

1	DInSAR measurements of ground deformation by sinkholes, mining subsidence,
2	and landslides, Ebro River, Spain
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13 Abstract

Differential Interferometric Synthetic Aperture Radar (DInSAR) has been applied 14 to detect and measure ground deformation in a stretch of the Ebro River valley (Spain) 15 excavated in salt-bearing evaporites. The capability of the Small Baseline Subset 16 17 (SBAS) DInSAR technique to detect ground displacement is analyzed comparing the DInSAR results with the available geomorphological information. The deformation map 18 derived from 27 European Remote Sensing (ERS) satellite images covering more than 19 five years provides sub-centimeter displacement measurements in zones coinciding with 20 known active sinkholes and landslides. Moreover the map provides the first account of 21 mining subsidence in the area. The measured deformation rates reach 1.68 cm/y for the 22 23 sinkholes, 0.80 cm/y for the landslides and 1.45 cm/y for the area affected by mining

subsidence. The SBAS DInSAR technique provided deformation measurements in a 24 25 small proportion (5-10%) of the known active sinkholes and landslides. This limitation is mainly due to the lack of coherence in agricultural areas, the spatial resolution of the 26 27 deformation map (pixel size of 90 m), and the parallelism between the ERS satellite line of sight and the linear escarpment on which most of the landslides occur. Despite this, 28 the interferometric technique provides valuable data that complement traditional 29 30 geomorphological studies including the quantification of the deformation phenomena, the identification of mining subsidence otherwise only recognizable by geodetic 31 methods, and the detection of creep deformation which might correspond to 32 33 premonitory indicators of catastrophic sinkholes and landslides capable of causing the loss of lives. Detailed DInSAR studies combined with field data would be required to 34 improve the analysis of each deformation area. 35

36 Key words: interferometry, subsidence, evaporites.

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38 **1. Introduction**

An important aspect from the applied and scientific perspective is the study of the 39 deformation of the Earth ground surface, including the identification of the areas 40 affected by displacement, the measurement of the deformation magnitude and rate, the 41 elucidation of the temporal strain regime (continuous vs. episodic) and the diagnosis of 42 43 its origin. Active surface deformation may be related to endogenous processes (tectonic and volcanic activity), exogenous processes (landslides, dissolution-induced subsidence, 44 compaction and consolidation of sediments, oxidation of organic deposits, thawing of 45 ground ice) and anthropogenic activities (withdrawal of groundwater or hydrocarbons, 46 excavation of underground cavities) (Waltham, 1989; Galloway et al., 1999). 47

Geomorphic and stratigraphic markers with a known age and original geometry may be used to measure cumulative displacements and estimate mean deformation rates (Burbank and Anderson, 2001). The application of retrodeformation analysis and absolute dating techniques to deformed sediments exposed in trenches or natural outcrops may provide information on the style and chronological evolution of the ground deformation associated with faults (McCalpin, 1996), landslides (McCalpin and Hart, 2002; Gutiérrez *et al.*, 2008d) and sinkholes (Gutiérrez *et al.*, 2008b, 2009).

55 Most of the geodetic techniques, including GPS, allow measuring recent shortterm ground deformation in a limited number of points. Conversely, differential SAR 56 interferometry (DInSAR) is a space-based technique that allows detecting and 57 58 measuring ground deformation over large areas with high spatial resolution and 59 centimeter to millimeter accuracy. The technique is based on the measurement of the phase variation (interferometric phase) between successive radar acquisitions and 60 61 requires the use of a digital elevation model to remove the altitude contribution from the interferometric phase. The ground displacements are calculated on the SAR sensor line 62 of sight (LOS). 63

The technique was first applied to single deformation events such as ground 64 motion in agricultural fields related to changes in the soil moisture caused by irrigation 65 (Gabriel et al., 1989) or ground displacement associated with the 1992 Landers, 66 California earthquake (Massonet et al., 1993). Subsequently, differential SAR 67 interferometry has been used to study different types of surface deformation, including 68 active faulting and preseismic, coseismic and postseismic displacements (Massonnet et 69 al., 1993; Pagli et al., 2003; Sarti et al., 2003; Fialko et al., 2005), ground movement 70 related to volcanic activity (Sigmudsson, 1999; Fernández et al., 2003; Massonnet et al., 71 2005), active landslides (Colesanti and Wasowski, 2006; Moro et al., 2007), subsidence 72

in urban areas (Crosetto et al., 2003; Raucoules et al., 2003a; Le Mouélic et al., 2005; 73 74 Cascini et al., 2006), mining subsidence (Raucoules et al., 2003b; Ge et al., 2007; Herrera et al., 2007), multiyear and seasonal uplift and subsidence controlled by 75 groundwater withdrawal and recharge (Amelung et al., 1999; Galloway et al., 1998; 76 Hoffman et al., 2001), shrink-swell cycles in soils related to rainfall (Massonnet and 77 Feigl, 1998), the identification and anticipation of sinkholes in karst areas (Baer *et al.*, 78 79 2002; Closson et al., 2005; Ferretti et al., 2004), surface water level changes in wetlands (Wdowinksky et al., 2008), ground motion in response to water level and loading 80 changes in reservoirs (Cavalié et al., 2007), and surface changes and movement in 81 82 glaciers (Goldstein et al., 1993; Legresy et al., 2000).

83 In contrast to the conventional DInSAR technique, based on individual SAR 84 interferograms, in the last years several advanced DInSAR techniques (Ferretti et al., 2001; Berardino et al., 2002; Mora et al., 2003; Usai, 2003; Werner et al., 2003; Hooper 85 86 et al., 2004; Crosetto et al., 2005; Kampes, 2005; Bovenga et al., 2006; Hooper et al., 2007) have been developed to generate deformation time series in order to study the 87 temporal evolution of the detected displacements. Moreover, these advanced DInSAR 88 techniques allow filtering and removing the atmospheric phase component by exploiting 89 90 the high spatial and low temporal correlation (Ferretti et al., 2000) of the troposphere 91 heterogeneities between the different radar acquisitions (Tarayre and Massonet, 1996; 92 Hanssen et al., 1999; Hanssen, 2001).

