

Contributing to Fisheries Sustainability by Making the Best Possible use of Their Resources: The BEFAIR Initiative

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SUMMARY

The global harvesting of marine products has increased from around 17 million tons in the 1950s to a current average amount of 85 million tons. The Food and Agriculture Organization (FAO) estimates that an annual average of 27 million tons of non targeted species are caught and thrown back into the sea, what means that near third of the fish volume captured every year is wasted. This in itself represents a purposeless waste of valuable living resources, but in addition, the large amounts of organic waste thrown into the sea may produce severe adverse effects on the ecological equilibrium of marine communities.

In this context, the BE-FAIR initiative¹ (www.befairproject.com) -co-founded under the LIFE Environment Program of the European Union- was devised in the intention to contribute to a responsible and sustainable management of fisheries by making the best possible use of the captured resources avoiding its waste.

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29 This paper discusses the main actions taken in the project, which in the purpose of reducing
30 the costs associated to the implementation of that so-called zero-discard and zero-waste
31 policy, were directed to the development and implementation of integral management and
32 novel processing practices. The aim of these actions is to up-grade captured resources (by-
33 catch and wastes produced by fish processing) and thus to obtain added value products of
34 interest in the food industry.

35 **Keywords: By-catch, Discards, Integral Management, Valorisation Processes, Zero**
36 **Waste, Food industry**

37 **INTRODUCTION**

38 Estimations of fish captures by the FAO Fisheries Resources Division indicate an annual
39 increase of 6% in the decades between 1950 (around 20 million tons) and 1970 (around 60
40 million tons), to reach an average figure of about 85 million tons (FAO, 2005), which remains
41 stable since the 90s, owed to a progressive exhaustion of the fish living resources in
42 combination with a increasing fishing effort. This corresponds to the 70% of total fish
43 production, being the remaining 30% of fish produced by aquaculture. Such trend has
44 undergone a sharp increased which has offset the lack of ocean fish captures. In this
45 framework, scientists and fishery authorities have increased their efforts to improve the
46 selectivity of the fishing gear as well as to provide a better utilization of the whole volume of
47 catches. Nowadays, fishing vessels do not retain the total amount of catches for sale since
48 they usually include undersized individuals with low commercial value or non-targeted
49 species (*by-catches*) which are normally thrown back into the sea. This portion of the catch
50 which is returned to the sea is referred to as *discards*. The percentage of discards is non-
51 homogeneously distributed among the different fishing fleets (trawlers, long-liners, etc.) as it

52 mainly depends on the selectivity of the fishing gears employed. In fact it can be as large as
53 27 million tons per year according to some preliminary estimations of the FAO, what would
54 represent near a third of the total fish captures. More conservative estimations (Kelleher,
55 2005) indicate that discards, in average, represent about the 8% of the total catches which
56 gives a figure of around 7.3 million tons of fish species being discarded yearly (during the
57 period from 1992 to 2001). Nowadays it becomes evident that discard practices represents a
58 purposeless waste of valuable living resources, playing an important role in the depletion of
59 fish populations. Regarding trawl fisheries, nearly all fish, including about half of the non-
60 commercial crustaceans and 98% of non-commercial cephalopods are dead when discarded
61 (Bozzano and Sardà, 2002).

62 It must be also noted that by discarding juvenile fish, fish of little or none economic interest
63 or those which are over-quota, future yields (and hence incomes) are being lost. The discard
64 of mature fish both waste resources in the short term and reduce the amount of adult fish
65 which would otherwise support future productivity (Jensen *et al.*, 1988).

66 Furthermore, continuous discarding in the same fishing area may produce a number of
67 adverse ecological impacts due to ecosystem changes in the overall structure of trophic links
68 and habitats that could risk the sustainability of fisheries (Bozzano and Sardà, 2002; Kelleher,
69 2005). The effect on the trophic web is still poorly understood nowadays, what calls for a
70 better knowledge and a more exhaustive evaluation of the impact of discarding practices on
71 marine ecosystems. Several authors (Polis and Strong, 1996; Groenewold and Fonds, 2000)
72 have reported that this subsidiary input of organic matter and energy increases the abundance
73 of consumer species, in detriment of the ecosystem equilibrium owing to a number of
74 cascade effects throughout the trophic web (Tsagarakis *et al.*, 2008).

75 Likewise, another source of residues and consequently of biomass losses, is that derived from
76 fish processing activities. In particular, fish evisceration and cleaning also generates

77 considerable amounts of wastes such as heads, bones, guts, skins, etc. Demersal species (e.g.
78 monkfish, cod, conger, haddock, lings, etc) as well as cartilaginous species such as sharks are
79 traditionally gutted on board, generating variable amounts of fish wastes (mostly composed of
80 viscera) which are generally dumped at sea. In addition to its adverse effect on the trophic
81 chains, this practice may contribute to the accumulation of pollutants as PCBs, dioxins or
82 heavy metals as pointed out by several authors in recent articles (Mackenzie et al, 2004;
83 Rainbow, 2007; Polak-Juszczak, 2009) or to the spread of parasites present in the viscera (as
84 it is the case of *anysakis*) in the fishing areas (Blanco *et al.*, 2006). The percentage of residues
85 produced on-board varies widely since it depends on the target species (e.g. while white fish
86 is processed, blue fish species are landed as whole fish), fishing fleets and areas (for instance,
87 fishing fleets sailing in coastal waters will land the whole volume of captures to be processed
88 in-land). Nevertheless, average waste amounts could range between 15% and 30% of the total
89 catch, although in some instances it may increase up to the 80% as in the case of skate fish,
90 for instance.

