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# RNAi-mediated serotonin transporter suppression rapidly increases serotonergic neurotransmission and hippocampal neurogenesis

A Ferrés-Coy<sup>1,2</sup>, F Pilar-Cuellar<sup>2,3</sup>, R Vidal<sup>2,3</sup>, V Paz<sup>1,2</sup>, M Masana<sup>1,2,7</sup>, R Cortés<sup>1,4</sup>, MC Carmona<sup>5</sup>, L Campa<sup>1,2</sup>, Á Pazos<sup>2,3</sup>, A Montefeltro<sup>5</sup>, EM Valdizán<sup>2,3</sup>, F Artigas<sup>1,2</sup> and A Bortolozzi<sup>1,2,6</sup>

Current antidepressants, which inhibit the serotonin transporter (SERT), display limited efficacy and slow onset of action. Here, we show that partial reduction of SERT expression by small interference RNA (SERT-siRNA) decreased immobility in the tail suspension test, displaying an antidepressant potential. Moreover, short-term SERT-siRNA treatment modified mouse brain variables considered to be key markers of antidepressant action: reduced expression and function of 5-HT<sub>1A</sub>-autoreceptors, elevated extracellular serotonin in forebrain and increased neurogenesis and expression of plasticity-related genes (*BDNF, VEGF, Arc*) in hippocampus. Remarkably, these effects occurred much earlier and were of greater magnitude than those evoked by long-term fluoxetine treatment. These findings highlight the critical role of SERT in serotonergic function and show that the reduction of SERT expression regulates serotonergic neurotransmission more potently than pharmacological blockade of SERT. The use of siRNA-targeting genes in serotonin neurons (SERT, 5-HT<sub>1A</sub>-autoreceptor) may be a novel therapeutic strategy to develop fast-acting antidepressants.

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#### Introduction

Despite the high prevalence of depression and its considerable socioeconomic impact, its pathophysiological mechanisms remain poorly understood.<sup>1–3</sup> Serotonin (5-HT) participates in the etiology and treatment of major depression, being the selective serotonin reuptake inhibitors (SSRIs), the most common antidepressant therapy. However, SSRIs need to be administered for long time periods before clinical improvement emerges, and fully remit depressive symptoms in only one third of patients.<sup>4–6</sup>

The serotonin transporter (SERT) is a key regulator of serotonergic neurotransmission, as it controls the active fraction of 5-HT. In the brain, SERT is localized to raphe 5-HT neurons at somatodendritic and terminal levels.<sup>7–9</sup> SERT blockade by SSRIs (showing nM affinity for SERT)<sup>10</sup> initiates the chain of events leading eventually to clinical improvement. Long-term SSRI treatment promotes an internalization of SERT from the cell surface in 5-HT neurons without affecting SERT mRNA expression.<sup>11–15</sup> In addition to loss of 5-HT<sub>1A</sub>-autoreceptor function,<sup>16–18</sup> SERT down-regulation may enhance forebrain 5-HT neurotransmission contributing to the therapeutic action of SSRIs.<sup>19,20</sup>

Polymorphic variations in SERT transcriptional regionleading to reduced SERT expression and function-are involved in multiple neuropsychiatric disorders, including major depression,<sup>21–25</sup> and in the clinical response to SSRI.<sup>26,27</sup> SERT-knockout mice were generated to gain further insight into the role of SERT in the pathophysiology and treatment of depression.<sup>28,29</sup> However, these mice showed depression-related behaviors attributable to the developmental changes that result from SERT early-life absence and the perturbed 5-HT function.<sup>30–32</sup> Thus, while SERT-knockout mice represent a useful model to investigate disorders involving genetic alterations in SERT during early life, they are less appropriate to study downstream changes of 5-HT transmission secondary to SERT blockade in adulthood.

RNA interference (RNAi) has a critical role in regulating gene expression.<sup>33,34</sup> It also provides new alternatives to pharmacological treatments to modulate brain neurotransmission through the use of exogenous small interference RNA (siRNA). Recent studies have shown the feasibility to silence the expression of critical genes in 5-HT neurons, such as SERT and the 5-HT<sub>1A</sub>-autoreceptor.<sup>18,35</sup> Given the above limitations of SERT-knockout mice, here we evaluated the specificity and selectivity of partial SERT suppression in adult mice, following short-term SERT-siRNA treatment. We examined downstream changes on brain variables linked to antidepressant efficacy and compared SERT-siRNA effects with those of a standard SSRI (fluoxetine) treatment. The results indicate that the post-transcriptional regulation of

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<sup>&</sup>lt;sup>1</sup>Department of Neurochemistry and Neuropharmacology, IIBB-CSIC, IDIBAPS, Barcelona, Spain; <sup>2</sup>Centro de Investigación Biomédica en Red de Salud Mental (CIBERSAM), ISCIII, Madrid, Spain; <sup>3</sup>Institute of Biomedicine and Biotechnology of Cantabria (IBBTEC; UC-CISC-SODERCAN), Santander, Spain; <sup>4</sup>Centro de Investigación Biomédica en Red de Enfermedades Neurodegenerativas (CIBERNED), ISCIII, Madrid, Spain; <sup>5</sup>nLife Therapeutics, S.L., Granada, Spain and <sup>6</sup>Institut d'Investigacions Biomèdiques August Pi i Sunyer (IDIBAPS), Barcelona, Spain

Correspondence: Dr A Bortolozzi, Deparment of Neurochemistry and Neuropharmacology, IIBB-CSIC-IDIBAPS, Barcelona 08036, Spain.

