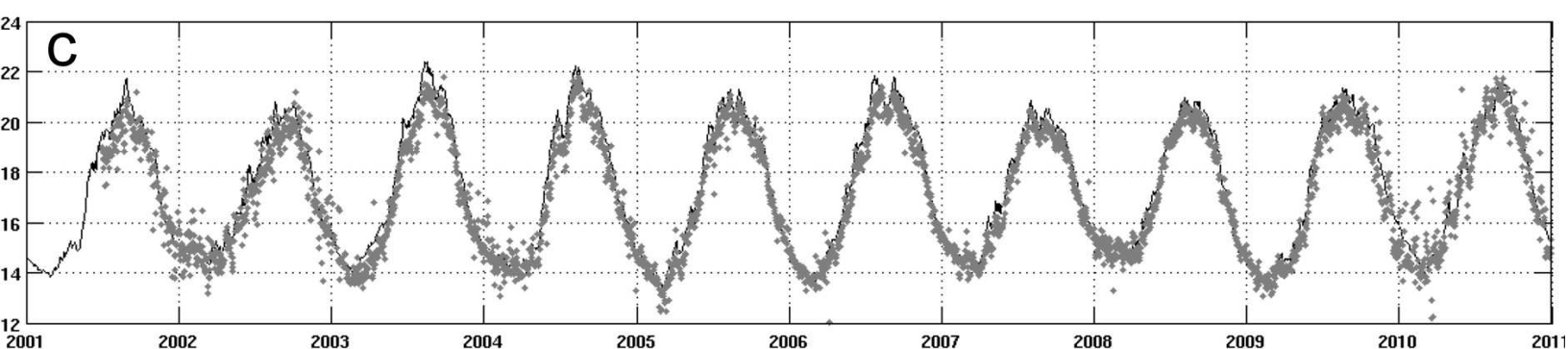


Figure3d

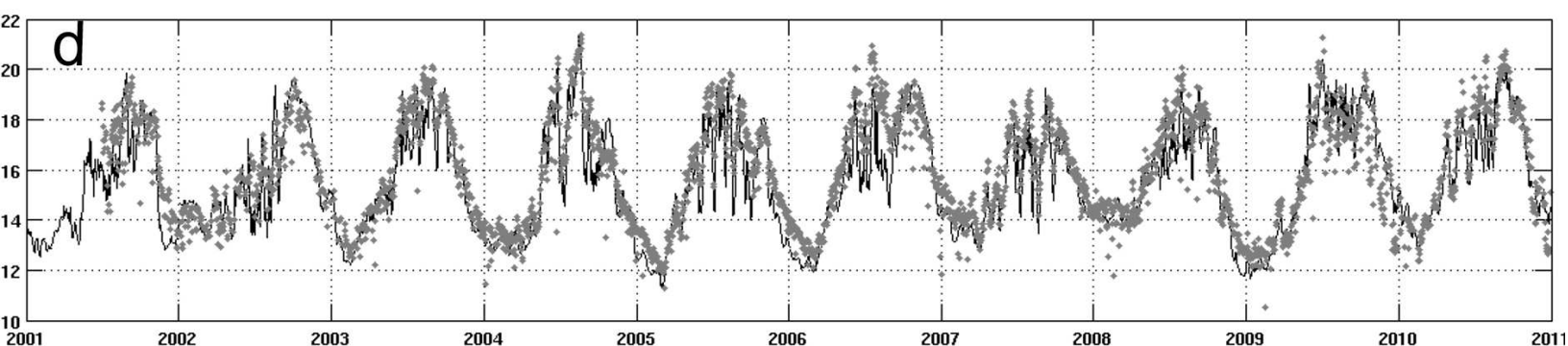
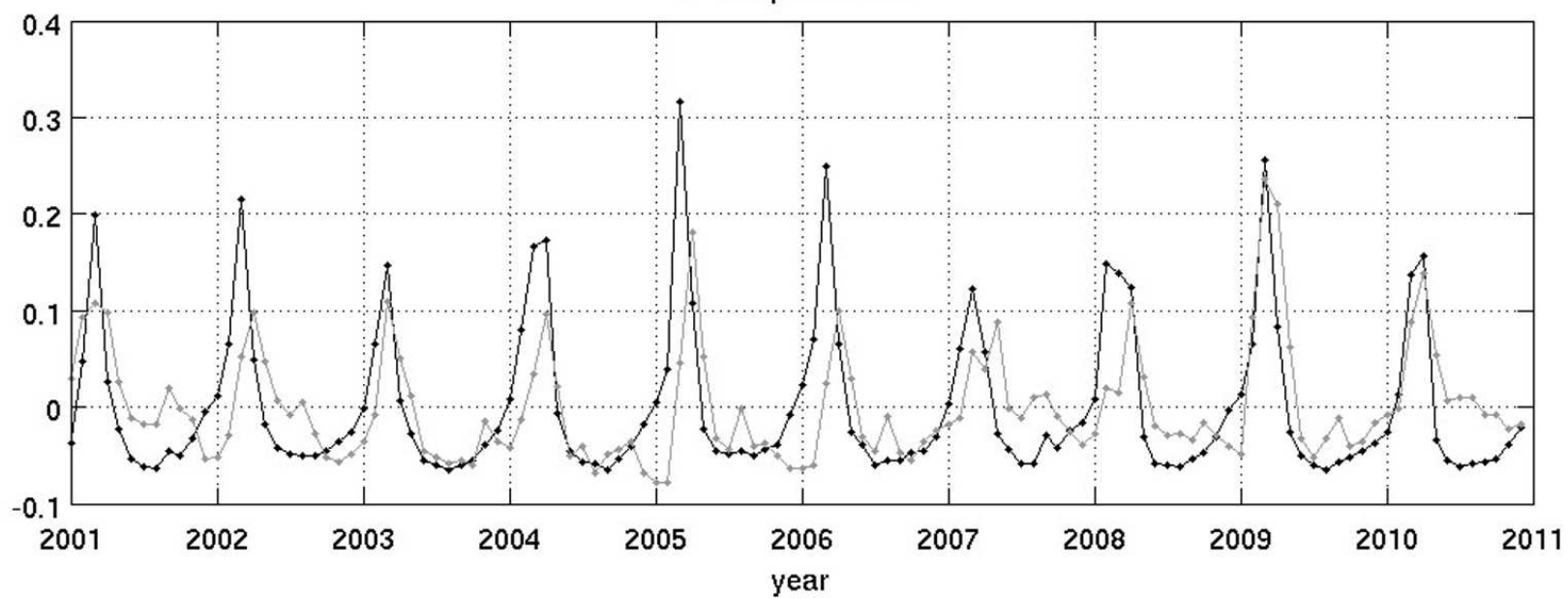


