- 1 TITLE: Forecasting plant range collapse in a Mediterranean hotspot: when dispersal uncertainties
- 2 matter
- 3 **RUNNING TITLE:** Forecasting plant range collapse in a Mediterranean hotspot
- 4 **ARTICLE TYPE**: Biodiversity Research
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28 ABSTRACT

Aim: The Mediterranean basin is threatened by climate change, and there is an urgent need for studies 29 to determine the risk of plant-range shift and potential extinction. In this paper, we simulate potential 30 range shifts of 176 plant species to perform a detailed prognosis of critical range decline and extinction 31 in a transformed Mediterranean landscape. Particularly, we seek to answer two pivotal questions: 1) 32 33 What are the general plant-extinction patterns we should expect in Mediterranean landscapes during the 21th century? 2) Does dispersal ability prevent extinction under climate change? 34 Location: Andalusia: southern Iberian Peninsula; 87,597 km²; 300 by 520 km. 35 Methods: We gathered information on the dispersal traits of 176 plant species (dispersal vector, 36 37 average and maximum dispersal distances, shape of the dispersal kernel). We used these data to feed a stochastic dynamic species distribution model (a combination of a cellular automaton with a ensemble 38 of species distribution models) to simulate plant range-shift under climate change with realistic 39 40 dispersal under two different warming scenarios. We compared dispersal and no-dispersal simulations 41 to assess the influence that climate change and species-distribution characteristics exert on plant-extinction patterns. 42 **Results:** The dispersal simulation showed a lower percentage of extinct (-1%) and quasi-extinct species 43 (-19%) than did the non-dispersal simulation. Summer temperatures of 37 and 33°C, respectively, 44 45 accelerated the critical range decline and extinction rates. The average elevation of the plant populations was the variable with the highest influence on extinction probability. 46 **Main conclusions:** stochastic dynamic species distribution models proved to be useful when there was 47

48 lack of data on dispersal distances and population dynamics. Dispersal ability showed minor

49 effectiveness in preventing extinction, but greatly reduced the likelihood of critical range decline for a

- 50 significant percentage of species.
- 51 KEYWORDS: cellular automaton, dispersal kernel, dynamic species distribution models, global
- 52 warming, range shift

53 INTRODUCTION

54 The predicted global warming will probably exceed the migration rate of a great number of plant 55 species (Parmesan 2006), becoming an important driver of species range shift, habitat contraction, and potential extinction. Climate change effects have already been detected in plants inhabiting 56 57 Mediterranean habitats. For example, Pauli et al. (2012) detected changes in community composition of 58 high mountains during a large-scale monitoring program in Europe, with the Mediterranean mountain 59 ranges being among the most affected habitats. Upward treeline shift and species replacement in mid-mountain ranges have also been reported by Peñuelas and Boada (2003). Such effects are expected 60 to intensify in a future warmer climate, as predicted for the Mediterranean basin in the 21st century 61 62 (Giorgi and Lionello 2008), and therefore Mediterranean habitats are considered to be among the most threatened by climate change (Giorgi 2006). 63

In the context of rapid climate change, research to improve species range-shift forecasts and thereby 64 assist decision making is crucial in biodiversity conservation worldwide (Engler et al. 2009, Franklin 65 2010). Species Distribution Models (SDMs hereafter; Guisan & Zimmermann 2000; Guisan & Thuiller 66 2005) have been the tool of choice for range-shift modelling, but their limitations (see Pearson & 67 Dawson 2003) have led to the development of dynamic species distribution models (DSDM hereafter; 68 Franklin 2010; Thuiller et al. 2008; Morin & Lechowicz 2008), which are a mixture of SDMs with 69 70 other spatially explicit simulation methods such as cellular automaton (e.g. Iverson et al. 2004; Smolik 71 et al. 2010). To simulate range shifts with DSDMs requires an empirical or theoretical description on how species disperse across the landscape. It is relatively common to assume fixed dispersal distances 72 for each modelled species (as in Fitzpatrick et al., 2008, or Engler et al. 2009), despite that the 73 74 uncertainty about dispersal distances for any plant species is often very high (Higgins et al. 2003; Clark