E-mail: abbnqi@iibb.csic.es

<sup>&</sup>lt;sup>7</sup>Current address: Max Planck Institute of Psychiatry, Munich D-80804, Germany

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2

SERT may constitute a target for fast-acting antidepressants, thus bringing RNAi closer to the clinic as a potential therapy for major depression.

#### Materials and methods

Animals. Male C57BL/6J mice (10–14 weeks; Charles River, Lyon, France) were housed under controlled conditions ( $22 \pm 1$  °C; 12 h light/dark cycle) with food and water available *ad libitum*. Animal procedures were conducted in accordance with standard ethical guidelines (EU regulations L35/118/12/1986) and approved by the local ethical committee.

**Drug/siRNA treatments.** SERT-targeting siRNA (nt:1230–1250, GenBank accession NM\_010484) and an unrelated siRNA with no homology to mouse genome (nonsense siRNA, ns-siRNA) were used (Life Technology, Madrid, Spain). The siRNA sequences are shown in Supplementary Table S1.

Mice were anesthetized (pentobarbital,  $40 \text{ mg kg}^{-1}$ , intraperitoneally, i.p.) and silica capillary microcannula (110 µmouter diameter (OD), 40 µm-inner diameter (ID); Polymicro Technologies, Madrid, Spain) were stereotaxically implanted into the dorsal raphe nucleus (DR; coordinates in mm: anteroposterior, -4.5; mediolateral, -1.0; dorsoventral, -4.4; with a lateral angle of 20°).<sup>36</sup> Local siRNA microinfusion was performed with a perfusion pump at  $0.5 \,\mu$ l min<sup>-1</sup> 24 h after surgery in awake mice as described previously.<sup>18</sup> siRNAs were prepared in artificial cerebrospinal fluid (125 mM NaCl. 2.5 mM KCl, 1.26 mM CaCl<sub>2</sub> and 1.18 mM MgCl<sub>2</sub> with 5% glucose) and infused intra-DR once daily at the dose of  $10 \,\mu g \,\mu l^{-1}$  (0.7 nmol per dose). Mice received two dose in 2 consecutive days, or four dose in 5 days (days 1, 2, 4 and 5), and were killed on 3rd or 6th day, respectively. An additional group of mice received seven dose in 9 days (days 1, 2, 3, 5, 6, 8 and 9) and was killed on 10th-day. In the last group, only in situ hybridization and autoradiography studies were performed (see below). Control mice received artificial cerebrospinal fluid.

Fluoxetine (Tocris, Madrid, Spain) was prepared in saline and was administered once daily at  $20 \text{ mg kg}^{-1}$  dose, i.p. for 4, 7 or 15 days. Mice were killed on 5th, 8th or 16th day, respectively. Control mice received saline.

#### In situ hybridization and autoradiographic studies

Tissue preparation. Mice were killed by pentobarbital overdose and brains rapidly removed, frozen on dry ice and stored at  $-80\,^\circ\text{C}$ . Tissue sections (14  $\mu\text{m}$  thick-coronal) were cut using a microtome-cryostat (HM500-OM, Microm, Walldorf, Germany), thaw-mounted onto 3-aminopropyl-triethoxysilane (Sigma-Aldrich, Madrid, Spain)-coated slides and kept at  $-20\,^\circ\text{C}$  until use.

*In situ hybridization.* Antisense oligoprobes [<sup>33</sup>P]-labeling and *in situ* hybridization procedures were carried out as described previously.<sup>18</sup> Mouse SERT, 5-HT<sub>1A</sub>R, tryptophan hydroxylase 2, Ki-67, brain-derived neurotrophic factor (BDNF), vascular endothelial growth factor (VEGF), activity-regulated cytoskeletal protein (Arc), and cAMP response element binding protein (CREB) probes were synthesized by IBA-GmbH (Göttingen, Germany). Details can be found in the Supplementary Information.

Receptor autoradiography. The autoradiographic binding assays for 5-HT<sub>1A</sub>R, SERT and norepinephrine transporter were performed using the following radioligands: (a) [<sup>3</sup>H]-8-OH-DPAT (233 Ci mmol<sup>-1</sup>), (b) [<sup>3</sup>H]-citalopram (70 Ci mmol<sup>-1</sup>) and (c) [<sup>3</sup>H]-nisoxetine (85 Ci mmol<sup>-1</sup>), respectively (Perkin-Elmer, Madrid, Spain).<sup>18,37</sup> Experimental conditions are summarized in Supplementary Table S2.

**5-HT<sub>1A</sub>R-stimulated** [<sup>35</sup>**S**]**GTP** $\gamma$ **S autoradiography.** Labeling of DR sections with [<sup>35</sup>S]GTP $\gamma$ S was performed as described previously.<sup>38</sup> Autoradiograms were analyzed and the relative optical densities (ROD) were obtained using a computer-assisted image analyzer (AIS, Imaging Research, St Catherines, Ontario, Canada). Details are shown in Supplementary information.

**5-Bromo-2'-deoxyuridine** administration. 5-Bromo-2'-deoxyuridine was purchased from Sigma-Aldrich (Madrid, Spain) and dissolved in saline solution. Mice were injected with  $4 \times 75 \text{ mg kg}^{-1}$  5-Bromo-2'-deoxyuridine every 2 h, i.p., the last day of antidepressant treatments. Mice were killed 24 h later.

