

# Effect of CNFs content on the tribological behaviour of spark plasma sintering ceramic-CNFs composites

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## ABSTRACT

Alumina-carbon nanofibers (CNFs) and silicon carbide-CNFs nanocomposites with different volume fraction of CNFs (0-100 vol.%) were fabricated by spark plasma sintering. The effect of CNFs content on the tribological behaviour in dry sliding conditions on the ceramic-carbon nanocomposites has been investigated using the ball-on-disk technique against alumina balls. The wear rate of ceramic-CNFs nanocomposites decreases with CNFs increasing content. The friction coefficient of the

1 Al<sub>2</sub>O<sub>3</sub>/CNFs and SiC/CNFs nanocomposites with high CNFs content was found to be  
2 significantly lower compared to monolithic Al<sub>2</sub>O<sub>3</sub> and SiC due to the effect of CNFs  
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4 and unexpectedly slightly lower than CNFs material. The main wear mechanism in the  
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6 nanocomposite was abrasion of the ceramic and carbon components which act in the  
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8 interface as a sort of lubricating media. The experimental results demonstrate that the  
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10 addition of CNFs to the ceramic composites significantly reduces friction coefficient  
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12 and wear rate, resulting in suitable materials for unlubricated tribological applications.  
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19 **Keywords:** Ceramic-matrix composite; Wear; Carbon nanofibers; Tribology; Hardness  
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## 24 **1. INTRODUCTION**

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28 The continuous improvement of the carbon nanofibers (CNFs) and carbon nanotubes  
29 (CNTs) production techniques and thus the available qualities of these materials, have  
30 given rise to introduce them into polymers [1,2], ceramics [3-5] and metals [6-8]  
31 matrices. In recent years some research has been done and demonstrated the positive  
32 effect of CNFs in alumina-, zirconia- and silicon carbide-based composites [9-13].  
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34 Maensiri et al. [9] reported an improvement of approximately 13% in the fracture  
35 toughness of alumina-CNFs composites with 2.5 vol.% CNFs, but the hardness and  
36 fracture strength decreases with increasing volume fraction of CNFs. Duszová et al. [10]  
37 using similar CNFs contents found that the zirconia-CNFs composites exhibit slightly  
38 higher mechanical properties. Although the ceramic-CNFs nanocomposites firstly  
39 developed were focused on low CNFs content (usually <10 vol.%), Borrell et al. [12]  
40 have already studied CNFs/Al<sub>2</sub>O<sub>3</sub> nanocomposites with high CNFs content (50-100)  
41 vol.%. They reported that carbon matrix components with a mechanical improvement of  
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60% respect pure carbon materials can be achieved by 50 vol.% Al<sub>2</sub>O<sub>3</sub> addition. This kind of nanocomposites can be obtained thanks to the relatively low sintering temperature used during Spark Plasma Sintering (SPS) technique. They have also demonstrated that an interface improvement between Al<sub>2</sub>O<sub>3</sub> and CNFs by a surface coating with alumina precursor improves mechanical properties to the composites with high CNFs content (90 vol.%). Shimoda et al. [13] studied the preparation of CNFs/SiC nanocomposites with 1-10 wt.% of CNFs by hot-pressing via a transient eutectic phase route at 1900 °C for 1h, using Al<sub>2</sub>O<sub>3</sub> and Y<sub>2</sub>O<sub>3</sub> particles as sintering additives. They achieved well dispersed CNFs on the nanocomposite with contents below 5 wt.% and reached 98 % relative density on the CNFs/SiC composites.

Ceramics are potential materials to be used in friction systems. They offer high values of specific heat and medium (Al<sub>2</sub>O<sub>3</sub>) to high (SiC) values of thermal conductivity as well as high oxidation and thermal resistance. In addition to their functional properties, the research on ceramic-CNTs or ceramic-CNFs composites has been focused on their basic mechanical properties such as hardness, strength and fracture toughness, electrical conductivity and only limited work on their tribological behaviour has been attempted [14-17]. In these studies it was observed that the friction coefficient was reduced by the addition of CNTs to the ceramic matrix. Lim et al. [16] investigated the tribological behaviour of alumina/CNTs composites with different weight contents of CNTs (0-12 wt.%) fabricated by hot-pressing and tape casting, followed by lamination and hot-pressing. They reported that the tape-casting process significantly improves the uniform distribution of CNTs in the alumina matrix, resulting in an enhanced wear resistance of the composites. Ahmad et al. [17] investigated the effect of MWCNTs addition in coefficient of friction and wear resistance of hot-pressed alumina-MWCNTs nanocomposites (reinforced with 2, 5 and 10 wt.% MWCNTs). They found reduced

