

1 **Controlled Germination to Enhance the Functional Properties of Rice**

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14

15 **Abstract**

16

17 The production of prebiotic oligosaccharides during germination of rice has been
18 investigated. Germination of waxy (RD6) and non-waxy (RD17) rice was compared by
19 evaluating the total reducing sugars, free amino nitrogen, pH and enzyme activities over
20 a 7-day period. An increment of amylolytic enzymes and chemical changes were
21 observed in both varieties. RD6 showed higher levels of total reducing sugars and also
22 higher amylolytic activities including α -amylase and α -glucosidase, which reached
23 maximum values at the third day of germination. However, the amount of free amino
24 nitrogen in RD6 was lower than in RD17. Sugar analysis indicate that RD6 produces
25 higher concentration of sugars and oligosaccharides during germination. Based on
26 these results, germinated RD6 at different times was used to produce malted rice syrup
27 through mashing. After saccharification, the malted rice syrup contained different
28 concentrations of sugars and oligosaccharides, particularly isomaltose, panose and
29 isomaltotriose, which have been reported to have prebiotic properties.

30

31

32 **Keywords** rice, germination, saccharification, prebiotic, malto-oligosaccharides,
33 isomalto-oligosaccharides

34

35 **Introduction**

36

37 Cereal foods in various forms are an essential component of the daily diet. Rice is one
38 of the most consumed cereals worldwide. Nutritionally it is an important source of
39 carbohydrates, vitamin B6, zinc and copper but it contains the lowest protein and dietary
40 fibre content among cereals [1].

41

42 In principle, rice can be modified by germination to improve its functionality. Starch
43 represents the main reserve compound in the rice grains [2]. This polysaccharide is
44 stored mainly in the endosperm where it is hydrolyzed during germination to provide
45 soluble sugars to the germinating seedling [3]. Starch degradation is a complex
46 biochemical process, which is modulated by both hormonal and metabolic regulation [4].
47 A set of enzymes are needed to carry out the starch breakdown: α -amylase, β -amylase,
48 debranching enzyme, and α -glucosidase [5]. Both α -glucosidase and α -amylase are
49 able to degrade native starch granules, but the later enzyme plays the main role in this
50 process and it is the key enzyme in starch hydrolysis.

51

52 During the saccharification process, other enzymes contained in malted rice are also
53 activated to break down starch and release more sugars and oligosaccharides,
54 especially isomalto-oligosaccharides which are potentially prebiotic [5,6].

55

56 Starch oligosaccharides, which represent the fragments of the original polysaccharide,
57 are composed of α -D-glucopyranosyl units linked by α -1,4 and/or α -1,6 bonds.

58 Oligosaccharides containing only α -1,4 glucosidic linkages are called malto-
59 oligosaccharides, while those containing both α -1,4 and α -1,6 glucosidic linkages are
60 called branched-oligosaccharides or isomalto-oligosaccharides [8].

61
62 Prebiotic oligosaccharides can be used as functional food ingredients to provide useful
63 modifications to the physiochemical properties of foods. It has been reported that these
64 oligosaccharides have various physiological functions and improve the intestinal
65 microflora by selective proliferation of bifidobacteria [7]. They also stimulate mineral
66 absorption, have anticancerogenic potential, and reduce both plasma cholesterol and
67 blood glucose levels [9]. Among these sugars, isomalto-oligosaccharides (IMO) has
68 received a considerable interest as prebiotic in recent years. IMO have demonstrated
69 their usefulness in normalizing bowel movement, increasing stool bulk, colon microbial
70 activity, as stimulate growth of *Bifidobacterium* and *Lactobacillus* and systemic immunity
71 [10-12].

72
73 In the present work, controlled germinations of two varieties of rice were performed to
74 monitor the different enzyme activities and the chemical changes. The modified malted
75 rice was also used to produce rice syrup with different sugar and oligosaccharide
76 contents.