91 It is in this context, and in the aim of promoting the responsible and sustainable management
92 of the European fishing activity, that the European Commission took a number of actions
93 oriented to the implementation of “**no-discard**” and “**zero-waste**” policies to be followed by
94 the European fishing fleets in the near future. In particular, actions were directed to the
95 development of policies “*to reduce unwanted by-catches and eliminate discards in European*
96 *fisheries*”², as well as to make “*the best possible use of the captured resources avoiding its*
97 *waste*”³. This means that non target species or fish above quota (or below minimum market
98 size) will be no longer discarded, but kept on board to be brought ashore. The implementation
99 principles of this policy were discussed with Member States in 2007 and received the
100 approval of the EU parliament in 2008. The first measures concerned two pilot fisheries: a)

² Communication from the commission to the council and the European parliament (28.3.2007), COM(2007) 132 final

³ EC communication on the reform of the CFP, Section 3.1

101 the Nephrops trawling fishery in the ICES area VII and, b) the flatfish trawling fishery in the
102 ICES areas IV and VIIId. Final regulations are expected to come into force by 2010, although
103 a number of measures oriented to a progressive reduction of discards are planned in the
104 meantime.

105 The BEFAIR initiative –co-funded under the **LIFE Environment Program** of the European
106 Union- has been set up in the intention of providing support to the above mentioned EU
107 actions. In this way, the project objective aims at contributing to the minimization of the
108 adverse ecological and environmental impact of fishing activities (on board as well as on
109 shore), by helping fleets to comply with the so-called “*zero-waste*” production on board.

110 To that purpose, a number of state of the art technologies to upgrade wastes and discards so to
111 obtain added value products, were explored at a pre-industrial scale. The list of possible
112 products is as diverse as the industrial sectors that would benefit from the valorization
113 alternatives. Discards and viscera could be good sources for fish meal, protein hydrolyzates,
114 peptones, enzymatic mixtures or fish oil with a high content of unsaturated fatty acids, being
115 these products of interest in sectors such as aquaculture and food. Fish meal has been used as
116 a livestock feed for many years, due to its high content in essential amino acids such as lysine,
117 which is often deficient in grain products that are the typical base for most animal feeds (Hall,
118 1992). Kristinsson and Rasco (2000) have extensively reviewed the functional properties of
119 fish hydrolyzates, such as emulsivity and foam stability, which make them suitable to be
120 added to a wide range of functional products such as enteral formulas, protein supplements or
121 beverages. So far, fish oil has been intended for aquaculture since it is an essential ingredient
122 in the diet of carnivorous species (Tacon *et al.*, 2008). Recent improvements on deodorization
123 and stabilization processes have spread the incorporation of fish oil into food products and
124 beverages for human consumption (Rubio-Rodríguez *et al.*, 2010). Also, fish skin or cartilage
125 from some species could be excellent raw materials for products as gelatine or chondroitin

126 sulphate with applications in the food, cosmetic and pharmaceutical sectors (Blanco *et al.*
127 2006). From this perspective, most activities in the BEFAIR project concentrated on the
128 assessment, development and implementation of efficient and integral waste management and
129 processing practices both on-board (fishing fleets) and in-land (fish auctions) to recycle and to
130 reuse wastes produced by the fishing industry, including discards and by-catch. In particular,
131 two main lines of action have being pursued during the project life:

- 132 • The definition of viable management and processing practices for discards, by-catch and
133 wastes so to recover and to produce valuable chemicals of interest in the food, cosmetic or
134 and pharmaceutical industries.
- 135 • The validation of the approach at the pre-industrial scale by designing and constructing
136 demonstration prototypes of up-grading processes to produce added value products as the
137 ones mentioned above.

138 The purpose of this contribution is to describe the different actions taken in the project and
139 give an outline of the main results obtained so far. The actions covered the whole up-grading
140 cycle including waste conditioning, assessment of up-grading processes and prototype design
141 and construction. Waste conditioning, to be presented in Section 2, includes classification and
142 storage on the one hand, and design of the pre-treatment processes on the other, in order to
143 maintain, what now would become a raw material, in the best possible conditions for
144 upgrading. The systematic employed to select the up-grading processes as well as the
145 conceptual design of the processes will be presented in Section 3. This action includes a
146 complete technical and economic viability study (including operation and equipment costs) of
147 the different processing alternatives potentially applicable to a given waste class and
148 production volumes. Finally in Section 4, some details will be given on design and
149 construction aspects of pre-industrial flexible and multipurpose processing plant prototypes
150 adapted to the waste nature and seasonality.

151

152 **WASTE CLASSIFICATION AND PRE-TREATMENT**

153 Waste management on-board, including waste classification, storage and pre-treatment is a
154 crucial step in the whole valorisation cycle to the point of conditioning the viability of the
155 integral up-grading approach. Keeping wastes (including discards) stored in the best possible
156 conditions will prevent in as much as possible, deterioration of what is going to become raw
157 materials for the valorisation processes. In the same way, carrying out a previous
158 classification and separation of residues such as skins, bones, livers etc, which are precursors
159 of added value products such as gelatines, chondroitin sulphate or fish oil (or squalen),
160 respectively, will facilitate processing, maintaining quality and reducing operation costs.

161 Opportunities for implementing management and pre-treatment practices rely at a high extent
162 on the type of fishing fleet and the fishing area considered. Essentially, vessel storage
163 capacity is the limiting variable which will determine whether a given processing equipment
164 can be installed or not, or under which conditions some classification protocols can be carried
165 out on-board. This variable is so critical that in many instances refrigeration becomes the only
166 reasonable alternative for waste materials until land is reached. It must be noted that, in
167 general, storage capacity is an expensive asset usually reserved for the storage of the targeted
168 species. Therefore, enforcing a zero-waste policy necessarily requires a readjustment of the
169 cost-benefit balance. Although the analysis of the economic effects of the policy are out of the
170 scope of this project, we hope to contribute to a sustainable solution by proposing alternatives
171 which will add economic value to the wastes while at the same time will reduce the costs
172 associated to storage capacity and transportation.

