

1           **Thermal and dynamic mechanical properties of vitamin E**  
2           **infused and blended ultra-high molecular weight**  
3           **polyethylenes**

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## 1 ABSTRACT

2

3 Vitamin E (or  $\alpha$ -tocopherol) is an alternative via to thermal treatments to achieve  
4 oxidative stability of gamma or electron beam irradiated ultra high molecular weight  
5 polyethylenes (UHMWPE) used in total joint replacements. Our aim was to study the  
6 effects of vitamin E on the molecular dynamics and microstructural properties of  
7 UHMWPE. We hypothesized that the antioxidant would plasticize UHMWPE. Vitamin  
8 E was incorporated into UHMWPE at different concentrations by diffusion and  
9 blending, and detected by ultraviolet and infrared spectroscopies from 500 ppm and  
10 4000 ppm, respectively. Dynamic mechanical thermal analysis was used to characterize  
11 the influence of this antioxidant in the relaxations of the raw material. DSC and TEM  
12 served to characterize thermal and microstructure properties, respectively. Vitamin E  
13 concentrations above 3 % by weight significantly reduced the degree of crystallinity and  
14 increased the melting transition temperature of raw UHMWPE. The presence of  
15 increasing concentrations of  $\alpha$ -tocopherol introduced and/or strengthened the beta  
16 relaxation, which was also shifted towards gradually lower temperatures and had rising  
17 activation energies up to 188 kJ/mol. In addition, the gamma relaxation remained  
18 unaltered upon vitamin E addition. Therefore, no plasticizing effects of vitamin E on the  
19 molecular dynamics of UHMWPE could be confirmed from mechanical spectroscopy  
20 data. However, the  $\alpha$  relaxation was modified in intensity and location due to the  
21 changes in the degree of crystallinity introduced by the incorporation of vitamin E.

22

23 **KEYWORDS:** polyethylene (UHMWPE), antioxidants (vitamin E/ $\alpha$ -tocopherol), viscoelastic  
24 properties (DMTA), molecular mechanics, microstructure.

## 1 INTRODUCTION

2 Ultra-high molecular weight polyethylene (UHMWPE) remains as the most  
3 relevant material used in total joint replacements. However, long-term service of  
4 UHMWPE, and thus the life span of the whole joint replacement system are  
5 compromised by material limitations. Wear of the UHMWPE component has been  
6 accepted as the most prominent drawback in hip arthroplasty, with associated generation  
7 of debris particles, subsequent osteolysis, and eventual aseptic loosening of the implant  
8 <sup>1</sup>. First-generation highly cross-linked polyethylenes were introduced in orthopaedic  
9 implants as a promising alternative to conventional, gamma sterilized, UHMWPE <sup>2-4</sup>.  
10 Thus, high irradiation doses are employed to promote a significant crosslink density  
11 within the amorphous phase of the polymer, which is responsible for a dramatic  
12 improvement in wear resistance <sup>4-5</sup>. However, concerns about long-term oxidation of  
13 highly crosslinked UHMWPE remain due to the generation of free radicals during  
14 irradiation, which may initiate oxidation reactions in the presence of molecular oxygen  
15 <sup>6-7</sup>, which, in turn, convert UHMWPE into a brittle polymer with a significant loss of  
16 satisfactory performance <sup>8</sup>. In the search of a method that would avoid the risk of long-  
17 term oxidation, orthopaedic manufacturers have combined the irradiation process with a  
18 subsequent thermal stabilization step at temperatures either below (annealing) or above  
19 (remelting) the melting transition temperature of UHMWPE. Both thermal stabilization  
20 processes seem to retain the wear resistance of highly crosslinked UHMWPEs.  
21 Nevertheless, remelting decreases the original crystallinity of the polymer, and therefore  
22 it affects detrimentally some of the polymer's mechanical properties <sup>9</sup>, while annealing  
23 preserves them better <sup>10</sup>. However, annealing methods may not assure a complete  
24 oxidative stability, because less mobile free radicals, located within the crystalline

1 region, are not able to recombine, since crystals do not melt completely during  
2 annealing.

3 An alternative to the foregoing thermal stabilization methods has been found in  
4 the use of  $\alpha$ -tocopherol (vitamin E). This vitamin is a natural lipid, which acts as an  
5 antioxidant *in vivo* by means of the donation of a hydrogen atom to free radical formed  
6 on lipids hindering lipid peroxidation in cell membranes<sup>11</sup>. In irradiated UHMWPE,  
7 vitamin E basically acts as a scavenger of radiation-induced free radicals, allowing for  
8 the elimination of post-irradiation thermal processes and their associated shortcomings,  
9 such as the reduction in toughness and fatigue resistance of the polymer<sup>12</sup>. Two  
10 different techniques are currently in use to incorporate vitamin E into highly crosslinked  
11 UHMWPE. On one hand, vitamin E is diffused into medical UHMWPE following high  
12 dose irradiation in a two stage process<sup>13-14</sup>. In the first stage, radiation crosslinked  
13 UHMWPE is submerged in a vitamin E bath at about 120 °C. Then, the polymer is  
14 taken out of the bath and subjected again to 120 °C to homogenize the antioxidant  
15 concentration through the component thickness. On the other hand, trace concentrations  
16 of vitamin E are blended with medical grade UHMWPE resins prior to consolidation  
17 and irradiation to assure both oxidative stability and high efficiency in the crosslinking  
18 generation, as higher vitamin E concentrations are known to inhibit radiation  
19 crosslinking<sup>15-16</sup>. Research on the use of vitamin E as antioxidant for highly crosslinked  
20 polyethylenes has resulted in the recent clinical introduction of a second generation of  
21 vitamin E stabilized highly crosslinked polyethylenes.

22 The design of new components for total hip and knee replacements based on  
23 these new and promising materials requires good understanding of their microscopic  
24 and macroscopic properties. While the mechanical, toughness and fatigue behaviors of

1 vitamin E stabilized polyethylenes are well known<sup>17-19</sup>, the influence of  $\alpha$ -tocopherol on  
2 the thermal and viscoelastic behaviors as well as molecular dynamics of UHMWPE  
3 remains to be established. This work deals with the latter aspect and our purpose was to  
4 characterize the thermal and dynamic mechanical responses of vitamin E infused and  
5 vitamin E blended UHMWPE materials. FTIR and UV spectroscopies were employed  
6 in this study to assess the antioxidant concentration present within the vitamin E infused  
7 and blended UHMWPE sections prepared. We hypothesized that the presence of the  
8 antioxidant would introduce a plasticization effect in UHMWPE.

9

## 10 MATERIALS AND METHODS

### 11 *Materials and Vitamin E detection methods*

12 The raw materials used in this study were GUR 1020 UHMWPE supplied by  
13 Meditech (Fort Wayne, Indiana, USA) as several thin films and a compression-molded  
14 sheet of GUR 1050 UHMWPE (Perplas Medical Ltd., Lancashire, UK). Vitamin E  
15 was incorporated into the foregoing materials by blending and diffusion, respectively.  
16 The first method was used by Meditech to compression mold 0.250 mm thin films from  
17 virgin GUR 1020 UHMWPE and from blends of GUR 1020 UHMWPE resin and  
18 vitamin E, wherein the antioxidant was said to be present in two concentrations: 0.075  
19 and 0.3 percent by weight. Hereafter, these materials will be referred to as PE20-E00,  
20 PE20-E0075 and PE20-E03, respectively, depending on the amount of vitamin E added  
21 to the UHMWPE resin. On the other hand, 0.2 mm thin sections were obtained with the  
22 use of a Leica SM2000R microtome from cubes (15x15x15 mm<sup>3</sup>), which were, in turn,  
23 machined from the GUR 1050 compression-molded sheet. The sections were weighed  
24 on a balance (Mettler Toledo; Switzerland) with a resolution of 0.0001 g, and then  
25 soaked in a bath of vitamin E ( $\alpha$ -tocopherol, Aldrich Chemicals) at 120 °C for varying