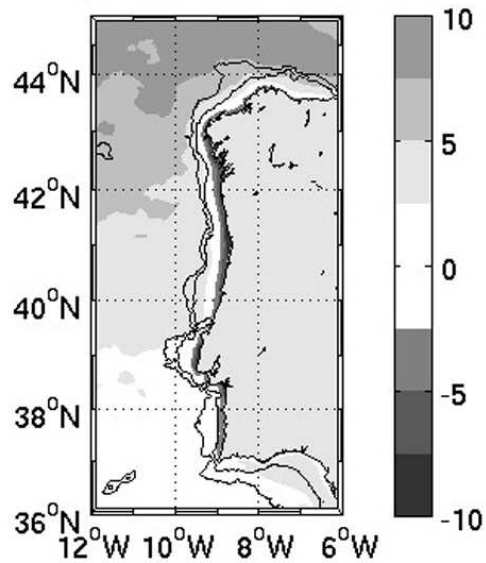


Figure4

1<sup>st</sup> temporal mode



model spatial mode - Var= 69.25 %



satellite spatial mode - Var= 46.32 %

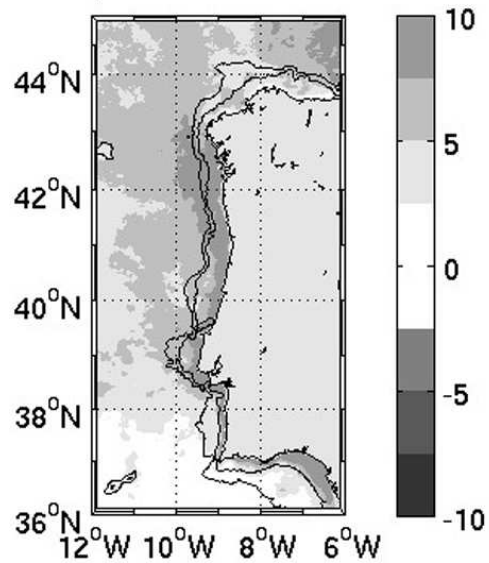
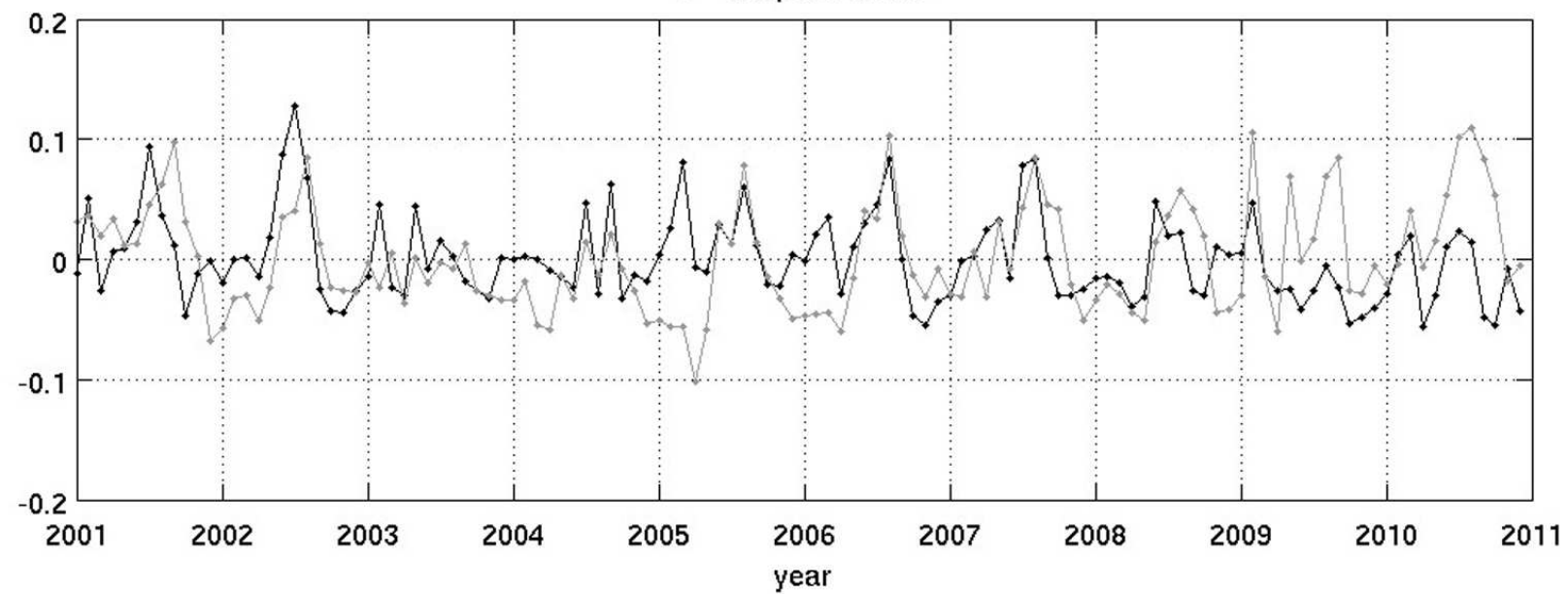
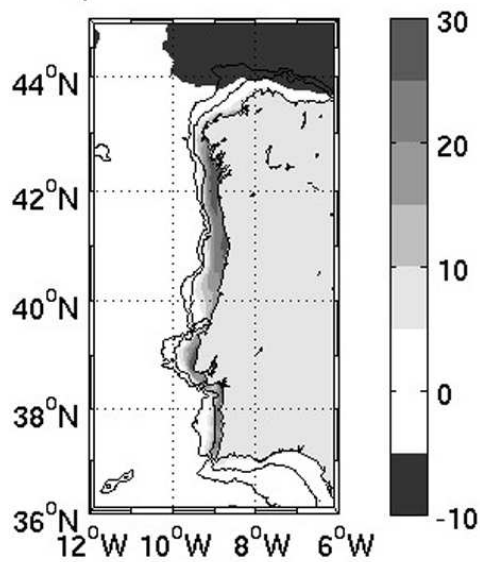