**Immunohistochemistry.** Animals were deeply anesthetized with pentobarbital and transcardially perfused with 4% paraformaldehyde in sodium-phosphate buffer (pH = 7.4). Brains were collected, post-fixed 24 h at 4 °C in the same solution, and then placed in gradient sucrose or phosphatebuffered saline 10–30% for 2 days at 4 °C. After

**Figure 1** Serotonin transporter-small interference RNA (SERT-siRNA) treatment, but not fluoxetine (FLX), downregulates SERT mRNA and protein levels. Mice were infused with two-, four- or seven-dose SERT- or nonsense siRNA (ns-siRNA) (10  $\mu$ g–0.7 nmol per day) or vehicle into the dorsal raphe nucleus (DR). Other groups of mice were treated with 4, 7 or 15-day FLX (20 mg kg<sup>-1</sup> per day, intraperitoneally) or saline. (a) Representative coronal brain sections showing reduced SERT expression in the DR of mice treated with SERT-siRNA (four dose), but not with FLX, as assessed by *in situ* hybridization. Scale bar = 500  $\mu$ m. (b) Bar graphs showing no differences in SERT mRNA level in DR and median raphe nucleus (MnR) of FLX-treated mice. However, SERT-siRNA at different doses significantly reduced SERT mRNA level exclusively in DR (n=5–10 mice per group). Two-way analysis of variance revealed a significant effect of group ( $F_{2,33}$  = 59.32, P < 0.001). (c) Representative images of SERT-immunoreactive (SERT-ir) axons in the hippocampal CA1 region. Short-term SERT-siRNA treatment (four dose) significantly decreased the target SERT protein density as compared with vehicle and ns-siRNA-treated mice. In contrast, FLX treatment did not alter SERT-ir axon density. Box insets represent regions of high-magnification photomicrographs. Scale bars: lower magnification = 100  $\mu$ m and high magnification = 20  $\mu$ m. (d) SERT-ir fibre density in different hippocampal subfields including CA1, CA2, CA3 and dentate gyrus (DG) was measured and expressed as the percentage of the density in the respective vehicle-treated mice (n = 4-6 mice per group). Two-way ANOVA showed an effect of group ( $F_{2,11}$  = 11.16, P < 0.01). (e) Local citalopram (SSRI) infusion by reverse dialysis induced a concentration-dependent increase of extracellular 5-HT in caudate putamen (CPu) of vehicle-treated mice (n = 7). However, this effect was lesser marked in SERT-siRNA-treated mice (n = 9). Two-way ANOVA showed an effect of group ( $F_{1,14}$ 



<sup>←</sup> 

cryopreservation, 30-μm-thick free-floating coronal brain sections were processed for immunohistochemical visualization of SERT, BdrU, Ki-67, NeuroD, NeuN, Doublecortin (DCX), GFAP and IBA-1 by using the biotin-labeled antibody procedure. Details are shown in Supplementary Information.

**Intracerebral microdialysis.** Extracellular serotonin concentration was measured by *in vivo* microdialysis.<sup>18,39</sup> Details are shown in the Supplementary Information.

**Tail suspension test.** Mice were moved from the housing room to the testing area in their home cages and allowed to adapt to the new environment for at least 1 h before testing. Mice were suspended 30 cm above the bench by adhesive tape placed  $\sim$  1 cm from the tip of the tail. The total duration of immobility during a 6 min test was measured.

**Statistical analysis.** Data are expressed as means  $\pm$  s.e.m. Data were analyzed with Student's *t*-test, one- two- or three-way analysis of variance as appropriate followed by *post-hoc* test (Newman-Keuls). The level of significance was set at P < 0.05.

### Results

*In vivo* characterization of SERT knockdown in mice. We first examined the feasibility to acutely suppress in vivo SERT expression in raphe 5-HT neurons using a SERT-siRNA. Mice were locally infused with: (a) vehicle, (b) ns-siRNA or (c) SERT-siRNA ( $10 \mu g$  per dose) into DR for 2 consecutive days using a protocol similar to that inducing 5-HT<sub>1A</sub>-autoreceptor knockdown.<sup>18,40</sup> Histological analysis revealed a significant decrease of SERT expression in DR—but not in median raphe nucleus (MnR)—of SERT-siRNA-treated mice (SERT mRNA and binding levels were  $63 \pm 4\%$  and  $70 \pm 8\%$ , respectively versus vehicle and ns-siRNA-treated mice). Neither treatment altered the raphe expression of norepinephrine transporter, 5-HT<sub>1A</sub>R and tryptophan hydroxylase 2 (Supplementary Figure S1a–d). Next, we evaluated the potential neurotoxic effects of SERT-siRNA infusion by immunohistochemical staining for NeuN, GFAP and IBA-1 (neuronal, astrocyte and microglial markers, respectively). Compared with control groups (ns-siRNA and vehicle), SERT-siRNA-treated mice showed no loss of NeuN-positive neurons in DR. In addition, a mild increase in DR GFAP was noted in all experimental groups, likely due to the reactive astrogliosis secondary to the microcannula implant. Likewise, IBA-1-stained sections in each group showed no increase in activated microglia, except for within the injection tracts (Supplementary Figure S2a–c).

The functional impact of siRNA-induced acute SERT reduction was assessed by in vivo microdialysis in caudate putamen (CPu), a forebrain area exclusively innervated by DR 5-HT fibers.<sup>41</sup> Local 50 μM veratridine application produced a similar increase of extracellular 5-HT in CPu of all groups, indicating that SERT knockdown did not alter the impulsedependent 5-HT release. However, 1 µM citalopram (SSRI) infusion by reverse dialysis increased 5-HT concentration in CPu of vehicle (170 ± 27% of baseline) and ns-siRNA-treated mice (180  $\pm$  15%), but not of SERT-siRNA-treated mice  $(83 \pm 8\%)$ , reflecting a decreased SERT expression/function in the latter group (Supplementary Figure S3a). In addition, fluoxetine administration (SSRI; 20 mg kg<sup>-1</sup>, i.p.) enhanced extracellular 5-HT in CPu of vehicle-treated mice  $(8.0 \pm 1.0 \text{ fmol per fraction})$ , reaching the basal values in SERT-siRNA-treated mice  $(10.0 \pm 0.6 \text{ fmol} \text{ per fraction})$ (Supplementary Figure S3b). Next, the efficacy of SERTsiRNA infusion was tested in the tail suspension test, a highly reliable predictor of clinical antidepressant potential.<sup>42,43</sup> Mice treated with SERT-siRNA showed a significant reduction of the immobility time compared with control groups (Supplementary Figure S3c).