77
78

79 **Materials and methods**

80

81 ***Malted rice preparation***

82
83 Two cultivars of Thai rice (*Oryza sativa* L.), RD6 (waxy rice) and RD17 (non-waxy rice),
84 were used in these experiments. These two varieties (RD6 and RD7) were obtained
85 from Seed Center in Phatumthani province and Srisaket province, Thailand,
86 respectively. RD6, which is a glutinous rice, widely grown in the northeast of Thailand. It
87 is a commercial rice cultivar represented in the Thai rice export market [12]. It contains
88 amylose 0.1-0.3% [13-16]. RD17 contains amylose 28-30% and it is widely grown in
89 central part of Thailand [17]. It is also one of Thai commercial rice cultivar [18]. Both
90 varieties were washed and steeped separately in distilled water for 24 h. After soaking,
91 500 g of seeds were placed in Petri dishes containing filter paper moistened with sterile
92 water and maintained at 30°C under aerobic conditions [19]. 2.5 ml of water were added
93 to the Petri dishes every day to avoid drying and maintain the moisture content.
94 Samples were taken every day over a 7-day period.

95

96 ***Preparation of malted rice powder***

97
98 After germination, the seeds were dried in an oven (LTE, UK at 50°C for 24 h. Then, the
99 roots and shoots were removed and the remaining portion of the paddy was dehusked
100 using a laboratory dehusker (THU35A, Satake, Japan). The malted rice was then ground
101 using a hammer mill (Type 120, Falling number, Sweden) and sieved through a 355 µm
102 screen.

103

104 ***Preparation of malted rice syrup***

105 The mashing process was modified by following the method of Okafor and Iwouno
106 (1990) as well as Ayernor and Ocloo (2007). 200 g (dry weight) of ground malted rice
107 were mixed with water contained 30 ppm Ca²⁺ (CaCl₂ dissolved in water) and adjusted
108 to 2 l. The mixture was adjusted to pH 6 by using lactic acid. The slurry was initially
109 mashed at 50°C and allowed to stand for 30 min. The supernatant was decanted and
110 the remained flour was heated until it gelatinized at 88°C. The supernatant was returned
111 to the cooled and gelatinized slurry, giving an overall temperature of 62°C. The mash
112 was held at this temperature for 60 min. The pH of the mash was tested and adjusted to
113 5.6 by adding a few drops of lactic acid. One-half of the mash was withdrawn, boiled and
114 returned to the main mash and the temperature increased to between 69 and 71°C. The
115 mixture was held at this temperature for 60 min. The mash was cooled and filtered using
116 funnel and folded Whatman No. 1 filter paper. The filtered solution was finally boiled for
117 60 min to yield the malt rice syrup.

118

119 ***Measure of Total Reducing Sugar (TRS) and Free Amino Nitrogen (FAN)***

120

121 The samples of malted rice and rice syrup were diluted with distilled water and analyzed
122 for TRS and FAN following the methods of Miller [21] and Lie [22] respectively.

123

124 ***Enzyme extracted solution***

125

126 One gram of malted rice was suspended in 10 ml of 0.2 % calcium chloride solution,
127 mixed in vortex mixer for 1 min, and centrifuged at 3000 rpm (Minifuge T, Heraeus,
128 Germany) for 10 min. The supernatant was used to measure the enzyme activity.

129
130 ***Determination of amylolytic enzyme and α -amylase***
131
132 The amylolytic activity was assayed using the Terashima method [23] after crude
133 extraction of malted rice. 0.5 ml of the supernatant was added to 0.5 ml of a 1% soluble
134 starch solution in 0.05 M acetate buffer. The sample was incubated at 60°C for 5 min
135 and the increase of reducing sugars was measured [21]. One unit of the enzyme activity
136 (U) is defined as the amount of enzyme required to liberate 1 μ mol of maltose per min.

137
138 The α -Amylase activity was measured following the increase of reducing sugars with
139 time. 0.5 ml of the supernatant solution was added to 0.5 ml of a 1% soluble starch
140 solution in 0.05 M acetate buffer. The mixture was incubated at 70°C for 15 min in order
141 to inactivate β -amylase, debranching enzyme, and α -glucosidase [24]. One unit of α -
142 Amylase activity (U) is then defined as the amount of enzymes required to liberate 1
143 μ mol of maltose per min.

