1	Increased CHIP Prevalence Amongst People Living with HIV
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58 Abstract

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- 60 People living with human immunodeficiency virus (PLWH) have significantly increased risk for
- 61 cardiovascular disease in part due to inflammation and immune dysregulation. Clonal
- 62 hematopoiesis of indeterminate potential (CHIP), the age-related acquisition and expansion of
- 63 hematopoietic stem cells due to leukemogenic driver mutations, increases risk for both
- 64 hematologic malignancy and coronary artery disease (CAD). Since increased inflammation is
- 65 hypothesized to be both a cause and consequence of CHIP, we hypothesized that PLWH have a
- 66 greater prevalence of CHIP. We searched for CHIP in multi-ethnic cases from the Swiss HIV
- 67 Cohort Study (SHCS, n=600) and controls from the Atherosclerosis Risk in the Communities
- 68 study (ARIC, n=8,111) from blood DNA-derived exome sequences. We observed that HIV is
- 69 associated with increased CHIP prevalence, both in the whole study population and in a subset of
- 70 230 cases and 1002 matched controls selected by propensity matching to control for
- 71 demographic imbalances (SHCS 7%, ARIC 3%, p=0.005). Additionally, unlike in ARIC, ASXL1
- 72 was the most commonly implicated mutated CHIP gene. We propose that CHIP may be one
- 73 mechanism through which PLWH are at increased risk for CAD. Larger prospective studies
- should evaluate the hypothesis that CHIP contributes to the excess cardiovascular risk in PLWH.

75 Introduction

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77 As current treatments have rendered human immunodeficiency virus (HIV) a chronic 78 condition, coronary artery disease has emerged as a major source of morbidity in people living 79 with human immunodeficiency virus (PLWH). Inflammation and immune dysregulation likely 80 accelerate CAD risk among PLWH.¹ Recently, 'clonal hematopoiesis of indeterminate potential' 81 (CHIP), the age-related acquisition and expansion of leukemogenic mutations (primarily in 82 DNMT3A, TET2, ASXL1, JAK2) in white blood cells, was found to increase risk for both hematologic malignancy^{2,3} and CAD^{4,5} among asymptomatic individuals in the general 83 84 population. The proatherogenic mechanisms for CHIP included heightened inflammation.^{4,6} 85 Given converging mechanisms promoting CAD risk and increased hematologic malignancy risk 86 among PLWH, we tested the hypothesis that HIV-infected individuals have heightened 87 prevalence of CHIP. 88

- 89 Methods
- 90

We identified CHIP in a multi-ethnic sample of 600 PLWH who had available exome
sequences from the Swiss HIV Cohort Study (SHCS), aged 21-83. The SHCS is a multicenter,
prospective observational study for interdisciplinary HIV research⁷. Established in 1988, the
SHCS currently comprises more than 20,000 PLWH with median 51 years of age. Samples of
600 patients, used for exome sequencing, were chosen randomly in terms of gender, age,
category of transmission, as well as HIV management and control.⁸

We utilized a set of 8111 individuals with available exome sequences from the
Atherosclerotic Risk in the Community study (ARIC), aged 45-84 years, as population controls.⁹
The ARIC study is a prospective longitudinal investigation of the development of atherosclerosis
and its clinical sequelae which enrolled 15,792 individuals aged 45 to 64 years at baseline.¹⁰ At
study enrollment (1987-1989), the participants were selected by probability sampling from four
United States communities: Forsyth County, North Carolina; Jackson, Mississippi; the
northwestern suburbs of Minneapolis, Minnesota; and Washington County, Maryland.

104 CHIP was called in both exome sequenced cohorts using a previously described 105 pipeline.^{4,11} Briefly, short read sequence data was aligned to the hg19 reference genome using 106 the BWA-mem algorithm and processed with the Genome Analysis Toolkit MuTect2 tool to 107 detect somatic variants.¹² Identification of individuals with CHIP, used a pre-specified list of 108 variants in 74 genes known to be recurrent drivers of myeloid malignancies.

As CHIP prevalence depends strongly on age, we performed a 1:5 case/control propensity matching on age, sex and self-reported ethnicity using nearest neighbor matching¹³ and requiring an exact match on age as implemented by the MatchIt package version 3.0.2 in R. Univariate Fisher's exact test and multivariate logistic regression tested the association between HIV status and CHIP prevalence. Multivariate models were adjusted for age, sex, self-reported ethnicity, and smoking status. Analyses were performed in R version 3.6. A threshold of p<0.05 was considered statistically significant.

