# Maximizing the acquisition of unique reads in non-invasive capture sequencing experiments

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Running title: Increasing coverage of captured fecal DNA

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## Abstract

- Non-invasive samples as a source of DNA are gaining interest in genomic studies of endangered species. However, their complex nature and low endogenous DNA content hamper the recovery of good quality data. Target capture has become a productive method to enrich the endogenous fraction of non-invasive samples, such as feces, but its sensitivity has not yet been extensively studied. Coping with fecal
- 50 selection of samples from a large collection is not possible. However, samples classified as unfavorable for target capture sequencing might be the only

samples with an endogenous DNA content below 1% is a common problem when prior

- 52 representatives of unique specific geographical locations or to answer the question of interest.
- 54 To explore how library complexity may be increased without repeating DNA extractions and generating new libraries, here we have captured the exome of 60 chimpanzees
- 56 (*Pan troglodytes*) using fecal samples with very low proportions of endogenous content (< 1%).</li>
- 58 Our results indicate that by performing additional hybridizations of the same libraries, the molecular complexity can be maintained to achieve higher coverage. Also,
- 60 whenever possible the starting DNA material for capture should be increased. Lastly, we have specifically calculated the sequencing effort needed to avoid exhausting the
- 62 library complexity of enriched fecal samples with low endogenous DNA content.This study provides guidelines, schemes and tools for laboratories facing the
- 64 challenges of working with non-invasive samples containing extremely low amounts of endogenous DNA.

66 **Keywords**: Non-invasive samples, fecal samples, target capture, molecular complexity, conservation genomics, chimpanzees.

# 68 Introduction

Studies of wild populations that are unamenable to invasive sampling (eg: trapping or 70 darting) often rely on the usage of low guality and/or guantity DNA samples (Schwartz, Luikart, & Waples, 2007; Vigilant & Guschanski, 2009), traditionally restricting the analysis to neutral markers or genetic loci such as microsatellites (Arandielovic et al., 72 2011; Inoue et al., 2013; Mengüllüoğlu, Fickel, Hofer, & Förster, 2019; Orkin, Yang, 74 Yang, Yu, & Jiang, 2016), autosomal regions (Fischer, Wiebe, Pääbo, & Przeworski, 2004) and the mitochondrial genome (Fickel, Lieckfeldt, Ratanakorn, & Pitra, 2007; 76 Thalmann, Hebler, Poinar, Pääbo, & Vigilant, 2004). Depending on the researcher's question, these neutral genetic markers may continue to be the most economical and efficient method (Shafer et al., 2015). However, for other questions such as cataloging 78 genetic diversity, assessing kinship, making fine inferences of demographic history, or 80 evaluating disease susceptibility it becomes increasingly relevant to acquire a more representative view of the genome (Ouborg, Pertoldi, Loeschcke, Bijlsma, & Hedrick, 82 2010; Primmer, 2009; Shafer et al., 2015; Städele & Vigilant, 2016; Steiner, Putnam, Hoeck, & Ryder, 2013).

Conservation genomics of ecologically-crucial, non-model organisms, and especially threatened species such as great apes, have largely benefited from the current advances in next-generation sequencing (NGS) technologies (Gordon et al., 2016; Locke et al., 2011; Mikkelsen et al., 2005; Scally et al., 2012). The ability to

simultaneously interrogate hundreds of thousands of genetic markers across an entire

genome allows greater resolution on inferences of demographic parameters, genetic

- 90 variation, gene flow, inbreeding, natural selection, local adaptation and the evolutionary history of the studied species (De Manuel et al., 2016; Prado-Martinez et
- 92 al., 2013; Xue et al., 2015).

The major impediment to the study of wild, threatened, natural populations continues

- to be the difficulties in acquiring samples of known location from a large number of individuals. To avoid disturbing and negatively influencing endangered species
   (alteration of social group dynamics, infections and stress) (Morin, Wallis, Moore,
- Chakraborty, & Woodruff, 1993; Taberlet, Luikart, & Waits, 1999), but also to track
  cryptic or monitor reintroduced species (De Barba et al., 2010; Ferreira et al., 2018;
  Reiners, Encarnação, & Wolters, 2011; Stenglein, Waits, Ausband, Zager, & Mack,
  2010), sampling often relies on non-invasive (NI) sources of DNA such as feces and
- hair, rather than invasive samples such as blood or other tissues, which yield better DNA quality and quantity.

NI samples have a complex nature: they are typically composed of low proportions of

- 104 host or endogenous DNA (eDNA), are highly degraded (Perry, Marioni, Melsted, & Gilad, 2010; Taberlet et al., 1999), and contain genetic material from the host's
- microbiota and from species living in the environment where the sample was collected
   (i.e., exogenous DNA) (Hicks et al., 2018). The proportion of endogenous versus
   exogenous DNA can be highly variable (Hernandez-Rodriguez et al., 2018) and as
   previous literature has proposed, may depend on the environmental conditions, with
- humidity and ambient temperature having the highest influence (Goossens, Chikhi, Utami, De Ruiter, & Bruford, 2000; Harestad & Bunnell, 1987; King, Schoenecker, Fike,
- 112 & Oyler-McCance, 2018; Nsubuga et al., 2004). Because of this, the employment of

techniques that generate sequences of the whole genomic content of the samples,

- 114 such as NGS, has not been economically feasible until recently. Target enrichment technologies, also known as capture, have become a common and successful
- 116 methodology in ancient DNA studies (Carpenter et al., 2013) and have allowed for a more cost-effective use of NGS on NI samples, as the endogenous to exogenous DNA
- ratio greatly improves, thus reducing the sequencing effort (Perry et al., 2010; Snyder-Mackler et al., 2016; van der Valk, Lona Durazo, Dalén, & Guschanski, 2017). Capture
- 120 methods reduce the relative cost of sequencing and improve the quality of the data by building DNA libraries that are hybridized to complementary baits for selected target
- 122 regions (partial genomic regions, a chromosome(s), the exome, or the whole genome) increasing the proportion of the targeted eDNA to be sequenced.
- 124 Despite the existence of technical studies describing the use of NI samples for the study of wild chimpanzees (*Pan troglodytes*) (Hernandez-Rodriguez et al., 2018; White
- et al., 2019) many aspects remain to be investigated. For instance, in Hernandez-Rodriguez et al., samples were selected to cover the entire range of observed average
- 128 fragmentation lengths and percentage of eDNA, in order to be as representative as possible. As a result, they observed a sequencing bias due to the different percentage
- 130 of endogenous content in captured samples. To avoid that outcome, they proposed performing equi-endogenous pools instead of the standard pooling of libraries
- according to molarity. White et al. followed this recommendation and yielded a more balanced representation across samples. However, their experiments were limited to
- only those samples with a proportion of eDNA above 2% (White et al., 2019). As shown
   by Hernandez-Rodriguez et al. there is a positive association between endogenous
   content and the amount of data acquired from a sample, such that when possible, one

