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Thermal reaction norms in sperm performance of Atlantic cod (*Gadus morhua*)

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25 ABSTRACT

26 Phenotypic plasticity occurs when a genotype produces variable phenotypes under different
27 environments; the shapes of such responses are known as norms of reaction. The genetic scale that
28 reaction norms can be determined is restricted by the experimental unit that can be exposed to variable
29 environments. This has limited their description beyond the family level in higher organisms, thus
30 hindering our understanding of differences in plasticity at the scale of the individual. Using a three year
31 common-garden experiment we quantify reaction norms in sperm performance of individual genotypes
32 within different families of Atlantic cod (*Gadus morhua*). Cod sperm showed phenotypic plasticity in
33 swimming performance across temperatures (3, 6, 11, 21°C) but the pattern of the response depended
34 upon how long sperm had been swimming (30, 60, 120, 180 s). Sperm generally swam fastest at
35 intermediate temperatures when first assessed at 30 s post-activation. However, a significant
36 genotype×environment interaction was present, indicating inter-individual differences in phenotypic
37 plasticity. To our knowledge this is the first study to describe variable sperm performance across
38 environmental conditions as a reaction norm. The results have potential theoretical, conservation and
39 aquaculture implications.

40

41 INTRODUCTION

42 The capacity for individuals and populations to respond to spatial and temporal environmental
43 fluctuation is paramount to species persistence. While populations can evolve through time, individuals
44 cannot undergo genetic change and their reproductive success can be severely jeopardized under
45 suboptimal conditions. Individual fitness will decline with environmental change if the optimal
46 expression of an important trait is rigid and requires specific conditions. Within this context, it has long

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47 been recognized that traits with flexible expression may enable long-term existence under unpredictable
48 environments (Baldwin 1896; Morgan 1896). How large-scale environmental perturbations, such as
49 climate change, affect species persistence will depend to some degree on the ability of individuals and
50 populations to respond and adapt to such changes.

51 Phenotypic plasticity occurs when a given genotype produces variable phenotypes under
52 different environments (Schlichting and Pigliucci 1998; West-Eberhard 2003). Phenotypes may
53 represent continuous (e.g., colour intensity, growth rate) or discrete (e.g., colour morphs, alternative
54 maturation strategies) traits, and plasticity can be adaptive, maladaptive, or non-adaptive (neutral)
55 depending on the trait and context (reviewed by Ghalambor *et al.* 2007). Plasticity can be represented as
56 a reaction norm (also known as a norm of reaction), which serves to quantify and visualize the linear or
57 nonlinear shape of the phenotypic response to the environment (Woltereck 1909; Schlichting and
58 Pigliucci 1998).

59 In addition to mean trait values, reaction norms themselves may be under selection (Scheiner
60 1993; Hutchings 2004), as the optimum phenotypic expression of a given trait may vary under
61 unpredictable environments. Arguments have been made that reaction norms both hinder and promote
62 adaptation (Price *et al.* 2003; Ghalambor *et al.* 2007). For example, if adaptive phenotypic plasticity
63 shifts mean trait expression to optimal levels in each environment, the genotype is protected from
64 selection and evolution does not occur. In contrast, adaptive plasticity may enable trait expression to
65 move towards, but not reach, the optimum in a different environment. This allows individuals to persist,
66 thus enabling directional selection to further pull the trait towards optimality. Additionally, non-adaptive
67 plasticity can promote rapid evolutionary change if novel phenotypes are produced at extreme

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68 environments through the release of cryptic genetic variation (Queitsch *et al.* 2002; Rutherford 2000,
69 2003; Ghalambor *et al.* 2007).

70 Reaction norms vary temporally and spatially within species, and although genetic differences
71 have been examined in limited detail they are likely ubiquitous. Examples include physiological traits
72 such as growth rate in caterpillars (Kingsolver *et al.* 2006) or energy allocation in fish (Purchase and
73 Brown 2001), morphological traits like colour patterns in flies (Ottenheim *et al.* 1996) and behavioural
74 traits such as reproductive signaling rates in moths (Jia *et al.* 2000). The resolution at which genetic
75 differences in reaction norms persist is generally unknown, but can occur at the population level on finer
76 scales than revealed by selectively neutral DNA markers (Hutchings *et al.* 2007). Genetic differences in
77 reaction norms among populations would indicate how individuals, on average, differentially respond to
78 environmental variation. They can also vary among full- or half-sibling families, as indicated by studies
79 on plants (e.g., Agrawal 2001; Waller *et al.* 2008), invertebrates (e.g., Kingsolver *et al.* 2006; Engqvist
80 2008), and a very small number of vertebrates (Beacham and Murray 1985; Yamahira *et al.* 2007).

81 Sexually reproducing animals with external fertilization have sperm that are ideal for studying
82 reaction norms. Our search of the literature (March 2009) revealed no published work on sperm quality
83 framed in this context, but see Engqvist (2008) for a study on sperm quantity in scorpion flies. There are
84 three major advantages of studying reaction norms of sperm quality. First, for many species, males
85 provide nothing to the next generation except sperm, and the genetic material within, to fertilize eggs. In
86 such cases, fertilization ability is the ultimate expression of male quality. Sperm have measureable
87 characteristics, such as swimming velocity, that are often tightly linked to fertilization success.
88 Therefore, studies can be conducted on traits that not only directly relate to fitness, but represent the
89 compilation of a series of life processes that may have taken decades to achieve. Secondly, single cells

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90 are likely more sensitive to environmental variation than the whole organism and thus reaction norms
91 can be determined on finer scales. Unlike many other types of cells, it is relatively easy to assess the
92 impact of the environment on sperm. Lastly, although by definition phenotypic plasticity is a function of
93 the genotype, in reality it is not possible to compare genotypes in many cases. For most sexually
94 reproducing animals, the family level is the finest genetic scale upon which reaction norms can be
95 studied, and in such cases environmental gradients are tested *among-siblings* (i.e. among closely related
96 genotypes). Although individual sperm from an ejaculate share only 50% of the haploid genetic material
97 that they contribute to the next generation, sperm swimming “behaviour” is thought to be under diploid
98 control of the father (Parker 1993; Parker and Begon 1993; Haig and Bergstrom 1995; Bernasconi et al.
99 2004). Therefore, if different sperm from a single male are subjected to a variety of controlled
100 environments, reaction norms can be genetically controlled in a way that is somewhat analogous to
101 clonal organisms (see Scheiner 1993). Such studies are powerful as they are capable of testing
102 environmental gradients *within-siblings* (i.e. within genotypes or intra-individual), which fits within the
103 theoretical construct of phenotypic plasticity.

104 We undertook a two-stage, three year common-garden experiment to investigate reaction norms
105 of sperm quality in a marine fish. Using three families of Atlantic cod (*Gadus morhua*) we addressed
106 three hypotheses: (a) there is phenotypic plasticity in sperm performance with temperature, and that a
107 potential response to temperature depends on how long sperm have been swimming, (b) there is genetic
108 variability in the thermal reaction norm, and (c) extreme environments promote novel phenotypes. Our
109 results document reaction norms of individual genotypes, and have potential theoretical, conservation
110 and aquaculture implications.

111

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112 METHODS

113 **Study organism**

114 Atlantic cod are widely distributed on both sides of the North Atlantic Ocean, but recent
115 evidence indicates that populations show much more homing to spawning sites than previously believed
116 (Robichaud and Rose 2001; Svedang *et al.* 2007). Males provide nothing but sperm to reproduction and
117 although cod are known to form dense spawning aggregations (if males lek they may help choose
118 spawning sites), details of spawning behaviour have not been documented in the wild. In captivity,
119 research has shown that there is male display, male-male competition, female mate selection, and males
120 which sneak fertilizations (Brawn 1961; Hutchings *et al.* 1999). Sperm competition is intense, and
121 multiple paternity of a single batch of eggs does occur in tank spawnings (Hutchings *et al.* 1999; Rakitin
122 *et al.* 1999a, 2001; Herlin *et al.* 2008). Under sperm competition, most egg fertilizations likely occur
123 within seconds of gamete release in natural situations and sperm swimming speed is therefore expected
124 to be linked to fitness. However, why cod sperm can remain viable for an unusually long time (Trippel
125 and Morgan 1994) is unknown.