144
145 ***Determination of α -glucosidase***
146
147 The α -glucosidase activity was determined using a modified method of McCue and
148 Shetty [25]. A standard reaction solution is prepared by mixing 0.1 ml of 9 mM p-
149 nitrophenol α -D-glucopyranoside and 0.8 ml of 200 mM sodium of acetate buffer at pH
150 4.6 in a glass tube. The tubes were pre-incubated at 50°C for 5 min before addition of
151 0.1 ml of the enzyme extract. The reaction tubes were then incubated for a further 30
152 min. The enzymatic hydrolysis was stopped by addition of 1 ml of 100 mM sodium

153 carbonate, and the samples were clarified by centrifugation at 13,500 rpm at room
154 temperature for 5 min. The released p-nitrophenol in each sample was determined by
155 measuring the absorbance at 400 nm compared with the blank. A standard curve was
156 established using pure p-nitrophenol dissolved in sodium acetate buffer. One unit of α -
157 glucosidase activity is defined as the amount of enzyme that releases 1 μ mol of p-
158 nitrophenol per min at pH 4.6 and 50°C under assay conditions.

159

160 ***Determination of sugars by High Performance Liquid Chromatography (HPLC)***

161

162 The samples of sugars and oligosaccharides were diluted and analyzed by HPLC. The
163 system has a two solvent delivery module (model 210 Varian, UK), an auto sampler
164 (model 410 Varian, UK) and an evaporative light scattering detector (ELSD) (model PL-
165 ELS 2100 Simadzu, UK). The column was a spherisorb 5 μ m NH₂ (200x4.6 mm,
166 Phenomenex, UK). The injection volume was 20 μ l, and the flow rate 1.2 ml/min. For
167 complete separation of the sugars, a mobile phase A (acetonitrile) and a mobile phase
168 B (deionised water) were used in gradient system. The gradient system was 80% of A
169 initially, decreased to 50% in 30 min, increased again to 80% in 5 min and then
170 maintained at 80% for 5 min (the total cycle time was 40 min). The ELSD was set to
171 measure at the evaporator temperature of 90°C, nebulizer temperature of 50°C and gas
172 flow rate of 1.6 ml/min.

173

174

175 **Results**

176

177 ***Chemical changes during germination of non-waxy rice RD17 and waxy rice RD6***

178

179 This experiment was performed to investigate the chemical changes during the
180 germination of rice varieties RD17 and RD6. These results are shown in Figure 1.

181

182 Additions of 5 ml of water per kg of rice were done every day in order to compensate for
183 evaporation and to maintain the moisture between 30 and 70%. During the germination
184 of RD17 it was found that the pH decreased slightly from 6.4 to 5.9. TRS and FAN
185 concentrations increased and reached a maximum at day 4 and 5 respectively to then
186 slightly decrease. The amylolytic activity reached a peak value of 72.7 U/g at the fifth
187 day, whereas α -amylase and α -glucosidase reached a maximum of 26.8 and 14.3 U/g
188 respectively at the third day of germination.

189

190 During the germination of waxy rice RD6 the pH decreased slightly from 6.6 to 5.6. The
191 amount of TRS and FAN increased and reached maximum values of 64.8 and 0.3mg/g
192 respectively at the third day of germination. α -Amylase and α -glucosidase activities were
193 maxima at day 3, while the highest amylolytic activity was observed at day 5.

194

195 HPLC was used to analyze the composition of sugars and oligosaccharides in the
196 malted rice over a 7-day period (figure 2). The amount of sugars and oligosaccharides
197 increases from the beginning of germination for both varieties but in a different way.

198 Concentrations of glucose, maltose and maltotriose reached a maximum in the third day
199 of germination in both cases (58.9, 25.4 and 1.8 mg/g for RD6, and 47.3, 18.2 and 0.23
200 mg/g for RD17, respectively).

