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6 **PLANKTON RESPONSE TO WEAKENING OF THE IBERIAN**  
7 **COASTAL UPWELLING**  
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11 Fiz F. Pérez\*, Xosé. A. Padín\*, Yolanda Pazost†, Miguel Gilcoto\*, Manuel Cabanas‡ ,  
12 Paula C. Pardo\*, M<sup>a</sup> Dolores Doval† and Luis Farina-Busto§,  
13

14 \*Instituto de Investigaciones Marinas, CSIC, Eduardo Cabello 6, E-36208 Vigo, Spain

15 †Instituto Tecnolóxico para o Control do Medio Mariño de Galicia. Peirao de Vilaxoan, E-36611 Vilagarcia  
16 de Arousa. Spain

17 ‡Centro Oceanográfico de Vigo, Instituto Español de Oceanografía, Cabo Estay, 36200-Vigo, Spain

18 §Facultade de Ciencias do Mar, Universidade de Vigo , Campus de Lagoas-Marcosende, E-36310 Vigo,  
19 Spain

20  
21  
22 **Corresponding author**

Fiz F. Pérez

e-mail: [fiz.perez@iim.csic.es](mailto:fiz.perez@iim.csic.es)

Fax: (+34) 986 292 762

Phone: (+34) 986 231 930 Ext. 360

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33 **A Candidate Cover Image has been proposed with the caption:**

34 *Long-term decrease of upwelling (curve with experimental points) related to climate*  
35 *evolution in the Northeast Atlantic (under the curve) induces predominance of*  
36 *Dinoflagellates (upper right corner) and Pseudonitzschia spp over Diatoms (lower left*  
37 *corner) in coastal areas, reducing the productivity of exploitable species (mussel*  
38 *culture in the Galician Rias, over the curve).*

1           **Abstract.** Coastal upwelling regions, which are affected by equatorward-wind  
2 variability, are amongst the most productive areas of the oceans. It has been suggested  
3 that global warming will lead to a general strengthening of coastal upwelling, with  
4 important ecological implications and an impact on fisheries. However, in the case of  
5 the Iberian upwelling, the long-term analysis of climatological variables described here  
6 reveals a weakening in coastal upwelling. This is linked to a decrease of zonal sea level  
7 pressure gradient, and correlated with an observed increase of sea surface temperature  
8 and North Atlantic Oscillation. Weakening of coastal upwelling has led to quantifiable  
9 modifications of the ecosystem. In outer shelf waters a drop in new production over the  
10 last 40 years is likely related to the reduction of sardine landings at local harbors. On the  
11 other hand, in inner shelf and Ria waters, the observed weakening of upwelling has  
12 slowed down the residual circulation that introduces nutrients to the euphotic layer, and  
13 has increased the stability of the water column. The drop in nutrient levels has been  
14 compensated by an increase of organic matter remineralization. The phytoplankton  
15 community has responded to those environmental trends with an increase in the  
16 percentage of dinoflagellates and *Pseudonitzschia spp* and a reduction in total diatoms.  
17 The former favors the proliferation of Harmful Algal Blooms and reduces the permitted  
18 harvesting period for the mussel aquaculture industry. The demise of the sardine fishery  
19 and the potential threat to the mussel culture could have serious socio-economic  
20 consequences for the region.

21

## 22 **Introduction**

23           Coastal upwelling occurs along the eastern ocean margins when along-shore  
24 equatorward winds drive the surface layer offshore, inducing the rise of deep, cool, and  
25 nutrient-rich waters into the coastal photic layer. These nutrient pulses fuel the high

1 phytoplankton production that supports rich coastal marine ecosystems and productive  
2 fisheries (Pauly & Christensen 1995). The duration of the seasonal cycle of upwelling-  
3 favorable winds (as well as their strength) varies along the coast (Wooster et al. 1976),  
4 affecting the development of ‘blooms’ of different phytoplankton species (Anderson,  
5 1997).

6         Understanding of climatic modulations of the intensity of coastal upwelling has  
7 become increasingly important because of the likelihood of dramatic ecosystem and  
8 socioeconomic impacts (Bakun & Weeks, 2004, Barth *et al.* 2007). It has been argued  
9 that the expected and observed sea surface temperature (SST) increase due to global  
10 warming might induce the intensification of coastal upwelling (Bakun 1990). In the  
11 California Current System, a positive trend in upwelling-favorable winds along  
12 Southern California coexists with an increase of SST driven by surface heat fluxes;  
13 however the net warming trend is accompanied by an increased stratification and a  
14 deepening of the thermocline that reduces the efficiency of upwelling (Di Lorenzo *et al.*  
15 2004). The IPCC Reports argue that an enhancement of the planetary capacity to retain  
16 energy received from the sun (“global warming”) will not always lead to local  
17 temperature increases. In the Atlantic Ocean, analysis of the North Atlantic Oscillation  
18 (NAO) has shown that large-scale atmospheric modes may lead simultaneously to local  
19 effects of opposite signs. The first mode of NAO shows a tri-polar distribution of the  
20 SST anomaly distribution in the North Atlantic (Visbeck *et al.* 2001); the study area  
21 (Fig. 1) lies in an ocean margin region equally distant from these three centres.

22         Long-term variability in upwelling systems is coupled to changes in the  
23 ecosystem productivity and may influence the frequency of harmful algal blooms  
24 (HABs; GEOHAB, 2005). In the large coastal upwelling systems of SW Africa, Peru–  
25 Chile, California–Oregon and Somalia, Gregg *et al.* (2005) relate the significant

1 increase of chlorophyll *a* measured from space to a positive trend of the upwelling-  
2 favorable winds along those coasts. A long-term increase in northerly wind component  
3 over the eastern North Atlantic between 1950 and 1980 was associated both with a  
4 decline of phytoplankton and zooplankton biomass in sea-areas around the British Isles,  
5 and with an increase in upwelling intensity along the Iberian west coast (Dickson *et al.*  
6 1988). From a long temperature and phytoplankton data set, Wiltshire & Manly (2004)  
7 found a first indication of a warming-related shift in phytoplankton succession in the  
8 North Sea system. NAO variability has also been linked to phytoplankton dynamics in  
9 coastal systems by some authors (Belgrano *et al.* 1999, Irigoyen *et al.* 2000, Wasmund  
10 & Uhlig 2003), while others focused on the relation between NAO and open sea  
11 plankton (Jossi *et al.* 2003, on the Continuous Plankton Recorder Survey).

