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3
4 **Mechanical Behavior, Microstructure and Thermooxidation**
5 **properties of Sequentially Crosslinked Ultra-High Molecular**
6 **Weight Polyethylenes**

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10 R. Ríos¹, J.A. Puértolas^{1,3*}, V. Martínez-Nogués¹, M.J. Martínez-Morlanes¹, F.J. Pascual¹,
11 J. Cegoñino^{1,2}, F.J. Medel^{2,3}.

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13
14 ¹Instituto de Investigaciones en Ingeniería de Aragón. I3A-U. 50018 Zaragoza, Spain.

15
16 ² Department of Mechanical Engineering. University of Zaragoza. 50018 Zaragoza. Spain.

17
18 ³Instituto de Ciencia de Materiales de Aragón, ICMA, CSIC-Universidad de Zaragoza,
19 50018, Zaragoza, Spain.

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42 *Correspondence to Prof. Francisco Javier Medel
43 Department of Mechanical Engineering,
44 Engineering and Architecture School, Universidad de Zaragoza,
45 E-50018, Zaragoza, Spain
46 Tel. : +34 976 762553
47 Fax. : +34 976 761957
48 e-mail: fjmedel@unizar.es

49

1 **ABSTRACT**

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3 The aim of this study was to explore the impact of the sequential irradiation and annealing
4 process on the microstructure, thermooxidation behaviour and mechanical properties of
5 GUR 1050 ultra-high molecular weight polyethylene (UHMWPE) with respect to the post-
6 irradiation annealed material. For this purpose, the effects of a variety of irradiation and
7 annealing conditions on microstructure and mechanical properties were investigated.
8 Differential scanning calorimetry was performed to characterize melting temperature,
9 crystalline content and crystal thickness, whereas transmission electron microscopy
10 provided additional insights into crystal morphology. Thermogravimetric experiments in
11 air served to assess thermooxidation resistance and changes associated to radiation-
12 induced crosslinking. Fatigue properties were studied from three different approaches,
13 namely short-term cyclic stress-strain tests, long-term fatigue experiments and crack
14 propagation behaviour. Likewise, three experimental techniques (uniaxial tensile test,
15 impact experiments, and load to fracture of compact tension specimens) allowed
16 evaluation of the fracture resistance. The present findings confirm sequentially crosslinked
17 UHMWPE exhibited improved thermooxidation resistance and thermal stability compared
18 to post-irradiation annealed UHMWPE. Also, the mechanical behaviour, including the
19 fatigue and fracture resistance, of these materials was generally comparable regardless of
20 the annealing strategy. Therefore, the sequential irradiation and annealing process might
21 provide higher oxidation resistance, but not a significant improvement in mechanical
22 properties compared to the single radiation dose and subsequent annealing procedure.

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25 **KEYWORDS:** UHMWPE, Highly crosslinked polyethylenes, sequential annealing,
26 fatigue and fracture resistance, toughness.

1 INTRODUCTION

2

3 Nowadays, modern highly cross-linked polyethylenes (HXLPE) have replaced
4 conventional, gamma inert sterilized, ultra high molecular weight polyethylene
5 (UHMWPE) for use in total hip arthroplasties^{1,2}. The rationale behind the introduction of
6 HXLPE for orthopaedic use is a dramatically improved wear resistance, which, in turn,
7 stems from the elevated crosslink density that high gamma or electron beam radiation
8 doses (~100 kGy) impart UHMWPE³⁻⁵. This beneficial property has made possible a
9 significant reduction in the incidence of revisions that historical, gamma air sterilized, and
10 conventional UHMWPE inserts experienced due to osteolytic reactions and eventual
11 aseptic loosening triggered by UHMWPE wear debris particulate⁶. Despite its positive
12 effect on wear resistance, irradiation unavoidably generates free radicals, which have the
13 potential to initiate the oxidation cycle of HXLPE. To prevent long-term degradation in
14 the presence of oxygen, post-irradiation thermal treatments have been necessary to
15 eliminate, or at least reduce, radiation-induced free radicals⁷. In general, thermal
16 treatments used in first generation HXLPE production can be classified as annealing or
17 remelting depending on whether or not they were conducted below the melting
18 temperature. Despite the excellent wear resistance of current HXLPE, they present some
19 drawbacks. On one hand, annealed HXLPE contain residual free radicals, and therefore *in*
20 *vivo* oxidation may result in material embrittlement, ultimately compromising the
21 mechanical performance of the insert. High radiation doses followed by remelting, on the
22 other hand, considerably reduce the fatigue and fracture properties of UHMWPE⁸⁻¹².
23 Although the clinical performance of HXLPE in total hip arthroplasty has been
24 satisfactory for the first decade of use⁶, there is growing evidence of high oxidation in
25 non-load bearing regions of retrieved annealed hip inserts¹³, and early crack initiation in
26 few, case studies, remelted retrievals^{14,15}. With regard to total knee arthroplasty, annealed

1 and remelted HXLPE are not generally recommended, since in vivo oxidation, and rapid
2 cracking, respectively, might have dramatic consequences under the more demanding
3 conditions of the knee joint¹⁶.

4 Second-generation highly crosslinked polyethylenes represent an attempt to
5 simultaneously provide oxidative stability and preserved mechanical properties. Three
6 main strategies have been proposed, namely sequential irradiation and annealing,
7 incorporation of antioxidants (i.e. vitamin E) by blending or diffusion, and mechanical
8 annealing. Vitamin E acts as a scavenger of radiation-induced free radicals in UHMWPE
9 ¹⁷⁻¹⁹, whereas solid-state deformation below the melting point of highly crosslinked
10 polyethylenes provides enhanced strength and good oxidative stability ²⁰. The basis for
11 sequentially irradiated and annealed UHMWPE is that the annealing treatment would be
12 more effective eliminating free radicals produced by 30 kGy-irradiation steps, since chain
13 mobility is higher when crosslink density is low. Thus, three consecutive irradiation and
14 annealing cycles would provide the excellent wear resistance associated to high radiation
15 doses (~100 kGy) as well as oxidative stability, without negatively affecting crystallinity
16 and mechanical properties ²¹⁻²³. Terminal gas plasma sterilization completes the
17 production of commercial sequentially irradiated and annealed UHMWPE. However,
18 some contradictory results appear in the literature concerning the improvement in
19 mechanical properties obtained with this new sequential irradiation and annealing process
20 ²³⁻²⁵.

21 In the context presented above, the current work aims at comparing several second
22 generation, sequentially irradiated and annealed UHMWPEs with first generation, post-
23 irradiation annealed, HXLPE from mechanical and thermooxidation perspectives. The
24 correlation between microstructural features and mechanical properties was also studied.