201
202 The rest of the sugars exhibit a maximum at different days depending of the variety. For
203 RD6 the maximum concentrations of maltotetraose, maltopentaose, maltohexaose and
204 maltoheptaose are always obtained later in the germination process and at 5, 6, 6 and 5
205 days respectively. Maxima for the same sugars in RD17 were obtained at 2, 4, 3 and 4
206 days respectively.

207

208

209 ***Chemical composition of malted rice syrups***

210

211 Since results in figures 1 and 2 show that malted waxy rice RD6 contains higher levels
212 of sugars, oligosaccharides and amylolytic enzymes (including α -amylase and α -
213 glucosidase), this variety was used to produce a malted rice syrup through mashing. In
214 order to stop the germination process, samples of rice were dried at 50°C for 24 h. The
215 final moisture content was 10-11%. The dried malted RD6 was then milled and mashed
216 with water for 3 h to reactivate the enzymes and continue the starch hydrolysis. Starch
217 is further degraded into sugars and oligosaccharides during mashing, and
218 saccharification produces a sweet malted rice syrup.

219

220 The concentration of TRS and FAN obtained from syrups produced with mated rice at
221 different stages of germination is shown in figure 3. The maximum TRS concentration

222 (108.2 g/l) is obtained from the sample of day 3, while the maximum FAN concentration
223 (18.9 mg/l) is obtain from the sample of day 1.

224
225 Figure 4 shows the sugar concentration in the RD6 syrups produced from malted rice
226 with different degrees of germination. The maximum glucose and maltose extraction
227 takes place in samples of day 3 and remains more or less stationary from them on. The
228 maximum concentrations of the prebiotic oligosaccharides isomaltose, isomaltotriose
229 and panose are observed from samples of day 5 and 6. The concentration of the rest of
230 oligosaccharides measured in the syrup (maltotriose, maltotetraose, maltopentaose,
231 maltohexaose and maltoheptaose) also increased with the germination time though in a
232 different manner.

233

234

235 **Discussion**

236

237 To activate germination, rice was initially soaked in water to increase kernel moisture.
238 Takahashi [26] reported that the water requirement for germination was dependent on
239 the cultivar and the dormancy period. Hence, both varieties, RD17 and RD6, were
240 soaked for 24 h to obtain a similar moisture content of approximately 27%. The
241 functions of the steep water include initiation of cell elongation, respiration, secretory
242 activity of the embryo and activation of enzymes [27]. Generally, malting must provide
243 enough water to allow germination, but not too much. The grains will actually show a
244 reduction in germination vigour if exposed to an excess of water. For this reason, a

245 small amount of water was added every day (0.5 ml/100 g seeds) over the 7-day
246 germination period in order to maintain moisture and prevent dehydration. The moisture
247 content was kept between 30-70%.

248
249 In this experiment, aerobic conditions were maintained throughout germination.
250 Although it has been reported that rice seeds can germinate and grow at much lower
251 oxygen concentrations than many other plants, gaseous concentrations below 0.3%
252 retard germination, decrease growth, and reduce the root/shoot ratio [28].

253
254 Temperature is one of the main factors affecting germination and could have had an
255 important role in the development of sugars [29]. For temperatures between 27 and 37
256 °C, the majority of germination (90-97%) takes place during the first 48 h. The
257 germination rate drops sharply for lower temperatures [30]. As correspondingly reported
258 by Cruz and Milach [31], temperatures below 15°C prevent or reduce rice germination at
259 the early stage.

260
261 It has been reported that during the germination of rice the protease activity increases
262 within the first 2-3 days and decreases from them on [32]. The FAN profile shown in
263 figure 1 reflects this fact. FAN increases during the first days of germination due to the
264 proteolytic activity to then decrease when rootlets and shoots in the grain begin to grow.
265 Changes in FAN and metabolic activities could also be related to the change in pH as
266 reported by Magalhães and Huber [33]. Another possible cause for this decrease is that
267 the presence of phenolic acids [32] and/or phytic acid and tannins [33,34] may act as
268 inhibitors of the enzymatic activity in the germination process.