Figure5

2<sup>nd</sup> temporal mode



model spatial mode - Var= 14.45 %



satellite spatial mode - Var= 26.54 %

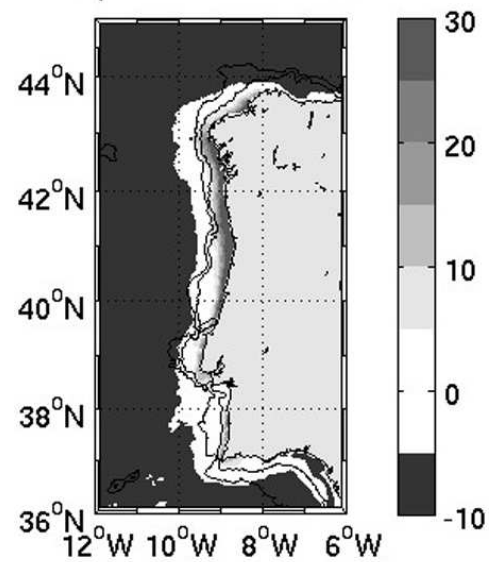
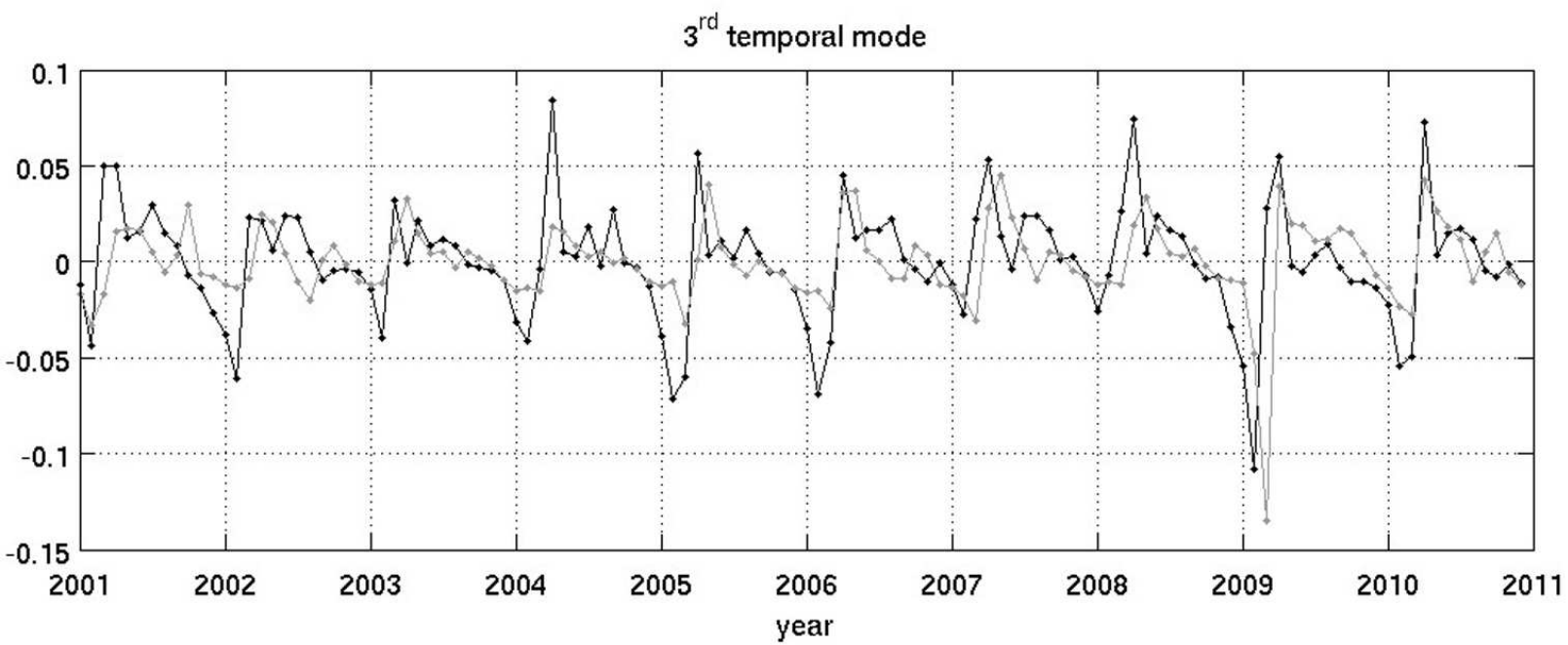
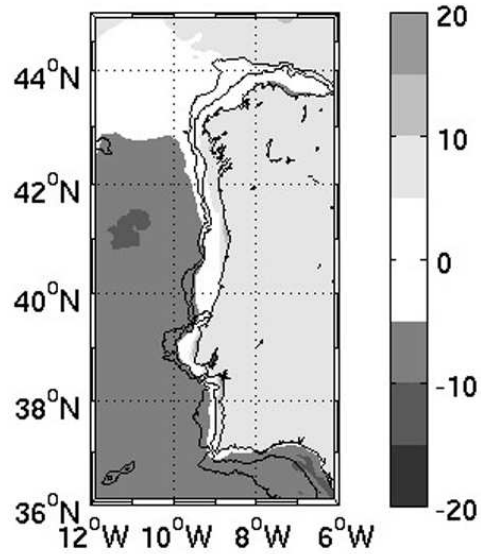