25

1 MATERIALS AND METHODS

2 *Materials*

3 We used GUR 1050 UHMWPE in the form of compression-molded sheets
4 (Orthoplastic Medical Ltd.; Lancashire, UK) as raw material. Crosslinking was achieved
5 by three consecutive 30 kGy gamma irradiation in air steps (Aragogamma S.A.;
6 Barcelona, Spain). Post-irradiation annealed UHMWPE was obtained performing a
7 terminal annealing treatment at 130° C for 8 hours in a vacuum oven (Weiss-Gallenkamp;
8 Loughborough, UK). Sequentially irradiated and annealed UHMWPEs were produced
9 conducting identical annealing treatments after all or some of the 30 kGy irradiation steps.
10 A final machining step was necessary to remove the outer, oxidized, layer (~2-3 mm) of
11 the irradiated and annealed pre-forms, thus obtaining mechanical specimens ready to test.
12 Typically, we used unirradiated UHMWPE as control material, but in some cases also
13 single-dose (90 kGy) irradiated and three-step irradiated (30-30-30) UHMWPEs without
14 further annealing, to discriminate the separate effects of irradiation and annealing. Thus,
15 the seven material groups studied will be referred to as virgin or unirradiated, G0 (30-30-
16 30), G1 (30-30-30A), G2 (30-30A-30A), G3 (30A-30A-30A), G4 (30A-30-30), and G5
17 (90), where G, 30, and A stand for gamma irradiated, a single 30 kGy irradiation step, and
18 annealing, respectively. It is worth noting that G1, and G3 were obtained following
19 procedures similar to those used to produce commercially available post-irradiation
20 annealed HXLPE, and the second generation, sequentially crosslinked, HXLPE,
21 respectively.

22

23 *Differential Scanning Calorimetry and Thermogravimetry*

24 Differential Scanning Calorimetry (DSC) experiments were conducted in air using
25 a Dynamic Scanning Calorimeter (TA Instruments Q20). At least three samples ($n \geq 3$) per

1 material group were heated from room temperature to 200 °C at a 10 °C/min rate. The
2 area below the first-heating DSC curves from 80°C to 160°C, normalized by 290 J/g as the
3 enthalpy of melting of a 100 % crystalline polyethylene, served to calculate crystallinity
4 contents. The melting transition temperature was registered as the peak temperature of the
5 melting endotherm.

6

7 Thermogravimetric (TG) experiments were carried out in air using a TA
8 Instrument Q5000 thermobalance (accuracy: 10^{-4} mg). 6 mg-samples ($n \geq 3$ per material
9 group) were heated from room temperature to 800 °C at a 10 °C/min rate. The main
10 features in decomposition curves were documented and analyzed following recently
11 reported guidelines²⁶.

12

13 *Transmission Electron Microscopy analysis*

14 Transmission Electron Microscopy (TEM) was performed to observe the
15 microstructure of all the materials using a Jeol 100CX microscope operating at 100 kV.
16 Appropriate specimen preparation involving chlorosulphonic staining of thin films was
17 necessary to make the samples ready for TEM, as reported elsewhere²⁷. 20,000x and
18 60,000x magnification micrographs were taken and analysed using Digital Micrograph
19 3.3.1 (Gatan Inc., Pleasanton, CA, USA) to measure changes in lamellar thickness after
20 irradiation and annealing stabilization.

21

22 *Uniaxial tensile tests*

23 Uniaxial tensile tests ($n \geq 3$) per ASTM D638 were performed in an
24 electromechanic Instron machine on type M-I specimens, at $T = 23 \pm 2$ °C, with a
25 displacement rate of 5 mm/min (initial nominal strain rate ~ 0.002 s⁻¹). In addition to

1 typical parameters, such as yield stress, elastic modulus, and ultimate stress and strain,
2 work to fracture values were calculated from engineering stress-strain plots.

4 *Cyclic Stress-Strain, Long-Term Fatigue and Fatigue Crack Propagation Experiments*

5 The fatigue behavior was characterized by means of three experimental
6 techniques. First, cyclic stress-strain experiments were conducted on tensile specimens
7 for up to 50 cycles. A displacement rate of 15 mm/min (initial nominal strain rate 0.005 s⁻¹)
8 ¹), and a maximum nominal stress, σ_{max} , of 16 MPa (stress ratio $R \sim 0$) were chosen to
9 conduct these experiments. At least three samples ($n \geq 3$) per material group were tested
10 in an Instron 5565 machine at 24 ± 1 °C. These short-term cyclic experiments provided
11 information about the total plastic strain reached, $\epsilon(50)$, and the secant modulus at the first
12 cycle, $E_s(1c)$, which are measures of the material softening, and stiffness, respectively.

13 Second, long-term fatigue tests, S/N stress-life experiments, were performed on
14 dog bone specimens using a servohydraulic Instron 8032 machine and following ASTM
15 E606 guidelines. These tests ran under load control following a sine waveform
16 (frequency 1 Hz; stress ratio $R \sim 0$). The strain was continuously monitored employing an
17 extensometer and the testing temperature was 23 ± 2 °C. The selected failure criterion
18 was the number of cycles needed to reach a 12 % strain level, as in previous studies⁹. This
19 strain level is close to strain maxima (12-15%) registered in UHMWPE tibial
20 components, and has been connected to the appearance of fatigue-induced microscopic
21 defects^{9,28,29}.

22 Third, near-threshold fatigue crack propagation (FCP) experiments were
23 performed on standard compact tension specimens per ASTM E647. All compact
24 specimens were pre-cracked using a razor blade. A digital camera allowed crack growth
25 monitoring and gradual crack length assessments. At least three specimens ($n \geq 3$) were

1 tested per material group and they underwent tension cycling (frequency 5 Hz) with R =
2 0.1. $\Delta K_{inception}$ values and Paris coefficients were obtained from crack propagation curves.

3

4 *Toughness Characterization*

5 Impact Izod tests ($n \geq 3$ per material group) were carried out at 23 ± 2 °C on
6 double-notched specimens following ASTM F648 guidelines. On the other hand, work to
7 fracture values obtained as the area below the engineering stress-strain curves of tensile
8 experiments gave an estimation of toughness in a quasi-static situation. Finally, compact
9 tension specimens with dimensions complying ASTM D6068-02 (width 20 mm, thickness
10 10 mm and original crack length 10 mm) were loaded to fracture in an attempt to
11 additionally characterize the toughness behaviour. Load-displacement curves were
12 registered and analyzed to obtain relevant data.

13

14 *Statistical analysis*

15 Student's t-tests served to detect significant differences between the thermal,
16 thermogravimetric and mechanical properties of the studied material groups. A level of p
17 < 0.05 was selected as indicative of significance.

18

19 **RESULTS and DISCUSSION**

20

21 *Differential Scanning Calorimetry and Thermogravimetry*

22 Both post-irradiation annealing and sequential irradiation-annealing caused
23 important changes in the microstructure of UHMWPE. Thus, DSC curves revealed
24 irradiation was responsible for significant 6 °C and 12% raises in melting temperature and
25 crystallinity, respectively, as compared with virgin UHMWPE (Table 1). Both post-
26 irradiation and sequential annealing treatments did not affect melting temperature of as-

1 irradiated UHMWPE, but took crystalline contents back to the level (52-54%) of the
2 unirradiated polymer. Also, materials subjected to two or more irradiation-annealing
3 cycles, G2 and G3, developed an additional endothermic peak at about the annealing
4 temperature (~126 °C; Figure 1). In contrast, post-irradiation annealed UHMWPE, G1,
5 only exhibited a small shoulder at the same temperature. Finally, no significant
6 differences ($p > 0.05$) were detected between the melting temperature and crystallinity of
7 both as-irradiated UHMWPE materials, G0 and G5. The present findings appear to be
8 consistent with the occurrence of radiation-induced recrystallization as proposed by
9 Premnath and colleagues³⁰, on one hand, and partial melting, lamellar thickening and
10 crystallization of smaller crystals during annealing^{31,32}. Together, these phenomena would
11 explain the elevated melting temperature, and the appearance of an additional, smaller,
12 endothermic peak in the case of sequentially crosslinked materials. First, molecular
13 rearrangements triggered by irradiation allowed secondary recrystallization onto the
14 surface of original lamellae resulting in elevated melting temperature and crystallinity.
15 This radiation-induced crystallinity increase was lost upon 8 hours annealing at 130 °C,
16 suggesting that partial melting of lamellar crystals prevailed over lamellar thickening
17 and crystallization of small crystals. The sequential annealing strategy, however, did not
18 imply an accumulative decrease in crystallinity, probably due to comparatively higher
19 chain mobility (i.e. lower crosslink density), which would favor lamellar thickening
20 during the annealing steps.