269
270 The differences observed between RD6 and RD17 could be due to their different protein
271 content and protease activity [37,38].

272
273 The amylose:amylopectin ratio in rice starch not only affects its chemical and physical
274 characteristics but also the enzymatic hydrolysis developed during the germination
275 stages. Amylose consists of unbranched chains of poly-[(1→4)- α -D-glucopyranose] and
276 is strongly associated with many polar substances, including some lipids, to form
277 crystalline complexes. In Amylopectin the α -(1→4)-linked chains are extensively
278 branched through α -(1→6)-linkages and the macromolecule has a ramified structure
279 [39]. While amylose molecules have a single reducing and non-reducing glucose end,
280 amylopectin has a reducing end with numerous non-reducing glucose residues in its
281 branches.

282
283 α -amylase is the main enzyme responsible for the starch hydrolysis while α -glucosidase
284 is involved in transglucosylation reactions for the production of isomalto-
285 oligosaccharides. The activity of these enzymes increases during the first 3 days of
286 germination to then decrease steadily. This is also reflected in the TRS profile where
287 after three days the concentration in the grain also decreases due to the formation of the
288 new plant. As a whole the amylolytic activity increases till day 5. The differences
289 observed between waxy (RD6) and non-waxy rice (RD17) could be due to the different
290 amylose/amylopectin ratio.

291
292 Briggs et al [39] reported that during germination α -amylase attacks α -(1→4) linkages at

293 random locations within the starch chain. The hydrolysis slows down near the chain
294 ends and stops at α -(1 \rightarrow 6) branches. This enzyme acting on its own is able to degrade
295 starch into a complex mixture of sugars including glucose, maltose, maltotriose and a
296 wide range of dextrans, some of which containing α -(1 \rightarrow 6) link branches. α -Glucosidase
297 is able to hydrolyse α -(1 \rightarrow 4) or α -(1 \rightarrow 6) linkages, and release molecules of glucose
298 from the non-reducing end. This enzyme is also able to transfer sugar moieties or
299 groups of sugar residues from one compound to another with the formation of a similar
300 or a distinct type of linkage. Thus, a α -(1 \rightarrow 4) link in a chain might be broken and the
301 separated end could be joined to the same or a different chain via either an α -(1 \rightarrow 4) or
302 α -(1 \rightarrow 6) link. The product of this hydrolysis could be of maltose, isomaltose, panose,
303 isomaltose or long chains of oligosaccharides.

304
305 Figure 2 shows the evolution of all the sugars measured in the grain during the
306 germination of the two rice varieties. The evolution of the glucose and maltose
307 concentrations are very similar in both varieties and the maxima reached after three
308 days of germination are of the same order of magnitude. After three days the
309 concentration decreases, which suggests these sugars are used in the formation roots
310 and shoots.

311
312 The profiles for the other oligosaccharides is complex, which reflects the complexity of the
313 enzymatic paths taking place. A major difference between the two varieties is the order
314 of magnitude of the oligosaccharides produced. The waxy variety (RD6) produces
315 oligosaccharide concentrations approximately 10-fold when compared to RD17, which is
316 probably due to the higher levels of amylopectin. Most of these oligosaccharides reach

317 a maximum concentration later on in the germination process, but in some cases (e.g.
318 maltotriose and isomaltotriose in RD17) a maximum concentration is maintain, which
319 indicates that these sugars are not used for plant formation in this particular variety.

320
321 The enzymatic activity generated by germination is maintained during the drying and
322 milling of the grains. These amylolytic enzymes continue to act during mashing resulting
323 in an increase of total reducing sugars in the syrup [40]. At temperatures of
324 approximately 50°C the proteolytic enzymes also get activated causing the breakdown
325 of proteins into aminoacids [41], which is clearly observed in figure 3. Clear differences
326 in FAN and TRS concentrations were observed in the germinating grain. During
327 mashing the amylolytic and protelytic enzymes can move freely in the liquid medium and
328 have enough time for full starch and protein hydrolysis. The decrease of FAN in the
329 syrups after day 3 could be due aminoacid utilisation in root and shoot development.