Figure6



model spatial mode - Var= 9.67 %



satellite spatial mode - Var= 13.42 %

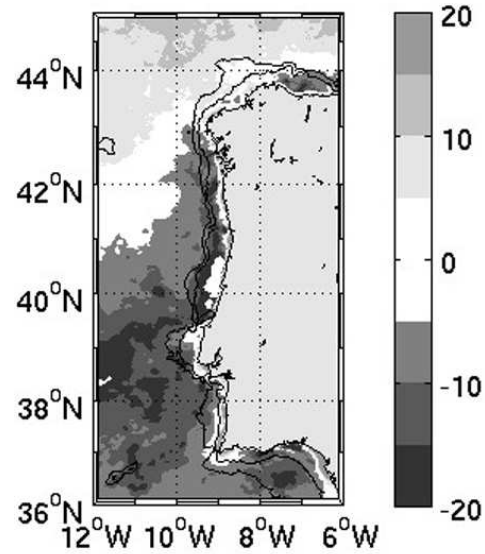


Figure7a

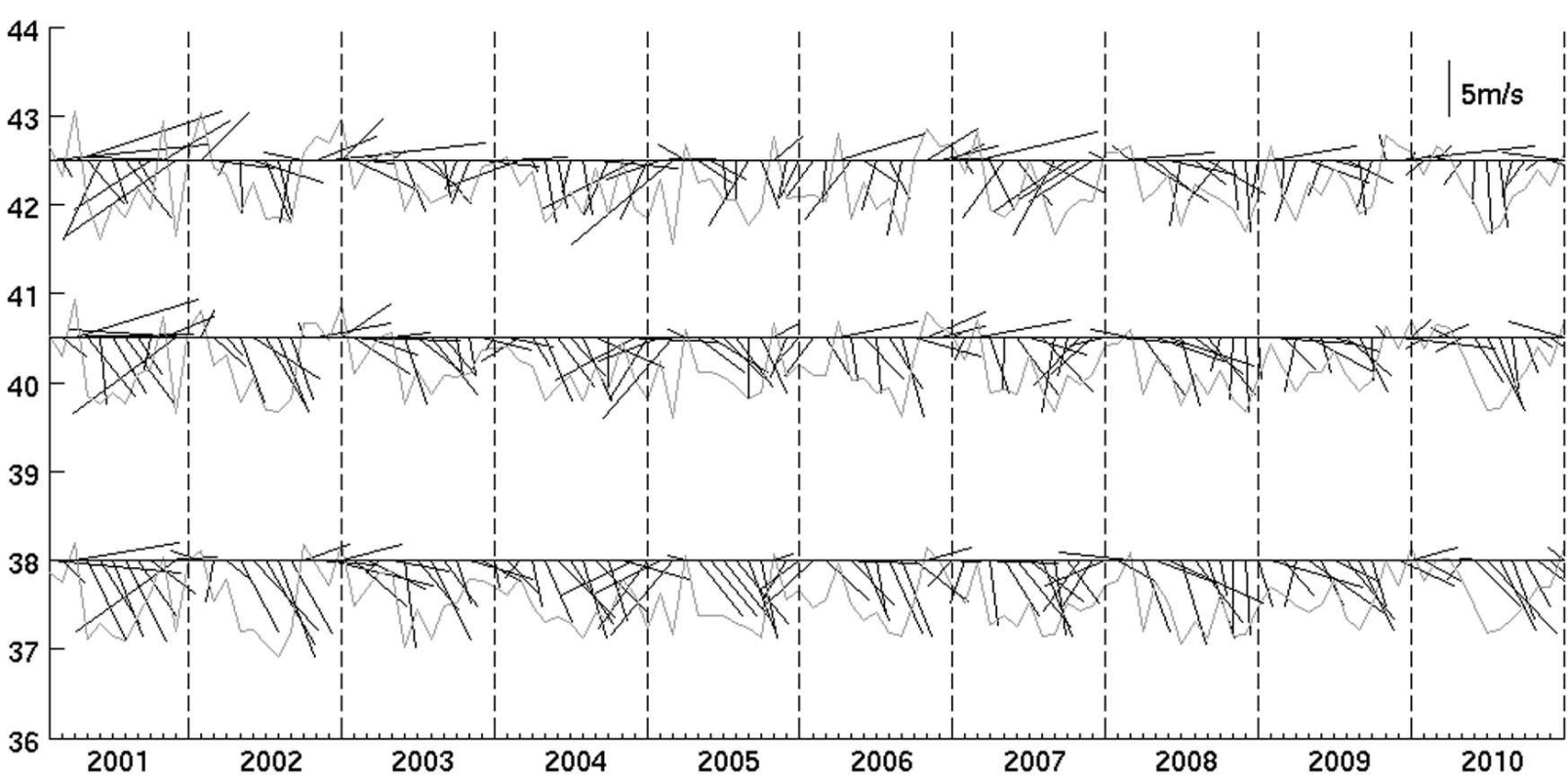


Figure7b

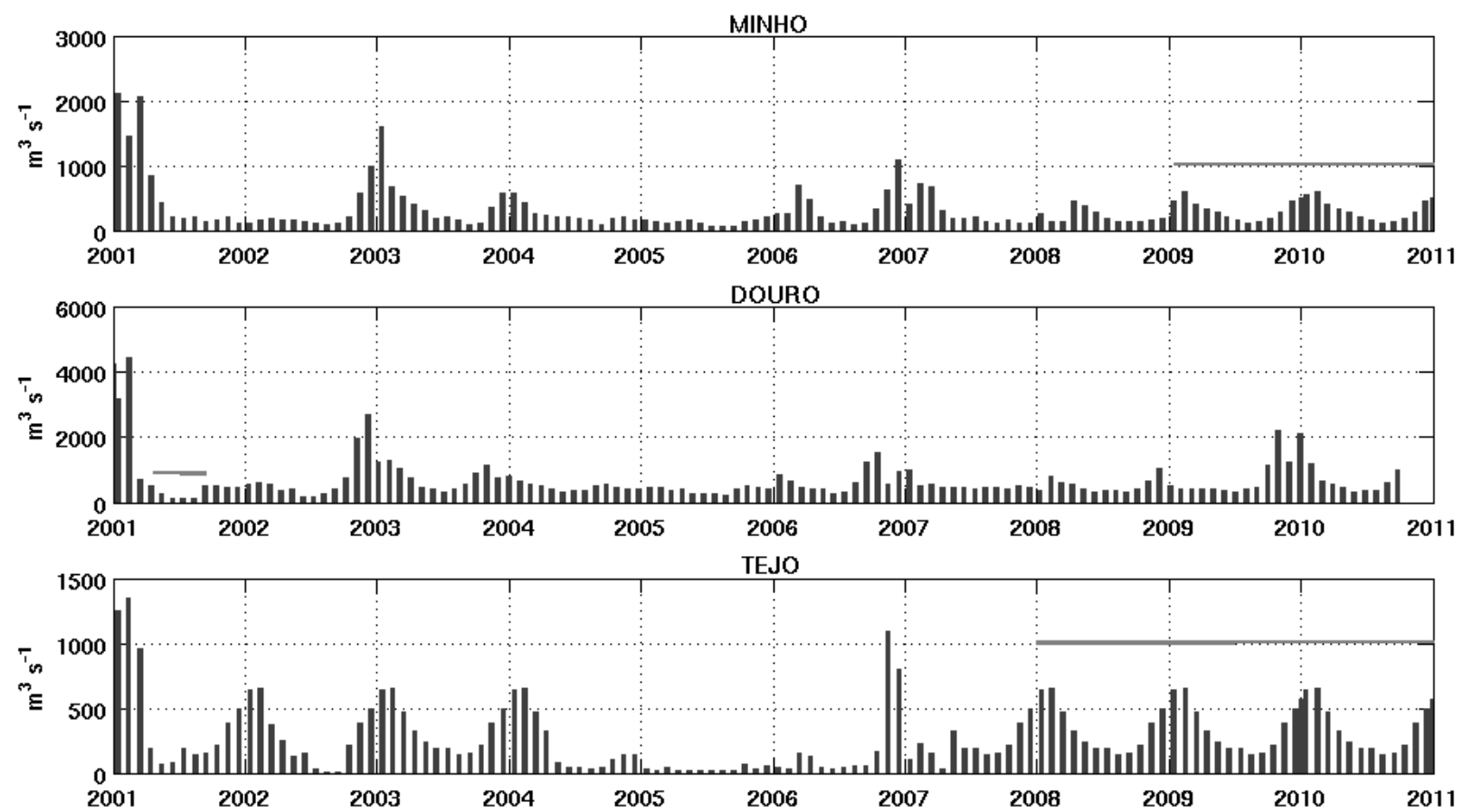
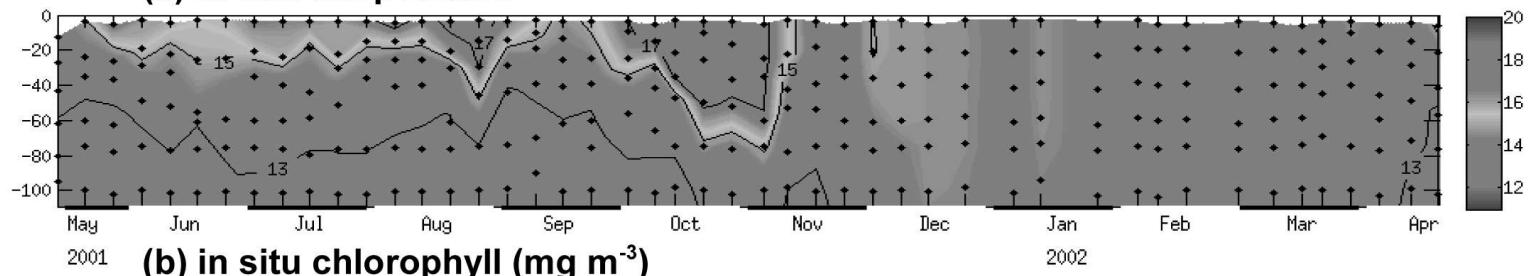
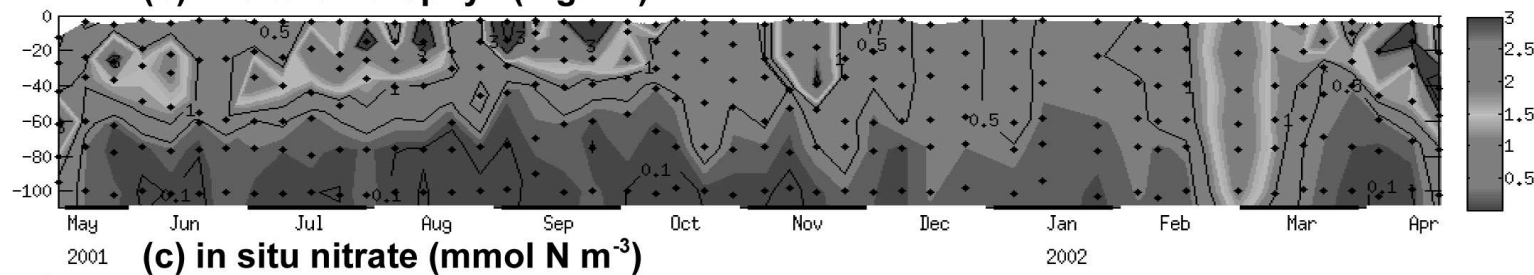


