

1 **RESEARCH ARTICLE**

2 *Running title:*

3 **Fruit set in apple**

4 *Title:*

5 **The influence of the progamic phase for fruiting in the apple tree**

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1 **Abstract**

2 Final fruit production is the result of a number of processes, over which several
3 environmental circumstances interact. But it is often difficult to disentangle the part
4 played by each of these factors in the final crop. The aim of this work is to evaluate the
5 influence of the progamic phase for fruiting in the apple tree. For this purpose we track
6 back the process that goes from flower to fruit, identifying the inflection points where
7 the final crop is reduced. We evaluate early versus late fruit development, pollination
8 versus non pollination, and the effect of the progamic phase that goes from pollination
9 to fertilization. From flowers to fruits fifteen weeks elapsed, but the final fruit set
10 settled eight weeks after flowering, and the main flower-fruit drop occurred three-four
11 weeks after flowering. Differences between dropped fruits and those that remained in
12 the tree emerged earlier, and the onset of fruiting started seven days after pollination.
13 This time was coincident with the time lapse of the progamic phase. These results show
14 that fruiting gets established well ahead of cropping, but also that the progamic phase is
15 the main determinant of the final fruit set in apple trees.

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17 **Keywords:** apple; fruit drop, fruit set; fruiting; *Malus*; pollen tube growth; progamic
18 phase.

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1 **Introduction**

2 Angiosperms usually produce much less fruits than the initial flower number (Klein *et*
3 *al.*, 2007). This low fruit set has been largely described in plants growing in natural
4 conditions (Marshall & Ellstrand, 1986), and also in cultivated plants such as fruit trees
5 (Rodrigo & Herrero, 2002; Hedhly *et al.*, 2009). The fact that seed-fruit set may account
6 for crop productivity has a particular relevance in agricultural important species. Seeds
7 and fruits are the result of a series of complex interactions initiated at pollination
8 (Maeshwari, 1950; Herrero, 1992; Lord & Russell, 2002). A number of external and
9 internal factors affect fruit set (Sutherland, 1988). Environmental effects as temperature
10 (Hedhly *et al.*, 2009; Hedhly, 2011), and pollen abundance (Weber & Goodwillie, 2009;
11 Bianchi & Cunningham, 2012) may limit fruit set. But also a number of internal
12 processes do play a clear part. Thus, erratic fruit set has been associated with stigmatic
13 receptivity (González *et al.*, 1995; Sanzol & Herrero, 2001; Sanzol *et al.*, 2003), the
14 stage of ovule development (Ruiz & Egea, 2008), or flower quality (Williams, 1965;
15 Rodrigo *et al.*, 2009, Alcaraz *et al.* 2010). Further down fruitlet competition and the so-
16 called June drop also contribute to a reduction in fruit set (Goldwin, 1992).

17 While all these factors have shown to play a part in the final crop in different species
18 and circumstances, it is often difficult to disentangle the part played by each of these
19 factors in the final crop. Mainly because what we face is the final result of a series of
20 biological processes. Still to know the main responsible of crop production is an active
21 field of research and the possible contribution of the genotype and the environment have
22 received much attention (Slafer, 2003). In this context fruit trees have the advantage that
23 cultivars are of clonal origin and thus genetically identical and also that they remain
24 located at the same area. Work in *Prunus* species shows that the progamic phase,
25 defined as the time lasting from pollination to fertilization (Linskens, 1975), plays a

1 major part in the establishment of fruit set (Rodrigo & Herrero, 2002; Hedhly *et al.*,
2 2007). But little is known on the relevance that this phase has in on apple trees (*Malus x*
3 *domestica*), which is the main temperate fruit tree.

4 Apple crop production has focused much attention since it has strong economical
5 importance in agricultural systems. Because of that, it has been studied for more than 50
6 years using agronomic approaches (Byers, 1993). At flowering time, apples bloom in
7 groups of inflorescences called corymbs (Pratt, 1988). However, within the corymb
8 some flowers have more ability than others to set a fruit (Bangerth, 2000), and a
9 strategy has been put forward for the whole corymb that may facilitate setting a
10 minimum number of fruits per inflorescence under different environmental conditions
11 (Losada & Herrero, 2013). Still, to achieve larger fruits, chemical or manual thinning
12 has usually been a common agricultural practice (Ebert & Bangerth, 1982). More
13 recently, the genetic control of fruit maturation is also an active field (Seo & Kim,
14 2009), and the apple genome has recently been sequenced (Troggio *et al.*, 2012)
15 enabling new breeding strategies (Kumar *et al.*, 2012). Concerning the reproductive
16 process, the Effective Pollination Period was first defined in this species as the time a
17 pollination is effective resulting in fertilization (Williams, 1966); and recently, it has
18 been put forward what confers a stigma the capacity to be receptive (Losada & Herrero,
19 2012). Also, much is known about self incompatibility in apple (Kim *et al.*, 2009). But
20 surprisingly the information relating to compatible crosses is far scarcer and there is a
21 paucity of information relating the progamic phase with fruit production in this species.
22 The aim of this work was to evaluate the influence of the progamic phase for fruiting in
23 apple trees. For this purpose we track back the process that goes from flower to fruit,
24 identifying the inflection points where the final crop is reduced. The results obtained not

1 only show that fruiting gets established well ahead of cropping, but also that the
2 progamic phase is the main determinant of the final crop.