1 durations, in a nitrogen gas atmosphere. At the end of the diffusion process, the sections  
2 were taken out of the vitamin E bath, cleaned and subsequently homogenized at 120 °C  
3 for 24 hours in nitrogen. After vitamin E doping, the sections were weighed again to  
4 determine the antioxidant weight percentage. Thus, samples corresponding to  
5 concentrations of vitamin E ranging from 2.0 to 9.0 percent by weight were prepared.  
6 Calibration curves for infrared and ultraviolet spectroscopies vitamin E indices were  
7 obtained using the foregoing UHMWPE sections. FTIR spectroscopy was performed  
8 on them using a Perkin-Elmer model 1600 spectrometer (range: 4000-400  $\text{cm}^{-1}$ ; 235  
9 repeat scans per sample location; resolution 4  $\text{cm}^{-1}$ ). Vitamin E was detected in FTIR  
10 spectra of infused UHMWPE sections as the vibrational band centered at 1262  $\text{cm}^{-1}$ . A  
11 vitamin E index (VI) was defined as the area ratio between the absorption peak at 1262  
12  $\text{cm}^{-1}$  (1275-1230  $\text{cm}^{-1}$ ) and the reference peak at 1895  $\text{cm}^{-1}$  (1980-1850  $\text{cm}^{-1}$ )  
13 corresponding to the polyethylene skeletal absorbance. The vitamin E concentration was  
14 also detected by ultraviolet (UV) spectroscopy using an Aligent 8453 Diode Array  
15 spectrophotometer at a range of 1100-190 nm. UV absorption spectra of vitamin-E  
16 infused UHMWPE sections revealed the presence of a noticeable peak at 290 nm. To  
17 obtain sections with very low antioxidant concentration (0.3% w), the previous vitamin  
18 E doping process was performed on cubes. Then, 0.2 mm sections were cut parallel to  
19 the cube faces using a microtome. FTIR spectroscopy was conducted from the edge of  
20 these sections at every 100 microns to characterize the antioxidant concentration  
21 through the cube thickness. Once the depth corresponding to the desired vitamin E  
22 concentration was determined, perpendicular sections were cut at that depth to obtain  
23 samples for further calorimetric and dynamic mechanical analysis (Figure 1). The  
24 vitamin E content was also confirmed by UV spectroscopy for sections with the lowest  
25 antioxidant concentrations. In summary, specimens corresponding to raw GUR 1050

1 UHMWPE and to concentrations of  $\alpha$ -tocopherol ranging from 0.3 to 9.0 percent by  
2 weight were prepared for this study. These materials will be designated as PE50-E00,  
3 PE50-E03, PE50-E07, PE50-E23, PE50-E46, PE50-E50, PE50-60, PE50-E80 and  
4 PE50-E90, respectively.

#### 5 **Differential Scanning Calorimetry**

6 Differential Scanning Calorimetry (DSC) was performed to assess thermal  
7 properties, namely crystallinity content and melting transition temperatures. Three  
8 specimens (n=3) per material group were heated from 20 to 200 °C at a rate of 10  
9 °C/min in a Differential Scanning Calorimeter (Perkin Elmer). The area below the  
10 thermograms from 50 to 160 °C, normalized by 290 J/g as the enthalpy of melting of a  
11 100 % crystalline UHMWPE<sup>20</sup>, gave the crystallinity percentages for each material.

#### 12 **Transmission Electron Microscopy**

13 Relevant material groups (PE50-E00, PE50-E23 and PE50-E46 materials) **were**  
14 **chosen based on melting transition temperature results**. Specimens **belonging to**  
15 **those groups** underwent specific preparation to obtain Transmission Electron  
16 Microscopy (TEM) images, which allowed the measurement of crystal thickness as well  
17 as the detection of changes in morphology caused by the presence of vitamin-E in a  
18 broad range of concentrations. First, 200  $\mu$ m sections of UHMWPE were stained with  
19 99% chlorosulphonic acid at 60°C for 5 hours, which is thought to stabilize the  
20 amorphous regions. Samples were washed with acetone (at 0°C) and rinsed with  
21 distilled water. Stained samples were dried at 60°C for 1 hour and later embedded in  
22 epoxy and cured at 60°C for 2 days. Ultrathin sections (~ 60 nm thick) were cut with a  
23 diamond knife and collected in carbon grids. Then, the sections were post-stained with  
24 uranyl acetate in 1% methanol for 4 minutes. A Jeol 100CX TEM operating at 100 kV

1 was used to produce micrographs at 20000x and 60000x magnifications. The best 5  
2 TEM preparation samples were chosen from each group and analysed using the Digital  
3 Micrograph 3.3.1 software package (Gatan Inc., Pleasanton, CA, USA). At least fifteen  
4 measurements of lamellar thickness were done per 60000x images using the mentioned  
5 software. These images allowed the measurement of crystal thickness as well as the  
6 detection of changes in morphology caused by the presence of vitamin-E.

### 7 **Dynamic Mechanical Thermal Analysis**

8 Dynamic mechanical measurements were carried out on  $15 \times 4.5 \times 0.2 \text{ mm}^3$   
9 prismatic test specimens employing a Dynamic Mechanical Thermal Analyser, model  
10 MKII of Polymer Laboratories. All the experiments were conducted working in tensile  
11 mode and the heating runs were performed at a rate of  $1 \text{ }^\circ\text{C}/\text{min}$  from  $-130$  to  $125 \text{ }^\circ\text{C}$ .  
12 The frequencies used were 0.3, 3, 10, 30 and 50 Hz. Before heating, samples were first  
13 cooled down to  $-135 \text{ }^\circ\text{C}$  inside the cell. These measurements provided information about  
14 the dynamic mechanical properties, namely, storage modulus,  $E'$ , loss modulus,  $E''$ , and  
15 loss tangent,  $\tan \delta$ . Activation energies were calculated from the temperature of the  
16 maximum values of loss modulus and  $\tan \delta$  at different frequencies based on an  
17 Arrhenius model for  $\beta$  and  $\gamma$  relaxations (Figure 2). In the case of the  $\alpha$  relaxation, the  
18 behavior slightly departed from the Arrhenius model.

19

## 20 **RESULTS AND DISCUSSION**

### 21 *Infrared and ultraviolet spectroscopic detection of vitamin E*

22 In agreement with previous work<sup>21</sup>, linear correlations were found between the  
23 amount of vitamin E concentration as determined by weighing and both the infrared  
24 vitamin E index, VI, ( $R^2=0.96$ ; Figure 3) and the area of the ultraviolet absorption peak  
25 at 290 nm normalized to the film thickness,  $A/t$  ( $R^2=0.98$ ; Figure 3). In the case of



1 FTIR, a detection limit of 0.4 percent by weight of vitamin E was noted, and the use of  
2 different reference peaks (1895 and 2020  $\text{cm}^{-1}$ ) yielded similar linear correlations. On  
3 the other hand, the linear trend found for the normalized UV absorption,  $A/t$ , and the  
4 vitamin E content confirmed a Beer's law behavior,  $A/t = \varepsilon c$ , where  $\varepsilon$  is the dielectric  
5 constant, and  $c$  the concentration of vitamin E in a non-polar matrix such as UHMWPE.  
6 Previous research has also demonstrated that UV spectroscopy performed at  
7 temperatures above the melting point of UHMWPE ( $\sim 140$  °C) helps to reach increased  
8 resolution avoiding the incident light scattering from UHMWPE crystals<sup>21</sup>.

#### 10 **DSC and TEM studies: Crystallinity, Melting Temperature and Crystal Thickness**

11 Analysis of the thermograms provided the crystallinity content and the transition  
12 temperature for every material, as reflected in **Figures 4a and 4b, respectively**. The  
13 presence of vitamin E at high concentrations provoked two effects on the base material  
14 GUR1050, namely a significant drop in the degree of crystallinity and an increase in  
15 melting temperature. DSC complementary measurements at samples containing vitamin  
16 E concentrations ranging from 4.5 % to 9.0% wt% followed similar trends. Since  
17 vitamin E was diffused into the amorphous regions of the semicrystalline polymer, no  
18 effects on crystallinity were expected, except for a likely effect on the surface energy of  
19 UHMWPE lamellar crystals. The diffusion process, on the other hand, was performed at  
20 120 °C, and therefore an annealing process took place simultaneously to the diffusion of  
21 the antioxidant. In vitamin E free-samples this thermal process is known to produce a  
22 light increase in crystallinity due to lamellar rearrangements activated at the annealing  
23 temperature. Furthermore, two different lamellar rearrangement mechanisms have been  
24 identified by Matsuda and colleagues depending on the annealing temperature<sup>22</sup>. Thus,  
25 low temperature annealing ( $T < 120$  °C) induces gradual thickening of the original