75 et al. 2003). The most straightforward solution is the use of dispersal kernels, as suggested by Franklin (2010) and applied in Dullinger et al. (2012), but this approach is rarely used in range-shift simulation 76 because it is time consuming, and there is a lack of empirical data for the majority of plant species. 77 78 The objective of this paper is to design, develop, and analyse the results of an stochastic DSDM used to 79 simulate range shift under climate change of 176 plant species in a Mediterranean hotspot. To do so, we have gathered presence data and information on the dispersal traits of the target species. Our DSDM 80 combines species-distribution models projected over future climatic scenarios and a cellular automaton 81 82 to simulate the dispersal process. Our model relies on two stochastic components: 1) a pseudo-random 83 generator of dispersal distances based on plant-specific dispersal syndromes and realistic dispersal 84 kernels taken from the literature; 2) binomial trials, used to decide the success or failure of dispersal events. We used this approach in order to answer two questions: 1) What are the general 85 plant-extinction patterns we should expect in Mediterranean landscapes? 2) Does dispersal ability 86 87 prevent extinction under climate change?

88 METHODS

89 Study area

The study area is Andalusia, located in the southern Iberian Peninsula between 36° 00' N and 38° 35' N and 1° 35' W and 7° 35' W, comprising 87,597 km² (300 x 520 km; see Fig. 1). The area harbours about 4000 species of vascular plants and thus being a hotspot within the Mediterranean hotspot (Médail and Diadema 2009). We selected this study area because in the Mediterranean basin, climate warming is expected to be more severe than the global average (Giorgi 2006). Consequently, there is a need for a prognosis concerning the potential effects of climate warming over the Mediterranean flora. Andalusia, 96 being the southernmost position in Europe and having a complete set of high-resolution data available

97 for many plant species makes it a valuable case study.

98 Presence data, dispersal kernels, and environmental variables

To establish a presence dataset representative of Andalusian flora (see Fig. 1), we combined data from-99 100 different sources provided by the environmental administration of Andalusian Regional Government. Forest-species data were compiled from a land-cover map (scale 1:25000, year 2003) and vegetation 101 102 maps from protected natural areas (scale 1:10,000) whereas threatened-species data were gathered from 103 the Andalusian Programme for Endangered Flora (data taken with GPS precision). The dataset was 104 organized as GIS polygons delimiting the occupancy area of plant populations, and contained 105 presence-only data for 554 species. The presence polygons were converted into 50x50 m raster cells using the v.to.rast module of the GRASS GIS software (GRASS Development Team, 2008). Species 106 with fewer than 100 presence cells were excluded, leaving a total of 176 species. The final dataset 107 108 consisted of 48 endemic species in Andalusia, 20 in the Iberian Peninsula, 31 in Ibero-Africa, 18 in the western Mediterranean, and 59 in the Mediterranean (see Appendix). 109 110 For each species, we searched the literature for the dispersal kernel that best matched its dispersal 111 syndrome (see Table 1), and assigned a mean and a maximum dispersal distance according to the review of Vittoz and Engler (2007), specific papers on the biology of each species (see Appendix), and 112 expert knowledge when we could not find reliable data. We were unable to gather long-distance 113 114 dispersal (LDD) data for our target species, and therefore our simulation considers only short-distance

115 dispersal (SDD) events.

116 To compile a set of topographic variables relevant to plant distribution (Williams et al. 2012), we

117 resampled the ASTER GDEM (http://asterweb.jpl.nasa.gov) to a 50-m resolution in order to match the

118 spatial scale of the species-presence polygons. Observed climatic records (1971-2001) and downscaled future climate-change simulations —warming scenarios A2 and B2 generated with the model CGCM2 119 120 for the period 2010-2100 (IPCC 2007; Flato & Boer 2001; Brunet et al. 2007)— were drawn from the 121 National Meteorology Agency database (AEMET; URL: http://escenarios.inm.es). We selected these scenarios for comparison with other studies on Mediterranean plant extinction under climate change 122 (Benito et al. 2011; Benito-Garzón et al. 2011; de Dios et al. 2009; Benito-Garzón et al. 2008). 123 The climate data were aggregated yearly and seasonally by arithmetic average in 10-year time slices, 124 125 and we applied the climatic-mapping method proposed by Ninyerola et al. (2000) to interpolate the 126 future climatic maps. Finally, we used climatic (annual and summer rainfall, minimum and maximum winter temperatures, and maximum summer temperature) and topographic variables (terrain curvature, 127 128 topographic wetness index, topographic position, slope, and mean winter solar radiation) to calibrate 129 the models. The average correlation among the variables was 0.22 (Pearson's correlation index), with a 130 maximum of 0.86 between the minimum and maximum winter temperatures. We also represented land 131 uses unsuitable for natural plant populations from a land-use map, which was applied as a mask to 132 avoid migration over unsuitable land uses.

