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10 **Antiviral strategies in plants based on RNA silencing**

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18 **ABSTRACT**

19 One of the challenges being faced in the twenty-first century is the biological control of
20 plant viral infections. Among the different strategies to combat virus infections, those
21 based on pathogen-derived resistance (PDR) are probably the most powerful approaches
22 to confer virus resistance in plants. The application of the PDR concept not only
23 revealed the existence of a previously unknown sequence-specific RNA-degradation
24 mechanism in plants, but has also helped to design antiviral strategies to engineer viral
25 resistant plants in the last 25 years. In this article, we review the different platforms
26 related to RNA silencing that have been developed during this time to obtain plants
27 resistant to viruses and illustrate examples of current applications of RNA silencing to
28 protect crop plants against viral diseases of agronomic relevance.

29

30 **1. Introduction**

31 Plant viruses represent important threats to modern agriculture. Although accurate
32 figures for crop losses due to viruses are not available, it is generally accepted that
33 among the different plant pathogens, the economic relevance of viruses comes second to
34 fungi. Until the emergence of genetic engineering technologies, plant viruses have been
35 partially controlled using conventional cultivation techniques such as crop rotation,
36 early detection and eradication of the diseased plants, cross protection, breeding for
37 resistance, or chemical control of their vectors [1]. In the 1980s, the successful transfer
38 of foreign DNA into the nuclear genome using *Agrobacterium* as a vector prompted the
39 introduction of genetic engineering for crop improvement and the development of virus-
40 resistant plants [2, 3]. Today, different antiviral strategies are being undertaken, either
41 by exploiting natural plant defence mechanisms, or designing new tools, which in most
42 cases are ultimately also based on natural defence mechanisms.

43 Most of the achievements obtained in plant biotechnology in the area of plant virus
44 resistance are based on the principle of pathogen-derived resistance (PDR) [4]. The
45 concept of PDR was proposed by Sanford and Johnston [5] twenty-five years ago using
46 the bacteriophage Q β as a model, and considers that expression of pathogen genetic
47 elements outside the context of infection may lead to resistance. This approach opened
48 an interesting possibility for the practical control of diseases. For plant viruses, the
49 concept of PDR was first validated with its use in tobacco plants transformed with the
50 tobamovirus *Tobacco mosaic virus* (TMV) coat protein (CP) gene [6]. Soon this
51 observation was validated using other viral CPs and other viral sequences that code for

52 proteins such as replicases, proteinases and movement proteins [for review, see 7-11].
53 CP is the most successful and widely applied viral protein for PDR. However, the
54 protection conferred by CP-mediated resistance varies significantly from strong
55 interference with virus multiplication to delay or attenuation of symptoms. The PDR
56 based on the expression of viral proteins, with either the wild type or the mutated one,
57 in transgenic plants has several general characteristics: i) it is not very specific, and
58 protects against a broad range of viral strains; ii) it shows a positive correlation between
59 the levels of accumulation of the viral product and the effectiveness in resistance; iii) it
60 is usually overcome by high doses of inoculum. Despite extensive studies, the
61 molecular mechanisms underlying protein-mediated resistance are not fully understood.
62 What appears to be certain is that they are diverse, that they probably affect several
63 steps of the infection process, and that each virus/transgenic plant combination has
64 specific features. Moreover, it soon became apparent that many virus resistances
65 initially envisaged as protein-mediated PDR did not rely on the expression of the
66 corresponding viral proteins and that a majority of PDR phenomena seemed to work
67 through RNA-mediated mechanisms [12].

68

69 **2. RNA silencing and virus resistance**

70 In the early nineties, two independent research groups found that the expression of
71 a transgene mRNA with a high sequence similarity to an endogenous mRNA, led to
72 specific degradation of both mRNAs through post-transcriptional gene silencing
73 (PTGS), also known as “cosuppression” [13, 14]. Later, the W. Dougherty research
74 group suggested that a similar mechanism might be involved in the resistance
75 phenomena observed in transgenic plants transformed with viral genes. Some of the
76 transgenic lines showed anomalous phenotypes; unexpectedly and unpredictably the
77 highest level of resistance was observed in the transgenic lines showing very low levels
78 of transgene mRNA accumulation, whereas plant lines expressing the same gene at high
79 levels were fully susceptible. Interestingly, the virus resistant plants had actively
80 transcribed genes but they had low steady-state levels of transgene mRNA. A
81 breakthrough discovery, from transgenic lines included to serve as negative controls,
82 showed that resistance occurred even with non-translatable versions of the viral genes,
83 which demonstrated that the RNA itself was responsible for the virus resistance
84 observed in the transgenic plants [15-17]. All the molecular analysis of these transgenic
85 plants challenged the existing paradigm of genetic regulation and became the first

86 demonstration of an RNA-activated sequence-specific RNA degradation mechanism in
87 a biological system, a phenomenon now referred to as RNA silencing or RNA
88 interference (RNAi) [18, 19].