1 coefficients of friction and improved wear resistance for the nanocomposites obtained at  
2 low and moderate sliding loads; whilst for high sliding loads (35 N), the 10 wt.%  
3 MWCNT nanocomposite exhibited lower wear resistance. Wang et al. [18] reported that  
4 the addition of silicon carbide (SiC) to the matrix of C/C composites improves their  
5 tribological properties, by combining the hardness and the chemical stability of SiC  
6 with the self-lubricating action of carbon. Hvizdos et al. [19] studied the tribological  
7 behavior of CNFs-zirconia composites sintered by hot-pressing. They demonstrated an  
8 excellent frictional properties of ZrO<sub>2</sub>/CNFs can be achieved by incorporating a low  
9 amount (1.07 wt.%) of CNTs into the microstructure of zirconia. The coefficient of  
10 friction of ZrO<sub>2</sub>/CNFs composite was found to be significantly lower compared to that  
11 for monolithic zirconia with relatively low wear rates for both materials.  
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26 The present work aims to study the tribological behaviour of ceramic-CNFs  
27 nanocomposites sintered by Spark Plasma Sintering either at high and low CNFs  
28 content. Then, ceramic reinforced carbon materials and CNFs reinforced ceramic  
29 materials were investigated. Al<sub>2</sub>O<sub>3</sub>/xCNFs and SiC/xCNFs (x=0-100 vol.%)  
30 nanocomposites have been prepared and the role of ceramic-CNFs debris of the  
31 composites on the frictional coefficient and wear rate have been investigated.  
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## 43 **2. EXPERIMENTAL PROCEDURE**

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48 The materials used in this study were commercial carbon nanofibers (CNFs) having an  
49 average outer diameter of 20-80 nm and lengths >30 μm, supplied by Group Antolín  
50 Engineering, (Burgos, Spain). These CNFs were generated via vapor phase growth  
51 (VGCNFs) [20] using a floating catalyst of nickel in solution (6-8%). α-Al<sub>2</sub>O<sub>3</sub>  
52 nanopowder (Taimei TM-DAR Chemicals Co. Ltd, Japan) with an average particle size  
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of 153 nm and a purity of 99.99% was used as one of the ceramic systems.  $\beta$ -SiC nano-sized powder (Hubei Minmetals Corp., China) with a mean particle diameter of 50 nm and a purity >98% was used as the second ceramic material. The powder mixtures,  $\text{Al}_2\text{O}_3/\text{CNFs} = 100/0 - 80/20 - 50/50 - 20/80 - 0/100$  vol.% and  $\text{SiC}/\text{CNFs} = 100/0 - 80/20 - 50/50 - 20/80 - 0/100$  vol.%, were dispersed in ethanol (Panreac Quimica) by high-energy attrition milling (Union Process, EE.UU) using alumina balls of 2 mm diameter at 400 rpm and milling times of 1 hour. After milling, the resulting slurry was dried at 60 °C and the dried powder was sieved under 60  $\mu\text{m}$ . The powder samples were placed into a graphite die with an inner diameter of 20 mm and cold uniaxially pressed at 30 MPa. Then, they were introduced in a spark plasma sintering apparatus HP D 25/1 (FCT Systeme GmbH, Rauenstein, Germany) under low vacuum ( $10^{-1}$  mbar). It was applied 80 MPa of uniaxial pressure and a heating rate of 100 °C  $\text{min}^{-1}$  was used. The composites of  $\text{Al}_2\text{O}_3/\text{CNFs}$  and  $\text{SiC}/\text{CNFs}$  were sintered at 1500 °C and 1600 °C respectively. In the case of  $\text{SiC}/\text{CNFs}$  materials, no sintering additives were used. The samples for hardness analysis were previously polished (Struers, model RotoPol-31) with diamond to 1  $\mu\text{m}$  roughness. The hardness of the materials was determined using the indentation technique (Buehler, model Micromet 5103) with a conventional diamond pyramid indenter. The diagonals of each indentation were measured using an optical microscope. Measuring conditions for the Vickers hardness,  $H_v$ , were an applying load of 2 N for 10 s and the standard specification ASTM E92-72. The value of  $H_v$  is the relationship between applied load  $P$  and the surface area of the diagonals of indentation [21]. Wear tests were carried out under dry sliding conditions using a tribometer ball-on-disk according to ASTM wear testing standard G99-03. As friction partners, alumina balls 5 mm in diameter were used. The sample surfaces were polished with 1 micron diamond slurry. The normal load, sliding speed and distance were fixed

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at 15 N, 250 rpm and 1000 m, respectively. Testing was carried out in air, at room temperature and in dry conditions. The friction coefficient and wear loss were measured and the damage was studied on the worn surfaces of disks using scanning electron microscopy (SEM, Zeiss DSM 950).

### 3. RESULTS AND DISCUSSION

Figure 1 shows the relative density of the composites and their respective monolithic materials after SPS sintering up to 1500 °C in the Al<sub>2</sub>O<sub>3</sub>/CNFs system and 1600 °C in the SiC/CNFs system.