133 Stochastic Dynamic Species Distribution Model

134 Ensemble model forecasting

The choice of a specific SDM method constitutes the main source of uncertainty in range-shift simulations (Nenzén and Araújo 2011), but ensemble modelling approaches have been proposed as a robust solution to minimize this problem (Araújo and New 2007). Thus, considering that we had no true absences to calibrate our models, we selected five presence-only-based modelling algorithms (MaxEnt, GARP, Artificial Neural Networks, Support Vector Machines, and four implementations of ecological distances [similarity/dissimilarity metrics]: Euclidean, Mahalanobis, Manhattan, and
Chebyshev) to be ensembled by arithmetic average (Marmion et al. 2009). We assessed the ability of
each ensemble to discriminate between presences and absences via AUC (Fielding and Bell 1997, but
see Lobo et al. 2008) using k-fold cross-validation (5 groups). The SDMs were projected over the
future climatic variables for both climate-change scenarios to represent future habitat-suitability
change.

146 Simulating dispersal, colonization, and local extinction

147 We designed a generator of dispersal distances that, for each target species, produced 10,000 dispersal values (in multiples of 50, the spatial resolution of the simulation) following a pseudo-random 148 distribution based on the statistical distribution of the species' dispersal kernel (see Table 1). To 149 simulate colonization and local extinction, we applied the following rationale. Considering a given 150 species, its presence cells, and the habitat-suitability values (scaled to [0, 1]) of its current SDM, we 151 computed the cumulative density function which returns the probability of finding a presence record in 152 a cell with a given habitat-suitability value. Such probability values were used as inputs in binomial 153 trials to decide whether a target cell within the dispersal distance was colonized or not, and whether a 154 155 present cell became locally extinct or not when the habitat suitability changed. In a binomial trial, the probability value of a given cell was compared with a random number, taken from a random map 156 157 following a uniform distribution in the range [0, 1], which was held constant on each run of the simulation (see the next section for further details). If the probability value given by the density 158 function is lower than the random number, the state of the target cell changes to "absent". This "absent" 159 state has two different meanings depending on the context. In a cell within the dispersal range, it means 160 "no migration" while, in a cell with a "present" state before the dispersal event, it means "locally 161 extinct". This approach follows the hypothesis of species in equilibrium with climate (Araújo and 162

Pearson 2005), and allowed us to represent the persistence of the species in cells with low probabilityvalues but a lower random number (Hampe and Petit 2005).

The 10-year time step or our simulation implies a generation time of 10 years for each plant species in our dataset. We selected this interval length because we needed to maintain the computing requirements within affordable limits, and because we lacked data on generation times for most species in our dataset. Ten years seems to be a reasonable average generation time, considering that annual or biannual species are rare in our dataset (see Appendix).

170 Cellular automaton and simulation steps

We implemented the rationale explained above in a cellular automaton (Sarkar 2000). To explore different dispersal scenarios, for each species, we performed up to 900 realizations, being each realization one simulation run for a given species over the whole time series of SDMs and both climatic-change scenarios. Each realization was characterized by a dispersal distance given by the generator of dispersal distances and a map of random values to perform the binomial trials to decide whether a cell within the dispersal range was colonized or not. Each realization required the following set of steps, being the steps 2 and 3 repeated once for each time-slice until the year 2100:

A dispersal distance is selected and a random map is created to be used throughout the
 realization.

A buffer with a radius equal to the given dispersal distance is drawn around the cells stated as
 "present", and the state of all cells inside the buffer is immediately set to "present". At this step,
 we assumed that each species produced enough propagules to reach all the cells within the
 dispersal range.

184 3. A binomial trial is applied to all the "present" cells: if the value of the cell given by the density

function is lower than the random number given by the random map in that cell; otherwise the
state of the cell is changed to "absent" (which will mean "locally extinct" or "not colonized"
depending on the context).

The workflow to execute the simulation (see Fig. 2) was designed and executed in Kepler (Ludäscher
et al. 2006; URL <u>https://kepler-project.org/</u>), integrating the software packages MaxEnt (Phillips et al.
2006), OpenModeller (Muñoz et al. 2009), R (R Development Core Team 2009) and GRASS GIS
(GRASS Development Team 2010).