126

127 **General framework of experiment design**

128 An efficient way of disentangling environmental from genetic influences on phenotypic variation
129 is to use “common-garden” experiments. In such studies, individuals from different groups (e.g.,
130 populations, families) are kept under identical environmental conditions. Phenotypic differences among
131 groups would therefore indicate a genetic, and if positively related to fitness, adaptive basis. If multiple
132 environments are used, observed phenotypic differences in such experiments can result from three
133 sources: the environment, the genotype, or their interaction. A significant G×E interaction would

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134 indicate differences among groups in how the phenotypic trait(s) responds to a range of environments,
135 and thus indicates genetic variation in phenotypic plasticity. Common-garden experiments are regularly
136 undertaken with short-lived organisms such as annual plants, but are rare in long-lived vertebrates due to
137 practical complexities and expense.

138

139 **Common-garden Phase I**

140 ***Source of fish***

141 Wild cod were captured from the Bay of Fundy (Canada) in 2004 and brought to the Fisheries
142 and Oceans Canada Biological Station in St. Andrews, New Brunswick (45° N, 67° W). These fish were
143 kept under ambient temperature and lighting conditions and fed a mixture of squid and mackerel. In
144 February and March of 2005 gametes were stripped from these fish and different full-sib families were
145 created from *in vitro* fertilizations. Standard aquaculture protocols were used for embryo incubation (~
146 6°C) and larval rearing (~ 10°C). Each family was initially kept in a different incubator and larval
147 rearing tank, but was subjected to the same conditions in a common room. At about 6 months in age,
148 each juvenile was implanted with a passive integrated transponder (PIT) tag and placed in a common
149 tank (families combined) in September of 2005. These fish were raised in a flow-through seawater
150 system under ambient photoperiod and salinity and fed marine grower pelletized diet (EWOS Canada;
151 Surrey, British Columbia). Temperature was maintained between 2-4°C leading up to and during the
152 spawning season in 2008.

153 Each individually tagged cod was assessed on January 9 2008 to determine total body length
154 (± 0.1 cm) and weight (± 1 g) prior to commencement of the spawning season at three years of age. The
155 sex of each fish and its maturation status were determined using a small sample of gametes obtained by

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156 cannulation. Four brothers (analogous to individual genotypes and hereafter referred to as individuals)
157 were chosen from each of three families for the experiment (Figure 1). Specific individuals were
158 selected in order to minimize size differences among families (Table 1), as the swimming ability of cod
159 sperm may be influenced by some measures of fish “size” (see discussion for references).

160

161 *Collection of semen for sperm experiments*

162 The assessment of sperm was conducted between February 25 and March 8 2008. One individual
163 was assessed for each family per day (three fish per day, four experimental days). The target fish were
164 anesthetized with MS-222 in order to minimize stress during handling and stripping. The external
165 urogenital pore was wiped dry, and semen was obtained by applying slight pressure on the abdomen. To
166 help avoid seawater, urine, and feces contamination the first 1-2 mL of ejaculate was discarded in a
167 standardized manner. Approximately 10 mL of ejaculate was then collected in a 50 mL sterilized dry
168 Pyrex beaker and immediately covered with aluminum foil and temporarily placed in a cooler at 6°C.
169 Samples were then held in a 5-7°C environmental chamber until sperm assessment.

170 Spermatocrit (defined as the ratio of packed white cells to the total volume of semen \times 100%)
171 was used to estimate spermatozoa density (Rakitin *et al.* 1999b). Semen from each male was drawn into
172 three microhaematocrit capillary tubes (75 mm length, 1.1-1.2 mm internal diameter). One end of each
173 tube was sealed with critoseal and they were centrifuged for 10 min at 7500 rpm. The mean of the three
174 measurements per male created a single datum (Table 1) that was used for statistical analysis.

175

176 **Common-garden Phase II**

177 *Sperm exposure to temperature*

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178 Four test temperatures were used (3 ± 1 , 6 ± 1 , 11 ± 1 , $21\pm 1^\circ\text{C}$) in sperm assessment, which were
179 chosen based on a compromise between a wide comparison range and the limits of our facility. Cod will
180 naturally spawn at 3 and 6°C but do not reproduce at 21°C as they never experience this temperature in
181 the wild. The experiment was conducted in a series of four temperature-controlled rooms. The
182 microscope, activating medium, slides, coverslips, and pipette tips were acclimated to room temperature
183 before sperm activation. Semen from each male was transferred from the storage beaker ($\sim 6^\circ\text{C}$) into
184 plastic tubes and placed in the test room for 15-20 min before activity trials commenced (preliminary
185 experiments showed that semen slowly reached test temperature over this time period). Each semen
186 sample was tested at the four temperatures (repeated measures). The test order of the temperatures was
187 randomized among the four days (one individual from a given family on each day): Day 1 = 21, 11, 3,
188 6°C ; Day 2 = 3, 11, 21, 6; Day 3 = 11, 6, 21, 3; Day 4 = 6, 3, 11, 21. Temperature of the swimming
189 sperm was continually and precisely monitored during the experiment using an infrared temperature gun
190 aimed at the edge of the slide coverslip.

191 All sperm activity tests were completed within 12 h of semen collection. Rouxel *et al.* (2008)
192 reported that a significant decrease in Atlantic cod sperm motility doesn't occur until after 168 h of
193 storage at 4°C . Therefore, we feel confident that the maximum of 12 h delay in sperm activation post
194 collection had a minimal affect on sperm quality in our experiment. Moreover, the study was designed
195 such that any effect would not bias comparisons among temperatures or families, but would appear as
196 variability among individuals within a family (see discussion).

197

198 ***Sperm activation procedure***

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199 Fish sperm is inactive *in vivo*, and remains inactive until in contact with water of appropriate
200 chemistry. The activating medium was composed of 32 ppt seawater plus 1% v/w bovine serum albumin
201 (BSA). BSA was added to prevent sperm from sticking to the glass slides (Rouxel *et al.* 2008). Air was
202 bubbled into the activating medium to maintain dissolved oxygen levels at saturation. Repeated
203 measurements indicated the pH ranged from 7.18-7.20.

204 Sperm activity was induced by pipetting 0.5 μ l of semen into a plastic tube containing 300 μ l of
205 activating medium. The semen and activating medium were shaken for 5 s to homogenize the sample.
206 We pipetted 40 μ l of this dilution into a well of a 10-well multi-test glass slide (MP Biomedicals) and
207 put a coverslip in place.

208 Sperm from many fish species are active for very short periods of time. When there is sperm
209 competition, as in cod, swimming speed should influence fertilization ability more than swimming
210 longevity (Rudolfson *et al.* 2006), and most fertilizations should occur within seconds. In our
211 experiment, sperm from the same semen sample and activation procedure were assessed at 30, 60, 120,
212 180 s (\pm 5) post-activation (Figure 1). The entire sperm activation and assessment process was repeated
213 completely multiple times (Figure 1). Any replicate that deviated outside of the \pm 1°C target temperature
214 or contained sperm that were obviously drifting was discarded, and is not included in the numbers
215 reported. A minimum of three replicates were used for each fish at each temperature in the subsequent
216 analysis (Figure 1). These procedural replications gave very similar results (Figure 2) and were averaged
217 (see below) for statistical purposes.

218

219 ***Sperm assessment***

220 Sperm activity was captured using a compound microscope (400X magnification) equipped with

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221 a 40X negative phase objective and Basler camera (Model A312fc) attached to a personal computer via
222 a FireWire connector. Images were evaluated in real time using an Integrated Semen Analysis System
223 version 1.0.17 (ISAS; Projectes i Serveis R+D S.L.). The system was set to capture images at 25
224 frames·s⁻¹.