3

4 **Materials and methods**

5 *Plant material and fruit set measurements*

6 Apple trees cv Golden Delicious Spur grown for 20 years in the province of Huesca
7 (Spain), 461m above sea level were used in this study. Since Golden Delicious is self
8 incompatible, the cultivar Royal Gala was in the same plantation as a pollinator cultivar.
9 Fruit set measurements were carried during two non-consecutive years, 2008 and 2010,
10 both with good bearing. Ten trees were used per experiment, labelling branches in all
11 orientations: North, South, East and West. To monitor natural fruit set, flower/fruits in
12 these branches were weekly counted until fruit ripening, with a minimum of 1.000
13 flowers per treatment. Flower/fruit changes were also followed and photographs taken
14 with an OLYMPUS μ 760, 7.1 megapixels.

15

16 *Supplemental pollination*

17 To evaluate the impact of pollination, a supplemental pollination experiment was
18 conducted in the second year. For this purpose a similar number of branches oriented to
19 all directions were selected and only flowers at the balloon stage were left. This stage
20 occurs one day prior to anthesis, and is easily discernible by the inflated appearance of
21 the petals. The following day, these just opened flowers were hand pollinated with a
22 paint brush with pollen from the compatible cv Royal Gala. Fruit set was controlled by
23 weekly counts of flowers/fruits until cropping.

24 To obtain pollen, anthers were collected from flowers at the balloon stage -one day
25 before anthesis- and left to dry on a paper at room temperature around 20°C for 24-48 h

1 until dehiscence. Pollen was sieved with a mesh with a diameter pore of 0.26 mm and
2 then stored at -20°C until required.

3

4 *Flower weight measurements*

5 To evaluate flower/fruitlet growth in pollinated and unpollinated flowers, in both years
6 200 flowers were selected at the balloon stage in branches oriented to all directions.
7 Flower buds at the balloon stage were depetalled to avoid insect pollination (Free,
8 1964). The following day, half of the flowers were hand pollinated with a paint brush
9 with pollen from cv. Gala, and half of the flowers were left without pollination. Five
10 pollinated and five unpollinated flowers per day were weighed sequentially for a period
11 of 20 days after anthesis, when unpollinated flowers shed.

12

13 *Microscope preparations*

14 To monitor nuclei movement during the first 24 hours of pollen grains-stigma contact,
15 five flowers from two, four, six, and eight hours after pollination were fixed in 4%
16 paraformaldehyde in phosphate buffered saline (PBS), pH7.3 overnight. Then they were
17 washed three times in PBS 10X for one hour in each wash, and the pistils stained in a
18 mixture of 1 µg/ml 4',6-diamidino-2-phenylindole-DAPI in water and 1% TritonX for
19 30 minutes in the dark (modified from González-Melendi *et al.*, 2005). Styles were then
20 washed in PBS again and squashed onto glass slides and visualized under a fluorescence
21 microscope LEICA DM2500 with 340/400 nm filter. Photographs were taken with a
22 LEICA DFC320 camera linked to the software Leica Application Suite.

23 On the other hand, five flowers were daily sampled from 24 hours after pollination until
24 petal fall and fixed in FAA (formalin: acetic acid: 70% ethanol) (1:1:18) (Johansen,
25 1940). Following fixation, the pistils were washed three times in distilled water for one

1 hour each, and left in 5% sodium sulphite for 24 h. Samples were autoclaved for 10 min
2 at 1Kg cm⁻² in 5% sodium sulphite (Jefferies & Belcher, 1974), and then the individual
3 styles were squashed onto glass slides with 0.1% aniline blue in 0.1 N K₃PO₄ to
4 visualize callose (Currier, 1957) and pollen tubes (Linskens & Esser, 1957). The arrival
5 of pollen tubes to the different pistil structures was timed with a LEICA DM2500
6 epifluorescence microscope with 340/400 nm filter. Photographs were taken with a
7 CANON Power Shot S50 camera linked to the CANON Remote Capture software.