192 Simulation results

The simulation results were organized following two approaches at the same time: 1) The 193 194 "species-by-species" approach, which considered the whole set of realizations for each species at once. For each realization, we considered the species to be "extinct" when it reached a 0% of its initial 195 presence, and to undergo a "critical range decline" when it reached the 10% of its initial presence area 196 197 (Engler et al. 2009). We also computed the probability of critical range decline and extinction for each species by dividing the number of extinction or critical range decline by the total number of 198 realizations. 2) The "trajectories approach", which considered different "trajectories" of the system. A 199 trajectory is a random combination of 176 realizations, one per species. Every trajectory is an 200 alternative scenario in which the migration of each species has been simulated with a single dispersal 201 202 distance and a unique random map. We shuffled the realizations to create 10,000 different potential trajectories, which allowed us to explore the uncertainties emerging from the combination of different 203 parameters for each species. 204

205 Sensitivity Analysis

206 We assessed the different sources of uncertainty by performing a sensitivity analysis. To evaluate the 207 spread induced by the binomial trials in the simulation results, we performed a control simulation 208 without dispersal, and running 30 realizations for every species. As a measure of sensitivity to the binomial trials, we computed the arithmetic mean of the standard deviation of the percentage of initial 209 210 present cells occupied at 2100 across all species. We used the same measure of sensitivity to evaluate 211 how much spread induced variations in dispersal distances. Finally, to assess the influence of the 212 dispersal algorithm in the simulation results, we evaluated the overall differences in percentage of extinct and guasi-extinct species between the results of the 10,000 different potential trajectories 213 214 produced by the dispersal and the no-dispersal simulations.

215 **Potential extinction patterns**

216 Firstly, we analysed the 10,000 trajectories of the dispersal simulation, to evaluate the percentage of 217 species facing critical range decline and extinction in each scenario. Secondly, we applied 218 beta-regression (R library "betareg"; Cribari-Neto and Zeileis 2010) to assess the influence of the 219 climatic variables in the proportion of species facing critical range decline and extinction. To do so, we used the regional climatic averages for each time slice as independent variables, while the proportion of 220 species facing critical range decline and extinction were taken as dependent variables. Thirdly, we 221 222 applied conditional inference trees (R library "party"; Hothorn et al. 2006) to evaluate the influence of 223 different species-distribution characteristics and the mode of the dispersal distances applied across the simulation over the species' probabilities of critical range decline and extinction. The selected 224 225 species-distribution characteristics were: number of starting presence cells, number of presence patches (the actual number of polygons available in the presence dataset), mean patch size, mean and 226

range of elevation of the presence patches. To simplify the analysis we converted the probabilities of critical range decline and extinction into two categories: probabilities lower and higher than 0.5. We also tried to group the data by dispersal syndromes, but the number of cases was not high enough to build reliable conditional inference trees.

231 **RESULTS**

232 SDM evaluation and DSDM sensitivity analysis

The SDMs showed a moderate to high discrimination ability according to the AUC analysis (see 233 234 Appendix), with an average AUC of 0.849, a minimum of 0.655 (*Ulex eriocladus*) and a maximum of 0.973 (Festuca clementei). The binomial trials induced a mean standard deviation of 1.01% of the 235 initial presence cells across all species, time slices, and scenarios. As a consequence of the reduction of 236 suitable habitat through time, the standard deviation declined from 1.38% to 0.38% in the scenario B2, 237 and from 1.48% to 0.75% in the scenario A2. The mean standard deviation induced by the dispersal 238 algorithm was 205.53% of the initial presence cells across all species, time slices, and scenarios. The 239 temporal variation of the mean standard deviation ranged from 203.61% to 197.65% for the B2 240 scenario (with a peak reaching 231.20 in the year 2060) and from 136.23 to 49.96 for the A2 scenario 241 242 (with a peak reaching 373.19 in the year 2040).

The comparison between the 10,000 trajectories of the system for the dispersal and no-dispersal simulations showed minimal differences (1,01%) in the percentage of extinct species. The percentages of species facing a critical range decline differed noticeably between the dispersal and no-dispersal simulation: +19.31% for the A2 scenario and +11.93% for the B2 scenario (see Fig. 3 and Table 2).

247 Critical range decline and extinction

The 10,000 potential trajectories of the system generated, expressed as percentages of extinct and 248 quasi-extinct species, are summarized in the Fig. 3 and the Table 2. The beta regression analysis 249 250 showed that the better predictor or critical range decline and extinction was the mean summer 251 temperature. All models showed a good fit (see Fig. 4 and Table 3), except the one for extinction in 252 scenario B2. The critical range decline percentages showed a threshold at 33°C of mean summer 253 temperature, after which the slope of the extinction curve rose appreciably. The threshold in the extinction percentage was at 37°C, but the extinction rate increased more slowly than in the critical 254 255 range decline models.