Two different trends were found depending on the ceramic component studied. In the case of Al<sub>2</sub>O<sub>3</sub>/CNFs nanocomposites, a decrease in the relative density is observed when the CNFs content is increased. Monolithic alumina sintered by SPS is fully dense (99.9% t.d) while the relative density of bulk CNFs material is around 92.5% t.d. This value is noticeably high for a carbon material obtained at this relatively low temperature (1500 °C). Although the density of Al<sub>2</sub>O<sub>3</sub>/20vol.% CNFs nanocomposite is close to theoretical density, for higher CNFs content, the relative density decreases approximately following the rule of mixtures. On the other hand, as it can be appreciated in Figure 1, SiC/CNFs nanocomposites have always lower relative density than bulk CNFs material. It is widely known that the full densification of the SiC ceramics without sintering additives is especially complicated, mainly due to the covalent nature of the silicon carbide bond which in the sintering process shows very low diffusivity of the atoms and high energy on the grain boundaries [22]. The densities achieved for the SiC/CNFs composites sintered by SPS at 1600 °C clearly show the

1 positive effect of CNFs on SiC densification. While only 75-80% relative density are  
2 obtained for SiC/CNFs composites containing up to 50 vol.% CNFs, close to 90% of  
3 relative density can be achieved for SiC/80vol.% CNFs at 1600 °C, even if this  
4 temperature can be considered too low for SiC sintering. Taken into account that no  
5 other additives were used for assisting SiC densification by liquid phase sintering, this  
6 result suggests that carbon nanofibers are acting simultaneously as a second phase for  
7 composite designing and as sintering additive themselves, improving the density of the  
8 final material.  
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22 Hardness values of the monolithic ceramics, bulk CNFs material and Al<sub>2</sub>O<sub>3</sub>/CNFs and  
23 SiC/CNFs composites are summarized in Figure 2.  
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29 The hardness values of prepared nanocomposites are consistent with major component  
30 of the material and its relative density. Regarding the results obtained for composites of  
31 Al<sub>2</sub>O<sub>3</sub>/CNFs systems, it can be seen how the materials hardness decreases with CNFs  
32 content. The soft phase addition as it is carbon nanofibers to obtain ceramic-carbon  
33 composites leads to marked reduction in hardness values. The hardness of Al<sub>2</sub>O<sub>3</sub>/CNFs  
34 composite with 20 vol.% CNFs has been reduced at least 27% from monolithic Al<sub>2</sub>O<sub>3</sub>  
35 material and since the CNFs content is 50 vol.% or higher, the material composites  
36 shows a sharp decrease (more than 85% hardness reduction) in the composite respect to  
37 the monolithic alumina reaching values characteristic for carbon materials. In the case  
38 of SiC/CNFs composites, similar trend is observed for nanocomposites with CNFs  
39 content higher than 50 vol.% but low values are maintained even for monolithic SiC  
40 material. The lack of density of this material sintered without sintering additives leads  
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to very low hardness values compared with corresponding to liquid-phase-sintered SiC that are around 25-31 GPa [23].

The evolution of wear rate as a function of CNFs content of the Al<sub>2</sub>O<sub>3</sub>/CNFs and SiC/CNFs composites and monolithic Al<sub>2</sub>O<sub>3</sub>, SiC and CNFs material are shown in Figure 3. The wear rate is defined as the wear amount for a unit distance and unit normal load.

Although slightly differences can be found, the logarithmic plot of the obtained wear rate shows that all ceramic-CNFs composites have very similar wear rate independently of the ceramic matrix used and the CNFs content. The only remarkable difference in wear rate corresponds to monolithic ceramic materials, Al<sub>2</sub>O<sub>3</sub> and SiC, and it is due to their differences in density, 99.9% and 68.3%, respectively. Full densification of monolithic alumina leads to a dramatically reduction of wear rate whereas the relative density of monolithic SiC is even lower than corresponding composites, which causes significant mass loss in the SiC test specimen due to the friction of the ball during test.

The slightly decrease of the SiC/CNFs composites wear rate with CNFs content up to 50 vol.% is directly related to the roughness reduction thanks to the density improvement which has been previously shown in Figure 1, combined with the lubricant effect of CNFs. The higher density composite (SiC/CNFs with 80 vol.% of CNFs) shows approximately the same wear rate than SiC/CNFs (50/50) vol.% and slightly lower than bulk CNFs material. These two composites are carbon matrix composites and they show similar wear rate and comparable to corresponding compositions prepared in the Al<sub>2</sub>O<sub>3</sub>/CNFs system. Considering the manifest difference in relative density for both ceramic/50vol.% CNFs composites, the similar wear rate is