8

9 *Statistical analysis*

10 To evaluate drop patterns with time, repeated measurements were statistically compared
11 among weeks using one-way ANOVA assuming a randomized experiment, for each
12 year separately. The second year of experiments, same tests were used, plus additional
13 one-way ANOVAS served to evaluate differences between supplemental pollination
14 and open pollination. Finally, early pollination effect on flowers was evaluated by one-
15 way ANOVA comparison between pollinated and un-pollinated gynoecia weights for
16 each year independently. Correlation and regression analyses were performed to
17 identify and evaluate the degree of relationship among the percentage of flowers
18 remaining in the tree along weeks after anthesis, as well as for gynoecium mean weights
19 with days after pollination. All Statistical analyses were performed with the SPSS 17.0
20 software (SPSS Inc., Chicago, USA) at a $P \leq 0.05$ significant level.

21

22 **Results**

23 *Fruit set and fruit drop*

24 Apple flowers showed slight differences in blooming time between both years of the
25 experiments, but the time interval from flowering to fruit maturation matched in both

1 years. Observation of inflorescences from anthesis, which is the day the petals open,
2 (Fig. 1A) showed that petal fall occurred about one week after anthesis (Fig. 1B). First
3 differences in flower/fruits growth inside the corymb were apparent two to three weeks
4 after anthesis (Fig. 1C). Four weeks later, only a few fruits per corymb continued to
5 grow (Fig. 1D), resulting later in an average of only two fruits per corymb (Fig. 1E).
6 Finally, those fruits continued growing, and maturation-harvest occurred some fifteen
7 weeks after flowering (Fig. 1F).

8 Flowers/fruits dropped from two to eight weeks after flowering leading to a final fruit
9 set of 15% of the initial flowers in open pollination conditions in the first year of the
10 experiments (Fig. 2A), and the data fitted to a logarithmic curve using a non-linear
11 regression protocol ($r^2=0.918$; $P\leq 0.05$). Significant differences in percentages of
12 dropped flower/fruits were observed between the third and fourth weeks after anthesis
13 ($P\leq 0.05$). Relative fruit drop showed two significant peaks of flower/fruit drop (Fig.
14 2B), a first one four weeks after anthesis leading to the initial fruit set; and a second
15 wave of drop seven weeks after flowering, that correspond to the June drop and resulted
16 in the final fruit set. The presence of seeds was examined in aborted fruits from the first
17 drop, to evaluate if fertilization had occurred. These fruits showed a mean number of
18 1.82 plump seeds (± 1.04 SE), while an average of seven plump seeds are found in
19 mature fruits of this cultivar (Losada, unpublished).

20 Drop patterns were similar the second year of the experiments, with a final fruit set also
21 of 15% in the open pollinated flowers (Fig. 3A). Supplemental hand pollination of
22 flowers resulted in a slightly higher final fruit set (23%), but the same drop pattern; and
23 similar logarithmic regression curves than open pollinated flowers ($r^2_{\text{open}} = 0.907$;
24 $r^2_{\text{suppl}} = 0.930$, $P\leq 0.05$). Differences were significant, between percentages of
25 flower/fruit drop in both pollination conditions, three weeks after anthesis ($P\leq 0.05$),

1 and also seven weeks after anthesis, when final fruit set was defined. While the first
2 relative fruit drop (Fig. 3B) was similar between both open pollinated (38%) and pollen
3 supplemented flowers (43%), in the final fruit drop, pollen supplemented flowers
4 showed a minor fruitlet drop, resulting in a higher percentage of remaining fruits in the
5 tree (23%) than in open pollination conditions (15%).

6

7 *Receptacle enlargement in apple flowers*

8 At the initial fruit drop, three weeks after anthesis, just fallen fruits weighed less than
9 100mg, as compared to 700mg of set fruits. To evaluate when these differences arose,
10 flower/fruit weight was sequentially compared between pollinated and unpollinated
11 flowers, for the first 20 days after anthesis. Although clear differences in gynoecia
12 weight were observed for same type of flowers between years, gynoecia development
13 followed a similar pattern both years of experiments (Fig. 4). Unpollinated flowers only
14 slightly changed their weight both years, showing a weak regression fit between flower
15 weights and time after blooming ($r^2_{2008}=0.357$; $r^2_{2010}=0.141$; $P\leq 0.05$). On the contrary,
16 hand pollinated flowers clearly increased weight following an exponential curve
17 ($r^2_{2008}=0.939$, $r^2_{2010}=0.680$, $P\leq 0.05$). Additionally, ANOVA comparison between un-
18 pollinated and pollen supplemented flower weights showed significant differences (P
19 ≤ 0.05) between both kinds of flowers, which started, in the first and second year of the
20 experiments, on the six and seven day respectively (Fig. 4A, 4B). These results raised
21 the question of when fertilization had occurred and how long the progamic phase lasted.