12         In spite of the described effects of climate variability on the oceanic ecosystems,  
13 long term observations showing biogeochemical trends due to changes in physical  
14 forcing are quite scarce. The socioeconomic importance of the Iberian Upwelling has  
15 stimulated the collection of an interdisciplinary database of high-frequency long-term  
16 observations. On the basis of the analysis of the climatological data we will show an  
17 unexpected weakening of the Iberian Upwelling. This clearly affects the chemical and  
18 biological ocean environment, producing different responses of the ecosystem in the  
19 open sea and in the inner shelf. By considering underlying processes, we attempt to  
20 clarify how open sea and inner shelf ecosystems are responding and infer, qualitatively,  
21 the economic consequence of such ecological changes.

22

## 23 **Methods**

24

25 In this section we give details on the methods used for data analysis: upwelling index  
26 (Iw), satellite chlorophyll *a*, New Production (NP), Net Ecosystem Production (NEP)

1 and hydrographic and phytoplankton monitoring in Ría de Arousa. Upwelling index  
2 series in the Iberian upwelling region is being calculated four times a day since 1966 by  
3 the IEO Vigo (Cabanas & Alvarez 2005). In order to assess the long-term variability of  
4 the biological activity in the outer shelf we calculated yearly averages of NP from Iw  
5 from 1966 to 2006. In Ría de Arousa, 15 years long time series of hydrographic and  
6 phytoplankton data from three inner-shelf stations of the ecosystem monitoring network  
7 maintained by the local Government (Pazos & Maneiro 1994) are presented and their  
8 trends analyzed. NEP is then calculated from Iw in Ría de Arousa to complete the  
9 picture.

10

11 *Description of the study area.* The Iberian coast is located in the northern limit of the  
12 North Atlantic Upwelling System (Fig. 1). It is one of the world's major upwelling  
13 areas; it is a highly productive locus of intensive fisheries and constitutes the Canaries–  
14 Iberian Large Marine Ecosystem (Longhurst 1996). A weak southward Portugal Current  
15 offshore of Iberia and the Azores Current contribute to the Canary Current. Underneath,  
16 a poleward undercurrent flows as a slope current from the African coast as far north as  
17 Bay of Biscay (Pingree & Le Cann 1990). These oceanographic features are dominantly  
18 modulated by the seasonal cycle of the wind direction. This follows the position and  
19 strength of the Azores High and the predominance of northeast winds from May to  
20 October is the main cause of upwelling (Wooster *et al.* 1976). A detailed review of the  
21 main oceanography and biological characteristics are given by Aristegui *et al.* (2006).

22

23 *Upwelling index computations.* The northerly component of shelf wind stress ( $\tau_y$ ) causes  
24 upwelling-favorable offshore Ekman transport along the western Iberian margin, and  
25 southerly winds result in the opposite effect, i.e. downwelling and onshore transport.

1 Ekman transport or upwelling index ( $I_w$   $m^3 s^{-1} km^{-1}$ ) can be estimated by Bakun's  
 2 method (Bakun 1973):

$$3 \quad I_w = -\frac{\tau_y}{\rho_{sw} f} = -\frac{\rho_{air} C_D |V| V_y}{\rho_{sw} f} \quad (1)$$

4 where  $\rho_{air}$  is the density of air ( $1.22 \text{ kg} \cdot \text{m}^{-3}$  at  $15^\circ\text{C}$ ),  $C_D$  is an empirical dimensionless  
 5 drag coefficient ( $1.4 \cdot 10^{-3}$ ),  $f$  is the Coriolis parameter ( $9.946 \cdot 10^{-5} \text{ s}^{-1}$  at  $43^\circ \text{N}$ ),  $\rho_{sw}$  is  
 6 the density of seawater ( $\sim 1025 \text{ kg} \cdot \text{m}^{-3}$ ), and  $|V|$ ,  $V_y$  are respectively the average daily  
 7 strength and northerly component of the wind. Positive (negative) values of  $I_w$   
 8 corresponds to upwelling (downwelling) in the Iberia Upwelling System.

9 Because the upwelling index does not reflect the full complexity of the  
 10 phenomenon it quantifies, it is advisable to study its evolution with various different  
 11 data sources, thus two upwelling indices have been calculated for this work. SLP  $I_w$   
 12 denotes an upwelling index calculated using geostrophic winds within a  $2^\circ \times 2^\circ$  cell  
 13 centered at  $43^\circ\text{N}$   $11^\circ\text{W}$  (Fig. 1), representative of the working area. Geostrophic winds  
 14 were calculated from atmospheric sea level pressure (SLP) charts (four maps per day)  
 15 provided by the *Instituto Nacional de Meteorología* (Cabanas & Alvarez 2005). NCEP  
 16  $I_w$  is calculated with equation 1 using winds (four per day at  $42.853^\circ\text{N}$  and  $9.37^\circ\text{W}$ )  
 17 from the reanalysis carried out by Kalnay *et al.* (1996).

18

19 *Chlorophyll a data from space.* Remotely sensed Chlorophyll *a* products are freely  
 20 provided by the Sea-viewing Wide Field-of-view Sensor (SeaWiFS) and Moderate  
 21 Resolution Imaging Spectroradiometer (MODIS) projects and GeoEye at  
 22 <http://oceancolor.gsfc.nasa.gov>, covering the period from 1997 to 2007. The  
 23 performance of the SeaWiFS sensor has demonstrated remarkable consistency for most  
 24 of the mission. However, there were some quality problems at the end of the latter  
 25 period, already beyond the design lifetime of the instrument

1 ([http://oceancolor.gsfc.nasa.gov/REPROCESSING/SeaWiFS/R5.2/cal\\_drift.html](http://oceancolor.gsfc.nasa.gov/REPROCESSING/SeaWiFS/R5.2/cal_drift.html)).