21

22 The thermooxidation behavior of UHMWPE was also clearly affected by
23 crosslinking and annealing processes. All thermogravimetric decomposition curves
24 showed a very small but detectable mass increase associated with thermooxidation of the
25 polymer followed by an abrupt weight loss due to thermal degradation (Figure 2A-B). The
26 onset of the thermooxidation process, denoted T_B , reflects the susceptibility to oxidation,

1 as reported previously ²⁶. In this study, both as-irradiated polyethylenes, G0 and G5,
2 exhibited the lowest T_B values ($p < 0.05$), and a significant decrease in T_B was also
3 observed for post-irradiation annealed UHMWPE, G1, and for crosslinked materials
4 subjected to one or two sequential irradiation-annealing steps, G2 and G4 ($T_B \sim 141-144$
5 $^{\circ}\text{C}$; $p \leq 0.003$; Table 1). Overall, these data suggest that the former annealing treatments
6 did not succeed in quenching radiation-induced free radicals and, therefore, in providing
7 complete oxidative stability. This was probably because they were not able to eliminate
8 free radicals trapped in crystalline regions, yielding materials with high susceptibility to
9 oxidation. Three irradiation-annealing steps, however, did not result in a T_B decrease, but
10 in a significant shift towards higher temperatures as registered for G3 specimens ($T_B \sim 167$
11 $^{\circ}\text{C}$; $p < 0.0001$; Figure 3B). Although thermooxidation could not be completely avoided
12 in sequentially crosslinked materials, the significantly delayed weight gain might be
13 indicative of comparatively higher oxidation resistance. In regard to results corresponding
14 to temperatures at maximum weight, T_0 , they followed a trend similar to that of T_B data
15 (Table 1).

16

17 The beginning of the thermal degradation, indicated by T_I (Figure 2A), was also
18 significantly affected by crosslinking and stabilization processes. They provoked a
19 gradual increase from about 375°C to almost 400°C for unirradiated UHMWPE and
20 sequentially crosslinked UHMWPEs, G3, respectively ($p < 0.0002$). Irradiation processes
21 without further annealing steps also resulted in increased thermal stability ($T_1 \sim 390^{\circ}\text{C}$),
22 which was slightly higher in the case of the single-step irradiated UHMWPE (G5). The
23 present thermogravimetric results are coherent with crosslink density data trends reported
24 in the literature^{5,9}. Previous studies have reported that sequentially annealed UHMWPE
25 exhibits higher crosslink density than post-irradiation annealed UHMWPE²³. Crosslinks
26 between polymeric chains are, in turn, responsible for a concomitant molecular weight

1 increase, and thermal degradation typically begins at increasingly higher temperatures as
2 the molecular weight of the polymer grows³³. In this sense, researchers have confirmed
3 higher thermal stability, that is higher T_l , of irradiated polyethylenes³⁴. Although
4 admittedly the lack of crosslink density assessments in this study impedes to draw definite
5 conclusions, there appears to be a connection between elevated crosslinked density and
6 enhanced thermal stability in orthopaedic UHMWPEs.

7 8 *Transmission Electron Microscopy analysis*

9 TEM micrographs of unirradiated UHMWPE showed the typical features of a
10 semicrystalline polymer with randomly oriented crystal lamellae immersed in the
11 amorphous region, which appeared as a dark grey region (Figures 3A-E). The average
12 lamellar thickness of virgin UHMWPE was 29 ± 3 nm, and upon irradiation crystal
13 thickness experienced a small, but statistically significant, increase up to 32 ± 3 nm ($p <$
14 0.0001). In contrast, the combination of crosslinking and stabilization processes generally
15 resulted in a significant decrease of this property. Sequentially crosslinked, G3, materials
16 were the only exception as they presented crystal thicknesses similar (30 ± 3 nm; $p > 0.42$)
17 to that of unirradiated specimens. The more restricted chain mobility in post-irradiation
18 annealed, G1, and G2 UHMWPEs could inhibit crystal thickening during annealing,
19 yielding thinner lamellae (27 ± 2 , and 26 ± 3 nm, respectively; $p < 0.0001$ with respect to
20 unirradiated, G0 and G3 UHMWPEs). Lamellar thickening mechanisms, in contrast,
21 would be favored during annealing in sequentially crosslinked materials, G3, due to
22 higher chain mobility.

23 24 *Uniaxial tensile results*

25 Irradiation and stabilization treatments caused considerable changes in mechanical
26 parameters. Both post-irradiation annealed and sequentially crosslinked UHMWPEs

1 experienced a strong decrease (~50%) in ductility as reflected by strain to fracture results
2 ($p < 0.0001$; Table 2 and Figure 4). The fracture stress also decreased after crosslinking and
3 stabilization ($p \leq 0.02$ with respect to unirradiated UHMWPE), but this parameter was
4 almost identical for the three sequentially crosslinked UHMWPEs, G1, G2 and G3
5 ($p > 0.54$). As-irradiated materials, G0 and G5, exhibited slightly higher yield stresses,
6 fracture stresses, and ultimate strains than sequentially crosslinked materials.

7
8 *Cyclic Stress-Strain, Long-Term Fatigue and Crack Propagation Behavior*

9 Cyclic stress-strain experiments confirmed a significant decrease in material
10 softening, $\varepsilon(50)$, upon irradiation (8.3 ± 0.9 and 4.3 ± 0.2 for virgin and as-irradiated, G0,
11 UHMWPEs, respectively; $p < 0.0001$). However, this positive decrease was lost when
12 irradiation and annealing processes were combined. Thus, material softening went down to
13 7.6 ± 0.8 , 9.0 ± 1.4 and 8.5 ± 1.6 for post-irradiation, G1, and sequentially annealed, G2 and
14 G3, materials, respectively ($p \leq 0.0008$ with respect to G0 UHMWPE). Likewise,
15 irradiation turned UHMWPE into a stiffer material based on secant modulus results, but,
16 again, the combination of irradiation and annealing processes reverted this change even
17 below the levels of uncrosslinked UHMWPE (Table 2).