1 lamellar crystals without significant melting, whereas high temperature annealing ( $T >$   
2  $125\text{ }^{\circ}\text{C}$ ) causes partial melting and then rapid lamellar doubling. These mechanisms  
3 competitively coexist upon annealing over  $120\text{ }^{\circ}\text{C}$ , and their balance depends on the  
4 annealing temperature. Although the influence of vitamin E in both mechanisms has not  
5 been established, the antioxidant might partially inhibit lamellar rearrangements,  
6 especially lamellar doubling. However, some gradual thickening may still occur,  
7 accounting for the overall lamellar thickening observed in TEM micrographs. This last  
8 effect was also corroborated by the rise in transition temperature,  $T_m$ , which according  
9 to the Thomson-Gibbs equation involves an increase in lamellar thickness,  $L_c$ :

$$10 \quad T_m = T_{m0} (1 - 2\sigma / L_c \rho_c \Delta H_m^0) \quad (\text{eq. 1})$$

11 where  $T_m$  is the melting point of the polymer,  $T_{m0}$  is the equilibrium melting  
12 point of a perfect crystalline polyethylene,  $\sigma$  the specific surface energy,  $\rho_c$  the  
13 crystallinity phase density, and  $\Delta H_m^0$  the enthalpy of melting of a perfect crystalline  
14 polyethylene. As mentioned before, potential changes in the surface energy of  
15 polyethylene lamellar crystals may not be discarded, and they might also influence the  
16 melting transition of the polymer. Finally, the reduction in crystallinity content due to  
17 the incorporation of vitamin E has been also observed by Oral and coworkers<sup>13</sup>, and  
18 this effect is more intense when the diffusion temperature approaches the melting point  
19 of the polymer.

20 TEM micrographs of virgin UHMWPE showed the typical features of  
21 semicrystalline polymers with randomly oriented crystal lamellae,  $26.7 \pm 4.2\text{ nm}$  thick,  
22 immersed in a dark grey region, which is the amorphous region (**Figure 5a**). TEM  
23 micrographs of vitamin-E infused polyethylenes showed a small increase in lamellar  
24 thickness, with values of  $28.0 \pm 2.9\text{ nm}$ , and  $29.6 \pm 3.8\text{ nm}$  for PE50-E23 and PE50-E46,

1 respectively (**Figures 5b and 5c**). This increase is in agreement with the observed trend  
2 for the melting point of samples with the highest vitamin-E contents. On the other hand,  
3 the qualitative observation of TEM images suggested that the lamellar density  
4 corresponding to PE50-E46 samples was lower than those of the PE50-E00 and PE50-  
5 E23 materials, in accordance with the fall in crystallinity registered by DSC  
6 measurements when vitamin-E was present in the material above 1 % approximately.

7

### 8 ***Dynamic Mechanical Thermal Analysis***

9 The dynamic mechanical behavior of the two raw materials, PE50-00 and PE20-  
10 00, was basically the same. Two well-expressed relaxations,  $\gamma$  and  $\alpha$ , characterized the  
11 temperature dependence of dynamic modulus properties. The  $\gamma$  relaxation was  
12 reflected by a weak stepwise change in the storage modulus and a maximum in  $\tan \delta$   
13 and  $E''$  around  $-125$  °C in both raw UHMWPEs regardless of their different molecular  
14 weights (**Figures 6 and 7**). This relaxation is associated with the amorphous region of  
15 the polymer. On the other hand, the  $\alpha$  relaxation, which is related to the crystalline  
16 region, appeared as a strong and wide decrease in  $E'$  and a rounded and small maximum  
17 in  $E''$  close to  $50$  °C (**Figures 6 and 7**). Despite the similar behavior of both polymers  
18 regarding the  $\gamma$  and  $\alpha$  relaxations, a difference was noted between them, as a weak  $\beta$   
19 relaxation appeared around  $-10$  °C only for the higher molecular weight material, PE50-  
20 E00.

21 The incorporation of alpha-tocopherol into UHMWPE gave rise to a distinct  
22 relaxation around  $-25$  °C, which was more evident at the highest concentrations  
23 (**Figures 6 and 7**). Specifically, this “new” beta transition associated with the presence  
24 of vitamin E appeared as a noticeable peak in the  $E''$  plots for PE50-E90 samples, and it  
25 gradually lost intensity and was shifted towards higher temperatures as the vitamin E

1 concentration decreased for PE50-E07, PE50-E03, PE20-E03 and PE20-E0075  
2 specimens. The activation energy of this transition calculated from the temperature of  
3 the maximum in  $E''(T)$  plots ranged from 149 to 188 kJ/mol for 0.7% and 9% vitamin E  
4 doped GUR 1050 UHMWPEs. Regarding the effect of frequency, essentially similar  
5 results were observed at the highest frequencies (10, 30 and 50 Hz), as can be seen in  
6 the isochrone curves of raw and vitamin-E stabilized UHMWPEs (**Figures 8 and 9**).

7 The  $\beta$  relaxation has been observed not only in branched low-density  
8 polyethylenes (LDPE), but also in some linear polyethylenes in connection with the  
9 amorphous phase. However, due to constraints imposed by chain connections to, and  
10 confinement by crystal lamellae, the  $\beta$  process is greatly broadened in linear  
11 polyethylenes, and therefore much less prominent, in comparison to the main relaxation  
12 in wholly amorphous polymers (i. e. the glass transition). Thus, the temperature of the  
13 pronounced beta relaxation is generally considered the glass-rubber transition  
14 temperature ( $T_g$ ) for LDPE, whereas the weak or even absent beta relaxation in linear  
15 polyethylenes induces to consider  $T_g$  as the temperature of the gamma relaxation <sup>23</sup>.  
16 Different molecular mechanisms have been proposed to contribute to the  $\beta$  relaxation in  
17 specific polyethylenes, in addition to those related to the glass transition <sup>24</sup>. In general,  
18 the beta relaxation is accepted to stem from the relaxation of chain units in the  
19 interfacial region via fold-surface, chain-end, branch-point molecular motions as well as  
20 chain rotation <sup>25</sup>. Therefore, the higher interlamellar content (i.e. lower crystallinity) the  
21 stronger the beta relaxation as demonstrated for chlorinated and short-chain branched  
22 polyethylenes <sup>25-27</sup>. Moreover, the beta relaxation is absent in linear HDPEs in  
23 agreement with their much lower interlamellar content. In the case of high molecular  
24 weight polyethylenes, Nitta and Tanaka concluded that the  $\beta$  relaxation is a  
25 consequence of the activation of loop and loose tie molecules, as these elements arise in

1 these particular polyethylenes but they do not form in common linear HDPE<sup>28</sup>. The  
2 current findings appear to be consistent with this alternative explanation, as a weak  $\beta$   
3 transition was detected in raw GUR 1050 specimens but it was absent in the lower  
4 molecular weight GUR 1020 specimens. The activation energy ( $\Delta H$ ) of  $\beta$  transition had  
5 a value of 140 kJ/mol for PE50-E00 but with strong uncertainty due to the inherent  
6 difficulty to determine the maximum from the plateau shape of this transition. The  
7 addition of increasing vitamin E concentrations, on the other hand, was responsible for  
8 the introduction and/or progressive strengthening of the originally absent or weak  $\beta$   
9 relaxation in vitamin E containing GUR 1020 and GUR 1050 UHMWPEs, respectively.  
10 Also, the incorporation of increasing concentrations of the antioxidant resulted in a shift  
11 towards lower temperatures of the beta relaxation, although with higher activation  
12 energies. The presence of the beta relaxation in these materials seems to be related to  
13 the crystallinity drop (i.e. increase in interlamellar content) provoked by the diffusion of  
14 the antioxidant. Nevertheless, the existence of this transition cannot be exclusively  
15 attributed to the thermal conditions used for antioxidant diffusion, as both GUR 1020  
16 and GUR 1050 UHMWPEs exhibited no changes in both crystallinity and relaxation  
17 behavior after thermal treatment at 120 °C. The current crystallinity results along with  
18 the relaxation behavior also suggest that, upon diffusion at high temperature, vitamin E  
19 is preferentially located on crystal surfaces. On the other hand, vitamin E doped GUR  
20 1050 and 1020 UHMWPEs with similar antioxidant concentrations (0.3% w/w)  
21 exhibited the beta relaxation at different temperatures (**Figure 10**).