2 From 2003 remotely sensed chlorophyll *a* is also provided by the MODIS project. In  
3 order to complete the chlorophyll *a* data base with recent observations we took the  
4 MODIS level-3 products and kept 2 years (2003-2005) of overlap with SeaWiFS level-3  
5 data products.

6 *New Production in the outer shelf*. “New Production” is the fraction of gross production  
7 maintained by external nutrients (Eppley & Peterson 1979). In upwelling regions those  
8 are the nutrients transported by the upwelled water. This is the basis of the trophic chain  
9 on the outer shelf. Álvarez-Salgado *et al.* (2002) developed an algorithm for calculating  
10 NP on the basis of upwelling index, using data from a total of 128 casts in the area  
11 between 42°N and 43°N and between 11°W and to the East the position of the 1000 m  
12 depth isobath, from 14 hydrographic cruises conducted in the Iberian shelf from 1977 to  
13 1998. The fortnight-average of  $I_w$  ( $I_w(f)$ ) allows the estimation of the fortnight-average  
14 NP (in  $\text{mg C m}^{-2} \text{ day}^{-1}$ ), through:

$$15 \quad NP(\pm 197) = [(1.1 \pm 0.1) + (5 \pm 0.1) \cdot 10^{-4} \cdot I_w(f)] \cdot I_w(f) + (0.15 \pm 0.04) \cdot I_w(f-1) \quad (2)$$

16  
17  
18 There is a marked influence of the average upwelling rate during the previous  
19 fortnight ( $f-1$ ). The evaluated NP has been tested against satellite-derived net microbial  
20 community production during 1998-1999 (Álvarez-Salgado *et al.* 2002).

21  
22 *Ecosystem monitoring network in Ría de Arousa*. The Rías Baixas are four large  
23 embayments ( $2.7$  to  $4.8 \text{ km}^3$ ) open to the oceanic influence from the adjacent shelf. *Ría*  
24 *de Arousa* is the most extensive of the four ( $4.8 \text{ km}^3$ ). It is well connected to the shelf  
25 by a deep and wide mouth receiving the strong impact of upwelling. It has a two-layer  
26 circulation, strongly affected by wind over the open shelf. With northerly winds, the  
27 induced upwelling accelerates the vertical and horizontal positive estuarine circulation;

1 instead, southerly winds slow down the estuarine circulation or even produce a reverse  
2 estuarine circulation (Rosón et al. 1997).

3         The edible mussel aquaculture production in Ría de Arousa amounts to a quarter  
4 of a million tons per year from 2396 rafts, being one of the world's biggest. A local  
5 government agency (*Instituto Tecnológico para o Control do Medio Mariño*,  
6 <http://www.intecmar.org/>) maintains since 1992 an extensive network of 38  
7 oceanographic stations for monitoring HAB events and provides weekly hydrographic  
8 and phytoplankton data (Pazos & Maneiro 1994). In this work data from three stations  
9 in the outer part of Ría de Arousa are used to study the hydrographical and  
10 phytoplankton variables. These coastal stations are directly subjected to the outer shelf  
11 dynamics; stations located in the inner Ría are much more under the influence of land-  
12 coastal processes, such as run-off, and for this reason are not considered here.  
13 Hydrographic vertical profiles with a Sea-Bird 25 CTD are carried out, measuring  
14 salinity and temperature in the water column. Here only the mean averages of the upper  
15 15 meters, where nutrients and phytoplankton species composition are monitored, are  
16 described. The water column is sampled in three depth intervals: from the surface to 5  
17 meters, from 5 to 10 meters and from 10 to 15 meters, by means of a hose following the  
18 Lindhal technique (Sutherland *et al.* 1987). From these samples, the analysis of pigment  
19 composition, nutrient concentrations and the counting of phytoplankton cells are  
20 conducted. Chlorophyll *a* is measured spectrofluorometrically (Zapata *et al.* 1994).  
21 Nutrient concentrations are determined according to Hansen & Grasshoff (1983) using  
22 standard segmented flow analysis with TRACCS 800-2000 and Quattro systems.  
23 Lugol's fixed samples are taken for phytoplankton abundance using the sedimentation  
24 technique in Uthermöhl chambers and examining them under an inverted microscope.



1 The variables studied here have a strongly seasonal component, due to their  
 2 dependence on annual physical environmental variables (light, heat absorption, winds  
 3 etc.). We thus follow the standard procedure of separating the seasonal cycle (fitted to  
 4 six harmonics: 1, 1/2, 1/3, 1/4, 1/5 and 1/6 years) before the analysis of long-term  
 5 trends. To determine the linear decadal trend ( $m$ ) of nutrient concentrations and  
 6 phytoplankton descriptors, each variable was fitted to an expression of the form

$$7 \quad Var = a_0 + m \cdot (t / 3652.5) + \sum_{i=1}^6 b_i \sin(2\pi i \cdot (t - f_i) / 365.25) \quad (3)$$

8 where  $t$  is time in days and  $a_0$ ,  $b_i$  y  $f_i$  are the fitting parameters.  
 9  
 10

11 *Net Ecosystem Production in the inner shelf.* Using total inorganic carbon, nutrient and  
 12 oxygen data and a two-layered kinematic box model constrained by non-steady salt and  
 13 thermal budgets, Pérez *et al.* (2000) estimated the Net Ecosystem Production (NEP)  
 14 from spring to autumn of 1989 in Ría de Arousa. Afterwards, NEP was empirically  
 15 correlated with several physical variables (upwelling, stability and surface heat flux)  
 16 seeking the linear combination of  $I_w$ , Brunt-Väisälä frequency ( $BV$ ) and irradiance ( $F$ )  
 17 which better explained the time evolution of NEP (in  $\text{mmol O}_2 \text{ m}^{-2} \text{ day}^{-1}$ ). The best fit  
 18 ( $r^2 = 0.70$ ) was obtained with the equation:

$$19 \quad NEP = [(70 \pm 16) \cdot 10^{-3} I_w(d_{0-3}) - (35 \pm 14) \cdot 10^{-3} I_w(d_{4-10})] +$$

$$20 \quad (0.38 \pm 0.06) \cdot (F(d_{0-3}) + F(d_{4-6})) - (198 \pm 37) BV(d_{0-6}) \quad (4)$$