Figure9a

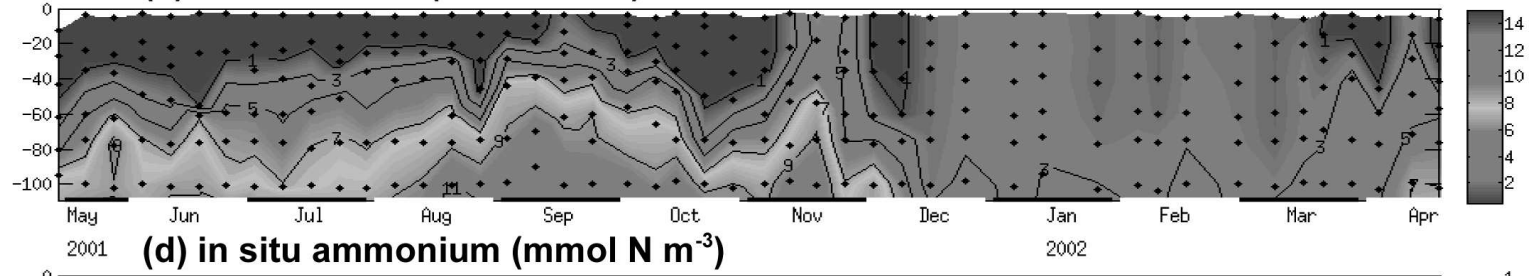
(a) in situ temperature



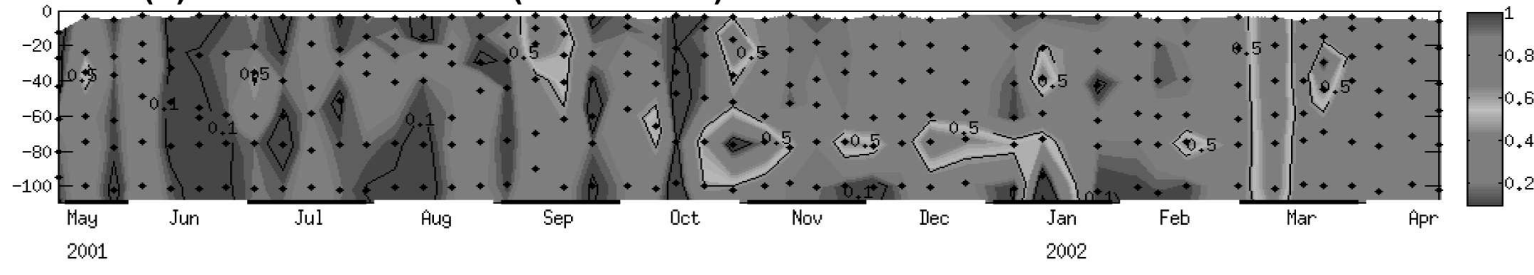
(b) in situ chlorophyll ( $\text{mg m}^{-3}$ )



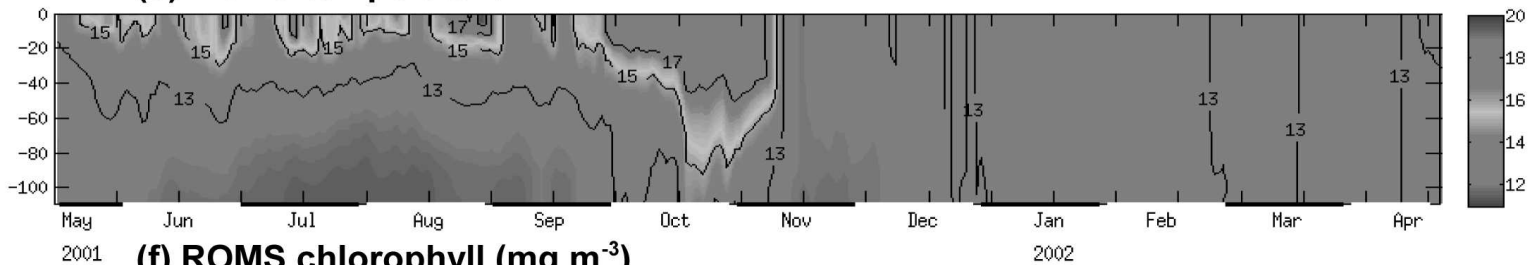
(c) in situ nitrate ( $\text{mmol N m}^{-3}$ )



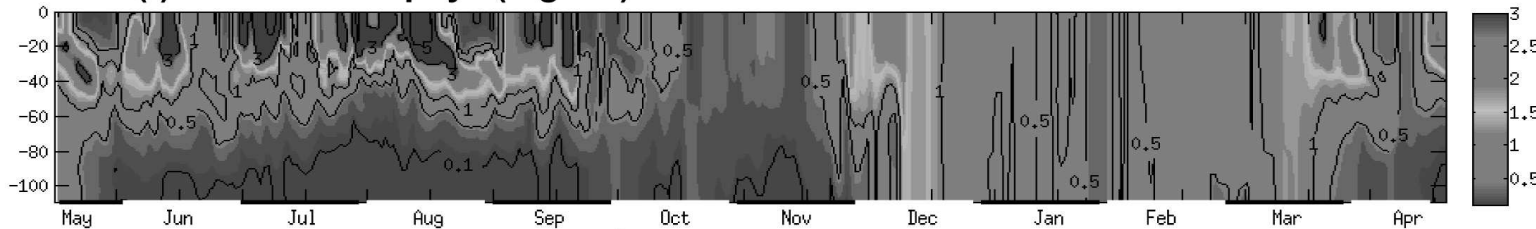
(d) in situ ammonium ( $\text{mmol N m}^{-3}$ )