These DInSAR approaches can be classified in two main categories, PS-like and coherence-based, depending on the type of detected scatterers. The PS-like approach (Ferretti *et al.*, 2001; Werner *et al.*, 2003; Kampes, 2005; Bovenga *et al.*, 2006) operates on single-look interferograms, generated with respect to a reference (master) image, without any constraint on the spatial and temporal baselines of the SAR data acquisition

orbits. This strategy allows analyzing single targets, referred to as Persistent Scatterers
(PS), that exhibit sufficiently stable radar reflectivity and are almost unaffected by
temporal and spatial decorrelation.

The coherence-based approaches (Berardino *et al.*, 2002; Mora *et al.*, 2003; Usai, 2003; Hooper *et al.*, 2004; Crosetto *et al.*, 2005; Hooper *et al.*, 2007) use an appropriate combination of averaged (multi-look) differential interferograms, characterized by relatively small spatial and temporal baselines, in order to reduce the decorrelation effects and detect not only PS but also distributed scatterers. Accordingly, these approaches allow maximizing the detected coherent pixel density.

107 The above mentioned advanced DInSAR techniques require a relatively large 108 SAR acquisition dataset, typically more than 20 images, for analyzing slow ground 109 deformation phenomena of the order of mm/y. Moreover, coherent conditions are required among radar acquisitions. Temporal decorrelation or incoherence, even for 110 short time intervals, is mainly due to geometric and electric variations in the ground 111 112 surface, the latter being mainly determined by changes in the water content of the soil and vegetation. High temporal coherence values are generally obtained for areas without 113 dense vegetation and L-band (24-cm wavelength) instruments, less sensitive to small 114 115 changes in scattering characteristics (Hanssen, 2001). In the ERS satellite case (C-band with a wavelength of about 5.65 cm), temporal decorrelation is highly dependent on the 116 land covers. Urban and vegetation-free areas are the most suitable surfaces and areas 117 affected by agricultural practices, erosion and aggradation, the main sources of the 118 interferometric decorrelation, are the least suitable surfaces. 119

In this study, we have applied the Small BAseline Subset (SBAS) algorithm combining DInSAR interferograms (Berardino *et al.*, 2002). This approach exploits averaged (multilook) small baseline interferograms (i.e. limited spatial and temporal

separation between SAR image orbits) and allows studying very large areas, more than 123 124 100 km x 100 km (Casu et al., 2008). The SBAS technique produces spatially dense ground mean deformation velocity maps of the analyzed area and displacement time 125 126 series over the time period observed. Accordingly, it has been successfully applied on several areas affected by ground deformation due to volcanic (Borgia et al., 2005; 127 Manzo et al., 2006) and tectonic activity (Berardino et al., 2004; Lundgren et al., 2004; 128 129 Berardino et al., 2007; Lanari et al., 2007) and by seasonal and multi-year volume changes in exploited aquifer systems (Lanari et al., 2004). Moreover, the SBAS 130 approach has been effectively exploited to detect and monitor urban area deformation 131 132 (Cascini et al., 2006) with the potential to discriminate between the natural and antropogenic components of deformation (Stramondo et al., 2007). Finally, a quality 133 assessment of the technique (Casu et al., 2006), showing subcentimeter accuracy, has 134 135 been carried out by means of ground leveling and GPS measurements.

The aim of this work is to exploit the SBAS-DInSAR technique for detecting and quantifying different types of natural and human-induced ground deformation in a stretch of the Ebro River valley around Zaragoza city, NE Spain. In this area, dominated by outcrops of Tertiary evaporites partly covered by Quaternary alluvium, two types of ground deformation have been previously documented: (1) sinkholes caused by dissolution of the bedrock; (2) landslides in the escarpment located in the northern margin of the Ebro River valley.

The SBAS-DInSAR technique applied in this study is used to measure 143 deformation rates in known sinkholes and landslides and to detect unknown subsidence 144 over an active salt mine. A discussion on the advantages and limitations of the applied 145 technique is provided by comparing the SBAS-DInSAR results with the data on 146 sinkhole landslide distribution and activity gathered by traditional 147 and

geomorphological investigations (mainly aerial photograph interpretation and fieldsurveys).

150 **2. Geological Setting**

151 The study area, covering about 60 km x 30 km, is located in the central sector of the Ebro Tertiary Basin in NE Spain (Figure 1). This geological unit, which constitutes 152 153 the southern foreland basin of the Pyrenees, is longitudinally drained by the Ebro River following a WNW-ESE trend. In the sector analyzed in this contribution, the infill of 154 155 the basin is composed of two main subhorizontally lying stratigraphic units deposited in lake environments surrounded by alluvial fan systems. The youngest unit, Middle 156 Miocene in age, is the Alcubierre Limestone Formation (Quirantes, 1978; Pérez-Rivarés 157 158 et al., 2002, 2004). This formation, consisting of a resistant carbonate sequence 50-60 m 159 thick, forms prominent mesas and structural reliefs on both flanks of the Ebro Valley reaching more than 600 m a.s.l. The older unit corresponds to the Zaragoza Gypsum 160 Formation (Quirantes, 1978), which according to oil exploration boreholes locally 161 reaches 850 m in thickness (Torrescusa and Klimowitz, 1990). This lithostratigraphic 162 unit grades laterally into shales deposited in distal alluvial fan environments. The 163 exposed upper 300 m of the Zaragoza Formation exhibit secondary gypsum layers with 164 thin marl intercalations and shale units up to several tens of meters thick. The outcrops 165 of the Zaragoza Formation are dominated by rounded gypsum hills with scarce 166 xerophytic vegetation and a dense dendritic network of infilled valleys locally known as 167 vales (Gutiérrez and Gutiérrez, 1998). Mining exploration boreholes performed near 168 Zaragoza city reveal the presence of halite and glauberite units several tens of meters 169 thick at shallow depth (Salvany et al., 2007; Guerrero et al., 2008a). A halite unit some 170 10 m thick crops out near Remolinos village at about 70-75 m above the Ebro River 171 floodplain (Figure 1). This halite deposit, exploited since Roman times, is currently 172

mined by the room and pillar method. The Tertiary bedrock, affected by vertical joints
with prevailing WNW-ESE, N-S and E-W trends (Arlegui and Simón, 2001), displays a
very gentle syncline whose axis coincides approximately with the trace of the Ebro
River valley (Quirantes, 1978).