21 where  $F$  is in  $\text{cal cm}^{-2} \text{ day}^{-1}$ ,  $BV$  is in  $\text{s}^{-1}$ ,  $d$  stands for days and the sub-index stands for  
 22 the number of days backwards used to do the average, i.e.  $I_w(d_{4-10})$  is the average  $I_w$   
 23 from 10 to 4 days before the date for which NEP is computed. The above equation  
 24 permits to estimate the annual averaged NEP of Ría de Arousa using daily  $I_w$  assuming  
 25 a seasonal cycle of Brunt-Väisälä and irradiance.  
 26

## 1 **Results and discussion**

2 *Long-term upwelling variability.* Figure 2 shows the two upwelling indices calculated  
3 here, for the years between 1966 and 2006, reduced to their mean annual averages. The  
4 linear trends, which are qualitatively consistent, are also shown. NCEP Iw has a  
5 significant decrease of  $-44 \pm 14 \text{ m}^3\text{s}^{-1}\text{km}^{-1} \text{ decade}^{-1}$  ( $P = 0.0015$ ). SLP Iw has a steeper  
6 decrease of  $-47 \pm 16 \text{ m}^3 \text{ s}^{-1} \text{ km}^{-1} \text{ decade}^{-1}$  ( $P = 0.002$ ). Monthly SST from NCEP is also  
7 shown in Figure 2. A clear warming from 1972 to 2006 is apparent, with a mean rate of  
8  $0.29 \pm 0.03 \text{ }^\circ\text{C decade}^{-1}$  ( $P < 10^{-5}$ ). Both upwelling indices show a significant negative  
9 correlation with NCEP SST ( $P = 7 \cdot 10^{-4}$  for SLP Iw and  $P = 0.015$  for NCEP Iw).

10 To illustrate further the long-term variation of the upwelling indices and their  
11 relation to other climatological trends we consider in more detail two strongly  
12 contrasting periods, one at the beginning and another one at the end of the four decades  
13 studied. Figure 1 shows contours of average SLP for June, July and August of 1973-74-  
14 75 (red) and 2001-02-03 (black) superimposed on SST differences between these  
15 periods. The focus is on summer months because these are the most relevant ones for  
16 upwelling. Only slight changes of SLP cross-shore gradient along NW Africa coast are  
17 apparent; on the other hand, significant decreases in the zonal gradient at latitudes over  
18  $40^\circ\text{N}$  are observed. There, isobars open up and separate with a reduction of the zonal  
19 gradient of SLP over these thirty years, at  $43^\circ\text{N}$ , of about 30% (see the pink segment in  
20 Fig. 1, crossing the study area). Changes in the isobars pattern in the Bay of Biscay  
21 between both periods are striking, making geostrophic winds shift from northerlies  
22 during the '70s to westerlies after 2000.

23 During these three decades, two regional trends in the changes of the summer  
24 SST can be identified. A slight cooling can be observed around Cape Ghir, where colder  
25 surface waters appear, in agreement with the results from sediment cores (McGregor et

1 al. 2007), and north of 45°N. In all other North East Atlantic areas, the mean summer  
2 SST increases by about 1°C during these three decades. Shelf and oceanic water off the  
3 Iberian Peninsula show a significant warming as well. All these results agree with  
4 previous basin-scale and long-term studies of evolution of SLP and SST in the context  
5 of the NAO signal (Visbeck et al. 2001). Monthly NAO and SLP Iw show a positive  
6 correlation of  $r = 0.2$  ( $P = 0.0002$ ,  $n = 478$ , slope  $0.093 \pm 0.021 \text{ m}^2 \text{ s}^{-1}$ ). Using the recently  
7 described summer NAO for June, July and August (SNAO) index (Folland *et al.* 2009)  
8 a clear relation is found: the correlation between the summer mean of SNAO and SLP  
9 Iw improves significantly the linear fit ( $r = 0.52$ ,  $P = 0.006$ , slope  $0.27 \pm 0.07 \text{ m}^2 \text{ s}^{-1}$ ). On  
10 the other hand, summer mean SNAO and the Iw in cape Ghir (NW Africa) show an  
11 inverse correlation ( $r = -0.3$ ,  $P = 0.01$ , slope =  $-0.121 \pm 0.048 \text{ m}^2 \text{ s}^{-1}$ ). This opposite  
12 correlation agrees with the known correlation pattern between wind curl (cyclonic  
13 pattern) and NAO, negative in the study area and positive around cape Ghir (Marshall et  
14 al. 2001). During the positive phase of NAO (1973-75) an increase of anticyclonic  
15 activity off NW Iberia favors upwelling, while during a negative phase (2002-04)  
16 upwelling is weakened, in agreement with the change of SLP pattern (Fig. 1).

17

18

19 *Ecological outer shelf trends.* Several authors have noted that changes in the upwelling  
20 intensity related to global warming would produce significant socioeconomic impacts  
21 (Pauly & Christensen 1995, Bakun & Weeks 2004, Barth *et al.* 2007). We can show a  
22 first quantitative measure of this impact by means of the correlation between NP and  
23 sardine landings over the four decades from 1965 to 2006.