18 The combined effects of irradiation and annealing processes caused substantial
19 deterioration of the fatigue strength of unirradiated UHMWPE, regardless of the
20 annealing strategy as shown in the present stress-life, S-N, ($S = A \log(N) + B$; A, and B
21 fitting parameters) experiments (Figure 5). In particular, the introduction of annealing
22 treatments between the second and third irradiation steps (i.e., G2 material) did not imply
23 an improvement in long-term fatigue properties, but further reduction in fatigue strength
24 compared to G1 and unirradiated UHMWPEs. Furthermore, each annealing step appeared
25 to decrease the slope of the S-N curve (Figure 5). Most likely, annealing treatments were
26 responsible for the main decrease in fatigue resistance, since irradiation without further

1 annealing has been demonstrated to slightly augment the fatigue strength of e-beam
2 irradiated UHMWPEs⁹. Despite the registered drop in fatigue resistance upon annealing,
3 sequentially crosslinked UHMWPE, G3, appeared to have long-term fatigue performance
4 closer to that displayed by unirradiated UHMWPE specimens. As proposed elsewhere,
5 there seems to be a direct relation between mechanical behavior and microstructure for
6 highly crosslinked UHMWPEs^{8,9,35}. Thus, irradiation results in crystal thickening,
7 which, in turn, is responsible for an improvement of the fatigue life in long-term
8 experiments. Also, a two hours annealing has been reported to imply a decrease in
9 lamellar thickness compared to as-irradiated UHMWPE, and, coherently, to demonstrate a
10 reduced fatigue strength⁹. The negative impact on fatigue behavior of longer annealing
11 steps (8 hours) found in this study appear to be compensated to some extent introducing
12 the sequential irradiation-annealing strategy.

13

14 The microstructural changes induced by irradiation caused substantial reductions
15 in crack propagation resistance behavior, regardless of the stabilization strategy. Fatigue
16 crack propagation results showed two different regions in the log-log plots of crack
17 growth rate, (da/dN), versus stress intensity factor range (ΔK) (Figure 6). The first region
18 matched the slow crack growth regime, whereas the second one represented the
19 intermediate crack growth or Paris equation regime ($da/dN = C (\Delta K)^m$; C and m are
20 constants). A fatigue crack inception stress intensity range ($\Delta K_{inception}$) could be defined as
21 the intersection of the first regime, nearly vertical, curve with the x-axis, at a value of
22 $da/dN = 10^{-6}$ m/cycle. This approach gave the stress intensity threshold that must be
23 overcome to initiate the propagation of a static crack and permitted comparison between
24 materials. The second region fitted to a linear trend, which its slope, m, provided
25 information about how fast the crack propagate once it started to grow. Fast-fracture

1 regime was reached at the end of all the experiments. The unirradiated material presented
2 the highest Δk at crack inception ($2.2 \pm 0.1 \text{ MPa m}^{1/2}$), whereas the corresponding Δk of the
3 crosslinked UHMWPEs (G1, G2 and G3) dropped to values close to $1.6 \text{ MPa m}^{1/2}$ (Table
4 3). This finding was not unexpected, as previous studies have confirmed remarkably drops
5 in stress intensity factor at crack inception, $\Delta k_{inception}$, after irradiation^{8-11,35}. Thus, the
6 greater the radiation dose, the higher crosslink density and the lower the $\Delta k_{inception}$. The
7 elevated crosslink density imparted by irradiation reduces the deformation modes of the
8 amorphous region, and therefore cracks grow more easily in crosslinked UHMWPEs³⁶.
9 On the other hand, no significant differences ($p > 0.05$) were found regarding the crack
10 inception behavior among crosslinked UHMWPEs. It can be concluded that the annealing
11 strategy, terminal or sequential, scarcely affected the crack propagation resistance,
12 confirming crack inception is mainly governed by crosslink density in crosslinked
13 UHMWPEs. Finally, sequentially crosslinked materials, G2 and G3, had less steep slopes
14 (lower m coefficients) than unirradiated and post-irradiation annealed UHMWPEs (Table
15 3). This fact might indicate that lower stress levels are needed to reach similar crack
16 growth rates.

17

18 *Toughness behavior*

19 The radiation dose absorbed was the key parameter governing the fracture
20 resistance of the various UHMWPE formulations. A significant decrease in impact
21 toughness was confirmed for crosslinked UHMWPEs compared to the unirradiated
22 polymer ($p < 0.0001$). Thus, impact toughness dropped about a 40 % upon irradiation and a
23 50 % after crosslinking and stabilization processes (Table 4). As mentioned before, the
24 elevated crosslink density limits the ductility, and also its fracture resistance, as the
25 crosslinked network prevents the polymer from reaching high deformations³⁵.

1 Crystallinity drops registered after performance of annealing treatments also negatively
2 affected the fracture resistance of crosslinked UHMWPEs, although to a much lesser
3 extent. However, the introduction of more than one annealing step had no further
4 influence on impact toughness, as no significant differences could be detected among
5 crosslinked and stabilized UHMWPEs regardless of the annealing strategy. Work to
6 fracture results followed a trend similar to that of impact results. Unirradiated UHMWPE
7 had the highest work to fracture ($p < 0.0001$), whereas crosslinked UHMWPE exhibited
8 very low values, mostly due to the loss in ductility. The energy needed to fracture
9 crosslinked materials decreased a little bit further as more annealing steps were introduced
10 (Table 4). Finally, load displacement curves to fracture corresponding to compact tension
11 specimens revealed a similar behaviour, with unirradiated UHMWPE needing high loads
12 and displacements to reach fracture, while crosslinked UHMWPEs had much lower
13 values (Figure 7). Again, no significant differences were found among crosslinked
14 UHMWPEs.

15
16 Commercially available sequentially crosslinked UHMWPE is claimed to have
17 excellent oxidation resistance (no detectable free radicals) and superior mechanical
18 properties compared to its post-irradiation annealed predecessor^{23,24,37}. It is worth
19 mentioning that the UHMWPE resins used to produce these formulations are different.
20 The manufacturer replaced the GUR 1050 UHMWPE resin employed to fabricate post-
21 irradiation annealed UHMWPE with GUR 1020 resin to produce the sequentially annealed
22 formulation. The latter resin is a lower molecular weight powder, and UHMWPE
23 materials produced from this resin have been reported to exhibit improved mechanical
24 properties than those manufactured from GUR 1050³⁸. So, it is unclear whether the
25 improvement stems from the annealing strategy or the UHMWPE resin. Our study
26 suggests that oxidation resistance seems to be superior for sequentially annealed

1 UHMWPEs from a thermooxidation perspective. However, the mechanical improvement
2 obtained after introduction of three sequential irradiation-annealing steps appears to be
3 quite limited, at least when GUR 1050 resin is used as base material.

4
5 Obviously, the present study is not free of some limitations. First, thermooxidation
6 parameters might not necessarily correlate with oxidation indices measured after shelf-
7 aging or *in vivo* oxidation conditions. The orthopaedic community generally relies on
8 standard accelerated aging protocols to explore the oxidative stability of alternative
9 polyethylenes and to categorize them. However, accelerated aging protocols have not
10 always provided an exact correspondence to oxidation indices and regional distribution of
11 oxidation maxima found in shelf aged implants or retrievals. Remelted polyethylenes
12 represent an interesting example as these materials performed very well after accelerated
13 aging³⁹, but recent evidence suggests no complete oxidation resistance was achieved⁴⁰. We
14 chose to perform thermogravimetry since it provides a faster first screening regarding
15 oxidative stability of the molten polymer. Second, cyclic stress-strain and long-term
16 fatigue experiments are not intended to confirm the suitability of the studied polyethylene
17 materials as acetabular liners or tibial inserts, or to predict an optimal clinical
18 performance. These mechanical tests do not take into account complex load patterns
19 (biaxial or triaxial stress states), and, on the other hand, the clinical performance of the
20 artificial joint depends on a variety of patient, surgical, design and material factors.