89 English *et al.* provided an elegant approach to demonstrate the role played by RNA
90 silencing in virus resistance in plants transformed with transgenes homologous to viral
91 genome sequences [20]. These authors showed that while a recombinant *Potato virus X*
92 (PVX) whose engineered genome contained coding sequences of GUS (PVX-GUS) was
93 able to infect both wild type plants and plants actively expressing a GUS transgene,
94 transgenic plants in which the GUS transgene was silenced, were resistant to PVX-
95 GUS, but not to wild type PVX (Figure 1). These results provide an explanation for the
96 negative correlation between accumulation levels of the transgene RNA and virus
97 resistance that had been observed in plants transformed with virus-derived transgenes
98 [21]. However, a transgenic plant actively expressing a virus-derived transgene is not
99 always fully susceptible. Very often viral infection causes the silencing of a
100 homologous transgene, which was initially active, thus leading to a phenomenon of
101 delayed resistance referred to as “recovery” [17, 22] (Figure 2). Subsequent discoveries
102 showed that RNA silencing naturally protects plants from viruses, indeed, recovery in
103 tobacco plants infected with the nepovirus *Tobacco ringspot virus* was already
104 documented as early as 1928 ([23], cited by [24]). Today, this phenotype has been
105 shown to result from delayed resistance caused by virus-specific RNA silencing [25].
106 Even more importantly, later on, this RNA-mediated defence was shown to be a general
107 response to viral infections that acts against the elicitor virus and can also cross-protect
108 the infected plants against secondary infections [26, 27].

109 In response to this type of antiviral innate defence, it is not unexpected that viruses
110 have devised counteracting mechanisms that interfere with it, mainly by means of
111 factors that are able to suppress RNA silencing. Moreover, the ability of a virus to
112 systemically infect a particular plant is greatly dependent on the effectiveness of these
113 contra defence mechanisms [28-34] (Figure 2). Suppression of the antiviral silencing
114 response of the plant by a virus can facilitate the replication of a second virus, giving
115 rise to synergistic mixed infections [35]. In addition, the specific antiviral resistance
116 conferred by silenced viral transgenes can be disturbed by the silencing suppression
117 activity of heterologous viruses [36-39] (Figure 2). However, RNA silencing-based
118 virus-immune transgenic plants do not always revert to a susceptible phenotype

119 following an infection by a heterologous virus [40], even in cases in which the
120 transgene silencing is suppressed [39].

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123 **3. RNA-mediated transgenic resistant plants**

124 The trigger of RNA silencing is a double-stranded RNA (dsRNA), which is
125 processed by a specific RNase III-type Dicer enzyme into 21- to 24-nt small molecules
126 (siRNA), then, the siRNAs are loaded into Argonaute protein-containing effector
127 complexes called RNA-induced silencing complexes (RISCs) to guide degradation or
128 translation repression of complementary RNA targets [41, 42]. By contrast, the first
129 examples of transgenic plants described to undergo RNA-mediated PTGS had been
130 transformed with transgenes designed to generate viral RNA fragments of positive
131 polarity. Although a single copy of the transgene was capable of inducing RNA
132 silencing [43], in general, induction of RNA silencing was enhanced by the existence of
133 multiple copies of the transgene [44], mainly when they were arranged in inverted
134 repeats able to form dsRNA [45]. Subsequent studies revealed the existence of two
135 branches of transgene-induced PTGS [46]. In the cases of transgenes transcribed as a
136 single strand RNA (S-PTGS), the dsRNA substrate cleaved by Dicer to produce the
137 siRNAs is generated by a host-encoded RNA-dependent RNA polymerase (RDR),
138 which can somehow recognize aberrant versions of highly abundant transgene RNAs
139 and copy them into dsRNA [47, 48]. Transgenes with inverted repeats producing long
140 double strand RNA regions do not depend on host RDRs to produce primary siRNAs
141 and efficient RNA silencing (IR-PTGS), but RDRs are involved in an amplification step
142 producing secondary siRNAs, which reinforces silencing and spreads it beyond the
143 initial trigger sequence (transitive RNA silencing) [49, 50]. In accordance with the key
144 role of dsRNA in the induction of RNA silencing, whereas transformation with
145 transgenes coding for single stranded viral RNAs gives rise to low and erratic numbers
146 of virus-resistant transgenic lines, most of the plants transformed with transgenes
147 producing viral dsRNA show a high level of virus resistance [51]. Transgenes encoding
148 intron-spliced hairpin RNAs are especially efficient as silencing triggers, and
149 consistently confer viral resistance when directed against virus genomes [52-54]. This
150 RNA silencing approach, known as hpRNAi, is now widely used in many plant species
151 and information for convenient generic plasmids for transgene generation is currently
152 available at <http://www.pi.csiro.au/rnai/>.

153 Nevertheless, the reasons why a viral transgene is silenced and confers resistance in
154 some transgenic lines, whereas other lines actively express the same transgene and are
155 fully susceptible to the homologous virus are still not completely understood [55]. As
156 expected, in most cases, transgene silencing and virus resistance is associated with high
157 accumulation of siRNAs specific to the viral transgene [56, 57]. Methylation of the
158 transcribed region of the transgene DNA is also an usual hallmark of constitutive or
159 virus-induced transgene silencing and virus resistance [58-60], but the cause-
160 consequence relationship of transgene methylation with RNA silencing and virus
161 resistance has not been unravelled yet.