22

23 *Pollen tube kinetics in the apple pistil*

24 The sequential evaluation of pollen tube growth along the apple pistil showed that two
25 hours after pollination, pollen grains were completely hydrated on the stigma surface

1 and nuclei visible inside (Fig. 5A). Pollen grains germinated and the nuclei migrated
2 into the pollen tube on the stigma by six hours after pollination (Fig. 5B). But the
3 highest germination rate on the stigma was observed 24 hours after pollination (Fig.
4 5C). First pollen tubes reached the base of the style 72 hours after pollination (Fig. 5D),
5 and grew on the obturator surface, located at the base of the ovary locule, one day later
6 (Fig. 5E). Finally, the first pollen tubes entering the ovule were observed six days after
7 pollination (Fig. 5F), and 10 days after pollination several endosperm nuclei and a
8 young embryo were apparent.

9

10 **Discussion**

11 The evaluation of the influence of pollination, the onset of fruiting, and the progamic
12 phase, sheds light on the part played by each of them. While from flowering to cropping
13 almost four months elapsed, final fruit set settled two months after flowering. But first
14 differences between set and unset flowers could be seen three weeks after flowering.
15 Finally, the onset of gynoecium growth in pollinated flowers started as early as one
16 week after pollination, a time that matches with the duration of the progamic phase.

17

18 *Pollination and final fruit set*

19 Final fruit set in apple was low (15%), but resulted in an adequate cropping. Low
20 flower-fruit ratio is commonly reported also in other species and related with breeding
21 systems (Sutherland, 1988), or pollen density (Zhang *et al.*, 2010). However, in this
22 work supplemental pollination in apple trees only resulted in a slight increase of the
23 final fruit set (23%) showing that insect pollination in the orchard was effective.

24 After pollination, two great waves of fruitlet drop were observed. These two waves have
25 been previously reported in apple and also in other species, (Kester & Griggs, 1959;

1 Sedgley & Griffin, 1989; Rodrigo & Herrero, 2002; Hedhly *et al.*, 2007). Fruit drop in
2 apple has been associated to competence among fruits (Goldwin, 1992) and seed
3 number (Brault & de Oliveira, 1995; Sheffield *et al.*, 2005), with its implications on
4 hormone production (Bottom *et al.*, 2010). In support of this, our results show that the
5 second fruit drop in apple was reduced following hand supplemental pollination. Also
6 few plump seeds were present in the first fruitlets dropped. Taken together these data
7 show that, with adequate pollination conditions in the orchard, further pollination does
8 not rescue the big proportion of flowers that drop; and that the main fruit drop occurs in
9 early fruit development. This led us to focus on the onset of fruiting.

10

11 *The onset of fruiting*

12 The first flower/fruit drop took place two or three weeks after pollination, depending on
13 the years. But dropped flower/fruitlets arrested their development well ahead, and one
14 week after pollination the first differences between pollinated and unpollinated flowers
15 were apparent. Moreover, only a proportion of pollinated flowers initiated growth,
16 while others did not grow and eventually dropped. The situation is similar to what has
17 been described in apricot (Rodrigo & Herrero, 2002) or sweet cherry (Hedhly *et al.*,
18 2007), where an early flower/fruit drop has also been recorded. The fact that these were
19 hand-pollinated flowers rules out pollen shortage as the cause of this arrested growth,
20 suggesting that either poor fertilization or strong resource limitation would lead to this
21 early fruit abortion.

22 The term flower quality reflects the inherent capacity of the flower to set a fruit
23 (Williams, 1965) and differences in this capability have been reported in apple
24 (Williams, 1970). It has been further put forward that both the stage of development of
25 the pistil (Rodrigo *et al.*, 2009; Julian *et al.*, 2010), and the nutritional status of the

1 flowers (Rodrigo *et al.*, 2000, 2009, Alcaraz *et al.*, 2010) affect this flower quality and
2 hence flower/fruit drop. An initial developmental of the ovary could be observed in both
3 unpollinated and pollinated flowers in apricots (Rodrigo & Herrero, 2002), but in apple
4 flowers only enlarged the receptacle following pollination. To evaluate this effect the
5 progamic phase was timed.

6

7 *The part played by the progamic phase on fruiting*

8 The progamic phase lasted for seven days after pollination. While the duration of this
9 phase is variable and depending on temperature (Hedhly *et al.*, 2009), a similar timing
10 has been shown in other temperate fruit trees, as apricot (Rodrigo & Herrero, 2002) or
11 sweet cherry (Hedhly *et al.*, 2004). In contrast, others showed longer periods, as peach
12 (Herrero & Arbeloa, 1989), or plum trees (Thompson & Liu, 1973). Some authors
13 proposed that style length could play a part in the duration of this phase (Mulcahy,
14 1979; Owens, 1992), and indeed it is rather short in an early angiosperm lacking a long
15 style (Lora *et al.*, 2010). But the main factor contributing to the duration of the
16 progamic phase appears to be pistil maturation at anthesis, and this has been put forward
17 in unrelated species (Herrero & Arbeloa, 1989, Sogo & Tobe, 2005).