173 At this point, it must be stressed the necessity of controlling the levels of pollutants present in
174 what is now considered a raw material, specially in applications oriented to aquaculture or
175 food industry. The levels of organic pollutants or heavy metals will be highly dependent on

176 the fishing area (geographic origin), type of species or tissue, and this should be taken into
177 account when assessing the viability of a given up-grading alternative. In this way, and in
178 addition to a sustained control of pollutant levels in the diverse materials, currently available
179 pollutant removal technologies such as those based on activated carbon or supercritical
180 extraction (Kawashima et al, 2009) should also be considered as part of the valorization
181 process.

182 Next let us present two examples of possible pre-treatment processes namely fish oil
183 extraction and water reduction that will partially help to reduce waste storage capacity while
184 preserving quality deterioration of biomass.

185 **1. Fish oils extraction:** Livers contain considerable quantities of oil (between 40% and 75%
186 depending on the specie considered), often enriched in squalen (35-60 % of the total oil).
187 Squalen is used as a health-food or is refined to squalen, a product used in pharmaceuticals
188 and cosmetics (Claeys-Bruno et al., 2008). The process to obtain these oils includes the
189 separation of livers from visceral residues of chondrichthyes, grinding and pressing the organs
190 and centrifugation to separate the oils. Finally, solid residues will be kept frozen and oil
191 stored for refining with stabilization additives to avoid oxidation. Optionally, previous
192 cooking of the wastage to 85-95 °C will produce protein coagulation thus facilitating the
193 separation of the water and oily phases, although at the expenses of a drastic reduction of oil
194 quality. Depending on the characteristics of the oil, it could be stored to be used in
195 aquaculture or food industry, or it can be processed to produce bio-fuel.

196 **2. Volume reduction:** The future application of a non-discard policy on fishing vessels will
197 increase the volume of marine materials to be stored on board, and thus the energy and space
198 requirements. Those fractions containing discards and wastes, and not intended to any up-
199 grading process (fish eyes, skins, livers, etc) may be subject to a volume reduction process.

200 The objectives pursued are:

- 201 • The minimization of the volume of solid by-products stored on-board and thus, the energy
202 and space requirements for storage (refrigerated or frozen).
- 203 • The microbiological stabilisation of these wastes. A lower water activity reduces the rate
204 of quality loss, increasing the self-life of the resulting cake.
- 205 • The recovery of valuable fractions, such as proteins and fish oil for further up-grading
206 operations.

207 Note that the effluents generated on board should undergo a suitable depuration treatment
208 prior to their discharge to the sea in order to minimise their environmental impact. Proteins
209 could serve as raw materials for silage, hydrolizates, or peptone production. Fish oil could be
210 stored for refining or bio-fuel as explained before.

211 The proposed volume reduction process essentially follows a procedure similar to that applied
212 to the production of fish meal (Bimbo, 1990). Fish discards are cut and fed with the help of a
213 belt conveyor into a mechanical press, where it undergoes a multiple stage pressing operation
214 in order to obtain a partially dewatered cake (which represents around the 80-90% of the
215 original wet weight of the raw material), with a reduction in volume of 50-60% and a press
216 liquor comprising several phases (oil, water, blood and suspended solids) with a high organic
217 load (COD 80-120 g. O₂/L - Afonso and Borquez 2002). The press liquor bears an organic
218 load above the maximum discharge limits established for the fisheries wastewaters, so an
219 effluent treatment section constitutes a critical part of the process as it must minimize the
220 adverse environmental impact of the press effluent. It consists of several microfiltration steps
221 (filter cartridges with rating size 250 µm and 465 µm) able to remove up to 28.4% of
222 suspended solid particles and 43% of the protein content from the press liquor, followed by
223 centrifugation to recover the fish oil. Nevertheless, this filtration step only entails 5.6% of
224 COD removal, which indicates that most of the oxidising species (mainly proteins) are present
225 in the form of soluble compounds. In order to remove these compounds of lower molecular

226 weight, a final ultrafiltration step was proposed, based on ceramic membranes (which present
227 better resistance to fouling formation and corrosion by cleaning agents). These are able to
228 retain up to 88% of proteins of the bulk solution (retentate stream) and render a final filtrate
229 with a low organic load (COD 3-9 g. O₂/L) which can be directly discharged to the sea.

230 **VALORISATION PROCESS ASSESSMENT**

231 A set of well established technologies and methods from chemical and process engineering
232 have been combined on a systematic way to develop and to demonstrate the possibilities of
233 fish residues and by-products up-grading to obtain valuable products of interest in food and
234 pharmaceutical industry. Among those methods and technologies, a number of state of the art
235 processes have been considered. In particular, special attention has been paid to the following
236 valuable products and their corresponding production processes:

237 **Chondroitin sulphate (CS):** This chemical is used as a dietary supplement to maintain the
238 structure and function of cartilage (referred to as chondroprotection, Pipitone 1991), to
239 relief the pain caused by osteoarthritic joints (Kerzberg et al. 1987) and as an anti-
240 inflammatory (Ronca et al. 1998).

241 For reasons quite related with recent animal diseases (as the recent cases of mad cow
242 disease or avian influenza), the production of CS from fish cartilage offers new market
243 opportunities as compared with that obtained from bovine or avian livestock. The process
244 considered in the framework of the BE-FAIR Project is partially based on the one proposed
245 by Lignot et al. (2003) and consists on the following steps:

- 246 1. A hot water treatment of chondrichthyes residues, and pulverization of the cartilage
247 thus obtained.
- 248 2. Enzymatic hydrolysis of the cartilage. Separation of a solid residue and a clarified
249 hydrolysate.

- 250 3. Alkaline hydroalcoholic treatment of the hydrolysate, with precipitation of
251 chondroitin sulphate and solubilisation of proteins in the supernatant.
- 252 4. Redisolution and neutralization of the sediment, and separation by centrifugation
253 of the insoluble protein residue.
- 254 5. Concentration by ultrafiltration, followed by diafiltration to eliminate saline
255 content and the remaining low molecular weight solutes.
- 256 6. Drying of the concentrate and grinding.