should use those samples with higher endogenous content. However, the proportion of chimpanzee fecal samples with eDNA above 2% is often very low (<20%) (White et al., 2019).

- 140 The NI chimpanzee samples used in this study were collected from 15 different geographic sites across the whole species' ecological habitat in Africa and included all
- 142 four subspecies, thus representing a wide variety of sampling and environmental conditions. With this screening approach we were able to examine how the proportion
- of eDNA content varies between each site, revealing that the majority of collected samples in some sites have low proportions of eDNA (<1%). Therefore, when prior
- selection of samples from a large collection is not possible, the only ones to represent a specific location or relevant to the scientific question might be those with extremely low proportions of endogenous content. Because of that, we have focused our efforts
- on developing approaches to retrieve the maximum data possible from challenging samples.

In that regard, we sought to capture the exome of 60 chimpanzee fecal samples as

152 part of the Pan African Programme: The Cultured Chimpanzee (PanAf) (<u>http://panafrican.eva.mpg.de/</u>) (Kühl et al., 2019) with eDNA estimates below 1%. We

154 used a commercial human exome to evaluate how the coverage of targeted genomic regions may be increased in a collection of samples that may be regarded as

156 unfavorable for target capture sequencing. We confirmed the importance of the correct estimation of eDNA and the pooling of libraries accordingly to avoid sequencing bias

across samples (Hernandez-Rodriguez et al., 2018). We also expanded on previously
 explored and unexplored guidelines to ensure the maintenance of the captured
 molecule diversity or library complexity such as the number of libraries in a pool, the

performance of additional hybridizations and increasing the total DNA starting material

- 162 for capture (Hernandez-Rodriguez et al., 2018; Perry et al., 2010; Snyder-Mackler et al., 2016; White et al., 2019).
- 164 Our results provide the most comprehensive exploration to date of target enrichment efficiency in very low eDNA fecal samples, and guidelines to improve the quality of the
- data without re-extracting DNA and preparing new libraries. These findings could greatly benefit the conservation effort on great apes, as well as any other species with
- 168 similar DNA sampling limitations.

# Material and Methods

#### 170 Samples and Library Preparation

Chimpanzee fecal samples from 15 different sites in Africa were collected as part of

- 172 the PanAf (Figure 1A). Approximately 5g ("hazelnut-size") of feces were collected from each chimpanzee fecal sample and stored in the field using a two-step ethanol-silica
- 174 preservation method (Nsubuga et al., 2004). Depending on the density of the sample, between 10 and 80 mg of dry fecal sample were extracted using a Qiagen robot with
- the QIAamp Fast DNA Stool Mini Kit (Qiagen) with modifications (Lester et al, in review). The extractions were screened using a microsatellite genotyping assay
- 178 (Arandjelovic et al., 2009; Arandjelovic et al., 2011) and up to 20 samples from eachPanAf field site were selected as follows (1) those that amplified at the most loci of the
- 180 15 loci panel, (2) represented unique individuals, and (3) were ascertained to be nonfirst degree relatives (Csilléry et al., 2006) (302 samples) (Supporting Information
- 182 Table S1). To ensure sufficient template DNA for library preparation, the 302 samples were re-extracted using the same QIAamp kit and between 100 and 200 mg of dry

- 184 fecal sample. Total DNA concentration and fragmentation were measured on a Fragment Analyzer using a Genomic DNA 50Kb Analysis kit (Advanced Analytical) and
- 186 the fragmentation level was calculated with PROSize Data Analysis Software (Agilent Technologies). Endogenous DNA content (fraction of mammalian DNA, relative to gut
- microbial and other environmental genetic material) was estimated by qPCR (Morin,Chambers, Boesch, & Vigilant, 2001). Finally, percentage of endogenous content for
- 190 each sample was calculated by dividing the chimpanzee eDNA concentration by the total DNA concentration. We selected 60 samples with an intermediate percentage of
- eDNA (0.41-0.85%, average 0.61%) from the 302 screened samples (range of endogenous distribution: 0-47.57%, average 1.49%) (Supporting Information S1 and
- 194 Table S2).

A single library was prepared for each of the 60 samples following the BEST protocol

(Rohland & Reich, 2012). Library concentration was calculated using Agilent 2100

- (Carøe et al., 2018) starting with 200 ng total DNA (from a sample) with minor modifications. Specifically, double in-line barcoded adapters were used, barcoding
  each sample at both ends of its library to allow for its unique identification within a pool
- 200 BioAnalyzer and DNA7500 assay kit. A detailed protocol for library construction can be found in Supplementary Information.
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## **Pooling and Capture**

- 204 Endogenous DNA content is a key factor in target-capture experiments directly influencing the yield of on-target reads and molecule diversity (Hernandez-Rodriguez
- 206 et al., 2018). Our equi-endogenous sample pooling strategy follows two criteria. First, samples belonging to a pool have similar eDNA proportions according to a 1:2 ratio