18 In this work, the initiation of fruitlet growth closely followed fertilization. This strongly
19 suggests that the progamic phase plays a pivotal role in the establishment of fruit set in
20 apple trees. This argument is also backed by the fact that no ovary growth occurred in
21 unpollinated flowers. However, this initial gynoecium growth could respond to
22 fertilization or to close pre or post fertilization events. In pear flowers pollination
23 induces a prolongation of ovule and flower viability (Herrero & Gascon, 1987). And in
24 some parthenocarpic fruits the reproductive process and the onset of fruiting are
25 uncoupled (Distefano *et al.*, 2011). However, in apples, unpollinated flowers did not

1 grow pointing to that pollination is required for this gynoecium growth. Alternatively,
2 early post fertilization abortion could also occur, but this seems unlikely giving the
3 timing of gynoecium growth in relation to endosperm and young embryo development.
4 This work opens a number of questions, but focus to the part played by the progamic
5 phase in the final crop of apple trees. The fact that male-female synchrony is required
6 for a successful mating (Herrero, 2003), together to the vulnerability of this process to a
7 changing climate (Hedhly *et al.*, 2009) drives the focus to a short period of time with
8 clear implications in final fruit crop in apples.

9

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1 **Figure legends:**

2

3 **Figure 1** From flower to fruit in apple. (A) Flower at anthesis, week 0 (W0). (B) Most
4 flowers of the corymb showed petal fall one week after anthesis (W1). (C) Initial fruit
5 set in the apple corymb three weeks after anthesis (W3). (D) Final fruit set in the apple
6 corymb at June drop some six-seven weeks after anthesis (W6-7). (E) After the last fruit
7 drop, an average of two fruits remained per corymb nine weeks after anthesis. (F) Fruits
8 continued to enlarge until harvest, some fifteen weeks after anthesis (W15)

9

10 **Figure 2** Fruit drop in Golden Delicious apple in open pollination conditions. (A) Fruit
11 drop pattern followed a logarithmic curve, and final fruit set (15%) was settled eight
12 weeks after anthesis. But a clear shift could be observed between the third and fourth
13 weeks after anthesis. (B) Relative fruit drop showed two peaks of fruit drop, the first
14 one four weeks after anthesis, and the second one seven weeks after anthesis. One-way
15 ANOVA significant differences (asterisks) between absolute (A) and relative (B)
16 percentages of remaining flowers/fruits with time are shown by asterisks ($P \leq 0.05$)

17

18 **Figure 3** Fruit drop in Golden Delicious apple in open and supplemental pollination
19 conditions. (A) Fruit drop followed the same pattern in both pollination conditions,
20 adjusting to a logarithmic curve. While open pollination (grey line) showed a final fruit
21 set of 15%, pollen supplemented flowers (black line) showed a slightly higher final fruit
22 set (23%) seven weeks after anthesis. One-way ANOVA showed significant changes of
23 flower/fruit drop at the third and the seventh week after anthesis in each treatment
24 separately (asterisks); (B) Relative fruit drop. While the first fruit drop was similar in
25 both pollination conditions, one-way ANOVA showed that over pollinated flowers had
26 a significant lower percentage (asterisks) of fallen fruits in June drop ($P \leq 0.05$).

1

2 **Figure 4** Gynoecium weight in pollinated (light grey triangles) and unpollinated flowers
3 (dark circles) for the first 20 days after anthesis for the first (A) and the second year (B)
4 of the experiments. Unpollinated flower weights mean values were year dependent, but
5 followed a conserved pattern both years. Pollinated flowers followed an exponential
6 continuous increase in gynoecium weight ($r^2_{2008}=0.939$, $P\leq 0.05$; $r^2_{2010}=0.680$, $P\leq 0.05$).
7 One-way ANOVA significant differences ($P\leq 0.05$) between pollinated and unpollinated
8 flowers were apparent from the six and the seven day after anthesis onwards, in the first
9 and second year respectively.

10

11 **Figure 5** Pollen tube growth in the apple pistil. (a) Hydrated pollen grains on the
12 stigmatic surface showing nuclei (arrow) two hours after pollination. (b) Germinated
13 pollen tube six hours after pollination, with nucleus (arrow) migrating into the pollen
14 tube. (c) High pollen germination rate on the stigma surface 24 hours after pollination.
15 (d) First pollen tubes at the stylar base three days after pollination. (e) Pollen tubes
16 growing on the obturator surface four days after pollination. (f) Pollen tube entering the
17 ovule six days after pollination. Squash preparations of Paraformaldehyde (a,b) and
18 FAA (c-f) fixed pistils, stained with DAPI (a,b), and aniline blue (c-f). ob (obturator),
19 ov (ovule). Scale bars = 10 μ m