225 The software estimated several potentially useful characteristics of sperm activity: average
226 velocity on the point-to-point track followed by the cell (curvilinear velocity, VCL), average velocity of
227 the straight line between the start and end points (linear velocity, VSL), and average velocity of the
228 smoothed cell path (average path velocity, VAP). These are all likely correlated and one must be careful
229 not to test the same hypothesis multiple times using different metrics. Following convention for thermal
230 reaction norms (Angilletta 2009) we refer to sperm quality as a function of temperature as sperm
231 performance.

232 Sperm activity was captured at the targeted times post-activation and digitally stored. Each
233 sperm recording was manually checked for quality control after the experiment was completed. Sperm
234 tracks were removed from further analysis if the software incorrectly combined crossing tracks of
235 multiple sperm, split the track of a single sperm, or if a sperm swam out of the field of view before
236 adequately being assessed. Accurate tracks were present for 11,075 swimming sperm. Following Tuset
237 *et al.* (2008) cod sperm with $VSL \leq 4 \mu\text{m}\cdot\text{s}^{-1}$ were not considered to be exhibiting progressive motility
238 and were excluded (only 1 of the 11,075 sperm met this criterion). We did not apply the cutoff used by
239 Rudolfsen *et al.* (2005, 2008), where cod sperm with $VAP < 20 \mu\text{m}\cdot\text{s}^{-1}$ and/or $VSL < 10 \mu\text{m}\cdot\text{s}^{-1}$ were
240 considered to be static. This would have removed 1483 sperm from our analysis, in a manner that was
241 clearly related to temperature and time post-activation. Sperm that had been swimming at fast velocities
242 often had slowed below this cutoff by 120 s at high temperatures. A total of 11,074 swimming sperm

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243 were used in subsequent analyses.

244

245 ***Statistics***

246 Statistics were conducted using SAS-9.1 and Minitab-15. Significance was set at $\alpha = 0.05$ and
247 assumptions of parametric statistics were checked by examining model residuals.

248 *Fish characteristics*

249 We tried to select specific fish to eliminate fish size as a source of variability. The three cod
250 families were compared for fish characteristics using one-way ANOVA (analysis of variance). The
251 dependent variables were total length, body weight, Fulton's condition factor, and spermatocrit (Table
252 1). Bonferroni corrections were not required as families did not significantly differ in any parameter at α
253 = 0.05.

254 *Sperm analysis*

255 Variance components were examined for random factors with a fully nested ANOVA (Families,
256 Individuals, Replicates, Sperm) to determine repeatability of the experimental procedure at each time
257 post-activation for each temperature (Figure 2). When averaged across times and temperatures, 84% of
258 the variability in sperm swimming velocity (VCL) was due to variability among sperm cells within a
259 procedural replicate (the activation and assessment process). This was followed by 9% for differences
260 among individuals within a family, 4% among families, and 3% among procedural replicates (Figure 2).
261 Our experimental procedure for activating and documenting sperm swimming velocity was thus highly
262 repeatable.

263 Swimming velocities of individual sperm cells were highly variable and likely non-normal in
264 distribution. Therefore the median velocity among sperm was calculated instead of the mean within each

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265 replicated sperm activation procedure. Procedural replicates (at least three) were averaged in order to
266 produce one datum for each fish (n=12), per time post-activation (n=4), per temperature (n=4). Different
267 goals were addressed using different measures of sperm performance. (1) Faster swimming sperm are
268 expected to be more likely to fertilize eggs, and thus sperm quality should be related to initial velocity
269 (Rudolfson *et al.* 2006). Sperm swimming trajectories are not necessarily expected to be linear,
270 especially given there were no female cues to potentially guide sperm (Urbach *et al.* 2005). Therefore
271 swimming velocities over the actual track followed by the sperm were used as a measure of “quality”
272 (VCL). (2) To investigate how temperature and time influence variability among individual sperm cells
273 within an ejaculate, the coefficient of variation (%) of sperm swimming velocity (VCL) was calculated
274 within each procedural replicate (as opposed to the median), and then averaged across replicates. During
275 the experiment we noticed that sperm swimming “behaviour” seemed to be different at 3°C than at the
276 other temperatures. *Post hoc*, we sought to (3) determine how viscosity might influence sperm
277 propulsion using the wobble index (WOB), which is the oscillation percentage of the real track with
278 respect to the average track (calculated by the software used as VAP/VCL, ISAS 2008). The wobble
279 index measures the side-to-side motion of the sperm head per unit of distance traveled. High values are
280 sperm that are swimming with less head motion, i.e. they require less tail beats to travel the same
281 distance.

282 Sperm performance was analyzed using a mixed-model nested repeated-measures balanced
283 ANOVA (Figure 1), using Proc GLM in SAS. The main independent variables were (a) family
284 (random); (b) individual (random) nested within family; (c) temperature (fixed, repeated-measures); and
285 (d) time (fixed; repeated-measures). Appropriate interaction terms were also included and were

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286 individually assigned to obtain correct error terms for within-subjects (repeated-measures) tests (Table
287 2).

288

289 RESULTS

290 The common-tank raised cod attained a body length of 45-50 cm after nearly three years of
291 growth (Table 1). Spermatocrit was much more variable among males than were metrics of body size
292 (coefficient of variation: total length=5.7%, body weight=19.6%, Fulton's condition=7.5%,
293 spermatocrit=31.7%). However, the three families were not significantly different in body length,
294 weight, condition or spermatocrit (Table 1). Moreover, among the 12 fish used, there was no significant
295 correlation between VCL and fish characteristics (fish length, $r = 0.083$, $p = 0.798$; body weight, $r = -$
296 0.071 , $p = 0.826$; Fulton's condition, $r = -0.471$, $p = 0.123$; spermatocrit, $r = -0.546$, $p = 0.066$).

297 There was phenotypic plasticity in sperm swimming velocity to temperature (Figure 3) at all
298 times post-activation. The average response across individuals and families in Figure 3 negates the
299 description as a "reaction norm" in the strictest sense of the term (no longer the response of a specific
300 genotype), although we will refer to it as such for continuity. The thermal reaction norms were generally
301 dome shaped but were more linear when sperm had been swimming for longer periods of time (Figure
302 3). Thus, the relationship between swimming velocity and temperature depended upon how long the
303 sperm had been swimming (Temperature \times Time interaction, Table 2). The breakdown of the interaction
304 can be seen graphically in Figure 3. The decline in sperm swimming velocity with time post-activation
305 occurred more rapidly at warmer temperatures (Figure 3). At 30 s post-activation, peak swimming
306 velocities occurred at 11°C, however peak velocities occurred at colder temperatures if sperm had been
307 swimming for longer periods; 6°C for 60 and 120 s, and 3°C for 180 s (Figure 3). At the times tested,

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308 sperm generally swam faster at 3 than 21°C. Whether sperm would have swum faster at 21°C
309 immediately after activation is unknown (sperm were first assessed 30 seconds post-activation).

310 The analysis showed a significant Genotype×Environment interaction (genetic differences in
311 phenotypic plasticity). Unexpectedly, thermal reaction norms of sperm swimming velocity differed more
312 among individuals within a family than among families (Figure 2, Table 2). This result is based on a
313 nested analysis indicating where most of the variation exists (see discussion). Reaction norms are shown
314 for each of the 12 individuals in Figure 4. The full analysis accounts for repeated-measures of
315 temperature and time post-activation, families, individuals within families, and appropriate interactions
316 (Table 2). There was no overall statistically significant difference among the three families, or in how
317 they responded to temperature and time (Table 2). However, there were substantial differences among
318 individuals and this depended on both time post-activation and temperature (significant
319 Temp×Genotype(Family) interaction, Table 2). For example, at 30 s post-activation, swimming
320 velocities of three individuals from Family B increased from 6 to 11°C, but sharply decreased for the
321 other individual, while temperature had much less affect on sperm from three of the four individuals
322 from Family C (Figure 4). Variability among individuals was highest at 3°C and lowest at 21°C.

323 Individual sperm from the same animal (i.e., genotype) responded differently to environmental
324 variation. The coefficient of variation in sperm swimming velocity was affected by time post-activation
325 and temperature, but not their interaction (Figure 5, Table 2). Variability among sperm cells within
326 ejaculates did not significantly differ among families, but did vary among individuals and this depended
327 on both time post-activation and temperature (Table 2).