257 **Fish gelatine:** Gelatine is obtained by the hydrolysis of collagen which is the principal
258 protein found in skin and bones. A complete review of the state of the art of fish gelatine
259 production processes (starting by the work of Grossman and Bergman 1992) can be found
260 in a recent paper by Kareem and Bhat (2008). The process we have considered to obtain
261 this product from fish skins (Nicolas-Simonnot et al. 1997) can be summarized as follows:

- 262 1. In order to prepare the raw material, skins are washed on sodium hydroxide,
263 sulphuric acid and citric acid solutions.
- 264 2. Thermal collagen extraction in temperature ranges from 40-45 °C up to 80 °C and
265 residence times between 8 to 10 hours.
- 266 3. Purification of the product either by ultrafiltration or evaporation, and final drying
267 to achieve the desired humidity of the product.

268 **Hyaluronic acid (HA):** This valuable chemical with anti-inflammatory and anti
269 edematous properties (see Gemeiner et al. 2007 for a complete review regarding HA and
270 its applications) was conventionally extracted from animal tissues and now is increasingly
271 produced by microbial fermentation (Fong Chon et al. 2005, Chen et al. 2008). These
272 methods provide low production costs and more efficient purification. Alternative sources
273 include fish vitreous humour as suggested by Murado et al. (2005). In the BE-FAIR
274 Project, this valorisation process to get HA from a fish waste (eyes) is considered.

275 After extraction and concentration by ultrafiltration of the vitreous humour collected from
276 the eyes, the process includes the following steps:

- 277 1. Alkaline hydroalcoholic treatment at low temperature, with precipitation of sodium
278 hyaluronate and solubilisation of proteins in the supernatant.
- 279 2. Redisolution and neutralization of the sediment, and separation by centrifugation
280 of the insoluble protein residue.
- 281 3. Concentration by ultrafiltration, followed by diafiltration to eliminate saline
282 content and remaining low molecular weight solutes.
- 283 4. Ethanol precipitation (repeated if necessary) of the ultrafiltration retentate.

284

285 **THE VIRTUAL PLANT ENVIRONMENT**

286 Before carrying out the proposed valorisation technologies to a productive industrial scale,
287 and as an action of the BE-FAIR Project, an efficient user-friendly dynamic and multipurpose
288 visual interface for the simulation of food and biotechnology processing plants (Taboada et al.
289 2003; Vilas et al. 2004) was developed on EcosimPro (www.ecosimpro.com). This
290 environment is a powerful mathematical tool capable of solving dynamic systems represented
291 by differential-algebraic equations (DAE) with symbolic, numeric methods and discrete
292 events handling capacities. EcosimPro provides an object-oriented non-causal approach
293 towards creating reusable component libraries. By taking advantage of the cited EcosimPro's
294 capabilities, most common components of processing plants were developed, and included in
295 libraries which constitute the building blocks on which virtual representations of processes are
296 based (see Figure 1 for an example).

297 Due to the structure of the environment, inclusion/exclusion, modification or improvement of
298 both existing and new components can be done in a straightforward manner. This virtual
299 scenario allows the user to predict and to analyze possible changes on the product (quantity

300 and quality) as well as possible operational problems caused by given input variations
301 (quantity and quality of raw material), variations over operational parameters (for instance,
302 pH or temperatures, variations on the recycled fraction, etc.) or over the equipment scaling
303 (unit volumes).

304 *The Gelatine Process*

305 As a representative example of the capabilities offered by the virtual environment for
306 modelling and improving existing valorisation processes, a model library with the most
307 representative processes in gelatine production from fish skin has been created.

308 As briefly presented in the previous section, fish skin is a good source of collagen, the
309 precursor of gelatine. Collagen is insoluble in water, but its fibers shrink at hot temperature
310 producing water soluble gelatine. The core of the gelatine production process consists
311 basically on the extraction of the denatured collagen macromolecules from the skin of both
312 ray finned fishes (cod, tuna, pollock, etc.) and chondrichthyes (namely shark and ray) to an
313 aqueous solution. Variations in the operation conditions of the process, at a high extent
314 dependent on the characteristics of the raw material and the desired product quality, can be
315 devised on a straightforward manner in the virtual plant environment.

316 The main steps on the fish gelatine process are:

- 317 1. *Pre-treatment of the raw material:* It is known that alkaline and/or acid pre-treatments
318 before extraction are critical for final yield and strength of the gelatine extracts, but the
319 possible mechanism and the effects of these pre-treatments are still poorly understood.
320 According to Zhou and Regestein (2005), the extent of gelatinization and gel strength
321 depend on the cross linkages present in the collagen. In this sense, the purpose of the
322 pre-treatment is twofold: on the one hand, removing unwanted material such as non-
323 collagenous proteins and soluble solids with minimum collagen loss and, on the other

324 hand, destroying certain cross-linkages present in the collagen with less breakage of
325 peptide bonds.

326 The pre-treatment section of the fish gelatine process is characterized by a high water
327 consumption what critically conditions the overall operation cost and thus the viability
328 of the process. In this sense, water saving by means of recycle policies can be crucial
329 in improving the efficiency of the process, as it will be shown later in this work.

330 2. *Extraction:* Depending on the pre-treatment sequence, the extraction can be carried
331 out in acid, neutral or alkaline medium, giving rise to gelatines with different
332 molecular weight distribution (Zhou and Regestein 2006). Gelatine quality is
333 evaluated on the basis of several functional properties like gel strength, viscosity,
334 solubility, turbidity, melting and gelling point (Choa et al. 2004), affected by many
335 factors including the molecular weight distribution. Gelatine is made up of a series of
336 polypeptide chains, where the so-called α -chain, with a molecular weight of 95,000
337 g/mol, acts as the basic element which will determine the molecular weight (Nicolas-
338 Simmonot et al. 1997). The pH, the temperature and the time of operation will affect
339 to the rate and extent of the extraction, but also to the degradation of the gelatine
340 chains, so their control become critical at the extraction stage.