Different regulation of SERT mRNA and protein levels after SERT-siRNA or fluoxetine treatment. Following verification the effectiveness of SERT suppression by acute SERT-siRNA, we evaluated the effect on SERT regulation after short-term SERT-siRNA administration and its time course. Mice were infused intra-DR with four- or seven-dose

Figure 2 (a-f) Short-term serotonin transporter-small interference RNA (SERT-siRNA) treatment, but not fluoxetine (FLX), reduces 5-HT<sub>1A</sub>-autoreceptor expression and function. Mice were infused with four or seven dose SERT- or nonsense siRNA (ns-siRNA; 10 µg per day) or vehicle into dorsal raphe nucleus (DR). Other groups of mice were treated with 4, 7 or 15-day FLX (20 mg kg<sup>-1</sup> per day, intraperitoneally (i.p.) or saline. (a) Representative coronal midbrain sections showing [<sup>3</sup>H]-8-OH-DPAT binding to 5-HT<sub>1A</sub>R in DR. The arrow indicates the decreased DR 5-HT<sub>1A</sub>R density in SERT-siRNA-treated mice (four dose). Scale bar = 2 mm. (b) Bar graphs showing no differences in DR 5-HT<sub>1A</sub>R mRNA levels of vehicleand FLX-treated mice. However, SERT-siRNA significantly reduced 5-HT<sub>1A</sub>R mRNA level in DR compared with vehicle and ns-siRNA groups (n = 3-5 mice per group). Two-way analysis of variance (ANOVA) revealed an effect of group (F<sub>2,15</sub>=22.59, P<0.001). (c) Quantitative [<sup>3</sup>H]-8-OH-DPAT binding showed a decreased DR 5-HT<sub>1A</sub>R density after SERT-siRNA treatment, but not with FLX (n = 4-7). Two-way ANOVA showed a significant effect of group (F2.15 = 20.69, P < 0.001). (d) Autoradiograms of coronal midbrain sections of mice showing 5-HT<sub>1A</sub>R 8-OH-DPAT agonist-stimulated [<sup>35</sup>S]GTP<sub>Y</sub>S binding. Scale bar = 500 µm. (e) FLX induced a decreased DR 8-OH-DPAT-stimulated [<sup>35</sup>S]GTP<sub>Y</sub>S binding from days 7 to 15 of treatment (n = 5-8). Two-way ANOVA showed an effect of group (F<sub>3,25</sub> = 11.40, P < 0.001). However, SERT-siRNA produced a fast 5-HT<sub>1A</sub>-autoreceptor desensitization detected after four dose treatment (n = 4-8). Two-way ANOVA revealed an effect of group ( $F_{2,31} = 10.47$ , P < 0.001). (f) The 5-HT<sub>1A</sub>R agonist 8-OH-DPAT (0.5 mg kg<sup>-1</sup>, i.p.) decreased extracellular 5-HT concentration in ventral hippocampus (HPC) of saline- and FLX-treated mice (4-day), but not after 15-day FLX treatment (n = 5-9). Two-way ANOVA showed an effect of group (F2,18 = 41.32, P < 0.0001), time (F10,180 = 13.96, P < 0.001) and interaction (F20,180 = 4.41, P < 0.001). Similarly, 8-OH-DPAT had no effect on ventral HPC 5-HT release in SERTsiRNA-treated mice (four-dose), unlike to control groups (n = 4). Two-way ANOVA showed an effect of group (F<sub>2.8</sub> = 23.13, P<0.0001), time (F<sub>10.80</sub> = 6.38, P<0.001) and interaction (F20.80 = 4.41, P<0.001). (g-h) Time course of the increase in extracellular 5-HT levels in forebrain. Mice received an intra-DR infusion of two or four dose siRNA or vehicle. Microdialysis experiments were performed on the 3rd or 6th day, respectively. In addition, groups of mice were treated with FLX (20 mg kg<sup>-1</sup> per day, i.p.) or saline and microdialysis experiments were performed 24 h after the last administration on 2nd, 3rd, 4th, 5th, 7th, 8th and 16th day. (g) FLX treatment significantly increased extracellular 5-HT levels in caudate putamen (CPu) from six dose onwards, compared with saline-treated mice (F13.80 = 7.43, P < 0.0001; n = 7-10 mice per group). In contrast, SERT-siRNA-treated mice displayed a larger and faster increase in CPu 5-HT levels, which was significantly different from control already after two-dose treatment ( $F_{5,60} = 32.52$ , P < 0.0001; n = 7-12 mice per group). (h) Long-term FLX treatment (15 dose) also increased 5-HT levels in ventral HPC compared with saline-treated mice (F320 = 39.93, P<0.0001; n = 4-9). SERT-siRNA-treated mice (four dose) also showed a rapid and significant increase of HPC 5-HT levels relative to vehicle and ns-siRNA-treated mice (F2.18 = 14.51, P<0.001; n=4-9 mice). ^^P<0.01, ^^^P<0.001 versus saline-treated mice; \*P<0.05, \*\*P<0.01, \*\*\*P<0.001 versus vehicle and ns-siRNA-treated mice. Values are mean ± s.e.m.