162 Most studies on RNA-silencing-mediated antiviral resistance have focussed on
163 plus-stranded RNA viruses -the largest group of plant viruses- but RNA silencing of
164 viral transgenes has been shown to be effective to protect plants against other viruses
165 such as tospoviruses [61-63], with a minus-strand RNA genome, or geminiviruses, with
166 a single-strand DNA genome [64-69]. Although DNA viruses appear to be less
167 susceptible to transgene-derived RNA silencing than RNA viruses [70, 71], this
168 antiviral strategy can sometimes be very effective against geminiviruses [72].
169 Interestingly, DNA virus infections induce not only postranscriptional gene silencing,
170 but also transcriptional gene silencing [73-76], which can be used in biotechnological
171 approaches to engineer viral resistance [77].

172 Transgenes expressing viral proteins can display protein-mediated and RNA-
173 mediated overlapping resistance mechanisms, which can differ in intensity and
174 broadness [78, 79]. Although these mechanisms can collaborate to protect plants against
175 a range of viruses, it is also possible that a weak RNA silencing, unable to confer
176 complete viral resistance, can suppress the expression of the transgene and thus
177 inactivate the protein-mediated resistance [80].

178 The accumulation of large amounts of specific siRNAs in viroid infections
179 demonstrates that the viroidal RNA is a substrate of Dicer-like enzymes [81-84]. Some
180 reports suggest that, whereas these siRNAs are biologically active in guiding RISC-
181 mediated cleavage, the secondary structure of the viroidal RNA protects it from RISC
182 activity [85-87]. However, the fact that a transgenic tomato expressing a viroid hairpin
183 transgene and accumulating high amounts of viroid-specific siRNAs, exhibits resistance
184 to the homologous viroid, indicates that viroid RNA can be the target of RISC-mediated
185 degradation [88].

186 Although effective RNA silencing can be induced by sequences as short as 23-60 nt
187 [89], it appears that induction of RNA silencing-mediated antiviral resistance may need
188 transgenes with regions of similarity to viral RNAs larger than 100 nt [90, 91].
189 However, transgenes with larger similarity regions, 300-800 nt, are usually preferred. In
190 general, the effectiveness of the transgene RNA-mediated virus resistance is
191 proportional to the sequence similarity between the transgene and the inoculated virus,
192 however, there are exceptions that are not fully understood [92, 93]. Viruses whose
193 sequence differs from that of the transgene by more than 10% usually escape RNA
194 degradation [61]. To circumvent this limitation, different strategies to co-express
195 several genetic fragments of different viruses, either as independent transcription units
196 or as a single hairpin cassette have been explored [94, 95]. The transgenic expression of
197 these types of constructs rendered a high proportion of transgenic lines heritably
198 resistant against all or some of the source viruses, thus allowing broader virus
199 resistance.

200 The methods used to engineer RNA silencing-mediated antiviral resistance in
201 transgenic plants normally involve transgenes corresponding to a limited region of the
202 viral genome. However, transgenic plants transformed with full-length copies of viral
203 genomes, named amplicons, have also been constructed. They used to be silenced and
204 resistant to exogenous infection with the virus from which the transgene was derived,
205 however, amplicon lines showing transgene-derived virus infection have also been
206 described [96-101]. In some cases, reactivation of a silenced amplicon and efficient
207 replication of the resulting virus can be achieved by deliberate co-expression of a strong
208 silencing suppressor [102, 103], but often this also occurs spontaneously, as a
209 consequence of poorly characterized environmental or developmental signals [101, 104,
210 105].

211

212 **4. RNA silencing-mediated resistance without transgenesis**

213 Concerns regarding transgenic plants are quite strong in some places in the world,
214 especially in Europe, thereby prompting increasing interest in approaches to generate
215 viral resistance that do not rely on the use of genetically modified plants. Since dsRNA
216 is a pivotal factor of RNA silencing processes, the most important efforts have been
217 devoted to the exogenous delivery of this kind of molecules. Initial reports showed that
218 dsRNA derived from viruses of three different families, and directly delivered to plant
219 leaves either by mechanical inoculation of *in vitro*-synthesized molecules or via an

220 *Agrobacterium*-mediated transient expression system, interfered with virus infection in
221 a sequence-specific manner [106]. Further research demonstrated that bacterial systems
222 could be used to synthesize viral dsRNA able to promote specific antiviral interference
223 at a very low cost [107-110]. These antiviral approaches could take advantage of
224 recently-developed systems for large-scale production of dsRNA *in vitro* and in bacteria
225 utilizing the RNA polymerase of phage $\phi 6$ [111].

226 Delivery of viral dsRNA cannot cure already infected plants and, in contrast with
227 virus-resistant transgenic plants, it is not able to confer a permanent protection,
228 however, research shows that spraying plants with an extract of bacteria expressing viral
229 dsRNA confers specific antiviral protection for at least 5 days [107, 108].

230 Recent results demonstrate that the exogenous delivery of specific dsRNA can also
231 protect plants against chloroplast- and nuclear-replicating viroids [112]. Moreover, they
232 state that homologous viroid small RNAs co-delivered mechanically can interfere with
233 one of the viroids examined. These results support the conclusion that the secondary
234 structure of viroids does not provide them with complete protection against RISC
235 activity.