330
331 Figure 4 shows that the malted rice syrups obtained from day 3 of germination contain
332 the highest concentrations of maltose and glucose. No significant changes in these
333 sugars are observer in syrups produced from rice with higher degrees of germination. It
334 could be suggested that after three days the existing amylolytic enzymes are able to
335 hydrolyse the gelatinised starch to produce the maximum glucose and maltose
336 concentration.

337
338 As RD6 waxy rice starch contains higher levels of amylopectin, the branched chains can
339 be hydrolysed by amylolytic enzymes to produce molecues of malto-oligosaccharides
340 and isomalto-oligosaccharides (branched chain oligosaccharides). Transglucosylation

341 by α -glucosidase might also take place during mashing [42]. α -glucosidase could
342 catalyse both the hydrolysis of α -D-gluco-oligosaccharides and transfer the glucosyl
343 group to 6-OH of glucose. The transfer of glucosyl to D-glucose yields isomaltose, and
344 to maltose yields panose.

345
346 The oligosaccharides produced in the syrup will depend on the composition of the rice
347 (amylose:amylopectin ratio), the types of enzymes present and the temperature strategy
348 during mashing. These interactions are complex and make it difficult to justify the
349 development of oligosaccharides during mashing. What seems clear is that higher
350 oligosaccharides are produced from syrups of malted rice with higher degrees of
351 germination. It should also be noted that the prebiotic oligosaccharides (panose,
352 isomaltose and isomaltotriose) are produced from syrups from highly germinated rice
353 (though in small amounts).

354
355 Traditionally, malting and mashing strategies have been developed to maximise sugar
356 production for later fermentation like in the brewing process. Results in this work
357 suggest that different malting strategies, maybe with longer germination times, could be
358 designed to maximise the production of prebiotic oligosaccharides in the grain or in the
359 syrup. These naturally developed prebiotics could then be used as functional food
360 ingredients.

361
362 In addition to the functional properties of non-digestible carbohydrate like prebiotics, the
363 phenolic acids content and α -1-6 amylase activity should also be studied. The rice-

364 based product could then be tested *in vitro* using batch fermentation vessels inoculated
365 with faecal slurries. The use of animal models and human trials [43] could also be
366 considered.

367

368

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372

373

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528 **FIGURE CAPTIONS**

529
530 **Figure 1.** Evolution of pH, FAN, TRS, amylolytic activity (AA), α -amylase (Am) and α -
531 glucosidase (GI) in RD17 (●) and RD6 (○) during germination at 30°C. Error bars are
532 the confidence intervals ($\alpha=0.05$, $n=3$).

533
534 **Figure 2.** Evolution of glucose, maltose, maltotriose, isomaltotriose, maltotetraose,
535 maltopentaose, maltohexaose and maltoheptaose in malted RD17 (left) and RD6 (right)
536 during germination. Error bars are the confidence intervals ($\alpha=0.05$, $n=3$).

537
538 **Figure 3.** Concentrations of TRS (●) and FAN (○) in RD6 malted rice syrup with
539 different degrees of germination. Error bars are the confidence intervals ($\alpha=0.05$, $n=3$).

540
541 **Figure 4.** Concentrations of glucose, maltose, isomaltose, panose, isomaltotriose,
542 maltotriose, maltotetraose, maltopentaose, maltohexaose and maltoheptaose in RD6
543 malted rice syrup after mashing. Error bars are the confidence intervals ($\alpha=0.05$, $n=3$).