SERT- or ns-siRNA (10  $\mu$ g per dose) or vehicle during 5 and 9 days, respectively. The effects on SERT expression were compared with those in mice treated with fluoxetine (20 mg kg<sup>-1</sup> per day, i.p.) or saline for 4, 7 or 15 days.

Short-term SERT-siRNA infusion evoked a regionally selective (only in DR) and dose-dependent reduction of SERT mRNA expression. This reduction was not observed in control mice (vehicle and ns-siRNA) nor in any of the fluoxetine-treated groups (Figures 1a and b). As SERT is localized in the somatodendritic and terminal regions of raphe 5-HT neurons,<sup>7</sup> [<sup>3</sup>H]-citalopram autoradiography was performed to quantify SERT-binding sites in different brain regions along the rostro-caudal axis. Repeated SERT-siRNA

infusion, but not ns-siRNA, significantly reduced SERT binding by  $\sim 30\%$ -40% in most brain regions analyzed. Differences in the extent of the reduction likely reflect the DR/MnR origin of serotonergic fibers in each region (Supplementary Figure S4).

In contrast, a specific [ ${}^{3}$ H]-citalopram signal was undetectable in fluoxetine-treated mice (4, 7 or 15 days), likely owing to the long half-life of fluoxetine. Additional SERT autoradiography binding was performed in fluoxetine-treated mice (4 or 7-day) and subjected to a 48–96 h washout before killed to reduce fluoxetine serum concentrations.<sup>13,44</sup> Even in these conditions, a specific [ ${}^{3}$ H]-citalopram binding was not observed (data not shown).



Next, we examined the density of SERT-containing fibers in several brain areas, including different hippocampus (HPC) subfields (Figure 1c and Supplementary Figure S5) where neurogenesis was evaluated (see below). Short-term SERTsiRNA treatment (four dose) caused a rapid and significant decrease of SERT-immunolabeling in different HPC areas relative to vehicle- and ns-siRNA-treated mice. However, SERT-immunolabeling was equal in fluoxetine-treated mice and their respective controls (Figures 1c and d). The presence of an immunohistochemical SERT signal in fluoxetine-treated mice indicates that the lack of specific [<sup>3</sup>H]-citalopram binding was due to competence with fluoxetine present in the tissue sections. Likewise, these data highlight the different mechanism of regulation of SERT expression. Thus, whereas SERTsiRNA-induced post-transcriptional changes lead to SERT mRNA knockdown and a subsequent fall in protein density, we did not find an effect of fluoxetine on SERT expression, as previously reported.45,46

In agreement with this partial SERT suppression, a decreased SERT function was observed in SERT-siRNA-treated mice (four dose). Hence, local application of citalopram  $(1-10-50 \,\mu\text{M})$  produced much smaller increases of extracellular 5-HT in the CPu relative to vehicle controls (Figure 1e).

Short-term SERT-siRNA treatment, but not fluoxetine, reduces 5-HT<sub>1A</sub>-autoreceptor expression and function. The functional down-regulation of presynaptic 5-HT<sub>1A</sub>R (5-HT<sub>1A</sub>-autoreceptors) is required for the clinical antidepressant action.<sup>16,38,47,48</sup> Indeed, the degree of 5-HT<sub>1A</sub>Rmediated inhibition of 5-HT by SSRIs has been used as a reliable index of their sensitivity.<sup>49,50</sup> As expected, 5-HT<sub>1A</sub>autoreceptor expression was unchanged in any of the fluoxetine-treated groups (Figures 2a-c). However, 7 and 15-day fluoxetine administration (but not 4-day) attenuated 8-OH-DPAT-stimulated [35S]GTPyS binding in the DR, indicating a decrease in G-protein coupling efficiency of 5-HT<sub>1A</sub>R (Figures 2d and e). In contrast, a short-term SERT-siRNA treatment significantly reduced 5-HT<sub>1A</sub>-autoreceptor expression and produced a concomitant loss of function as assessed by  $[^{35}S]GTP\gamma S$  binding (Figures 2a–e).

Likewise, the 5-HT<sub>1A</sub>R agonist 8-OH-DPAT (0.5 mg kg<sup>-1</sup>, i.p.) significantly decreased 5-HT release in the HPC of vehicle ( $62 \pm 4\%$  of baseline) and mice treated with fluoxetine for 4 days ( $61 \pm 4\%$ ), but not in those treated for 15 days ( $99 \pm 2\%$ ) (Figure 2f). Interestingly, 8-OH-DPAT did not reduce hippocampal 5-HT release in four-dose SERT-

siRNA-treated mice (103 ± 5% of baseline), unlike in control groups (vehicle: 70 ± 3% and ns-siRNA: 65 ± 2%) (Figure 2f). This indicates a very fast attenuation of 5-HT<sub>1A</sub>-autoreceptor sensitivity in SERT-siRNA-treated mice, as observed after long-term pharmacological blockade of SERT.<sup>47,51</sup>

Time course of forebrain extracellular 5-HT concentration after SERT-siRNA or fluoxetine treatment. We next assessed the kinetics of extracellular 5-HT levels after SERT-siRNA or fluoxetine treatment using *in vivo* microdialysis. Basal extracellular 5-HT levels in CPu and HPC of control mice were:  $3.4 \pm 0.3$  fmol per fraction (n=49) and  $1.7 \pm 0.1$  fmol (n=9) per fraction, respectively. Further, baseline 5-HT levels of mice implanted with a silica microcannula into DR were:  $3.9 \pm 0.4$  fmol per fraction (CPu, n=25) and  $2.4 \pm 0.5$  fmol per fraction (HPC, n=10). No significant differences were detected between groups.