341 3. *Purification:* The purification process is devoted to transform the solution resulting
342 from the extraction into a product with the required specifications. In terms of micro
343 constituents, the desired properties are obtained by means of treatments such as
344 activated carbon filtering, oxidation and/or deionization whereas the specifications in
345 terms of water content require the concentration and drying of the gelatine solution.

346 In the conventional process, the gelatine solution, with an initial concentration of 3 -
347 5%, is filtered and fed into a triple effect evaporator to concentrate up to 35 %,
348 followed by a drum drying to obtain a final product with 85-90% gelatine.

349 Evaporation can remove about 80-85% of the water but it is energy intensive and very
350 sensitive to scaling of fuel costs (Chakravorty and Singh 1990). This drawback can be
351 overcome by employing ultrafiltration as an initial step in the dewatering of gelatine
352 liquors. The high molecular weight of gelatine (with an average of 50 to 70 kDa)
353 makes it suitable for pressure driven separation techniques at moderate applied
354 pressures. Ultrafiltration presents three main advantages upon conventional processes
355 (Simon et al. 2002): i) energy consumption is at least 40% lower than those required
356 for evaporation, ii) thermal degradation in gelatine molecules is minimized as
357 pressure-driven processes are undertaken at room temperatures and iii) the final
358 product presents higher purity due to the removal of inorganic compounds (mainly
359 salts from pre-treatment step) with molecular weight below the membrane nominal
360 cut-off.

361 Permeate flux is strongly dependent on gelatine concentration, which limits practical
362 UF to about 20 % gelatine in the final product (Cheryan 1998) due to the appearance
363 of a concentration polarisation layer which limits the passage of permeate through the
364 membrane pores, so ultrafiltration must be completed with a single effect evaporation
365 and drum drying to obtain the final dry powder.

366 For the case of fish gelatine process, a library of units was developed in EcosimPro in order to
367 construct the *virtual plant* which reproduces the original process (See Figure 1 – Zoom
368 Window):

- 369 • *Washing_Unit*: An EcosimPro component has been designed including the mass balance
370 equations for the washing unit. It communicates with the environment by a number of
371 physical ports: a) the skin inlet, b) the solvent inlet, c) the extract (containing the solid
372 phase), and d) the purge (constituted by the liquid phase plus the rest of skin, lost during
373 the operation). The composition of the solvent can be selected among three different

374 possibilities consisting of a solution of sodium hydroxide, sulphuric, or citric acid. The
375 internal water recycle is also selected by the user. As mentioned, the procedure in gelatin
376 pre-treatment requires a given sequence of washings, aiming at the optimization of the
377 quality and yield of the final product. The washing unit offers the possibility of connection
378 with another component, the *Dispenser* component, also included in the library, which
379 computes and dispense the amount of solvent with the appropriate concentration of
380 reactive so to fulfil the proposed recipe.

381 • *Extraction:* The EcosimPro component designed for the extraction unit is communicated
382 with the environment through four physical ports: a) the skin inlet (containing a given
383 fraction of extractable gelatine), b) the solvent inlet, c) the extract (containing the solid
384 phase) and d) the gelatine solution outlet. The temperature and pH of operation can be
385 fixed by the user (then the program calculates the temperature and concentration of the
386 solvent), or computed from the solvent characteristics, depending on the option selected
387 (design or simulation approach). In addition, the regime of operation can be selected
388 between continuous or batch.

389 • *Ultrafiltration:* For modelling this purification process, batch operation is preferred since it
390 requires minimum membrane area (Cheryan, 1998). The permeate stream can be partially
391 or fully returned to the feeding tank for a subsequent batch. For this purpose, different
392 EcosimPro components (*tank, membrane, stream mixer* and *splitter*) were created and
393 equipped with physical (flow and solvent concentration) and signal ports (level and flow
394 control) which enable the user to choose between different operational configurations
395 (batch /continuous operation, partial/full recirculation of the retentate, diafiltration, etc).

396 In order to illustrate the possibilities offered by the virtual environment, we present next two
397 case studies related with the fish gelatine process:

398 ***Case 1: Analysis of water recycle policies in the pre-treatment section.***

399 This pre-treatment can be configured by connecting, as shown in Figure 1, series of washing
400 units with the so-called dispenser units, which add the required amount of reactant to carry
401 out the washing step (alkaline, acid, etc.). In this case, two alkaline, strong and weak acid
402 washing steps were considered with water washings inserted between each type of pre-
403 treatment. Once the washing section model was configured using the design approach (that is,
404 in agreement with a given set of operation conditions, the system computes the proper
405 amounts of reagents), and under the assumption of 1,000 kg of fresh fish skin entering the
406 process for treatment, two experiments are created: a) The first one considers the scenario of a
407 washing section without any water recycle on the units, and b) the second one introduces a
408 recycle of the 30% of the liquid leaving each washing unit. It can be stated that, for equal
409 product requirements, savings for the considered scenario with a 30% of recycle are
410 significant, both in terms of water consumption as well as in terms of reactants savings:

- 411 1. 25.14 % for the sodium hydroxide (from 0.83 to 0.62 kg. consumed – recycle-no
412 recycle, respectively).
- 413 2. 25.16% for the citric acid (from 4.81 to 3.60 kg. consumed).
- 414 3. 23.31% for the sulphuric acid (from 0.80 to 0.61 kg. consumed)

415 These results can be translated on a lower plant operation cost.

416 ***Case 2: Improvement of the extraction process.***

417 For the developed *Extraction* unit, the model considers two simultaneous phenomena taking
418 place: The extraction itself and the degradation of the gelatine, that is divided into four main
419 categories of macromolecules (F_1 to F_4) attending to the molecular weight (from higher to
420 lower). The objective pursued in this case was to define operation conditions, namely
421 extraction temperature, pH, residence time and number of extraction steps, to optimize the
422 efficiency of the extraction process. Optimization must be understood in the sense of

423 maximizing yield (amount of gelatine extracted) while ensuring a minimum quality (related
424 with the molecular weight fractions).