Written informed consent was obtained from all human participants by each of the
studies with approval of study protocols by ethics committees at participating institutions.
Secondary analysis of the data in this manuscript was approved by the Mass General Brigham
Institutional Review Board. All relevant ethics committees approved this study and this work is
compliant with all relevant ethical regulations.

121 122

123 **Results**

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125 We began by considering the fraction of CHIP across the entire SHCS PLWH cohort 126 (N=600) and ARIC cohort (N=8111) (Figure 1). SHCS PLWH and ARIC participants had mean 127 (SD) age 44 (11) and 57 (6) years ($p=1.8 \times 10^{-167}$), were 25% and 56% female ($p=1.9 \times 10^{-46}$), 128 and were 95% and 74% of European ancestry ($p=5.2 \times 10^{-36}$) respectively. With adjustment for 129 age, age², sex and ethnicity, we observed a significant association between HIV case status and 130 CHIP (OR: 1.77, 95% CI: 1.33-2.21, p=0.02).

131 Give the overall demographic imbalances, we pursued a propensity matching strategy and 132 matched datasets by age, gender and ethnicity. Propensity matching analyses yielded a set of 230 PLWH cases and 1002 ARIC population controls. Neither age nor sex, differed significantly 133 134 between the matched cohorts (Table 1) and the standardized mean difference across age, sex and 135 self-reported ethnicity were all less than 0.1 indicative of adequate matching. In this subset, 136 CHIP was detected in 7% of exomes from PLWH, but only 3% of the controls (Table 1, 137 univariate p=0.005; multivariate p=0.004). Of note, the statistical association strengthened 138 despite a significantly decreased sample size, likely due to the exclusion of younger SHCS 139 PLWH, who are less likely to have CHIP. Depth of coverage of the four most common CHIP genes (DNMT3A, TET2, ASXL1, JAK2), when incorporated into the multivariate logistic 140 141 regression model, did not affect the results.

142 The limited sample size precluded inference on the association of HIV status with 143 specific CHIP driver genes, however we observed differences in the genes most likely to carry 144 CHIP mutations between PLWH and population controls. The most common CHIP gene in the 145 SHCS was ASXL1 (13 out of 27 CHIP mutations, 48%) followed by TET2 (8 out of 27 CHIP mutations, 30%) and DNMT3A (5 out of 27 CHIP mutations, 19%). Overall this distribution was 146 147 inverted from the control cohort where CHIP mutations were more frequent in DNMT3A, 148 followed by TET2 and ASXL1. In total, 22 PLWH had a single CHIP mutation, while one 149 individual had 2 mutations and one individual had 3 mutations.

150 Within the full PLWH cohort (N=600) we considered additional phenotypes, which 151 might be a cause or consequence of CHIP. First, we observed a trend toward an increase in CAD 152 among CHIP carriers (Fisher's exact test OR: 2.99, p = 0.068). Second, we observed that 153 duration of antiretroviral therapy (ART) was twice as long in CHIP carriers versus non-carriers (154 ART mean (st. dev.) ART 2675 [1850] days vs 1322 [1454] days in carriers vs non-carriers 155 respectively; p = 0.0004, Mann-Whitney U test). This association was directionally concordant 156 after adjusting for patient age in multiple logistic regression (p = 0.066) or and remained 157 significant after matching of 24 CHIP carriers with 24 non-carriers by age (p = 0.042 paired 158 Mann-Whitney U test). It is important to note that although ART duration positively correlates

- 159 with the total duration of HIV infection (Spearman's rho = 0.58, p= 2.0×10^{-54} , N = 600), the total
- 160 duration of HIV infection is not associated with CHIP p = 0.452; paired Mann-Whitnmey U test
- 161 on matched CHIP carriers and non-carriers, p = 0.22]162

163 **Discussion**

We here report that HIV associates with increased prevalence of CHIP, a recently recognized risk factor for blood cancer and CAD. In the present samples, we identify at least 2-

166 fold enrichment of CHIP among PLWH versus controls when considering known factors167 predisposing to CHIP.