Repeated fluoxetine administration induced a progressive and significant increase of 5-HT levels in CPu from days 6 to 15 of treatment compared to their respective saline-treated controls (Figure 2g). SERT-siRNA-treated mice displayed a larger and faster increase in CPu 5-HT levels, which was not seen in control groups. Extracellular 5-HT in CPu of mice treated with four doses of SERT-siRNA was greater than after 15-day fluoxetine administration (Figure 2g). Similar results were seen in HPC, where SERT-siRNA (four doses) increased extracellular 5-HT to the same extent than a 15day treatment with fluoxetine (Figure 2h). Regional differences are likely owing to the greater contribution of DR axons in CPu versus HPC.<sup>52</sup>

SERT-siRNA treatment induces faster adult neurogenesis than fluoxetine. We then addressed whether the above temporal differences between SERT-siRNA and fluoxetine translated into the proliferative activity in dentate gyrus (DG), a key feature of antidepressant treatments. To this end, mice were injected with the proliferation marker BdrU on the last day of the antidepressant treatments. Twenty-four hours later, BdrU incorporation was guantified in DG. Remarkably, SERT-siRNA (four dose) significantly enhanced the number of BdrU-labeled cells to  $144 \pm 8\%$  of vehicle-treated mice. No effect was observed in ns-siRNAtreated mice (Figures 3a and b). Detailed morphological analysis revealed that these cells were grouped in clusters, suggesting an acute induction of mitotic activity. Such clusters of BdrU-positive cells were detectable after 15-day (but not 4-day) fluoxetine treatment (BdrU-positive cells:

**Figure 3** Serotonin transporter (SERT) silencing accelerates neural proliferation in the adult hippocampus compared with fluoxetine (FLX). Mice were infused with four dose SERT- or nonsense siRNA (ns-siRNA; 10  $\mu$ g per day) or vehicle into dorsal raphe nucleus (DR). Other groups of mice were treated with 4 or 15-day FLX (20 mg kg<sup>-1</sup> per day, intraperitoneally) or saline. (a) Representative images showing an increased number of 5-Bromo-2'-deoxyuridine (BdrU)-positive cells in the dentate gyrus (DG) of FLX-treated mice (15-day) or serotonin transporter-small interference RNA (SERT-siRNA)-treated mice (four dose) compared with their respective control mice. Box insets frame regions of high-magnification photomicrographs shown below. Scale bars: lower magnification = 100  $\mu$ m and high magnification = 20  $\mu$ m. (b) Cell proliferation was assessed by the number of BdrU-positive cells (n = 5-8 mice) and Ki-67-positive cells (n = 5-9 mice) in the granule cell layer. Quantitative analysis indicated a significant increase in both BdrU- and Ki-67-positive cell number for longer treatment with FLX (BdrU:  $F_{2,10} = 53.16$ ; Ki-67:  $F_{2,17} = 20.72$ ) or SERT-siRNA (BdrU:  $F_{2,13} = 14.10$ ; Ki-67:  $F_{2,18} = 6.26$ ). (c) Representative coronal brain sections showing Ki-67 expression in DG assessed by *in situ* hybridization. Scale bar = 100  $\mu$ m. (d) Bar graph showing increased Ki-67 mRNA levels in DG following FLX (15-day) and SERT-siRNA (four dose) treatment as compared with respective control groups (n = 3-4 mice). One-way analysis of variance revealed a significant effect of group ( $F_{4,11} = 9.73$ , P < 0.01).  $^{A}P < 0.05$ ,  $^{^A}P < 0.001$  versus saline-treated mice;  $^*P < 0.05$ ,  $^{**}P < 0.01$  versus vehicle and ns-siRNA-treated mice. Values are mean ± s.e.m.

 $91 \pm 5$  and  $229 \pm 16\%$  following 4- and 15-day fluoxetine relative to saline group) (Figures 3a and b). Moreover, we confirmed the effects on neural progenitor cell proliferation, assessing the intrinsic Ki-67 expression in DG.<sup>53,54</sup> Both Ki-67 mRNA level and Ki-67-positive cells were significantly

increased in the DG of SERT-siRNA- and fluoxetine-treated mice following 4 and 15-day of administration, respectively, compared with their control groups (Figures 3b–d).

In addition, an increase in NeuroD-positive cells was seen in the subgranular cell layer of DG after four-dose SERT-



siRNA treatment (129±5% of vehicle), whereas fluoxetine administration (15-day) led to a slight, but non-significant increase in the number of immature neurons (NeuroD-positive cells:  $115\pm10\%$  of vehicle) (Figures 4a and b). To assess whether SERT-siRNA regulates neuronal cell migration, DCX-immunostaining was performed. SERT-siRNA treatment (four-dose) significantly enhanced the number of DCX-positive cells in the DG ( $137\pm9\%$  of vehicle) compared with control groups. Similar data were obtained after 15-day fluoxetine administration (DCX-positive cells:  $121\pm4\%$  of vehicle) (Figures 4c and d).