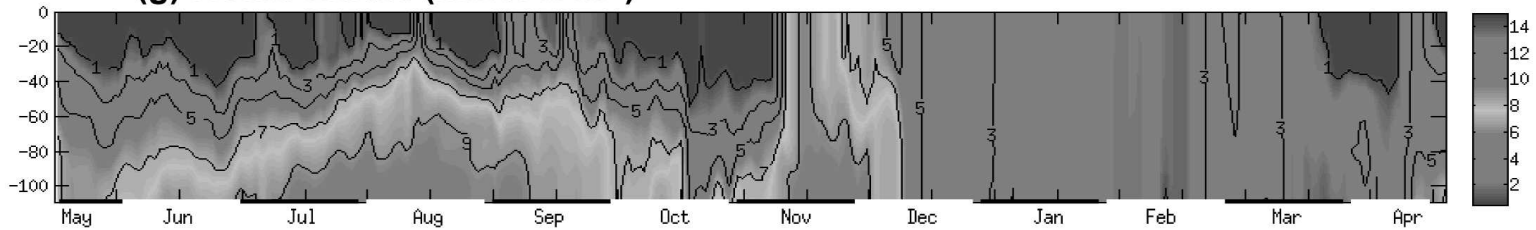
**(e) ROMS temperature**



**(f) ROMS chlorophyll ( $\text{mg m}^{-3}$ )**



**(g) ROMS nitrate ( $\text{mmol N m}^{-3}$ )**



**(h) ROMS ammonium ( $\text{mmol