Once the endorheic Ebro Basin was captured by the external drainage network and 177 opened to the Mediterranean Sea in Middle-Late Miocene times (García-Castellanos et 178 179 al., 2003; Pardo et al., 2004), a new drainage network started to develop and dissect the infill of the basin (Gutiérrez and Gutiérrez, 1998). The entrenchment of the fluvial 180 network, controlled by the trunk Ebro River, has been punctuated by periods of 181 aggradation recorded by a stepped sequence of fluvial terraces and mantled pediments. 182 A total of 11 terrace and 7 pediment levels has been mapped in the studied stretch of the 183 Ebro Valley (Guerrero, 2008). The aggradation surface of the oldest preserved terrace is 184 185 situated at 200-210 m above the Ebro River channel, which lies at 220-165 m a.s.l. in the study area. 186

187

(Figure 1)

The long-term migration of the Ebro River toward the NE has resulted in a 188 markedly asymmetric valley, flanked by a stepped sequence of Quaternary alluvial 189 levels in the southern margin and bounded by a prominent gypsum escarpment on the 190 191 northern flank (Figure 1). This cliff, up to 180 m high, extends for more than 70 km and is interrupted by the Gállego River valley North of Zaragoza (Figure 1). Its rapid retreat 192 is revealed by the presence of hanging valleys and conspicuous triangular facets. This 193 194 gypsum escarpment, whose linear trace is controlled by the highly penetrative WNW-ESE trending joint set, displays numerous active and inactive slope movements (Pellicer 195 et al., 1984; Gutiérrez et al., 1994) that reach more than 10 million m³ in volume 196 (Figure 2A). The typology of the landslides and their distribution is largely controlled 197

by the lithostratigraphy of the Tertiary sediments forming the scarp (Gutiérrez et al., 198 199 1994). Large rotational rock-slides, some with a lateral spreading component, occur preferentially in the sectors where shale units crop out at the base of the scarp (Figure 200 201 2A). Rock-topples, rock-falls and small rock-avalanches are the dominant mass movement types where the Tertiary succession is devoid of argillaceous units in the 202 basal portion of the escarpment (Figure 2B). The development of landslides is favoured 203 by the reduction in the rock mass strength caused by dissolution along fractures (joints 204 and stress release cracks) and undermining of the escarpment by the river channel 205 (Gutiérrez et al., 1994; Guerrero et al., 2004a). In fact, there is a good spatial correlation 206 207 between the distribution of the active slope movements and the sectors where current or recently abandoned Ebro River channels are located at or close to the base of the scarp. 208 209 At the present time, the interaction between the escarpment and the river occurs 210 upstream of Zaragoza city, where most of the active landslides are located, whereas downstream a terrace separates the cliff from the Ebro River. Rock-falls derived from 211 212 gypsum escarpments in fluvial valleys is one of the mass movement types that have 213 caused the largest number of casualties in Spain (Guerrero et al., 2004a; Gutiérrez et al., 2008a). Four rock-fall events from a gypsum cliff occurred in 1856, 1874, 1903 and 214 1946 and killed a total of 106 people in Azagra village, situated in the Ebro Valley 215 upstream of the study area (Ayala *et al.*, 1998). A rock-fall from the gypsum escarpment 216 that flanks the Jalón River valley in Calatayud caused the loss of one life in 1988 217 (Gutiérrez and Cooper, 2002). 218

In the investigated sector, the Quaternary terrace, pediment and valley fill deposits show some peculiar characteristics related to the highly soluble nature of the halite- and glauberite-bearing evaporitic bedrock (Gutiérrez *et al.*, 2008a): (1) The Quaternary alluvium shows dramatic thickness changes locally reaching as much as 100 m. These

thickened deposits fill basins and troughs up to 30 km long generated by 223 224 synsedimentary subsidence caused by the karstification of the soluble bedrock (Benito et al., 1998). A recent investigation by Guerrero et al. (2008a) in the Huerva River 225 valley reveals that this large-scale subsidence phenomenon coeval to fluvial aggradation 226 is primarily related to the interstratal dissolution of halite units. (2) The Quaternary 227 cover, and very frequently the underlying evaporitic bedrock, show abundant 228 gravitational deformation caused by dissolution of the substratum at the alluvium-229 bedrock boundary (rockhead karstification) and within the evaporitic succession 230 (interstratal karstification) (Guerrero et al., 2004b, 2008a and b). Three main subsidence 231 232 mechanisms have been identified in these subsidence structures, which constitute the geological record of past sinkhole activity (Gutiérrez et al., 2008a, c); sagging 233 (progressive downward flexure), suffosion (downward migration of detrital particles 234 235 through karst conduits) and collapse (brittle deformation by brecciation and/or through the development of discrete failure planes). 236

237

(Figure 2)

3. The Sinkhole Hazard and Risk in the Ebro Valley

The presence of Quaternary deposits and the relative height of the alluvial surfaces 239 240 above the river channel (relative age) constitute major controls in the spatial distribution of sinkholes in the analyzed stretch of the Ebro River valley. Most of the sinkholes 241 occur in areas where the bedrock is covered by Quaternary alluvial deposits (mantled 242 karst); evidence of subsidence activity is very scarce in the gypsum outcrops (bare 243 karst) (Gutiérrez and Gutiérrez, 1998). Additionally, the highest densities and 244 probabilities of occurrence of sinkholes are associated with the floodplains and the 245 lower terraces. This general spatial distribution pattern can be attributed to two main 246 circumstances: (1) The Quaternary fluvial deposits behave as discharge zones for the 247

underlying karstic aquifer when situated at the valley bottom (floodplain), and become recharge areas dominated by downward vadose flows where they are transformed into perched aquifers (terraces) as a consequence of fluvial entrenchment. (2) Most of the human activity, including irrigation and groundwater pumping, is concentrated in the floodplain and the lower alluvial levels.