328 Wobble was significantly influenced by both temperature and time post-activation and their
329 interaction (Table 2). The nature of the interaction can be seen in Figure 6. Sperm exhibited much more

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330 side-to-side swimming motion at 3°C than the other temperatures, but there was significant variability
331 among individuals (Table 2, Figure 6). There was less of an affect from time than temperature, but on
332 average sperm that had been swimming for longer periods tended to show slightly more side-to-side
333 motion.

334

335 DISCUSSION

336 Atlantic cod sperm exhibited phenotypic plasticity in swimming performance across
337 temperatures; however the description of the reaction norm is dramatically different depending on how
338 long sperm have been swimming when being assessed. Thus, the shape of phenotypic plasticity to one
339 environment (temperature) is dependent on another environment (time). There was a statistically
340 significant G×E interaction at one hierarchical level, but not another. Unexpectedly, differences in
341 thermal reaction norms of sperm performance were greater among individuals within a family than
342 among families (discussed below). To our knowledge this is the first study on sperm to describe
343 performance as a reaction norm to variable environments. Additionally, we found no published study on
344 a sexually reproducing animal that explicitly stated that reaction norms were documented for individual
345 genotypes, which could be due to no previous research or a lack of use of the terminology.

346 Selection can act on genotypic differences in phenotypic plasticity and therefore populations may
347 evolve new reaction norms under changing conditions (Gotthard and Nylin 1995). Current climate
348 trends are predicted to produce increases in ocean temperatures into the foreseeable future and although
349 cod spawn seasonally at specific water temperatures, thermal limits for successful reproduction are
350 unknown. If populations change their distribution to avoid warm water and occupy new spawning sites,
351 the local retention of eggs and larvae by currents may be affected in ways that alter current patterns of

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352 recruitment and productivity. However, phenotypic plasticity in many traits (including sperm swimming
353 ability) would potentially enable successful reproduction over a wide range of temperatures at traditional
354 spawning sites. Moreover, variation in such reaction norms may enable further persistence under warm
355 conditions through genetic assimilation (Price *et al.* 2003; Ghalambor *et al.* 2007).

356 Our experiment was designed to investigate genetic differences in phenotypic plasticity, under
357 controlled environments, at two levels; within- and among-families. Unexpectedly, our results show
358 greater variability among individuals within a family than among families. The reason for this is
359 unknown. In our opinion, our experiment had more control than would be typical with a species like
360 cod. For example, the source fish were collected at the same time/place and experienced the same
361 captive conditions before being used in artificial fertilizations. The F₁ offspring were raised for three
362 years under common conditions, during which different sperm presumably experienced common
363 developmental conditions within their father. Finally, sperm from different fathers were treated to the
364 same environmental conditions during assessment. Some possible explanations for greater variability
365 among individuals than families need to be considered. Cod are batch spawners and male sperm quality
366 may potentially change throughout a spawning season (Rouxel *et al.* 2008). In our study, sperm from a
367 given fish were assessed on one day. Within a family, if some individuals were at peak seasonal
368 performance and some others at pre- or post-peak performance, individual variability in sperm quality
369 associated with spawn timing may have been enough to mask relatively higher sperm variability among
370 families in the nested analysis (although it seems more likely that individuals within a family would be
371 synchronized in their spawning cycles than fish from different families). Additionally, sperm from all
372 fish could not be assessed at the same time, so we chose to control most carefully for temperature and
373 family comparisons. As a result, the different individuals within a family were not assessed on the same

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374 day. However, there is no obvious reason for this to have introduced variability. For example, the water
375 used in sperm activation on all days was obtained from a common container that was kept in a cold
376 room at 6°C and air was bubbled into the activation medium to ensure oxygen saturation.

377 Temperature influences fish swimming performance through both physiological and physical
378 mechanisms. High temperature may increase metabolism but is strongly inversely related to viscosity.
379 The swimming behaviour of ~55 cm African lungfish (*Protopterus annectens*) was affected at higher
380 viscosities (mud) because less distance was travelled per tail beat, i.e. the stride length decreased
381 (Horner and Jayne 2008). At the millimeter scale, Fuiman and Batty (1997) separated mechanical from
382 physiological effects of temperature on the swimming behaviour of larval herring (*Clupea harengus*)
383 and found that smaller individuals were much more susceptible to the effects of viscosity than larger
384 larvae. Due to their extremely small size, viscosity is expected to have a large effect on sperm.
385 Kupriyanova and Havenhand (2005) showed that about half of the decline in polychaete sperm
386 swimming velocity with temperature could be attributed to viscosity. In our study, sperm generally
387 swam with more side-to-side motion at the lowest temperature (highest viscosity), but there was also
388 more variable among individual fish at 3°C. Given reductions in stride length, sperm must use more tail
389 beats to cover the same distance, which results in more side-to-side motion over the swimming track.
390 However we cannot separate physiological and mechanical effects of temperature. Moreover, the
391 kinematic viscosity for seawater at the test temperatures would be 3°C = $1.66 \times 10^{-6} \text{ m}^2 \cdot \text{s}^{-1}$, 6 = $1.52 \times$
392 10^{-6} , 11 = 1.32×10^{-6} , 21 = 1.03×10^{-6} , and thus the proportional change in wobble at the lowest
393 temperature cannot be explained by an equivalent proportional change in viscosity.

394 The production of novel phenotypes may be expected in extreme or stressful environments due
395 to the release of cryptic genetic variation (Queitsch *et al.* 2002; Rutherford 2000, 2003; Ghalambor *et al.*

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396 2007). We tested this hypothesis with 11,074 sperm from 12 individual Atlantic cod. If novel
397 phenotypes are produced under extreme environments we would predict higher variability among
398 individual fish in those situations. Variability among individual fish in sperm swimming velocity and
399 wobble tended to be greatest at the coldest temperature but was most uniform at the highest temperature
400 and thus the test is inconclusive. At 3°C our coldest test temperature is closer to preferred cod spawning
401 temperatures than 21°C. Such results might be explained by sperm “burning out” at 21°C before our first
402 assessment at 30 s post-activation. Further research should be directed to testing this hypothesis.

403 Our study builds on several others that have used common garden experiments to investigate
404 reaction norms of Atlantic cod in relation to temperature. When two populations were grown under
405 similar conditions, the higher latitude population had faster growth rates, but this was independent of
406 temperature (Purchase and Brown 2000). Purchase and Brown (2001) showed genetic differences
407 among populations in how relative liver weight (major energy store) responded to changing water
408 temperatures. Research on three populations of northwest Atlantic cod showed differences in phenotypic
409 plasticity in body shape to constant rearing temperatures and food supply (Marcil *et al.* 2006). Hutchings
410 *et al.* (2007) found genetic differences in life history reaction norms of cod, where warm-water
411 populations were more sensitive to food and cold-water population were more sensitive to temperature.
412 This growing body of literature is highlighting important genetic differences among populations;
413 however our study is the first to investigate individual variation in phenotypic plasticity.

414 Two types of studies have investigated the influence of temperature on sperm. One has
415 researched the effect of maintaining adults at different temperatures before the collection of semen, and
416 then comparing sperm at common temperatures. Shrimp (*Litopenaeus vannamei*) kept at lower
417 temperatures produced more sperm and had higher proportions of normal sperm than those at high

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418 temperatures (Perez-Velazquez *et al.* 2001). Carp (*Cyprinus carpio*) kept at cold and warm temperatures
419 produced sperm with different chemical composition, but they did not differ in proportion motile or in
420 how long sperm remained motile (Emri *et al.* 1998). Other studies have compared sperm performance
421 under different temperatures of the sperm activating medium. Vladić and Järvi (1997) investigated
422 sperm longevity in two species of fish although they did not place the results into the context of reaction
423 norms. Sperm swam for longer time periods in colder water for both species, but the response to
424 temperature was more sensitive for Atlantic salmon (*Salmo salar*) than for brown trout (*Salmo trutta*).
425 See Alavi and Cosson (2005) for a review of both types of studies for three groups of fishes. Our study
426 is unique, in that we compare variability within- and among-families in different environments.

