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# Herpes simplex virus type 1-mediated transfer of neurotrophin-3 stimulates survival of chicken auditory sensory neurons

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#### Abstract

Neurotrophin-3 (NT-3) is one of the most potent stimulators for survival of auditory sensory neurons. Viral transfer of neurotrophins into auditory neurons may offer a route to provide a permanent supply of the growth factor and guarantee their long-term survival. Herpes simplex virus type 1 (HSV-1)-based vectors have demonstrated their effectiveness to transfer genes into peripheral sensory neurons. In the present report, we have produced a HSV-1-based amplicon vector expressing NT-3. This vector efficiently infects isolated auditory neurons and stimulates their survival during distinct developmental stages of the inner ear. Therefore, this vector may present a unique entry point to develop therapies preventing or treating hearing impairment caused by the degeneration of auditory neurons. © 2001 Published by Elsevier Science Ireland Ltd.

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Members of the neurotrophin gene family have been shown to be essential for survival of inner ear sensory neurons and maintenance of innervation with their target sensory epithelia [5]. Both brain-derived neurotrophic factor (BDNF) and neurotrophin-3 (NT-3) are expressed in the inner ear sensory epithelia of avians and mammals during development [11,12,18]. Simultaneously, their highaffinity receptors TrkB and TrkC, respectively, are expressed in the peripheral auditory sensory neurons, which connect the sensory epithelia with their corresponding central auditory nuclei [10-12]. The survival of auditory sensory neurons isolated during development or adulthood is stimulated by exposure to BDNF or NT-3 [1,7,10,11,15]. The essential character of these neurotrophins for auditory neuron survival in vivo has been confirmed in mice lacking these factors or the corresponding Trk receptors. Mice lacking NT-3 lose more than 80% of their cochlear neurons,

which fail to maintain innervation with their target organs [5].

Damage to the inner ear may be caused by aging, injury, ototoxic drugs, acoustic trauma or genetic diseases [6]. The majority of hearing loss is caused by damage or loss of sensory hair cells or auditory neurons. Cochlear implants may substitute hair cell function by electrical stimulation of auditory neurons. However, survival of a critical number of neurons is required for proper function of the prosthesis. To maintain survival of auditory neurons inside the damaged inner ear, BDNF and NT-3 have been introduced via osmotic pumps [3,4,13,16]. Alternatively, viruses may offer a strategy to provide long-term expression of neurotrophins inside auditory neurons and maintain their function [6]. In this context, defective Herpes simplex virus type 1 (HSV-1) vectors offer some characteristics which make them the ideal gene transfer vector for the peripheral nervous system [14]. Most importantly, HSV-1 infects neurons very efficiently and acquires a state of latency without compromising normal cellular functions.

In the present study, we have developed a HSV-1-based amplicon vector containing the NT-3 gene. Gene transfer with this vector led to the efficient expression of NT-3 in

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113 fibroblasts. Biological activity of NT-3 was confirmed by 114 infection of isolated avian auditory sensory neurons, which 115 are known to respond to this growth factor [1,10,11]. By 116 analyzing distinct developmental timepoints, we observed 117 stimulation of survival of these neurons comparable with 118 exogenously added NT-3. This vector may now be used to 119 express this neurotrophin in auditory neurons in vivo and 120 thus offer a potential tool to prevent neurodegenerative 121 processes inside the damaged inner ear.

122 NT-3 has been shown to act as one of the main regulators 123 of cochlear neuron survival in vertebrates [1,5,10]. We first 124 examined the effects of recombinant NT-3 on the survival of 125 isolated avian auditory sensory neurons. Embryonic heads 126 were cut at the midsagittal level and after removal of brain 127 tissue the membranous labyrinth and the cochlear duct were 128 isolated. Ganglia were separated and neuronal cell cultures 129 were prepared as described in detail earlier [7]. Briefly, 130 ganglia were dissociated after treatment with 0.05% trypsin 131 using a fire-polished Pasteur pipette. Cells were plated at a 132 final density of 1000-2000 cells in 0.5 ml per well. After 2 133 h, the serum concentration was reduced to 1% and recom-134 binant NT-3 or viruses were added. After addition of differ-135 ent concentrations of recombinant NT-3, cultures were 136 grown for 2 days and then processed for immunocytochem-137 ical staining with a neuron-specific tubulin as described in 138 detail earlier [7]. Briefly, after fixation in 4% paraformalde-139 hyde and inhibition of endogenous peroxidase, cells were 140 blocked with 20% goat serum and incubated with the class 141 III β-tubulin (TuJ1) antibody (Babco; diluted 1:500) over-142 night. Cultures were washed and incubated with biotiny-143 lated IgG antibodies (diluted 1:200), for 45 min at room 144 temperature. Finally, cells were washed and immunoreac-145 tivity was detected using the avidin-biotin peroxidase 146 complex method (Vectastain Kit, Vector Laboratories). 147 TuJ1-positive neurons with neurites four times longer than 148 cell bodies were scored as surviving neurons. This number 149 was expressed as the percentage of the total number of 150 neurons plated. Statistics were performed using the 151 Student's t-test. Compared with control cultures, we 152 observed a significant increase of neuronal survival, with 153 more than 50% of the neurons surviving at 1 and 5 ng/ml 154 of NT-3 (Fig. 1A).