The influence of the species-distribution characteristics on the probability of critical range decline and 256 extinction performed with conditional inference trees showed that the mean elevation of the 257 258 populations was directly related to higher extinction probabilities. In the A2 scenario, the group of 259 species most prone to extinction occupied an elevation mean above 1866 m and elevation range of less 260 than 1307 m (Fig. 5, Extinction A2, node 6). Another group with high extinction probabilities was that below 1866 m of mean elevation and with an elevation range less than 829 m (Fig. 5, Extinction A2, 261 262 node 3). Considering the B2 scenario, the mean elevation of the populations was also the most important variable to predict extinction, with a critical value at 2785 m (Fig. 5, Extinction B2, node 3). 263 In both scenarios, dispersal distances were not important for predicting the extinction probability. 264 The analysis of critical range decline shows that dispersal distance was the most important variable in 265 266 both scenarios. In the scenario A2, only some 20% of species with dispersal distances higher than 50 m presented critical range decline probabilities higher than 0.5, with that percentage diminishing to 8% in 267 scenario B2 (Fig. 6, critical range decline A2, node 7 and B2, node 3). In the B2 scenario, the 50% of 268 the species without dispersal had a critical range decline probability higher than 0.5. 269

270 **DISCUSSION**

In this paper, we describe an approach that combines an ensemble of SDMs to describe changes in habitat suitability, with a cellular automaton to simulate realistic dispersal and local extinction due to climate change. We used this approach to perform the first assessment of critical range decline and extinction for 176 Mediterranean plant species. Although the results of our simulation have some limitations, they may be a good starting point to select key species with higher extinction and critical range decline risk to be the focus of intensified research that would protect them from the risks associated with climate change.

278 Sensitivity Analysis

The variability in dispersal distances induced the highest amount of variability in the simulation outcomes, being around 200 times higher than that produced by the binomial trials, especially for species with larger dispersal distances and restricted known distributions but extensive suitable habitats. Such species showed the greatest spread potential during the simulation, being therefore extremely sensitive to variations in dispersal distances. However, the high sensitivity of such species to changes in dispersal distances was not enough to significantly change the percentage of extinct species between the dispersal and no-dispersal simulations.

When comparing the dispersal and no-dispersal simulations, we found that both diverged by 1% extinct species in the year 2100. This similarity between patterns appeared presumably because of the high proportion of species with short dispersal distances, which were not able to track their suitable habitat in the dispersal simulation. These species are expected to be the first to go extinct in the real world, because they are usually isolated in island-like habitats, lacking the potential to pursue their suitable habitat during a rapid climate change (Skov and Svenning 2004; Meier et al. 2012).

292 **Potential extinction patterns**

The percentages of locally extinct species that we found in both warming scenarios (see Table 2) 293 according to the dispersal simulation lie within the ranges reported by other authors for European 294 295 species, although there are some differences due to spatial and temporal resolution, study area, target species, and the approaches to account for dispersal. For example, Thuiller et al. (2005) working with 296 297 1350 species of plants in Europe at a resolution of 50x50 km up to the year 2080 found lower extinction values (2% in the worst scenarios), while assuming no migration and total migration. 298 299 Alkemade et al. (2011), simulating potential plant-diversity changes in Europe until 2100, found that 25% of the species in Southern Europe will disappear by 2100. In the work of Engler et al. (2009) the 300 extinction percentages when considering realistic dispersion (SDD) ranged between 4.52% in scenario 301 302 B2 and 13% in scenario A2. These authors also analysed the critical range decline process, finding 303 values of between 60% in A2 and 40% in B2. This inter-comparison is intended to point out that, despite differences in study areas, target species, climatic data or spatial resolutions, several studies are 304 305 converging to similar results that are in line with observed range shifts of plant populations and 306 community change, especially in mountain habitats (Peñuelas and Boada 2003; Pauli et al. 2012). 307 The comparison of the dispersal and no-dispersal simulation did not show remarkable differences in the percentage of extinct species, but when considering the critical range decline process (species 308 occupancy shrinking to 10% of its initial area), an important difference emerged. This result suggests 309 that the study area contains a pool of species which will go extinct, irrespective of the dispersal 310 311 assumptions made during the simulation. Such species have either poor dispersal ability or inhabit isolated spots without suitable habitats within the species' dispersal range. On the other hand, another 312 313 pool of species escaped critical range decline due to their good dispersal abilities, added to the 314 availability of suitable habitats within their dispersal distances.