24 The sardine (*Sardine pilchardus*) is a small plankton-eating pelagic fish with a  
25 northward migration pattern around the Iberian Peninsula. Its early growth depends  
26 critically on the nutrients brought about by upwelling and the corresponding NP. During

1 summer and autumn the bulk of the recruits at age 0 are found off the northern coast of  
2 Portugal, just south of our sampling area. There is a northward age gradient pattern  
3 from Western Iberia, where most of the fish are young, to the Cantabrian Sea where the  
4 bulk of the population belongs to older age groups. This suggests a northward feeding  
5 migration (Carrera & Porteiro 2003). The landings of sardine from Bay of Biscay  
6 (Division VIIIc of ICES, ICES 2007;  
7 <http://www.ices.dk/committe/acom/comwork/report/2007/oct/sar-soth.pdf>) show a  
8 maximum during the early '70s and a progressive decrease since (Fig. 3). Yearly  
9 averaged NP in the area of early growth computed from the SLP Iw (Fig. 3) has a  
10 significant time-correlation with annual landings of sardine in the Bay of Biscay ( $r =$   
11  $0.69$ ,  $P < 0.00001$ ,  $n = 36$ ). This suggests that the strong decline in sardine stocks is  
12 related to the decline of coastal upwelling off NW Iberian Peninsula. Although other  
13 processes, like warming and overfishing have been invoked to explain the decrease of  
14 the sardine stock (Carrera & Porteiro 2003), the high percentage of explained variability  
15 in the sardine landings is new quantitative evidence to support the argument that the  
16 weakening of the coastal upwelling intensity is causing an important impact in pelagic  
17 life (Pauly & Christensen 1995).

18

19 *Inner shelf upwelling trends.* The consequences of the weakening of coastal upwelling  
20 on the biological parameters described above cannot be directly extrapolated to the Rías  
21 located in this coastal upwelling region. We show in Fig. 4 the spatial average between  
22 the three external stations in the Ría de Arousa for seven parameters (SST, chlorophyll  
23 *a*, diatom, dinoflagellate and *Pseudonitschia spp.* abundances, nitrite, ammonium and  
24 phosphate) measured at the monitoring network between 0 and 15 meter of depth.  
25 Although the SST at the external end of Ría de Arousa shows (Fig. 4) a warming of

1  $0.27 \pm 0.1 \text{ } ^\circ\text{C decade}^{-1}$  ( $P = 0.005$ ) that follows the long-term pattern of ocean waters (Fig.  
2 2), the chlorophyll *a* concentrations have been increasing (Fig. 4) at interannual scales  
3 with a rate of  $1.2 \pm 0.4 \text{ mg m}^{-3} \text{ decade}^{-1}$  ( $P = 0.005$ ) in opposition to the decreasing trend  
4 on the outer shelf. Between 1997 and 2006 (Fig. 4) surface chlorophyll *a* from  
5 SeaWiFS/MODIS sensors in the outer Galician and Northern Portugal shelf shows a  
6 decreasing trend of  $-0.2 \pm 0.08 \text{ mg m}^{-3} \text{ decade}^{-1}$  ( $P = 0.04$ ) in agreement with the  
7 observed oceanic trends found in stratified conditions associated with the SST warming  
8 (Behrenfeld *et al.* 2006, Gregg *et al.* 2005).

9 In upwelling systems it is well known (Bakun & Weeks 2004) that a  
10 recirculation of coastal water creates a near-bottom layer along the shelf which  
11 accumulates the mineralized organic matter generated in the photic layer of the inner  
12 shelf by the upwelled nutrients. The nutrient concentrations may increase by more than  
13 100% (Fraga 1981). This layer is recurrent in all upwelling systems; it has been found  
14 along the shelf of the Iberian Peninsula (Alvarez-Salgado *et al.* 1997), and it is more  
15 evident toward the end of upwelling seasons when the intensity of upwelling decreases  
16 while the stratification in the water column increases. Thus, the upwelled water that  
17 fertilizes the inner shelf bears more nutrients than expected on the basis of the intensity  
18 of upwelling, particularly when it is weak (Fraga 1981). To verify that this might be the  
19 mechanism that reverses the chlorophyll *a* trend between outer and inner shelf waters,  
20 we study the monthly averaged nutrients in the upper 15 meters in the external end of  
21 Ría de Arousa (Fig. 4). Nitrate concentrations show no significant trend (not shown)  
22 while nitrite, ammonium and phosphate concentrations follow positive significant  
23 trends of  $0.11 \pm 0.04$  ( $P = 0.006$ ),  $0.20 \pm 0.09$  ( $P = 0.04$ ) and  $0.11 \pm 0.02$  ( $P = 2 \cdot 10^{-8}$ )  
24 respectively, all in  $\mu\text{mol L}^{-1} \text{ decade}^{-1}$  (Fig. 4). This leads to a negative trend in the total  
25 inorganic nitrogen/phosphate ratio of  $-1.8 \pm 0.9 \text{ decade}^{-1}$  ( $P = 0.05$ ). These trends fit very

1 well with a pattern of increase of remineralized nutrients from fresh organic matter:  
2 nitrogen originated from recently decomposed organic tissues has a higher fraction of  
3 nitrite and ammonium with respect to nitrate than in upwelled waters. This mechanism  
4 allows the Ría to support a high biological activity despite a persistent weakening of  
5 upwelling intensity. In fact the NEP in the Ría of Arousa obtained from an empirical  
6 model (see methods) yields a barely (statistically non significant) negative trend during  
7 the last 15 years, an order of magnitude lower than that corresponding to the outer shelf.  
8

9 *Inner shelf plankton response.* The change of coastal upwelling intensity, the warming  
10 and nutrient-ratios trends can produce alterations in the phytoplankton community.  
11 Superimposed on the annual cycle, the succession of phytoplankton species in Ría de  
12 Arousa from diatoms to dinoflagellates is controlled by upwelling and stability (Pazos  
13 *et al.* 1995). The abundance of total diatoms shows a decreasing trend of  $-0.14 \pm 0.04 \log$   
14  $(\text{cells L}^{-1}) \text{ decade}^{-1}$  ( $P = 0.001$ ) while the percentage of total dinoflagellate is increasing  
15 at a steady rate of  $0.11 \pm 0.06 \log(\%) \text{ decade}^{-1}$  ( $P = 0.05$ ) as predicted by Margalef's  
16 Mandala (Margalef *et al.* 1979, Smayda & Reynolds 2001). Despite the decrease of total  
17 diatom cells, the phytoplankton assemblage *Pseudonitschia spp.*, which includes  
18 shellfish-poisoning amnesic-toxin producers, shows a very significant ( $P = 5 \cdot 10^{-5}$ )  
19 increase of  $0.48 \pm 0.12 \log (\text{cells L}^{-1}) \text{ decade}^{-1}$ . There is also a negative trend in the total  
20 number of cells  $-0.14 \pm 0.03 \log (\text{cells L}^{-1}) \text{ decade}^{-1}$  ( $P < 0.00001$ ) which is opposed to  
21 the positive trend in chlorophyll *a* concentrations. It appears that the less turbulent  
22 environment induced by the sea surface warming and the weakening of the coastal  
23 upwelling is favoring taxa of larger cell size as found by Smayda & Reynolds (2001).  
24 Indeed, similar trends in cell size were found in association with climate-warming  
25 scenarios coupled with water-column stratification (Johns *et al.* 2003).