The convergence in the lower terraces of a high sinkhole activity (hazard) and the 253 254 presence of abundant vulnerable human structures and economic activities (exposure) results in high risk situations. The Ebro valley in the outskirts of Zaragoza is the area in 255 Europe where the subsidence risk due to evaporite dissolution has the greatest economic 256 257 impact (Gutiérrez et al., 2008a). A relevant factor is that collapse sinkholes that occur in a catastrophic way might result in the loss of human lives as it has been documented in 258 other karst areas (e.g. De Bruyn and Bell, 2001). Some examples illustrate the 259 260 significance of the detrimental effects caused by sinkholes on the economic development of the area: (1) The construction of the Imperial Canal, which runs along 261 262 the southern margin of the Ebro valley, was stopped in 1790 downstream of Zaragoza, 50 km short of its intended length due to continuous failures caused by sinkholes 263 (Sástago, 1796; Gutiérrez et al., 2007); (2) In the Gállego River valley, the totality of 264 Puilatos village was demolished in 1985 due to structural damage caused by 265 dissolution-induced subsidence; (3) Numerous buildings and factories built on 266 artificially filled active sinkholes have been demolished (Galve et al., 2009). At the 267 present time, the rapid tilt that affects a recently built building with 100 flats, partially 268 constructed on a well-known sinkhole, might result in unacceptable structural damage 269 (Gutiérrez et al., 2009) 270

271 Another relevant aspect for this investigation is the distribution of the different 272 types of sinkholes and their activity. Following the genetic classification proposed by

Gutiérrez et al. (2008c), three main types of sinkholes may be differentiated in the study 273 274 area: (a) Cover collapse sinkholes formed by downward migration of cover deposits through dissolutional conduits. These are holes with scarped edges typically less than 2 275 m across. This type of sinkholes, frequently induced by sheet-flood irrigation, reaches 276 minimum probabilities of occurrence of 45 sinkholes/km²/y in the lower terraces 277 downstream of Zaragoza (Gutiérrez et al., 2007). A priori, the InSAR technique is not 278 279 appropriate for detecting the ground subsidence produced by these sinkholes because of their small size and the loss of correlation produced in the rapidly sinking land surface. 280 (b) Cover and bedrock collapse sinkholes, commonly more than 10 m in diameter and 281 282 generated by upward stoping of large cavities formed within the evaporitic bedrock. A density of 600 sinkholes/km²/y and a percentage of sinkhole area of around 20% have 283 been documented in a small field of cover and bedrock collapse sinkholes close to La 284 285 Puebla de Alfindén village (Gutiérrez-Santolalla et al., 2005a). (c) Cover and bedrock sagging sinkholes resulting from passive sagging of the alluvial mantle and underlying 286 287 bedrock caused by interstratal karstification. This type of sinkholes, usually more than 100 m in length, has been mapped in the floodplain upstream and downstream of 288 Zaragoza and in the lower terraces upstream of Zaragoza (Gutiérrez et al., 2007; Galve 289 et al., 2009). In practice, numerous sinkholes result from the combination of sagging 290 and collapse mechanisms. Type b sinkholes may undergo both gradual and catastrophic 291 subsidence, whereas type c sinkholes are characterized by gradual deformation. The 292 majority of the type b and c sinkholes identifiable in aerial photographs from 1956 are 293 now filled by man-made ground and a significant proportion of them have been used for 294 urbanization (Soriano and Simón, 1995; Galve et al., 2009). This is the reason why 295 most of the subsidence damage in the area is not related to the occurrence of new 296

sinkholes, but to the activity of previously existing ones (Gutiérrez *et al.*, 2008a, 2009;
Galve *et al.*, 2009).

299 4. Materials and Methodology

300 4.1. SAR Imagery

The large archive of ERS-1 and ERS-2 images acquired since 1992 was examined. These images were acquired with a 35-day repeat period at a regular nominal incidence angle of 23° and with a frequency band of 5.33 GHz (5.65 cm wavelength). From the 200 archived images covering the study area we selected a series of 27 ERS-1 and ERS-2 images (Table 1) acquired on descending orbits (track 237, frame 2766). The available number of ascending SAR ERS images was smaller (track 330, frame 837) and they were not uniformly distributed in the different years (track 58, frame 837).

The selected images span more than five years, from 5 July 1995 to 21 December 2000, with a uniform distribution in the different seasons. Images acquired later than 2000 were discarded because of the significant degradation in Doppler centroid stability of ERS-2 (Miranda *et al.*, 2005).

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(Table 1)

313 **4.2. SBAS-DInSAR Technique**

The Small BAseline Subset (SBAS) technique is based on the combination of DInSAR interferograms computed from a set of SAR images obtained at different dates. A key step in this approach is the adequate selection of the appropriate SAR image pairs for generating the interferograms. Image pairs are selected to minimise noise effects referred to as decorrelation phenomena (Zebker and Villasenor, 1992) in order to maximize the number of coherent pixels. Selection of valid image pairs is accomplished by limiting the maximum spatial and temporal separation ("baseline") between the orbits of the interferometric SAR image pairs, and the frequency shift between the Doppler centroids (Franceschetti and Lanari, 1999). The SBAS-DInSAR technique allows generating spatially dense mean deformation velocity maps and displacement time-series. In this section, the key issues of the technique are presented. A detailed analysis of the algorithm can be found in Berardino et al. (2002).

The raw SAR data were focused and co-registered with respect to a common 326 327 (reference) acquisition geometry (ERS-2 image acquired on 5 February 1998). Only those interferometric SAR image pairs with a maximum spatial separation (baseline) 328 between the orbits of 300 m and a maximum time span of 1400 days were selected. 329 330 Based on such constraints 74 interferograms were generated at low spatial resolution (multilook interferograms). Coherence and intensity images created for all the 74 331 combinations (interferograms) were used to improve the unwrapping process. Only 332 333 those pixels with a coherence >0.75 were kept for phase information analysis.

The topographic phase was removed by using the Shuttle Radar Topography Mission (SRTM) DEM, with a 90-m pixel size and an absolute vertical accuracy greater than 16 m (Farr *et al.*, 2007). Moreover, the precise orbit state vectors (time, velocity and position) calculated by Delft University for ERS satellites (*Scharroo and Visser*, 1998) were used to reduce orbital errors.