236

237 **5. Antiviral resistance mediated by artificial miRNAs**

238 RNA silencing regulates a large range of important processes by making use of
239 different populations of small RNAs [113-117]. Among them, microRNAs (miRNAs)
240 are known to play fundamental roles in organism development, and adaptation to
241 environmental stresses [118-121]. These ~21-nt RNAs are the result of the processing
242 of hairpin-like primary transcripts by specific RNase III-type enzymes (Drosha plus
243 Dicer in animals, and DCL1 in plants). MiRNAs negatively regulate endogenous target
244 genes by cleavage or translational inhibition of their mRNAs. The miRNA primary
245 transcript can be engineered to introduce several mutations within the miRNA 21-nt
246 sequence without affecting its biogenesis [122]. Based on modified miRNAs, named
247 “artificial miRNA” (amiRNA), a new RNA silencing technique has been developed.
248 AmiRNAs were first generated and used in human cell lines and were shown to
249 interfere with the expression of cognate mRNAs [123]. Later, amiRNA technology was
250 also successfully used to direct endogenous gene silencing of individual genes or groups
251 of endogenous genes in different organisms, including several plant species, mosses and
252 unicellular algae [124-129].

253 Host- and virus-encoded miRNAs have been shown to participate in animal virus
254 infections, either by helping the virus or by contributing to host defence mechanisms
255 [130-135]. Moreover, although a role for miRNAs in natural plant virus infections has
256 not been demonstrated yet, endogenous miRNAs have been shown to interfere with
257 engineered plant viruses [136]. Thus, amiRNAs targeted to degrade the invading viral
258 RNA are suggestive candidates to be used in biotechnological approaches to fight plant
259 viral diseases. The first evidence of the effectiveness of this strategy came from the
260 demonstration that the stable expression of amiRNAs targeting RNA sequences that
261 encode the silencing suppressors of the tymovirus *Turnip yellow mosaic virus* (TYMV)
262 and the potyvirus *Turnip mosaic virus* (TuMV) confer specific virus resistance to
263 transgenic *Arabidopsis* plants [137]. Following this, other reports confirmed the validity
264 of this approach for other viral sequences, virus species and host plants [138-141].
265 Moreover, Niu *et al.* [137] explored the possibility of using a dimeric pre-amiRNA that
266 expressed two sequences from different viruses to confer resistance to both viruses on a
267 single transgenic plant. The combined production of multiple virus-specific amiRNAs
268 in plants allows increased virus resistance against a broad spectrum of virus.

269 Whereas efficient amiRNA-mediated resistance was observed against TYMV and
270 TuMV when stretches of the coding sequence of their silencing suppressors were
271 included in the amiRNA [137], when the coding sequence of the silencing suppressor
272 2b of the cucumovirus *Cucumber mosaic virus* (CMV) was targeted, the transgenic
273 plants showed various degrees of responses to CMV infection such as: full resistance,
274 delayed infection, recovery and susceptibility [140]. As previously reported, the
275 strength of the effect of siRNAs [93, 142, 143] and amiRNA [136] in their target
276 sequences not only depend on their own nature, but also on the position in which they
277 are included in the target transcript; this probably indicates either that some sites are
278 more accessible than others to the RNA silencing machinery or that processing is
279 somehow influenced by the flanking sequences rather than by the si/miRNA sequence
280 alone. To avoid amiRNA target positional defects, Duan *et al.* [139] have reported an
281 experimental approach to design miRNAs that target putative RISC accessible sites to
282 engineer effective RNA silencing and virus resistance in plants by amiRNAs.

283 The miRNA precursors produce miRNA-miRNA* duplexes with particular
284 structural features such as mismatches or bulges, and, in most cases, only the mature
285 miRNA associates preferentially with Argonautes [144, 145]. When the duplex region
286 in the miRNA precursor backbone is substituted by amiRNA and amiRNA* and the

287 mismatched positions are retained, the amiRNA strand will likely be accumulated and
288 loaded in the correct effector RISC. An interesting possibility in the case of designing
289 amiRNAs to produce virus-resistant transgenic plants is to replace the duplex by exact
290 complementary sequences. There is evidence which shows that miRNA-directed RNA
291 silencing targets both plus strand genomic RNA and those RNAs complementary to the
292 viral genome synthesized during viral replication [136]. With constructs producing both
293 amiRNA and amiRNA* complementary to the genomic RNA and the complementary
294 strand respectively, that can be loaded in antiviral RISCs, two targets could be reached
295 with a single amiRNA precursor.

296 One predicted drawback of amiRNA-mediated resistance is that the combination of
297 the high specificity of miRNA cleavage and the high mutability of plant viruses make it
298 possible for virus variants escaping resistance to emerge [146]. A study with
299 recombinant PPV chimeras bearing miRNA target sequences provided the first evidence
300 that viruses readily escape the negative pressure of miRNA activity through mutations
301 within the miRNA target sequence [136]. The escape from the resistance was enhanced
302 in a transgenic Arabidopsis line expressing the silencing suppressor P1/HCPPro, which
303 has been shown to inhibit miRNA activity [147, 148]. A frequent emergence of escape
304 mutants was also observed in transgenic plants expressing an amiRNA that targeted
305 non-essential sequences engineered in a recombinant TuMV [149]. Viruses escaping the
306 miRNA-derived resistance showed deletions affecting the 21-nt target site or point
307 mutations, which mainly affected nucleotides matching the 5' terminal region of the
308 miRNA, thus, pointing out the relevance of this region in amiRNA-mediated cleavage
309 activity. Recent results demonstrate that wild type viruses might also evolve to
310 overcome amiRNA-mediated resistance through the selection of virus variants with
311 point mutations in the amiRNA target sequence (Santiago Elena, personal
312 communication).