427 Our research contributes to a growing body of literature on cod semen. In most fish species that
428 have been investigated (largely freshwater species) sperm swim for short amounts of time, with
429 longevity generally being less than 1 min. In contrast, Atlantic cod sperm swim for long periods and can
430 achieve up to 50% fertilization success after 1 h under artificial conditions (Trippel and Morgan, 1994).
431 However, due to finite energy stores, faster swimming sperm are predicted to swim for shorter periods
432 of time (shorter longevity), and thus longevity may be inversely related to fitness within species,
433 especially those under intense sperm competition like cod. Whether the longevity of cod sperm is
434 adaptive under natural situations is unknown. The proportion of cells that are motile has repeatedly been
435 shown to have no effect on cod fertilization ability (Trippel and Neilson 1992; Rakitin *et al.* 1999a;
436 Rudolfsen *et al.* 2008). However, higher sperm densities increase fertilization success, both when
437 artificially pipetted (Butts *et al.* 2009) and when occurring naturally in variable ejaculates (spermatocrit,
438 Rakitin *et al.* 1999a). Faster swimming sperm likely fertilize more eggs (Rudolfsen *et al.* 2008;
439 Skjæraasen *et al.* 2009) although this is not certain (Trippel and Neilson 1992; Rudolfsen *et al.* 2005),

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440 and evaluation of swimming speed is variable depending upon the presence of maternal cues (Litvak and
441 Trippel 1998). Sperm quality changes through the spawning season (Rouxel *et al.* 2008), and seems to
442 be influenced by male condition (relative weight) but not absolute size (Rakitin *et al.* 1999a; Tuset *et al.*
443 2008). Percent of motile cells and swimming speeds are influenced by salinity (Livak and Trippel 1998).

444 Here we demonstrated that temperature influences sperm swimming velocity but that the
445 magnitude and direction of the response depends on individual variability and the time post-activation,
446 which may be of practical importance to aquaculture operations. This also indicates that caution should
447 be taken when drawing conclusions about potential lack of genetic differences in phenotypic plasticity in
448 studies that use limited numbers of environments or genotypes. Additionally, the reason for the
449 relatively high amount of variability among sperm cells within an ejaculate (as compared to among
450 replicates, individuals, families) is unknown, but may help explain why sperm quality is hard to predict
451 from fish characteristics. Our cod had been maintained at the same temperature, and thus the effect of
452 temperature on sperm performance of fish that have been acclimated to different conditions remains
453 unknown.

454

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464

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- 592

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593 **FIGURE LEGENDS**

594 Figure 1: Design of the “Phase II” common garden experiment. Shown is the hierarchical structure for a
595 single temperature. There were three families of cod, each containing four individuals. Sperm were
596 obtained from one brother from each family at the same time on a given day. The sperm activation
597 procedure was repeated at least three times for each fish, and the movement of different individual
598 sperm was determined at four time periods per activation. This entire process was repeated for the four
599 manipulated temperatures each day, whose order of testing was randomized among days (different
600 brothers used on different days).

601
602 Figure 2: Results of 16 fully-nested ANOVAs (4 temperatures and 4 time periods) separating variance
603 components for sperm swimming velocity (VCL) among families, individuals within-families,
604 procedural replicates within-individuals, sperm cells within-replicates. Most of the variability for a given
605 temperature and time post-activation was among cells within a sperm activation procedure and
606 assessment (average = 84%). On average only 3% of the variability was among replicated activation
607 procedures.

608
609 Figure 3: Average “reaction norms” of sperm curvilinear swimming velocity (VCL) at four test
610 temperatures. Swimming velocities at assigned elapsed time periods post sperm activation are shown as
611 different lines. Values shown are means among individuals and families (N = 12 fish). Note that thermal
612 reaction norms are more linear for sperm that have been swimming longer.

613

Sperm reaction norms

614 Figure 4: Reaction norms of sperm swimming velocity at four test temperatures. Swimming velocities at
615 assigned elapsed time periods since sperm activation are shown as different panels. Values shown are
616 individual genotype averages among procedural replicates. Solid lines = Family A, dotted lines = Family
617 B, dashed lines = Family C. There is a significant G×E interaction.

618

619 Figure 5: Mean coefficient of variation (among replicates) of sperm curvilinear swimming velocity
620 (within replicates) at four test temperatures. Swimming velocities at assigned elapsed time periods since
621 sperm activation are shown as different panels. Values shown are individual genotype averages among
622 procedural replicates. Solid lines = Family A, dotted lines = Family B, dashed lines = Family C. There is
623 a significant G×E interaction.

624

625 Figure 6: Reaction norms of sperm swimming behaviour at four test temperatures. The mean wobble
626 index is plotted on the y-axis; note that higher values are sperm swimming with less side-to-side head
627 motion. The different time periods since sperm activation are shown as different panels. Values shown
628 are individual genotype averages among procedural replicates. Solid lines = Family A, dotted lines =
629 Family B, dashed lines = Family C. There is a significant G×E interaction.

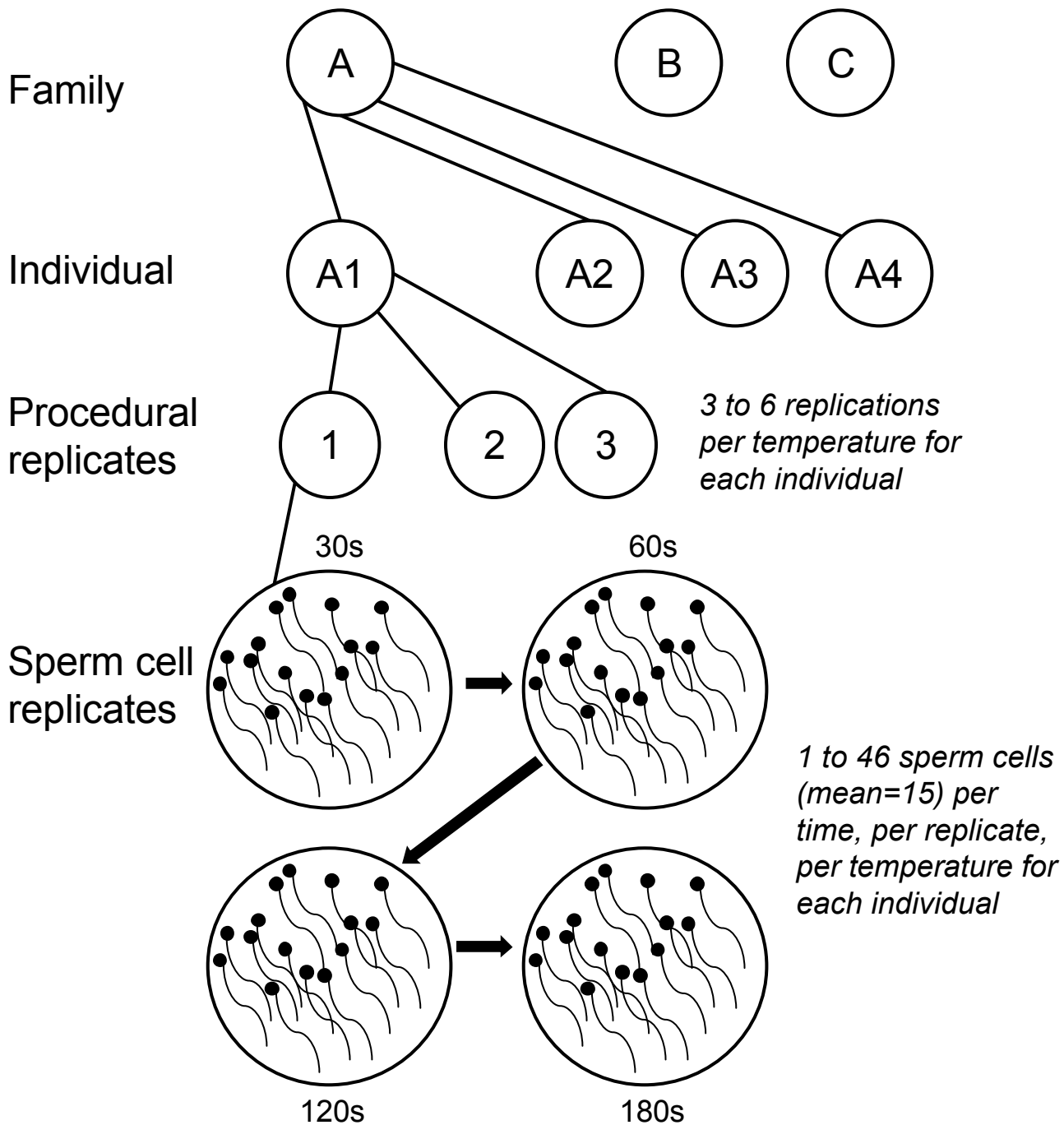
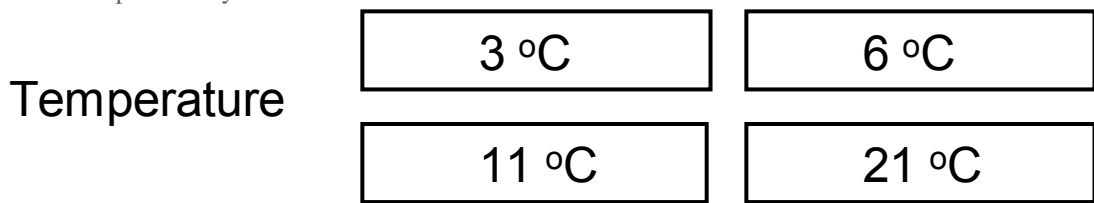