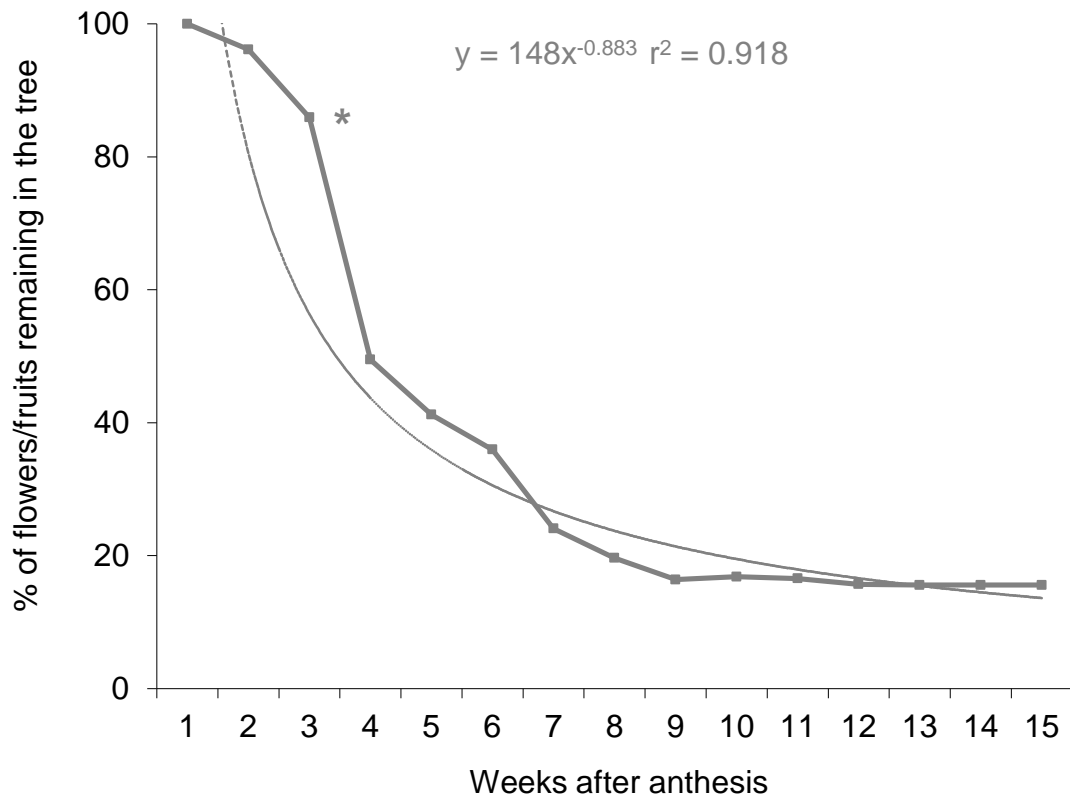
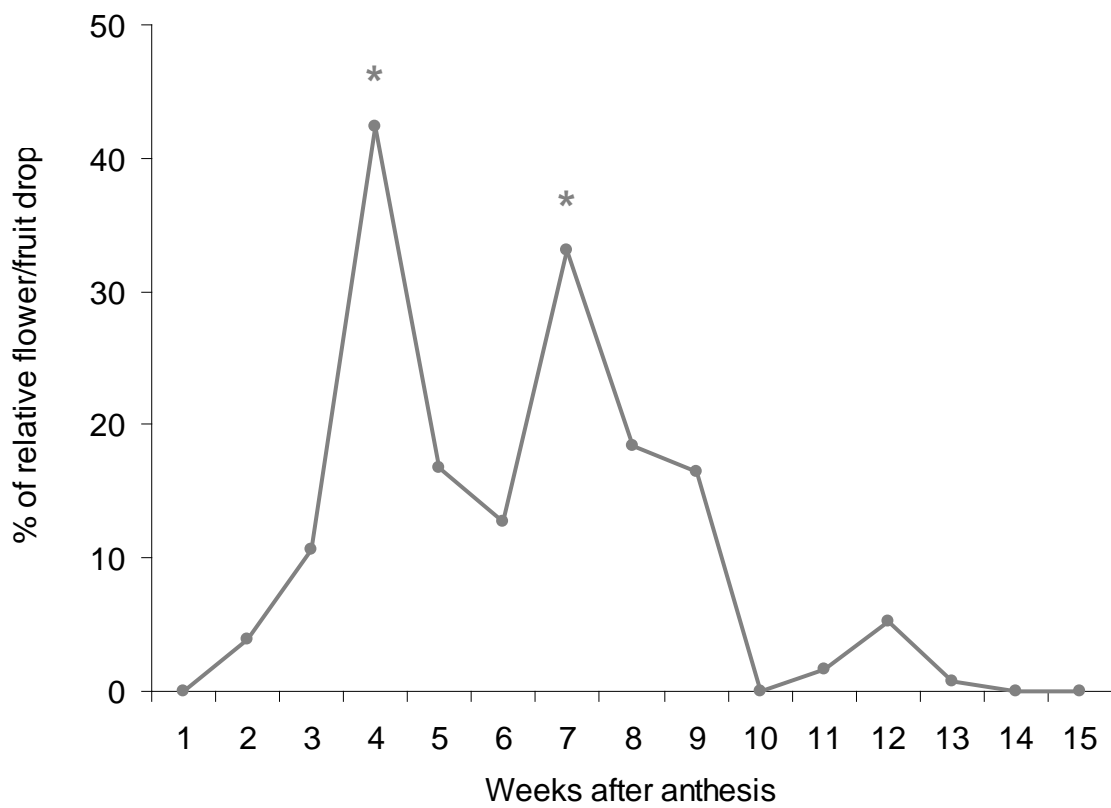
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