- 208 rule: the sample with highest proportion of eDNA cannot double the sample with the lowest. Second, each sample within a pool contributes the same total amount of eDNA
- 210 (μg) to the final pool, creating an equi-endogenous pool. So, the sample with the lowest percentage of eDNA will contribute more total DNA to the final pool compared to the
- sample with the highest, but the amount of eDNA per sample will be equivalent.According to the estimates of eDNA, we pooled the 60 libraries into three primary pools
- 214 (see graphical representation in Figure 2). The first pool (P1) with 2 μg total DNA (in the pool) consisted of 10 samples with an average endogenous content of 0.81%
- 216 (range 0.69-0.85%). The second pool (P2) had 4 µg total DNA and consisted of 20 samples and an average endogenous content of 0.69% (range 0.58-0.80%). The 30
- remaining libraries were pooled into the third pool (P3) of 6 µg total DNA with an average endogenous content of 0.49% (range 0.41-0.66%) (Table 1 and Figure 3A,
- 220 Supporting Information Table S2). Subsequently, each initial primary pool was subdivided into two (P1E1, P1E2), four (P2E1, P2E2, P2E3, P2E4) and six (P3E1,
- P3E2, P3E3, P3E4, P3E5, P3E6) exome capture (E) replicates each consisting of 1 µg of total DNA.
- Independently, we repeated the construction of the primary pools (P1, P2 and P3), but with each having 4 µg total DNA. Each of these new primary pools was then divided
- 226 into two replicates of 2 μg each (P1E3, P1E4, P2E5, P2E6, P3E7, P3E8). As a consequence of generating replicate primary pools, six of the 60 libraries were
- exhausted and are not present in these replicate primary pools. As a result, across all 60 samples and 18 hybridizations there are a total of 388 individual hybridization
- experiments (Figure 2). All details are provided in Table 1.

Each exome capture experiment consisted of two consecutive hybridizations, or dualcapture reactions as previously recommended (Hernandez-Rodriguez et al., 2018) using the SureSelect Human All Exon V6 RNA library baits from Agilent Technologies

- and was performed following the manufacturer's protocol with some modifications (full protocol is available in Supporting Information), and started with either 1  $\mu$ g or 2  $\mu$ g
- total DNA (Table 1 and Figure 2). After the first hybridization reaction and the subsequent PCR enrichment, we performed the second hybridization reaction with all
- 238 available material. The final captured pool was amplified with indexed primers (Kircher, Sawyer, & Meyer, 2012), double-indexing each library within a pool, thereby tagging
- 240 each library to a specific hybridization experiment. Double inline barcoded (sample specific) and double indexed (pool specific) libraries allow for multiplexing many
- 242 libraries into a single pool and sequencing many pools into a single sequencing lane, even when the same sample library is present in multiple hybridization reactions. This
- 244 permits the tracking of unique experiments.

For the reminder of the article when we use the word "capture" or "hybridization", we will always be referring to the dual-capture or two consecutive rounds of capture hybridizations that are described above.

248 Sequencing and Mapping

Captured libraries were pooled into 3 sequencing batches and sequenced on a total of

3.75 lanes of a HiSeq 4000 with 2x100 paired-end reads: SeqBatch1 (P1E1, P2E1, P2E2, P3E1, P3E2, P3E3), SeqBatch2 (P1E2, P2E3, P2E4, P3E4, P3E5, P3E6) and
SeqBatch3 (P1E3, P1E4, P2E5, P2E6, P3E6, P3E7, P3E8) (Table 1).

Demultiplexed FASTQ files were trimmed with Trimmomatic (version 0.36) (Bolger, Lohse, & Usadel, 2014) to remove the first 7 nucleotides corresponding to the in-line

barcode (HEADCROP: 7), the Illumina adapters (ILLUMINACLIP:2:30:10), and bases

with an average quality less than 20 (SLIDINGWINDOW:5:20). Paired-end reads were aligned to human genome Hg19 (GRCh37, Feb.2009 (GCA\_000001405.1)) using

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- BWA (version 0.7.12) (Li & Durbin, 2009). Duplicates were removed using PicardTools (version 1.95) (<u>http://broadinstitute.github.io/picard/</u>) with MarkDuplicates option.
- Further filtering of the reads was carried out to discard secondary alignments and reads with mapping quality lower than 30 using samtools (version 1.5) (Li et al., 2009). From
- 262 now on, we will refer to those reads remaining after filtering as "reliable reads". To retrieve the reliable reads on-target we used intersectBed from BEDTOOLS package
- 264 (version 2.22.1) (Quinlan & Hall, 2010) using exome target regions provided by Agilent.In cases where we combined sequencing data, we merged filtered bam files from
- 266 different hybridizations using MergeSamFiles option from PicardTools (version 1.95) (<u>http://broadinstitute.github.io/picard/</u>). Since the merged bam files can still contain
- 268 duplicates generated during library preparation, we removed duplicates and then retrieved the reliable reads on-target using the same methodology as above.
- For all previous steps, the total number of reads were counted using PicardTools (version 1.95) (<u>http://broadinstitute.github.io/picard/</u>) with
  CollectAlignmentSummaryMetrics option. The percentage of human contamination was estimated by using positions where modern humans and chimpanzees
  consistently differ. We used previously published diversity data on high-coverage genomes from the *Pan* species (chimpanzee and bonobos) (De Manuel et al., 2016)
  and human diversity data from the 1000 Genomes Project (Auton et al., 2015),

selecting positions where the human allele is observed at more than 98% frequency,

- 278 and a different allele is observed in almost all *Pan* individuals (136 out of 138 chromosomes). Genome-wide, 5,646,707 chimpanzee-specific positions were
- identified. Using samtools mpileup (Li et al., 2009), we retrieved the number of observations of human-like alleles at these positions in the mapped reads, and
   estimated the human contamination as the fraction of observations for the human-like allele

across all positions.

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#### Capture performance

- 286 Capture performance was evaluated by calculating the enrichment factor (EF), capture specificity (CSp), library complexity (LC), and capture sensitivity (CS) as described in
- Hernandez-Rodriguez *et al* (2018). EF is calculated as the ratio of the number of reliable reads on-target to the total reads sequenced divided by the fraction of the
- 290 target space (64Mb) to the genome size (~3Gb). CSp is defined as the ratio of reliable on-target reads to the total number of reliable reads. LC is defined as the number of
- reliable reads divided by the total number of mapped reads (containing duplicated reads). Capture sensitivity (CS) is defined as the number of target regions with an
- 294 average coverage of at least one (DP1) but also four (DP4), ten (DP10), twenty (DP20) or fifty (DP50) - divided by the total number of target regions provided by the
- 296 manufacturer (n = 243,190). To calculate the average coverage of the target regions we used samtools (version 1.5) with the option bedcov (Li et al., 2009).