313

314 **6. Agronomic applications of antiviral RNA silencing**

315 Although other biotechnological strategies that interfere with virus infections in
316 plants have been developed [4, 10, 150], PDR remains the most powerful approach to
317 produce virus resistant plants, and RNA silencing appears to be the most promising
318 PDR strategy, which potentially makes this technology of great agronomic relevance
319 [9]. This could be specially applicable to developing countries, whose economy largely
320 depends on agricultural activities, since they might use these relatively cheap tools to

321 solve specific local problems [151]. While the molecular processes and biological
322 functions of RNA silencing are still not fully understood, our current knowledge of this
323 RNA-mediated mechanism has enabled the development of new platforms for crop
324 improvement. Nevertheless, despite the abundant scientific information obtained since
325 the demonstration of the viability of the PDR concept 25 years ago, and although a large
326 number of field trials have been conducted for diverse viruses and hosts species [152],
327 not many crop plants expressing viral genetic elements and showing virus resistance
328 have reached the commercialization stage [153]. The first virus-resistant cultivar for
329 commercial application in the USA using RNA silencing for crop improvement was
330 summer squash ZW-20 expressing the CP genes of the potyviruses *Zucchini yellow*
331 *mosaic virus* (ZYMV) and *Watermelon mosaic virus* (WMV), which was developed by
332 Asgrow Seed Co. [154, 155]. This line was later replaced by CZW-3, which also
333 expresses the CP of the cucumovirus CMV [156, 157]. These plants were also used as
334 parents to develop other cucurbit cultivars by conventional breeding [9]. In 1998 and
335 1999, Monsanto also commercialized the potato varieties NewLeaf Plus and NewLeaf
336 Y, which were resistant to the polerovirus *Potato leafroll virus* (PLRV) and the
337 potyvirus *Potato virus Y* (PVY), respectively
338 (<http://www.monsanto.com/newsviews/Pages/new-leaf-potato.aspx>). However, these
339 lines were withdrawn from the market in 2001 due to the reluctance of certain important
340 food processors to use genetically modified potatoes [9, 158]. So far, the most
341 prominent success of PDR against viruses have been the transgenic papayas Rainbow
342 and SunUp, which are resistant against the potyvirus *Papaya ringspot virus* (PRSV) by
343 virtue of expression of the viral CP gene, indeed, it has contributed to saving the papaya
344 industry in Hawaii [159, 160]. Another virus-resistant papaya, X17-2, which is
345 protected against a Florida isolate of PRSV is in an advanced stage towards
346 commercialization in USA [9]. Very recently, the plum cultivar “HoneySweet”,
347 transformed with the CP gene of another potyvirus, *Plum pox virus*, [161] has been
348 deregulated in USA. This cultivar has proven a highly effective and durable resistance
349 to PPV in several field trials in different European countries [40, 162-164] (Figure 4).
350 This plum variety has a great potential for fighting this worldwide-spread devastating
351 disease, both as a high-quality commercial variety and as a progenitor in *Prunus*
352 breeding.

353 The People’s Republic of China is investing heavily in biotechnologies, and
354 looking for a transgenic green revolution as a way to secure its food supply [165]. In

355 addition to PRSV-resistant papaya, both tomato and sweet pepper resistant to CMV
356 have also been released in China [166]. However, the performance of these tomato and
357 sweet pepper transgenic lines was apparently not very satisfactory, and investment in
358 their commercial production was discontinued [151]. Another virus-resistant transgenic
359 plant that is expected to be commercialized in China in the following years is wheat
360 resistant to the bymovirus *Wheat yellow mosaic virus* [167, 168].

361 The interest in using PDR technology, mainly RNA silencing-mediated, is
362 increasing worldwide [158]. Thus, the development of a number of virus-resistant
363 transgenic plants appears to be close to commercial release in different countries. These
364 include PVY-resistant potato in Argentina, rice resistant to the tungrovirus *Rice tungro*
365 *bacilliform virus* in India, and bean resistant to the begomovirus *Bean golden mosaic*
366 *virus* in Brazil [72, 169, 170].

367 There are three main factors that can determine the practical usefulness of antiviral
368 strategies in plants: efficiency, durability and safety; and only further long-term
369 research in the field of resistant varieties can provide us with definitive data on the
370 stability of different forms of RNA silencing-based resistance. Unfortunately, social
371 concerns, primarily in Europe, over the potential ecological impact of virus-resistant
372 transgenic plants have so far significantly limited the use of virus-resistant crops. But
373 the situation is changing since a significant increase worldwide in hectareage of
374 Biotech/GM crops has been reported [153] and RNA silencing-based technologies will
375 help, among other challenges faced by productive agriculture, to mitigate the impact of
376 virus diseases in the twenty-first century.