425 We consider a scenario where a batch of 1,500 kg of wet skin with a 5% of gelatine are
426 treated into a single *Extractor* unit at $T = 60\text{ }^{\circ}\text{C}$ and $\text{pH} = 3$. As shown in Table 1, the extent
427 of the extraction increases with the time of treatment but the quality of the extracted gelatine,
428 related with the fraction of higher molecular weight (F_1), decreases nearly a 60%, which
429 clearly affects the quality of the final product.

430 Alternatively, the given batch (1,500 kg of wet skin with 5% gelatine) was processed on a
431 train composed by four extraction units. Simulation results revealed for this configuration a
432 considerable increase in the yield of high quality gelatine F_1 after 10 hours operation (1.640
433 kg. versus 0.352 kg obtained on a single extractor), without a significant loss in the fraction of
434 gelatine extracted (87.5% versus 95.5%).

435 **PRE-INDUSTRIAL PROTOTYPING**

436 As mentioned in the introduction, the final goal of the BE-FAIR Project is the design and
437 demonstration of different prototypes capable of integrating the different valorization
438 processes considered, by making the best possible use of the technologies and equipments
439 currently at hand, while respecting the existing constraints on available space (for instance on
440 board fishing vessels) or environmental impact (either associated to raw materials or to their
441 processing). Details on the scale and characteristics of the designed pre-industrial prototypes
442 are given next:

443

- 444 1. A *flexible multi-purpose plant*, which shares equipment to be employed for gelatin
445 and chondroitin sulphate production. An automatic control system allows the flexible
446 operation of the plant. This fact drastically reduces both the equipment and instalation
447 costs as well as the space needed to place the complete plant. A picture of the

448 multipurpose pre-industrial prototype is presented Figure 2. It consists of the
449 following three main sections:

- 450 • **Reaction section:** It includes the jacketed stirred reactor, a decanter, a mixer,
451 reactants dispensers and several washing-products/liquid-wastes storage tanks. For
452 chondroitin sulphate (CS) production, a centrifuge is needed to separate the CS
453 precipitate from the insoluble protein residue.
- 454 • **Heating section:** It consists mainly of a heater, the jacket which supplies heat to
455 the reactor, and the hot water circuit.
- 456 • **Control panel:** The integrated processes are controlled with a dedicated PLC. The
457 developed control system allows the operator to actuate over several aspects of the
458 plant operation (flows, temperatures, etc.) through a simple and intuitive visual
459 interface integrated in the supervision panel.

460 Concentration and purification steps are carried out on standard equipment for
461 evaporation, drying and ultrafiltration. As shown in Table 2, the processing capacities
462 and yields depend on the target compound to be produced in the plant.

463
464 2. ***A water volume reduction prototype*** designed to operate on-board fishing vessels. It
465 will be used to reduce the water content of discards and fish wastage and thus the
466 volume of the resulting solid by-products to be stored. In addition, by reducing water
467 activity, the self-life of the resulting cake will increase. The prototype includes an
468 effluent treatment section to minimize the environmental impact of the compacting
469 operation. The prototype built is shown in Figure 3, being its main parts the
470 following:

- 471 • **A grinding machine**, where the raw material is pre-treated in order to obtain a
472 better yield in the press.

- 473 • **A hydraulic press**, where the raw material, usually by-catch species and wastes
474 (guts, skins, etc.) resulting from fish processing activities undergoes a multiple
475 stage compacting treatment. As the result of pressing operations, two streams are
476 obtained: a partially dewatered cake with a volume reduction of up to 50%, and a
477 press liquor that can be drained to the sea. The cake must be either refrigerated
478 (4°C) or frozen (-16°C).
- 479 • Two series filter cartridges, to recover oil residues and remove fine solids. This
480 pre-treatment is needed in order to remove suspended particles from the bulk
481 solution which could exhaust the membranes in the ulterior ultrafiltration stages.
482 The cartridge consists of a cylindrical outer filter having an axial bore and a
483 surrounding side wall structure, settled to allow the passage of the water into the
484 axial bore and thus to retain fine solids. These fine solids can be added back to the
485 press cake and stored on board.
- 486 • An **ultrafiltration unit**, where liquid effluents are treated to remove the remaining
487 organic molecules (proteins, etc.), thus reducing their organic load up to an 88% in
488 terms of protein retention. Ceramic membranes are preferred to the organic ones
489 since they offer higher resistance to fouling formation and corrosion by cleaning
490 agents. After this final treatment, the final permeate can be discharged into the sea
491 without causing negative environmental effects.

492 All the units that are part in the fish compaction process were assembled in a compact
493 prototype that is presented in Figure 3. This system makes use of the pneumatic
494 system available on-board the fishing vessels (e.g. to haul the nets) what enables a
495 better control of the operation parameters (pressure, compression speed, number of
496 pressing steps). This prototype has a feed capacity of 8-10 kg. per batch, with a
497 maximum duration of 15 min per batch, which yields a processing capacity up to 40

498 kg/h of raw material. The diagram shown in Figure 4 summarizes the mass balances
499 for each unit operation and the composition of each stream involved in the process.

500

501 **CONCLUSIONS**

502

503 One of the strategies towards a responsible management of fisheries is to promote policies of
504 *no-discard* and *zero-waste* production both on-board of fishing vessels as well as in-land
505 (ports, transforming industry, etc.). In order to ensure sustainability of fisheries and fishing
506 related industry, such policies must be accompanied by up-grading strategies for the fish
507 wastes and by-products. In this aim, the BE-FAIR initiative have been directed to the
508 development and demonstration at a pre-industrial scale of an integral framework to make the
509 best possible use of fishing resources by obtaining valuable chemicals of potential interest
510 mainly in the food industry, but also in other sectors such as the pharmaceutical.