298 To generate molecular complexity or library complexity curves (MC), we used the subsampling without replacement strategy implemented in Preseq software (version

300 2.0.7) with c\_curve option (<u>http://smithlabresearch.org/software/preseq/</u>) from the bam

files without removing duplicates. MCs were sequentially estimated by adding the 302 production reads, i.e. raw reads produced by sequencing, from additional hybridizations, one at a time until all hybridizations from the same library were merged 304 (schematic representation in Figure S2).

Correlation coefficients among all pairs of study variables were estimated. Spearman's

- 306 rho (cor.test(, method = "sp") from R stats package) was estimated when comparing two numeric variables. Among two categorical variables we estimated Cramér's V,
- 308 derived from a chi-squared test (chisq.test() from R stats package). When comparing a numeric and categorical variable we took the square root of the R-squared statistic
- 310 derived from a univariate linear model (Im() from R stats package) with a rank normal transformation (rntransform() modified from the GenABEL package to randomly split
- 312 tied values) on the dependent, numerical values. In addition, univariate and multivariate type I hierarchical analysis of variances (ANOVA; anova() from R stats
- 314 package) were performed to estimate the variance explained (or eta-squared) each experimental variable has on performance summary statistics (number of unique
- <sup>316</sup> reads, reliable reads, EF, LC, CS and CSp). We down-sampled libraries to 1,500,000 reads (n=274) to remove production reads as a confounding factor. Each performance
- 318 statistic was rank normal transformed with ties being randomly split to ensure normality of the dependent variable. Univariate analysis focused on the effect that subspecies,

geographic sampling site, total DNA concentration, endogenous DNA concentration, percent endogenous DNA, average fragment length, pool, amount of DNA in a
 hybridization, hybridization and sequencing batch had on each performance statistic. A multivariate model was built to conform with experimental (hierarchical) order, such
 that each dependent variable (performance summary statistic, CS at DP1) was

explained by ~ subspecies + site + % eDNA + average fragment size + pool + amount

- 326 of DNA + hybridization + sequencing batch + error. Again, the variance explained by each independent variable was summarized by computing the eta-square statistic
- derived from the sums of squares for each variable using a type I hierarchical ANOVA.All statistical analyses were performed in R (version 3.5.2) (R Core Team, 2018).

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## Results

332 Sample Description

Samples were collected from 15 different PanAf sites distributed across the entire

- range of chimpanzees in Africa (Figure 1A and Supporting Information Table S1). The302 screened samples had an average eDNA of 1.49%, ranging from 0 to 47.75%
- 336 (Figure 1B, Supporting Information Figure S1A and Table S1) with 70.2% of the samples below 1% eDNA, according to qPCR estimates (Figure 1C). The average
- fragment length for screened samples was 3,479.94 bp (ranging from 72 to 17,966 bp)(Supporting Information Figure S1B and Table S1).
- 340 We observe variation on the average endogenous content among geographical sites (Figure 1B), and also variation on fragment length among geographical sites
- 342 (Supporting Information Figure S1B). For instance, samples collected in a specific location such as Campo Ma'an (Cameroon) have an average eDNA of 0.02%, an
- 344 extremely low value compared to the average of all sites of 1.49%. On the other hand, some sites such as Ngogo (Uganda) have samples with higher than average eDNA
- 346 (6.95%) (Supporting Information Table S3). This might be explained by the influence of weather, humidity and temperature on DNA preservation and bacterial growth in the

- 348 fecal sample before collection as well as a product of sample age and quality of sampling conditions (Brinkman, Schwartz, Person, Pilgrim, & Hundertmark, 2010;
- Goossens et al., 2000; Harestad & Bunnell, 1987; King et al., 2018; Nsubuga et al.,2004; Wedrowicz, Karsa, Mosse, & Hogan, 2013).
- A total of 60 samples with a mean percent endogenous content of 0.58% and range from 0.41% to 0.85%, and with a median human contamination of 0.0875% from all
- 354 four chimpanzee subspecies and 14 geographic sites were carried forward into target capture enrichment experiments (Table S2). After double-inline-barcoded library
- 356 production, the 60 samples were placed into 3 pools with 10, 20 and 30 samples each.Samples were divided into pools based on their percent endogenous content, such
- that those samples with higher levels of percent endogenous content were in P1 with10 samples (mean = 0.81) and those with the smallest were in P3 with 30 samples
- (mean = 0.49; P2 mean = 0.69) (Figure 3A). As such the percent endogenous DNA is highly structured among the three pools, explaining 81% of the variation in eDNA
   (univariate linear model using rank normal transformed % eDNA; p-value = 2.05x10<sup>-</sup>
  - <sup>91</sup>) (Supporting Information Figure S4A).

#### 364 Read Summary Statistics and Capture Performance

As illustrated in Figure 3B across a total of 18 hybridization experiments sequenced
we obtained ~1.40 billion reads distributed among 3 pools. Of those, ~1.19 billion were
mapped reads (85.19%), with ~203 million reads being considered duplicate-free,
reliable reads (14.6%). After removing off-target reads, we obtained a total of ~174
million on-target-reliable reads (12.48%) (Supporting Information Table S4, Supporting
Information Figure S3A). However, on average each hybridization experiment yielded

an average of 17.35% on-target-reliable reads, with a range of 4.15% in our earliest