The phase information of each multilook interferogram was unwrapped by applying the extended minimum cost flow algorithm described by Pepe and Lanari (2006). A region growing procedure was used to get better performances in areas with low signal to noise ratio. A reference point located in Zaragoza city was selected as a stable reference SAR pixel to calibrate each interferogram. We chose this point because in this sector of the city, underlain by indurated alluvium more than 50 m thick, there is no identifiable evidence of recent deformation. The deformation time series were retrieved for each coherent pixel by exploiting the Singular Value Decomposition method (Berardino *et al.*, 2002) which allowed us to "link" the unwrapped phase of DInSAR interferograms separated by large temporal and spatial baselines.

In order to avoid the atmospheric noise produced by heterogeneities between the 350 radar acquisitions, the deformation estimates were filtered using the space-time 351 352 DInSAR phase information. The filtering was implemented by exploiting the high correlation in space but poor in time of the atmospheric phase component. The 353 atmospheric artifacts were identified via the cascade of a low-pass filtering, carried out 354 355 in the two-dimensional spatial domain, followed by a temporal high-pass filtering. This process also allowed detecting possible orbital ramps caused by inaccuracies in the SAR 356 sensors orbit information. Following their identification, the atmospheric artifacts and 357 358 the orbital ramps were removed. Finally, deformation time-series related to the observed time period were produced in a spatially dense area. Results were given at a ground 359 360 resolution of about 90 m \times 90 m.

361

362 **5. Results**

A mean deformation velocity map (Figure 3) of the study area for the period 1995-2000 has been obtained applying the SBAS approach to the selected interferograms. The velocity estimates represent the displacement of the ground surface projected onto the satellite line of sight (LOS) and relative to the zero deformation reference point. Negative and positive values indicate subsidence and uplift, respectively.

The deformation map provides reliable information over a relatively large area, characterized by a high coherent (>0.75) region in terms of C-band radar echoes. The computed deformation velocity ranged from 1.65 cm/y of subsidence to 0.99 cm/y of uplift. The areas with absolute deformation velocity lower than 0.2 cm/y can be
considered as stable or affected by displacement rates less than the accuracy of the
method.

The detection of deformation areas was largely limited by the land covers. The 374 375 outcrops of the Zaragoza Gypsum Formation largely covered with sparse xerophytic vegetation (around 10% of the area) preserved coherence between the dates of the radar 376 images due to the low coverage, small size, and slow growth of the vegetation. A 377 significant proportion of the identified deformation areas occur in urbanized and 378 379 developed areas (2% of the study area) where pavements and human structures provide stable scattering surfaces that favor the persistence of the coherence. Conversely, in 380 381 agricultural areas (more than 60% of the study area) the coherence and consequently the 382 displacement measurement degrade. This is especially evident in the floodplains and lower terraces devoted to irrigated agriculture. The frequent changes in geometry and 383 384 moisture due to variations in the phenological state of the crops, agricultural practices, and frequent wind, produce a loss of correlation in the radar signal. In the dry farmed 385 fields devoted to winter cereal the coherence was locally preserved. 386

At a local scale the map delineates several deformation zones in the Ebro River valley (Figure 3). Two subsidence sites correspond to developed areas located on the lowest terrace and floodplain of the Ebro River and underlain by known active sinkholes (sites 1 and 2). Three deformation sites are located in gypsum outcrops covered with sparse xerophytic vegetation. Two of them (sites 3 and 4) are related to active landslides located in the gypsum escarpment and the other one (site 5) provides the first account of subsidence over a salt mine under exploitation.

The deformation sites identified by the SBAS DInSAR technique were analyzed through the elaboration of detailed geomorphological maps combining aerial

photograph interpretation and field surveys. The temporal evolution of the deformation and the available rainfall and discharge records have been compared in order to elucidate whether they exhibit some mutual correlation. The data obtained by means of traditional geomorphological studies concerning sinkhole and landslide distribution and activity have allowed us to assess qualitatively the advantages and limitations of the SBAS DInSAR technique in the area.

402

(Figure 3)

403 **5.1. Sinkholes**

The extensive geomorphological investigations carried out in the Ebro River 404 valley reveal that active subsidence associated with sinkholes affects a significant 405 proportion of the floodplain and lower terraces (e.g. Soriano and Simón, 1995; 406 Gutiérrez et al., 2007; Galve et al., 2009). However, our DInSAR analysis has missed 407 most of the known areas where there is evidence of dissolution-induced ground 408 settlement. The deformation caused by the numerous cover collapse sinkholes that form 409 in the lower terrace of the Ebro valley downstream of Zaragoza city (>45 410 sinkholes/km²/y; Gutiérrez et al., 2007) has not been detected due to the following 411 reasons: (1) These sinkholes form in a sudden way and their size, commonly 1.5-2 m in 412 diameter, is much less than that of the radar resolution cell (90 m \times 90 m); (2) Most of 413 414 them occur in cultivated fields irrigated by sheet-flooding (Gutiérrez et al., 2007), producing temporal decorrelation. 415

The DInSAR map provides deformation measurements in a small proportion of the abundant large sinkholes (cover and bedrock sagging sinkholes and cover and bedrock collapse sinkholes) in which active subsidence has been documented. For example, in a sector of the valley (40.8 km²) upstream of Zaragoza city, large cover and

bedrock sagging sinkholes cover approximately 8% of the area and more than 20% of 420 421 them show evidence of ground deformation. In the same area, at least 58% of the cover and bedrock collapse sinkholes are active (Galve et al., 2009). Most of the subsidence 422 areas detected by the SBAS DInSAR technique occur associated with human structures 423 built on artificially filled sinkholes. These sinkholes are clearly identifiable in old aerial 424 photographs and topographic maps and the buildings and roads constructed on them 425 426 display conspicuous deformations. Several factors may have limited the capability of the applied approach to detect displacement: (1) A large percentage of these depressions 427 occur in agricultural land and some are frequently filled by artificial deposits; (2) A 428 429 smaller pixel size may be required to detect deformation in some active sinkholes; (3) The subsidence rate of some sinkholes may be either too high or too low for its 430 detection by the ERS SAR system; (4) A shallow water table, especially in those 431 432 depressions located in the floodplain, cause flooding and changes in the soil moisture probably leads to a loss of coherence. 433