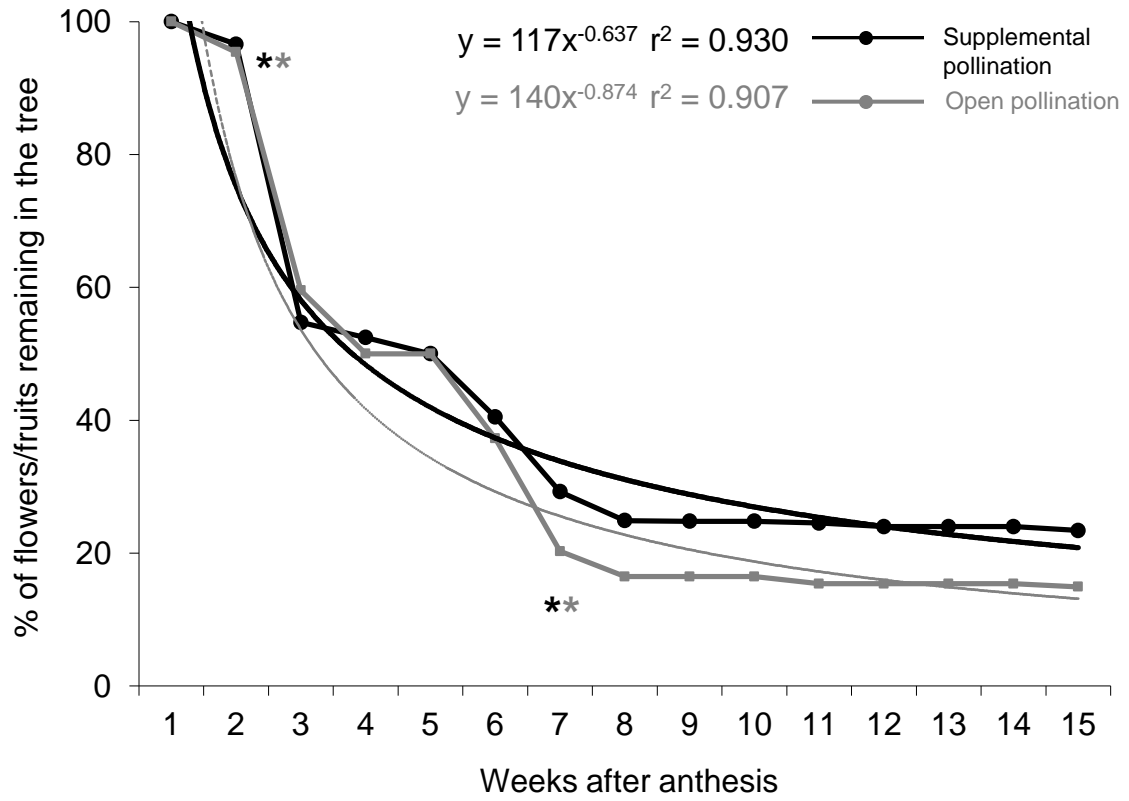
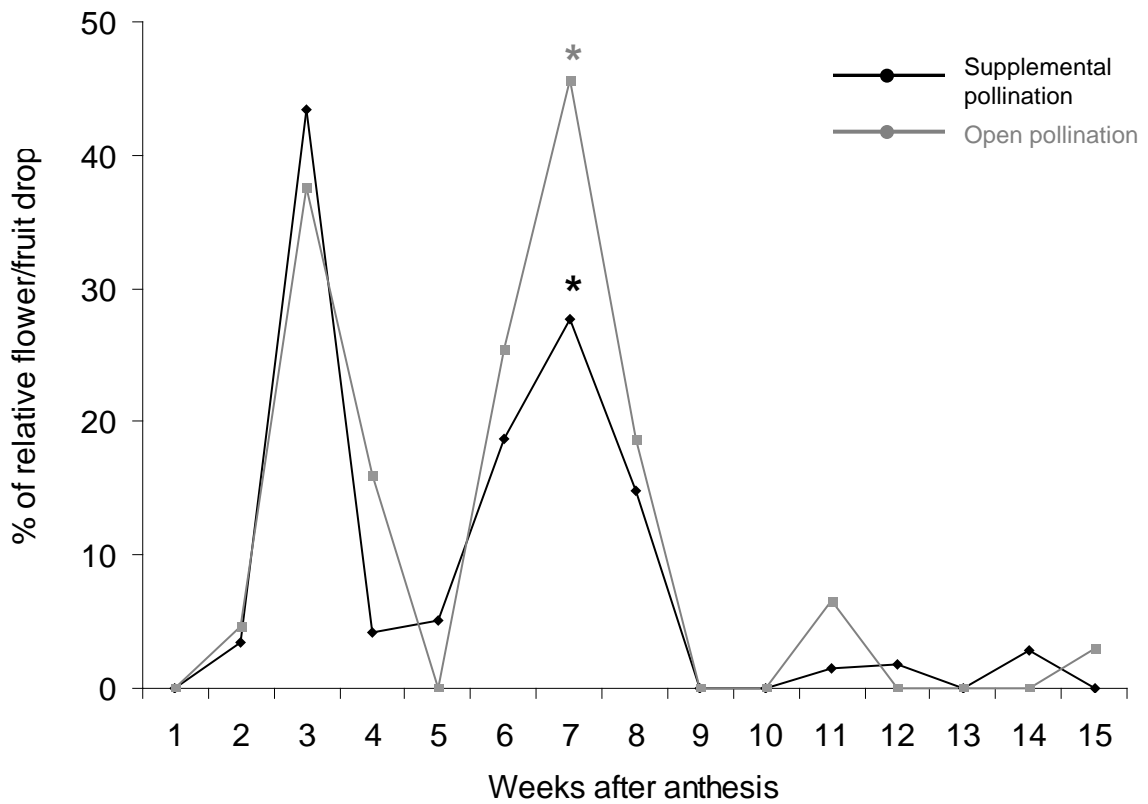
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