22 With regard to a hypothetical role of alpha-tocopherol as plasticizer, the current  
23 results did not provide evidence of such effect for the beta relaxation. In this sense, the  
24 effects of various plasticizers on the relaxation behavior of different materials, such as  
25 polyvinyl chloride, polyvinyl acetate, polystyrene, composite biopolymer caseinate-

1 pullulan, and blends of poly(3-hydroxybutyrate) and cellulose acetate among others,  
2 have been investigated by several researchers<sup>23,29-31</sup>. The addition of a plasticizer  
3 generally results in a shift towards lower temperatures of the corresponding relaxation  
4 (in some cases  $T_g$ ) and a decrease of the apparent energy of activation<sup>29</sup>. In our study,  
5 the relaxation behavior of vitamin E containing UHMWPE specimens matched the  
6 former condition that is decreasing temperatures for the beta relaxation as the  
7 antioxidant concentration increased. However, the apparent activation energies were  
8 increasingly higher. The relatively high activation energy (188 kJ/mol) of the beta  
9 relaxation for the highest vitamin E content suggests a cooperative mechanism, with  
10 numerous chain units taking part in the relaxation. Despite the shift towards lower  
11 temperatures of the beta relaxation of UHMWPE, no evidence of a plasticizing effect  
12 upon antioxidant incorporation could be confirmed as the activation energy was  
13 increasingly higher. Moreover, vitamin E had no significant plasticizing effects (neither  
14 on temperature nor on activation energies) on the relaxation behavior of the present  
15 polymeric specimens for concentrations as low as those used in clinically available  
16 UHMWPE materials (**Figure 10**). The lower intensity of the beta relaxation in vitamin  
17 E blended GUR 1020 would stem from both the much lower concentration of the  
18 antioxidant and the lower molecular weight of the raw polymer, which in turn results in  
19 a lower fraction of tie and loop molecules.

20       Regarding the  $\gamma$  relaxation of the raw UHMWPEs, the calculated activation  
21 energies of the lower molecular weight material, PE20-E00, reached a value of 65  
22 kJ/mol. The  $\gamma$  relaxation is commonly associated with the motion of polymethylene  
23 segments in amorphous regions of the polymer, which results in kink formation,  
24 inversion and migration or crankshaft motion<sup>32-33</sup>. The temperature location of the  $\gamma$   
25 relaxation is also considered to be the subglass transition temperature for some

1 polyethylenes<sup>34-35</sup>. Although in some cases differences in the  $\gamma$  transition might be  
2 attributed to different crystallinity contents, the similar values of this parameter and  
3 intensities of the  $\gamma$  transition for both raw materials suggest that other cause may be  
4 involved. On the other hand, PE50-E00 and PE20-E00 also showed relatively small  
5 differences in the maximum values of the storage modulus (3.2 GPa and 1.6 GPa,  
6 respectively), at temperatures below the  $\gamma$  transition. It is also known that the stiffness of  
7 polyethylene can be also slightly influenced by molecular weight<sup>36</sup>, as occurred in this  
8 case. The loose chain ends in the lamella, which are affected by the length of the  
9 polymer chains, could be another underlying cause of this different behavior.

10 With regard to vitamin E infused and blended UHMWPEs, no significant  
11 changes were observed in the temperature location, intensity or activation energies of  
12 the  $\gamma$  relaxation (**Figures 6 and 7**) for these materials. The fact that the presence of  
13 vitamin E in different concentrations did not significantly alter the  $\gamma$  transition induces  
14 to think that no plasticizing effects take place in vitamin E-UHMWPE systems even at  
15 relatively high concentrations of antioxidant. As mentioned above, the incorporation of  
16 the antioxidant was responsible for the introduction and/or strengthening of the beta  
17 relaxation. However, plasticization of the beta relaxation was also discarded due to the  
18 rising activation energies registered for increasingly higher vitamin E amounts.  
19 Therefore, our hypothesis tested negative as the present results confirmed both, vitamin  
20 E did not alter the internal friction of UHMWPE with regard to the gamma relaxation,  
21 and it actually generated more friction in the beta relaxation region especially at high  
22 antioxidant concentrations.

23 The incorporation of vitamin E into UHMWPE provoked changes in intensity  
24 and temperature shifts to the  $\alpha$  relaxation. These changes depended on the technique

1 employed to obtain the vitamin E-UHMWPE system. While for vitamin E infused  
2 UHMWPEs the  $\alpha$  relaxation shifted to lower temperatures and decreased in intensity  
3 with increasing vitamin E concentrations, vitamin E blended UHMWPEs exhibited  
4 shifts towards higher temperatures in this transition as the amount of vitamin E  
5 increased. The foregoing changes in intensity and temperature shifts were especially  
6 pronounced for PE50-E90 (Figure 6), which was characterized by the lowest  
7 crystallinity, close to 40%. However, the effect of vitamin E in the activation energy of  
8 the  $\alpha$  process was similar for blended and infused UHMWPEs, since in both cases it  
9 increased the activation energies. The activation energies obtained were 92, 166, and  
10 313 kJ/mol for PE20-E00, PE20-E0075, and PE20-E03, respectively, and 83 and 198  
11 kJ/mol for PE50-E00 and PE50-E90, respectively.

12 The  $\alpha$  transition is related to the crystal phase of the material by means of inter-  
13 lamellar shear mechanism, and its temperature location is an indication of the lamellar  
14 thickness<sup>37-38</sup>. Therefore, the shifts towards lower temperatures observed in vitamin E  
15 infused materials confirmed lower lamellar thickness in agreement with the present  
16 DSC results. This decrease in crystal thickness is probably a direct result of the  
17 synergism between the annealing conditions needed to diffuse and homogenize vitamin  
18 E into UHMWPE, and the influence of the antioxidant on the recrystallization  
19 mechanisms of the polymer. At the highest vitamin E concentration the activation of  
20 recrystallization mechanisms may be not favored and hence partial melting, without  
21 further lamellar doubling or gradual thickening, might be responsible for the drop in  
22 both crystallinity content and crystal size as well as the decreased intensity of the  
23  $\alpha$  relaxation. On the other hand, the effect of trace concentrations of antioxidant in the  
24 crystallization of UHMWPE during compression molding remains unclear. Thus,  
25 although the shift towards higher temperatures of the  $\alpha$  transition suggests thicker



1 lamellar crystals regardless of concentration, the intensity variations followed opposite  
2 trends for blends with 0.075 and 0.3 percent by weight of vitamin E. More research is  
3 needed to determine the influence of trace concentrations of vitamin E on both crystal  
4 nucleation and further crystallization processes during compression molding of vitamin  
5 E blended UHMWPEs. **In this regard, and although it is logical to expect that the  
6 exclusive activation of vitamin E molecules should introduce a new relaxation at  
7 the same temperature regardless of polyethylene type and method of  
8 incorporation, the physicochemical interaction of the antioxidant and polyethylene  
9 molecules may significantly vary with concentrations and production conditions.**  
10 **Finally**, more studies must be undertaken in order to know the effect of radiation-  
11 induced crosslinking either by gamma radiation or electron-beam on the molecular  
12 dynamics of vitamin E blended UHMWPEs.

## 13 CONCLUSIONS

14 Vitamin E concentrations in blended GUR 1020 UHMWPE and infused GUR  
15 1050 UHMWPE were detected by FTIR and UV from values of 0.3 and 0.075 %,  
16 respectively. A linear correlation appeared between the vitamin index related with the  
17 intensity of specific peaks in IR( $1262\text{ cm}^{-1}$ ) and UV(290 nm) spectra and the weigh  
18 concentration. Vitamin E concentrations above 3 % reduced significantly the degree of  
19 crystallinity and increased the melting transition temperature of the raw material,  
20 aspects which were confirmed by DSC measurements and observation of  
21 microstructural features on TEM micrographs.

22 The dynamical and mechanical thermal analysis showed the presence of two  
23 well-expressed relaxations,  $\gamma$  and  $\alpha$ , about  $-125\text{ }^{\circ}\text{C}$  and  $50\text{ }^{\circ}\text{C}$ , which were associated to  
24 the amorphous and crystalline parts of these semycrystalline polymers, respectively. A  
25 weak  $\beta$  relaxation at  $-10\text{ }^{\circ}\text{C}$  was detected in the high molecular weight GUR 1050

1 polyethylene. The presence of vitamin E in different concentrations did not  
2 significantly alter the intensity and location of the  $\gamma$  transition. The introduction of  
3 increasing alpha-tocopherol amounts generated and/or progressively strengthened the  
4 beta relaxation in UHMWPE, the transition being shifted towards lower temperatures  
5 and having rising activation energies as the antioxidant concentration increased (up to -  
6 25 °C, and 188 kJ/mol activation energy for the highest concentration). Due to the  
7 unaltered gamma relaxation and the increasing activation energies of the beta transition,  
8 potential plasticization effects were discarded in vitamin E-UHMWPE systems. Finally,  
9 another effect of the highest vitamin E concentrations in infused UHMWPE is the  
10 intensity decrease of the  $\alpha$  relaxation, in accordance with the drop of crystallinity  
11 content observed in DSC measurements, and the shift towards lower temperatures.