The DInSAR analysis has provided consistent subsidence measurements in two 434 areas located in the lower terrace and floodplain of the Ebro valley upstream (site 1) and 435 downstream (site 2) of Zaragoza city (Figures 1 and 3). In site 1 (Figure 4), all of the 436 subsidence points occur associated with previously mapped sinkholes (Galve et al., 437 2009). A cluster of deformation points coincides with a sinkhole formerly hosted a 438 swampy area (Galve et al., 2009). This depression was covered with man-made ground 439 and devoted to the construction of the El Portazgo Industrial State (Figure 4). Here, 440 numerous factories and warehouses have been demolished and most of the existing 441 buildings show evident signs of settlement (Figure 4D). Additionally, in this sector the 442 N-232 highway is locally affected by progressive subsidence at rates of the order of 443

cm/y. Most likely the road resurfacing repairs carried out on a yearly basis precludes the
detection of the deformation by means of the DInSAR technique.

446 In site 1 the subsidence rates provided by the DInSAR range from 0.25 to 1.68 cm/y (Figure 4C). The area represented by the pixel with the highest subsidence rate 447 448 (1.68 cm/y) includes a collapse sinkhole about 15 m in diameter that formed suddenly in 1994 in the interior of a warehouse (Galve et al., 2009). These values are in agreement 449 with subsidence rates of 3.2-4 cm/y measured by leveling at some of the most active 450 points in El Portazgo Industrial State (Simón et al., 2008). The temporal evolution 451 pattern of the subsidence is illustrated in Figure 5, in which the selected measurement 452 point reflects the progressive deformation recorded in the area. The high subsidence 453 454 rates may be related to the contribution of both dissolution of salt-bearing evaporites 455 and compaction-consolidation of anthropogenic and sinkhole fill deposits (Galve et al., 2009; Gutiérrez et al., 2009). 456

457

(Figure 4)

458

(Figure 5)

The deformation area detected at site 2 (Figure 6) coincides with a cover and 459 bedrock collapse sinkhole about 300 m long and 4 m deep (Gutiérrez-Santolalla et al., 460 2005b). The aerial photograph taken in 1956 shows a large funnel-shaped depression 461 with a nested collapse sinkhole with fresh bedrock scarps (Figure 6B). This depression, 462 463 locally known as "Las Rajas" (meaning "the cracks"), was originally used as an illegal waste disposal site. Subsequently, it was covered by artificial fill and used for the 464 construction of two blocks of the Malpica Industrial State. These two blocks are the 465 only structures of the industrial state that show any evidence of subsidence deformation. 466 A grouting program was carried out in 2006 to arrest the subsidence process. The mean 467

subsidence rates measured in this sinkhole range from 0.32 to 0.43 cm/y and the
maximum cumulative displacement reaches 2.45 cm. Here, subsidence may be related
to both karstic collapse and compaction of loosely packed artificial deposits.

471

(Figure 6)

The subsidence rates measured in sites 1 and 2 do not show any significant 472 correlation with the mean daily rainfall calculated for the time intervals defined by the 473 radar dates. This is most likely related to the fact that the sinkholes in those sites result 474 from deep-seated interstratal karstification and not from suffosion processes controlled 475 by downward vadose flows. Additionally, the deformation time series from sinkhole 476 sites show an anomalous slight uplift in 1997 (Figure 5). The record of the Zaragoza 477 478 Airport weather station (WMO 08160) (Figure 1) indicates that 1997 was an exceptionally wet year in the last decades, with an annual total of 480 mm, being the 479 average 311 mm. This uplift period coincides with high values of mean daily rainfall 480 (Figure 5) and with the maximum number of significant rainfall events (>20 mm) over 481 482 the periods studied. We are not able to provide a satisfactory physical explanation for such a phenomenon affecting a built-up area, which could correspond to an artifact. 483 Further research including ground leveling could shed light on this issue. 484

485 **5.2. Landslides**

The DInSAR has detected ground motion in a small proportion of the numerous active landslides that affect the stretch of the gypsum escarpment situated upstream of Zaragoza city (Figures 1, 3 and 7). The deformation points in this segment of the scarp are concentrated around Las Torres stream (site 3). Downstream of Zaragoza city, the DInSAR has provided deformation measurements for the only landslide previously mapped as active (Gutiérrez *et al.*, 1994; site 4; Figure 2A). Some of the factors that

may have determined the limited capability of the technique to detect ground 492 493 displacement in active landslides include: (1) The vertical and lateral displacement rates in some landslides may be outside of the measurement range of the method; (2) The 494 495 pixel size may be too large to obtain measurements in some landslides; (3) The parallelism between the NW-SE-trending escarpment and the ERS satellite line of sight 496 limit the capability of the method because the landslides moving perpendicular to the 497 498 escarpment are moving in the geometrically least favorable direction for the ERS satellite to resolve this motion with respect to its line of sight. The use of both ascending 499 and descending tracks may help to partially overcome this problem. 500

- 501
- 502

(Figure 7)

In site 3 all of the deformation points occur associated with active landslides, 503 some of which interact with and partially invade the present channel of the Ebro River 504 (Figure 7). Here, the average deformation ranges from 0.22 to 0.80 cm/y. In site 4 the 505 mean displacement varies from 0.27 to 0.46 cm/y. The deformation time series in both 506 areas show a linear trend interrupted by sporadic and asynchronous episodes of apparent 507 uplift (Figure 8). This uplift could be interpreted as: (1) The expected local upward 508 displacement in rotational landslides; (2) Expansion of the soil due to increased rainfall-509 510 derived moisture. Vertical movements in clay soils resulting from variations in water content can reach several cm (Marshall et al., 1996). This kind of deformation has been 511 detected by means of radar interferometry (Gabriel et al., 1996). To our knowledge, 512 there is no study dealing with similar deformations in gypseous soils, although 513 514 slickensides indicative of vertical relative displacements of blocks in gypseous soils horizons have been reported by Herrero et al. (2009, accepted). Moreover, an additional 515 516 effect related to the wetting-drying cycles affecting the biological crust in these soils

can also be invoked. In fact, there is a relatively good correlation between the uplift
periods and relatively humid time intervals as defined by the mean daily rainfall values
estimated from the precipitation record of Remolinos weather station (9338A) (Figure
8), located near site 3 (Figure 1). The highest values of mean daily rainfall and the
highest number of significant rainfall events coincides with 1997 and 1999 uplift
episodes.