HIV infection is linked to accelerated biologic aging and chronic low-grade
 inflammation, providing a fertile substrate for CHIP development. Our study is consistent with
 another recent study that showed that HIV leads to a greater risk of myelodysplastic syndrome

171 (MDS), a downstream consequence of CHIP and precursor to myeloid malignancy.¹⁴

172 Furthermore, similar to the gene distribution in MDS, we find a greater relative prevalence of

173 ASXL1 mutations among PLWH compared to controls. Of note, while cigarette smoking selects

- 174 for ASXL1 clonal hematopoiesis¹⁵, our cohort of PLWH still had an increased prevalence of
- ASXL1 mutations compared to the control cohort despite being well balanced for smoking statusacross cohorts.

HIV infection may promote CHIP development through various mechanisms, including
induced immunodeficiency, chronic immune activation from antigenic simulation, as well as
increased prevalence of tobacco smoking and other co-morbid conditions. HIV may induce
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180 CHIP also through the ART which can either increase the rate of somatic mutagenesis or change 181 the fitness landscape of hematopoietic stem cells or decrease effective population size of blood

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- 182 recents of specific certify industry the selective coefficients of specific certify industry. A recent 183 model proposed that many of the CHIP mutations increase cell fitness, ensuring their
- proliferation with age.¹⁶ The relative contribution of these factors to CHIP risk, including in the

185 context of spontaneous viral control and antiretroviral therapy, will require larger studies. An 186 important limitation of the present study is its cross-sectional nature, but CHIP is highly unlikely

- to be a risk factor for HIV acquisition. The relative contribution of these factors to CHIP risk,
- including in the context of spontaneous viral control and antiretroviral therapy, will require
- 189 larger studies. An important limitation of the present study is its cross-sectional nature, but CHIP 190 is highly unlikely to be a risk factor for HIV acquisition.

We propose that CHIP may be one mechanism that elevates risk for CAD in PLWH.
 Further studies are required to evaluate the hypothesis that CHIP contributes to the excess

193 cardiovascular risk associated with long-term HIV infection. CHIP may represent a unique

194 opportunity for precision identification and targeting of CAD risk with particular relevance for

195 HIV medicine.

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197 198 **Data Availability**

199 CHIP genetic variant callsets and associated participant level phenotype data used in this study 200 are available to qualified investigators by application to the SHCS and ARIC.

201

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203

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- 232

233 Competing Interests

- 234 Dr. Libby is an unpaid consultant to, or involved in clinical trials for Amgen, AstraZeneca, Baim
- 235 Institute, Beren Therapeutics, Esperion, Therapeutics, Genentech, Kancera, Kowa
- 236 Pharmaceuticals, Medimmune, Merck, Norvo Nordisk, Merck, Novartis, Pfizer, Sanofi-
- 237 Regeneron. Dr. Libby is a member of scientific advisory board for Amgen, Corvidia
- 238 Therapeutics, DalCor Pharmaceuticals, Kowa Pharmaceuticals, Olatec Therapeutics,
- 239 Medimmune, Novartis, and XBiotech, Inc. Dr. Libby's laboratory has received research funding
- in the last 2 years from Novartis. Dr. Libby is on the Board of Directors of XBiotech, Inc. Dr.
- Libby has a financial interest in Xbiotech, a company developing therapeutic human antibodies.
- 242 Dr. Libby's interests were reviewed and are managed by Brigham and Women's Hospital and
- 243 Partners HealthCare in accordance with their conflict of interest policies.
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	HIV+ Individuals (SHCS)	Population Controls (ARIC)	p-value
n	230	1002	
Age at blood draw, mean (st. dev.)	54.2 (7.4)	55.0 (6.8)	0.12
Female, N (%)	44 (19%)	240 (24%)	0.086
Ever smoker, N (%)	143 (62%)	651 (65%)	0.408
Diabetes mellitus, N (%)	18 (8%)	80 (8%)	0.936
Black, N (%)	7 (3%)	80 (8%)	0.017
CHIP carrier, N (%)	16 (7%)	30 (3%)	0.005

Table 1: Demographics and CHIP association in matched samples

299 P-value derived from Fisher's exact test for counts and t-test for continuous variables.

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304 Figure 1: CHIP prevalence in Swiss HIV Cohort Study and Atherosclerotic Risk in the

305 **Community Study**

- 306 Upper panel: fraction of cohort observed to have CHIP over time fit with a general additive
- 307 model spline. 95% confidence interval displayed as shaded area. Lower panel: Count of number
- 308 of individuals with and without CHIP binned by age of time of blood sampling across entire
- 309 sequenced cohort.

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