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2 due to lubricant effect of carbon matrix which is stronger than roughness caused by lack  
3 of density.  
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7 The wear rate of Al<sub>2</sub>O<sub>3</sub>/CNFs composites is higher than monolithic alumina which can  
8 be considered negligible. The addition of a soft second phase decreases the hardness of  
9 the composite materials as it has been shown in Figure 3. This effect is combined with a  
10 reduction in relative density of these composites with CNFs content, from 99.9% to  
11 90.0%, and therefore, an increase in surface roughness. Nevertheless, the wear rate of  
12 Al<sub>2</sub>O<sub>3</sub>/20vol.% CNFs composite is significantly higher than monolithic alumina even  
13 though the relative densities are similar. Then, in the case of alumina composites it can  
14 be concluded that the loss of hardness has greater influence on the wear rate than  
15 relative density.  
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31 Figure 4, shows the friction coefficient of Al<sub>2</sub>O<sub>3</sub>/CNFs and SiC/CNFs composites and  
32 monolithic Al<sub>2</sub>O<sub>3</sub>, SiC and CNFs at an applied load of 15 N in function of CNFs content  
33 and distance covered. The friction coefficient of the composites and monolithic  
34 materials is nearly constant during the whole test showing only a slightly variation in  
35 the first 25 meters of distance Figure 4 (right). In this initial period, there is a grinding  
36 due to the elimination of the higher surface roughness followed by tightening of the  
37 contact surfaces leading to wear surface layers [24].  
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48 The high friction coefficients of Al<sub>2</sub>O<sub>3</sub> and SiC monolithic materials (around 0.4-0.6)  
49 are typical for ceramic materials [25]. As it can be seen in Figure 4.a, the friction  
50 coefficient of the nanocomposites decreases when the CNFs content is increased. This is  
51 due to the lubricating properties of the CNFs (graphite). The friction coefficient is  
52 related with the energy consumption involved in the friction process that is especially  
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1 high in monolithic ceramics in comparison with ceramic-carbon composites. The rolling  
2 motion of CNFs at the interface between the specimen and the ball allows reducing the  
3 friction coefficient. A similar lubricating effect was previously reported for multi-  
4 walled (MW) CNTs-containing Al<sub>2</sub>O<sub>3</sub> composites by An et al. [17] and Yamamoto et al.  
5 [14] and for single-walled (SW) CNTs solids by Yamamoto [26]. An et al. reported a  
6 40% decrease in friction coefficient in 12 wt.% MWCNT-Al<sub>2</sub>O<sub>3</sub> composite down to the  
7 value of 0.3 [17]. Concerning the composites prepared by Yamamoto et al., they  
8 reached a minimum friction coefficient (with a value of 0.3) at 4 wt.% of MWCNT  
9 content [14].

10 The monolithic alumina sintered by SPS at 1500 °C is a full dense ceramic with  
11 excellent mechanical properties and therefore, the high friction coefficient is  
12 accompanied by low wear rate value as it could be expected. Nevertheless, although  
13 monolithic SiC sintered by SPS at 1600 °C shows similar friction coefficient, its low  
14 relative density is reflected on its considerably higher wear rate. Then, the friction  
15 coefficient mainly depends on the type material whereas the wear rate is strongly  
16 influenced by materials properties which are consequence of processing parameters. It  
17 must be noted the particular behaviour of Al<sub>2</sub>O<sub>3</sub>/CNFs (80/20) vol.% composite. Its  
18 friction coefficient is noticeably high in comparison with all ceramic-carbon  
19 nanocomposites. In the literature, even when lower amounts of CNTs or CNFs are  
20 added to alumina matrix, a decrease in friction coefficient is observed. In our case, the  
21 use of SPS sintering technique allows combining full densification of the material while  
22 the alumina grain size is maintained at nanometer scale. As consequence, this composite  
23 shows a relative high hardness and its fracture strength (480 MPa) is even higher than  
24 monolithic alumina (400 MPa). Then, the material behavior is more similar to ceramics  
25 than carbon materials unlike the other ceramic-carbon nanocomposites. In the case of