315 The beta-regression analysis shows that the mean of the percentages for extinction and critical range decline are strongly correlated with the maximum summer temperature. We hypothesise that this robust 316 fit resulted from the inability of the migration process to compensate for the strong effect of the 317 318 suitability loss caused by the rise in summer temperature beyond a specific threshold. The secondary 319 drivers were probably the patchy distribution of the landscape, the presence of species with distributions restricted to island-like habitats in the species dataset, and the scarcity of species able to 320 321 disperse over long distances. In these circumstances, and without consideration of other processes in 322 the simulation, such as adaptation or persistence in micro-refuges, the changes in critical climatic drivers will be the most important variables controlling range decline and extinction rate. 323 Conditional inference trees offered an intuitive way of summarizing the simulation results, pointing out 324 the most important species-distribution characteristics and their relevant values to predict extinction 325 326 and critical range decline risk. Using this analysis, we found some species-distribution characteristics 327 that are plausible as indicators of critical range decline and potential extinction. The species-distribution characteristic most important in our study area was the mean elevation of the target 328 329 species' populations. The importance of this indicator in our simulation is consistent with the recent 330 findings of Pauli et al. (2012), who reported that 31% of endemic mountain plant species in Southern 331 Europe were not redetected from 2001 to 2008 during the GLORIA-Europe monitoring program.

332 Ecological interpretation

Under the scenario B2, 12 plant species (ten of these being endemic to Andalusia) showed an
extinction probability higher than 0.5. Of these species, three showed an extinction probability equal to
1: *Boreava aptera* (continental semi-arid habitats), *Anthyllis plumosa* (calcareous mountain habitats),
and *Viola crassiuscula* (high-mountain habitats of Sierra Nevada). The majority of species with

337 extinction probabilities between 1 and 0.5 were endemic of the high-mountain habitats of Sierra Nevada (Trisetum glaciale, Festuca pseudoeskia, Cytisus galianoi, and Thymus serpylloides), but there 338 were also representatives of temperate semi-arid annual grasslands (Astragalus edulis) and species 339 340 inhabiting dolomitic outcrops within calcareous mountains (Arenaria racemosa and Rothmaleria 341 granatensis). These species were characterized by having a small number of habitat patches within a restricted area (30 by 30 km maximum), and a limited area of suitable habitat around their current 342 populations. Under the A2 scenario, 27 species showed an extinction probability higher than 0.5. Four 343 344 of these species were endemic to Sierra Nevada (Arenaria pungens, Draba hispanica laderoi, Moehringia fontqueri, and Holcus caespitosus), and three inhabit calcareous mountain habitats 345 346 (Halimium atriplicifolium, Helictotrichon filifolium cazorlense, and Juniperus sabina). Considering these data, and the results of the conditional inference trees, it is clear that the endemic species of the 347 348 high-mountain habitats of Sierra Nevada are among the most threatened taxa of the Andalusian flora, 349 because such species cannot migrate, due to the lack of suitable habitat in the vicinity of their current 350 distribution (Benito et al. 2011).

351 In our simulation, there were species representing the semi-arid habitats of eastern Andalusia. In this group the probabilities of extinction were low, except for Astragalus edulis (0.90 and 0.93 extinction 352 353 probability in A2 and B2 scenarios, respectively) and *Boreava aptera* (extinction probability equal to 1.0 in both scenarios). Regarding the probabilities of critical range decline, ten species showed high 354 probabilities of critical range decline, especially for the A2 scenario (Anthyllis cytisoides, Artemisia 355 barrelieri, Lygeum spartum, Stipa tenacissima, Salsola genistoides, Teucrium charidemi, Thymus 356 hyemalis, Vella pseudocytisus pseudocytisus, and Linaria nigricans). The results for species of 357 semi-arid habitats are far more conservative than those for the species of high-mountain habitats, and 358 this is a result of the spatial configuration of the semi-arid habitats (i.e. ample sedimentary plains 359

without major dispersal barriers) combined with wind-dispersal modes (trichometeorochory and
 pterometeorochory for the majority of such species), that allows such species to avoid extinction, but
 not critical range decline.