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FIGURE 1

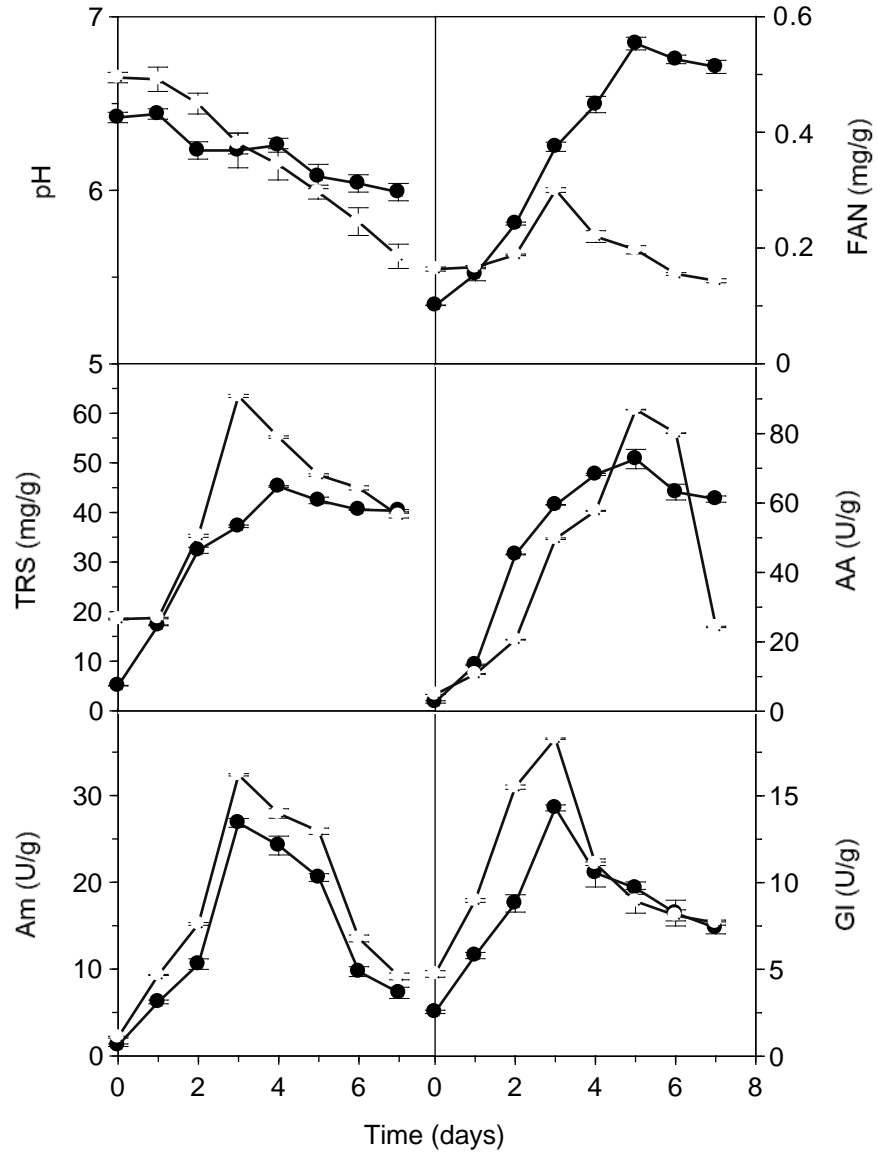