1 SiC/CNFs (80/20) vol.% composite, that is not completely dense, the effect of CNFs is  
2 stronger and the friction coefficient of the nanocomposite is much lower than  
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4 corresponding to monolithic SiC.  
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7 It must be highlighted that both nanocomposites systems show a minimum in their  
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9 friction coefficient for compositions with 50 vol.% CNFs. It could be expected that  
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11 minimum friction coefficient should correspond to bulk CNFs materials, because the  
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13 carbon content is the responsible of reducing the friction energy involved in the process.  
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16 However, this minimum in friction coefficient agrees with minimum in wear rate and  
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18 therefore, it can be concluded that a synergy between the ceramic and CNFs has arisen.  
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21 The slightly increase in hardness and the roughness reduction due to the addition of low  
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23 amounts of ceramic phase allows balancing the friction energy involved in the process  
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25 and the material lost due to wear. These materials are especially attractive to be used in  
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27 unlubricated tribological applications. When the CNFs is increased from 50 to 80 vol.%,  
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29 the friction coefficient slightly increases from an average value of 0.19 to a value of  
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31 0.21 for Al<sub>2</sub>O<sub>3</sub>/CNFs composites and from an average value of 0.17 to 0.22 for  
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33 SiC/CNFs composites. The value for bulk CNFs material is 0.27. A similar trend is  
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35 found when the wear rate is compared and this can be related with the reduction of  
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37 hardness values. For CNFs contents higher than 50 vol.%, the removal of material from  
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39 sample surface is easier due to the lower mechanical properties of the CNFs material  
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41 [11], leading to an increase in softer waste that act as a third body. These abrasives form  
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43 different constituents of wear debris which tended to increase ploughing debris.  
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50 SEM micrographs of damaged surfaces after the wear test are shown in Figure 5.  
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54 The worn surface revealed that the main wear mechanism in the Al<sub>2</sub>O<sub>3</sub>/CNFs with 20  
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56 vol.% of CNFs is self-polishing by low-intensity abrasion and brittle fracture due to the  
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1 poor cohesion between the CNFs and the matrix. In a previous study on the alumina  
2 composites [27], the alumina grains detached from the alumina surface caused abrasion  
3 of zirconia material. However, this observation is less significant in our case because of  
4 the low applied load. In the worn surface of this material it is possible to observe  
5 polished areas and large amount of fine (submicron) wear particles.  
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11 The figure 5(a) shows the surface covered with pull-out fine alumina grain over the  
12 entire worn surface and many cracks. These cracks were observed in the direction of  
13 sliding and generated micro-abrasion. CNFs might play an important role in the sliding  
14 wear behaviors of ceramics. Several researches have addressed the characterization of  
15 the CNFs and their role on the wear behaviors [16-19]. The role of CNFs lubricant  
16 formation can drastically reduce the amount of wear in ceramics. The dominant wear  
17 behaviour of Al<sub>2</sub>O<sub>3</sub>/CNFs (20/80) vol.% (Figure 4b) was mild abrasion which resulted  
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31 The main wear behaviour observed in Figure 4 (c) and (d) corresponding to SiC/CNFs  
32 composites was cohesive wear. Partial cracks, perpendicular to the sliding direction,  
33 were observed during sliding. These cracks are due to the tensile stress at the trailing  
34 edge of the contact areas. The surface of SiC/CNFs (80/20) vol.% composite was  
35 covered by debris compaction resulted from the frequent events of the micro-fracture  
36 due to the lowest densification. Wear debris is a mixture of SiC and CNFs particles.  
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1 [19]. More work is needed to understand the detailed role of CNFs on the tribological  
2 performance of these composites.  
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#### 7 **4. CONCLUSIONS**

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11 The results showed how friction and wear behaviour of ceramic/CNF nanocomposite  
12 materials are influenced by the type of ceramic component and properties such as  
13 hardness or relative density. Superior tribological properties were achieved in two  
14 ceramic matrices ( $\text{Al}_2\text{O}_3$  and SiC) when CNFs are added. The wear rate and the friction  
15 coefficients decrease significantly in ceramic-CNFs composites by addition of the CNFs  
16 thanks to their lubricating properties and wear debris. However, bulk CNFs material  
17 shows a coefficient of friction and wear rate slightly higher than composites with high  
18 CNFs content (>50 vol.%) due to its lower hardness. Friction coefficients of ceramic-  
19 CNFs composites with 50 vol.% of CNFs were found to be significantly lower than all  
20 materials studied. The carbon-based transferred film over the contact area allows easy  
21 shear and helps to create a lubricating effect during sliding. SEM observations showed  
22 that dominant wear behaviour of ceramics-CNFs composites were abrasion and brittle  
23 fracture.  
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**Figure captions:**

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4 Figure 1. Relative density of monolithic, Al<sub>2</sub>O<sub>3</sub>/CNFs and SiC/CNFs composites  
5 fabricated by SPS technique at 1500 °C and 1600 °C, respectively.  
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11 Figure 2. Hardness of ceramic-CNFs composites as a function of CNFs content.  
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16 Figure 3. Wear rate of monolithic ceramics, CNFs and ceramic-CNFs composites in  
17 function of CNFs content.  
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23 Figure 4. The friction coefficient of Al<sub>2</sub>O<sub>3</sub>, SiC, CNFs and ceramic-CNFs composites as  
24 a function of CNFs contents (left) and distance (right).  
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31 Figure 5. SEM micrographs of damaged surface after the wear tests; (a) Al<sub>2</sub>O<sub>3</sub>/CNFs  
32 (80/20), (b) Al<sub>2</sub>O<sub>3</sub>/CNFs (20/80), (c) SiC/CNFs (80/20) and (d) SiC/CNFs (20/80)  
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## **Highlights**

CNFs-alumina and CNFs-silicon carbide nanocomposites were densified by spark plasma sintering. The tribological properties have been investigated as a function of CNFs content. Noticeably low wear resistance of CNFs bulk material and CNFs/ceramic nanocomposites has been found. The friction coefficient of nanocomposites with high CNFs content was significantly lower to monolithic and unexpectedly slightly lower than CNFs material.