12

13

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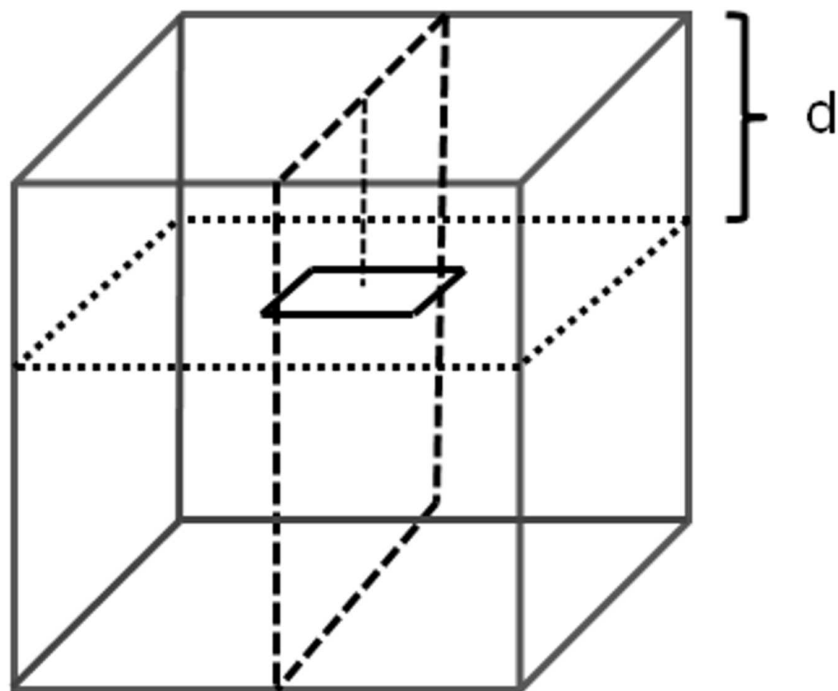


Figure 1. Schematic drawing depicting obtention of UHMWPE samples with specific vitamin E concentration at a depth  $d$ , as measured by means of FTIR spectroscopy.

68x61mm (600 x 600 DPI)

ew

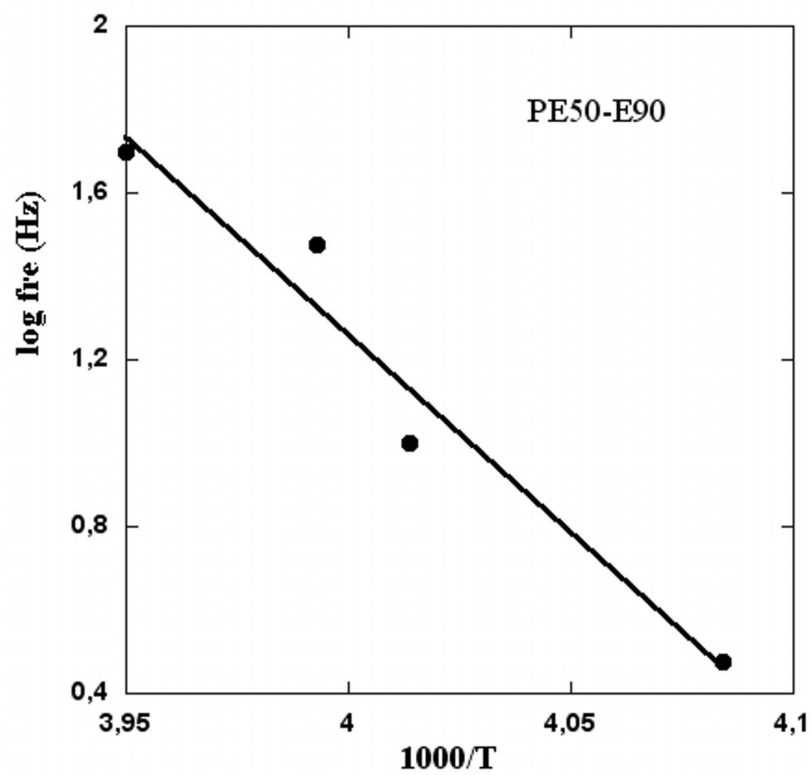


Figure 2. Activation energies corresponding to  $\gamma$ ,  $\beta$  and  $\alpha$  relaxations were obtained by fitting to an Arrhenius model  
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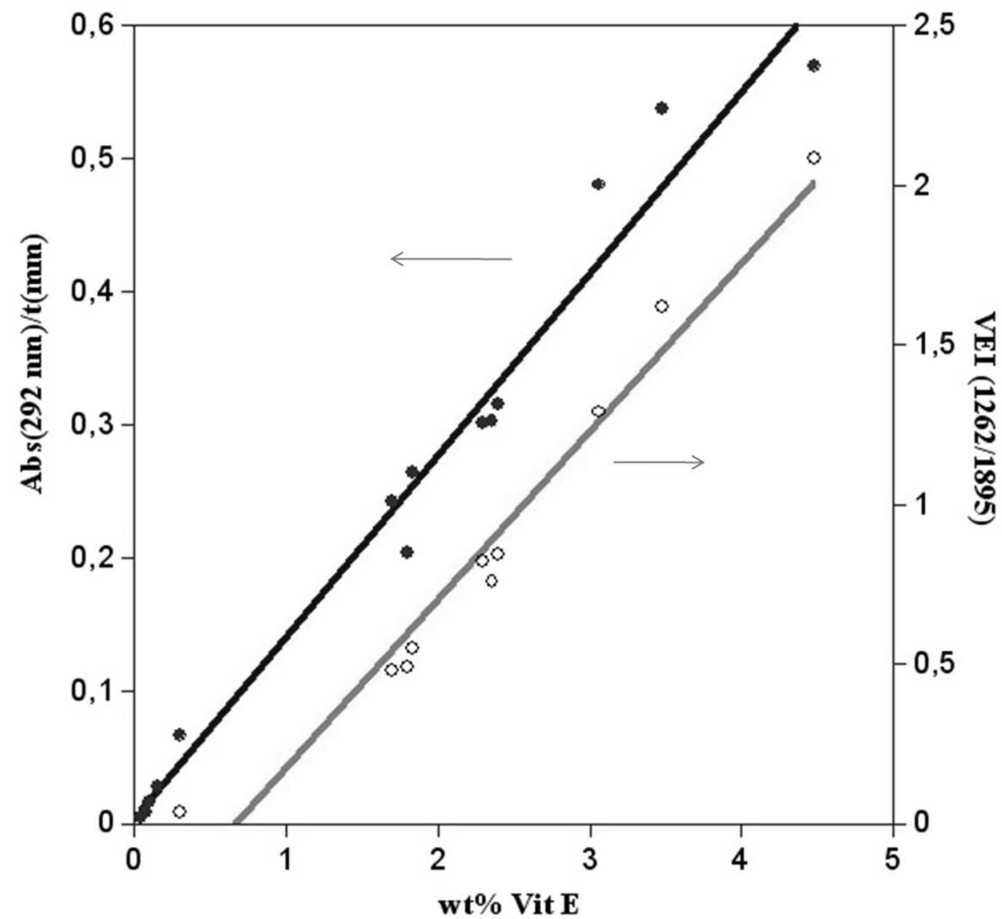


Figure 3. Correlation between Vitamin E index from FTIR and peak UV absorption as a function of the wt% vitamin E.  
74x72mm (600 x 600 DPI)