- 372 experiments to 34.85% in our later experiments (Supporting information Table S5).The observed high levels of duplicates are a consequence of the low endogenous
- 374 content of the samples and the exhaustion of library complexity during sequencing; we will elaborate on outcome and improvements below.
- The ~1.40 billion reads were not equally distributed among the 3 pools (production reads explained by pools;  $r^2 = 0.41$ , p-value =  $3.24 \times 10^{-16}$ ) or 18 hybridizations ( $r^2 = 0.41$ , p-value =  $3.24 \times 10^{-16}$ )
- 378 0.62, p-value = 2.59x10<sup>-30</sup>). In fact, two hybridizations of P1 (P1E1, P1E2) were sequenced to an average depth of 18 million reads, while all other hybridizations had
- an average depth of 3 million reads (Figure 3C). This very deep sequencing, in P1E1 and P1E2, led to a point where the library complexity was exhausted, leading to the
   sequencing of a high number of PCR duplicates (Supporting Information Figure S3A, S3B and Supporting Information Table S5). We therefore reduced subsequent
   sequencing efforts, as discussed in section "Optimization of required production reads", for the remaining replicate hybridizations.
- 386 All capture performance summary statistics (Supporting Information Table S4), to the exception of capture specificity (CSp), are strongly correlated with the number of
- production reads acquired (median correlation coefficient = 0.422, CI = 0.03 to 0.93;Supporting information Figure S4A, Table S6). Given this, and also because of the
- 390 distinct difference in the number of production reads between P1E1 and P1E2 and all other hybridizations we down-sampled all experiments to 1.5 million production reads,
- retaining only those 274 sample/hybridization experiments with 1.5 million production reads, and re-estimated all capture performance summary statistics (Supporting
   Information Figure S4B, Table S7 and S8). The effect each experimental variable has

on performance was estimated in a univariate linear model after rank normal 396 transforming each summary statistic (Figure 4A). We observed a near uniformity in the variance explained by each experimental variable across each performance statistics. 398 In short, the average, ranked order of variance explained by each explanatory variable are sample (86.50%), hybridization (38.72%), sequencing batch (28.78%), site 400 (20.5%), pool (13%), % endogenous DNA (11%), subspecies (8.85%), starting DNA amount (7.35%), endogenous DNA concentration (5.14%), average fragmentation size 402 (2.12%), and total DNA concentration (2.07%). Given these observations we may conclude that variation in hybridization and sequencing are crucial to performance. 404 However, sample quality and starting material varies among our hybridizations and sequencing batches. These tendencies can be observed in Figure 5A-C. We account for this in a multivariate linear model followed by a decomposition of the variance in a 406 type I hierarchical analysis of variance (ANOVA). To do so we fit a linear model ordered 408 by experimental choices, as described in materials and methods, to explain Capture Sensitivity (CS) at DP1 which is being used here as an example of capture 410 performance. This model indicates that hybridization explains, on average, an attenuated 17.80% of the variation in performance, followed by percent endogenous

- 412 content (17.11%), site (9.62%), subspecies (9.26%), pool (3.92%) and then the amount of DNA in the hybridization (3.58%) (Figure 4B). Results for all other performance
- summary statistics mirror those for CS at DP1 and can be seen in Figure S5.

## Relevance of Equi-Endogenous Pools

416 The observations of Hernandez-Rodriguez et al. and White et al. suggest that pooling libraries by eDNA concentration (in equi-endogenous pools) prior to hybridization

- 418 capture should reduce or remove the effect of variation in eDNA across samples on targeted capture sequencing performance. Indeed, eDNA did not have a major
- 420 influence on production reads or on-target reads, although a slightly positive trend can be observed in some hybridizations of P2 (Supporting Information Figure S6). Without
- 422 equi-endogenous pooling, it is expected that samples with higher eDNA would accumulate more on-target reads than other samples with lower eDNA as observed by
- 424 Hernandez-Rodriguez et al. The reason why in P2 we find some outliers might be traced to both pipetting variations and inaccurate endogenous measurements from
- 426 qPCR values due to the presence of inhibitors (Morin et al., 2001). Avoiding outliers is extremely important in limiting variability within a pool. For example, sample N183-5
- 428 accumulated 29.4% of total raw reads in P2, when a value 5% (1/20 of 100%) was expected (Supporting Information Figure S7).

#### 430 Impact of Amount of Starting DNA for Capture on Library Complexity

One major decision when performing capture experiments is the amount of starting DNA in the pool. In twelve hybridizations we used the manufacturer's suggested amount of starting material, 1 µg for each pool. For the last two hybridizations of each pool (a total of six hybridizations) we doubled the starting material, up to 2 µg of pooled libraries (Table 1). With this approach we aimed to test the effect on the final LC when doubling the amount of DNA and to determine how much DNA should be used for fecal capture experiments. We observed an average increase of 2.8-fold in LC for experiments using 2 µg of total DNA in the hybridization relative to those using 1 µg (Supporting Information Figure S3B). However, given that production reads also vary between these two conditions, we down-sampled the data to 1,500,000 reads per

library. After this correction we still observed 2-fold higher LC when starting theexperiments with 2 μg of total DNA in all pools (Figure 5D).

Molecular complexity, as influenced by the amount total DNA in a hybridization, was
further investigated by evaluating the relationship between MC and production reads
in a MC curve analysis. The MC curve for each hybridization was obtained by
subsampling without replacement their reads. The results supported the conclusion
above: increasing the amount of total DNA in the hybridization increased the MC
(Supporting Information Figure S8). Therefore, whenever there is sufficient library

available, it is advisable to start with 2  $\mu$ g rather than 1  $\mu$ g.

#### 450 Molecular Complexity and Capture Sensitivity

One of the critical aspects to increase coverage is to acquire as many unique on-target reads as possible without exhausting the library's molecular complexity. We applied a subsampling without replacement method to assess how many mapped reads are unique after incrementally adding production reads from replicate hybridizations. In principle, molecular complexity curves that plateau quickly are derived from low complexity libraries, and conversely high complexity libraries may not reach plateau. Thereby the plateau indicates when there are no new unique reads to be sampled or

458 sequenced (see Supporting Information Figure S2 for a schematic representation).We performed the analysis of molecular complexity in libraries belonging to P3 since

460 more hybridization replicates were available (8 in total) for 30 libraries. We found that for the majority of the libraries, performing additional hybridizations increased the

462 number of unique reads retrieved (Supporting Information Figure S9, example libraryN259-5). However, there were libraries that quickly hit exhaustion where performing

- 464 additional hybridizations would add little extra information (Supporting Information Figure S9, example library Kay2-32). Overall, by performing additional hybridizations,
- it was possible to retrieve new unique reads and thus increase the final coverage (Figure 6A), because libraries themselves were not exhausted but merely their
  hybridization-captured molecules reached exhaustion.