Figure 1

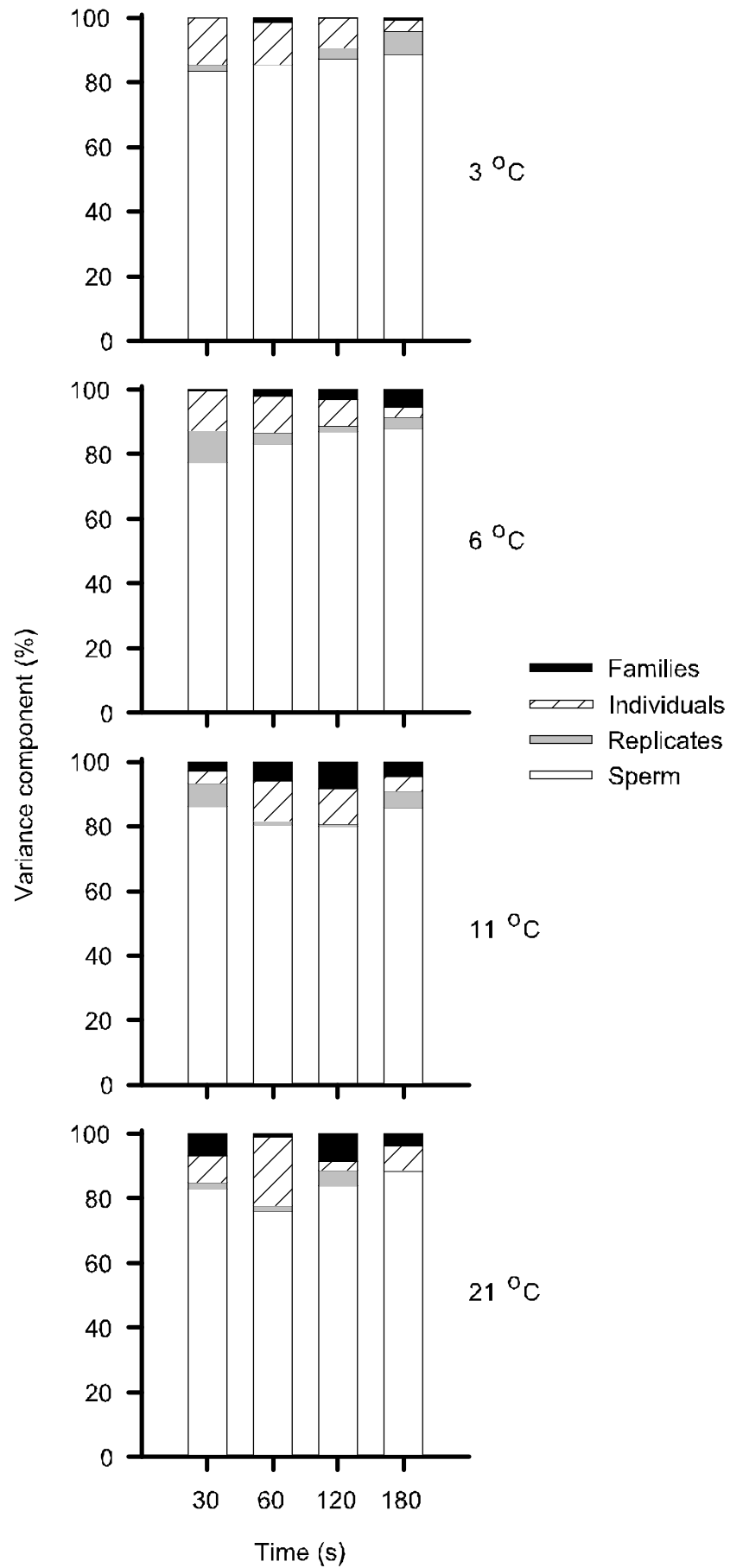


Figure 2

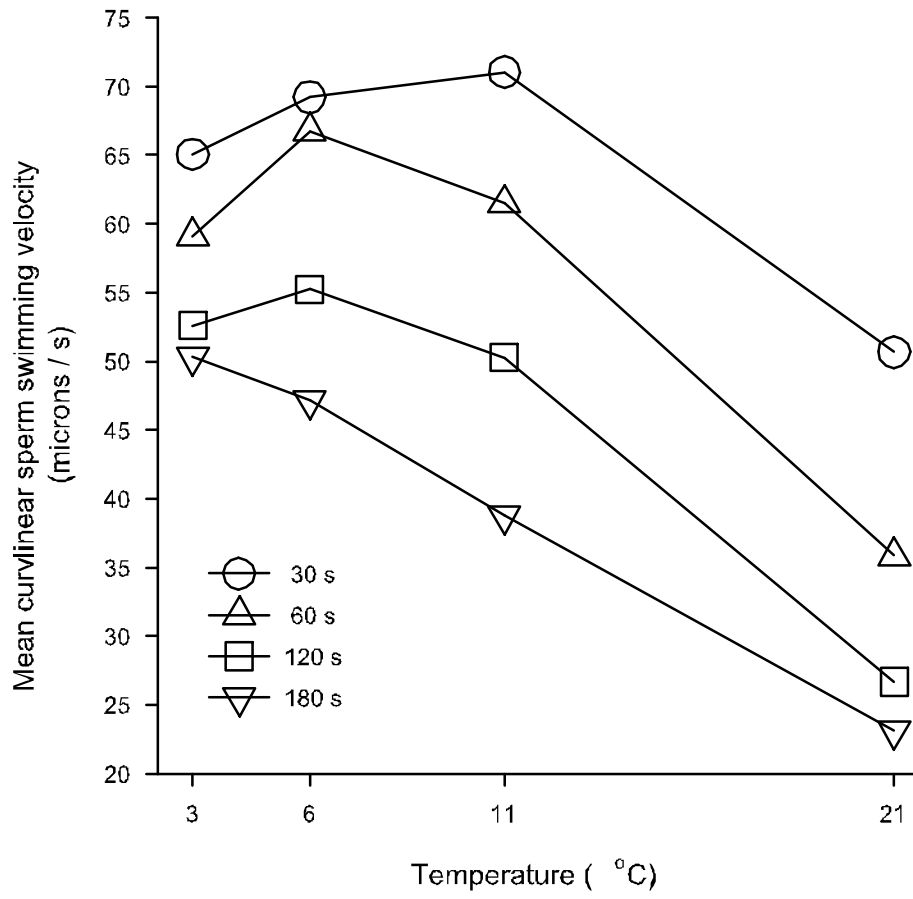


Figure 3

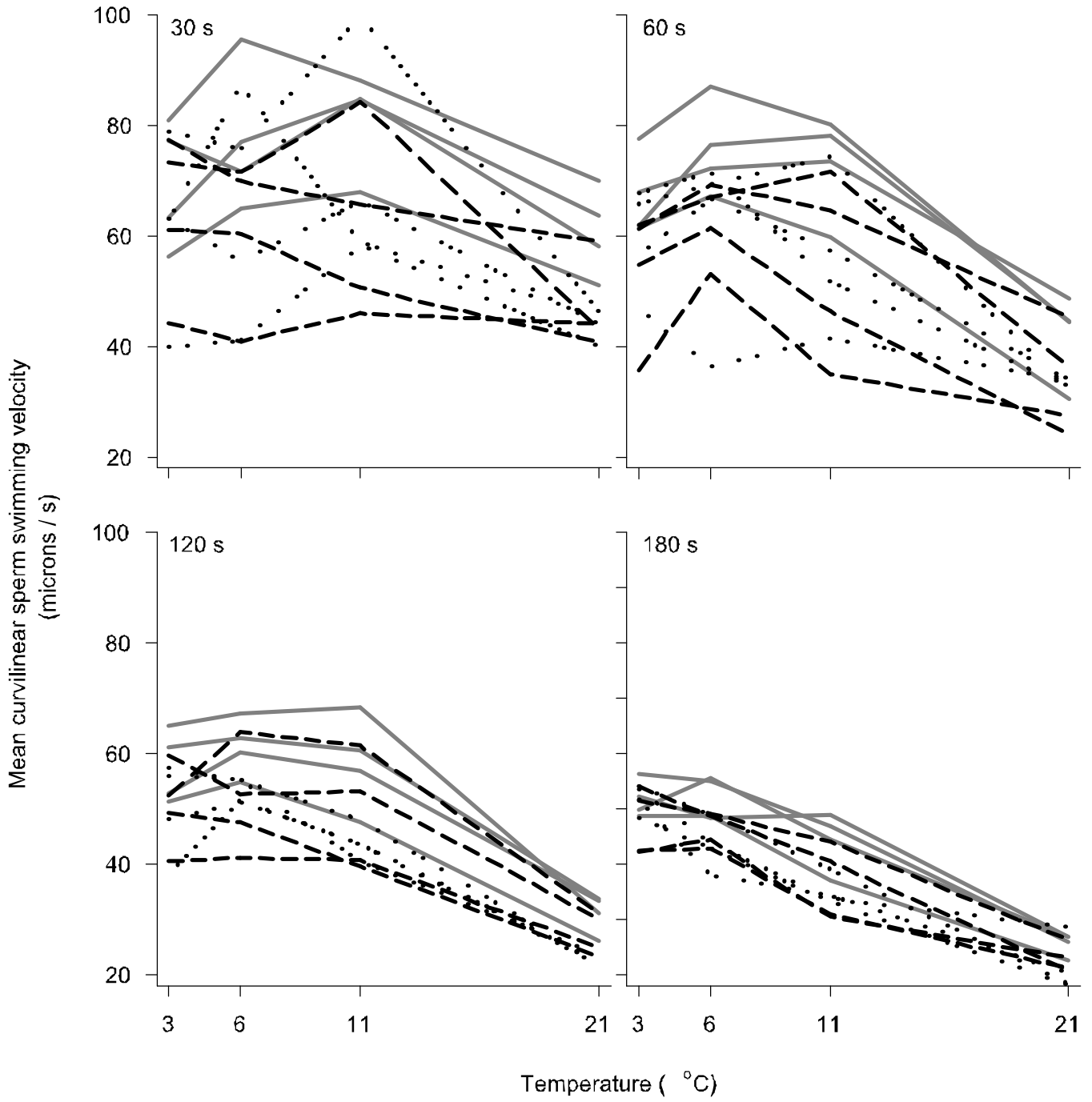


Figure 4

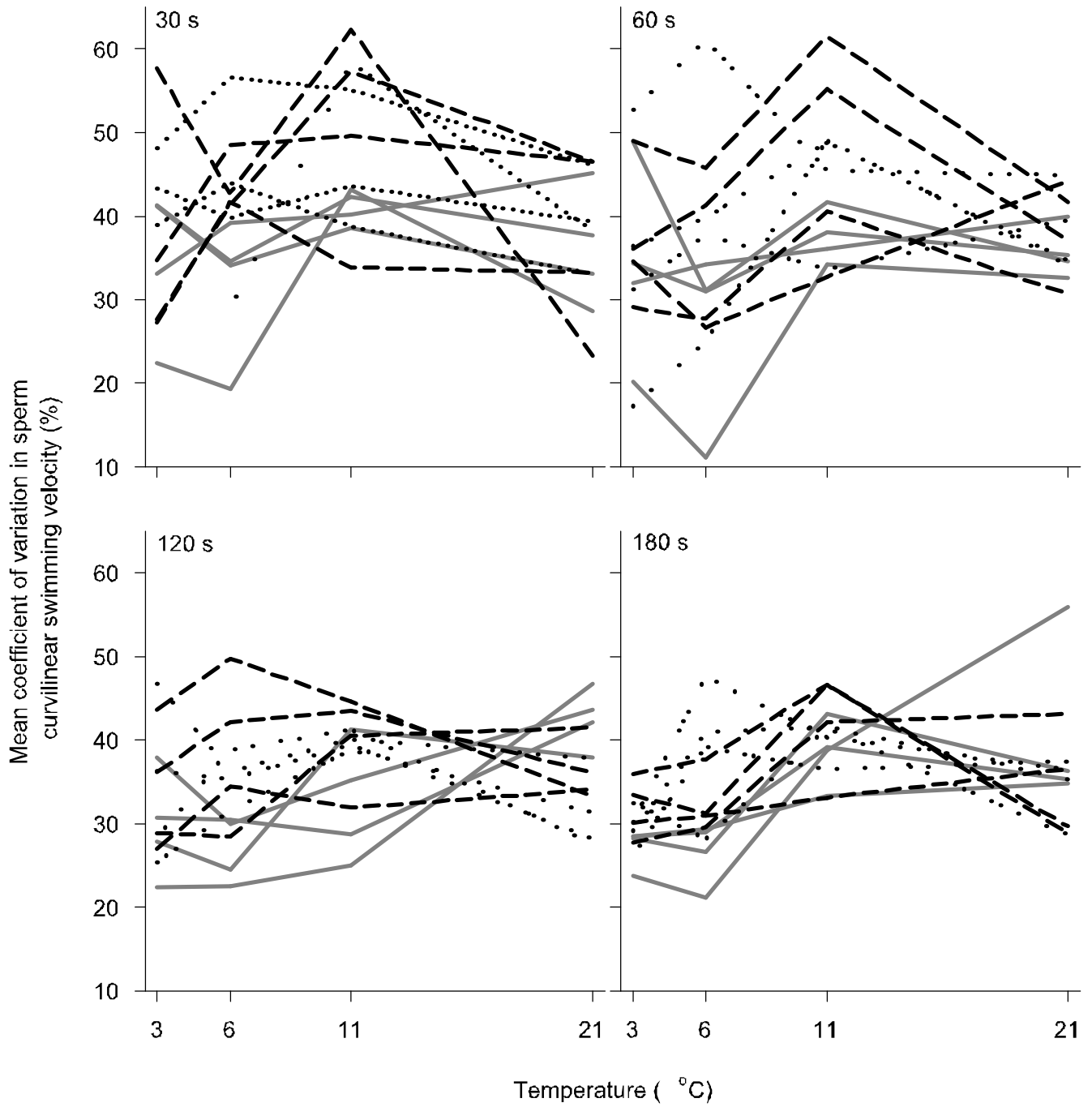


Figure 5

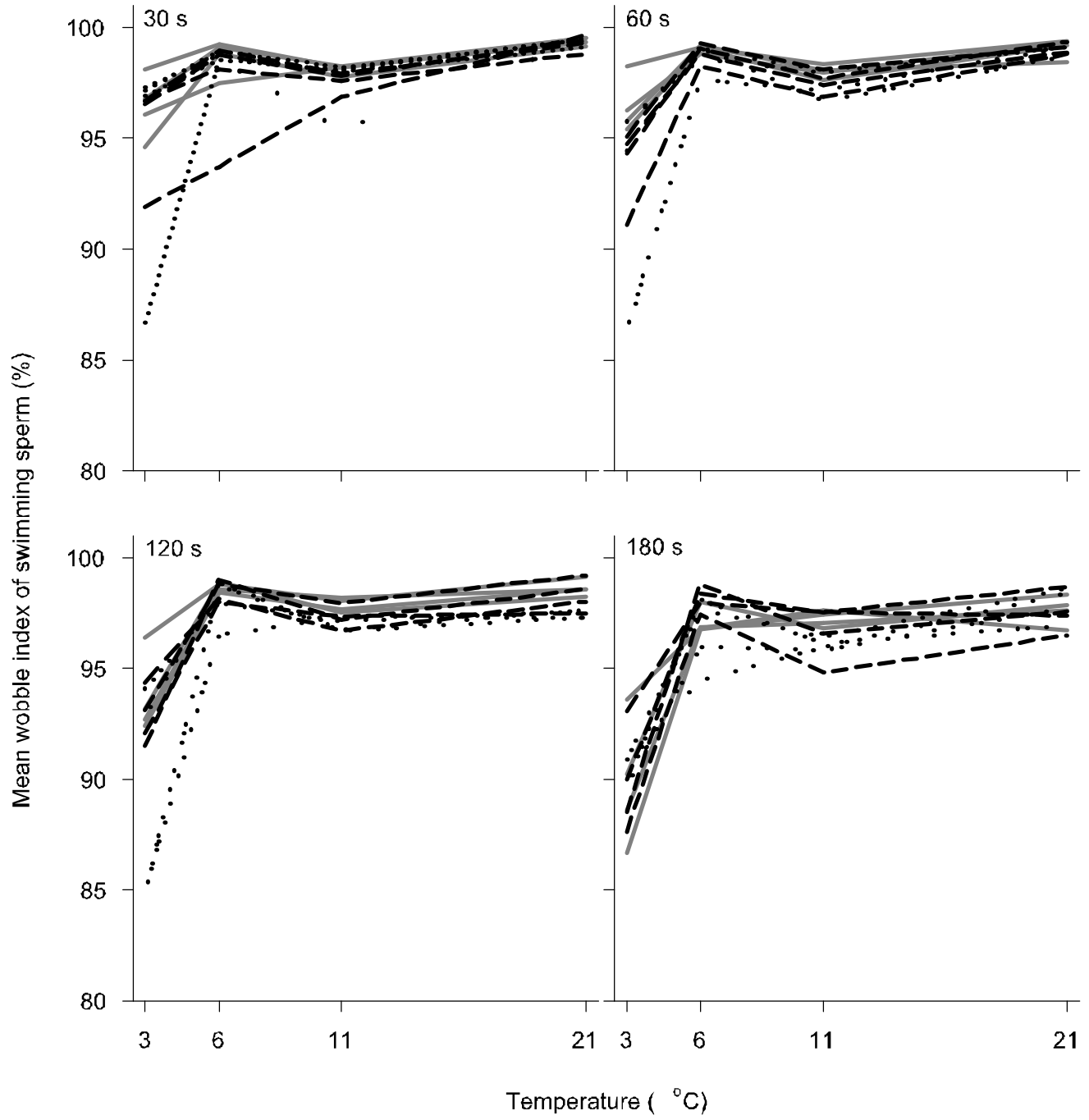


Figure 6

Table 1: Characteristics of each individual genotype (i.e. brother) from full-sib families of Atlantic cod used in the sperm analyses.

Family ^c	Individual	Total length (cm)	Body weight (g)	Fulton's condition ^a	Spermatocrit (%)	Mean VCL ($\mu\text{m}\cdot\text{s}^{-1}$) ^b
A	A1	51.7	1575	1.14	34.3	59.1
	A2	46.4	1230	1.23	34.0	58.2
	A3	45.8	1075	1.12	26.3	65.0
	A4	42.3	920	1.22	41.0	49.7
	Mean \pm S.D.	46.6 \pm 3.9	1200 \pm 280	1.18 \pm 0.06	33.9 \pm 6.0	58.0 \pm 6.3
B	B1	47.0	1335	1.29	39.7	42.0
	B2	48.7	1365	1.18	32.7	48.6
	B3	51.7	1830	1.32	37.0	55.3
	B4	48.2	1275	1.14	59.0	47.6
	Mean \pm S.D.	48.9 \pm 2.0	1451 \pm 255	1.23 \pm 0.09	42.1 \pm 11.6	48.2 \pm 5.7
C	C1	47.1	1260	1.21	40.7	38.2
	C2	45.5	1100	1.17	35.0	54.2
	C3	44.7	1020	1.14	11.3	55.7
	C4	46.6	1445	1.43	49.3	43.7
	Mean \pm S.D.	46.0 \pm 1.1	1206 \pm 188	1.24 \pm 0.13	34.1 \pm 16.3	48.0 \pm 8.5