511

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515

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618 **Figure Captions:**

619 **Figure 1:** Virtual environment generated on EcosimPro for designing the washing section of the fish gelatin
620 process. In the zoom window, representative virtual process units developed to reproduce the fish gelatin process
621 are depicted: a) Washing_Unit; b) Extractor; c) Ultrafiltration.

622 **Figure 2:** Multipurpose pilot plant prototype built to produce fish gelatine and chondroitin sulphate.
623 Dimensions of the prototype are: 11.80m (L) x 2.15m (W) x 3.38m (H)

624 **Figure 3:** *Water reduction prototype. Its dimensions are: 2.85m (L) x 0.85m (W) x 1.45m (H)*

625 **Figure 4:** *Processing capacities and composition of the volume reduction prototype. SS: suspended solids, P:*
626 *proteins.*

627

628 **Table Captions:**

629 **Table 1:** *Extent and quality of final product for a single extraction unit (1,500 kg of wet skin 5%*
630 *treated at $T = 60\text{ }^{\circ}\text{C}$ and $\text{pH} = 3$)*

631 **Table 2:** *Processing capacities and valuable compound productions for the pre-industrial multi-*
632 *purpose plant prototype*

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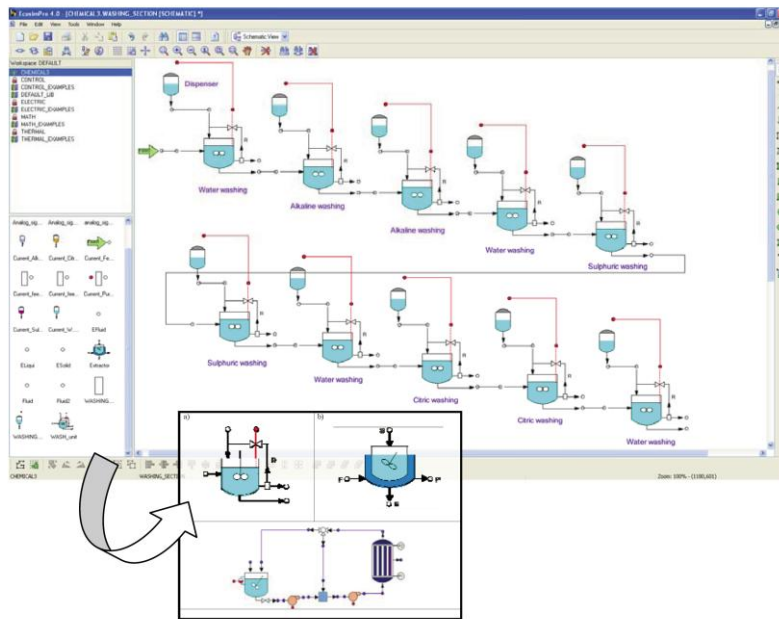
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644 **Figures:**