155 Having confirmed the neurotrophic potential of NT-3 on 156 auditory sensory neurons, we were interested to transfer this 157 growth factor directly into auditory sensory neurons. To 158 introduce NT-3 into neurons, we used a defective HSV-1 159 vector or amplicon, that has been shown to permit efficient 160 transfer of neurotrophins into auditory neurons [7,8]. For 161 construction of pHSVnt-3 (Fig. 1B), a fragment containing 162 the cDNA encoding for rat NT-3 was inserted into the vector 163 pHSVpUC, and packaging and amplification of this vector 164 were performed using standard procedures [9]. Expression 165 of NT-3 was confirmed by an enzyme-linked immunosor-166 bent assay (ELISA) kit (Promega) after infection of 167 HEK293 cells. Briefly, quantification of NT-3 was deter-168 mined in 96-well microplates coated with a monoclonal



Fig. 1. Stimulation of auditory neurons by recombinant NT-3 and structure of the amplicon vector. (A) Survival of chicken auditory neurons isolated at embryonic day 8 upon addition of different amounts of recombinant NT-3. (B) Structure of the pHSV*nt-3* amplicon consisting of the transcription unit composed of the IE 4/5 promoter, the rat *nt-3* cDNA; the HSV-1 origin of replication (ori<sub>s</sub>) and the HSV-1 packaging site ('a'); and sequences required for propagation in *E. coli* (ori and Amp).

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anti-human NT-3 antibody which cross-reacts with rat 207 208 NT-3. Two hundred microliters of the NT-3 standard and 209 the supernatants from pHSVlacZ [9] expressing the Escher-210 ichia coli lacZ marker gene and pHSVnt-3-infected cells 211 were added to each well, and the plates were incubated for 6 h at room temperature. After washing, 100 µl of mono-212 clonal anti-NT3 antibody, followed by 100 µl of anti-mouse 213 214 IgG peroxidase conjugate were added to each well and incu-215 bated for 2.5 h. After washing, enzymatic activity was 216 detected by adding the substrate solution for 15 min. Absorbance values were measured at 450 nm using an ELISA 217 reader (Dynatech MR5000). Supernatants from cultures 218 infected with  $1.3 \times 10^3$  infectious vector units (ivu) of 219 220 pHSVnt-3 contained an average of 278 pg/ml of NT-3, whereas no significant amounts were obtained from cells 221 transduced with up to  $3.1 \times 10^4$  ivu of pHSVlacZ. 222

To verify biological activity of NT-3, we infected isolated <sup>223</sup> avian auditory sensory neurons which have been shown to <sup>224</sup>

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E. Carnicero et al. / Neuroscience Letters xx (2002) xxx-xxx

225 respond to this growth factor during distinct stages of avian 226 development [1]. We transduced cochlear neurons cultures 227 isolated between embryonic days 5.5 (E5.5) and E14 with 228 1.65–10<sup>4</sup> ivu/ml of pHSVnt-3 (multiplicity of infection 229 (MOI): 8) and  $3.1 \times 10^4$  ivu/ml of pHSVlacZ (MOI: 15), 230 respectively, and examined the effects after 2 days. HSV-231 1-mediated transfer of NT-3 resulted in an increased survi-232 val response of cochlear neurons isolated between E5.5 and 233 E9, compared with cultures infected with a prototype ampli-234 con vector pHSVlacZ or uninfected cultures (Figs. 2A,B 235 and 3). Compared with neurons grown in the presence of 236 exogenously added NT-3, a similar amount of neurons 237 survived in cultures transduced with pHSVnt-3 at these 238 stages. Neuronal cultures prepared from E14 of develop-239 ment showed no increased survival response to pHSVnt-3 240 (Figs. 2C,D and 3). Similarly, exogenously added recombi-241 nant NT-3 had previously been demonstrated to have no 242 stimulatory effect at E11 and E13 [1].

The present report underlines the neurotrophic role of NT-3 during innervation of the chicken cochlea. Cochlear neurons respond to NT-3 between E5 and E9 in vitro when peripheral fibers of the cochlear ganglion invade the sensory epithelium and start early synaptogenesis in vivo. After E9, no increased survival of auditory neurons was observed upon stimulation by NT-3. These observations agree with the expression of NT-3 in vivo, which has been detected at E6 and E8, but not at E12 [11]. However, stimulation of auditory neurons is also observed at E5 [1], when no expression of NT-

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271 Fig. 2. Images of cochlear neuron cultures isolated at day 8 (A,B) or day 14 (C,D) of chicken development which have been trans-272 duced with pHSVIacZ (A,C) or pHSVnt-3 (B,D). After 48 h in 273 culture, cells were fixed and stained with a tubulin antibody to 274 visualize neurons. Cultures prepared at embryonic day 8 and 275 infected with pHSVnt-3 show increased neuronal survival compared with control cultures infected with pHSVIacZ. At day 276 14 of development, similar amounts of neurons are observed in 277 both pHSVIacZ- and pHSVnt-3-transduced cultures. Neuronal 278 cultures were infected with  $1.65 \times 10^4 \ ivu/ml$  of pHSVnt-3 and 279  $3.1 \times 10^4$  ivu/ml of pHSVIacZ, respectively. Arrows point out 280 neurons stained with the tubulin antibody. Scale bar, 100  $\mu$ m.