FIGURE 2

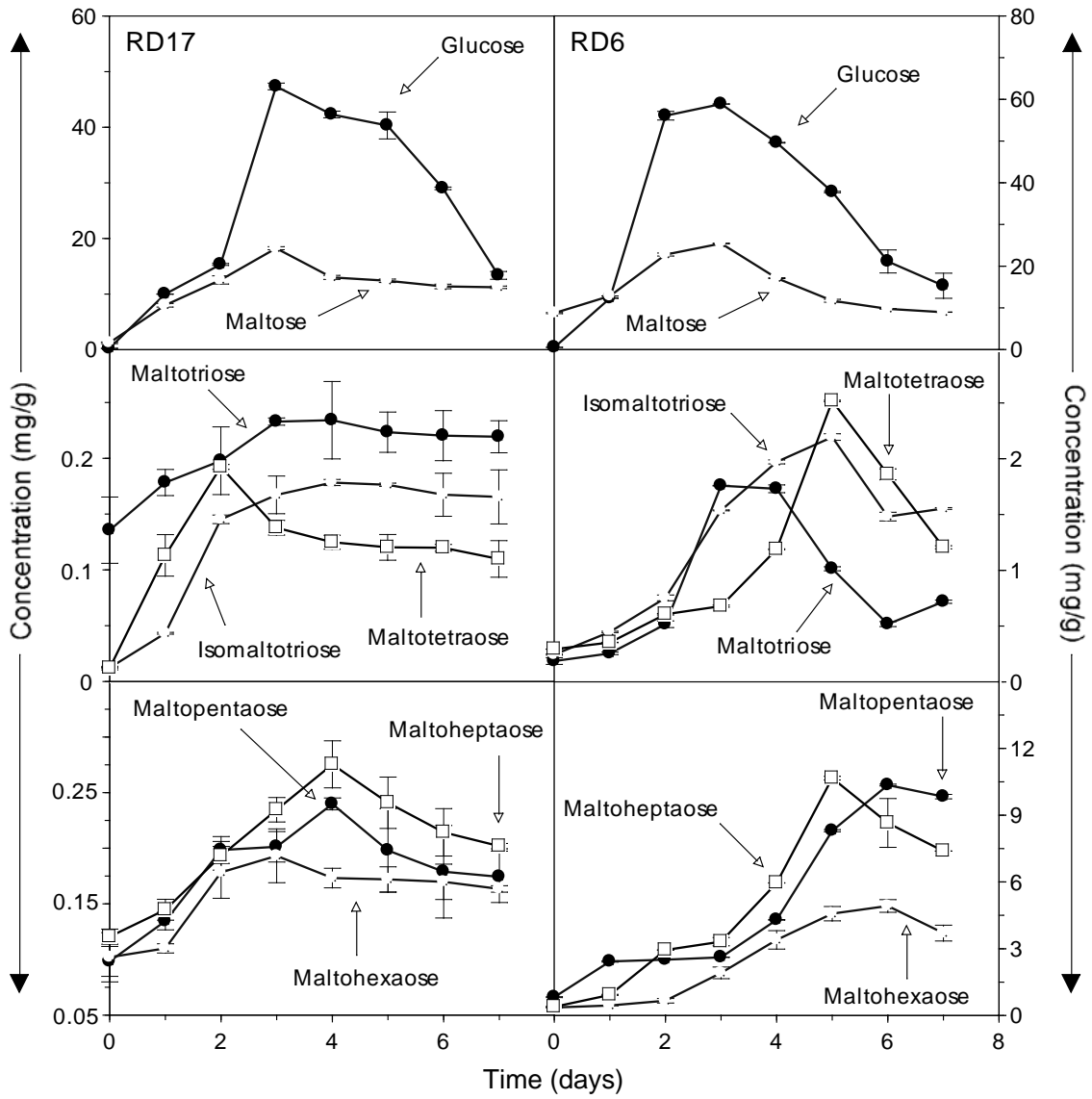


FIGURE 3

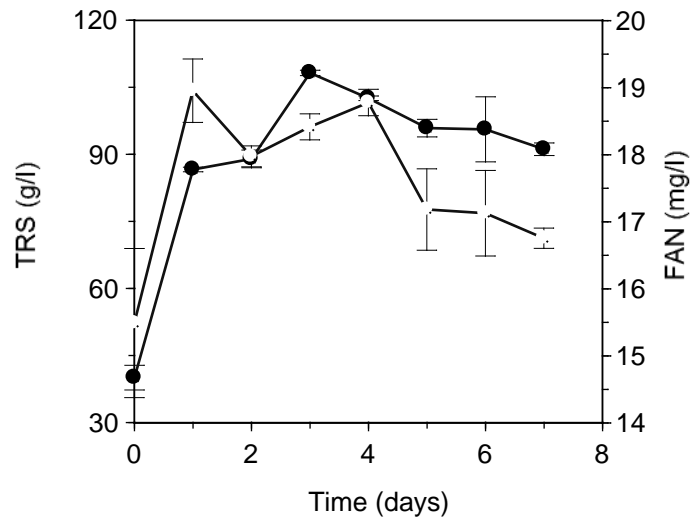


FIGURE 4