N m}^{-3}$ )**

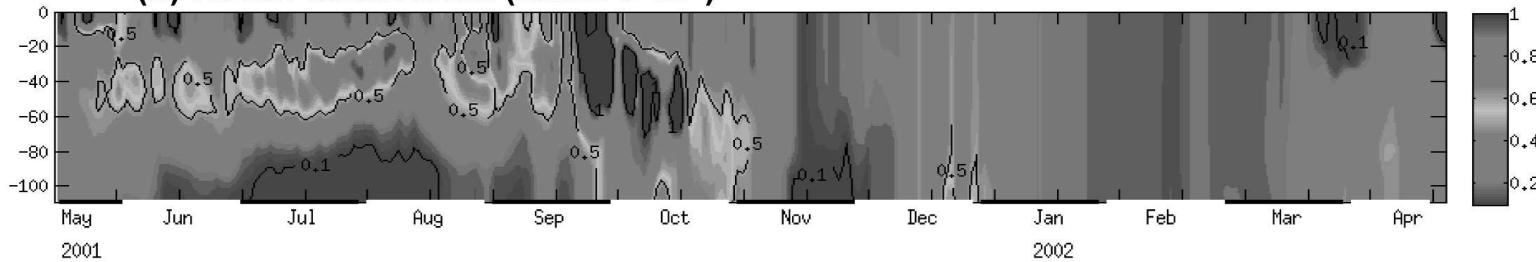


Figure11

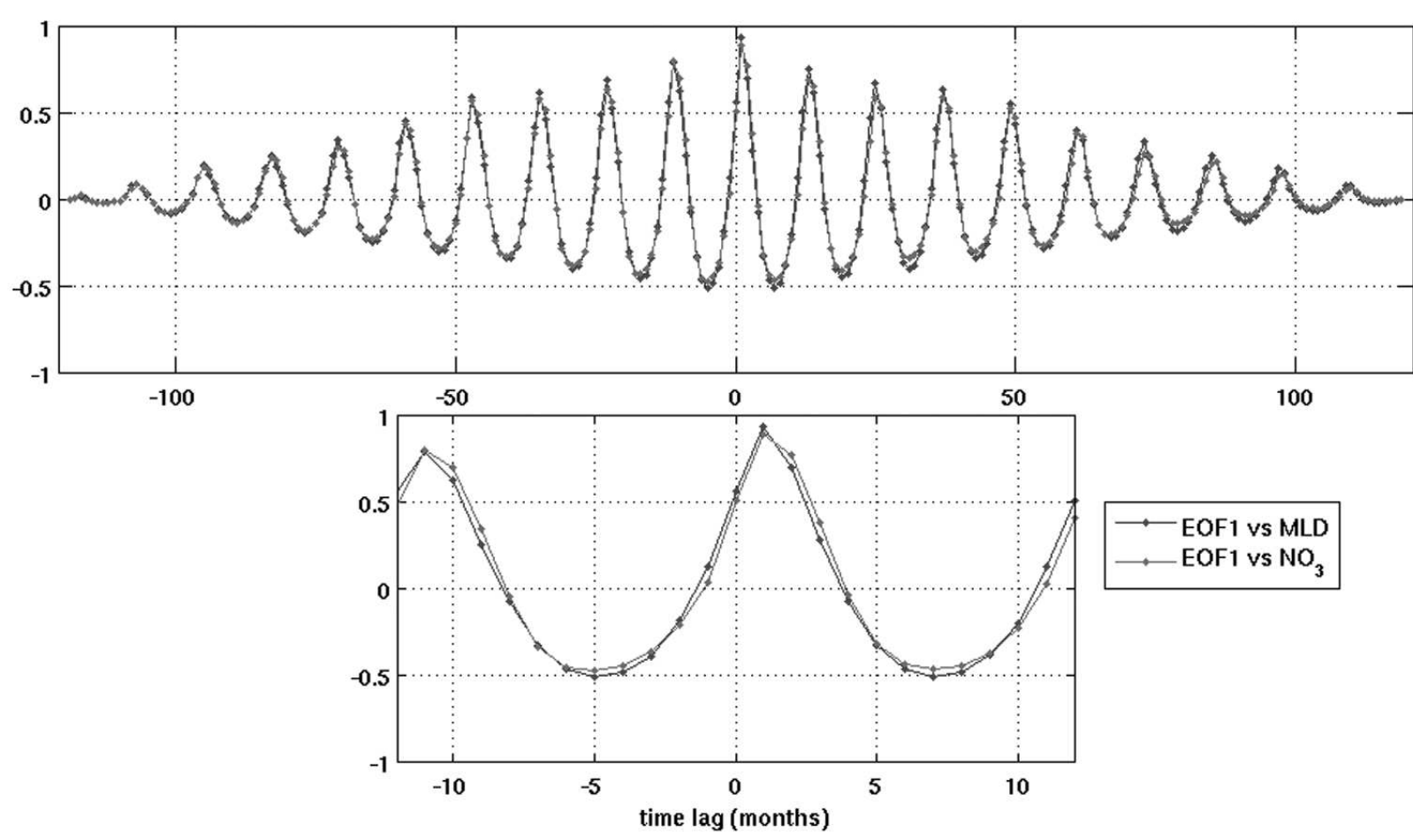




Figure12

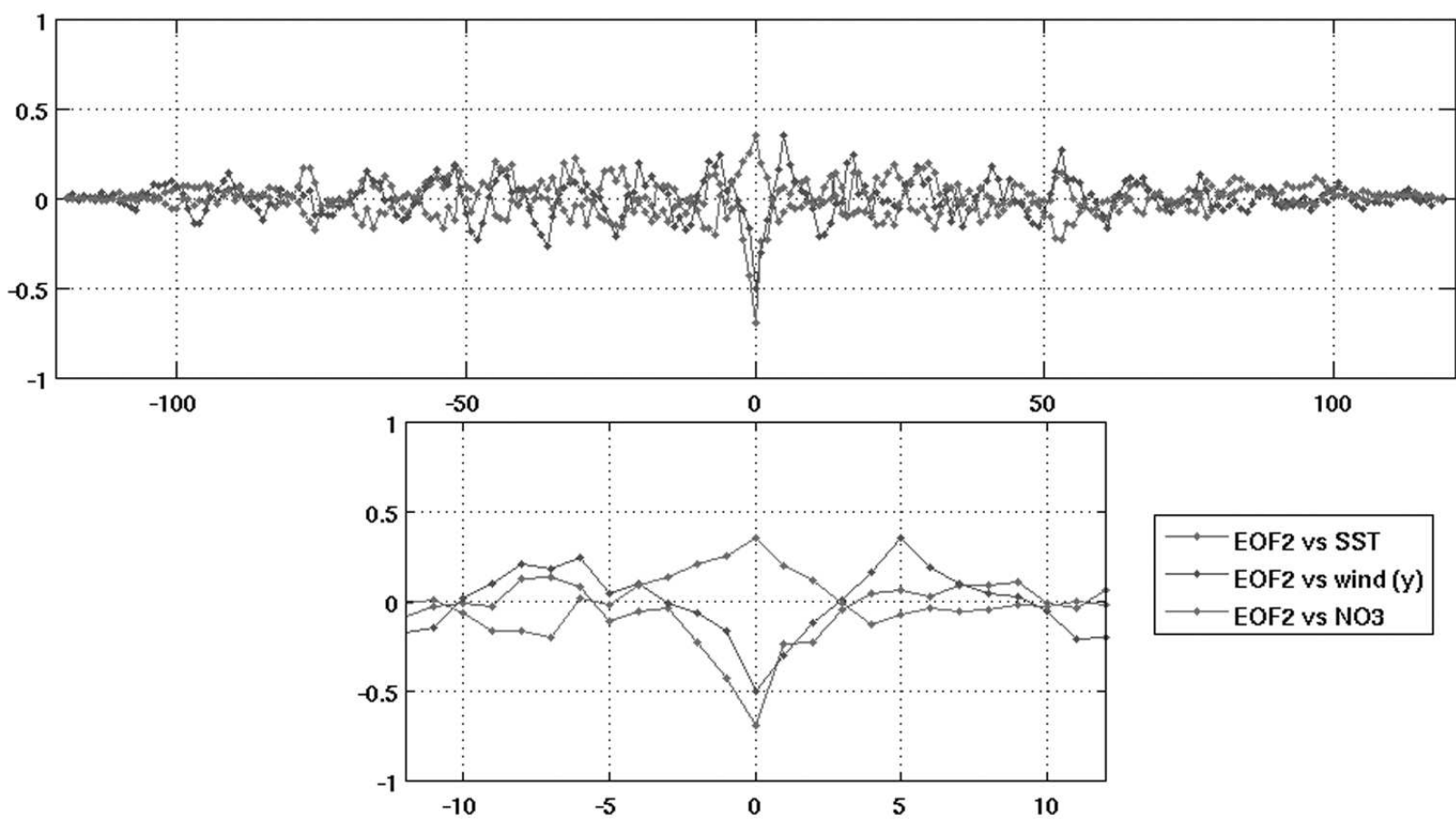


Figure13

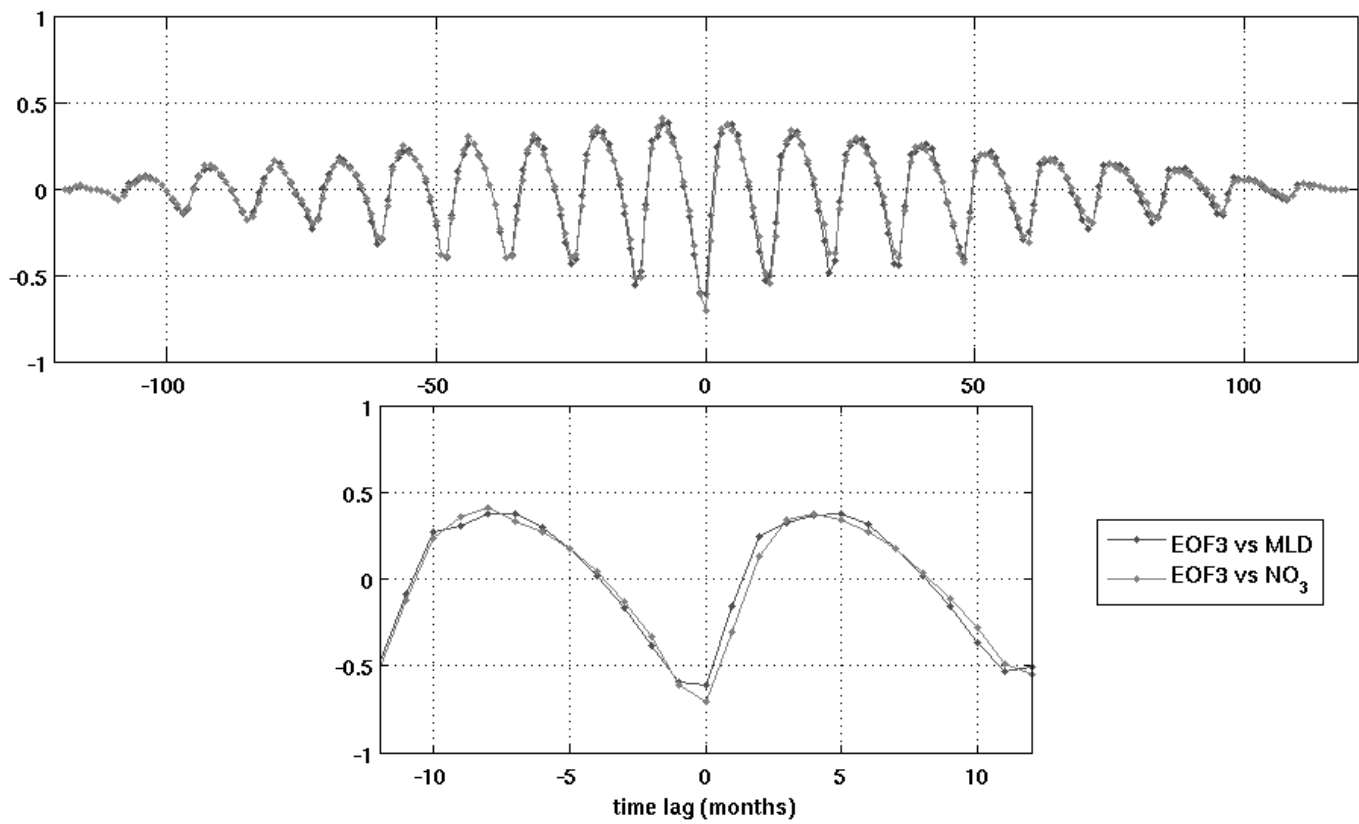