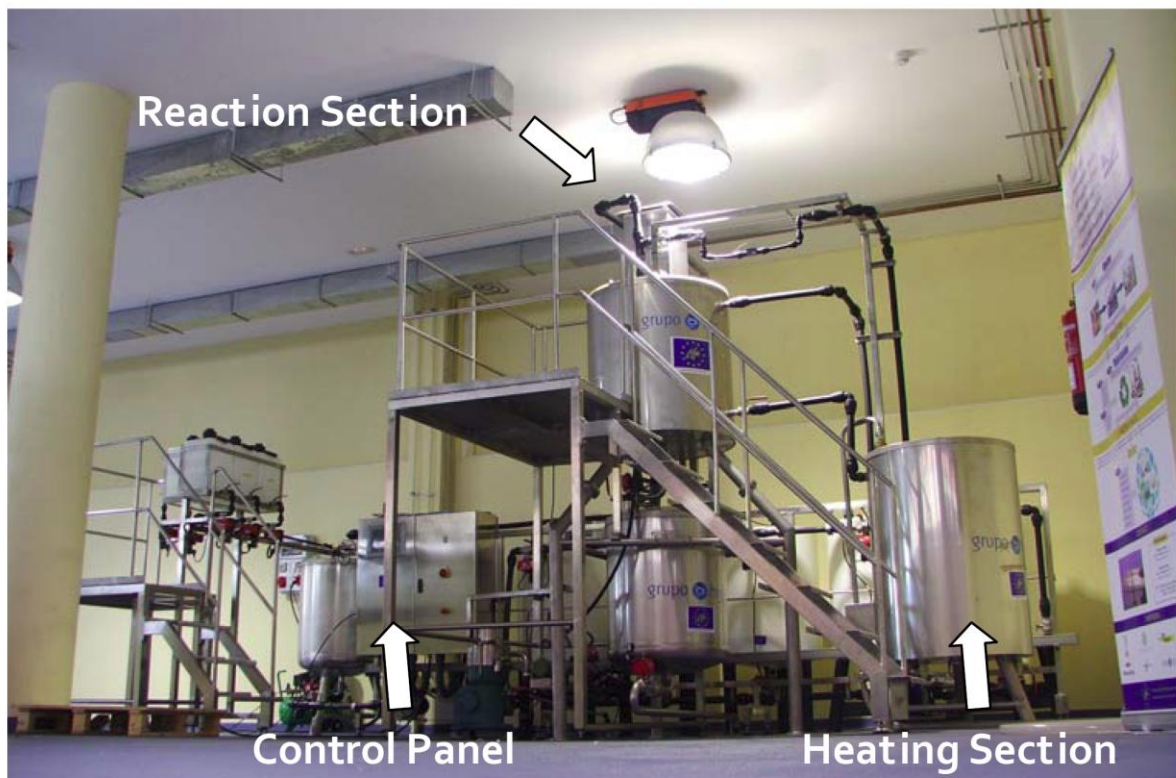


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Figure 1



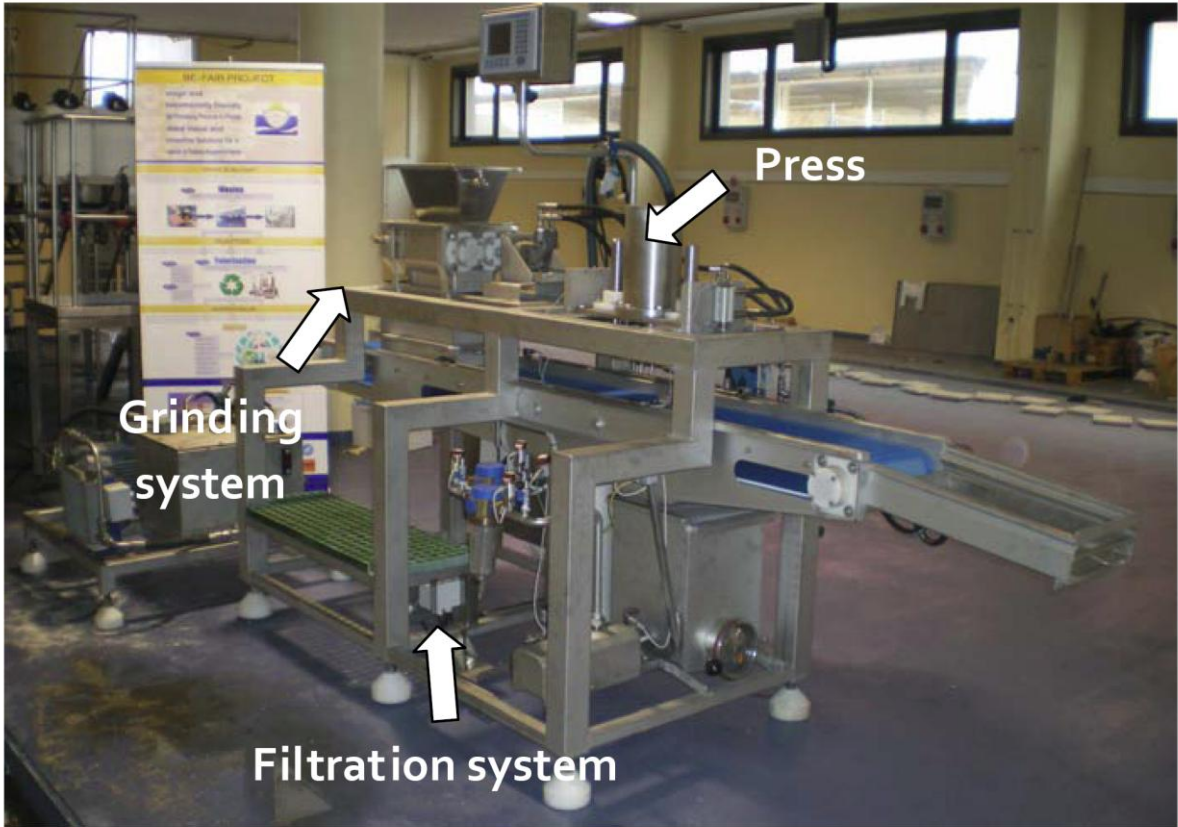
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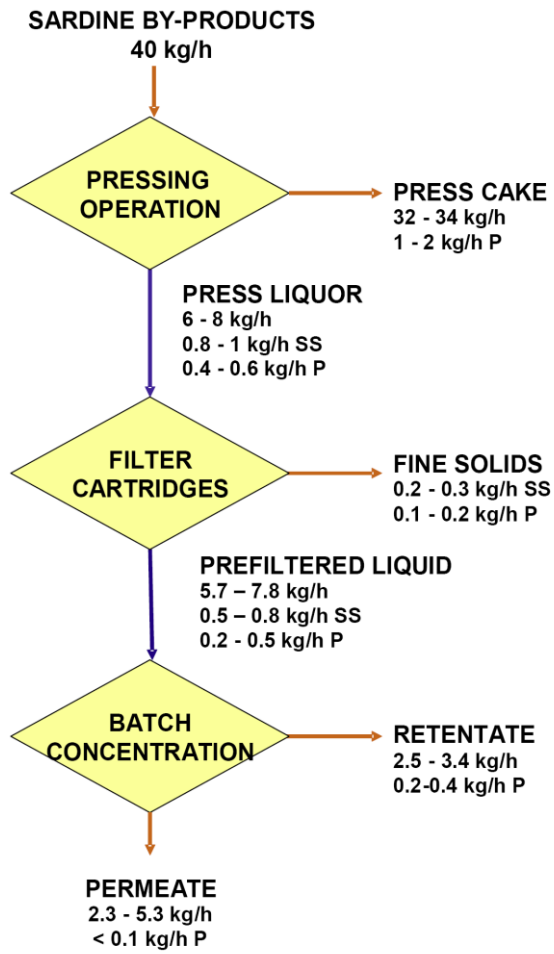
Figure 2



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653

Figure 3



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Figure 4

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Time (h)	Extracted Gelatine (%)	F ₁ (kg)
3.5	54.9	0.813
5.5	81.3	0.730
10	95.5	0.352

657

658

Table 1

659

<i>Process production data</i>		
	GELATINE	CHONDROITIN SULPHATE
Raw material feed to the system	100 kg of fish skins per batch	25 kg of milled cartilage per batch
Processing capacity	200 kg/day	75 kg/day
Yield	Around 10-11 kg/day of gelatine (99% of purity after drying+ultrafiltration steps) are obtained.	Around 20-21 kg/day of CS (95% of purity after drying+ultrafiltration steps) are obtained.

660

661

Table 2