21 22 **CONCLUSIONS**

23 This study provides evidence that the introduction of sequential irradiation-
24 annealing processes may improve the resistance to oxidation as compared to post-
25 irradiation annealed UHMWPEs. The microstructural characterization of sequentially

1 crosslinked UHMWPEs also confirmed crystal thickness and crystallinity contents similar
2 to those of the unirradiated polymer, whereas the thermogravimetric behavior suggested
3 this material had the highest crosslink density. The anticipated improvement in mechanical
4 properties, however, appears to be more limited, as the mechanical, crack propagation and
5 fracture resistance properties were generally comparable to those of post-irradiation
6 annealed and G2 (two sequential irradiation and annealing steps) UHMWPEs.

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1 Table 1. Thermal and thermogravimetric parameters (mean \pm standard deviation) obtained from DSC and TG experiments for unirradiated, post-
 2 irradiation annealed, and sequentially crosslinked UHMWPEs.
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Material	DSC			TG		
	Shoulder Temperature (°C)	Melting Temperature (°C)	Crystallinity (%)	T_B (°C)	T_0 (°C)	T_l (°C)
Unirradiated	N/A	136.2 \pm 0.3 ^a	51.9 \pm 0.7 ^a	151.9 \pm 0.6 ^a	214.9 \pm 0.6 ^a	374.4 \pm 3.8 ^a
G0 (30-30-30)	N/A	142.1 \pm 1.1 ^b	58.7 \pm 0.5 ^b	140.7 \pm 0.1 ^b	219.3 \pm 0.4	388.0 \pm 2.4 ^{b,c}
G1 (30-30-30A)	125.5 \pm 1.6	141.0 \pm 0.6 ^b	53.1 \pm 1.0 ^c	142.8 \pm 3.4 ^b	212.6 \pm 0.9 ^b	384.3 \pm 4.7 ^b
G2 (30-30A-30A)	126.0 \pm 0.2	141.1 \pm 0.6 ^b	51.6 \pm 1.1 ^c	144.4 \pm 1.1 ^b	211.8 \pm 1.7 ^b	390.7 \pm 3.6 ^b
G3 (30A-30A-30A)	127.5 \pm 0.3	141.4 \pm 0.5 ^b	53.7 \pm 2.1 ^c	167.6 \pm 2.5 ^c	233.0 \pm 0.8 ^c	397.6 \pm 5.0 ^{b,d}
G4 (30A-30-30)	N/A	143.2 \pm 0.9 ^b	58.8 \pm 0.6 ^b	141.2 \pm 0.8 ^b	216.2 \pm 1.9	391.5 \pm 1.2 ^b
G5 (90)	N/A	141.1 \pm 0.5 ^b	58.8 \pm 0.2 ^b	139.9 \pm 0.4 ^b	217.8 \pm 3.5	396.1 \pm 12.2 ^b
		p < 0.0001 ^{a,b}	p < 0.0001 ^{a,b} p < 0.0001 ^{b,c}	p \leq 0.003 ^{a,b} ; p < 0.0001 ^{a,c; b,c}	p \leq 0.03 ^{a,b} ; p < 0.0001 ^{a,c; b,c}	p \leq 0.023 ^{a,b} p < 0.0054 ^{c,d}

4 N/A: Not applicable

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Table 2. Mechanical parameters (mean \pm SD) obtained from uniaxial tension and cyclic stress-strain experiments for unirradiated, post-irradiation annealed and sequentially crosslinked UHMWPE materials.

Material	Uniaxial Tension			50 Cycles Stress-Strain Testing	
	Yield stress (MPa)	Fracture Stress (MPa)	Fracture Strain	$E_S(1c)$ (MPa)	$\epsilon_{16MPa}(50c)$
Unirradiated	19.0 \pm 0.2	36.3 \pm 1.8 ^a	8.7 \pm 0.5 ^a	380 \pm 28 ^a	8.3 \pm 0.9 ^a
G0 (30-30-30)	20.8 \pm 0.1 ^a	36.7 \pm 0.3	4.7 \pm 0.1 ^b	494 \pm 13 ^b	4.3 \pm 0.2 ^b
G1 (30-30-30A)	17.7 \pm 0.3 ^b	30.4 \pm 1.8 ^b	4.3 \pm 0.2 ^b	372 \pm 16 ^a	7.6 \pm 0.8 ^c
G2 (30-30A-30A)	19.4 \pm 0.2 ^b	31.6 \pm 3.4 ^b	4.3 \pm 0.6 ^b	358 \pm 13 ^a	9.0 \pm 1.4 ^c
G3 (30A-30A-30A)	17.9 \pm 1.2 ^b	31.1 \pm 2.3 ^b	4.5 \pm 0.2 ^b	367 \pm 22 ^a	8.5 \pm 1.6 ^c
G4 (30A-30-30)	20.2 \pm 0.1	35.6 \pm 2.1	4.8 \pm 0.3 ^b	489 \pm 11 ^b	4.4 \pm 0.1 ^b
G5 (90)	20.1 \pm 0.3	32.4 \pm 2.6	4.6 \pm 0.4 ^b	483 \pm 15 ^b	4.8 \pm 0.1 ^b
	$p \leq 0.005^{a,b}$	$p \leq 0.02^{a,b}$ $p > 0.54^b$	$p < 0.0001^{a,b}$	$p < 0.0001^{a,b}$	$p \leq 0.0002^{a,b}$ $p \leq 0.0008^{b,c}$

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1 **Table 3.** Stress-intensity levels at crack inception (mean \pm SD) for virgin, post-irradiation
 2 annealed and sequentially annealed UHMWPEs.
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Material	$\Delta K_{inception}$ (MPam ^{1/2})	m
Unirradiated	2.22 \pm 0.06	12.1 \pm 2.0
G1 (30-30-30A)	1.49 \pm 0.03	12.9 \pm 0.8
G2 (30-30A-30A)	1.58 \pm 0.08	8.6 \pm 0.3
G3 (30A-30A-30A)	1.51 \pm 0.03	7.1 \pm 1.5
B100A*	1.49 \pm 0.06	12.9 \pm 0.8

4
 5 *Data reported in reference [9] corresponding to 100 kGy e-beam irradiated and annealed
 6 UHMWPE
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8
 9 **Table 4.** Estimations of toughness behavior (mean \pm SD) from uniaxial tension and impact
 10 tests.
 11

Material	Work to Fracture (MPa or MJ/m ³)	Impact Toughness (kJ/m ²)
Unirradiated	209.7 \pm 20.7 ^a	100.9 \pm 10.3 ^a
G0 (30-30-30)	116.5 \pm 4 ^b	62.6 \pm 4.7 ^b
G1 (30-30-30A)	88.8 \pm 6.4 ^b	47.1 \pm 2.9 ^{b, c}
G2 (30-30A-30A)	93.3 \pm 19.4 ^b	47.8 \pm 2.6 ^{b, c}
G3 (30A-30A-30A)	94.1 \pm 7.1 ^b	47.9 \pm 5.2 ^{b, c}
G4 (30A-30-30)	114.8 \pm 10.7 ^b	N/A
G5 (90)	105.3 \pm 12.8 ^b	N/A
	$p < 0.0001^{a,b}$	$p < 0.0001^{a,b}$ $p \leq 0.0015^{b,c}$

12 N/A: Not available
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FIGURE CAPTIONS

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2 Figure 1. First-heating DSC curves corresponding to virgin, post-irradiation annealed
3 (303030A or G1), and sequentially crosslinked (3030A30A or G2, and 30A30A30A or
4 G3) UHMWPEs.