Figure 1  
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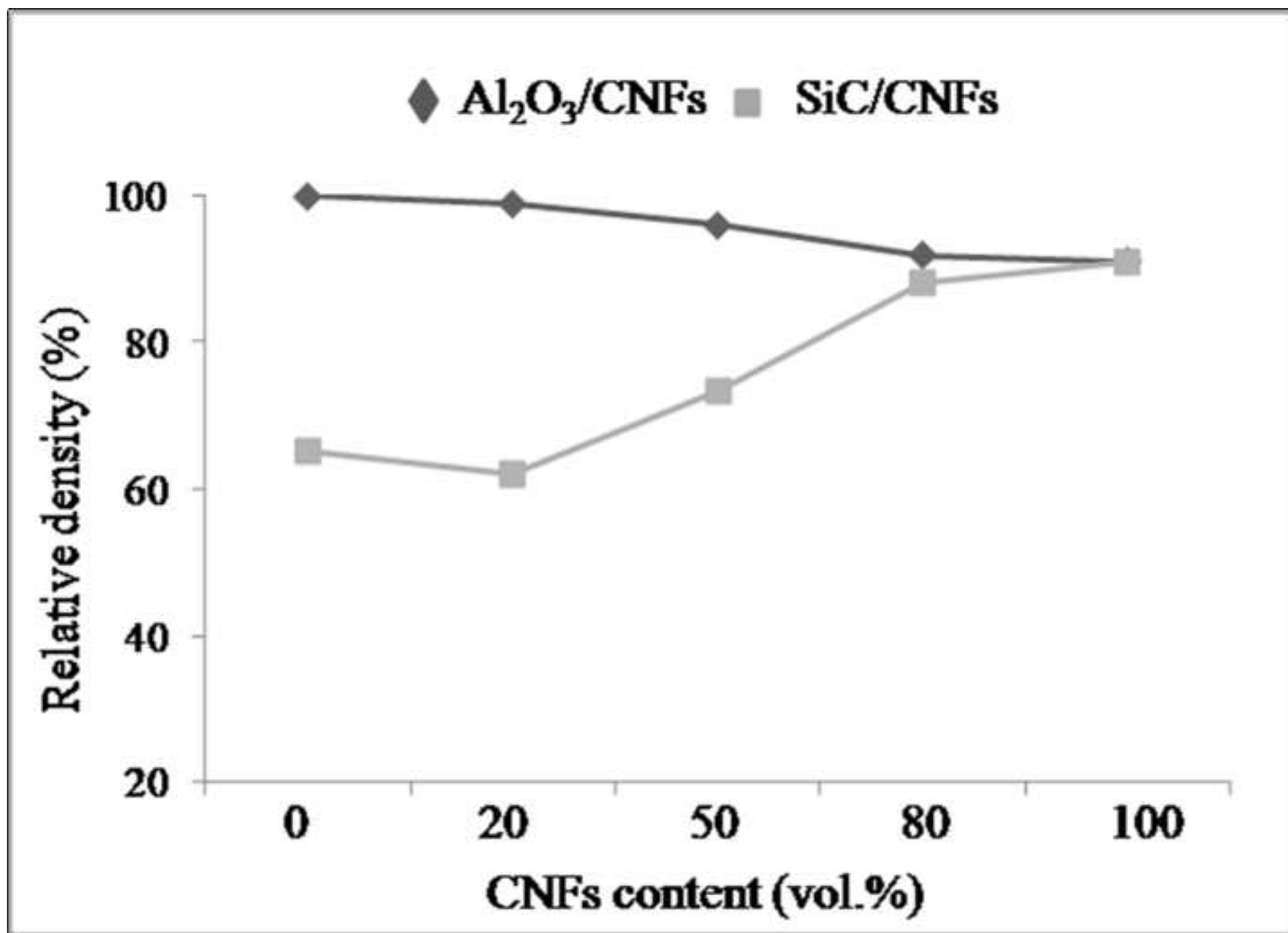