Following the same strategy, we calculated the sensitivity in P1, P2 and P3 (4, 6 and

- 470 8 replicates respectively). After cumulatively adding data from replicate hybridizations we covered 85.57% in P1 (95% CI: 74.78-96.36%), 76.23% in P2 (95% CI: 64.55-
- 472 87.91%) and 79.83% in P3 (95% CI: 74.44-85.22%) on average of the target space, with at least 1 read (Supporting Information Figure S10). Interestingly, no sample
- 474 covered 100% of target space. Looking carefully into this, we observed that precisely the same 3,804 regions (1.54%) were never covered in any replicate hybridizations,
- 476 suggesting that some regions are either difficult to capture (Kong, Lee, Liu, Hirschhorn,& Mandl, 2018) or are too divergent between *Homo* and *Pan* to either capture or map
- 478 these particular sequences (Supporting Information Figure S11).For deeper coverage of at least 4 or 10 reads, we still observed a positive progression,
- 480 with each additional hybridization increasing coverage, indicating that additional hybridizations would result in an increase of the proportion of the genome covered at
- 482 these depths as well (Supporting Information Figure S10).

## **Optimization of Required Production Reads**

484 Assessing the amount of sequencing needed is one of the major decisions when planning an experiment. As a result of the low eDNA content of most fecal samples,
486 derived libraries can easily reach saturation (i.e., high levels of duplicated reads).

Therefore, sequencing depth should be carefully calculated. Without previous 488 knowledge, we sequenced the first 2 hybridizations for P1, the first 4 hybridizations for P2, and the first 6 hybridizations for P3 in three lanes of a HiSeq 4000. For P1 only 490 ~6% and for P2 and P3 only ~13% of production reads were unique reads (Supporting Information Table S5), indicative of high levels of PCR duplicates due to library 492 exhaustion. To avoid over-sequencing in our next experiments, we set an arbitrary threshold to recover approximately 20% of the "informative" data (unique reads) 494 available in a hybridization experiment. Using the data from SegBatch 1 and 2, we estimated that on average, for samples with less than 1% eDNA, we would sequence 496 at most 2 million mapped reads per library (Figure S12). Given that 80% of reads mapped to the genome in these experiments, we estimated that we would need to 498 sequence at most 2.5 million production reads per library (Supporting Information Table S5).

- 500 To test these estimates, we sequenced the remaining hybridizations (P1E3, P1E4, P2E5, P2E6, P3E7, P3E8) in three-fourths of a HiSeq 4000 lane. The number of
- 502 average production reads obtained were 3.5, 2.0 and 1.5 million for libraries in hybridizations from P1, P2, and P3, respectively. On average ~38% (range: 8.09-
- 504 50.81%) of reads were unique reads in all pools (Supporting Information Figure S13). We note that these values exceeded what we observed in the previous hybridization
- 506 experiments. An outcome we attribute to the increase in starting material (2  $\mu$ g), also used in these experiments, as noted above.

508 Pooling Strategy

Choosing how many samples to pool is a difficult decision, since little is known on how

510 the pool size will affect the final molecular complexity. Taking advantage of our pooling strategy (Figure 2), we assessed the effect of size on the average library complexity

- 512 for all samples within each hybridization with a subsampling without replacement strategy.
- When only a single hybridization was performed, a single library within a pool of 10, 20 514 or 30 would, on average, result in a similar number of unique molecules (Figure 6B, 516 Supporting Information Figure S14). However, there is a tendency for samples in smaller pools (P1) to perform better than those in larger pools. This could be explained 518 by our experimental design, where samples with higher eDNA content are in smaller pools. However, let us address this possibility here. Using CS as an example summary 520 statistic, we observed that CS is higher for pools with smaller numbers of samples in them (Figure 5C). Given median estimates, a pool of 10 libraries (median CS = 0.46) 522 had 1.44-fold higher CS than a pool of 20 libraries (median CS = 0.32), and 1.92-fold higher than a pool of 30 libraries (median CS = 0.24). Between a pool of 20 and a pool 524 of 30, the ratio was 1.33-fold (Figure 5C and Supporting Information Figure S15). If we remove the effect of having a variable number of production reads across experiments by down-sampling, this observation still remains (Supporting Information Figure S16). 526 That is, smaller pools do have higher CS estimates, and pools linearly account for 18% of the variation in CS (univariate ANOVA, p-value=3.47x10<sup>-12</sup> (Figure 4A)). Finally, if 528 we correct for all experimental variables with a multivariate analysis, as done above, we show that 'Pool' only accounts for 4% of the variation in CS (Figure 4B), but the 530 effect of pool size remains significant (multivariate ANOVA, p-value =  $2.7 \times 10^{-4}$ ;

- 532 Supporting Information Figure S16). However, this effect on CS attenuates with additional hybridizations (4, 6 and 8, for P1, P2 and P3 respectively) for the same pool
- 534 (Supporting Information Figure S17). Moreover, a similar outcome can be observed when comparing the effect of pool size on LC. After sequentially adding data from
- 536 replicate hybridizations in each pool (see Supporting Information Figure S2 for a schematic representation), we can acquire the same number of unique reliable reads
- 538 (Figure 6C, Supporting Information S16).