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6 Figures 2A-B. Thermogravimetric decomposition curves corresponding to virgin, post-
7 irradiation annealed, and sequentially crosslinked UHMWPEs, (A). A close-up view
8 within the 125 – 275 °C range revealed a mass increase associated to thermooxidation of
9 the polymers, (B).

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11 Figures 3A-E. TEM micrographs (x60,000) of virgin, (A), as-irradiated (B), post-
12 irradiation annealed, (C), sequentially crosslinked G2, (D), and sequentially crosslinked
13 G3, (E), UHMWPEs.

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15 Figure 4. Typical engineering stress-strain curves obtained from uniaxial tensile tests for
16 virgin, post-irradiation annealed, and sequentially crosslinked UHMWPEs.

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18 Figure 5. Stress–life curves for virgin, post-irradiation annealed, and sequentially
19 crosslinked UHMWPEs.

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21 Figure 6. Fatigue crack propagation curves corresponding to virgin, post-irradiation
22 annealed, and sequentially crosslinked UHMWPEs.

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24 Figure 7. Load-displacement curves to fracture corresponding to compact tension
25 specimens of virgin, post-irradiation annealed, and sequentially crosslinked, G3,
26 UHMWPEs.

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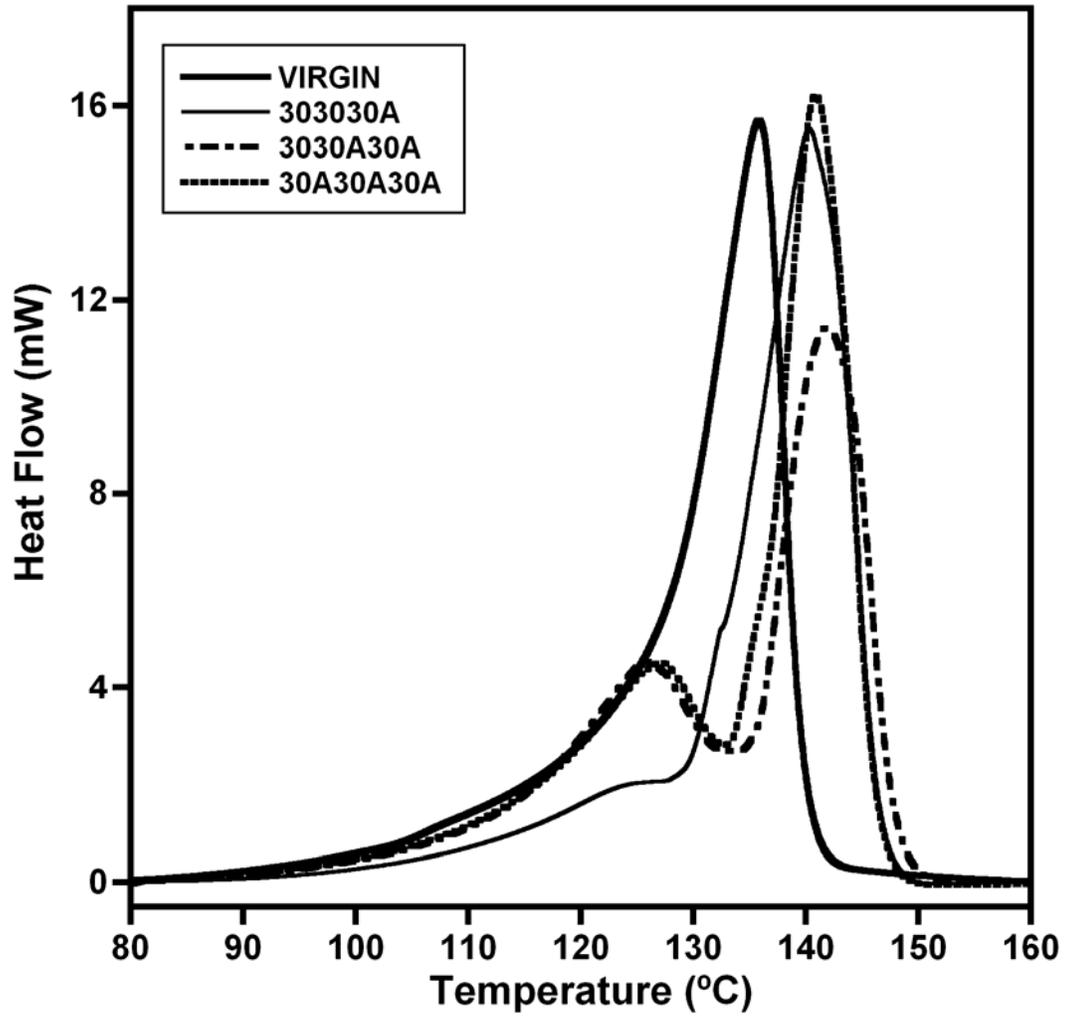
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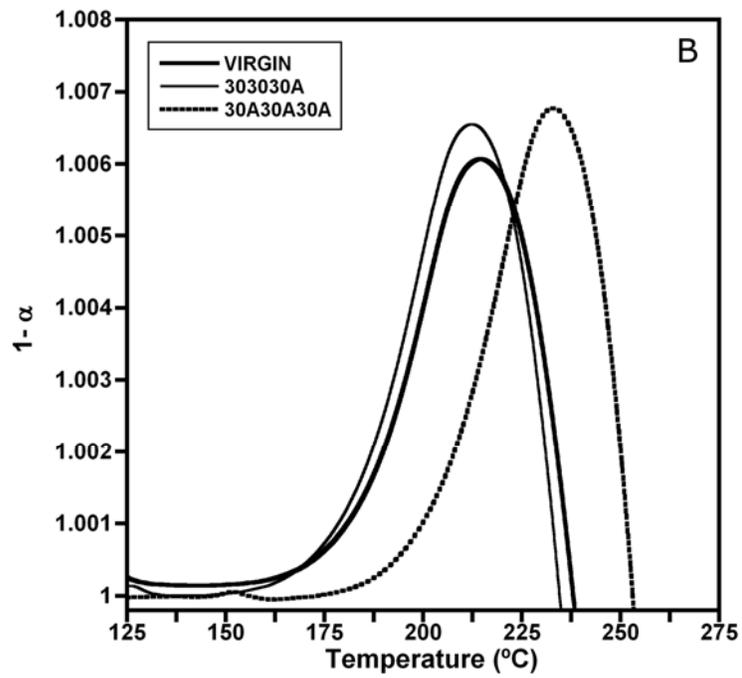
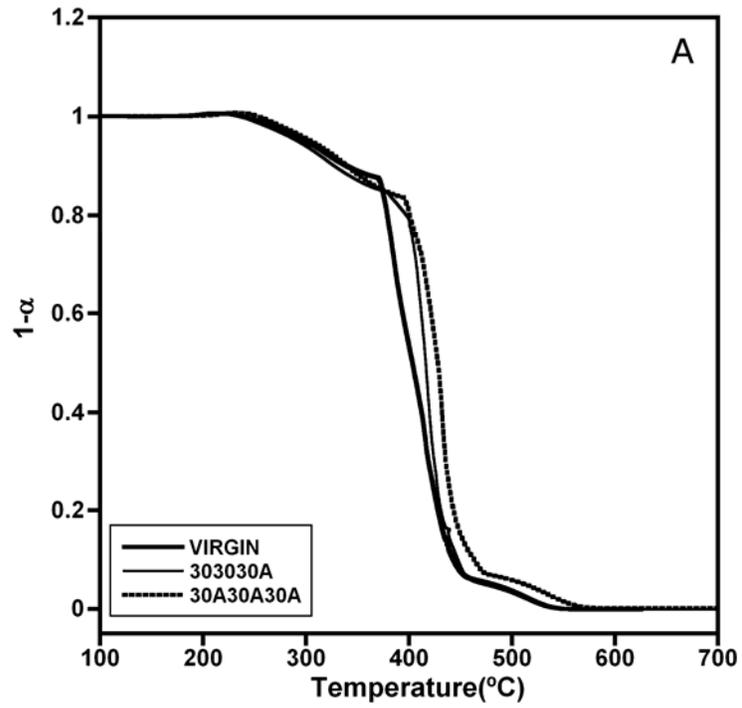
FIGURE 1