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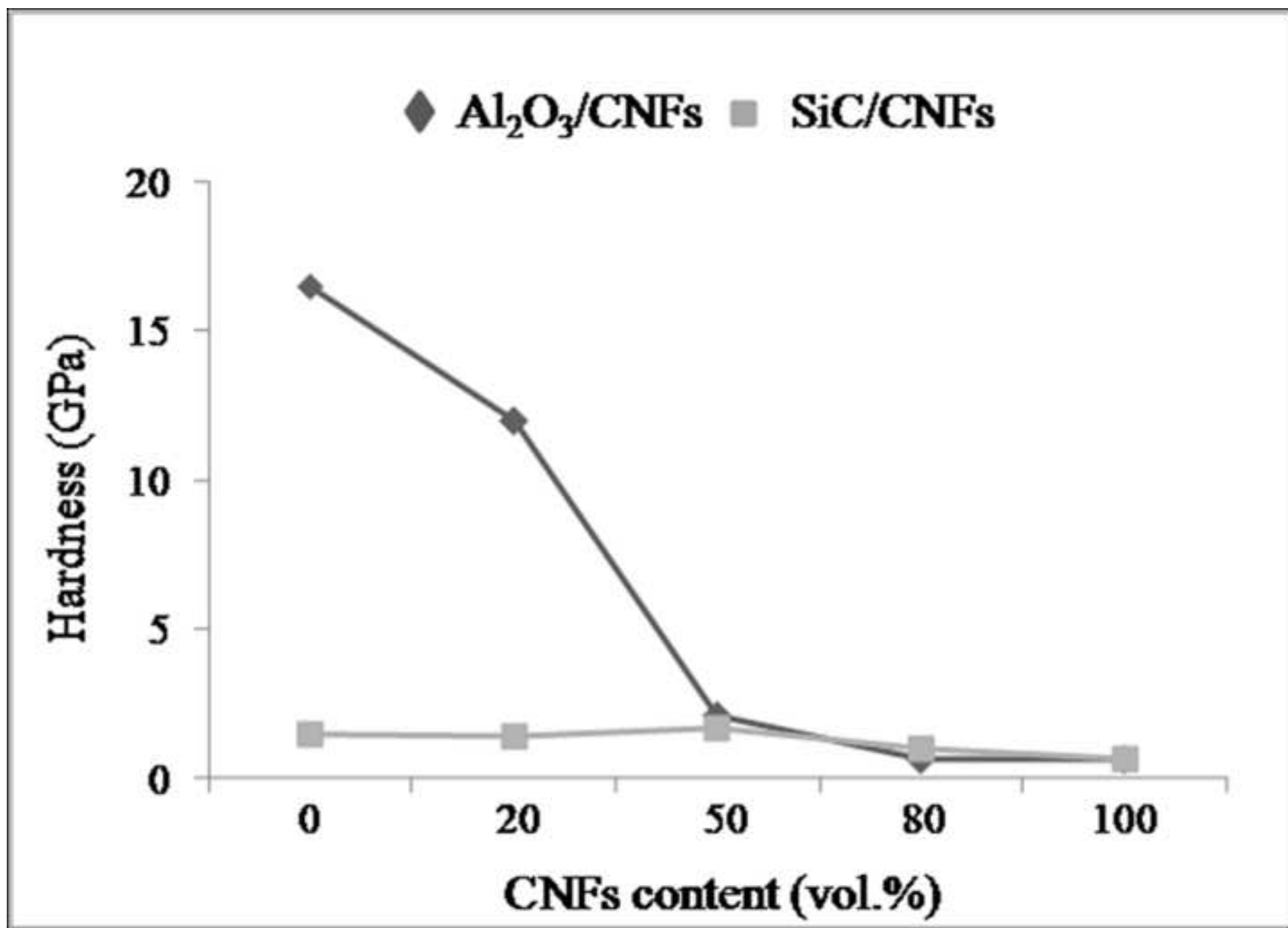