There is also a lack of synchronicity in the motion among the different points that can be related to the expected variable kinematics of the slope movements. Additionally, no correlation has been found between the high and low discharge events in the Ebro River and the temporal evolution of the deformation over the analyzed period in the landslides associated with the river channel. This lack of correlation is probably due to the fact that no severe flood events occurred during that period; all of the peak discharge values are attributable to return periods less than 10 years.

530

(Figure 8)

531 **5.3. Mining Subsidence in Remolinos**

An halite unit situated about 70-75 m above the Ebro River channel has been 532 mined in the Remolinos area since Roman times (Figures 1, 3). Most of the salt 533 extraction has been concentrated in two mines: Mina Real and María del Carmen, 534 located in Las Salinas and El Agua streams, respectively (Figure 9). In Mina Real, 535 536 inactive since 1989, the salt was excavated by the longwall method with galleries and pillars 18 and 20-25 m wide, respectively. At the present time halite is extracted by the 537 room and pillar method from María del Carmen mine, with an annual production of 538 539 around 600,000 Tn. In this mine the rooms and pillars are 20 m wide and 5.6-5.8 m high and the excavation fronts advance at an average annual rate of around 40 m (Iberica deSales S.A., pers. comm.).

542 In this area the sparse xerophytic vegetation covering the gypsum outcrops gives rise to good coherence of C-band and thus the detection of phase differences. The 543 544 DInSAR map delineates two deformation zones located along the Las Salinas stream and in the El Agua catchment and adjacent areas (Figure 9). Active landslides are 545 abundant due to the following factors: (1) The rapid entrenchment of the drainage 546 547 network induced by the local base level drop resulting from the retreat of the Ebro River escarpment; (2) The presence of landslide-prone shale units and halite sediments 548 affected by interstratal karstification. The shale units control the development of 549 550 rotational and lateral spreading movements.

In order to elucidate the role played by the slope movements and mining 551 subsidence in the deformation detected in the two areas, a landslide map has been 552 produced by means of aerial photograph interpretation and field surveys (Figure 9B). In 553 554 Las Salinas stream area all of the deformation points fall on the upper part of active landslides and away from the excavations of Mina Real mine. The crown sector of these 555 landslides shows conspicuous fresh scars, scarps and unloading cracks (Figure 9C). The 556 displacement velocity in this area ranges from 0.24 to 1.45 cm/y. Conversely, all of the 557 deformation points in El Agua stream catchment area, except the one associated with 558 the entrance of the María del Carmen mine, occur on slopes devoid of landslides and 559 underlain by the mine openings (Figure 9). The entrance of María del Carmen mine is 560 located in a rotational landslide. On 7 July 2004, the undermined landslide mass 561 suddenly collapsed trapping a truck (Figure 9D). Fortunately, the driver got out 562 unscathed. Possibly, the displacement detected by the DInSAR (0.27 cm/y) records a 563 premonitory creep deformation that preceded the catastrophic movement. The mining 564

subsidence detected in the rest of the points reaches a maximum displacement rate of 1.45 cm/y. The graphs that represent cumulative deformation versus time for these points reveal a progressive subsidence punctuated by episodes of a subtle apparent uplift (Figure 10). These uplift episodes, that show a good correlation with humid periods, might be related to volume changes in the soil related to the variable moisture content. Detailed field measurements would be necessary to test this hypothesis.

- 571 (Figure 9)
- 572

(Figure 10)

573 **6. Discussion and Conclusions**

The SBAS DInSAR analysis has been applied in an evaporitic area whose 574 geomorphology has been profusely studied in previous works. The capability of the 575 576 SBAS technique to detect ground displacement has been tested using an ERS data set including 27 images covering more than five years (1995-2000). The obtained results 577 delineate deformation zones providing values of displacement (magnitude and rate). 578 579 The detected zones affected by ground motion coincide with known active sinkholes and landslides and with the area underlain by an active salt mine. The obtained DInSAR 580 deformation map provides the first account of mining subsidence in the area. The 581 measured deformation rates reach 1.68 cm/y for the sinkholes, 0.80 cm/y for the 582 landslides and 1.45 cm/y for the area affected by mining subsidence. The temporal 583 584 deformation series reveal a progressive downward displacement associated with the three phenomena over the analyzed time span. This displacement does not show a clear 585 relationship with rainfall and the maximum determination coefficient ($r^2 < 8$) 586 corresponds to the cumulative rainfall of the previous week for landslide and mining 587 areas, and to the monthly rainfall for the sinkhole area. The apparent uplift episodes 588

measured by the DInSAR in 1997 and 1999, which seem to correlate with more humid periods, might be related to volume changes in the gypseous soil horizons controlled by changes in the water content. Detailed field measurements would be necessary to check this hypothesis.