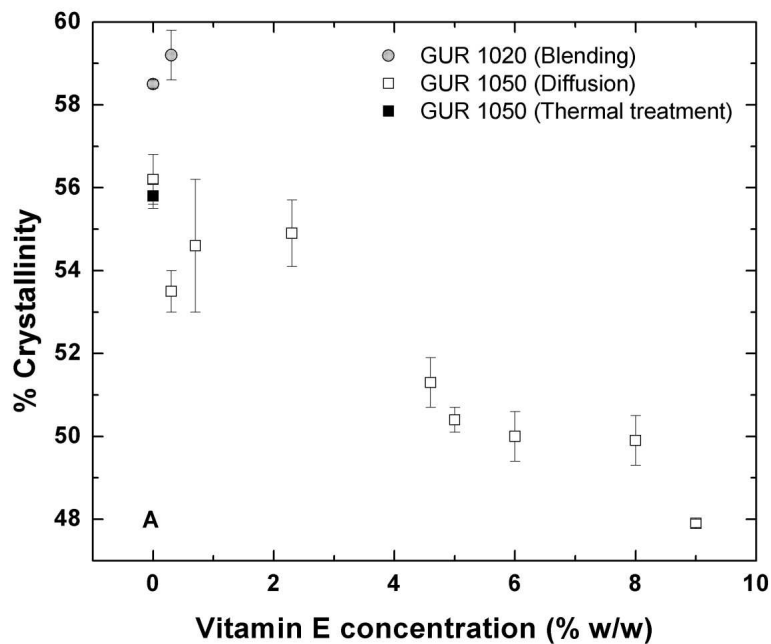


Figure 4. Crystallinity content, a), and melting transition temperature, b), results obtained from DSC experiments.  
127x98mm (300 x 300 DPI)



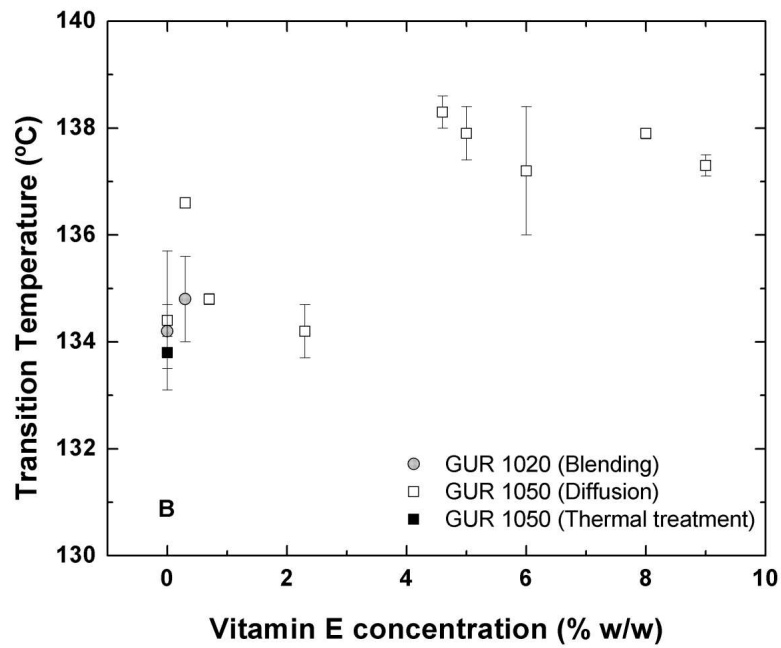


Figure 4. Crystallinity content, a), and melting transition temperature, b), results obtained from DSC experiments.  
127x98mm (300 x 300 DPI)

view

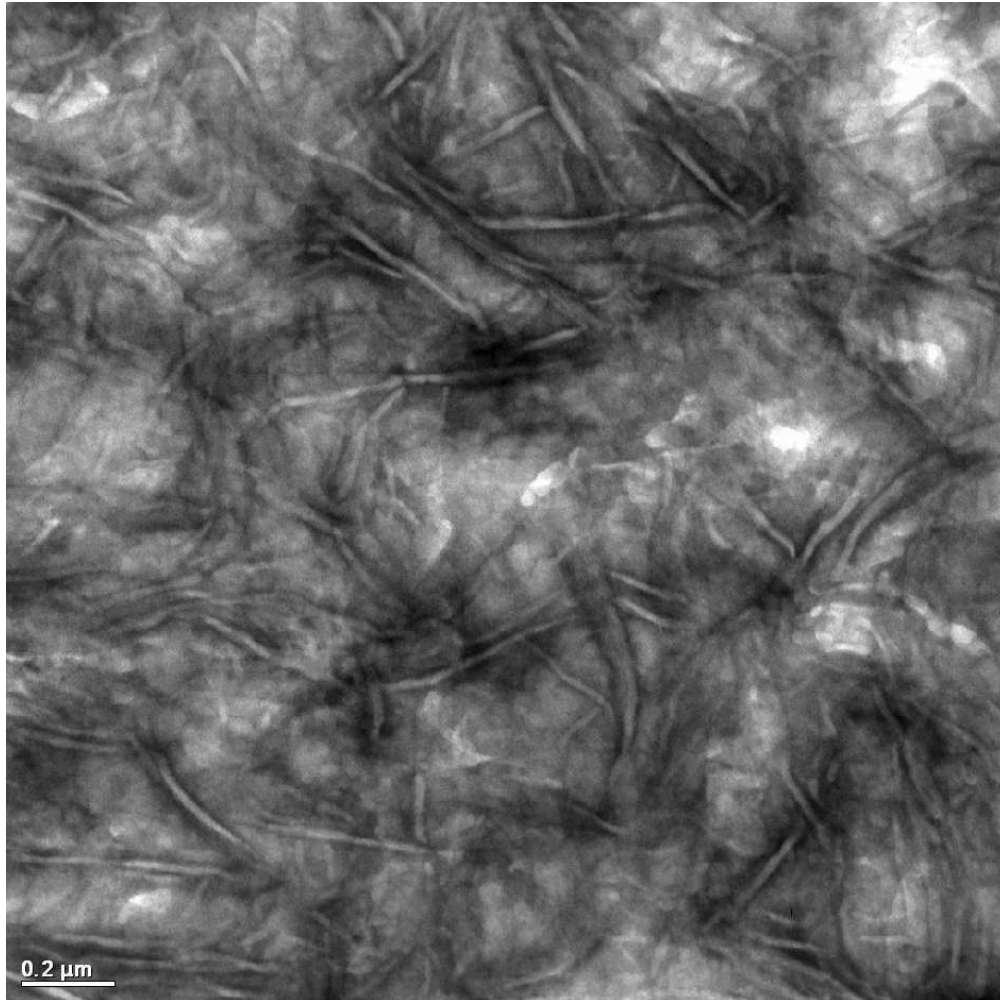


Figure 5. TEM micrographs (x60,000) of a) PE50-E00, b) PE50-E23 and c) PE50-E46  
79x79mm (300 x 300 DPI)