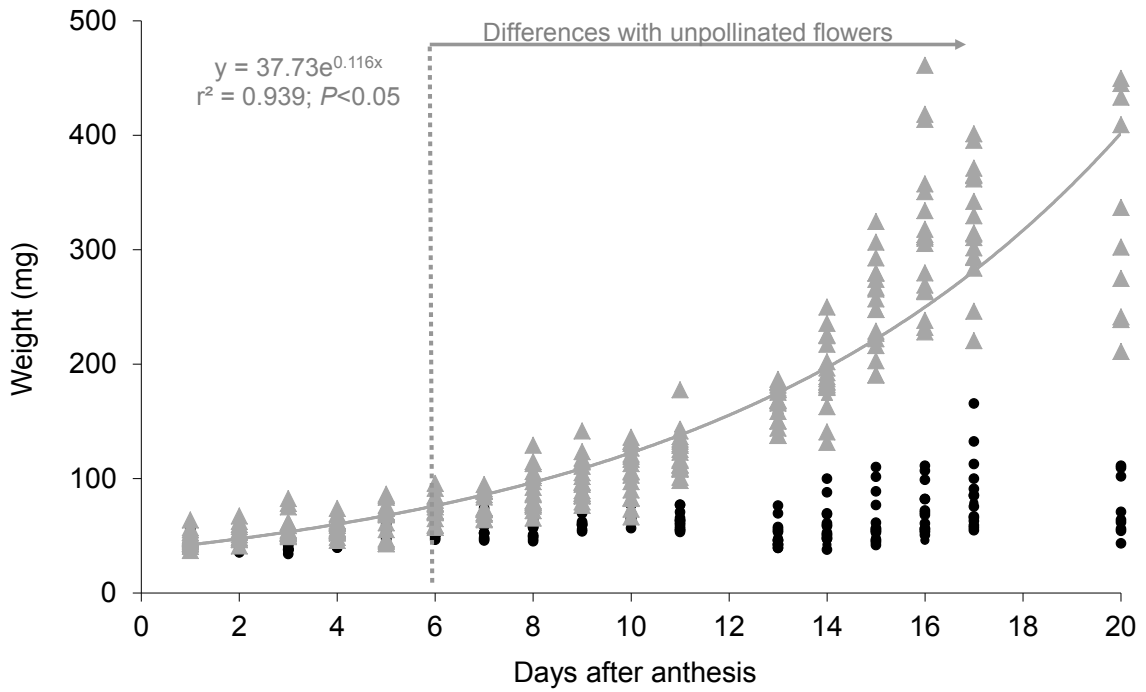


A**B**

A**B**

A

First year (2008)



B

Second year (2010)