363 Finally, considering *Quercus* and *Pinus* species, the tree taxa most representative of the Andalusian Mediterranean ecosystems, our simulation did not predict extinctions or critical range decline, except 364 for the endemic *Pinus sylvestris nevadensis* (A2 probability of extinction = 1.0; B2 = 0.6). Matias et al. 365 (2012) evaluated the specific drought resistance under different habitats for several species from 366 different successional strategies and found that the seedlings of *P. sylvestris nevadensis* were extremely 367 sensitive to drought, an ecological process that is expected to increase under climate warming 368 (Houghton et al. 2001). In the same experiment the authors found that *Quercus ilex* (zero probability of 369 extinction or critical range decline), the most important tree species in Andalusia in terms of its 370 371 ecological role, abundance and area occupied, was the species least sensitive to drought, together with 372 *Cytisus scoparius*, which, according our simulation, faces a risk of a critical range decline under the A2 373 scenario (probability = 0.83).

374 The lack of ecological data for range-shift simulation

Certain progress has been made in the research on range-shift simulations, but there is still a long way 375 376 to go in order to apply simulation results to decision making in the real world. We are far from predicting the actual responses of plant distributions to climate change, especially in fragmented, 377 378 heterogeneous, and diverse landscapes. With the aim of contributing to the required improvements in 379 range-shift simulation, in this study we propose a stochastic DSDM approach that is useful for range-shift simulation in situations where dispersal data are lacking. Nevertheless, our approach is only 380 a small step in the refinement of range-shift simulations, and further research is needed on the 381 simulation of ecological processes occurring in the leading and receding edges of the populations. 382

383 Migration is methodologically easy to simulate, but extensive gaps persist on how the process occurs, its drivers, and relevant thresholds for each species. The receding edge deserves the same attention as, 384 does the leading edge or even more, (Hampe and Petit 2005) because if current climate change 385 386 continues as expected, extensive recession will result along the edges of our forested landscapes (Jump 387 et al. 2009). Fortunately, there is an increasing body of work on ecological mechanisms and the climatic drivers acting there. For example, del Cacho et al. (2012) recently found a reduced seed-bank 388 density and a decreased germination potential under drought and warming treatments for short-lived 389 plant species in a Mediterranean shrubland, which could eventually lead to local extinctions. Jump et 390 391 al. (2009) pointed out that the massive forest dieback observed around the world is related to climate 392 change, and might lead to a regional collapse of tree populations along the receding edges, and Jump et al. (2006) found that population declines on the receding edge of Fagus sylvatica populations in 393 394 Catalonia (north-eastern Spain) strongly correlated with climate warming. Unfortunately, such 395 processes are not explicitly considered in the majority of the current range-shift simulations (including 396 ours, but see Meier et al. 2012). To perform better simulations the research community needs more data 397 on the tolerance of species to changing climatic conditions, on the temperature thresholds that limit 398 seed production and germination, and on the competition dynamics that emerge in the areas where 399 receding and trailing edges of different species overlap. The progressive improvement of methods to 400 simulate range shift under climate change must rely on this biological and ecological knowledge. In 401 this context, stochastig DSDMs constitute a valid choice, but there is an urgent need for ecological data 402 to improve simulation reliability.

403 ACKNOWLEDGEMENTS

404 Funding for B.M.B. was from the 'Consejería de Economía, Innovación y Ciencia, Junta de Andalucía'

project RNM-6734 (MIGRAME). We thanks D. Nogués-Bravo, R. Zamora, F.J. Bonet, M. Ballesteros,
and E. Cañadas, for helpful suggestions, which substantially improved the manuscript. AEMET
(Gobierno de Span) and CMA (Junta de Andalucía) provided climatic and species presence data. We
are also indebted to David Nesbitt for the English revision.

409 Appendix

- 410 Additional Supporting Information may be found in the online version of this article:
- 411 Appendix: It contains a table with each species' name, life form, dispersal syndrome,
- 412 species-distribution characteristics, and probability of extinction in each simulation.

413 **BIOSKETCH**

- 414 Blas M. Benito is a PhD in biology and MD in GIS, highly interested in macroecology, biogeography,
- 415 and the modelling of past, present and future ecological dynamics. Currently he is focused on the
- 416 development of Dynamic Species Distribution Models to simulate range shift under environmental
- 417 change considering realistic dispersal and species interactions.
- Author contributions: BMB, JL, JP and LGA conceived the ideas and collected the data; BMB and
 RPP coded the software to run the simulation; BMB analysed the data; BMB, JL and LGA led the
 writing.