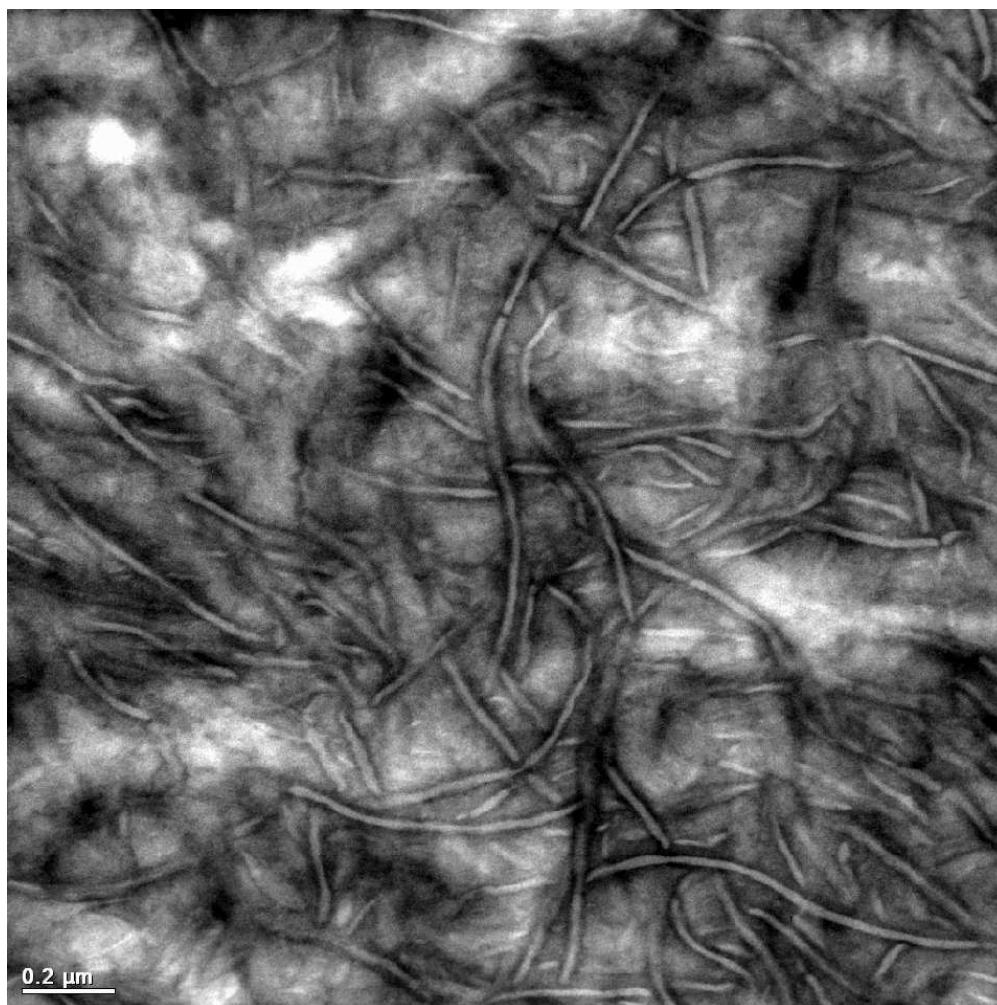


Figure 5. TEM micrographs (x60,000) of a) PE50-E00, b) PE50-E23 and c) PE50-E46  
80x80mm (300 x 300 DPI)

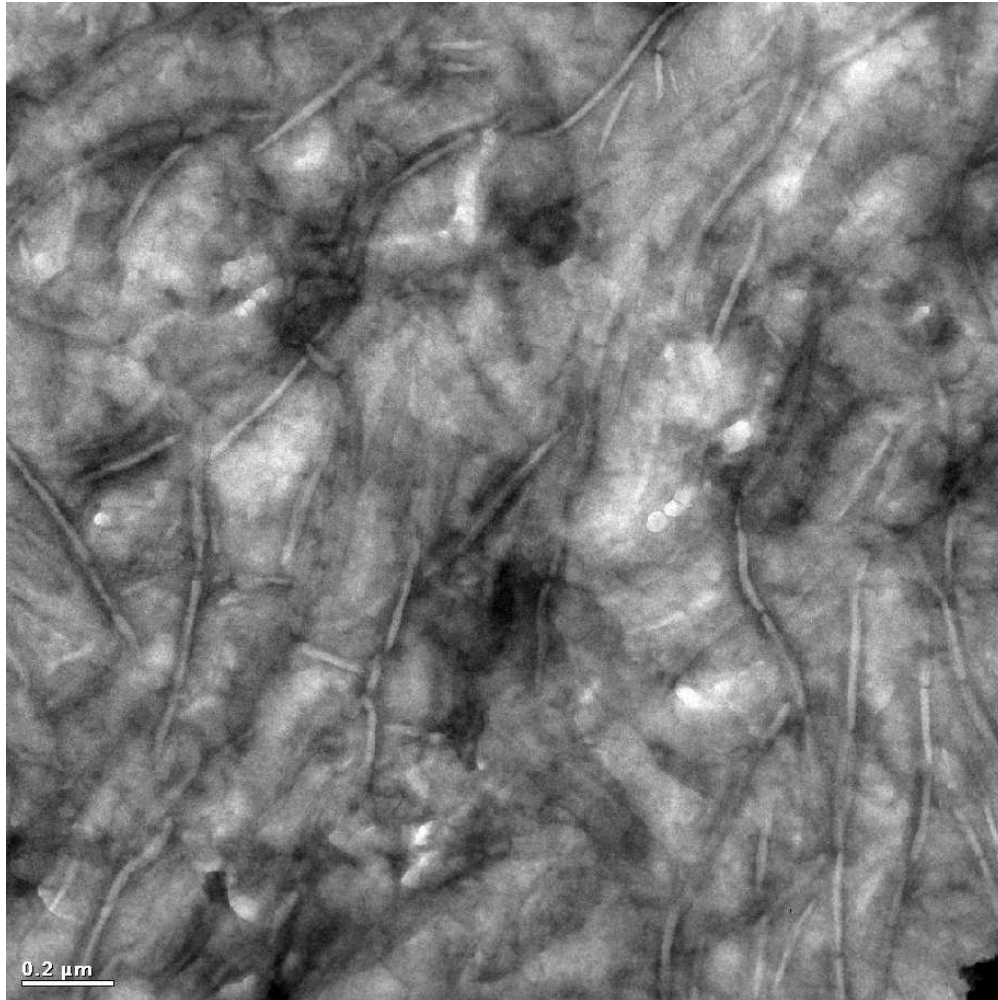


Figure 5. TEM micrographs (x60,000) of a) PE50-E00, b) PE50-E23 and c) PE50-E46  
77x77mm (300 x 300 DPI)

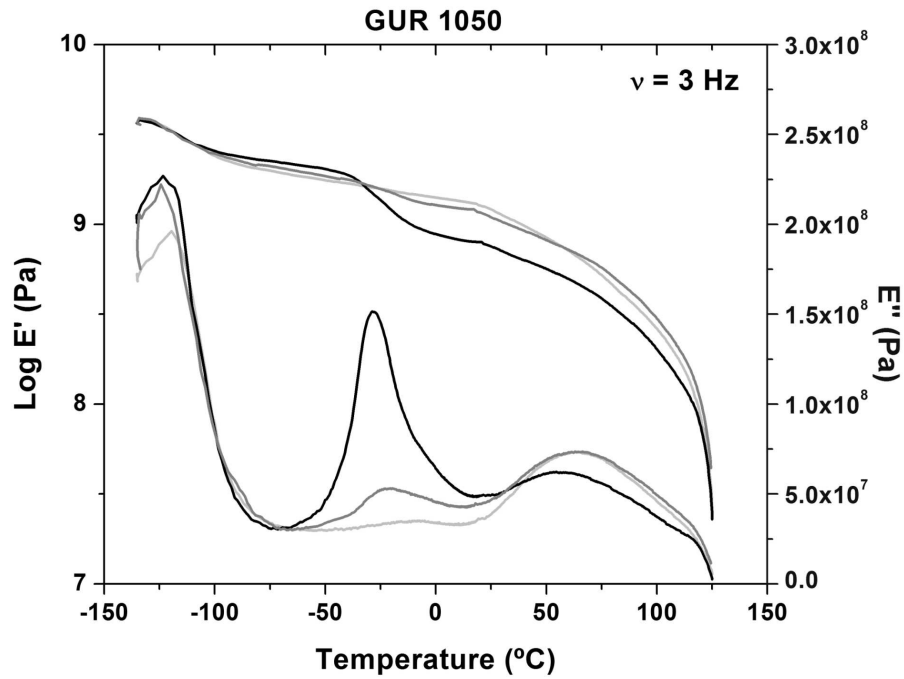


Figure 6. Temperature dependence of storage modulus,  $E'$ , and loss modulus,  $E''$ , at 3 Hz for virgin PE50-E00 (light gray line), and vitamin E infused polyethylenes samples PE50-07 (gray line) and PE50-90 (black line).  
177x137mm (300 x 300 DPI)

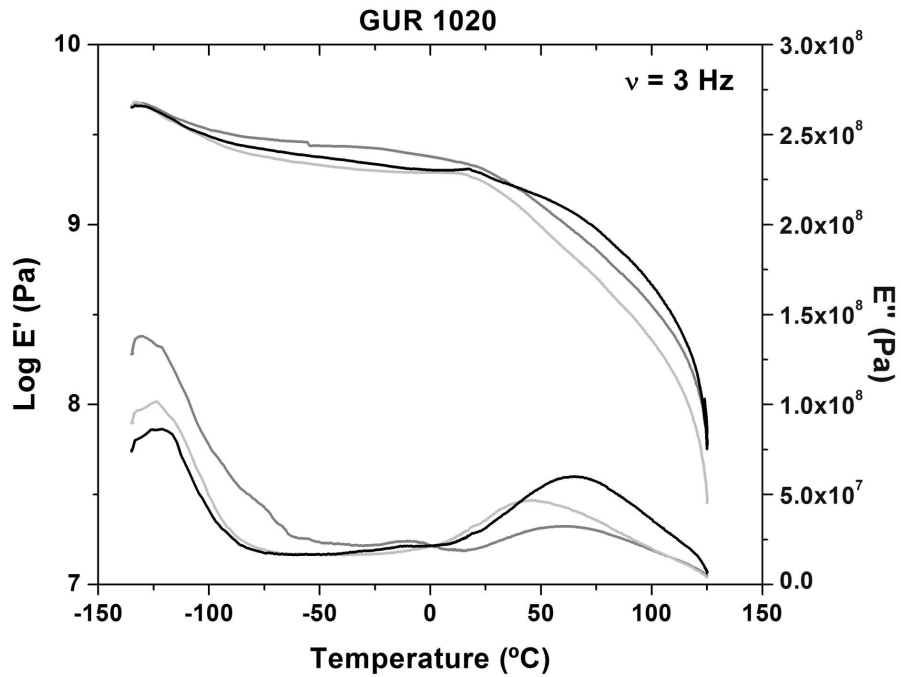


Figure 7. Temperature dependence of storage modulus,  $E'$ , and loss modulus,  $E''$ , at 3 Hz for virgin PE20-E00 (light gray line), and vitamin E blended polyethylenes PE20-0075 (gray line) and PE20-03 (black line).  
177x137mm (300 x 300 DPI)