377

378 **7. Open questions in RNA silencing-mediated virus resistance and concluding** 379 **remarks**

380 Since the first successful application in 1986 [6] of PDR used to confer virus
381 resistance to transgenic plants, a range of powerful strategies using pathogen-derived
382 sequences have been described. Initially main interest was focussed on the expression of
383 wild type and mutated viral proteins, but RNA-mediated approaches based on natural
384 antiviral RNA silencing have yielded the most promising results [150, 171, 172]. In
385 these, specific resistance is the result of an accumulation of antiviral RISC complexes
386 loaded with small RNAs derived from the viral transgene, which are ready to target and
387 degrade the invading viral RNA before the virus has time to mount effective counter-
388 defence mechanisms. The first transgenic lines resistant to viruses by an RNA-mediated

389 mechanism were found by chance as barely-characterized rare exceptions among a
390 majority of lines actively expressing sense viral RNA from the transgene and fully
391 resistant to the virus [12]. The huge progress in the understanding of RNA silencing
392 mechanisms, mainly the unravelling of the pivotal role played by dsRNA, allowed the
393 design of more rational strategies to achieve RNA-mediated viral resistance, such as
394 hpRNAi, which gave more consistent results [51, 53]. However, it is still not possible to
395 accurately predict the frequency of resistant lines and the level of resistance in plants
396 transformed with a particular viral transgene, even when the transgene is designed to
397 produce dsRNA. The silencing efficiency appears to depend, on specific features, still
398 not characterized, of the targeted sequences, as has been shown in a high throughput
399 analysis of the hpRNAi silencing of endogenous plant genes [173]. Further scientific
400 studies are required to understand the sequence and structure features affecting the
401 susceptibility of viral RNAs to antiviral silencing; this will allow us to design more
402 reliable strategies to construct proficient virus resistant transgenic plants.

403 An important value of RNA silencing-mediated resistance is the fact that it is
404 suitable for application on a very broad range of virus-host combinations. Although
405 viruses with plus stranded RNA genomes have been the main target of studies of
406 antiviral RNA silencing, RNA silencing-mediated resistance has been shown to be
407 effective against other viruses, including DNA viruses, such as geminiviruses [72], and
408 even against viroids [88]. By contrast, a limitation of RNA silencing-mediated
409 resistance is its high specificity, since it is only effective against virus isolates that are
410 very similar to the isolate from which the transgene derives. The relevance of this
411 problem will be different for each particular case, depending on the genetic diversity of
412 the virus populations challenging the resistant plant. The extent to which it may be
413 overcome by transforming plants with several viral transgenes or with chimeric
414 transgenes assembled with small genomic fragments derived from various viruses or
415 virus isolates is still unknown.

416 Since the application of transgene RNA silencing to produce virus resistant plants, a
417 number of different concerns have been raised. As most viruses produce silencing
418 suppressors, infection with a non-target virus could breakdown resistance [36-39].
419 Experimental tests have shown that this could happen in some cases, but not all, and it
420 seems to require a very precise coupling of the two viral inoculations [39, 40]. Thus,
421 although mixed infections by several viruses are abundant in nature, it is too early to
422 predict the effect they may have on the effectiveness of the RNA-mediated PDR in the

423 field. Reports show that RNA silencing can be disturbed at low temperatures [174], but
424 evidence that this fact could mean an important threat for the stability of virus resistance
425 in field conditions is still missing. There have also been no reports on ecological
426 problems derived from heteroencapsidation, RNA recombination between the transgene
427 and the viral RNA or emergence of more virulent resistance-breaking virus isolates, or
428 significant off-target effects caused by the transgene, in virus-resistant transgenic plants
429 [10, 152, 158]. However, the field experience is still too limited to make a confident
430 assessment on the relevance of these potential safety risks.

431 Direct administration of viral dsRNA cannot circumvent most of the potential risks
432 associated with RNA silencing-mediated virus resistance, but probably is less
433 concerned by the worry that genetically modified organisms pose in many people.
434 However, the short effect of dsRNA release, which needs to be closely coupled to the
435 viral challenge, limits the present utility of this technology. In this context, the COST
436 Action FA0806 of the EU is an important initiative that has as its main objective to
437 explore suitable, efficient and cost-effective non-transgenic gene silencing approaches
438 for managing plant viral diseases in Europe.

439 In contrast, the recently developed amiRNA technology, which depends on the
440 transgenic expression of a very short viral sequence, is not concerned with some
441 potential risks affecting plants expressing long viral transgenes, such as RNA
442 recombination or undesired off-target effects [146]. In addition, amiRNA-derived virus
443 resistance appears to be efficient even at low temperatures [137]. AmiRNA-derived
444 resistance can be as effective as virus resistance derived from long viral RNA hairpins
445 [137], but this is not the case for all viral amiRNAs [139, 140]. This can depend on the
446 accessibility to RISC of amiRNA targets in the viral RNA, but also on sequence and
447 structure features of the different pre-amiRNA constructs, which could condition their
448 exact processing sites, the levels of accumulation of amiRNA and amiRNA* strands,
449 and the ability of these strands to be loaded in effective antiviral RISCs. Much more
450 research on these topics is required to allow rational designs of efficient amiRNAs with
451 well-defined properties. Current information suggests that viruses can easily evolve to
452 escape amiRNA-derived resistance [136, 146, 149]. The expression of more than one
453 amiRNA targeting different sequences of the same virus or the use of highly conserved
454 regions on viral genomes is expected to mitigate the likelihood of resistance breakdown.
455 Although it may be anticipated that amiRNA technology could be applied to any crop

456 plant, as has been shown in the tomato, the general effectiveness of this approach needs
457 to be studied further.