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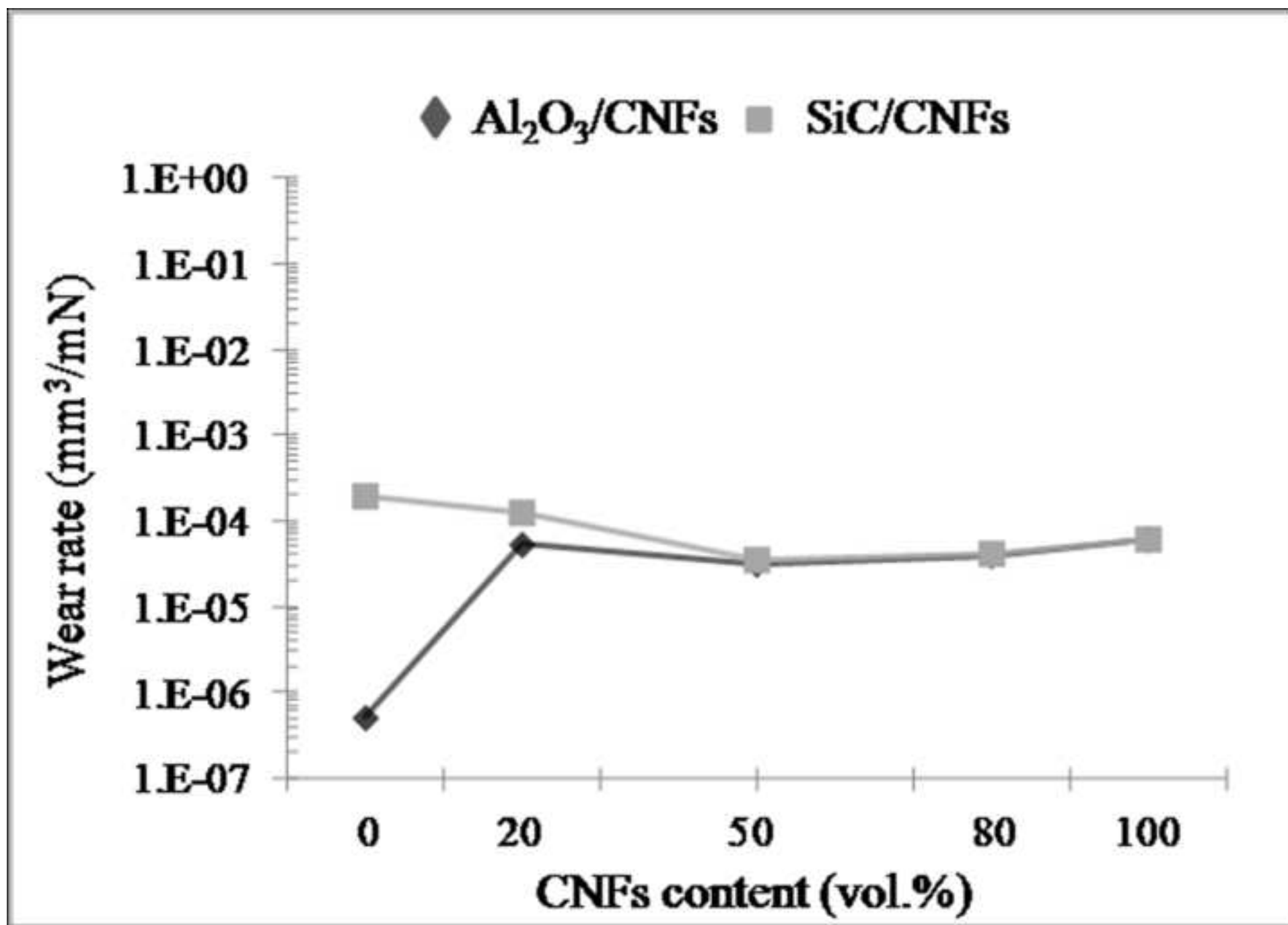


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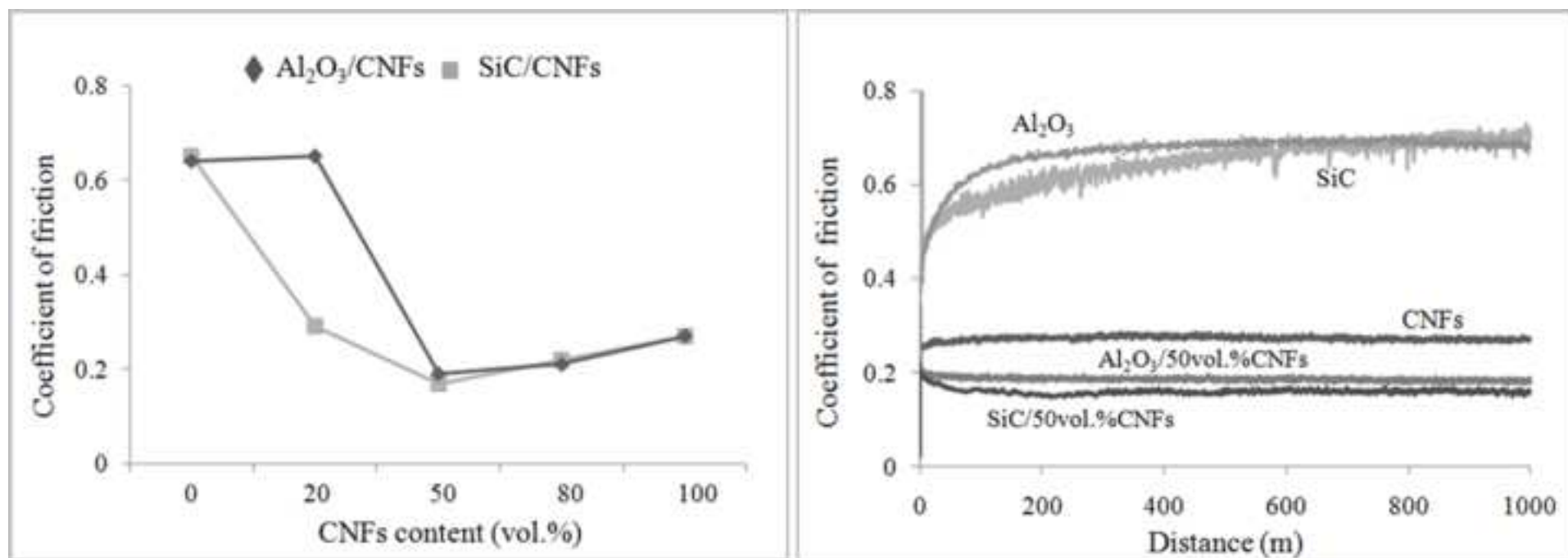


Figure 5  
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