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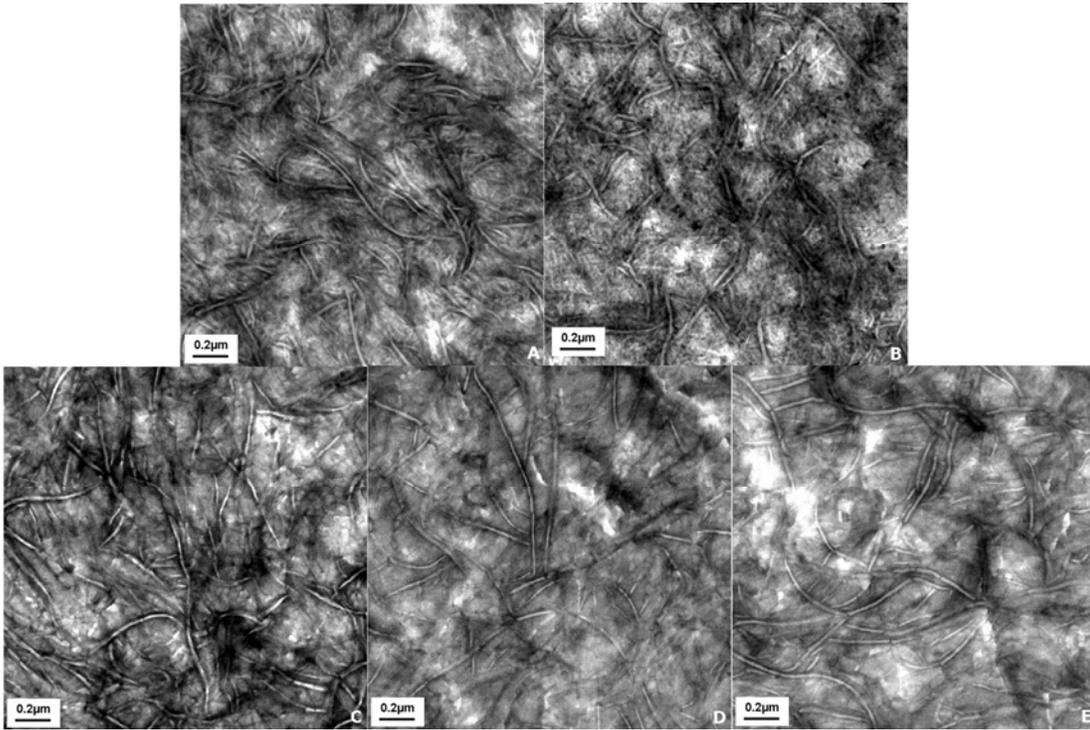
FIGURES 2A-B



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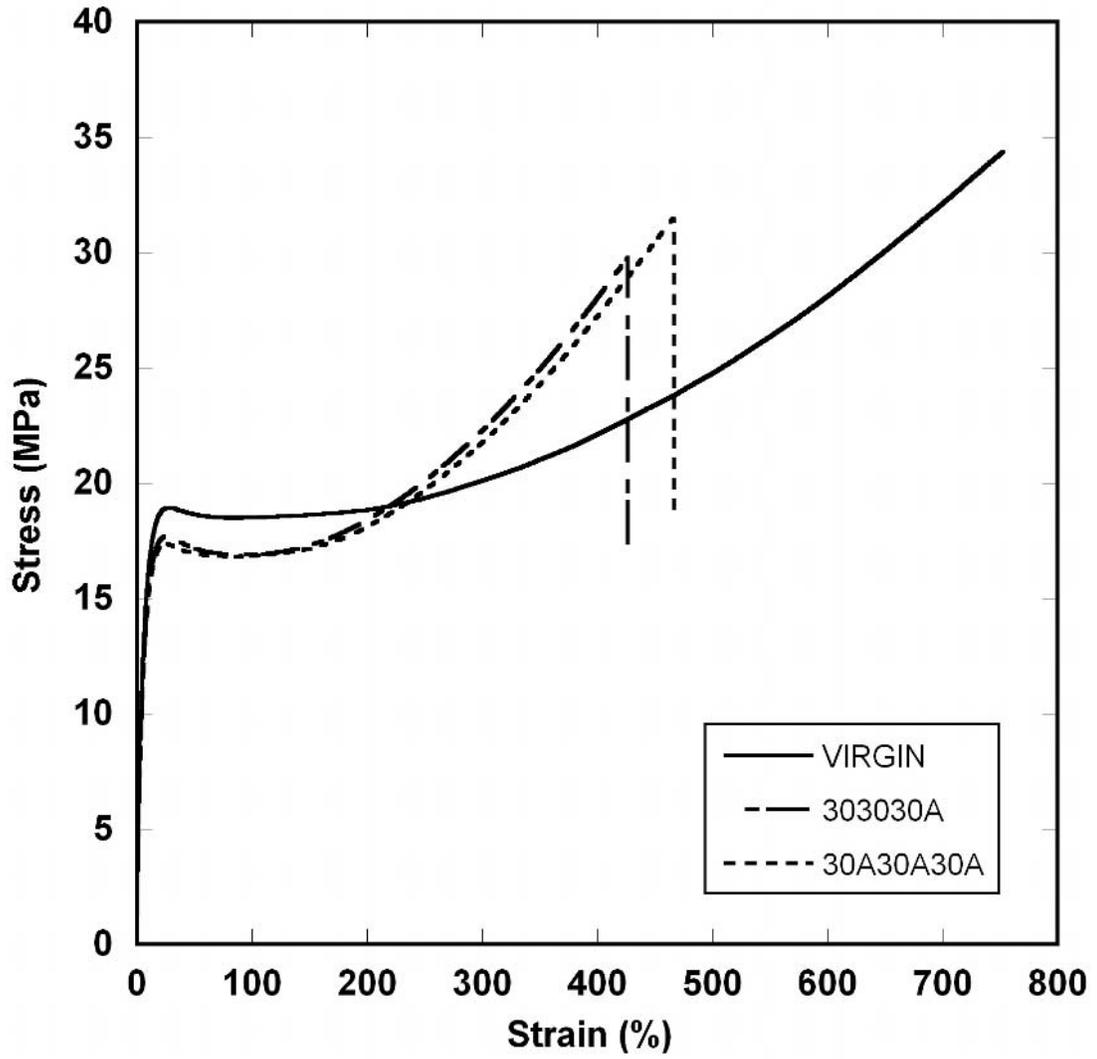
FIGURES 3A-E