593 The SBAS DInSAR analysis has provided deformation measurements in a small proportion of the known active sinkholes and landslides due to the following reasons: 594 (1) The lack of coherence in agricultural areas; the majority of the active sinkholes 595 596 occur in the floodplain and lower terraces largely devoted to irrigated crops. Most of the 597 deformation points related to dissolution-induced subsidence occur in developed areas underlain by artificially filled sinkholes (2) The pixel size of 90 m x 90 m was too large 598 599 to detect small landslides and sinkholes, particularly small cover collapse sinkholes. (3) 600 The ground motion in some sinkholes and landslides may be too slow or too fast to be measured using the DInSAR method used here. (4) The parallelism between the NW-601 602 SE-trending escarpment and the ERS satellite line of sight makes difficult the acquisition of deformation measurements. The displacements in a direction 603 perpendicular to the line of sight are the least favorable to be measured. 604

Although the DInSAR results have missed a significant proportion of the active 605 sinkholes and landslides identified by traditional geomorphological methods (mainly 606 aerial photographs and field surveys), it provides valuable supplementary data 607 including: (1) Areas affected by mining subsidence which could only be recognized by 608 geodetic methods; (2) Measurements of deformation magnitude and rate; (3) Creep 609 deformation in landslides and sinkholes which might correspond to premonitory 610 indicators of catastrophic mass movements, as seems to have been the case of the rapid 611 612 landslide that occurred at the entrance of María del Carmen salt mine. Another important advantage of the SBAS DInSAR method is that it allows analyzing large 613

areas even in non accessible or remote zones. Consequently, a good option is to
complement both geodetic and InSAR methods like with traditional geomorphological
studies.

The principal limitations of the obtained DInSAR results include: (1) Lack of 617 measurements in a large proportion of the study area due to temporal decorrelation or 618 incoherence largely controlled by the land covers. (2) Limited spatial resolution caused 619 by the large pixel size in the deformation map. (3) Unsuitability to detect catastrophic 620 collapse sinkholes and landslides. (4) Limited temporal length of the measurements. 621 These limitations can be partially overcome by conducting more detailed DInSAR 622 analysis of specific sites using SAR images of different wave-lengths (L band and X 623 624 band) and a higher resolution DEM in combination with other methods including: 625 geomorphological mapping, high-resolution ground-based geodetic surveys, retrodeformation analysis and dating of recent deposits affected by displacement. 626 627 Additionally, it would be interesting to test whether those detailed analysis could allow detecting precursor indicators of catastrophic deformation events in linear 628 infrastructures like the highly vulnerable high-speed Madrid-Zaragoza-Barcelona 629 630 railway (Guerrero et al., 2008b).

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931 Figure captions

- Figure 1. Geographic location and digital elevation model of the study area showing the
 main geomorphic features and villages indicated in the text.
- Figure 2. A: Active multiple rotational landslide with lateral spreading component in the gypsum escarpment located downstream of Zaragoza city. B: Building destroyed by a rock-fall in October 2002 fallen from the gypsum escarpment located upstream of Zaragoza city (outskirts of Alfocea village).
- Figure 3. DInSAR mean deformation velocity map for the 1995-2000 time span
 overlying a Landsat ETM+ image (band 4) from August 2000. The location of the
 reference point assumed as stable (asterisk) and the analyzed deformation sites are
 also shown. Negative and positive values indicate subsidence and uplift,
 respectively.
- 943 Figure 4. A: SBAS DInSAR ground deformation map of site 1 on an orthophotograph from 2000. B: Image taken in 1927 showing wetlands hosted in sinkholes (arrows) 944 945 that have been filled and developed. The large sinkhole situated to the right is now overlain by the El Portazgo Industrial State. C: Geomorphological map of site 1 946 showing the distribution of sinkholes and the measured mean subsidence rates. D: 947 Active Subsidence depression in El Portazgo Industrial State. The building 948 situated at this site was demolished due to subsidence damage (Photograph taken 949 950 in June 1996).
- Figure 5. DInSAR LOS deformation time series in sinkhole sites and mean daily rainfall
 (mm/day) obtained from the Zaragoza Airport weather station precipitation record.

Figure 6. A: SBAS DInSAR ground deformation map of site 2 on an orthophotograph from 2000. B: Image of site 2 taken in 1956 revealing that the area affected by subsidence coincides with a large sinkhole.

- Figure 7. A: SBAS DInSAR ground deformation map of site 3 depicted on an
 orthophotograph from 2000. B: Geomorphological map of site 3 showing the
 distribution of active landslides. C: Oblique aerial view of active landslide in site
 3. See location in B. D. SBAS DInSAR ground deformation map of site 4 depicted
 on an orthophotograph from 2000.
- Figure 8. DInSAR LOS deformation time series in landslide sites and mean daily
 rainfall (mm/day) obtained for the Remolinos weather station precipitation record.
- Figure 9. A: SBAS DInSAR ground deformation map of site 5 depicted on an
 orthophotograph from 2000. B: Geomorphological map of site 5 showing the
 distribution of landslides and the María del Carmen mine. C: Oblique aerial view
 of active landslide. See location in B. D. Reactivated landslide occurred on July 7,
 2004 at the entrance of María del Carmen mine trapping a truck. The DInSAR
 analysis indicates deformation in this landslide previous to its catastrophic
 collapse.
- Figure 10. DInSAR LOS deformation time series in the María del Carmen salt mine and
 mean daily rainfall between radar dates obtained from the precipitation record of
 Remolinos weather station located at about 1.5 km from the mine.

Sensor	Date	Orbit number	Number of days from the first date
ERS-1	05/07/1995	20,766	0
ERS-2	10/08/1995	1,594	36
ERS-2	14/09/1995	2,095	71
ERS-1	10/04/1996	24,774	280
ERS-2	20/06/1996	6,103	351
ERS-2	29/08/1996	7,105	421
ERS-2	03/10/1996	7,606	456
ERS-2	12/12/1996	8,608	526
ERS-2	20/02/1997	9,610	596
ERS-2	27/03/1997	10,111	631
ERS-2	01/05/1997	10,612	666
ERS-2	10/07/1997	11,614	736
ERS-2	18/09/1997	12,616	806
ERS-2	27/11/1997	13,618	876
ERS-2	05/02/1998	14,620	946
ERS-2	03/09/1998	17,626	1156
ERS-2	01/04/1999	20,632	1366
ERS-2	10/06/1999	21,634	1436
ERS-1	14/07/1999	41,808	1470
ERS-1	27/01/1999	43,311	1575
ERS-2	28/10/1999	23,638	1576
ERS-2	06/01/2000	24,640	1646
ERS-1	09/02/2000	44,814	1680
ERS-2	16/03/2000	25,642	1716
ERS-2	03/08/2000	27,646	1856
ERS-2	12/10/2000	28,648	1926
ERS-2	21/12/2000	29,650	1996

975 The indicated temporal interval is referred to the date of the oldest image.

