# 540 Discussion

Capturing host DNA from fecal samples is a challenging endeavor. Previous work has 542 shown that the retrieval of genomic data from fecal samples by target enrichment methodologies is a feasible and powerful tool for conservation and evolutionary studies 544 (Perry, 2014; Snyder-Mackler et al., 2016). However, obtaining good guality and quantity DNA from fecal samples is not always possible. Because of that, many studies 546 have characterized the technical difficulties of capturing DNA from non-invasive samples and proposed different strategies (Hernandez-Rodriguez et al., 2018; van der 548 Valk et al., 2017; White et al., 2019). Van der Valk et al. (2017) captured the whole mitochondrial genome but no autosomal regions, and describe the biases introduced 550 during capture such as DNA fragment size, jumping PCR and divergence between bait and target species. The study performed by Hernandez-Rodriguez et al. (2018) 552 systematically analyzed the capture performance and library complexity. While they described that pooling different libraries into the same hybridization is feasible, they 554 did not discuss how many of them should be pooled. Also, they concluded that performing multiple libraries from the same extract or even from different extracts from

- 556 the same sample can increase the final complexity. Finally, they recommended performing two capture rounds for the same library. On the other hand, White et al.
- (2019) suggested to do only one capture round, at least when eDNA is higher than 2-3%, stressing the importance of pooling libraries as well as taking into consideration

the eDNA content, as first proposed by Hernandez-Rodriguez et al.The present study addresses these gaps left unexplored by the previous studies. We

- 562 focused our analysis on a representative set of samples with very low proportions of endogenous content (< 1%) as are often found in the field. After screening 302
- samples, we found that up to 70% of samples are below this threshold, similar to what was already described (White et al., 2019). Hence, if time and economic reasons
- 566 hinder the ability to collect and select the best samples, the only available one(s) might have low eDNA. This may be a common situation when using historical samples,
- 568 aiming for a large sample size, or if an interesting sampling location is particularly challenging in terms of low eDNA (such as Campo Ma'an, Figure 1B).
- 570 For these reasons, it is of utmost importance to characterize ways to maximize the amount of data to be recovered from these types of samples. In this regard, we have
- 572 extensively evaluated how to increase library complexity without doing more extractions or library preparations from the same sample, how many libraries to pool
- 574 together, and how much starting amount of DNA should be used in a capture, as well as the impact of endogenous content for pooling.
- 576 Consistent with previous findings (Hernandez-Rodriguez et al., 2018; White et al., 2019), we determined that assessing the endogenous content of fecal samples and
- 578 pooling them equi-endogenously is a practical way to equally distribute raw reads between samples. Importantly, the correct estimation of the proportion of eDNA is key

- for the success of this method. Thus, we recommend the usage of shotgun sequencing
  (Hernandez-Rodriguez et al., 2018) rather than qPCR estimates, since the later can
  easily fluctuate due to the presence of inhibitors (Morin et al., 2001).
- In regard to the performance of target capture sequencing experiments, gaining new
  unique reads is crucial to reach higher sensitivity, which is a good predictor of capture
  success. Here, we have established an approach to obtain new unique reads using
  the same prepared libraries. Since it is mainly during capture experiments when the
  molecular diversity is reduced, we propose to perform additional hybridizations from
  the same library so the final coverage can reach higher values. If the library complexity
  is already very low, the only solution is to re-extract DNA or prepare a new library from

## 590 the same sample (Hernandez-Rodriguez et al., 2018).

We observed a better performance (MC and CS) in small pools, when evaluating initial results derived from the entire dataset. However, after correcting for other variables that differ among pools, the effect is attenuated and can only explain ~4% of the variance, an effect that may be largely negligible for most studies. Moreover, performing additional hybridizations can also compensate for this effect. Therefore, we do not conclude, based on this data, that pool size is a major contributor to performance. However, in cases where libraries have small proportions of eDNA, we would advocate for the reduction of the number of samples per pool so that pipetting volumes may remain larger, and as a consequence variability due to pipetting error

600 may be reduced. Otherwise when the eDNA proportion is not a limiting factor, pooling more libraries together and performing additional hybridizations can be a good 602 strategy.

It is worth noting that without taking into consideration individual sample quality and the amount of starting material used, one of the most influential variables on the performance of target capture enrichment experiments is the hybridization experiment

- itself. After accounting for all other variables, it still explains 18% of the variation. This is due to the technical complexity and variability inherent to these experiments. Careful
- 608 equipment optimization, material selection, preparation and experience will aid in minimizing this variation, although it is likely to remain a sensitive experiment that
- 610 requires diligence.

Finally, we have illustrated that a sequencing effort of exome-captured fecal samples

- with low eDNA (< 1%) should be set at ~3 million reads per library in a pool to avoid exhausting the molecular complexity. We have benefited from the usage of double-</li>
   barcoded and double-indexed libraries to multiplex many samples in a single
- sequencing lane. This becomes a great advantage because we can utilize high 616 throughput sequencing technologies at a lower price per read.
- To summarize, when starting a project involving fecal samples, we recommend 618 screening your set of samples based on quantity and quality of the DNA extracted. If
- having related individuals in the study should be avoided, microsatellite genotyping
- 620 could be an option, helping as well to discard samples with high amount of PCR inhibitors. Further selection of samples should be based on the proportion of eDNA;
- 622 we recommend using shotgun sequencing from the prepared libraries. Performing reextractions of the most valuable samples and preparing replicate libraries from each
- 624 extract can help increase the final molecular complexity. As we have shown here, another approach to achieve higher molecular complexity is based on conducting 626 additional hybridizations of the captured libraries, always pooling libraries in an equi-

endogenous manner, and starting with more library material than the standard protocol

- 628 suggests. Finally, we suggest not sequencing the captured libraries very deeply, since their molecular complexity is already very low and over-sequencing can result in rapidly
- 630 depleting the economic feasibility of the experiment.In the study presented here we have thoroughly explored approaches to increase the
- 632 molecular diversity and capture sensitivity and hence the final coverage of exome captured fecal samples with extremely low endogenous content in an attempt to help
- 634 laboratories facing the challenges of working with non-invasive samples.

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## Data Accessibility

All raw sequencing data have been deposited at ENA and are available under the accession code PRJEB37173 (http://www.ebi.ac.uk/ena/data/view/PRJEB37173).

## 870 Author Contributions

CF, TMB, DAH and EL designed the study. MA and HSK direct the Pan African

- Programme: The Cultured Chimpanzee. MA and HSK obtained funding for the project.MA, PD, AA, SA, EAA, MB, GB, TD, MEN, ACG, JH, PK, AKK, MK, KL, JL, GM, LJO,
- AP, MR, FS, VV and RMW supervised, conducted field work and collected samples. CF, MAE, EL, JL, MA performed experiments. CF and DAH performed the analysis.
- 876 MAE, MK, DAH, TMB, EL provided analytical support. CF wrote the manuscript with input from all authors.
- 878

# Supporting Information

Additional supporting information with extended methods and supplementary figures
 and tables can be found online in the Supporting information section at the end of the
 article.