1           Some authors have suggested that HABs are increasing globally due to  
2 anthropogenic influences (Smayda *et al.* 2004) while others have stressed that climate  
3 variability (apart from increased monitoring and awareness) may be as equal  
4 contributor (Anderson, 1997, Sellner *et al.* 2003). From the relationship between Iw and  
5 estuarine circulation given by Rosón *et al.* (1997), an evaluation of the impact of coastal  
6 upwelling on residence times of upwelled water in Ría de Arousa shows a decadal  
7 slowdown of the flushing times of  $1.1 \pm 0.2$  days ( $P = 2 \cdot 10^{-5}$ ) due to weakening of  
8 upwelling. This trend is even larger in summer ( $1.7 \pm 0.5$  days per decade,  $P = 0.001$ )  
9 representing a reduction rate of the renewal time nearly 10% per decade. This decrease  
10 could exacerbate the impact of the terrestrial anthropogenic fertilization since it would  
11 drive the coastal ecosystem towards eutrophication.

12           These changing patterns that relate phytoplankton dominance and climate  
13 variability are relevant to understanding the evolution and occurrence of HABs. If these  
14 climatic changes persist, they may lead to the emergence of a new successional regime  
15 in phytoplankton and to the formation of earlier and more frequent dinoflagellate  
16 blooms (Alvarez-Salgado *et al.* 2008).

17

## 18 **Summary**

19           We observed a weakening of the Iberian upwelling coincident with an increase  
20 of sea surface temperature (SST). This weakening appears to be related to the reduction  
21 of the SLP zonal gradient and might be coupled with the summer NAO. We also found  
22 a clear relationship between North Iberian sardine landings and upwelling strength,  
23 understandable in terms of the influence of the West Iberian coastal upwelling on the  
24 larval stage of the sardine.

1           Contrasting ecosystem responses to the weakening of coastal upwelling were  
2 observed in the outer and the inner shelf. In the outer shelf, the expected decrease of  
3 Chlorophyll *a* was confirmed by remote sensing observations but in the Rías  
4 chlorophyll shows a clear positive trend. This is due to the increase of dinoflagellates  
5 and *Pseudonitschia spp.* In addition, with a weaker upwelling, the flushing time in the  
6 inner shelf increased, consequently favoring stratification and an increase of the  
7 mineralization of organic matter which, in turn, lead to the observed positive trends of  
8 nitrite, ammonium and phosphate concentrations. These results indicate that the decline  
9 of coastal upwelling during the last three decades is driving the Iberian coastal  
10 ecosystem towards a less renewable condition, with more recycling and stratification.  
11 These changes in the ambient conditions are linked to two consequences of  
12 socioeconomic importance, namely, phytoplankton community successions that  
13 increase the frequency of HABs and the decline of sardine fisheries. A decreasing  
14 upwelling and decreasing rates for water renovation in the Rías lead to a lower  
15 productivity of exploitable species.

16

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- 26 Correspondence and requests for materials should be addressed to F.F.P.  
27 ([fiz.perez@iim.csic.es](mailto:fiz.perez@iim.csic.es)).
- 28
- 29 Acronyms:
- 30 BV: Brunt-Väisälä frequency
- 31 HAB: Harmful Algal Bloom;
- 32 ICES: International Council for the Exploration of the Sea; Chl a: Chlorophyll a;
- 33 NEP: Net Ecosystem Production.
- 34 NP: New Production;
- 35 SLP: Sea Level Pressure;
- 36 MODIS: Moderate Resolution Imaging Spectroradiometer
- 37 NCEP: National Centers for Environmental Prediction;
- 38 SST: Sea Surface Temperature;
- 39 SeaWIFS: Sea-viewing Wide Field-of-view Sensor
- 40

## 1 LEGEND OF FIGURES

2  
3 FIGURE 1: The color contour map shows the sea surface temperature (SST) increment of summer  
4 averages (June-August) between 1973-1975 and 2001-2003 calculated with the monthly means of  
5 NCEP Reanalysis provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA  
6 (<http://www.cdc.noaa.gov/>). Superimposed are the average sea level pressure (SLP) contour lines  
7 (in hPa) for the same summer periods (red line: 1973-1975 and black line: 2001-2003), also taken  
8 from the NCEP dataset. The pink line along 43°N highlights the change in the gradient of SLP in  
9 the vicinity of Galician coast between the two periods analyzed. The inset map encloses the coastal  
10 area under the influence of upwelling events. The chlorophyll *a* dataset of Fig. 4 was taken in the  
11 outer shelf dotted area. The annual evolution and long-term trend of upwelling index are plotted in  
12 Fig. 2 for two locations: 43°N 11°W (green dot) and 42.85°N 9.37°W (red dot). The orange dot  
13 indicates the mouth of the Ría de Arousa, where the dataset of Fig. 4 was sampled. The purple  
14 circle denotes Cape Ghir.