Figure14a

correlation at 1 month lag

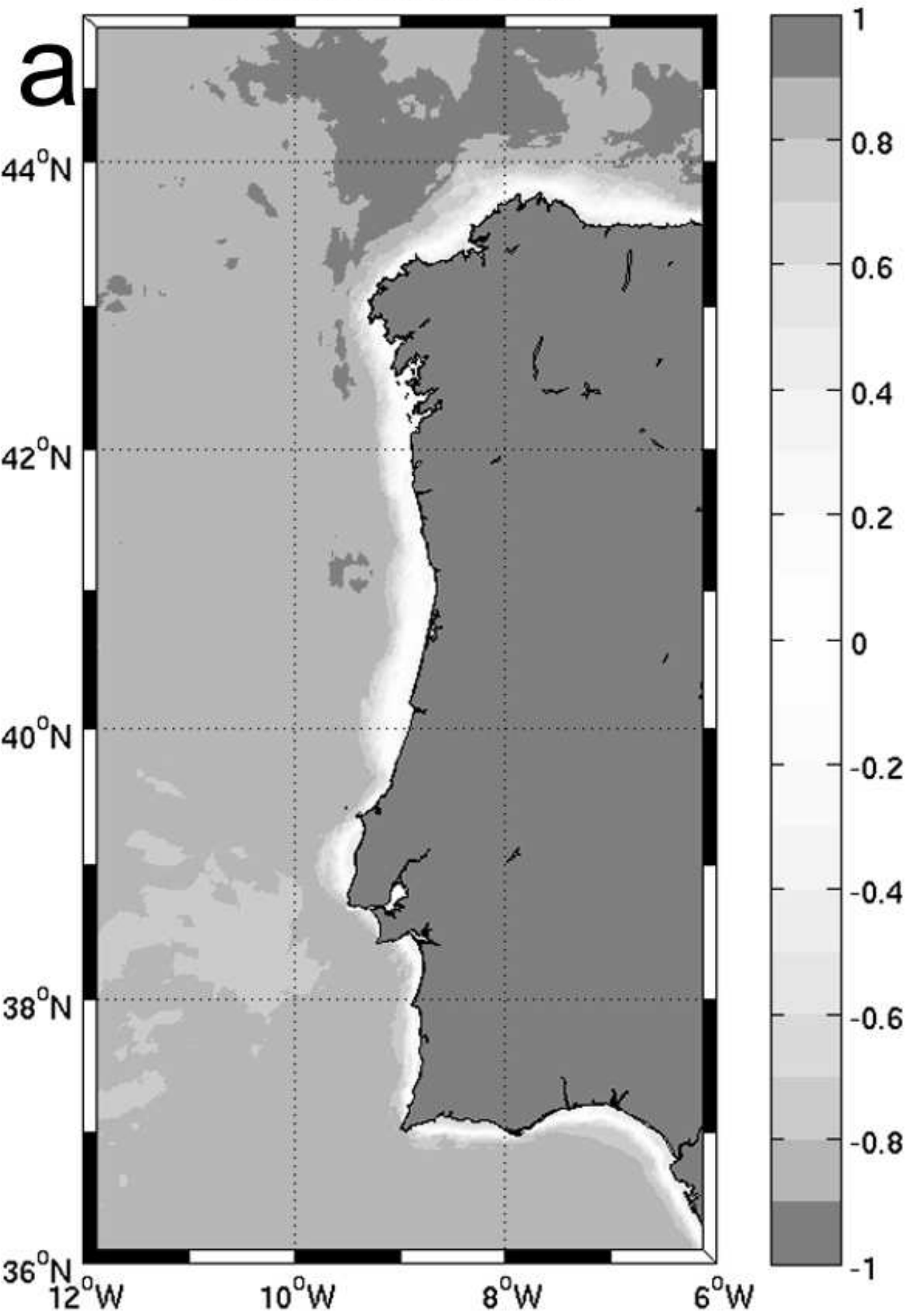


Figure14b

correlation at 0 lag

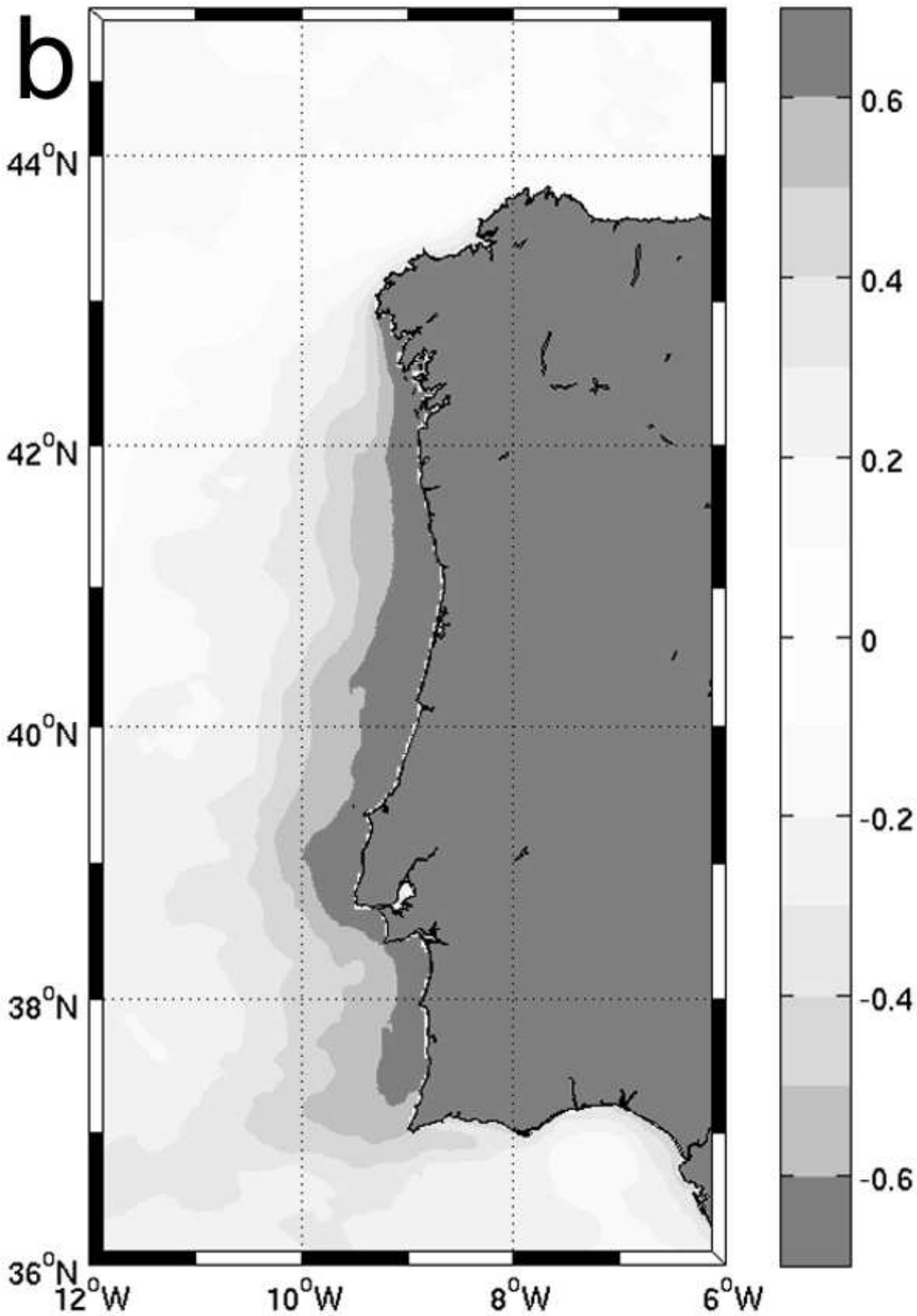


Figure14c

correlation at 0 lag