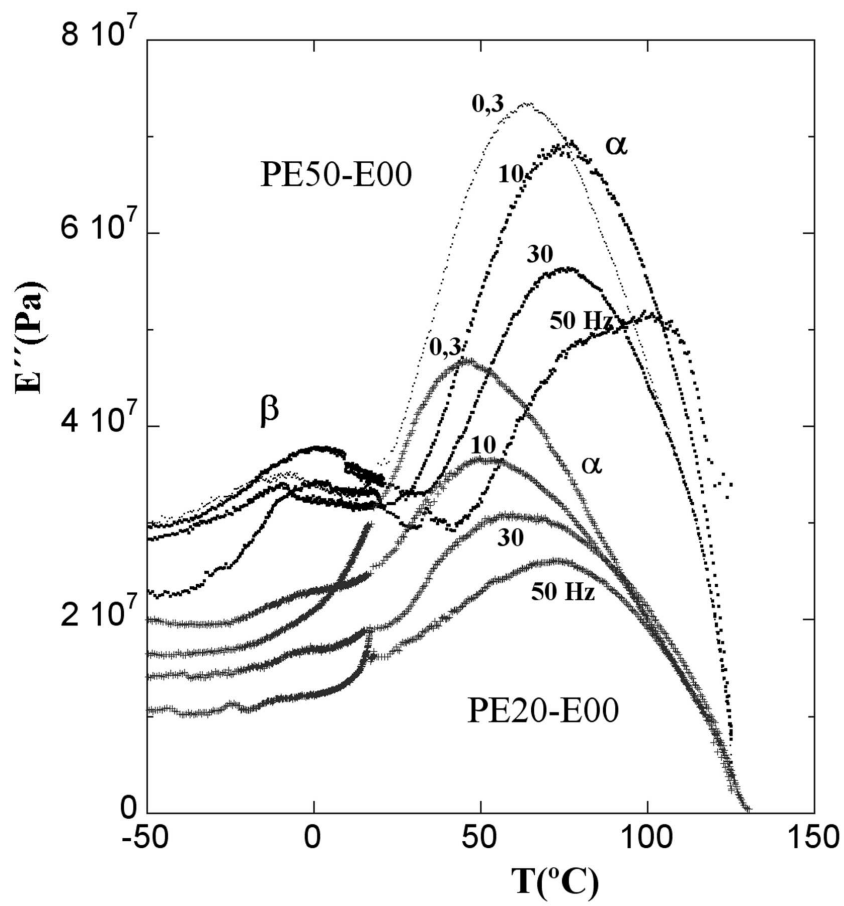


Figure 8. Frequency dependence of loss modulus for virgin PE50-E00 and PE20-E00 samples at 0.3, 10, 30 and 50 Hz.  
84x93mm (600 x 600 DPI)

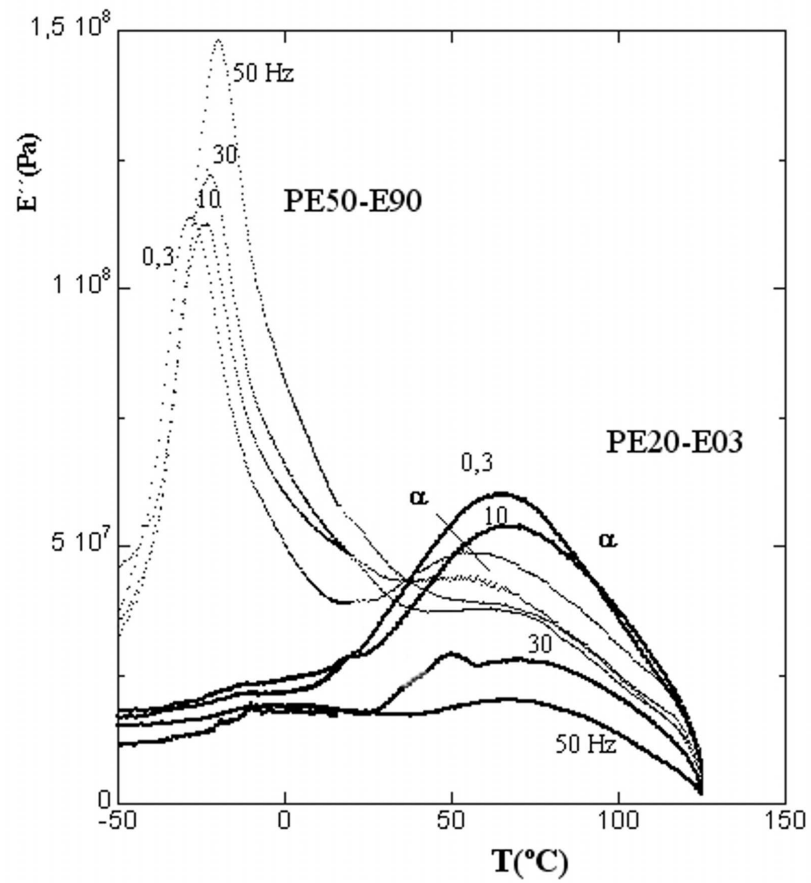


Figure 9. Frequency dependence of loss modulus,  $E''$ , for vitamin E infused and blended samples, PE50-90 and PE20-E03, respectively, at 0.3, 10, 30 and 50 Hz.  
84x93mm (600 x 600 DPI)



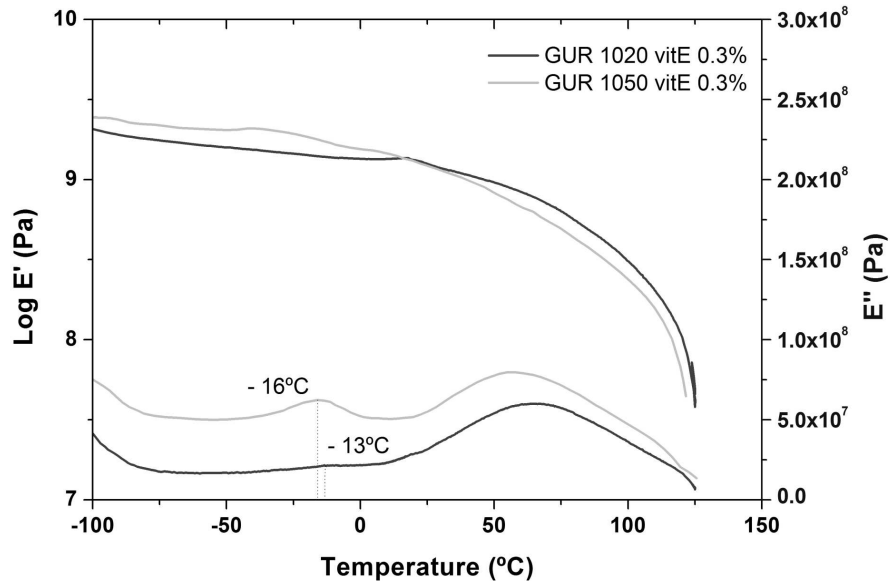


Figure 10. Temperature dependence of storage modulus,  $E'$ , and loss modulus,  $E''$ , at 3 Hz for vitamin E blended PE20-03 (dark gray line) and vitamin E infused PE50-03 (light gray line) polyethylenes.  
177x120mm (300 x 300 DPI)