Short-term SERT-siRNA treatment. but not fluoxetine. enhances hippocampal plasticity-associated dene expression. As the final step of the present study, we assessed whether SERT-siRNA (four dose) was able to increase the hippocampal expression of trophic factors such as BDNF and VEGF, which are known to be enhanced only after prolonged (2-3 weeks) antidepressant treatments.55-57 We also examined the effects on the expression of the immediate early gene Arc and the transcription factor CREB. which are also regulated by chronic antidepressant treatments and contribute to the antidepressant efficacy.58,59 We found that SERT-siRNA infusion (four dose) robustly and significantly increase BDNF mRNA levels in the different hippocampal areas (CA1:  $134 \pm 9\%$ , CA3:  $144 \pm 9\%$  and DG:  $122 \pm 2\%$  of vehicle) (Figure 5a). A 15-day fluoxetine treatment (but not 4-day) increased BDNF mRNA expression only in DG (151 ± 25%). Likewise, SERT-siRNA (four dose) significantly enhanced VEGF expression in all HPC subfields  $(\sim 125\%)$ , as produced by 15-day fluoxetine treatment (Figure 5b).

Furthermore, SERT-siRNA (four dose) significantly upregulated the Arc mRNA expression in CA1 and DG ( $\sim$  135%), an effect that was observed in DG only after 15-day fluoxetine treatment (190±27%) (Figure 5c). In contrast to these changes, neither SERT-siRNA nor fluoxetine altered hippocampal CREB mRNA expression (Figure 5d).

## Discussion

The present study shows that partial RNAi-based reduction of SERT expression in mouse DR has dramatic effects on serotonergic neurotransmission. Short-term SERT-siRNA treatment evoked a number of changes in behavioral, neurochemical and cellular variables predictive of therapeutic antidepressant activity, such as (i) reduced immobility time in the tail suspension test, (ii) increased extracellular 5-HT concentration, (iii) downregulated 5-HT<sub>1A</sub>-autoreceptor expression/function, (iv) enhanced hippocampal

neurogenesis and (v) increased hippocampal expression of plasticity-associated genes. Remarkably, these changes occurred much earlier and were in general of greater magnitude than those evoked by long-term SSRI-fluoxetine administration. Together with earlier reports, <sup>18,35,40</sup> this study illustrates the therapeutic potential of siRNA-based strategies in the treatment of major depression. In particular, our data highlight the relevance of post-transcriptional SERT regulation as a new therapeutic approach to develop fast-acting antidepressants.

We previously showed that unmodified siRNA infusion into the mouse DR and also, intracerebroventricular or intranasal administration of a conjugated siRNA can efficiently and selectively reduce 5-HT<sub>1A</sub>-autoreceptor expression in 5-HT neurons, thus evoking antidepressant responses.<sup>18,40</sup> Here. we suppressed SERT expression, another key mechanism controlling brain serotonergic transmission. RNAi-induced reduction of SERT level triggers remarkable effects on serotonergic function, faster and more efficient than those produced by the pharmacological SERT blockade. Thus, forebrain 5-HT concentration was increased three- and fourfold by two and four doses of SERT-siRNA, respectively, an effect similar to that found after 2-week fluoxetine treatment at a daily dose showing  $\sim$  90% SERT occupancy.<sup>60</sup> The robust effect on extracellular 5-HT, compared with the relatively modest change in SERT expression (consistent with its halflife,  $\sim$  3 days),<sup>61</sup> suggests that functional SERT derives from newly synthesized pools.

As expected from the increased serotonergic function, resulting from the decreased SERT level, mice treated with very small SERT-siRNA (1.4 nmol) showed a marked reduction of immobility in the tail suspension test, a behavioral response evoked by antidepressant drugs.<sup>18,42</sup> An earlier study also found antidepressant-like effects in the forced swim test but after a prolonged intracerebroventricular SERT-siRNA infusion during 2-week at a higher dose (31 nmol per day).<sup>35</sup> Overall, this indicates that SERT knockdown in adulthood significantly improves the resilience to stress, thus contributing to the antidepressant action.

The fast increase of extracellular 5-HT observed in SERT knockdown mice likely accounts for the rapid desensitization of 5-HT<sub>1A</sub>-autoreceptors, a key characteristic of antidepressant drugs. Indeed, 5-HT<sub>1A</sub>-autoreceptor stimulation by the excess 5-HT produced by SSRIs reduces raphe 5-HT neuronal firing and, consequently, 5-HT neurotransmission in forebrain.<sup>16</sup> Only after successful 5-HT<sub>1A</sub>-autoreceptor desensitization, 5-HT neuron activity and terminal 5-HT release are recovered.<sup>17,47</sup> In agreement with these previous studies, fluoxetine desensitized 5-HT<sub>1A</sub>-autoreceptors after 2-week treatment. Interestingly, short-term SERT-siRNA

**Figure 4** Serotonin transporter (SERT) silencing rapidly increases the number of NeuroD- and DCX-positive cells in hippocampus. Mice were infused with four dose SERT- or nonsense siRNA (ns-siRNA; 10  $\mu$ g per day) or vehicle into dorsal raphe nucleus (DR). Other groups of mice were treated with 4 or 15-day fluoxetine (FLX; 20 mg kg<sup>-1</sup> per day, intraperitoneally) or saline. (a) Immunohistochemical images showing NeuroD-positive progenitors in the dentate gyrus (DG) of mice. Box insets represent regions of high-magnification photomicrographs. Scale bars: lower magnification = 100  $\mu$ m and high magnification = 20  $\mu$ m. (b) Quantitative analysis indicated a significant increase in the number of NeuroD-positive cells in serotonin transporter-small interference RNA (SERT-siRNA)-treated mice (four dose) compared with vehicle and ns-siRNA-treated mice (n = 5-9 mice). One-way analysis of variance (ANOVA) showed an effect of group ( $F_{2,11} = 5.87$ , P < 0.05). (c) Representative photomicrographs showing DCX-positive cells, bearing a complex dendritic morphology in the DG of mice. Scale bar = 20  $\mu$ m. (d) Quantitative analysis revealed a significant increase in the number of DCX-positive cells in both 15-day FLX ( $F_{2,29} = 7.71$ , P < 0.01) and four dose SERT-siRNA-treated mice ( $F_{2,18} = 5.94$ , P < 0.05) (n = 6-10).  $^{A}P < 0.01$  versus saline-treated mice;  $^{*}P < 0.05$ ,  $^{**}P < 0.01$  versus vehicle and ns-siRNA-treated mice. Values are mean ± s.e.m.