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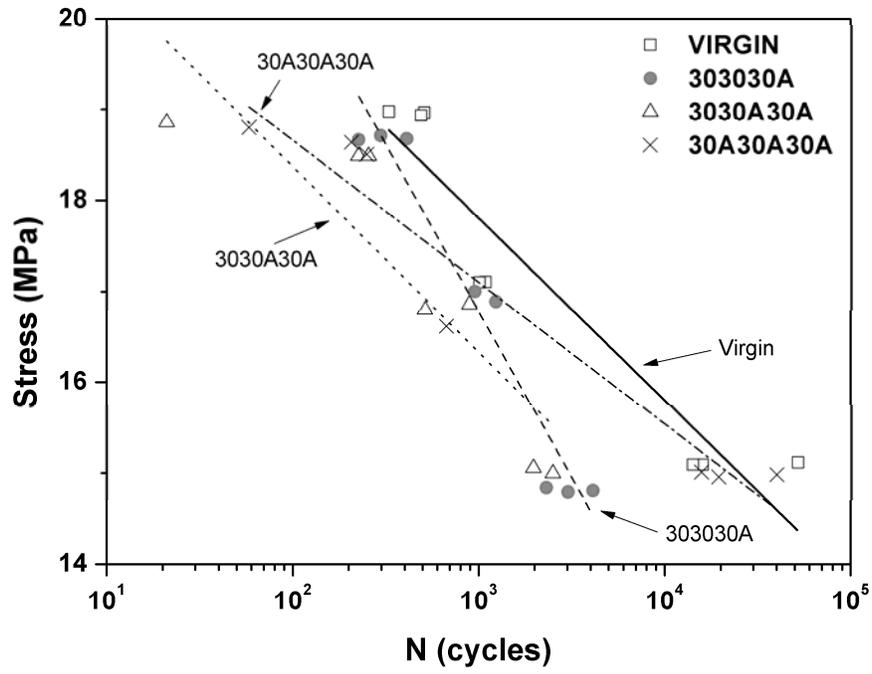
FIGURE 4



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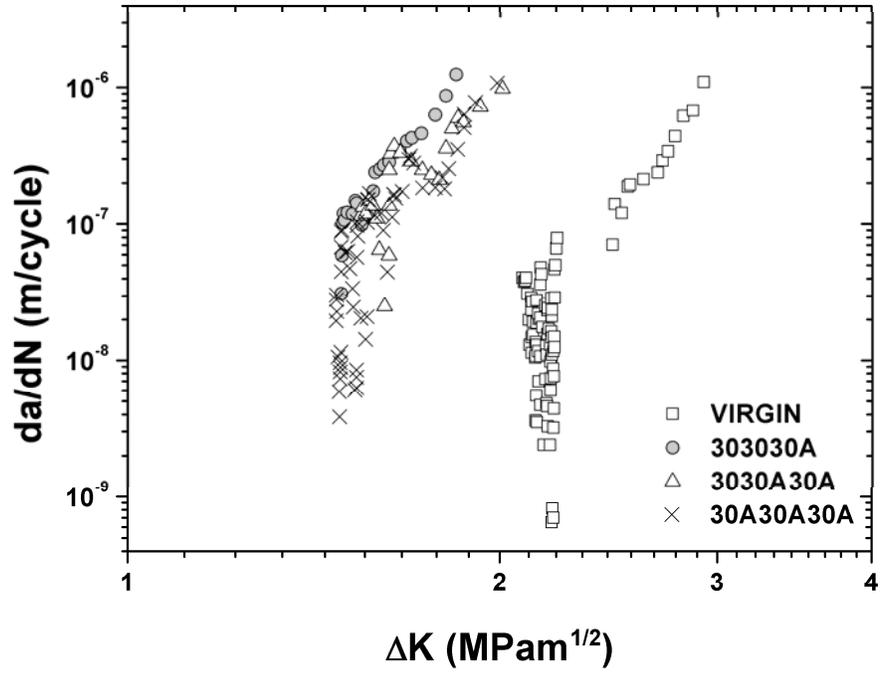
FIGURE 5



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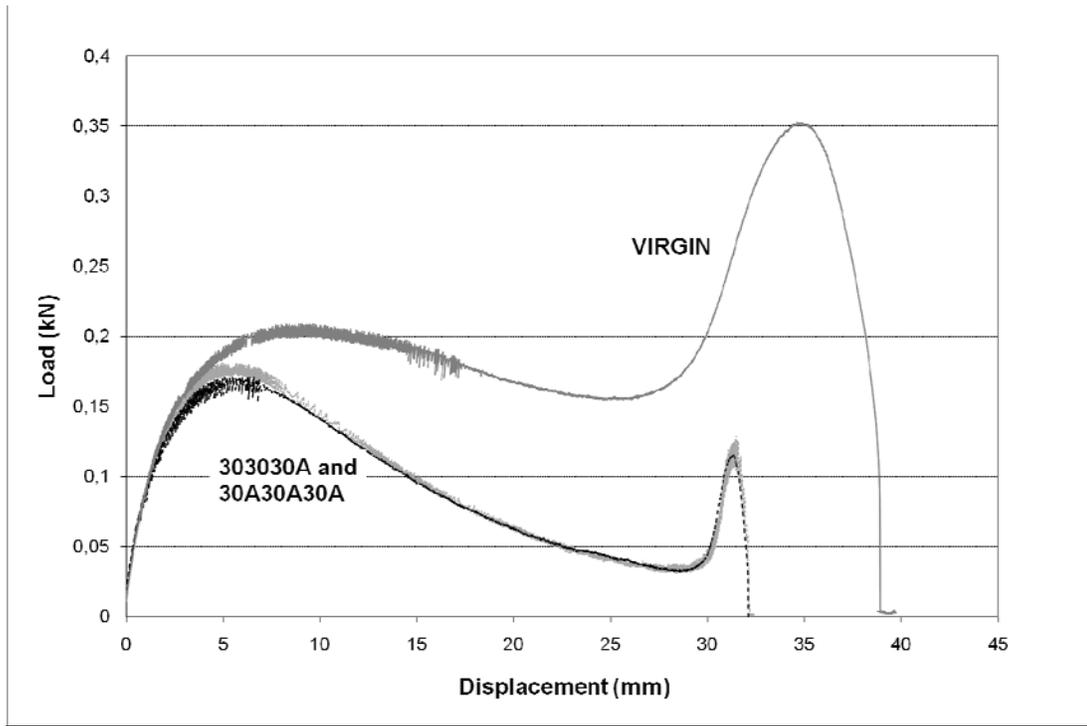
FIGURE 6



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FIGURE 7



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