15  
16 FIGURE 2: Annual evolution and long-term trends of two different upwelling indices (*I<sub>w</sub>*) from  
17 1965 to 2007: SLP *I<sub>w</sub>* (black line and grey circles, using geostrophic winds at 43°N 11°W, green  
18 dot in Fig. 1), NCEP *I<sub>w</sub>* (red line and white circles, using reanalysis winds at 42.85°N 9.37°W, red  
19 dot in Fig. 1). The linear interannual trend of SLP *I<sub>w</sub>* and NCEP *I<sub>w</sub>* are shown as black and pink  
20 lines, respectively. The orange bars depict the time series of sea surface temperature annual  
21 anomaly ( $\Delta$ SST) with respect to the 1965 – 2007 mean value (14.87°C) at the red dot in Fig. 1. The  
22 grey crosshatch areas correspond to the two periods analyzed in Fig. 1 (1973-1975 and 2001-2003).

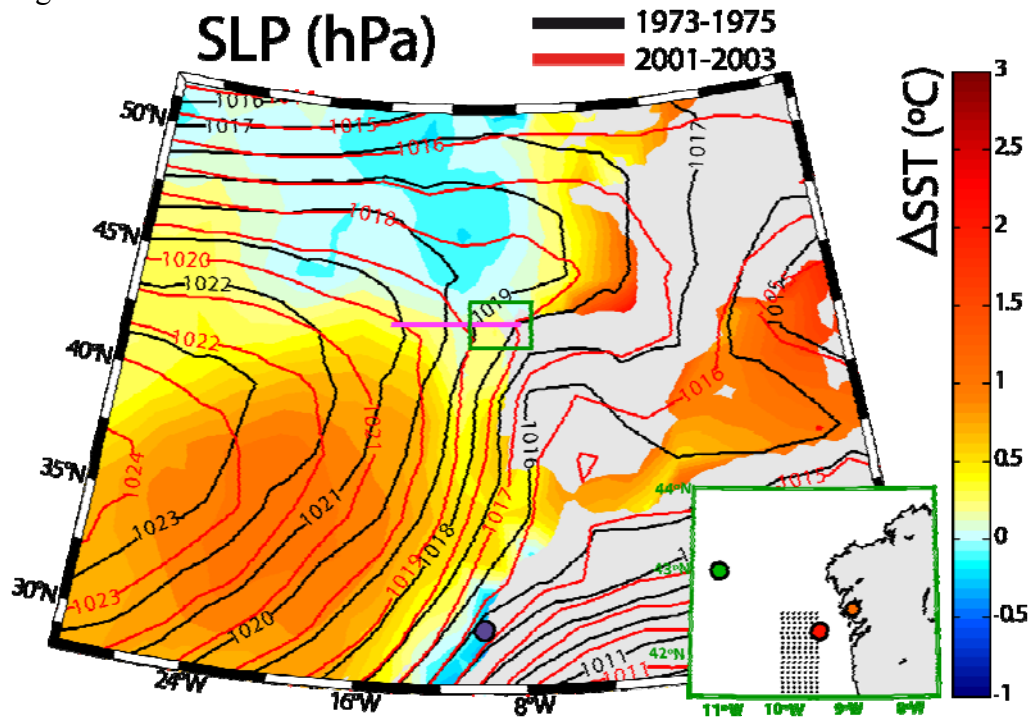
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24 FIGURE 3: Net Production (light pink circles) and its 3-year moving average (grey line) in the  
25 outer shelf of Galician coast. Iberian sardine (*Sardine pilchardus*) landings (light blue circles), and  
26 its 3-year moving average (dark blue line) for the period 1965 to 2006 in ICES Division VIIIc.

27

1 FIGURE 4: Time series of monthly averaged hydrographic and phytoplankton variables recorded  
2 in the mouth of Ría de Arousa from 1992 to 2007. a) Sea surface temperature (red circles). b)  
3 Chlorophyll *a* concentration (green circles) in the inner shelf and satellite derived Chlorophyll *a*  
4 (yellow symbols) in the open ocean water (dotted area and orange circle in inlet of Fig. 1,  
5 respectively). c) Diatomea abundances (light blue circles). d) Logarithm of percentage of  
6 dinoflagellates with respect to total phytoplankton (orange circle). e) *Pseudonitzschia spp*  
7 abundances (solid pink circles). f) Nitrite (NO<sub>2</sub>) concentration (white circle). g) Phosphate (PO<sub>4</sub>)  
8 concentration (grey circle). The yellow dots and squares in panel b stand for the SeaWiFS and  
9 MODIS chlorophyll *a*, spatially averaged in the dotted area of Fig. 1 inset (standard deviation as  
10 error bars).

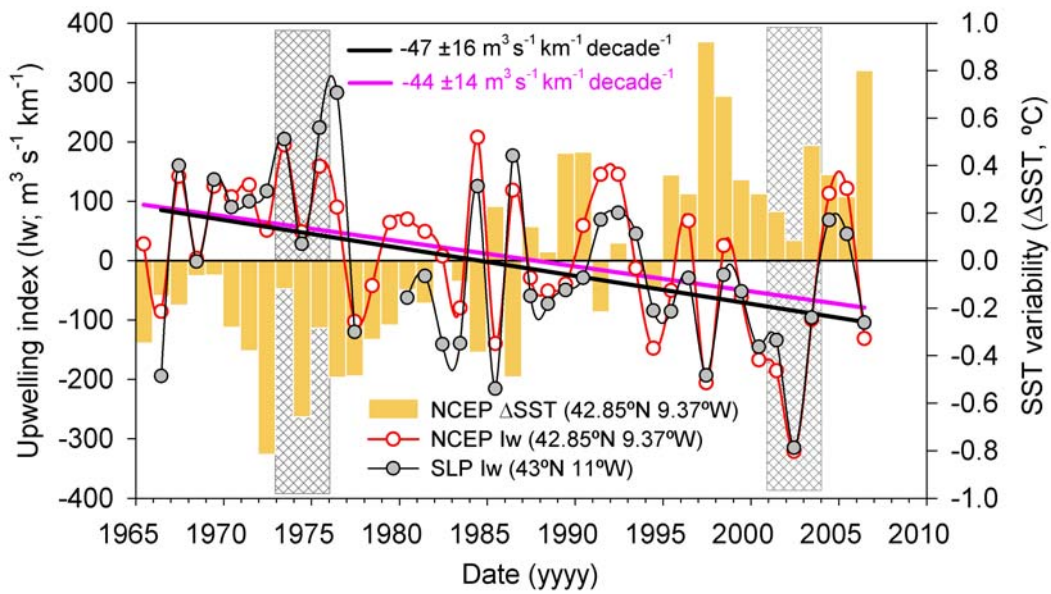
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1 Figure 1