**Figure 5** Serotonin transporter (SERT) silencing enhances hippocampal plasticity-associated gene expression. Mice were infused with four dose SERT- or nonsense siRNA (ns-siRNA; 10 µg per day) or vehicle into dorsal raphe nucleus (DR). Other groups of mice were treated with 4 or 15-day fluoxetine (FLX; 20 mg kg<sup>-1</sup> per day, intraperitoneally) or saline. (a–d) Representative autoradiograms of hippocampal sections of mice are shown for (a) brain-derived neurotrophic factor (BDNF), (b) vascular endothelial growth factor (VEGF), (c) activity-regulated cytoskeletal protein (Arc) and (d) cAMP response element binding protein (CREB) mRNA expression. Scale bar = 100 µm. Densitometric analyses were performed in different hippocampal regions: CA1, CA2, CA3 and dentate gyrus (DG), shown in the cresyl violet-stained section (top). Levels of mRNA for each gene are shown in the bar graphs next to the representative autoradiograms (n = 4-5 mice). (a) BDNF mRNA levels in DG were significantly increased after 15-day FLX treatment compared with saline-treated mice (two-way analysis of variance (ANOVA): effect of region  $F_{3,33} = 5.76$  and interaction group-by-region  $F_{6,33} = 7.86$ ). However, serotonin transporter-small interference RNA (SERT-siRNA) treatment (four dose) increased BDNF expression in CA1, CA3 and DG compared with respective control groups (significant effect of group  $F_{2,10} = 10.11$ , region  $F_{3,30} = 12.80$  and interaction  $F_{6,30} = 4.54$ ; SERT-siRNA: effect of group  $F_{2,8} = 17.32$ , region  $F_{3,24} = 5.00$  and interaction  $F_{6,39} = 4.54$ ; Or korway ANOVA; Effect of group  $F_{2,10} = 10.11$ , region  $F_{3,30} = 12.80$  and interaction  $F_{6,30} = 4.54$ ; SERT-siRNA: effect of group  $F_{2,8} = 17.32$ , region  $F_{3,24} = 5.00$  and interaction  $F_{6,39} = 4.05$ ). (c) Arc mRNA levels in DG were significantly increased following FLX (15-day) compared with saline-treated mice (two-way ANOVA; effect of group  $F_{2,13} = 11.53$ , region  $F_{3,39} = 6.77$  and interaction  $F_{6,39} = 4.05$ ). (d) CREB mRNA le

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treatment fully disrupts the 5-HT<sub>1A</sub>-autoreceptor function and—unlike fluoxetine—decreases their expression in DR, thus hastening the adaptive presynaptic mechanisms in serotonergic neurotransmission.

The fast-acting antidepressant potential of SERT-siRNA was further confirmed by its ability to increase neurogenesis and to activate the expression of plasticity gene in the adult mouse HPC. It is well accepted that antidepressants share the common property to positively modulate cellular growth and plasticity in mood-related brain areas. Indeed, plasticityassociated gene expression and neurogenesis are considered to be specific markers of antidepressant action.56-59 However, these effects require prolonged (for example, >2weeks) administration of antidepressants drugs.62-64 One of the more relevant findings of the present study is the observation that short-term SERT-siRNA treatment increased hippocampal progenitor proliferation using two different markers (5-Bromo-2'-deoxyuridine and Ki-67), an effect produced only after 15-day pharmacological SERT blockade. This was accompanied by an increase in the number of NeuroD- and DCX-positive cells, whose morphological complexity suggests that the integration of immature neurons into hippocampal networks may be accelerated by SERT-siRNA. In parallel, SERT-siRNA (four dose)-but not fluoxetine-, also enhanced the expression of trophic factors such as BDNF and VEGF, as well as that of the activity-dependent Arc gene in several HPC subfields. Neuronal activity recruits latent stem/progenitor cells in the adult HPC, increasing the expression of trophic factors.<sup>65</sup> Therefore, these could serve to link neuronal activity to structural plasticity in the hippocampal neurogenic niche. Future experiments will examine whether this neurogenic effect is required for the antidepressant-like responses elicited by SERT-siRNA treatment.

In conclusion, our results show that siRNA-induced selective SERT knockdown evokes a number of behavioral, neurochemical and cellular responses predictive of clinical antidepressant activity. Notably, these effects occur after short-term SERT-siRNA treatment and are remarkably faster and—in most instances—more effective than those elicited by persistent SERT blockade with the SSRI fluoxetine. Together with previous observations,<sup>18,35,40</sup> the present data support the usefulness of RNAi strategies, stimulating serotonergic transmission as a new therapeutic class overcoming the two main limitations of current pharmacological treatments, that is, limited efficacy and slowness of action.

#### **Conflict of interest**

FA has received consulting and educational honoraria on antidepressant drugs from Eli Lilly and Lundbeck. AM is a cofounder and board member of nLife Therapeutics S.L. MCC is an employee of nLife Therapeutics S.L. The rest of authors report no biomedical financial interests or potential conflicts of interest.

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