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TABLES

Table 1: Dispersal syndromes, distances, and dispersal kernels. In the first column the dispersal types

according Vittoz and Engler (2007) as reference. References for dispersal kernels: ¹ Higgins and
Richardson (1999); ² Clark et al. (2005); ³ Spiegel and Nathan (2007); ⁴ Vellend et al. (2003); ⁵ Bullock

564 Richardson (1999); ² Clark et al. (2005); ³ Spiegel and Nathan (2007); ⁴ Vellend et al. (2003); ⁵ Bullock
565 et al. (2011).

Vittoz 2007	Group	Dispersal syndrome	D. average (m.)	D. maximu m (m.)	Distribution of the dispersal kernel	Numbe r of species
1,2,3	a	barochory myrmecochory pterometeorochory-herbs	1	5	not applicable	101
4	b	pterometeorochory-trees	50	150	weibull ¹	11
5	c	trichometeorochory	100	500	Gaussian ²	19
6	d	ornithochory	400	1500	inverse power ² weibull ³	37
6	e	endozoochory-mammals	400	1500	log-normal ⁴	3
6	f	epizoochory-mammals	400	1500	power exponential 5	5

567 **Table 2:** Simulated percentages of extinct and quasi-extinct species for the year 2100 for each climate

568 change scenario and simulation. Abbreviations: ext. - extinction; crd. - critical range decline; disp. -

	A2 ext. disp.	B2 ext. disp.	A2 ext. no-disp.	B2 ext. no-disp.	A2 crd. disp.	B2 crd. disp.	A2 crd. no-disp.	B2 crd. no-disp.
max	19.32	9.66	20.45	10.23	58.52	39.77	75	50
3rd quart	15.91	7.39	17.05	7.52	52.27	34.66	71.59	46.59
median	15.34	6.82	16.48	7.39	51.14	33.52	70.45	45.45
1st quart	14.77	6.25	15.91	6.82	50	32.95	69.89	44.89
min	11.93	3.41	13.64	4.55	44.89	28.41	65.34	40.91

569 dispersal simulation; no-disp. - no dispersal simulation (control).

571 Table 3: Beta-regression model parameters. The parameters correspond to the beta-regression
572 equations formulated on fitting the percentage of extinct and quasi-extinct species against mean
573 summer temperature for two climate-change scenarios (A2 and B2). Abbreviations: ext. - extinction;
574 crd. - critical range decline.

	ext A2	ext B2	crd A2	crd B2
Coefficient	0.22	0.24	0.31	0.48
R2	0.94	0.91	0.88	0.93
AIC	-95.01	-86.57	-46.24	-54.23

576 FIGURE LEGENDS

Fig. 1: Situation of the study area in Southern Europe and representation of the presence data for 176
species in Andalusia (Spain) aggregated as number of species per cell. The projection employed is
UTM with datum ED50.

Fig. 2: Flowchart representing the summary of the steps followed to perform the simulation and
analyse its results. The grey boxes represent input data. The rectangular boxes represent methods:
modelling, simulation, and analysis.

583 Fig. 3: Percentages of extinct species and species with critical range decline for the dispersal and

584 no-dispersal simulations on each climate-warming scenario. Each line —there are 10,000 in each

585 scenario— represents a random combination of 176 realizations, one per species. These plots

586 distinguish between trajectories simulated with higher dispersal distances (lines with lower percentages

587 of extinct or quasi-extinct species) with trajectories based on shorter dispersal distances (lines with

588 higher extinction percentages). The density plots represent the distribution of values for each

589 climate-change scenario in the year 2100.

590 Fig. 4: Fit of the beta regression models applied to predict the proportion of species facing critical

⁵⁹¹ range decline and extinction as a function of the mean summer temperature. The dashed line represents

a model with a poor fit.

593 Fig. 5: Conditional inference trees representing the species-distribution characteristics influencing

594 critical range decline and extinction for each climate-change scenario according to the dispersal

- simulation. Node 6 in the left tree and node 3 in the right tree represent the combination of
- 596 species-distribution characteristics leading to higher extinction probability. Abbreviations: elev_mean

and elev_range - mean and range of the elevation of the populations; d_mode - statistical model of the
dispersal distances applied across the simulation for each species.

599 Fig. 6: Conditional inference trees representing the species-distribution characteristics influencing

600 critical range decline for each climate-change scenario according to the dispersal simulation. Nodes 4

- and 6 in the left tree and node 2 in the right tree represent the combination of species-distribution
- 602 characteristics with higher quasi-extinction probability. Abbreviations: elev_mean and elev_range -
- 603 mean and range of the elevation of the populations; d_mode statistical model of the dispersal
- 604 distances applied across the simulation for each species.











Figure 4



Max. summer temperature (°C)