^a Fulton's condition factor is equal to $100(\text{Weight}\cdot\text{Length}^{-3})$ and represents relative weight for a given length.

^b Mean VCL is sperm curvilinear velocity averaged across replicates, temperatures and times.

^c Families were not significantly different in any of the general parameters (One-way ANOVAS:

$P_{\text{length}}=0.290$, $P_{\text{weight}}=0.301$, $P_{\text{condition}}=0.635$, $P_{\text{spermatocrit}}=0.568$); see Table 2 for statistics on VCL.

Table 2: Mixed-model nested repeated-measures balanced ANOVA for comparing sperm performance of Atlantic cod.

		Sperm swimming performance					
				<i>Sperm velocity</i>	<i>Sperm variability</i>	<i>Sperm wobble</i>	
Source ^a	Term	d.f.	Error	Median VCL ($\mu\text{m}\cdot\text{s}^{-1}$)	Coefficient of variation VCL (%)	VAP/VCL (%)	
				F, p ^b	F, p ^b	F, p ^b	
1	Family	2	2	2.76, 0.116	2.00, 0.191	1.60, 0.254	
2	Genotype(Family)	9	11	28.28, <0.001	7.11, <0.001	7.57, <0.001	
3	Temp	3	5	86.25, <0.001	6.91, 0.001	123.23, <0.001	
4	Family×Temp	6	5	0.77, 0.603	2.01, 0.099	0.55, 0.767	
5	Temp×Genotype(Family)	27	11	2.76, <0.001	2.60, 0.001	2.39, 0.001	
6	Time	3	8	60.63, <0.001	5.57, 0.004	44.41, <0.001	
7	Family×Time	6	8	1.00, 0.448	0.62, 0.709	1.80, 0.138	
8	Time×Genotype(Family)	27	11	3.20, <0.001	1.98, 0.010	1.32, 0.173	
9	Temp×Time	9	11	6.90, <0.001	1.35, 0.223	6.01, <0.001	
10	Family×Temp×Time	18	11	0.89, 0.587	0.83, 0.657	1.18, 0.301	
11	Error		81				
	TOTAL		191				

^a “Source” is a code for the different terms, “d.f.” is degrees of freedom, “Error” refers to which “Source” is used in the denominator of the F-test, and “Temp” is temperature. Genotype refers to an individual fish and is used to match standard convention for assessing G×E interactions. The term Temperature×Time×Genotype(Family) is not included in the model; this appears as error (Source 11) and is the correct error term for testing five of the other terms. Sperm velocity (VCL) is the mean among procedural replicates of the median among sperm cells within a procedural replicate. Sperm variability is the arcsine transformed mean among procedural replicates of the coefficient of variation in velocity (VCL) among sperm cells within a procedural replicate. Wobble is the oscillation index (high values have less wobble) and has been arcsine transformed.

^b The models explained 96.1%, 82.0%, and 94.3% of the variance among fish respectively.