## **Conflict of Interest**

884 Authors declare no conflict of interest.

FIGURE 1. Sample description. (a) Geographical location of the 15 sites from the Pan 886 African Programme: The Cultured Chimpanzee (PanAf). (b) Endogenous DNA (eDNA) content for all screened samples according to geographic origin. The maximum value of the

- 888 x-axis has been set to 10% eDNA for visual purposes. (c) eDNA distribution for all screened samples. Samples with > 10% eDNA are excluded (N=5). In the boxplot, lower and upper
- 890 hinges correspond to first and third quartiles and the lower and upper whiskers extend to the smallest or largest value no further than 1.5 times the interquartile range (distance between
- 892 the 1<sup>st</sup> and 3<sup>rd</sup> quartile).
- 894 FIGURE 2. Pooling strategy illustration. P1 has 10 libraries with average endogenous of 0.81%. We performed two primary pools of 2  $\mu$ g and 4  $\mu$ g each that were further divided into
- 896 four hybridization pools, two at 1 µg and two at 2 µg. P2 has 20 libraries with average endogenous of 0.69%. Two primary pools of 4 µg were divided into four hybridization pools
- 898 of 1 µg each and two hybridizations pools of 2 µg. P3 has 30 libraries and an average endogenous of 0.49%. Two primary pools of 6 µg and 4 µg were distributed into six
- 900 hybridization pools of 1µg and two hybridization pools of 2µg each. Colors represent the sequencing batch.
- 902

FIGURE 3. Capture performance and sequencing. (a) Percentage of eDNA among hybridizations, structured by pools (P1, P2 and P3). (b) Sequencing stats across all samples 904 for the 18 hybridizations in 3,75 HiSeq 4000 lanes. (c) Distribution of production reads across 906 18 hybridizations. The colors red, blue and yellow found in the box plots for figure (a) and (c) denote the sequencing batch to which each hybridization was assigned. In the boxplots, lower 908 and upper hinges correspond to first and third quartiles and the lower and upper whiskers extend to the smallest or largest value no further than 1.5 times the interguartile range

- 910 (distance between the 1<sup>st</sup> and 3<sup>rd</sup> quartile).
- 912 FIGURE 4. Analysis of variance. (a) Estimated variance explained from univariate linear models after rank normal transforming each performance summary statistic (columns). LC
- 914 stands for library complexity and DP describes read depth at different cutoffs (1, 4, 10, 20 and 50 reads) (b) Multivariate type I ANOVA of the experimental variables affecting Capture
- 916 Sensitivity (CS) at depth 1. Both models are built down-sampling libraries to 1,500,000 reads.
- 918 FIGURE 5. Summary stats after down-sampling to 1,500,000 reads: (a) Enrichment factor and (d) Capture Specificity (c) Capture Sensitivity at depth 1 for the 18 hybridizations in P1, P2 and
- 920 P3; colors illustrate sequencing batch. (d) Library complexity contrasting the amount of starting DNA (1 µg or 2 µg) in down-sampled data and structured by pools (P1=Pool1, P2=Pool2,
- 922 P3=Pool3). See Figure 2 for more details on pools. In the boxplots, lower and upper hinges correspond to first and third quartiles and the lower and upper whiskers extend to the smallest
- 924 or largest value no further than 1.5 times the interquartile range (distance between the 1<sup>st</sup> and 3<sup>rd</sup> quartile).
- 926
- FIGURE 6. Analysis of coverage and LC with hybridizations done with 1 µg. (a) Coverage after merging data from additional hybridizations with up to 2, 4 and 6 for P1, P2 and P3. (b) 928 Comparison of average LC curves of individual hybridizations belonging to pools with different
- 930 size. Each line is the average of libraries within each hybridization and the surrounding area is the standard deviation. (c) Two examples comparing the effect of pool size on the average LC
- 932 curves from merged hybridization: P1 (10 samples) - 1 hybridization, P2 (20 samples) - 2 hybridizations and P3 (30 samples) - 3 hybridizations; and P1 (10 samples) - 2 hybridizations,
- 934 P2 (20 samples) – 4 hybridizations and P3 (30 samples) – 6 hybridizations. Sample Lib1-6D in P2 was removed from the analysis due to low coverage.

Pool	Average eDNA content (range)	Hybridization ID	Number of pooled libraries	Total DNA	Sequencing Batch
Pool 1	0.81%	P1E1	10	1 μg	SeqBatch1
( <b>P1</b> )	(0.60% - 0.85%)	P1E2	10	1 μ <b>g</b>	SeqBatch2
		P1E3	9	2 μg	SeqBatch3
		P1E4	9	2 μ <b>g</b>	SeqBatch3
Pool 2	0.69%	P2E1	20	1 μg	SeqBatch1
( <b>P2</b> )	(0.58% - 0.80%)	P2E2	20	1 μ <b>g</b>	SeqBatch1
		P2E3	20	1 μg	SeqBatch2
		P2E4	20	1 μg	SeqBatch2
		P2E5	19	2 μg	SeqBatch3
		P2E6	19	2 μ <b>g</b>	SeqBatch3
Pool 3	0.49%	P3E1	30	1 μ <b>g</b>	SeqBatch1
( <b>P3</b> )	(0.41% - 0.66%)	P3E2	30	1 μ <b>g</b>	SeqBatch1
		P3E3	30	1 μg	SeqBatch1
		P3E4	30	1 μg	SeqBatch2
		P3E5	30	1 μg	SeqBatch2
		P3E6	30	1 μg	SeqBatch2
		P3E7	26	2 μg	SeqBatch3
		P3E8	26	2 μ <b>g</b>	SeqBatch3

TABLE 1. Pooling Strategy. Sixty libraries were divided into 3 pools for capture hybridization experiments in 4 replicates for P1, 6 replicates for P2 and 8 replicates for P3. Total DNA
 represents the starting material for each capture hybridization.