458 An interesting RNA silencing-related technology to be explored for virus resistance
459 is the use of artificial trans-acting (ta) siRNAs (atasiRNAs). Like miRNAs, tasiRNAs
460 are also negative regulators of gene expression that belong to a plant-specific class of
461 endogenous small RNAs whose biogenesis requires an initial miRNA-mediated
462 cleavage of its precursors [175-178]. Engineered atasiRNAs have been used
463 successfully for RNA silencing of endogenous genes in Arabidopsis [179], and can be
464 envisaged as promising antiviral tools.

465 The development and application of different approaches to achieve resistance to
466 viruses based on PDR have certainly reached a remarkable maturity and there is
467 increasing evidence supporting their effectiveness. But there is no doubt that the outlook
468 is even better and in the course of this century an explosion in the use of RNA silencing
469 to obtain plant cultivars "à la carte" that are resistant to a particular virus or have some
470 other improved agronomic traits will be witnessed.

471

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482 **Legends to figures**

483

484 Figure 1. RNA silencing of a nuclear gene in a transgenic plant can suppress the
485 accumulation of a cytoplasmic virus and confer virus resistance. Modified from [20].

486

487 Figure 2. Schematic representation of natural and artificial RNA silencing based
488 antiviral resistance. Depending on the final outcome of the confrontation between
489 defence/contradefence mechanisms, different results of resistance, recovery or
490 susceptibility after virus infection can be obtained.

491

492 Figure 3. Schematic representation of antiviral activity conferred by transgenic
493 expression of an artificial miRNA in a plant.

494

495 Figure 4. Leaf symptoms caused by *Plum pox virus* in a susceptible cultivar.
496 Asymptomatic leaf and fruits from the resistance cultivar HoneySweet. Courtesy of R.
497 Scorza and M. Cambra.

498

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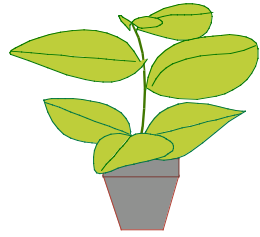
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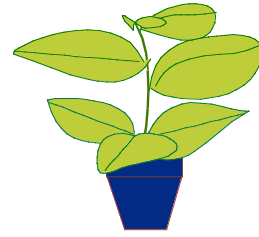
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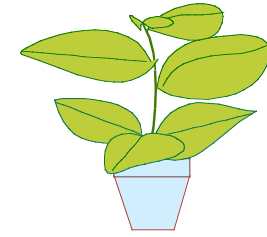
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**Non-transgenic
plant**



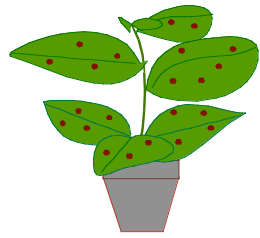
**Non-silenced GUS
transgene**



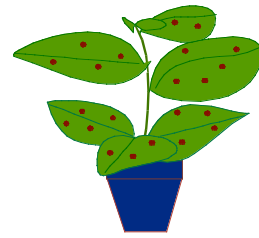
**Silenced GUS
transgene**



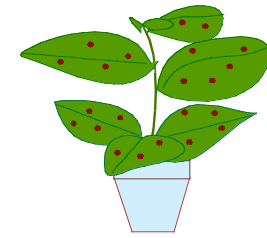
PVX



Infection



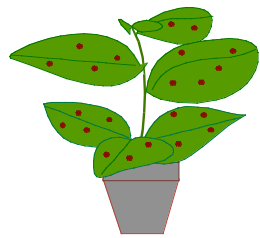
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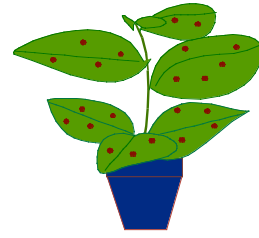
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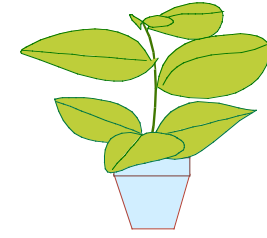
PVX-GUS



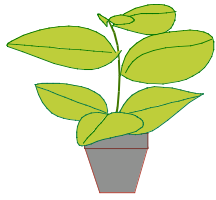
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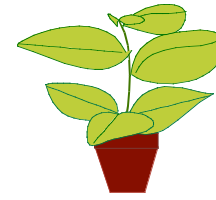
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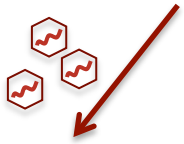
RESISTANCE



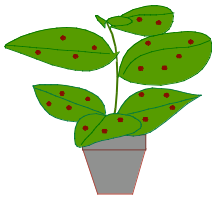
Non-transgenic plant



Transgenic plant
(sense / antisense / hpRNA / amplicon)