Fig. 3. Temporal pattern of neurotrophic effects of virally transferred NT-3 on chicken auditory neurons. Bar charts show the survival of mock-, pHSV*lacZ*- and pHSV*nt-3*-infected neurons isolated at the embryonic day of development (E) indicated, after 48 h. The results are expressed as the means  $\pm$  SD of three separate determinations for each experimental condition. The data shown demonstrate a clear survival-promoting effect of pHSV*nt-3* between E5.5 and E9, whereas neurons do not respond at E14. Values from cultures infected with pHSV*nt-3* are statistically different from values for pHSV*lacZ* and the uninfected control (P < 0.001, *t*-test) at E5.5–E9.

3 has been detected [11]. The most likely explanation for this 309 310 discrepancy is the presence of high-affinity receptors for NT-3, encoded by TrkC, which are expressed during these stages 311 312 [2]. TrkC expression thus precedes the expression of NT-3 in 313 vivo. Additionally, TrkC is also maintained during later embryogenesis and the post-hatch period, when NT-3 is 314 possibly supplied by central sources [2]. In summary and 315 as suggested earlier, NT-3 appears to play only a transitory 316 role during chicken development [2,11]. This situation is 317 318 strikingly different in mammals, where NT-3 is expressed throughout development and is the only neurotrophin present 319 320 at adult stages [18,19]. In the adult cochlea, NT-3 is 321 expressed in inner hair cells, which are innervated by type I 322 sensory neurons which comprise the vast majority of 323 cochlear neurons in mice. The importance of this neurotrophin during development is convincingly demonstrated by 324 the loss of more than 80% of cochlear sensory neurons in 325 326 mice lacking NT-3 [5]. In contrast and as expected, lack of 327 signaling via other neurotrophin members only produces 328 minor or no defects to auditory system development [5]. NT-3 thus may be considered the most promising candidate 329 330 to provide neurotrophic support for mammalian auditory sensory neurons, which are at risk of undergoing neurode-331 generative processes. Indeed, in vitro models for neurode-332 generation provided evidence that NT-3 is the most efficient 333 334 neurotrophin for survival of maturing auditory neurons [15]. Moreover, neuronal degeneration caused by ototoxins was 335 336 largely prevented by NT-3 in vitro, although BDNF and NT-

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#### E. Carnicero et al. / Neuroscience Letters xx (2002) xxx-xxx

337 4 were shown to act with similar efficacy [20]. Most impor-338 tantly, upon infusion via osmotic pumps, NT-3 has consis-339 tently protected cochlear neurons from noise- and 340 aminoglycoside-induced ototoxicity [3,4,13,16]. In this 341 context, NT-3 has additionally been shown to participate in 342 the protection of hair cells [3]. BDNF has also been reported 343 to prevent loss of auditory neurons in vivo, although with less 344 efficiency than NT-3 [16]. The fact that NT-3 may provide a 345 potent protection of auditory sensory neurons in vivo 346 prompted us to develop a viral vector expressing this neuro-347 trophin. Previous studies have described HSV-1-based 348 amplicon vectors with similar characteristics expressing 349 BDNF, which were shown to stimulate neuronal survival 350 and prevent degeneration of auditory neurons [7,8,17]. 351 Compared with other viral vectors, like for instance adeno-352 virus, HSV-1-based vectors are unique in their capacity to 353 infect neurons very efficiently and harbor the potential to 354 provide a life-long supply of the gene product expressed by 355 the vector, thereby creating an endogenous source and elim-356 inating the need to use osmotic pumps. Our results demon-357 strate the HSV-1-mediated expression of biologically active 358 NT-3 in auditory sensory neurons, which efficiently stimu-359 lates their survival in vitro. Future experiments will now be 360 directed to explore the neuroprotective capacity of this vector 361 in the inner ear and other NT-3-dependent peripheral sensory 362 systems in vivo.

363 The results of this study highlight the unique importance 364 of NT-3 for the formation of the peripheral nervous system 365 innervating the inner ear and the survival of auditory 366 sensory neurons. The efficient transfer of this neurotrophin 367 into these neurons via a HSV-1-based amplicon vector 368 underlines the capacity of this system to permanently supply 369 the inner ear with neurotrophic molecules. The future appli-370 cation of this vector will include the introduction of NT-3 371 into auditory sensory neurons in vivo which may offer a 372 decisive key step to prevent their degeneration and thus to 373 ameliorate or avoid hearing impairment.

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