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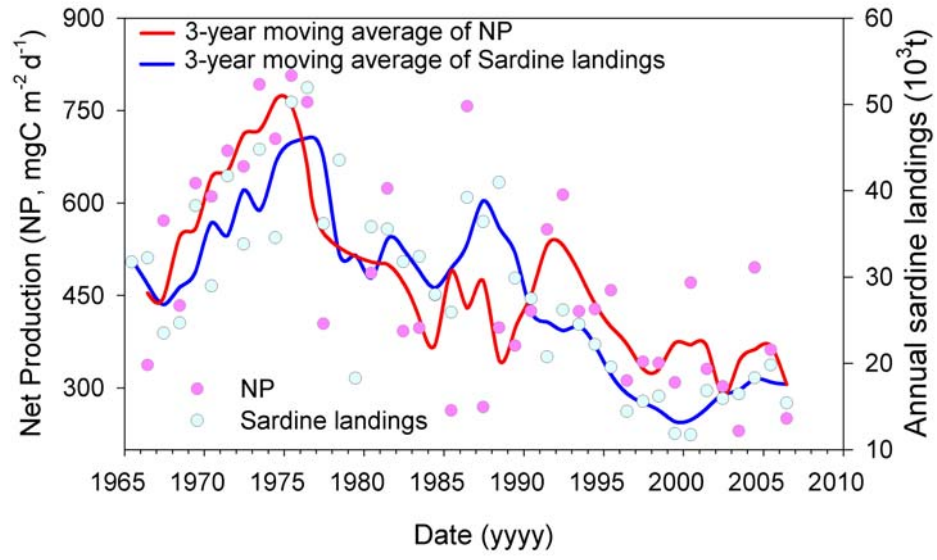
10 Figure 2



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Figure 3



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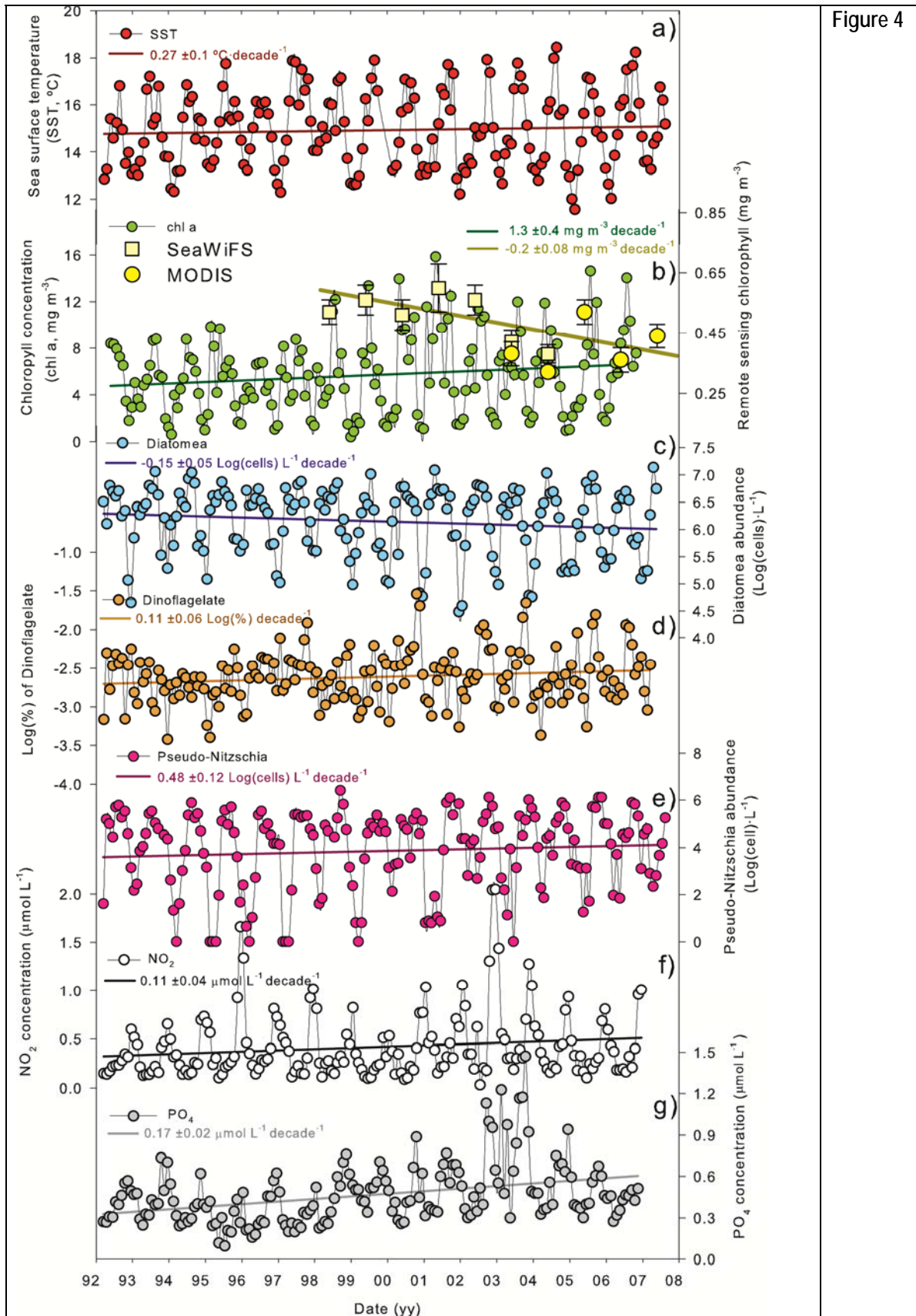


Figure 4



# SLP (hPa)

1973-1975

2001-2003