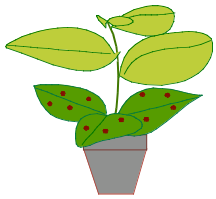
Efficient viral suppressor



Infection



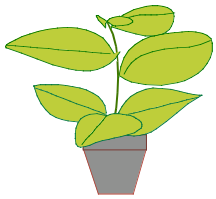
Mild viral suppressor



RECOVERY



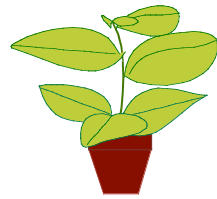
dsRNA treated plant



RESISTANCE



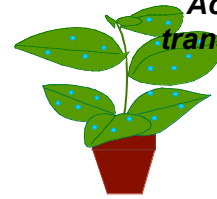
Silenced transgene



RESISTANCE

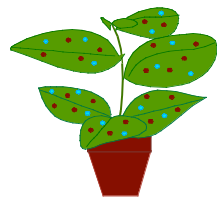


Heterologous viral infection



Active transgene

Silencing suppression



Infection

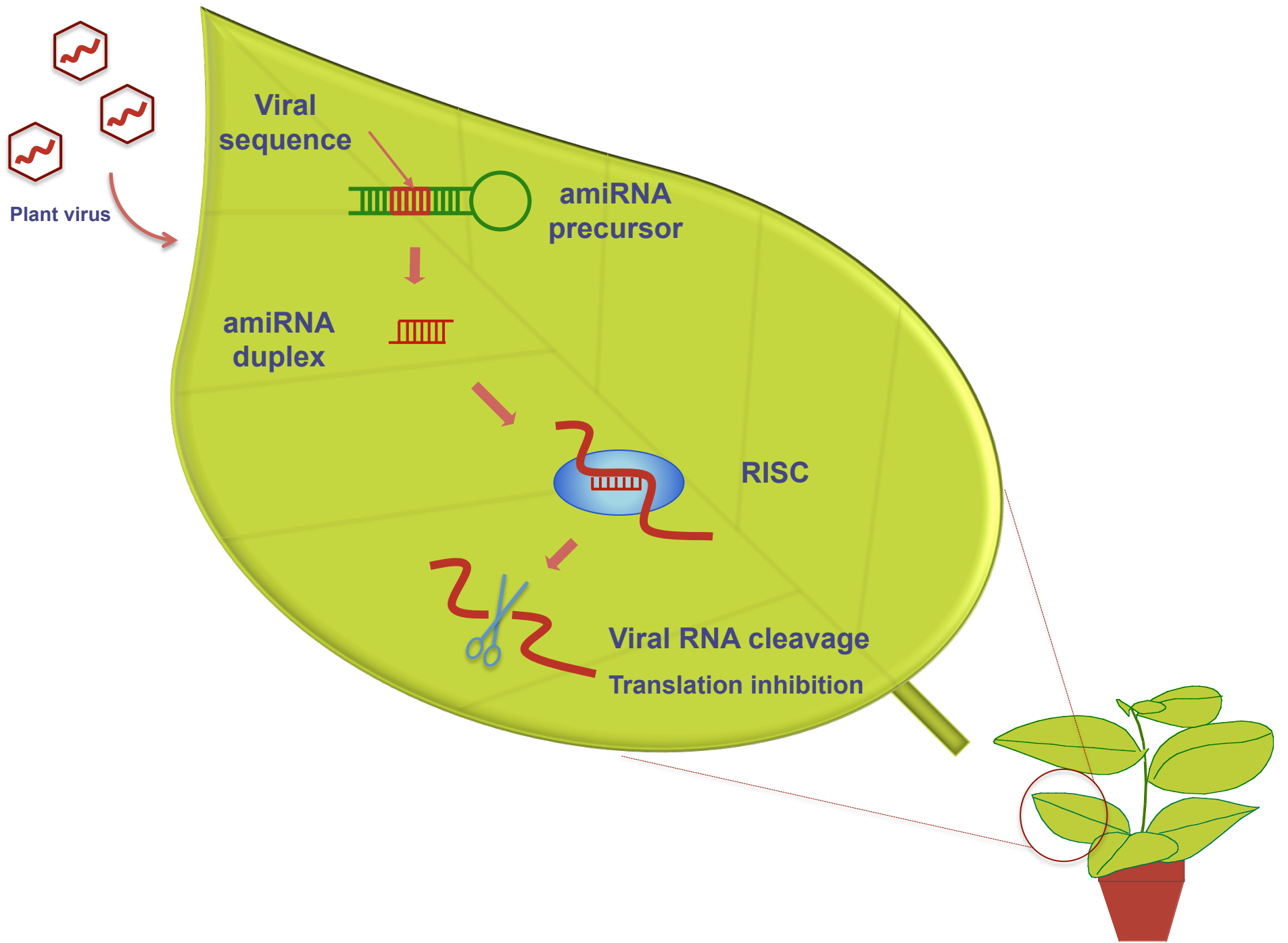


Active transgene



Silenced transgene

RECOVERY





Nontransgenic *Prunus domestica* cv. Stanley



Transgenic *Prunus domestica* cv. HoneySweet